

1 **Title:** Seismic survey noise disrupted fish use of a temperate reef

2

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2

3 **Abstract**

4 Marine seismic surveying discerns subsurface seafloor geology, indicative of, for
5 example, petroleum deposits, by emitting high-intensity, low-frequency impulsive sounds.
6 Impacts on fish are uncertain. Opportunistic monitoring of acoustic signatures from a seismic
7 survey on the inner continental shelf of North Carolina, USA, revealed noise exceeding 170 dB
8 re 1 μ Pa peak on two temperate reefs federally designated as Essential Fish Habitat 0.7 and 6.5
9 km from the survey ship path. Videos recorded fish abundance and behavior on a nearby third
10 reef 7.9 km from the seismic track. During seismic surveying, reef-fish abundance declined by
11 78% during evening hours when fish habitat use was highest on the previous three days without
12 seismic noise. Despite absence of videos documenting fish returns after seismic surveying, the
13 significant reduction in fish occupation of the reef represents disruption to daily pattern. This
14 numerical response confirms that conservation concerns associated with seismic surveying are
15 realistic.

16

17 **Keywords:** reef fish, airgun, oil and gas exploration, fish abundance, marine conservation

18

19 **1. Introduction**

20 Marine seismic surveys emit high-intensity (up to 260 dB re 1 μ Pa rms @ 1m), low-
21 frequency (5-300 Hz peak spectral levels) sounds from airgun arrays downward into the water
22 column [1]. The resultant sound waves penetrate the seafloor to provide imagery of the

23 underlying geology. These surveys can detect reservoirs of oil and natural gas, determine site-
24 specific suitability for installation of offshore renewable energy infrastructure, evaluate sources
25 of minerals for commercial extraction or sand for use in beach nourishment, and/or provide
26 information on the continental substructure for geological research. Noise from seismic
27 surveying can alter marine mammal vocalizations and foraging rates, and can lead to marine
28 mammal displacement [2–4]; however, there remain unanswered questions regarding how wild
29 fish respond to seismic survey noise. Understanding whether fish are affected through alterations
30 in behaviors associated with feeding, growth and survival has conservation and management
31 implications.

32 Acute impacts to individual fish from seismic noise, including damage to sensory ear hair
33 cells, can occur with close-range exposure to low-frequency, high-intensity sounds in laboratory
34 settings [5,6]. Impulsive sounds similar to those from seismic surveys, such as noise made by
35 pile driving, can cause mild to lethal injuries ranging from swim bladder rupture to hematoma
36 and hemorrhaging [7–9]. Behavioral responses of fish to impulsive noise are more difficult to
37 quantify but may include changes in abundance in particular habitats [10], changes in swimming
38 patterns or feeding [11,12], as well as physiological stress even leading to mortality [7]. In
39 contrast, in two studies that were specific to noise associated with seismic surveying, there were
40 no marked changes in fish physiology or behavior [6,13]. Reductions in fish catches can persist
41 for up to five days after seismic activity [10,14,15]. Aside from those mentioned previously,
42 most studies testing fish response to seismic noise occurred in laboratory settings; underwater
43 observations of fish in their natural environment during seismic surveys are rare [7]. Wardle et
44 al. (2001) experimentally exposed fish *in situ* to noise from three synchronized airguns and
45 observed startle responses in some fish but did not detect other changes in behavior or

46 abundance. Although fish in their natural environment may be expected to respond to seismic
47 surveys based on laboratory experiments and reduction in fisheries catch [17], no previous study
48 has documented such an *in situ* behavioral response.

49 Opportunistic monitoring of a seismic survey offshore of North Carolina (NC) during
50 September 2014 determined whether reef-associated fishes in their natural environment respond
51 to marine seismic surveying. The academic objective of the seismic survey was to study the
52 formation and evolution of the Eastern North American Margin [18], which involved use of an
53 airgun array of similar volume to those used during oil and gas exploration. The majority of the
54 survey occurred in deep (> 1000 m) waters off the continental shelf, although it continued across
55 the shelf and into shallow (< 35 m) inner continental shelf waters of northeastern Onslow Bay,
56 NC (Fig. 1).

57 [Fig. 1 here]

58 This area supports hardbottom reefs that sustain an abundance of fish representing a diverse
59 community, including tropical, subtropical, and warm-temperate species [19–21]. Fish use the
60 temperate reefs for spawning and foraging, as well as for nurseries and refugia, qualifying them
61 as Essential Fish Habitat under the Magnuson-Stevens Fishery Conservation and Management
62 Act (2007).

63

64 **2. Materials and Methods**

65 As an empirical test of whether noise from seismic surveying can elicit a response from
66 reef-associated fishes, such as a change in abundance, passive underwater monitoring stations
67 were opportunistically established on three temperate reefs during September 2014 (Fig. 1). The

68 reefs, ranging from 25 to 33 m deep, were located 0.7, 6.5, and 7.9 km from the path of the
69 vessel continuously conducting the seismic survey. The reefs were selected based on their
70 proximity to the seismic survey track and because they have been the focus of various marine
71 fisheries and ecological studies for several decades and have been documented to have notable
72 abundances of fish in the federally-managed snapper-grouper complex and other commercially
73 and recreationally important fishery species [20,21].

74 The two reefs located closest to the survey track, a natural rocky reef and an artificial
75 reef, were equipped with hydrophones (SoundTrap 202 recorders, Ocean Instruments, New
76 Zealand) that documented the acoustic signatures of the surveying noise (Audio S1-S2).
77 Hydrophones sampled continuously at 16-bit, 96 kHz. A video camera recorded fish abundance
78 and behavior on the third reef, a naturally occurring rocky reef, farthest from the survey path
79 (Video S1-S2). The video camera (GoPro, USA) was outfitted with an intervalometer (cam-do,
80 USA) to record 10-sec videos every 20 min. These monitoring instruments were mounted on
81 conical metal frames (0.5 m high, 0.3 m base diameter), anchored with 60-80 kg of lead, and
82 deployed on each reef on September 17, 2014 so that the instruments could record before and
83 during seismic surveying. Video cameras deployed at the two reefs outfitted with hydrophones
84 malfunctioned. Logistical constraints prevented collection of data following seismic surveying.

85 Acoustic data from the two hydrophones were processed and then five shots were
86 aggregated for each of nine selected time points. Shots were processed in groups of five to obtain
87 a ‘local average’ to smooth fine scale variation that occurs in the propagation conditions. The
88 time points were chosen relative to the closest point of approach (CPA) on both the landward and
89 seaward components of the survey path. The five shots closest to the CPA that were not clipped
90 were processed, and other locations were chosen to compare the received signals from the reefs,

91 e.g., the more distant sampling locations gave similar propagation paths to the reefs, while the
92 closer locations were subject to very different parts of the non-uniform source beam pattern [22].
93 On acoustic recordings from the reef located 0.7 km from the path of the seismic surveying
94 vessel, the noise of the seismic shots overloaded the recorders when the ship was at its CPA.
95 Using the known source sound level of the survey vessel's airgun array [22], the anticipated
96 broadband level of received sound at the reef was calculated based on two models, spherical
97 spreading and cylindrical spreading [23]. All acoustic values reported are in dB re 1μ Pa peak-
98 peak.

99 Each 10-sec video recording from daylight hours was used to identify fish to the lowest
100 taxonomic level possible, count the maximum number of fish in the frame by species, and
101 document their behaviors as feeding, resting, schooling, or swimming. Noises from seismic
102 surveying were audible as discrete airgun shots in video recordings, allowing us to associate any
103 observed behavioral responses with timing of individual shots. To prevent observer bias, fish
104 were first counted with video sound turned off; then sound was turned back on to detect whether
105 shots were present.

106 Fish data obtained from video recordings were analyzed in R [24]. The time series of
107 hourly untransformed fish abundance was plotted for each of three days before and the following
108 day during seismic surveying to visualize daily abundance patterns. The smoothed conditional
109 mean of the hourly fish abundance for the combined three days before seismic activity and the
110 accompanying standard error, as well as the smoothed conditional mean of hourly fish
111 abundance on the day with seismic activity, was also calculated. The resulting two curves and
112 the standard error were compared to determine whether the temporal pattern of fish abundance
113 differed from before to during seismic surveying.

114 Two different statistical tests determined if the pattern in daily fish abundance differed
115 before versus during seismic surveying. First, an analysis of means for variance (ANOMV) with
116 a Levene transformation [25,26] tested the equality of variance in fish counts on three days pre-
117 seismic surveying and one day during seismic surveying. ANOMV determined whether daily
118 means for variance in fish counts were significantly different than the grand mean for variance.
119 Second, ANOVA followed by post-hoc pairwise t-test on box-cox transformed fish counts [27]
120 tested for daily differences in fish abundance during the four-hour evening period (1600-2000) of
121 typically greatest fish occupation. The percent change in fish occupation of the reef based on the
122 average evening fish abundance on three days without seismic surveying and the evening fish
123 abundance on the following day with seismic noise was also computed.

124

125 **3. Results and Discussion**

126 Noise levels on the two reefs designated as Essential Fish Habitat and located closest to
127 the seismic survey track, 0.7 and 6.5 km away, exceeded 170 dB re 1 μ Pa (Fig. 2).

128 [Fig. 2 here]

129 The peak levels that actually occurred at the sites are unknown because the noise overloaded the
130 recorders. Using a sound source level of 258.6 dB re 1 μ Pa [22], the received sound was
131 estimated using two different models, spherical spreading and cylindrical spreading [23]. Based
132 on a spherical spreading model, the corresponding received sound level on the closest reef would
133 have been 202 dB re 1 μ Pa, whereas based on the cylindrical spreading model, the received level
134 would have been 230 dB re 1 μ Pa. Realized peak sound levels likely fall between those predicted
135 by spherical and cylindrical spreading models [28]. The high intensity of this low-frequency
136 sound is consistent with previous measurements [29,30]. The intensity of the noise is of

137 significant concern because laboratory experiments indicate that fish experience recoverable
138 injuries and/or potentially mortal injuries at noise levels > 207 dB re 1 μ Pa peak [9].

139 Ten-second videos were recorded every 20 min for three days before and through the day
140 with seismic surveying on a 33-m-deep reef located 7.9 km from the closest approach of the
141 seismic survey vessel. Although a hydrophone did not record sound on this reef, based on
142 spherical spreading and a source sound of 258.6 dB re 1 μ Pa the estimated noise experienced on
143 this reef was 181 dB re 1 μ Pa when the survey vessel was closest. Using a second model based
144 on cylindrical spreading, the received sound level was 220 dB re 1 μ Pa on the reef. Realized
145 peak sound levels probably lie between the predictions of these two spreading models [28]. The
146 resulting 140 videos from daylight hours were used to identify and count maximum number of
147 fish in frame by species and document their behaviors. During the four days of monitoring this
148 temperate reef, 32 species belonging to 17 families (Table S1), including many federally
149 managed as part of the snapper-grouper complex, were observed.

150 On the reef monitored by video camera, fish occupation during three days prior to the
151 seismic survey exhibited a daily pattern of increasing abundance during the evening, as
152 compared to morning and afternoon (Fig. 3).

153 [Fig. 3 here]

154 On the following day with airgun noise, this pattern in fish use did not emerge from observations
155 across periods of the day. Fish abundance remained low for the entire day, with the exception of
156 one outlying observation during evening (Fig. S1). The outliers were predominately comprised
157 of *Haemulon aurolineatum* (tomtate), a grunt that consumes benthic invertebrates and
158 zooplankton, and *Decapterus* spp. (scad), a forage fish that eats zooplankton. Reductions in fish
159 abundances during seismic surveying proved statistically significant using two different

160 statistical tests. First, the mean variance in fish counts on each of the three days without seismic
161 noise was greater than the corresponding mean variance on the day with seismic surveying (via
162 analysis of means for variance (ANOMV) with Levene transformation, $p = 0.047$; Fig. S2). The
163 statistically significant differences in fish abundance between the single day with and the three
164 days without seismic noise were driven by data from a four-hour evening period (1600-2000
165 local time). Whether fish occupation of the reef differed during the evening across all days was
166 further tested. The total number of fish occupying the reef during evening declined by 78% when
167 exposed to seismic noise (ANOVA followed by post-hoc pairwise t-test with Box-Cox
168 transformation, $F_{3,36} = 4.74$, $p = 0.007$).

169 In addition to counting fish, video recordings were examined to assess whether fish
170 exhibited behaviors that could help understand the change in reef use. Noises from seismic
171 surveying were audible as discrete airgun shots in video recordings, allowing association of any
172 observed behavioral responses with timing of individual shots. Eight shots were audible on
173 video. The other shots occurred at 30 to 90-s intervals and did not coincide with the recording
174 schedule. Only one observed fish, a *H. aurolineatum*, exhibited an apparent behavioral response
175 to an airgun shot by swimming away from a ledge. From the lack of abundant fish observed
176 during evening when repeatedly exposed to seismic noise, it is presumed that at least some reef-
177 associated fishes left the reef.

178

179 **4. Conclusion**

180 Although working with limited data, this study provides evidence that during exposure to
181 seismic noise, the prevailing pattern of heavy fish use of reefs during the evening was
182 suppressed. The finding is notable because it goes well beyond detection of a startle response

183 from individual fish [16], instead suggesting a multi-species response to airgun noise and/or
184 particle acceleration, validating expectations [17] that fish respond to seismic surveying in their
185 natural environments. The Magnuson-Stevens Fishery Conservation and Management Act
186 (2007) mandates protection of reefs, including those studied here, as Essential Fish Habitat.
187 Reducing opportunities for fish to aggregate causes concern as this could reduce options for
188 foraging, mating, or other important life history functions. Though there are no observations to
189 indicate the duration of the observed effect, these research results augment and confirm issues
190 raised by marine mammal experts [31] and suggest that concerns associated with marine seismic
191 surveys appear to be realistic and well-founded.

192

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203 conceptualized the project, discussed and interpreted the results, and edited the manuscript.
204 A.B.P., J.C.T, D.P.N. designed the study. A.B.P. and J.C.T conducted fieldwork, processed fish

205 videos, and analyzed fish data. D.P.N., J.D., E.C. processed and analyzed hydrophone data.
206 A.B.P. and C.H.P. wrote the manuscript.

207

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5

6 **Figure Captions:**

7

8 **Fig. 1.** Track of seismic survey vessel (black line) relative to three monitoring reefs on the inner
9 continental shelf of NC: two outfitted with hydrophones (blue triangles) and one with video
10 camera (orange square).

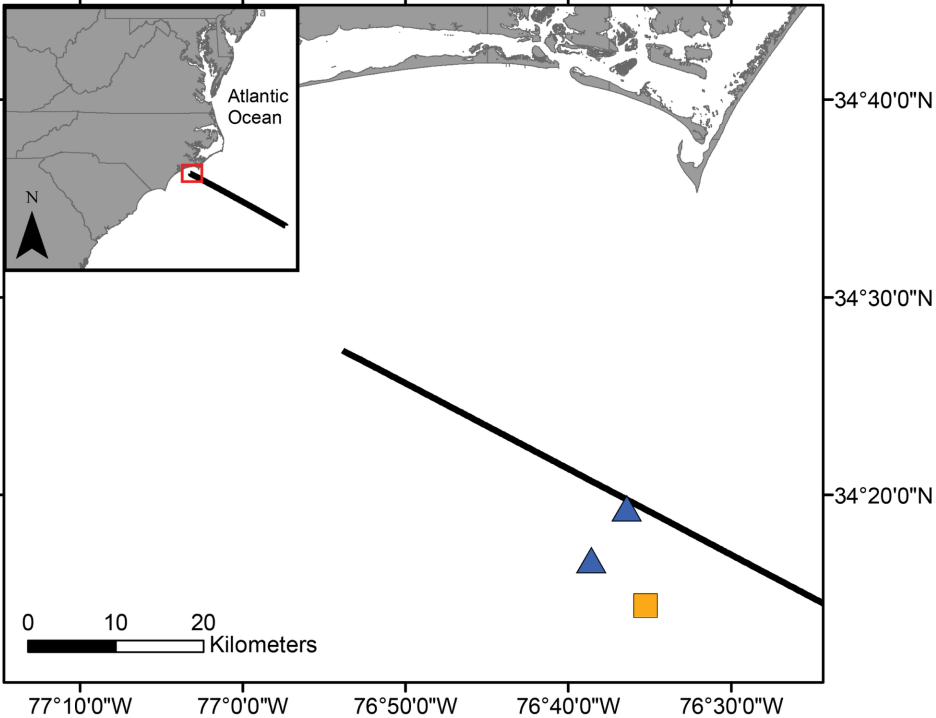
11

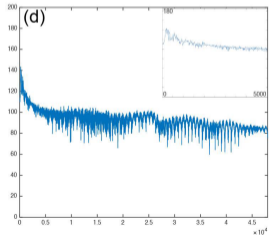
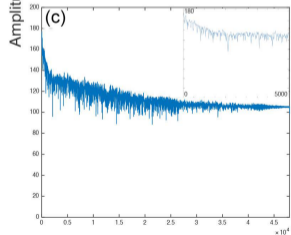
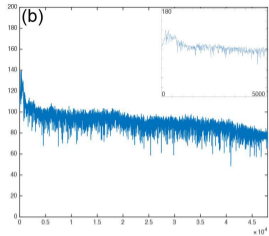
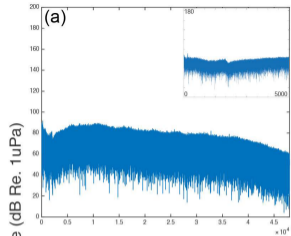
12 **Fig. 2.** Acoustic signatures of A) ambient noise and B-D) noise from seismic airgun shots on reef
13 0.7 km from closest approach of seismic surveying vessel: B) 22.2 km from reef before closest
14 approach; C) 0.7 km from reef showing the seismic shots just prior to shots that overloaded our
15 instruments; D) 19.6 km from reef following closest approach. Insets depict 10 Hz – 5 kHz range
16 of low frequency.

17

18 **Fig. 3.** Hourly fish abundance on the reef 7.9 km from the closest approach of the seismic survey
19 ship during three days before (solid black line) and on one day during the height of seismic
20 activity near the reef (red line). The solid black line is the smoothed conditional mean and the
21 black dotted lines are standard error of the hourly fish abundance for three days before seismic

22 surveying. The red line is the smoothed conditional mean of hourly fish abundance on the day
23 with seismic activity.





Frequency (Hz)

