- 1 **Title:** Characterization of Circulating Steroid Hormone Profiles in the Bottlenose Dolphin (*Tursiops*
- 2 *truncatus*) by Liquid Chromatography-Tandem Mass Spectrometry (LC-MS/MS)
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25 Keywords: steroid; hormone; marine mammal; dolphin; liquid chromatography; mass spectrometry

2627 Abstract

28 Systemic steroid hormone measurements are often used in the assessment of reproductive,

29 developmental, and stress physiology in vertebrates. In protected wildlife, such as the common

30 bottlenose dolphin (*Tursiops truncatus*), these measures can provide critical information about health

- 31 and fitness to aid in effective conservation and management. Circulating steroid hormone
- 32 concentrations are typically measured by immunoassays, which have imperfect specificity and are
- 33 limited to the measurement of a single hormone per assay. Here we demonstrate that reverse phase
- 34 solid phase extraction (SPE) coupled to liquid chromatography tandem mass spectrometry (LC-MS/MS)
- allows for the simultaneous, precise (< 15 % relative standard deviation), and accurate (between 70 %
- and 120% recovery of spiked quantities) measurement of at least seven steroid hormones in dolphin
 plasma. These seven steroid hormones include three hormones that have been measured previously in
- bottlenose dolphin blood (progesterone, testosterone, and cortisol) and three hormones which have
- and control of and three normones which have
 never been quantified in dolphin blood (17-hydroxyprogesterone, androstenedione, cortisone, and
- 40 corticosterone). While 17β -estradiol was not detected endogenously, we were able to accurately and
- 41 precisely measure spiked quantities estradiol. Measures from plasma were more precise (i.e., lower
- 42 RSD) than serum, and thus we recommend plasma as the preferred matrix for this analytical method. In
- 43 order to facilitate comparison of current and future plasma-based studies to previous serum-based
- 44 studies, we characterize the relationships between hormone measurements in matched plasma and
- 45 serum, and found that measurements across matrices are significantly and positively correlated. Lastly,
- to demonstrate potential applications of this method, we examined how steroid hormone profiles vary
- 47 by pregnancy, sexual maturity, and stress status pregnancy was associated with elevated
- 48 progesterone, adult males had higher testosterone, and capture stress was associated with elevated

49 corticosteroids. Overall, we conclude that this method will enable investigators to more thoroughly and

50 efficiently evaluate steroid hormone homeostasis in bottlenose dolphins compared to immunoassay

51 methods. These methods can potentially be applied to the assessment of sexual maturity/seasonality.

52 pregnancy status, and stress in free-ranging bottlenose dolphins as well as those maintained under

53 human care, and potentially other marine mammals. 54

55 1. Introduction

56 Monitoring steroid hormones in marine mammals can provide valuable health and fitness 57 information to support effective conservation. Circulating steroid hormone concentrations have been 58 used to assess pregnancy status, sexual maturity/cyclicity/seasonality, and the stress response in 59 bottlenose dolphins (Bergfelt et al., 2011; Cornell et al., 1987; Fair et al., 2014; Harrison and Ridgway, 60 1971; Houser et al., 2011; Kirby and Ridgway, 1984; O'Brien and Robeck, 2012; Ortiz and Worthy, 2000; 61 Robeck et al., 1994; Sawyer-Steffan et al., 1983; Schroeder and Keller, 1989; St. Aubin et al., 1996; 62 Steinman et al., 2016; Thomson and Geraci, 1986; Yoshioka et al., 1986). Systemic endocrine 63 assessments in vertebrates are commonly performed with blood matrices (serum or plasma) because 64 circulating hormone concentrations reflect systemic homeostasis (i.e. the status of the equilibrium 65 between hormone secretion, storage, transport, and clearance). Here we aim to validate a liquid 66 chromatography tandem mass spectrometry (LC-MS/MS) steroid hormone assay, which has several

67 advantages over immunoassay-based methods, in bottlenose dolphin blood matrices.

68 Steroid hormones are categorized into four classes based on structure and function 69 (progestogens, androgens, estrogens, and corticosteroids), all of which are derived from cholesterol and 70 exist within a common metabolic pathway (Fig. 1) (Miller, 1988; Norris and Carr, 2013). Progestogens 71 are typically associated with pregnancy and the luteal phase of the estrous cycle, but are also precursors 72 to androgens and corticosteroids (Miller, 1988; Norris and Carr, 2013). Androgens are commonly referred to as "male sex hormones" because they are observed at high concentrations in males and 73

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regulate the expression of masculine traits, though they, are also important for female physiology 75 (Miller, 1988; Norris and Carr, 2013). Estrogens are commonly called "female sex hormones" for

76 analogous reasons, and, similarly, are important in male physiology (Miller, 1988; Norris and Carr, 2013).

77 Corticosteroids are involved in mediating the stress response, and are further divided into

78 glucocorticoids, which regulate energy homeostasis by impacting peripheral glucose utilization,

79 gluconeogenesis via protein and lipid catabolism, and glycogenesis, and mineralocorticoids, which

80 regulate ionic (Na^+/K^+) homeostasis (Miller, 1988; Norris and Carr, 2013). For reasons detailed below,

investigators commonly measure only a single hormone within the specific class(es) of interest. In 81

82 bottlenose dolphins, these are typically progesterone (progestogen), testosterone (androgen), cortisol

83 (glucocorticoid), aldosterone (mineralocorticoid), and estradiol (estrogen).

84 Previously, circulating steroid hormones in bottlenose dolphins have been measured by 85 immunoassays (enzyme immunoassay (EIA) or radioimmunoassay (RIA)), which utilize antibodies to 86 detect hormones (Bergfelt et al., 2011; Cornell et al., 1987; Fair et al., 2014; Houser et al., 2011; Kirby 87 and Ridgway, 1984; O'Brien and Robeck, 2012; Ortiz and Worthy, 2000; Sawyer-Steffan et al., 1983; 88 Schroeder and Keller, 1989; St. Aubin et al., 1996; Steinman et al., 2016; Thomson and Geraci, 1986; 89 Yoshioka et al., 1986). Immunoassays are indirect-detection methods, meaning the detected endpoints 90 (i.e., radioactivity, color change, or light production) are secondary signals generated by the binding of

91 the target hormone to cognate antibodies. While these methods provide excellent sensitivity,

92 immunoassay specificity is imperfect due to the potential for antibodies to cross-react with non-target

93 analytes. Because steroid hormones are chemically/structurally similar (Fig. 1), antibodies cannot

94 distinguish between steroids with absolute specificity. Therefore, presence of non-target, cross-reactive

95 hormones in a sample may lead to artificial enhancement of the target signal in an immunoassay.

96 Furthermore, due to the use of indirect-detection, immunoassays are limited to the analysis of a single 97 analyte per assay. In order to comprehensively assess endocrine status in an individual, investigators

98 must perform independent immunoassays for each hormone. For this reason, studies reliant on

- 99 immunoassays tend to be highly targeted, typically quantifying only one hormone or a small suite of
- hormones. While utilitarian, this approach provides only a partial assessment of steroid hormone
 homeostasis and disregards potentially interesting inter- and intra-class relationships.

102 Boggs et al. recently demonstrated the feasibility of using a solid phase extraction (SPE) to LC-103 MS/MS method to simultaneously quantify multi-class steroid hormones in human blood matrices and 104 bottlenose dolphin blubber (Boggs et al., 2016; Boggs et al., 2017). Unlike immunoassays, mass 105 spectrometry provides a direct-detection method, meaning the hormone (not a reaction with the 106 hormone) is measured, thereby increasing specificity. In LC-MS/MS, the monitoring of compound-107 specific transitions (i.e., fragmentation patterns) at compound-specific retention time ensures 108 specificity. Additionally, multiple hormones can be measured in a single assay. This method allows 109 investigators to perform a more thorough analysis of steroid hormone homeostasis with greater 110 efficiency and specificity than is attainable with current immunoassays. This manuscript describes the 111 validation of these methods for use in bottlenose dolphin blood matrices, and the application to dolphin 112 plasma for the investigation of demographic differences in steroid hormone profiles.

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114 **2.** Materials and Methods

115 2.1 Animals and Samples

116 <u>2.1.1 Individual Plasma Samples</u>

Blood was collected from free-ranging bottlenose dolphins from Barataria Bay, Louisiana, USA in September 2017. Methods for the temporary capture and blood collection have been previously described (Schwacke et al., 2013; Smith et al., 2017; Wells et al., 2005). Plasma was produced by centrifugation of whole blood collected in sodium-heparin vacutainers to prevent coagulation. Plasma was immediately frozen. Aliquots (5 mL) were frozen and shipped in nitrogen dry shippers to the National Institute of Standards and Technology (NIST) Environmental Specimen Bank (ESB) at Hollings Marine Laboratory (Charleston, SC) where they were stored at - 80 °C until analysis.

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125 <u>2.1.2. Pooled Samples</u>

126 Blood samples were collected from adult bottlenose dolphins maintained at the U.S. Navy Marine Mammal Program (Space and Naval Warfare Systems Center Pacific, San Diego, CA) via the 127 128 arteriovenous plexus of the ventral fluke on various dates in October and November 2012. All samples 129 were collected under trained, voluntary participation of the dolphins to reduce handling-induced stress. 130 Plasma was produced as described above. Serum was produced by centrifugation of whole blood that 131 was allowed to clot for 45 minutes. Samples from each date were pooled by matrix and sex. Pools were 132 frozen in approximately 5 mL aliquots at - 80 °C, shipped frozen on dry ice to Hollings Marine Laboratory 133 (Charleston, SC), and stored at - 80 °C until analysis.

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135 <u>2.1.3 Individual-Matched Serum and Plasma</u>

136 Blood was collected from free-ranging bottlenose dolphins from three sites in the southeastern 137 United States during capture-release health assessments, including: Barataria Bay, Louisiana (June 138 2014); Sarasota Bay, Florida (May 2013, 2015, and 2016); and Brunswick, Georgia (September 2015). 139 This sample set includes pregnant (or suspected pregnant) and non-pregnant females (n = 4 and 5, 140 respectively), subadult and adult males (n = 6 and 5, respectively), and samples collected at two 141 different time points during collection, time point 1 (T1; collected as soon as possible following restraint) 142 and time point 6 (T6; collected at the end of sampling, immediately preceding release of the animal) (n =143 17 and 3, respectively). Pregnancy was diagnosed by ultrasound. Age was determined either through 144 lifelong observation (i.e. known birth date) or through examination of growth layer patterns in teeth

using methods that have been described previously (Hohn et al., 1989; McFee et al., 2010). Age class

was defined by age (individuals \geq 10 years old were classified as adults) or length (individuals \geq 240 cm

total length classified as adult), in the absence of age data. Serum and plasma were produced from

whole blood as described above for pooled samples. Aliquots (1 to 2 mL) were frozen and shipped in nitrogen dry shippers to the National Institute of Standards and Technology (NIST) Environmental

150 Specimen Bank (ESB) at Hollings Marine Laboratory (Charleston, SC) where they were stored at - 80 °C

- 151 until analysis.
- 152

153 2.2 Calibration and Internal Standards

Calibration and isotopically-labeled internal standards were acquired from various
 manufacturers (Table 1). Calibration (Cal) and internal standard (IS) stock solutions were gravimetrically
 prepared from neat standards, and mixture solutions were prepared from these stock solutions and
 diluted in methanol, with the concentration of each compound calculated gravimetrically (ng
 compound/g mixture) (Tables S1-4).

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160 2.3 Reverse Phase Solid-Phase Extraction

161 Steroid hormones were extracted via a method originally developed for human blood matrices 162 (validated with NIST Standard Reference Material 971, Hormones in Human Serum) described in Boggs et al. (Boggs et al., 2016). Briefly, 100 µL or 150 µL of IS mixture was added to clean borosilicate culture 163 tubes, and was dried under nitrogen gas (N₂) at 100 kPa to 130 kPa in a water bath at 40 $^{\circ}$ C to prevent 164 165 potential precipitation of blood proteins by the methanol associated with the IS. Approximately 2 mL of 166 serum or plasma (thawed at room temperature for approximately 30 min to 40 min), or 0.5 mL to 1.0 mL 167 of calibration standard was added. The masses of IS and sample matrix (serum, plasma, or calibration 168 standard) were tracked gravimetrically. IS-only blanks were also included, but received no additional 169 matrix. Sodium acetate buffer (4 mL, 0.01 mol/L, pH 5) was added to each tube, vortexed briefly, and 170 incubated at room temperature for 1 hour to facilitate liberation of hormones bound to circulating 171 proteins (Tai and Welch, 2004). During sample incubation, Supelclean LC-18, 6 mL capacity, 1 g bed 172 weight solid-phase extraction cartridges (Sigma-Aldrich; St. Louis, MO) were arranged on a vacuum SPE 173 manifold and conditioned sequentially with 5 mL of methanol, 5 mL of MilliQ water, and 1 mL of sodium 174 acetate buffer (0.01 mol/L, pH 5). After incubation, the sample/buffer mixture was loaded onto the 175 conditioned SPE cartridges. A vacuum (- 33.3 kPa) was applied as necessary to facilitate the flow of 176 sample through the column. Cartridges were washed with 12 mL of MilliQ water followed by 5 mL of 80:20 MilliQ water: acetonitrile (volume fraction). A vacuum was applied to ensure removal of all wash 177 178 solution. Samples were eluted into clean borosilicate culture tubes with 2.5 mL of methanol. Eluent was dried under N₂ at 100 kPa to 130 kPa in a water bath at 40 $^{\circ}$ C, reconstituted in 200 μ L of methanol, and 179 180 transferred to amber autosampler vials with 250 µL glass inserts.

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182 2.4 Dansyl Chloride Derivatization for Measurement of Estrogens

183 Dansyl chloride derivatization was performed using methods modified from Nelson et al. 184 (Nelson et al., 2004). A 50 µL aliquot of the final 200 µL SPE extract) in methanol was transferred to 185 borosilicate tubes containing 200 µL of acetone and 500 µL of sodium bicarbonate buffer (0.1 mol/L, pH 186 10.5) and was vortexed for 1 min. Dansyl chloride solution (500 μ L of a 1 mg/mL; Sigma-Aldrich; St. Louis, MO) in acetone was added, and vortexed for 1 min. This mixture was incubated for 3 min in a heat 187 188 block at 60 °C, and then dried under N₂ at 100 kPa to 130 kPa in a water bath at 40 °C. Dried samples 189 were reconstituted in 2 mL of methanol, filtered by UniPrep 0.2 µm PTFE syringeless filter (Whatman 190 Inc, Piscataway, NJ) to remove excess salts, and transferred into new borosilicate tubes. Filtered samples 191 were dried under N₂ at 100 kPa to 130 kPa in a water bath at 40 $^{\circ}$ C, reconstituted in 50 μ L of methanol, and transferred into a new amber autosampler vial with a 250 µL glass insert. 192

194 2.5 Instrumental Methods

195 Instrumental methods used here have been described previously by Boggs et al. (Boggs et al., 196 2016). Three different chromatographic separations were performed: 1) biphenyl separation of 197 underivatized steroids, 2) biphenyl separation of derivatized estrogens, and 3) C18 separation to 198 improve detection of corticosteroids (Fig. 2). Boggs et. al discussed the value provided by using these 199 three distinct separations in bottlenose dolphin blubber, reporting that the biphenyl separations 200 provided the best quantitation for the gonadal steroids whereas C18 separation produced the best 201 quantitation for the corticosteroids; and derivatization was necessary for estrogen quantitation (Boggs 202 et al., 2017). Instrumental and compound parameters were consistent across methods. We used an 203 Agilent (Santa Clara, CA) 1200 Series HPLC system with a binary pump and an autosampler linked to an 204 AB Sciex (Framingham, MA) API 4000 QTRAP hybrid triple guadrupole/linear ion trap mass spectrometer 205 with the parameters outlined by Boggs et al. (Boggs et al., 2016). Separation of androgens, 206 progestogens, and estrogens was conducted using a Restek (Bellefonte, PA) Ultra Biphenyl column (250 207 mm x 4.6 mm, 5 µm particle size) and a gradient of acetonitrile and methanol (both containing 0.1 % 208 formic acid) beginning at 80 % methanol which was decreased to 65 % methanol over 20 min, then 209 decreased to 0 % methanol over 1 min, held for 5 min, increased to 80 % methanol over 0.1 min, and 210 held for 9.9 min. Prior to the C18 separation for corticosteroid measurement, extracts were solvent 211 exchanged into 50:50 methanol:water (volume fraction) by transferring 50 μ L of extract into a clean borosilicate culture tube, drying under N₂ at 100 kPa to 130 kPa in a water bath at 40 °C, reconstituting 212 in 50:50 methanol: water solution (volume fraction), and transferring to a new autosampler vial with 213 glass insert. An Agilent Eclipse Plus C18 column (150 mm x 21 mm, 5.0 µm particle size), and a gradient 214 of methanol and milliQ water (both with 0.1 % acetic acid) was used to separate the corticosteroids 215 216 beginning with 46% methanol and held for 10 min,, increased to 82.5 % methanol over 10 min, then 217 increased to 83.3 % methanol over 5 min. The column was then washed with 100 % methanol for 5 min, 218 and re-equilibrated to 46:54 methanol:water (volume fraction) for 10 min. Scheduled multiple reaction 219 monitoring (sMRM) was used. Two transitions were monitored per compound in all separations - the 220 transition with the largest signal was used for quantification, while the other was used for qualitative 221 identity confirmation (Table 1; Table S5).

222

223 2.6 Quantification

224 Chromatographic peaks for target compounds and internal standard compounds were integrated using Sciex Analyst software (Version 1.5; Framingham, MA). Target compound peak areas 225 226 were divided by the peak area of the matched isotopically labeled internal standard (cortisol- d_4 was 227 used for 11-dexoycortisol, corticosterone, and 11-deoxy corticosterone due to a lack of suitable 228 commercially available isotopically labeled standards). These area ratios were interpolated on 229 regressions calculated from extracted calibration comprised of at least three calibration standards, 230 which bracketed the range of sample values (Tables S2-4). Standard curves were tailored to the range of 231 values measured in the samples in each experiment, with the maximal point in the curve being as close 232 to the top of the maximum measured value as possible while still exceeding. This was to ensure that 233 curve parameters were not influenced by high inflection points in great excess of the maximum value 234 measured. Linear or quadratic curves were used; the selection of one versus the other was based on 235 inspection of curve shape at the tailored range. Observed reporting limits (RL_{obs}) are defined as the 236 lowest calibration standard used in the calibration curve, while theoretical reporting limits (RL_{calc}) were 237 calculated as three times the standard deviation of the extracted blank measurements plus the mean of 238 the extracted blanks (Table 2), and the maximum of these two RL values was used as the censoring 239 threshold, as has been done previously (Alava et al., 2011; Boggs et al., 2016; Boggs et al., 2017; Hoguet 240 et al., 2013; Keller et al., 2012; Ragland et al., 2011; Stewart et al., 2011).

242 2.7 Accuracy and Matrix Interference Assessment: Spike Retrieval

We performed a spike recovery experiment to assess method accuracy and precision in male and female
plasma. The extraction method was slightly modified for this spike retrieval experiment. Following
addition of IS, 400 μL of a calibration standard mixture was gravimetrically amended to ten tubes (n = 5
each for male and female plasma) to constitute the steroid spike (Table 3). Then both the IS and spike
were dried, after which plasma was added (2 mL; n = 5 per sex) and extraction proceeded as described
above. For each of the ten individual samples, an additional 2 mL aliquot was extracted without the

additional spike to measure endogenous concentration for use in the calculation of percent recovery.
 Eleven hormones, progesterone, 17-hydroxyprogesterone, androstenedione, testosterone,

estradiol, estrone, 11-deoxycortisol, cortisol, cortisone, 11-deoxycorticosterone, and corticosterone,
 were included in this experiment. Method accuracy was determined by calculating percent recovery of
 each hormone per the following equation:

254

$$\% Recovery = \frac{Recovered Hormone Mass}{Expected Hormone Mass} \times 100 = \frac{(a \times b)}{(c \times b) + (d \times e)} \times 100$$

255

Where "a" is the measured hormone concentration (ng hormone/g sample), "b" is the sample mass (g), "c" is the endogenous hormone concentration measured in aliquot-matched unspiked samples (ng/g), "d" is the hormone concentration in the spike mixture (ng/g), and "e" is the spike mass (g). Relative standard deviations (RSDs) of percent recoveries were calculated by sex and matrix to assess method precision. A percent recovery between 70 % and 120 % with an RSD below 15 % was considered comparable to existing techniques for accuracy and precision.

262

263 2.8 Precision Assessment: Comparison of Endogenous Steroid Concentrations in Plasma and Serum Pools 264 Due to a lack of sufficient serum from any single sampling date, serum pools from multiple 265 sampling dates were thawed and re-pooled (by sex) to provide adequate volume of a homogenous pool 266 for analysis (serum: n = 5 per sex, plasma: n = 4 per sex, calibration standard solution: n = 7, and blanks: 267 n = 3). Upon addition of sodium acetate buffer to female serum samples, the serum coagulated 268 preventing it from mixing with the buffer. Thus, after addition of buffer, these samples were sonicated 269 for 1 to 2 min and vortexed for 30 to 60 sec to try to disperse the clots. This sonicating-vortexing cycle 270 was repeated one to two times until the clots appeared entirely dispersed or showed no improvement 271 in dispersal. Any remaining solid debris was not transferred to the SPE column due to potential for 272 clogging.

273

274 2.9 Matrix Assessment: Comparison of Individual-Matched Plasma and Serum

Individual-matched plasma and serum (n=20), calibrants (n=10), and blanks (n=4) were
extracted as described above. Serum coagulation occurred in six samples, and was remedied as before
by repeated sonication and vortexing. To control for potential batch effects, two control materials (adult
male plasma and pregnant female plasma) were produced by pooling individual samples. An aliquot of
each was run once per day during sample processing; mean and RSDs for these replicates are reported
in Table S6.

281

282 2.10 Statistical Analysis

Statistical analyses were performed with IBM SPSS Statistics 23 (IBM, North Castle, NY, USA). For all hypothesis tests, $\alpha = 0.05$. Pearson's (r) or Kendall's tau-b (τ_b) correlations were utilized for the matrix assessment experiment to examine the relationship between hormone measurements in matched serum and plasma. Kendall's tau-b was used for progesterone, 17-hydroxyprogesterone, testosterone, and androstenedione because these variables are left-censored (i.e., contain measurement values below

288 RL), and, rather than substituting arbitrary values for measurements below the RL, we censored values

below RL to zero and utilized this non-parametric test. Zero was utilized to ensure that the censored

- values were below the lowest true value, and that all values below RL would be tied in rank-based
- statistical tests. Cortisone and corticosterone measurements were not left censored, but neither raw nor log₁₀ transformed values met the assumptions of Pearson's correlation, thus these relationships
- were also analyzed by Kendall's tau-b. For corticosterone, two extreme outliers were removed to
- improve clarity of graphs, but their inclusion did not influence the results of the statistical tests. Cortisol
- was not censored and met the assumptions of Pearson's correlation once log₁₀ transformed, therefore

the relationship between plasma and serum cortisol was assessed by Pearson's correlation. The relationships among plasma hormones were also analyzed by Kendall's tau-b.

298 Mann-Whitney U tests was used to assess how plasma progesterone varied by pregnancy status 299 in females, and how testosterone, androstenedione, and 17-hydroxyprogestesrone varied by age 300 class/sex (subadult and adult males). The relationships between elapsed time (i.e., time in minutes 301 between onset of capture process [i.e., deployment of the net] to sample collection) and cortisol (log₁₀ 302 transformed), cortisone, and corticosterone measurements were assessed by Pearson's correlation and 303 Kendall's tau-b, respectively.

304

305 **3. Results**

306 3.1 Accuracy Assessment: Spike Retrieval

We performed a spike recovery experiment to examine method accuracy and precision in male and female plasma. The spike values were comparable in magnitude to the maximum values measured in plasma from free-ranging dolphins (Table 3). Eight of the eleven hormones met the criteria of acceptable accuracy (70 % to 120 % recovery) and precision (< 15 % RSD) in both sexes (Fig. 3). These were progesterone, 17-hydroxyprogesterone, androstenedione, testosterone, estradiol, cortisol, cortisone, and corticosterone. Extraction efficiencies for estrone, 11-deoxycortisol, and 11deoxycorticosterone did not meet the criteria for acceptance.

314

315 3.2 Precision Assessment: Comparison of Endogenous Steroid Concentrations in Plasma and Serum Pools
 316 We assessed method precision by measuring endogenous hormone concentrations in pooled

male and female plasma and serum in quadruplicate (plasma) or quintuplicate (serum), and calculating
 RSDs for each hormone within each matrix. As in the extraction efficiency experiment, an RSD less than
 15 % is considered acceptable precision. Endogenous progesterone concentrations were below the RL in
 all matrices. Androstenedione was only detectable and quantifiable in male matrices and exhibited RSD

321 less than 15 % in both serum and plasma (Fig. 4). 17-hydroxyprogesterone, testosterone, cortisone,

322 cortisol, and corticosterone were detectable in both matrices from both sexes. 17-hydroxyprogesterone,
 323 testosterone, cortisone, cortisol, and corticosterone were below the 15 % RSD threshold in female
 324 plasma, mala plasma, and mala service, but not in female accurate plasma, and mala service, but not in female accurate plasma, and mala service.

plasma, male plasma, and male serum, but not in female serum. RSDs for all quantifiable hormones
 were lower in plasma than in serum, regardless of sex.

326

327 3.3 Matrix Assessment: Comparison of Individual Matched Plasma and Serum

We assayed endogenous steroids in individual-matched serum and plasma from free-ranging bottlenose dolphins, and examined the relationships between hormone concentrations in each matrix. Hormone measurements in serum compared to plasma were significantly (p < 0.05) and positively correlated for all seven detectable hormones (17-hydroxyprogesterone [$\tau_b = 0.730$], progesterone [$\tau_b = 0.465$], testosterone [$\tau_b = 0.644$], androstenedione [$\tau_b = 0.674$], cortisol [r = 0.822], cortisone [$\tau_b = 0.758$]), and corticosterone [$\tau_b = 0.569$] (Fig. 5). Note that unlike in the previous experiments, which utilized pooled blood matrices, progesterone was quantifiable in several samples in this sample set. We examined several hormone measurements by various sampling and demographic variables: age class (males only), pregnancy status (females only), and sample collection time. Plasma testosterone was significantly (p < 0.05) elevated in adult males compared to subadult males, while androstenedione and 17-hydroxyprogesterone were elevated in adult males but not significantly so (p = 0.052 and 0.056, respectively) (Fig. 6A). Plasma progesterone was significantly (p < 0.05) elevated in pregnant females compared to non-pregnant females (Fig. 6B). Plasma cortisol and cortisone were significantly (p < 0.05) and positively (r = 0.476 and $\tau_p = 0.542$, respectively) correlated with elapsed time to sample collection,

- and positively (r = 0.476 and τ_b = 0.542, respectively) correlated with elapsed time to sample collection while plasma corticosterone was not (τ_b = 0.268, p = 0.127) (Fig. 6C). Elapsed time ranged between 13
- min and 47 min for T1 samples (median = 20 min) and 108 and 172 min for T6 samples (median = 116 min).
- Several relationships between hormones within plasma were also assessed. Testosterone was significantly (p < 0.05) and positively correlated with androstenedione (τ_b = 0.557) and 17hydroxyprogesterone (τ_b = 0.360), but androstenedione was not correlated with 17-
- hydroxyprogesterone (τ_b = 0.271, p = 0.121) (Fig. 7). Testosterone and 17-hydroxyprogesterone were
- both positively correlated with cortisone ($\tau_b = 0.441$ and 0.362, respectively) (Fig. 7). Cortisol and
- 350 cortisone were also positively correlated ($\tau_b = 0.705$) (Fig. 7). Corticosterone was significantly and
- positively correlated with plasma cortisol (τ_b = 0.556), cortisone (τ_b = 0.621), and 17-
- 352 hydroxyprogesterone ($\tau_b = 0.477$) (Fig. 7) 353

354 4. Discussion

355 The purpose of this study was to validate the use of SPE to LC-MS/MS methods to measure 356 circulating steroid hormone profiles in bottlenose dolphins. Through the spike recovery experiment, we 357 demonstrated that spiked quantities of eight of the eleven tested hormones (progesterone, 17-358 hydroxyprogesterone, testosterone, androstenedione, estradiol, cortisol, cortisone, and corticosterone) 359 can be accurately and precisely extracted and quantified by SPE coupled to LC-MS/MS in bottlenose 360 dolphin plasma. Thus, this method provides sufficient extraction efficiency and minimization of matrix 361 effects to allow accurate steroid measurement. Dansyl chloride derivatization was required for the 362 accurate measurement of estradiol, which exhibited poor percent recoveries in underivatized extracts. 363 We did not use matched isotopically labeled internal standards for estrone, 11-deoxycortisol, and 11-364 deoxycorticosterone, which may be the reason they fail to meet the criteria for acceptance. Rather, we 365 used alternative internal standard compounds to quantify these hormones by isotopic dilution; for estrone we used estradiol- ${}^{13}C_3$ and we tested both cortisol- d_4 and cortisone- ${}^{13}C_3$ for 11-deoxycortisol 366 and 11-deoxycorticosterone, but these did not produce acceptable results. The difference in retention 367 time in our chromatography between the target analytes (estrone = 18.7 min, 11-deoxycortisol = 20.5 368 min, 11-deoxycorticosterone = 22.3 min) and internal standards (estradiol- ${}^{13}C_3$ = 13.0 min, cortisone- ${}^{13}C_3$ 369 = 12.4 min, and cortisol- d_4 = 16.0 min) indicates that estradiol-¹³C₃ cortisone-¹³C₃ and cortisol- d_4 are poor 370 371 internal standards for these analytes. This experiment should be repeated with matched internal 372 standards, if available. Without such standards, this method should not be used to measure estrone, 11-373 deoxycortisol, or 11-deoxycorticosterone in dolphin plasma. However, utility for qualitative assessments 374 (i.e., absence/presence) is acceptable.

375 Having established that this method can accurately and precisely measure known quantities of several steroid hormones in plasma, we examined precision of endogenous hormone measurements in 376 377 both plasma and serum. Six hormones were detected; these were 17-hydroxyprogesterone, testosterone, androstenedione, cortisol, cortisone, and corticosterone. For all six hormones, plasma 378 379 exhibited lower RSDs than serum in both sexes. Measurements made in female serum were imprecise, 380 exceeding the 15% RSD threshold by 8.9 to 14 %, which may stem from the difficulties that arose during 381 extraction (i.e. the coagulation issues discussed in Methods section). Because we were unable to fully 382 disperse the clots and the remaining solid debris was not loaded onto the SPE columns, variable

383 quantities of hormones could have been retained in the solid debris and thus left unextracted. This loss 384 should be accounted for because the IS mixture was added before the buffer. Nonetheless, this could 385 have introduced additional variation to female serum measurements, contributing to lower precision. 386 To our knowledge, this is the first time 17-hydroxyprogesterone, androstenedione, cortisone, 387 and corticosterone have been measured in dolphin blood. Furthermore, because our chromatographic 388 method enables us to do so, we qualitatively screened for endogenous concentrations of 11 other 389 hormones, including pregnenolone, 17-hydroxypregnonolone, progesterone, 11-deoxycorticosterone, 390 11-deoxycortisol, dehydroepiandrosterone, dihydrotestosterone, estrone, estradiol, and estriol. 391 However, none of these eleven were detected in our pooled matrices. This is unsurprising for numerous 392 reasons. Progesterone and estrogens have been measured in bottlenose dolphin blood in other studies, 393 and circulating concentrations of these hormones depend on reproductive status (Bergfelt et al., 2011; 394 Cornell et al., 1987; Kirby and Ridgway, 1984; O'Brien and Robeck, 2012; Sawyer-Steffan et al., 1983; 395 Yoshioka et al., 1986). Progesterone should only be elevated in female individuals that are pregnant or 396 in the luteal phase of the estrous cycle, while estrogens would be elevated in female individuals in the 397 follicular phase of the estrous cycle, particularly immediately preceding ovulation (Bergfelt et al., 2011; 398 Cornell et al., 1987; Kirby and Ridgway, 1984; O'Brien and Robeck, 2012; Robeck et al., 2005; Sawyer-399 Steffan et al., 1983; Yoshioka et al., 1986). None of the females in this portion of the study were 400 pregnant. Bottlenose dolphins have been shown to exhibit somewhat seasonal patterns in reproductive 401 activity, with the reproductively active season typically falling between spring and early fall (Kirby and 402 Ridgway, 1984; Sawyer-Steffan et al., 1983; Urian et al., 1996; Yoshioka et al., 1986). Therefore, because 403 sampling occurred in mid-fall, it is unlikely that any of the females sampled to produce the blood matrix 404 pools were actively cycling. Furthermore, because these are pooled samples, even if an individual had 405 elevated progesterone/estrogens, these hormones may be diluted to below RL by pooling with other, 406 non-cycling animals. Thus, low progesterone and estrogen concentrations are to be expected in these 407 blood matrix pools. Through our first (method accuracy) experiment, we demonstrated that we are able 408 to accurately and precisely measure spiked quantities of progesterone, estradiol, and estrone. Thus, this 409 method could potentially be used for quantification of these hormones. Overall, this method provides 410 improvement over traditional methods (i.e. immunoassays) by allowing for the simultaneous 411 measurement of at least five (and potentially eight) steroid hormones at endogenous concentrations 412 (Bergfelt et al., 2011; Cornell et al., 1987; Fair et al., 2014; Houser et al., 2011; Kirby and Ridgway, 1984; 413 O'Brien and Robeck, 2012; Ortiz and Worthy, 2000; Sawyer-Steffan et al., 1983; Schroeder and Keller, 414 1989; St. Aubin et al., 1996; Steinman et al., 2016; Thomson and Geraci, 1986; Yoshioka et al., 1986). 415 This will allow investigators to more thoroughly assess steroid hormone homeostasis and characterize 416 relationships between hormones within and among steroid hormone classes in bottlenose dolphins. 417 Plasma is the preferred matrix for future applications of this method because plasma 418 measurements exhibit better precision than serum measurements. Furthermore, plasma is unaffected 419 by the coagulation issue observed in serum that we described above, making plasma much easier to 420 process. Additionally, upon thawing serum pools, we found that a significant portion (roughly 20% to 50 421 %) of the volume of serum was coagulated before the addition of buffer. This coagulation precluded 422 mixing of the aliquot and caused difficulty when transferring serum into the culture tube. Again, this 423 makes processing more difficult and potentially introduces additional variation. Therefore, due to 424 concerns over precision and feasibility, plasma is better suited to this method. Because measurements 425 in female serum all had RSDs greater than the 15% threshold, future applications of this method to 426 female blood should use plasma. Some investigators might be hesitant to use plasma due to the use of 427 anti-coagulant additives (sodium-heparin in this case) in the production of plasma, which introduces the 428 potential for plasma-specific interferences. However, if plasma-specific interferences were problematic, 429 they would have been evident in the method accuracy experiment. Plasma measurements were within

the acceptable percent recovery range, meaning if plasma-specific interferences were present, they didnot significantly impact method accuracy.

432 It is important to note that we did not compare hormone concentrations across matrices from 433 our pooled samples because each matrix was derived from a separate pool. In other words, the blood 434 used to produce the serum pools was collected on different dates than that for the plasma pools – 435 roughly two weeks apart – which also means the pools may have been comprised of samples collected 436 from different animals. Considering that hormone concentrations could vary temporally and by 437 individual, comparing hormone concentrations across matrices with these pools would be inappropriate. 438 Since several previous studies of bottlenose dolphin endocrinology have used serum while we, instead, 439 recommend using plasma, it is important that we characterize the relationship between hormone 440 measurements in both matrices.

441 Thus, we used individual-matched plasma and serum samples from free-ranging bottlenose 442 dolphins to assess and compare between serum and plasma hormone measurements. We found that 443 measurements were significantly and positively correlated across matrices. For cortisol and cortisone, 444 these relationships seemed the strongest at low-to-mid plasma concentrations, while high plasma 445 values were not well matched in serum. This could potentially be due to loss of hormone associated 446 with coagulation during processing and/or extraction. Nonetheless, we have demonstrated that serum 447 and plasma hormone values, as measured by SPE to LC-MS/MS, are in good agreement, providing 448 assurance that measurements made in plasma are sufficiently comparable to serum. Future 449 experiments with larger samples sizes and wider ranges of endogenous values could potentially yield 450 quantitative models that can be used to predict plasma hormone concentrations from serum 451 measurements (or vice versa).

452 This sample set included pregnant and non-pregnant females, subadult and adult males, and 453 samples collected at different time points for specific individuals at both endpoints of the sampling 454 process (T1 and T6). Progesterone secretion increases during pregnancy; thus we would anticipate 455 elevated progesterone in pregnant compared to non-pregnant females, and this is indeed the case 456 (Kirby and Ridgway, 1984; Sawyer-Steffan et al., 1983). Importantly, the inclusion of pregnant animals 457 allowed us to detect and quantify endogenous progesterone, whereas it was undetectable in pooled 458 samples. However, because we only measured progesterone once per sample, we cannot assess 459 precision of these measurements. Testosterone is a marker of sexual maturity in male bottlenose 460 dolphins (Harrison and Ridgway, 1971; Schroeder and Keller, 1989). Therefore, we anticipated that adult 461 males would exhibit elevated concentrations of testosterone and its precursors compared to subadult 462 males. Our results partially support this hypothesis – adult males have higher plasma testosterone 463 concentrations compared to subadult males, while and rostened ione and 17-hydroxyprogesterone are 464 not significantly elevated. However, the relationships between age class and androstenedione and 17-465 hydroxyprogesterone in males are nearly significant (p = 0.052 and 0.056, respectively), indicating 466 further investigation is warranted. While androstenedione and 17-hydroxyprogesterone are not 467 elevated in adult males, the positive correlations between testosterone and these precursors suggest 468 that production of androstenedione and 17-hydroxyprogesterone increases to support elevated 469 testosterone secretion. This conclusion is somewhat subverted by the fact that androstenedione and 17-470 hydroxyprogesterone are not significantly correlated. The strength of each of these conclusions is 471 limited by low sample size; future studies should target to sample more adult males to better assess 472 these relationships.

473 Capture and handling stimulates the hypothalamic-pituitary-adrenal axis, leading to elevated
474 secretion of cortisol in bottlenose dolphins (St. Aubin et al., 1996; Thomson and Geraci, 1986). Thus, we
475 anticipated that cortisol would be positively correlated with elapsed time to sample collection. We
476 included T1 and T6 samples to widen the range of elapsed time in our sample set. Furthermore,
477 cortisone and cortisol are metabolically linked (Fig. 1); therefore, we anticipated that cortisone would

478 exhibit a similar relationship with elapsed time. Corticosterone is also a glucocorticoid and may be a

479 minor product of the adrenal gland, therefore we examined the relationship between corticosterone

and elapsed time also. Cortisol and cortisone were positively correlated with elapsed time, and there

- 481 was a strong positive relationship between plasma cortisol and cortisone values, supporting our
- 482 hypotheses. Corticosterone was not significantly related to elapsed time but was positively correlated
- plasma cortisol and cortisone. Interestingly, cortisone was also positively correlated with testosterone
 and 17-hydroxyprogesterone, and corticosterone was positively correlated with 17-
- 485 hydroxyprogesterone, which could potentially arise from direct gonadal-adrenal axis crosstalk (i.e.,
- 486 testosterone regulating corticosteroid metabolism, or vice versa), which has been observed in other
- species (Rabin et al., 1988; Rivier and Rivest, 1991; Whirledge and Cidlowski, 2010). Alternatively, since
 testosterone was only elevated in adult males, this may be a spurious relationship resulting from age
- 489 and/or sex-specific changes in corticosteroid metabolism unrelated to testosterone and 17-
- 490 hydroxyprogesterone. As before, these conclusions are limited by small sample sizes and left censoring.
- 491 These relationships are currently being investigated in additional studies with larger sample sizes. We 492 performed these tests and report these limited conclusions simply to demonstrate several potential
- 493 applications of this method.
- 494 As in the previous experiments, endogenous estrogens were not detected in any matched 495 serum/plasma samples. In this experiment, our lowest calibration standard, with estradiol concentration 496 of 45.3 pg/g, had a distinct estradiol peak, indicating we should be able to detect concentrations at this 497 level. In bottlenose dolphins, baseline circulating estradiol concentrations have been measured at less 498 than 50 pg/mL (approximately 48.8 pg/g, calculated from density of human serum), while 499 concentrations during the preovulatory surge at the end of the follicular phase of the estrous cycle tend 500 to fall between 50 pg/mL and 100 pg/mL (approximately 97.7 pg/g) (Robeck et al., 2005; Sniegoski and 501 Moody, 2002; Yoshioka et al., 1986). Thus, we conclude that this method likely has the capacity to 502 detect and quantify estradiol surge values, but potentially cannot be used to measure baseline values as 503 currently defined within the literature. Granted, these baseline and surge values were established by 504 immunoassay in serum, meaning they could potentially be different in plasma and in measurement
- made by LC-MS/MS, given the limitations of immunoassays discussed above and considering potential
 differences in serum and plasma.
- 511 for dolphin blood (17-hydroxyprogesterone, androstenedione, cortisone, and corticosterone).
- 512 Immunoassay methods typically used for endocrine assessment in dolphins are limited to the
- 513 measurement of a single hormone per assay and are hindered by antibody cross-reactivity. Therefore,
- this SPE to LC-MS/MS method allows for more thorough assessment of steroid hormone homeostasis in
- 515 bottlenose dolphins with improved efficiency and specificity.
- 516

517 5. Compliance with Ethical Standards

- 518 Sample collections at the U.S. Navy Marine Mammal Program were performed under a protocol
- approved by the Institutional Animal Care and Use Committee (IACUC) at the Biosciences Division, Space
- and Naval Warfare Systems Center Pacific and the Navy Bureau of Medicine and Surgery, and followed
- all applicable U.S. Department of Defense guidelines for the care and use of laboratory animals. Sarasota
- 522 Bay sampling was performed under National Marine Fisheries Service (NFMS) Scientific Research Permit
- 523 No. 15543 and annually renewed IACUC approvals through Mote Marine Laboratory. Barataria Bay and
- 524 Brunswick sampling was conducted under NMFS permit no. 932-1905/MA-009526 with protocols
- reviewed and approved by National Oceanic and Atmospheric Administration IACUC.

527 6. Conflict of Interest

528 The authors declare that they have no conflict of interest in the publication of this manuscript.

529 Commercial equipment, instruments, or materials are identified to specify adequately the experimental

530 procedure. Such identification does not imply recommendation or endorsement by the National

531 Institute of Standards and Technology nor the National Oceanographic and Atmospheric Administration,

- 532 nor does it imply that the materials or equipment identified are necessarily the best available for the 533 purpose.
- 534

535 7. Acknowledgement and Funding Information

536 This research was made possible through a grant from the Office of Naval Research Marine Mammals

and Biology Program (award numbers N0001412IP20053, N0001411IP20085, and N000141110542), a

grant from the The Gulf of Mexico Research Initiative, and by support from the National Oceanic and

- 539 Atmospheric Administration Marine Mammal Health and Stranding Response Program. Additional
- 540 funding was provided by Dolphin Quest, Inc for the collection of samples from Sarasota Bay dolphins.
- 541 Samples collected from dolphins of the U.S. Navy Marine Mammal Program were collected as part of a
- research effort funded by the Office of Naval Research (grant #N00014-11-1-0436). Data are publicly
- available through the Gulf of Mexico Research Initiative Information & Data Cooperative (GRIIDC)

544 at <u>https://data.gulfresearchinitiative</u>.org. We would like to acknowledge staff at NIST Charleston and the

545 Environmental Specimen Bank at Hollings Marine Laboratory for archival of the specimens analyzed in

this manuscript. We would like to thank Kevin Huncik (NIST) for assistance with instrument

547 maintenance, support, and troubleshooting.

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Common Name	Manufacturer	Stated Purity	Precursor lon (m/z)	Quantitative Fragment	Qualitative Fragment
		,	((m/z)	(m/z)
Progesterone	Sigma-Aldrich	≥ 99 %	314.7	109.2	97.2
17-hydroxyprogesterone	Sigma-Aldrich	≥ 95 %	331.0	97.2	109.2
Androstenedione	Steraloids	≥ 98 %	287.1	97.2	109.2
Testosterone	Sigma-Aldrich	≥ 98 %	288.9	109.2	97.1
Estrone	Sigma-Aldrich	≥ 99 %	504.5°	171.3 ^ª	440.1 ^a
Estradiol	Sigma-Aldrich	≥ 98 %	506.2 ^ª	170.9 ^ª	442.3 ^a
Cortisol	Sigma-Aldrich	≥ 98 %	363.2	121.3	267.3
Cortisone	Sigma-Aldrich	≥ 98 %	361.1	163.3	121.3
11-deoxycortisol	Steraloids	99.1 %	347.3	109.2	97.0
Corticosterone	Sigma-Aldrich	≥ 98.5 %	347.3	135.0	121.0
11-deoxycorticosterone	Steraloids	≥ 98 %	331.1	97.1	109.2
Progesterone- ¹³ C ₃	Cambridge Isotopes	98 %	318.3	100.0	112.1
17-hydroxyprogesterone- ¹³ C ₃	Cerilliant	99.99 %	334.1	112.1	112.2
Androstenedione- ¹³ C ₃	Cerilliant	99.99 %	290.2	100.3	112.2
Testosterone- ¹³ C ₃	Cerilliant	99.99 %	292.1	112.0	100.0
Estradiol- ¹³ C ₃	Cerilliant	99.99 %	509.4 ^a	170.9 ^ª	NA ^b
Cortisol-d ₄	Cerilliant	99.99 %	367.3	121.2	271.5
Cortisone- ¹³ C ₃	Sigma-Aldrich	98 %	364.2	166.5	124.1

Table 1. Calibration and internal standard compound manufacturer, purity information, and monitored transitions

^a These are the dansyl chloride-derivatized m/z values ^b No suitable secondary fragment was identified for estradiol-¹³C₃

	Accuracy Assessment		Precision Assessment		Matrix Assessment	
	RL_{obs}	RL_{calc}	RL_{obs}	RL_{calc}	RL _{obs}	RL_{calc}
Progesterone	4.79	3.06	NQ	NQ	0.116	0.270
17-Hydroxyprogesterone	0.115	0.0953	0.114	0.105	0.114	0.107
11-Deoxycorticosterone	0.104	-	NQ	NQ	NQ	NQ
Corticosterone	0.769	-	0.806	NA	0.447	1.66
11-Deoxycortisol	0.0781	0.0737	NQ	NQ	NQ	NQ
Cortisol	0.864	1.97	0.0903	NA	0.853	1.87
Cortisone	0.203	-	0.0836	NA	0.200	0.845
Testosterone	0.261	0.277	0.259	1.64	0.259	-
Androstenedione	0. 229	0.369	0.195	-	0.00971	0.0164
Estradiol	0.0343	0.378	NQ	NQ	NQ	NQ
Estrone	1.17	-	NQ	NQ	NQ	NQ

Table 2. Reporting limits (RL) (ng) by experiment. Observed RL (RL_{obs}) is determined by the lowest calibration standard used in the calculation of the standard curve. Calculated RL (RL_{calc}) calculated as three times the standard deviation of blank measurements plus mean of blank measurements

- = Negative value

NQ = analyte not detected in experiment

NA = could not be calculated

Table 3. Mean spike mass by hormone compared to the maximum value of each measured in plasma of free-ranging animals

Hormone	Mean Spike	Maximum Value in		
normone	Mass (ng)	Plasma (ng)		
Progesterone	9.014	20.60		
17-Hydroxyprogesterone	10.47	12.67		
Androstenedione	1.069	3.907		
Testosterone	11.41	57.25		
Estrone	2.012	NA		
Estradiol	3.562	NA		
Cortisol	16.75	30.18		
Cortisone	3.926	6.721		
11-Deoxycortisol	3.393	NA		
Corticosterone	8.776	16.24		
11-Deoxycorticosterone	2.300	NA		

Figure Captions

Fig. 1 Steroidogenesis pathway inclusive of the 16 hormones we screened using the described LC-MS/MS assays. Hormones are grouped by class per the key. Arrows indicate metabolic relationships.

Fig. 2 Example chromatograms for each of the three separations utilized in this study. Intensity (y-axes) units are counts per second (cps). A) Biphenyl separation of progestogens and androgens without derivatization, B) progesterone chromatogram from biphenyl separation (not visible in A), C) biphenyl separation for dansyl chloride-derivatized estrogens, D) C18 separation of corticosteroids.

Fig. 3 Average percent recovery of each steroid hormone by sample matrix. Error bars indicate standard deviation, solid red lines indicate the upper and lower threshold values for acceptable recovery (between 70 % and 120 %).

Fig. 4 Percent RSD of endogenous steroid hormone measurements made in quadruplicate or quintuplicate. Dashed red line indicates the threshold for acceptable precision (< 15 % RSD).

Fig. 5 Relationships between steroid hormone measurements in individual-matched plasma and serum.

Fig. 6 Plasma steroid hormones by demographic and sampling variables. A) Plasma testosterone, androstenedione, and 17-hydroxyprogesterone concentrations by age class in males. B) Progesterone by pregnancy status in females. For A and B, box lower bound indicates the first quartile, the upper bound indicates the third quartile, and the horizontal line indicates the median. Whiskers are 1.5 times the interquartile range plus or minus the upper or lower bound, respectively. Values external to this range are included as individual points. C) Relationship between elapsed time to sample collection and plasma cortisol, cortisone, and corticosterone concentrations.

Fig. 7 Relationships among plasma hormones.







Plasma Corticosterone (ng/g)







