

1 **Prey switching and consumption by seabirds in the central California Current**
2 **upwelling ecosystem: implications for forage fish management**

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25 ABSTRACT

26 Effective ecosystem-based fishery management involves assessment of foraging
27 interactions among consumers, including upper level predators such as marine birds and
28 humans. Of particular value is information on predator energetic and consumption demands
29 and how they vary in response to the often volatile dynamics of forage populations, as well as
30 the factors that affect forage availability and potential prey switching. We examined the prey
31 requirements of common murre (*Uria aalge*), Brandt's cormorant (*Phalacrocorax penicillatus*),
32 and rhinoceros auklet (*Cerorhinca monocerata*) in the central California Current over a 30-year
33 period, 1986-2015. We developed a bioenergetics model that incorporates species-specific
34 values for daily basic energy needs, diet composition, energy content of prey items and
35 assimilation efficiency, and then projected results relative to stock size and levels of commercial
36 take of several species. The most common forage species consumed were juvenile rockfish
37 (*Sebastes* spp.), northern anchovy (*Engraulis mordax*), smelt (Osmeridae), and market squid
38 (*Doryteuthis opalescens*). Total biomass of forage species consumed during the breeding season
39 varied annually from 8,500 to >60,000 metric ton (t). Predator population size and diet
40 composition had the greatest influence on overall prey consumption. The most numerous
41 forage species consumed in a given year was related to abundance estimates of forage species
42 derived from an independent ecosystem assessment survey within the central place foraging
43 range of breeding avian predators. The energy density of dominant prey consumed annually
44 affected predator energy expenditure during chick rearing and whether prey switching was
45 required. Increased forage species take by predators, as revealed by seabirds, may be adding
46 consumptive pressure to key forage fish populations, regardless of the potential additional
47 impacts of commercial fisheries. Improving estimates of consumption by predators and
48 fisheries will promote more effective management from an ecosystem perspective.

49

50 **Key words:** bioenergetics, Brandt's cormorant, California Current, common murre, ecosystem-
51 based fishery management, forage fish, Gulf of the Farallones, northern anchovy, rhinoceros
52 auklet, rockfish

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54 INTRODUCTION

55 Marine ecosystems are increasingly under pressure from climate change and direct
56 human impacts such as fisheries and pollution (Halpern et al., 2008; Paleczny et al., 2015). Both
57 climate change and human impacts alter the structure and dynamics of a given food web, with
58 often dramatic consequences for many marine species, including commercially important fish
59 stocks and dependent species (Frank et al., 2005; Mullon et al., 2005; Anderson et al., 2008;
60 Baum and Worm, 2009; Estes et al., 2011). To address these concerns, fisheries managers are
61 increasingly shifting beyond the estimation of sustainable yields of target species from the
62 single population perspective (Pikitch et al., 2004; Hilborn and Ovando, 2014) toward an
63 ecosystem-based perspective (e.g., Dayton, 1989, Hilborn, 2011). Effective ecosystem-based
64 fisheries management (EBFM) should be centered on the assessment of interactions between
65 fisheries and other top consumers, considering the needs of both. EBFM has arisen to ensure
66 harvest of commercially valuable species, including forage species, in a way that does not
67 seriously affect food web structure and dependent predators, such as fishes, marine mammals
68 and seabirds (Holt and Talbot, 1978; Pikitch et al., 2004; Kaplan et al., 2013). EBFM is
69 particularly important in highly variable ecosystems such as upwelling-driven eastern boundary
70 currents, given the added elements of uncertainty (e.g., Glantz and Thompson, 1981; Checkley
71 and Barth, 2009). However, amassing the necessary information, including dynamic ecosystem
72 models, to implement EBFM requires heightened effort and time relative to single species
73 approaches, and its employment has therefore been gradual (e.g., Collie et al., 2016, PFMC
74 2013, 2015).

75 Upper trophic level predators, which include seabirds, consume a significant portion of
76 the biomass of the food web functional group known as micronekton (i.e., free-swimming
77 organisms <200mm in length) (Brooke, 2004; Hunt et al., 2005; Roth et al., 2008). Some seabird
78 populations have been found to consume 5-30% of micronekton biomass in coastal marine
79 ecosystems (reviewed by Montevecchi, 1993) and seabird foraging can have measureable top-
80 down impacts on food web dynamics (e.g., Toge et al., 2011; Sergio et al., 2014; Springer and
81 van Vliet, 2014). As a result, seabirds and other mesopredators may compete directly with
82 commercial fisheries for many of the same species (e.g., Bertrand et al., 2012). Indeed, owing to

83 significant overlap in resource demands (Furness, 1990; Dayton et al., 2002; Jahncke et al.,
84 2004), seabirds and commercial fisheries often consume similar quantities of prey (Brown and
85 Nettleship, 1984; Brooke, 2004), often with broad overlap in the size and age classes taken
86 (Tasker et al., 2000; Pichegru et al., 2012; Velarde et al., 2013; Shirley et al., 2017; Hilborn et al.
87 2017).

88 A well-known and important life history aspect of seabirds in dynamic ecosystems is
89 their ability to switch among different forage species, upon the availability of the one currently
90 favored becoming reduced or spatially altered compared to others (Ainley et al., 1990;
91 Crawford et al., 1995; Velarde et al., 2013). During the breeding season, seabirds are central
92 place foragers, commuting from colony to ocean, with foraging trip length and duration being
93 dictated by reproductive needs (i.e., nest guarding, chick provisioning) and spatial aspects of
94 prey availability. This foraging behavior constrains access to prey, highlighting the capacity to
95 switch prey or foraging area and select the most energy dense forage species among those that
96 are both abundant and within reach (Pichegru et al., 2012; Weller et al., 2014; Wells et al. 2017;
97 Ainley et al. 2018). Seabird prey switching behavior may be impacted by either natural factors
98 (e.g., forage fish availability as affected by oceanographic processes) or through competition
99 with other mesopredators, including fisheries (Ainley et al., 2006; Pichegru et al., 2007;
100 Gremillet et al., 2008; Ainley and Hyrenbach, 2010; Springer and van Vliet, 2014; Ainley et al.,
101 2015b). In the California Current Ecosystem (hereafter California Current), commercial fisheries
102 target important forage species such as market squid (*Doryteuthis opalescens*), northern
103 anchovy (*Engraulis mordax*), and Pacific sardine (*Sardinops sagax*), as well as adult populations
104 of rockfish (*Sebastes* spp.) and salmonids whose early life history stages are key forage for
105 mesopredators. Consequently, these fisheries likely compete with seabirds at some level, as
106 they effectively alter forage availability (similar to the Benguela and Peru current upwelling
107 systems; Bertrand et al., 2012; Pichegru et al., 2012; Crawford et al., 2017; Shirley et al., 2017).
108 Although many seabird species can compensate for some level of reduction in forage
109 abundance by altering time budgets, such as through a reduction in “loafing time” (Piatt et al.,
110 2007), central place foragers may experience reduced reproductive output (due to the inability
111 to sufficiently provision or guard chicks) and reduced juvenile or adult survival (Ainley and

112 Boekelheide, 1990; Crawford et al., 2006; Field et al., 2010; Weller et al., 2014). Even when prey
113 densities are not regionally reduced to critically low levels, central place foragers typically
114 increase effort and energy expenditure searching for high quality prey aggregations if they are
115 more patchily distributed or located farther from breeding areas than “normal” (Santora et al.,
116 2011; Bertrand et al., 2012; Ford et al., 2004). Under unusual circumstances, some species may
117 also change breeding location (Crawford et al., 2006; Ainley et al. 2018). Given the sensitivity of
118 seabirds to forage availability, their demography, diet, and distribution, along with behavior
119 and time-activity budgets, can become exceptional tools for monitoring marine environmental
120 conditions and variability of prey populations (Cairns et al., 1987, 1988; Furness, 1990; Ainley et
121 al., 1993; Montevecchi, 1993; Davoren and Montevecchi, 2003; Mills et al., 2007; Thayer et al.,
122 2008) and for developing ecosystem-based fisheries management (Einoder, 2009; Ainley et al.
123 2018).

124 Combining diet composition and prey energetic value with bioenergetics models allows
125 estimation of seabirds’ daily energy needs, from which it is possible to derive the amount of
126 prey required to meet those needs and identify thresholds that may lead to prey switching
127 (Wiens and Scott, 1975; Furness, 1978; Wilson et al., 2004; Roth et al., 2008; Ridgway, 2010).
128 However, to inform fisheries management, seabird population sizes, total consumption of key
129 forage species, availability of those species, and energetic value of the overall diet also need to
130 be considered. To draw inferences on when seabirds may have to switch prey, consumption
131 time series should be compared with fishery-independent metrics of forage fish availability,
132 fishery extraction patterns, and predator foraging effort to provide context on the demand by
133 predators and fisheries alike.

134 Herein, we use a bioenergetics modelling approach to examine prey requirements of
135 three fish-consuming, central place foraging seabirds nesting in the Gulf of the Farallones,
136 located in the central California Current, during 1986-2015: common murre (*Uria aalge*),
137 Brandt’s cormorant (*Phalacrocorax penicillatus*), and rhinoceros auklet (*Cerorhinca*
138 *monocerata*). The murre and cormorant are among the most abundant locally breeding species
139 in the system (Carter et al., 1992; Ainley and Hyrenbach, 2010), and long-term datasets on
140 breeding population sizes and the diet fed to chicks exist for all three species (e.g., Carter et al.,

141 2001; Capitolo et al., 2014; Warzybok et al., 2015; Elliott et al., 2016; Carle et al., 2016).
142 Together these species potentially account for a major percentage of total prey consumption
143 among mesopredators, or at the least for seabirds, of this region (Briggs and Chu, 1987; Ainley
144 et al., 2014; 2015a) and affect prevalence and survival of certain prey species, such as juvenile
145 salmon (Wells et al., 2017). To inform ecosystem-based fisheries management, our primary
146 objectives are to: (a) quantify temporal changes in seabird species population size and
147 consumption of key forage species by combining energetic metrics from different breeding
148 colonies; (b) relate seabird consumption patterns to spatial and abundance aspects of forage
149 species availability derived from an ecosystem assessment trawl survey; and (c) compare
150 seabird consumption to removals by commercial fisheries. Furthermore, to investigate seabird
151 prey switching behavior, we assess how energetic demand, foraging trip duration (i.e. effort)
152 and prey quality may combine to determine whether the most abundant of the study species,
153 the common murre, feeds predominantly on juvenile rockfish or anchovy. Additionally, we
154 explore whether this switch may impact their population dynamics, as apparently has been the
155 case for the Brandt's cormorant (Ainley et al., 2018).

156

157 METHODS

158 ***Study area***

159 This study was conducted in the Gulf of the Farallones off central California from Bodega Bay
160 (38.31° N, 123.06° W) to Año Nuevo Island (37.11° N, 122.33° W) and out to the continental
161 shelf break, including Farallon Ridge, and also included Monterey Bay waters (Fig. 1), during the
162 summers of 1986-2015. This region contains important seabird breeding colonies offshore at
163 the South and North Farallon islands (SFI and NFI) and along the mainland coast at Año Nuevo
164 Island (ANI), Point Reyes, and several other rocks and headlands (Carter et al., 1992; 2001;
165 Capitolo et al., 2014), as well as the foraging areas for these colonies. Field stations at SFI and
166 ANI have enabled long-term study of population size, reproductive success, and diet of our
167 three focal species: common murre, Brandt's cormorant, and rhinoceros auklet. The murre and
168 the cormorant often are intermixed at breeding colonies throughout the Study Area, except at
169 ANI, where the murre does not breed. Brandt's cormorant colonies are the most widely

170 distributed in the study area, while the auklet breeds only at SFI and ANI. SFI and NFI, about 42
171 km west of San Francisco, CA, host the largest seabird colonies, together currently totaling
172 more than 500,000 birds of 12 species (>80% of the regional population), with the three focal
173 species contributing ~380,000 birds. The remaining breeding sites, including ANI, are smaller
174 colonies situated within a kilometer of shore or on headlands, referred to as mainland colonies
175 (e.g., Capitolo et al., 2014).

176

177 ***Diet composition***

178 Diet composition on SFI and ANI was determined through observations of prey delivered
179 to dependent offspring, collection of fresh prey from captured birds, or collection of
180 regurgitated pellets and subsequent identification of prey based on hard parts. Data to assess
181 diet composition were collected through a variety of species-specific methods. For common
182 murrelets, which provision chicks by carrying single prey items lengthwise in their bills, data were
183 only collected at SFI, where prey was visually identified by trained observers, using binoculars,
184 during standardized daily feeding watches throughout the peak chick rearing period, late May
185 to early July, 1986-2015 (Ainley and Boekelheide, 1990; Warzybok et al., 2015). During
186 observations, all prey items were identified to the lowest possible taxon based on color, body
187 shape, tail shape, and shape and position of fins (Miller and Lea, 1972; Follett and Ainley, 1975).
188 When not possible to identify prey items to species level, species-group categories were used,
189 i.e., juvenile rockfishes, northern anchovy/Pacific sardine, smelt (*Osmeridae* spp.), market
190 squid, salmon (*Onchorhynchus* spp.), flatfishes including sanddabs (*Pleuronectidae* spp. and
191 *Bothidae* spp.), sandlance (*Ammodytes hexapterus*), lingcod (*Ophiodon elongatus*), sculpins
192 (*Cottidae* spp.), Pacific saury (*Cololabis saira*), and “other” (including unidentified prey). In
193 addition, we visually estimated the length of each prey item relative to the gape length of the
194 adult (Ainley and Boekelheide, 1990; Cairns et al., 1990; Roth et al., 2008) and recorded these
195 in increments of 0.1 gape lengths. The latter were converted to an estimate of standard length
196 in millimeters (Ainley et al., 1990; 1996).

197 For rhinoceros auklets, fish were collected from birds captured in mist nets as they
198 returned to feed chicks in the evening at both SFI and ANI. Diet sampling was conducted

199 throughout the peak chick rearing period, from late June through early August. Auklet chick-
200 diet data for SFI have been collected annually since 1987 and for ANI since 1993. Prey items
201 were identified to individual species using various keys. All prey species were measured to
202 determine standard length (fishes) or mantle length (squid) and weighed to the nearest 0.1 g
203 (Thayer and Sydeman, 2007; Carle et al., 2015).

204 Brandt's cormorant diet was determined by collecting regurgitated pellets of
205 indigestible material and identifying prey items based on otoliths and other hard parts (Ainley
206 et al., 1981; Ainley and Boekelheide, 1990; Gagliardi et al., 2007; Elliott et al., 2016).
207 Cormorants produce pellets daily (Jordán, 1959). We examined contents of each pellet under a
208 dissecting microscope and identified species to the lowest taxonomic level possible (Harvey et
209 al., 2000; Elliott et al., 2016). Pellets were collected at the end of the breeding season to reduce
210 disturbance, and reflected adult and chick diet from May - September. Diet composition was
211 summarized as the percent occurrence of each prey item (or group) observed each year. The
212 diet data considered for SFI were those for 1994, 1999, and 2003-2015; ANI data were available
213 for 2000-2015.

214 Prey mass was either measured directly (auklets) or estimated (murrees, cormorants)
215 based on mass-length regression equations derived from fish collected at SFI and ANI (Table 1)
216 or from regressions developed from otolith size (see below). Fish mass (in grams) was
217 determined by weighing the fresh sample on an electronic balance with an accuracy of 0.1 g.
218 Fish standard length (in millimeters) was determined by measuring the specimen from the tip
219 of the snout to the posterior edge of the hypural plate or the posterior end of the vertebral
220 column (in fish lacking hypural plates). We then performed a linear regression analysis on log-
221 log transformed data to determine the mass to length relationship, a method used commonly
222 in fisheries research (Harvey et al. 2000; Love et al., 2002). For all prey species identified by
223 hard parts, we derived an estimated mass for each prey item using previously published mass-
224 length regression equations for whole prey items or derived these equations from otoliths
225 extracted from collected fishes (e.g. Spear, 1993; Harvey et al., 2000; Elliott et al., 2016).

226 For the purposes of our models, and due to a lack of sufficient dietary information
227 available for adults (but see Ainley et al. 1996, Carle et al. 2015), we assumed that diet

228 proportions were equivalent for adults and chicks. Acknowledging those studies, we know that
229 during the breeding season this is broadly true (Ainley et al. 2015a). For murre, Ainley et al.
230 (1996) demonstrated that adult and chick diet largely overlap. However, adults may consume
231 some prey that are not typically fed to chicks, such as small prey (e.g. krill) or fishes of a shape
232 difficult for small chicks to swallow (e.g., deep-bodied surfperch (Embiotocidae spp.), butterfish
233 (*Peprilus medius*), midshipman (*Porichthys notatus*) and large sanddabs (Ainley et al., 1996;
234 Wilson et al., 2004)). We could not make a similar assumption that diet was invariant across
235 seasons, because, while anchovy and juvenile rockfish (the two most common forage species)
236 continue to be important components of the diet during the winter, other species may have
237 increased importance in the diet (Ainley et al. 1996). Therefore, we have constrained our
238 consumption models to the breeding season when the most comprehensive diet data are
239 available, i.e. March-August (see below).

240

241 ***Seabird population estimates***

242 For common murre, breeding population estimates were determined from annual
243 aerial photographic surveys (Carter et al., 2001; Capitolo et al., 2014; U.S. Fish and Wildlife
244 Service [USFWS] and U.C. Santa Cruz [UCSC] unpublished data). Colonies were photographed
245 during the peak incubation period, typically early June, from a fixed-wing aircraft. All murre in
246 attendance were counted using many images, with overlapping areas delineated to prevent
247 double-counting. We then applied a year specific “k-correction factor” to the raw counts to
248 adjust for mates not present at the time of the census as well as for the presence of non-
249 breeding birds in the colony (Harris et al., 1983; Carter et al., 1992). Unfortunately, complete
250 data from aerial surveys were not available for all years, especially for NFI and SFI after 2007.
251 For SFI after 2007, population estimates for missing years were determined from repeated
252 counts of representative index plots among several sub-colonies. The percent change in the
253 seasonal mean index plot counts was then applied to complete aerial colony counts from
254 previous years to estimate the overall population in that season (Warzybok et al., 2015). For NFI
255 and mainland colonies, missing population data was estimated based on the mean relative
256 contribution the uncounted area made to the regional population among years with complete

257 data. During the time period considered, the SFI murre population contributed, on average,
258 50% (SD \pm 3.6%) of the total Gulf population, while NFI contributed 27% (SD \pm 2.4%) and
259 mainland colonies 23% (SD \pm 4.4%). Therefore, if NFI or mainland total counts were not
260 available in a given year, we estimated the counts by applying these averaged contributions.
261 Estimated counts were derived for one or more segments of the population (SFI, NFI or
262 mainland colonies) for 10 of the 30 years (see Appendix II).

263 For Brandt's cormorants, populations were determined by counting the number of nests
264 from aerial photographs or during ground-based surveys (SFI only for 2008-2015), and
265 multiplying nest totals by two to account for both mates (Capitolo et al., 2014; USFWS, UCSC,
266 Oikonos unpubl. data). It should be noted that population estimates from SFI ground-based
267 counts are likely minimum values and may underestimate the total number of breeding birds
268 when compared to aerial surveys (Capitolo et al. 2014). As with murre, aerial counts were not
269 available for all colonies in all years and missing data were estimated by applying the mean
270 contribution of the uncounted area to the overall population. During the time period
271 considered, the SFI cormorant population averaged 73% (SD \pm 4.6%) of the total regional
272 population, while mainland colonies (including ANI) contributed 26% SD (\pm 4.5%) and NFI was a
273 minor colony representing only 0.5% (SD \pm 0.3%) of the regional population. Estimated counts
274 were derived for NFI during 9 years (fewer than 100 birds per year) and for the mainland
275 population during 2 years, but those years did not have diet data and were not used to
276 estimate prey consumption (see Appendix II).

277 For rhinoceros auklets, the ANI population was determined by multiplying the total
278 count of viable burrows on the island by the annual occupancy rate of monitored sample
279 burrows, and adding that number to the number of known pairs in artificial nest boxes. On SFI,
280 the auklet population was estimated by counting representative index plots in suitable habitat
281 around the island. As on ANI, the occupancy rate was determined for burrows and crevices
282 within these plots and for all natural and artificial nest sites monitored for reproductive success.
283 The percent change in the seasonal mean index plot counts was then applied to the most
284 recent complete colony burrow count to estimate overall population in that season (Warzybok
285 and Bradley, 2009).

286 The non-breeding portions of the populations within the study area were determined
287 from previously published modeling exercises (Nur and Sydeman, 1999a, b; Lee et al., 2008;
288 Roth et al., 2008). The number of chicks produced was determined by multiplying the number
289 of breeding pairs by the year-specific reproductive success determined from study plots on SFI
290 and ANI, depending on species. Reproductive success was defined as the number of chicks
291 fledged per breeding pair (Ainley and Boekelheide, 1990).

292

293 ***Metabolic rate, assimilation efficiency and prey energy density***

294 Estimates of Daily Field Metabolic Rate for each species were obtained from the
295 literature and is expressed as kilojoules of energy expended per day (kJ/d). For murre, s
296 metabolic rate was directly measured using respirometry (Cairns et al., 1987; Birt-Friesen et al.,
297 1989), while for cormorants and auklets it was derived using allometric equations developed in
298 accord with known relationships (Nagy et al., 1999; Ellis and Gabrielson, 2002). Assigned values
299 were: murre 1530 kJ/d (Cairns et al., 1990; Roth et al., 2008), Brandt's cormorants 1883 kJ/d
300 (Ancel et al., 2000) and rhinoceros auklets 1021 kJ/d (Ellis and Gabrielson, 2002). Energetic
301 requirements vary with age, breeding status and season (Ellis and Gabrielsen, 2002), i.e.,
302 estimated energy intake for dependent chicks are 10-20% of adult energy requirements (Croll,
303 1990; Gabrielsen, 1996; Roth et al., 2008) and adults have an approximately 10% greater energy
304 requirement when foraging for offspring due to the high energetic cost of flight (Brit-Friesen et
305 al., 1989; Elliott et al., 2014; Collins et al., 2016). Therefore, populations were divided into three
306 distinct segments based on these general energy requirements: 1) breeding adults during the
307 breeding season, 2) non-breeding adults during the breeding season (90% of breeding energy
308 requirement), and 3) dependent chicks (10%). For this study, the breeding season is defined as
309 the period during which adults are tied to the colony for the purposes of territory acquisition,
310 nest building, incubation, and chick rearing, and are consequently central place foragers. For
311 the three species studied, this corresponds to the period of March through August, equal to
312 184 d for the consumption model (see Roth et al. 2008). Seasonal daily energy requirements
313 were then assigned to each population segment and the prey required to meet these
314 requirements was estimated on a daily basis.

315 Empirical estimates of assimilation efficiency (% of energy content of prey that is
316 metabolized) were available in the literature for common murre (0.7839; Hilton et al., 2000);
317 for Brandt's cormorants and rhinoceros auklets a consistent value of 0.8 was used (as per Ellis
318 and Gabrielsen, 2002). Energy densities of prey (kJ/g) were determined from published sources
319 (Table 1). Whenever possible, species-specific values were taken from the literature. Otherwise
320 average values were of all prey for which the energy density was known (Roth et al., 2008;
321 Spear, 1993).

322

323 ***Bioenergetics model***

324 The seabird bioenergetics model was constructed separately for each species using
325 year-specific parameters for diet composition and population size. Biomass consumed was
326 calculated separately for each population segment (breeding adults, non-breeding adults during
327 the breeding season, and chicks) and then summed to estimate total prey consumed by each
328 species per year. Total annual biomass of prey consumed to meet daily energy requirement was
329 determined by equation 1:

330

$$331 \quad \text{Biomass consumed (g)} = \sum \frac{FMR \times \text{Diet Composition}}{E.D. \times A.E.} \times \text{Days} \times \text{Population Size}$$

332

333 where FMR is field metabolic rate (kJ/day) for individual seabird species; Diet
334 Composition is the annual mean proportion by number of forage species in the diet, E.D. is the
335 energy density value for each prey taxon (kJ/g), A.E. is assimilation efficiency, Population Size is
336 annual population size (number of individuals) and Days is number of days in the breeding
337 period. Biomass consumed was ultimately scaled up to metric tons (*t*; also known as *tonne*)
338 where one *t* = 10³ kg. For Brandt's cormorants and rhinoceros auklets, we calculated separate
339 models for Farallones and mainland colonies to reflect differences in diet composition at the
340 two colonies. We assumed that dietary data from the South Farallon Islands (SFI) was
341 representative of the North Farallon Islands (NFI) colony, while diet data from Año Nuevo Island
342 (ANI) was representative of all mainland colonies (see Ainley et al., 2018). Dietary data for
343 murre from mainland colonies were not available in most years. However, data available for

344 Devil's Slide Rock from 2006 and 2007 indicated that chick diet at that mainland colony was
345 very similar to that of SFI murres and that foraging ranges overlapped (Eigner, 2009). Therefore,
346 for murres, diet composition from SFI was assumed to be representative of the region
347 throughout the study period and was used for all modeling in this study.

348

349 ***Common murre feeding rate and foraging trip duration***

350 Feeding rate and foraging trip duration of common murres provisioning dependent
351 chicks were examined as indicators of foraging effort. Feeding rate was calculated as the mean
352 number of feedings per chick per day observed during all-day watches at study plots within the
353 Farallon colony. Foraging trip duration was calculated as the elapsed time, in minutes, between
354 feeding observations during standardized diet watches (see Diet Composition above). Each
355 foraging trip was associated with the prey item delivered to the chicks upon return to the
356 island. Average trip duration was then calculated annually for the two most common prey
357 species consumed, northern anchovy and juvenile (primarily young-of-the-year)) rockfishes.
358 Foraging trip data was not available for auklets or cormorants.

359

360 ***Availability of forage species***

361 We compared seabird consumption estimates with independent data on prey
362 availability derived from the NOAA-NMFS Rockfish Recruitment and Ecosystem Assessment
363 Survey. Since 1983, the NMFS has conducted an annual vessel survey during late April to mid-
364 June to assess ocean conditions and the abundance and distribution of micronekton off
365 California. The survey samples a variety of forage species utilized by mid and upper trophic level
366 predators, including pelagic juvenile rockfishes and groundfish species, adults and juvenile
367 northern anchovy, juvenile market squid, and adult mesopelagic fishes (Ralston et al., 2015;
368 Sakuma et al., 2016). The survey uses a modified mid-water Cobb trawl (target depth of 30 m,
369 sampled at night) to enumerate forage species, as Catch-per-unit-effort (CPUE), throughout
370 California waters. Herein we focus on the region extending from Bodega Bay through Monterey
371 Bay (Fig. 1), which overlaps with the main seabird breeding and foraging areas and for which
372 data are available during most of our study period (1990-2016).

373

374 **Analyses**

375 Combining the population estimates, diet, and a bioenergetics model framework, we
376 conducted three complementary analyses to evaluate the temporal patterns of seabird prey
377 consumption of the three focal seabird species, as well as the foraging behavior of common
378 murre. First, we developed time series to assess the *per capita* and population level prey
379 consumption patterns per species. *Per capita* prey consumption was defined as the total
380 biomass consumed by an individual over the season as calculated from the bioenergetics
381 equations, before scaling up to the population level. Examining *per capita* consumption allowed
382 us to assess factors that influence the ability of individual birds to meet their energy demands,
383 while controlling for the effect of population size on overall consumption. We examined each
384 seabird species' prey consumption time series for trends using standard regression analyses,
385 with population size, diet composition, and year as independent variables (for years in which
386 complete colony count data were available; years with interpolated population estimates were
387 excluded). We used Spearman's rank correlations to evaluate the relationship between *per*
388 *capita* prey consumption (total biomass of prey required to meet individual energy needs) and
389 the proportion of individual prey items (specifically juvenile rockfish or anchovy) as revealed in
390 the seabird diet. This is not dependent on population size, but is strictly a function of energy
391 requirements and diet composition, thus all years were included in these analyses.

392 Second, for common murre, to assess factors affecting prey switching, we examined the
393 impact of prey type, juvenile rockfish vs anchovy, on feeding rates, foraging trip duration and
394 mass of prey items fed to murre chicks at the South Farallon Islands. Between-year differences
395 of these variables were evaluated using a one way ANOVA, and a *t*-test was used to test the
396 hypothesis that trip duration increased when murre were foraging primarily on anchovies
397 rather than rockfish (the two dominant prey types). In addition, we used regression analyses to
398 examine the relationship between annual variation in energy gained by prey type and mean
399 foraging trip duration to evaluate energetic drivers of prey switching.

400 Third, we used correlation analysis to compare time series of overall seabird species
401 consumption (for years with complete colony count data), with CPUE of juvenile rockfish and

402 sanddabs, market squid, euphausiids and anchovy (Santora et al., 2014; Sakuma et al., 2016).
403 Due to seabird population increases over time, consumption time series displayed significant
404 linear trends, and were detrended prior to comparing with standardized CPUE (lnCPUE+1)
405 anomalies of forage species. Again, years with interpolated population estimates were excluded
406 for these analyses.

407

408 RESULTS

409 *Seabird populations*

410 The total breeding population for the three seabird species increased over the course of
411 our study period, growing from ~110,000 to more than 475,000 birds, 1986 to 2015. This
412 growth pattern since the early 2000s was driven largely by murre, whose population increased
413 almost five fold. Likewise, the rhinoceros auklet population, though smaller overall, increased
414 tenfold from 500 to >5,000 individuals since the 1980s. The Brandt's cormorant population, in
415 contrast, has fluctuated between periods of rapid growth followed by population crashes.
416 Notably, for this study, the population of Brandt's cormorants increased substantially during
417 the early 2000s, growing from approximately 7,000 birds to a peak of more than 40,000 birds in
418 2007 before declining to a low point in 2009. Since then, the population has been slowly
419 recovering (Appendix 2).

420

421 *Diet composition*

422 Seabirds fed on a variety of prey including commercially important fishes such as
423 rockfish, northern anchovy, Pacific sardine, salmon, flatfishes and market squid. Northern
424 anchovy (adults and juveniles) and juvenile rockfish were the dominant prey (by mass and
425 number), although their relative importance varied considerably among years (Fig. 2). Juvenile
426 rockfish were the primary prey fed to dependent chicks of the study species during the late
427 1980s but prevalence decreased during the early 1990s, becoming nearly absent by the end of
428 the decade. There was a brief resurgence of juvenile rockfish in the diet between 2001 and
429 2004, and more recently (2009-2015) juvenile rockfish returned to being the most frequently
430 consumed prey, consistent with a sharp increase noted in the abundance of juvenile rockfish in

431 the midwater trawl survey (Sakuma et al. 2016). When rockfish were not a major dietary
432 component, consumption of anchovy increased.

433

434 **Total biomass consumed**

435 Total biomass of prey consumed by the three study species during the breeding season
436 ranged from at least 8,200 (1992) to >60,000 t (by 2011; Fig. 2, 3, Appendix 1). Common murre
437 were the dominant consumers, accounting for ~93% (SD ± 4%) of prey consumption in a given
438 year, ranging from ~8,000 to 58,000 t (Fig. 4a). For murre, peak consumption included as much
439 as 51,700 t juvenile rockfishes, 38,600 t anchovy, 10,800 t smelt, 11,900 t squid, and 3,800 t
440 juvenile salmon. The other two seabird study species consumed relatively smaller though still
441 significant amounts of these forage fish. Brandt's cormorants consumed 528 to 6,400 t per
442 breeding season, including as much as 1,800 t juvenile rockfish, 3,900 t anchovy, and 3,100 t
443 flatfish (Fig. 4b). Rhinoceros auklets consumed 45 to 485 t per breeding season, including as
444 much as 370 t juvenile rockfish, 237 t anchovy, and 175 t Pacific saury (Fig. 4c).

445 For those years in which complete colony counts were available (i.e., no interpolated
446 estimates), there was a significant increase over time in the total biomass consumed during the
447 breeding season for all study species combined ($F_{(1,17)} = 192.25$, $p < 0.001$, $R^2 = 0.9577$) as well
448 as for each species individually (COMU: $F_{(1,17)} = 244.99$, $p < 0.001$, $R^2 = 0.9665$; BRAC: $F_{(1,17)} =$
449 9.57 , $p = 0.002$, $R^2 = 0.5295$; RHAU: $F_{(1,26)} = 157.05$, $p < 0.001$, $R^2 = 0.9236$, where subscripts
450 represent the degrees of freedom based on the number of years used in the analysis) (Fig. 3a).
451 Consumption during the El Niño years of 1986, 1992, 1998, and 2009-10 was lower than in
452 other years due to a combination of a reduction in the number of breeding birds and lower
453 hatching success, leading to fewer chicks requiring food (Fig. 2).

454

455 **Drivers of prey consumption**

456 Seabird population size, particularly that of common murre, was the main driver of
457 overall prey consumption and accounted for >95% of the variation observed in our
458 bioenergetics model ($R^2 = 0.98$, $p < 0.001$). *Per capita* prey consumption ranged from 0.04 t (low
459 year for auklets) to 0.11 t (high year for murre) during the breeding season in a given year (Fig.

460 3b). A significant linear relationship existed between the proportion of rockfish or anchovy in
461 the diet and *per capita* consumption. On the basis of mass, a higher proportion of rockfish was
462 associated with increased *per capita* prey consumption ($F_{(1,28)} = 27.39$; $p < 0.001$; $R^2 = 0.50$),
463 whereas a higher proportion of anchovy was associated with lower *per capita* prey
464 consumption ($F_{(1,28)} = 105.39$; $p < 0.001$; $R^2 = 0.79$), likely due to the higher energy density of
465 anchovies as prey. That is, murres were required to consume more to meet their energy
466 demands when primarily consuming rockfish than when primarily consuming anchovy.

467

468 ***Common murre feeding rate and foraging trip duration***

469 The overall mean feeding rate for common murre provisioning fish to chicks was $2.97 \pm$
470 1.10 feedings (fish) per day, ranging 1.52 (1998) to 6.18 (2010). Both prey mass ($F_{(1,29)} = 33.60$,
471 $p < 0.001$) and feeding rate ($F_{(1,29)} = 9.38$, $p < 0.001$) varied significantly among years and were
472 highly correlated with the proportion of primary prey items in the diet. Feeding rate was
473 positively correlated with the proportion of rockfish consumed ($\rho = 0.86$, $p < 0.001$, $N = 30$) and
474 negatively correlated with the proportion of anchovy ($\rho = -0.86$, $p < 0.001$, $N = 30$). Similarly,
475 mean foraging trip duration differed as a function of prey species ($t_{60} = 11.94$, $p < 0.001$) and
476 averaged 92 min (range 43-150 min) when feeding on rockfish, compared to an average
477 duration of 235 min (range 95- 346 min) when foraging for anchovy. Annual mean foraging trip
478 duration varied significantly with the amount of energy gained from either anchovy or juvenile
479 rockfish (Fig. 5). There was a significant positive relationship between energy gained from
480 anchovy and trip duration ($F_{(1,27)} = 75.01$; $p < 0.001$; $R^2 = 0.74$) and a significant negative
481 relationship between energy gained from juvenile rockfish and trip duration ($F_{(1,27)} = 118.01$; p
482 < 0.001 ; $R^2 = 0.81$). There was no significant relationship between mean trip duration and
483 breeding success ($F_{(1,28)} = 0.14$; $p = 0.70$; $R^2 = 0.05$).

484

485 ***Seabird consumption and forage availability***

486 Relationships between seabird prey species consumption and forage indices derived
487 from mid-water trawls yielded results verifying the general switch between modes of juvenile
488 rockfish and northern anchovy availability off central California (Fig. 6). Consumption rates of

489 forage species by common murre and rhinoceros auklets displayed several significant
490 correlations with forage species abundance indices (Table 2). Murre and auklet consumption of
491 juvenile rockfish was positively related to the abundance of mid-water trawl estimates of
492 juvenile rockfish, young-of-the-year sanddabs, and market squid, and negatively related to
493 anchovy abundance. By contrast, murre and auklet consumption of anchovy was positively
494 related to anchovy abundance, and negatively related to juvenile rockfish, young-of-the-year
495 sanddabs, and market squid (Table 2). Brandt's cormorant consumption of anchovy was
496 negatively related to young-of-the-year sanddabs.

497

498 DISCUSSION

499 Through application of a bio-energetics model using long-term observations of
500 population size, diet and foraging effort, in conjunction with data on prey availability, we
501 quantified how patterns of prey consumption for three seabird species have changed over
502 several decades within the central California Current upwelling ecosystem. Previous studies
503 estimated prey requirements for seabird species for a single year (Wiens and Scott, 1975; Roth
504 et al., 2008) or for other regions (Cairns et al., 1990; Gabrielsen, 1996); and similar efforts have
505 been made for other predators such as California sea lions (*Zalophus californianus*) and
506 cetaceans for various time periods (Weise and Harvey, 2008; Barlow et al., 2008).

507 Our results clearly demonstrate that forage fish consumption by the target seabird
508 species has greatly increased during the past few decades. Overall annual consumption of
509 forage fish by just these three seabird species during the breeding season may now exceed
510 60,000 *t*, more than five times greater than during the mid-1980s. Population increases account
511 for most of the increased consumption, particularly among common murre and Brandt's
512 cormorants, as they recover from previous human impacts such as habitat degradation,
513 mortality from fisheries bycatch, and oil spills (Carter et al., 2001; Ainley et al., 2018). Common
514 murre, the most numerous breeding species in the area, accounted for >90% of the total
515 biomass consumed. However, Brandt's cormorants also consumed large amounts of forage fish
516 as their populations increased. While the rhinoceros auklet population is much smaller (~100x

517 less numerous than murre) they still consumed a significant amount of forage fish biomass
518 (>450 t annually).

519 The combined predation pressure on forage species by just the seabird component of
520 mesopredators within this system is, thus, very high, and will continue to grow with further
521 population recovery. We found, after statistically controlling for population increases, that
522 consumption patterns were related to forage species abundance, as indicated by fishery
523 surveys. Specifically, ocean climate variability inherent in upwelling ecosystems produces
524 conditions favoring either juvenile rockfish or northern anchovy (Santora et al., 2014; Ralston et
525 al., 2015; Wells et al., 2017), which in turn drives seabird consumption patterns. Although the
526 three seabird species in this study represent the majority of breeding seabirds in the region, our
527 analysis underestimates total seabird consumption by excluding breeding species such as
528 Western Gull (*Larus occidentalis*; ~10,000 breeding pairs), Pelagic Cormorant (*Ph. pelagicus*;
529 ~400 pairs) and Cassin's Auklet (*Ptychoramphus aleuticus*; ~35,000 pairs, e.g. Warzybok et al.,
530 2015). Furthermore, our consumption estimates were limited to the breeding season
531 (approximately half the year), due to inadequate diet information during other parts of the year
532 and uncertainty involving numbers of birds overwintering in the region. However, resident
533 seabirds must still meet their daily energy requirements during the non-breeding period.
534 Likewise, our analysis did not include large summer-fall influxes of migratory species (e.g., sooty
535 shearwaters (*Adrenna grisea*; tens of thousands of birds), or brown pelicans (*Pelecanus*
536 *occidentalis*; many thousands; NOAA, 2003), which have major foraging demands (Briggs et al.,
537 1983; Chu et al., 1984).

538 It is also important to note, that krill, while not observed in the breeding season diet
539 data, is an important prey item for many adult seabirds and may contribute nontrivially to adult
540 diets, with resultant implications for consumption of the focal species described in this study.
541 Indeed, krill is a major component of common murre diet during early spring (Ainley et al.,
542 1996) and may also be important for rhinoceros auklets in some regions (Davies et al., 2009),
543 though not observed in local breeders (Carle et al., 2015). . Therefore, the consumption
544 estimates presented herein should be considered a very conservative estimate of overall

545 seabird consumption in this region. Annual consumption by seabirds is likely twice the
546 estimates for breeding species and several times higher for the total avifauna.

547

548 ***Insights from seabird prey switching***

549 Either juvenile rockfishes or northern anchovy typically dominated the diet, their
550 relative proportions varying considerably by year. In addition, many alternate forage species
551 (i.e. smelt, squid, and juvenile salmon and flatfishes) at times contributed a significant
552 proportion of the energy needed. Prey switching can have energetic consequences for central-
553 place foraging seabirds (Davoren and Montevecchi, 2003; Langton et al., 2014,). In the case of
554 murre, foraging trip duration was significantly longer and number of prey returned was lower
555 when feeding on anchovy compared to when feeding primarily on juvenile rockfish, likely due
556 to differences in the spatial distribution of these forage species in the Gulf of the Farallones
557 (Santora et al., 2014; Wells et al., 2017). Anchovy tended to be concentrated closer to shore
558 requiring murre at the Farallon Islands to travel a greater distance to find them when juvenile
559 rockfish were not available (Santora et al., 2014, Wells et al., 2017).

560 For both cormorants and alcids, aerial flight is hugely expensive owing to their very high
561 wing loading. Flight for these species is 5X more energetically costly than diving and 15X more
562 costly than dwelling at the nest site (Elliott et al., 2014). Whereas Brandt's cormorants
563 responded to the decadal changes in juvenile rockfish vs. anchovy availability by exhibiting
564 variable reproductive success and shifting their population more to the coast where prey access
565 was more proximate and reliable (Ainley et al., 2018), murre did not. Murre showed little
566 annual variation in reproductive success in most years, while simultaneously exhibiting a
567 spectacular increase in their population throughout the study period (Warzybok et al., 2015;
568 Appendix II). Murre population increase was probably, at least in part, a response to the
569 appreciable increase during the 2000s in prevalence of energy-rich anchovy (reviewed in Ainley
570 et al., 2018), which is also important to their diet after departing the island following the
571 breeding season (Ainley et al., 1996). Murre are a very efficient central-place foraging seabird,
572 given that they raise just one chick at a time, which is confined to the nest site for only three
573 weeks. During that time the chick mostly develops its paddle-shaped wings (for diving) and

574 develops thermoregulation. Then, long before it is capable of aerial flight, each follows its male
575 parent to where forage is most available, remaining with the parent for the next several weeks
576 (Ainley et al., 2002). During that period, chick and parent exhibit a similar diet (Ainley et al.,
577 1996). The fact that the murre population did not decrease once the anchovy increase subsided
578 (2009) and murrens returned to feeding on smaller, less energy-dense juvenile rockfish, indicates
579 that juvenile rockfish at times were available enough to allow short foraging trips (see below),
580 with later movement to the coast where anchovy continued to be abundant (reviewed in Ainley
581 et al., 2018).

582 For murrens at the South Farallon Islands (SFI), foraging trips that returned juvenile
583 rockfish to chicks averaged 92 min long, compared with 235 min for trips bringing anchovy.
584 When feeding on rockfish, murrens may forage within 5-10 km of SFI (Ainley et al., 1990; 1996),
585 but for anchovy they likely foraged 80 km or more away (see also Wells et al., 2017). To
586 understand better the murrens' capabilities, we considered the size and energy density of
587 juvenile rockfish (mean 74 mm SL, 4.85 kJ/g) vs anchovy (124 mm SL, 5.56 kJ/g), flight speed
588 (Spear and Ainley, 1997) and other factors, as well as the 1530 kJ/d that murrens needed to
589 acquire (10% of that for chicks; Roth et al., 2008). Given the results of the bioenergetics model,
590 adult murrens would have to catch, on average, 106 juvenile rockfish/d or 26 anchovy/d to meet
591 their energy needs. Assuming 20-30 dives per foraging trip (Elliott et al., 2014), such a catch is
592 possible and, for chicks, would lead to ~10 rockfish feeds or ~3 anchovy feeds per day, which is
593 consistent with observed feeds during years in which either rockfish or anchovy dominated the
594 chick diet (Ainley et al., 1990; Point Blue unpublished data). Anchovies are approximately 15%
595 more energetically valuable than rockfish per gram and approximately 70% larger on average,
596 yielding approximately 4.5 times more energy per fish than juvenile rockfish. While the murrens
597 would seem to prefer the juvenile rockfish diet, since foraging closer to the colony reduces the
598 energetic cost of flight and allows more time for nest and chick guarding, longer foraging trips
599 can be energetically compensated by a diet richer in anchovy (Fig. 5). It appears that when the
600 amount of energy derived from juvenile rockfish dips below 800 kJ/d, murrens are forced to relax
601 nesting site occupation/chick guarding and switch to the more energy-rich anchovy, despite
602 higher foraging effort. Regardless of which prey species was most abundant, this prey switching

603 strategy helps murre to cope with variability and allowed the population to increase steadily
604 during the study period.

605 Brandt's cormorants, in contrast, exhibit a “boom or bust” strategy (Boekelheide et al.,
606 1990; Wallace and Wallace, 1998) in which they attempt to raise as many as four chicks per
607 nesting attempt, requiring much effort over a couple of months. One result was that fledging
608 success varied dramatically depending on prey availability (Boekelheide et al., 1990). If forage
609 fish are available nearby, chicks survive and fledge, but if cormorants have to travel farther to
610 obtain adequate forage it often leads to reduction in brood size or breeding failure. Following
611 the decline in rockfish abundance offshore and the increase in anchovies close to shore in the
612 mid-2000s, the Brandt's cormorant population shifted toward the coast (Capitolo et al., 2014,
613 Ainley et al., 2018).

614 For rhinoceros auklets, the impact of prey switching is intermediate between the murre
615 and cormorant. They nest in a deep cavity allowing both parents to forage simultaneously all
616 day to acquire food for their single chick, rather than one parent having to remain with the
617 nest, as with the cormorants and murre. However, each auklet parent typically provisions
618 chicks only once in the evening, returning several fish per bill load (Bertram et al., 1991),
619 limiting flexibility to compensate for poor prey availability. At-sea surveys (NOAA, 2003;
620 McGowan et al., 2013) show that unlike murre and Brandt's cormorants, auklets at the
621 Farallones tend to feed over the continental shelf break west of the islands. They are less able
622 to adapt if juvenile rockfish are unavailable and anchovy is concentrated nearshore. In those
623 years their diet is dominated by saury and other lower quality prey, resulting in lower
624 productivity and lower fledging weights (Fig. 4c; see also Thayer and Sydeman, 2007). In
625 contrast, rhinoceros auklets at mainland Año Nuevo Island preyed more consistently on
626 anchovy, and demonstrate more consistently high reproductive success (Thayer and Sydeman,
627 2007).

628

629 ***Insights from the bioenergetics model***

630 Bioenergetics models, including ours, contain many assumptions that lead to
631 uncertainty in model outcomes. Inaccurate estimates of population size (especially the non-

632 breeding component) produces large errors in the output of the final model (Wanless et al.,
633 1998; Roth et al., 2008; Ridgway, 2010). Also, seasonal or regional variation in prey energy
634 density (Pedersen and Hilsop, 2001; Gatti et al., 2017) may impact the output if values are
635 higher or lower than published values. Unfortunately, there is insufficient data to account for
636 fine-scale variability in energy density for these prey species in our study. Thirdly, while the
637 number of chicks and fledglings produced is normally estimated by multiplying the number of
638 breeding pairs by the mean fledging success (fledglings/breeding pair), this fails to account for
639 the consumption of food by chicks that do not reach fledging (Wanless et al., 1998; Fort et al.,
640 2011) nor for, in the case of murre, consumption by chicks once departed from the breeding
641 ledges. Fourth, diet composition during the breeding season is usually available from
642 observations of chick provisioning, but, as noted, availability of diet information outside of the
643 nesting season and for adults is sparse (Ainley et al., 1996; Carle et al., 2015). Finally, diet may
644 vary considerably both spatially and temporally (Ainley et al., 1996; 2015a) and may differ
645 among colonies, even within this relatively small region (Ainley et al., 2018). We did our best to
646 account for this variability by using year-specific diet composition and including dietary data
647 from both the offshore South Farallon Islands colony and the nearshore Año Nuevo Island
648 colony whenever sufficient data were available. However, we acknowledge that seasonal and
649 colony-dependent differences in seabird diets could not be fully accounted for and may have a
650 significant impact on overall forage species consumption.

651

652 ***Implications for Ecosystem-Based Fishery Management***

653 Our results demonstrate that even seabird species with relatively small populations
654 consume a significant amount of forage fish to satisfy their energetic requirements, and do so
655 by switching prey when necessary. In the interests of ecosystem-based fisheries management
656 (EBFM), providing a diverse preyscape allows for predator prey switching, especially important
657 for the highly variable California Current, regardless of fishing pressure. To support efforts to
658 implement an ecosystem-based approach to fisheries management, the Pacific Fishery
659 Management Council implemented a Fisheries Ecosystem Plan in 2013. Highlighted in that plan
660 was the need to understand the trade-offs and buffers associated with maintaining the integrity

661 of food web structure relative to achieving long-term benefits from the conservation and
662 management of dependent and target species (PFMC, 2013). This in turn is dependent on an
663 improved understanding of trophic energy flow and other ecological interactions, particularly
664 with respect to removals by fisheries. For example, for the time period of the present study,
665 California statewide fisheries removals of adult rockfish and northern anchovy were well below
666 the estimated levels removed by seabirds, particularly in the later years (Fig. 7). However, as
667 highlighted earlier, rockfish consumed by seabirds are almost exclusively young-of-the-year,
668 and in this region are most likely to be shortbelly rockfish (*S. jordani*), an unfished species, while
669 those targeted by fisheries are the adults of larger, longer-lived and slower growing species
670 such as bocaccio, chilipepper, canary and widow rockfish (*S. paucispinis*, *S. goodei*, *S. pinniger*
671 and *S. entomelas*). Due to both poor marketability and recognition of their role as forage, there
672 is currently no fishery for shortbelly rockfish, which is the most abundant species in both
673 fisheries independent surveys and seabird diets in this region. Consequently, competition
674 between breeding seabirds and rockfish fisheries is likely to be modest (Field et al., 2010).
675 However, reduced availability of juvenile rockfish leads to significantly higher take, and
676 mortality of juvenile salmon by seabirds in this system (Wells et al., 2017), and reduced
677 availability of juvenile rockfish during the 1980s, before closures were enacted, led to
678 cormorants moving to inshore breeding sites (Ainley et al, 2018).

679 By contrast, both seabirds and fisheries exploit all age classes of northern anchovy, and
680 thus both may have direct impacts on the availability of this resource and on each other (as well
681 as the other predators in the ecosystem, e.g. salmon; Wells et al., 2017). The decline in
682 northern anchovy landings in the early 1980s (Fig. 7) was a consequence of the northern
683 anchovy fishery management plan, which sought to protect the role of northern anchovy as
684 forage for dependent predators, and particularly for the brown pelican, which was critically
685 endangered at the time (Anderson et al., 1982; Ainley et al., 2018). The plan called for the
686 cessation of targeted landings for the reduction fishery, the primary fishery for northern
687 anchovy at that point, when the stock biomass fell below 300,000 t (as it did within years of the
688 plan's implementation) (MacCall, 2009).

689 The current fishery for northern anchovy is not actively managed, as total catches are
690 constrained to 25,000 t per year, with the fishery in most years at a fraction of that value; most
691 landings, primarily for bait, are made in central, rather than southern California (Miller et al.,
692 2017). Apparent anchovy declines in abundance during the late 2010s have been quantified
693 based on the data streams that supported earlier stock assessments (MacCall et al., 2016) and
694 other studies (Zwolinski et al., 2017), but such estimates have most recently produced total
695 abundance values residing far below the estimated consumption of anchovy reported in our
696 study. This leads both to questions regarding uncertainty in stock size estimation (e.g., the
697 above mentioned studies acknowledge considerable challenges in the estimation of anchovy
698 abundance in nearshore habitats), as well as confirmation that contemporary competition
699 continues between seabirds and fisheries for this variable and high turnover resource. The
700 recovery of salmon, sea lions and cetaceans, all being key predators of northern anchovy, has
701 contributed to even greater complexity in the interactions among these ecosystem components
702 (Ainley and Hybrenbach, 2010). It seems reasonable to assume that increased consumption by
703 predators could be leading to changes in the abundance of key forage fish populations such as
704 northern anchovy, regardless of the potential additional impacts of commercial fisheries
705 (DeMaster et al., 2001). Indeed, fishery management practices established when predator
706 populations were small a few decades ago are now being confronted by the growing needs of
707 predators as their respective populations recover (e.g., Chasco et al., 2017). Maintaining and
708 improving estimates of overall and spatial consumption of shared forage species among
709 predators, including seabirds, will benefit the successful evaluation of interactions among
710 protected species, fisheries removals, and the decisions made by fisheries and marine resource
711 managers as progress is made toward effective ecosystem-based fisheries management.

712

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1178 doi:10.7289/V5/TM-SWFSC-572
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1180

1181 Table 1: Prey energy densities and relationship between mass (M) in grams and standard length
 1182 (SL) or mantle length (ML) in millimeters for forage species consumed by seabirds in the Gulf of
 1183 the Farallones region. Mass to length relationships are presented with their regression statistics
 1184 and sample size. For most species, the mass to length relationship were calculated from prey
 1185 items fed to chicks or regurgitated by adults at SFI and ANI. Published equations were used for
 1186 flatfish, smelt (Spear, 1993), and sculpin (Lea et al., 1999) because of insufficient sample sizes in
 1187 the diet dataset. Energy density values were collected from the literature: Spear, 1993
 1188 (rockfish, flatfish, smelt, squid); Dahudul and Horn, 2003 (anchovy, converted from 20.6 kJ/g
 1189 dry mass); Harvey et al., 2000 (sardine); Roby et al. 2003 (salmon); Anthony et al., 2000 (lingcod,
 1190 saury); and Van Pelt et al., 1997 (sandlance).

Species	Energy Density kJ/g wet M	Mean standard length (mm)	Length Range (mm)	Mass/length relationship	R^2	F	P	N
Juvenile rockfish	4.85	74	52 - 92	$M = 1.449 \times 10^{-4} SL^{2.346}$	0.76	3637	0.00	1130
Northern anchovy	5.56	124	106 - 150	$M = 3.273 \times 10^{-6} SL^{3.194}$	0.90	7028	0.00	742
Sardine	5.56	100	80 - 126	$M = 3.24 \times 10^{-5} SL^{2.699}$	0.86	606	0.00	101
Salmon (chinook)	3.98	108	89 - 139	$M = 1.535 \times 10^{-4} SL^{2.41}$	0.70	418	0.00	180
Flatfish (pacific sandab)	3.47	82	69 - 116	$M = 1.2 \times 10^{-6} SL^{3.51}$	n/a	n/a	n/a	n/a
Smelt (night smelt)	4.33	90	77 - 116	$M = 5.6 \times 10^{-5} SL^{2.54}$	n/a	n/a	n/a	n/a
Squid	4.14	77	59 - 109	$M = 6.79 \times 10^{-4} ML^{2.203}$	0.78	396	0.00	116
Lingcod	3.98	84	77 - 101	$M = 1.059 \times 10^{-4} SL^{2.320}$	0.70	366	0.00	159
Pacific saury	4.99	129	103 - 144	$M = 8.016 \times 10^{-6} SL^{2.825}$	0.88	6960	0.00	935

1191 Table 2: Correlation coefficients (Pearson) between time series of seabird consumption and
 1192 forage species availability (ln(CPUE+1)) derived from the Rockfish Recruitment and Ecosystems
 1193 Assessment Survey. Values in bold are significant at $p = 0.05$.

Seabird consumption		Forage species availability				
		anchovy	juvenile rockfish	market squid	krill	juvenile sanddabs
Common Murre	juvenile rockfish	-0.5758	0.6390	0.5746	0.2296	0.5800
	anchovy	0.5946	-0.4907	-0.5243	-0.1960	-0.4662
	total	-0.4391	0.2549	0.3391	0.4255	0.3700
Brandt's Cormorant	juvenile rockfish	0.2915	-0.0005	0.1499	-0.3203	0.1651
	anchovy	-0.1331	-0.1570	-0.3668	0.1384	-0.4322
	total	0.2552	-0.2402	-0.1858	0.0777	-0.0414
Rhinoceros auklet	juvenile rockfish	-0.3762	0.5946	0.6011	0.2143	0.6576
	anchovy	0.5970	-0.2564	-0.2524	-0.3448	-0.2490
	total	-0.2342	0.3589	0.4346	0.1028	0.4126

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1198 Figures:

1199 Figure 1: Study area showing locations of seabird colonies and trawl stations in the Rockfish
1200 Recruitment and Ecosystem Assessment Survey. ANI – Año Nuevo Island, DSR – Devil’s Slide
1201 Rock, LSR – Lobos/Seal Rock, DB – Drake’s Bay rocks, PR – Point Reyes Headlands, SFI –
1202 South Farallon Islands, NFI – North Farallon Islands. Depth isobaths are 50, 100, 200, 500,
1203 1000, and 2000 m.

1204

1205 Figure 2: Total forage fish consumption during the breeding season and annual prey
1206 composition for three abundant seabird species, which among seabirds are the most easily
1207 studied in terms of diet and foraging behavior: common murre, Brandt’s cormorant, and
1208 rhinoceros auklet.

1209

1210 Figure 3: For three abundant seabird species breeding in the Gulf of the Farallones, 1986-2015:
1211 (a) total prey biomass consumed by year (all species combined) and (b) annual *per capita* prey
1212 biomass consumed for Brandt’s cormorant (BRAC), common murre (COMU), and rhinoceros
1213 auklet (RHAU).

1214

1215 Figure 4: As a function of prey species, total prey biomass consumed by (a) common murre, (b)
1216 Brandt’s cormorant, and (c) rhinoceros auklet in the Gulf of the Farallones, 1986-2015.

1217

1218 Figure 5: Relationship between foraging trip duration (min) and the average daily energy gained
1219 (kJ) from dominant prey species for common murre: (a) annual relative contribution of juvenile
1220 rockfish and anchovy to daily energy consumption (bars) with mean annual foraging trip
1221 duration (red line); (b) functional relationship between foraging trip duration and energy gained
1222 from juvenile rockfish (yellow circles) and anchovy (blue triangles). The data are fitted with a
1223 linear trend line to illustrate the relationship.

1224

1225 Figure 6: Standardized anomalies of forage species abundance ($\ln(\text{CPUE}+1)$) derived from the
1226 Rockfish Recruitment and Ecosystem Assessment Survey (1990-2016) in the study area (Fig. 1):

1227 (a) juvenile rockfish, (b) market squid, (c) total euphausiids, (d) juvenile Pacific sanddabs, and

1228 (e) total northern anchovy

1229

1230 Figure 7: Commercial landings of adult rockfish and northern anchovy off California (bars)

1231 contrasted with seabird consumption within the Gulf of the Farallones (lines).

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1236 Appendix 1: Total biomass (metric tons) of prey species consumed during the breeding season
 1237 (March – August) by three seabirds in the Gulf of the Farallones region, 1986-2015.

YEAR	Brandt's Cormorant	Common Murre	Rhinoceros Auklet	Total
1986	-	12,556	-	12,556
1987	-	11,894	46	11,940
1988	-	11,538	48	11,586
1989	-	10,955	54	11,009
1990	-	14,234	72	14,306
1991	-	9,185	91	9,276
1992	-	8,333	89	8,422
1993	-	14,636	127	14,763
1994	1,786*	12,888	167	14,841
1995	-	14,688	168	14,857
1996	-	17,250	177	17,427
1997	-	19,241	216	19,457
1998	-	19,784	204	19,988
1999	1,286*	22,787	236	24,309
2000	610 [†]	24,424	235	25,269
2001	605 [†]	26,554	265	27,424
2002	992 [†]	30,429	292	31,713
2003	3,500	29,085	321	32,906
2004	5,288	29,103	305	34,696
2005	3,740	30,913	284	34,937
2006	5,503	41,117	229	46,849
2007	6,749	46,452	246	53,447
2008	2,500	40,013	301	42,814
2009	528	45,635	332	46,495
2010	1,349	49,037	388	50,774
2011	2,356	57,605	401	60,362
2012	2,124	54,922	403	57,449
2013	3,049	57,551	487	61,087
2014	2,549	58,595	478	61,622
2015	2,492	58,651	397	61,540

1238 *Estimate for SFI only; [†]Estimate for Mainland colonies only

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1243 Appendix 2: Population estimates for common murre, Brandt's cormorant and rhinoceros
 1244 auklet in the Gulf of the Farallones region, 1986-2015. Values underlined are derived estimates
 1245 based on representative index plot counts, while values in *italics* are based on the mean
 1246 relative contribution of the missing segment to the overall regional population (see text).

Year	Common Murre				Brandt's Cormorant					Rhinoceros Auklet		
	SFI	NFI	Mainland	Total	SFI	NFI	Mainland	ANI	Total	SFI	ANI	TOTAL
1986	56,104	23,054	24,198	103,357	6,662	22	390	0	7,074	.	.	0
1987	39,195	26,213	27,101	92,508	8,074	148	1,014	0	9,236	500	.	500
1988	40,931	21,654	27,797	90,382	11,924	90	800	0	12,814	500	.	500
1989	38,028	25,436	24,448	87,912	15,220	146	1,540	4	16,910	516	.	516
1990	60,506	21,616	28,148	110,270	8,996	24	1,174	0	10,194	702	.	702
1991	35,000*	18,780	16,496	70,276	12,309*	67	2,367	0	14,743	888	.	888
1992	32,400*	17,385	15,270	65,055	3,593*	20	691	100	4,403	1,074	.	1,074
1993	54,977	30,759	36,188	121,924	9,438	44	1,872	650	12,004	1,260	112	1,372
1994	50,616	29,328	29,891	109,834	10,850	32	1,832	916	13,630	1,446	176	1,622
1995	55,492	31,699	36,282	123,472	10,402	4	1,870	902	13,178	1,632	190	1,822
1996	65,400*	35,092	42,247	142,739	9,741*	71	1,994	732	12,538	1,818	196	2,014
1997	77,564	44,492	38,951	161,007	10,324	100	1,832	1,322	13,578	2,004	220	2,224
1998	52,670*	28,261	24,823	105,754	5,432	24	956	664	7,076	2,190	164	2,354
1999	92,284	51,494	43,383	187,161	7,848	54	1,906	1,210	11,018	2,376	188	2,564
2000	97,177	50,822	46,846	194,845	7,836	116	1,836	1,576	11,364	2,562	184	2,746
2001	100,343	57,539	47,982	205,864	9,492	116	2,076	1,360	13,044	2,748	212	2,960
2002	115,659	65,727	52,858	234,245	14,518	196	3,258	1,980	19,952	2,934	246	3,180
2003	115,079	64,955	48,088	228,122	13,602	196	3,158	1,938	18,894	3,120	284	3,404
2004	114,901	64,872	59,210	238,983	17,014	102	4,320	3,804	25,240	3,306	248	3,554
2005	129,485	67,196	55,702	252,383	14,874	40	3,892	3,426	22,232	3,315	210	3,525
2006	173,709	92,247	79,527	345,484	23,478	96	6,344	4,958	34,876	2,712	256	2,968
2007	210,962	95,430	90,866	397,258	27,120	62	9,004	5,256	41,442	2,969	216	3,185
2008	<u>167,306</u>	<i>90,894</i>	<i>61,575</i>	281,734	5,839*	60	4,148	3,430	13,477	2,902	270	3,172
2009	<u>167,348</u>	<i>90,917</i>	<i>80,811</i>	339,076	1,506*	20	696	692	2,914	3,192	248	3,440
2010	<u>189,249</u>	<i>102,815</i>	79,800	371,864	6,192*	42	956	462	7,652	4,018	262	4,280
2011	<u>226,161</u>	<i>122,868</i>	76,549	425,578	5,931*	72	2,842	2,926	11,771	4,029	236	4,265
2012	<u>205,324</u>	<i>111,548</i>	81,507	398,379	4,162*	66	3,042	3,082	10,352	3,817	266	4,083
2013	<u>232,006</u>	114,281	88,655	434,942	8,943*	24	3,786	4,012	16,765	4,877	264	5,141
2014	<u>230,132</u>	<i>125,026</i>	94,344	449,502	7,922*	96	3,802	3,614	15,434	5,011	296	5,307
2015	<u>233,219</u>	<i>140,000</i>	85,190	458,409	6,602*	78	3,766	3,294	13,740	4,498	330	4,828

1247 *Aerial survey data not available; SFI population from ground-based counts

1248

Figure 1

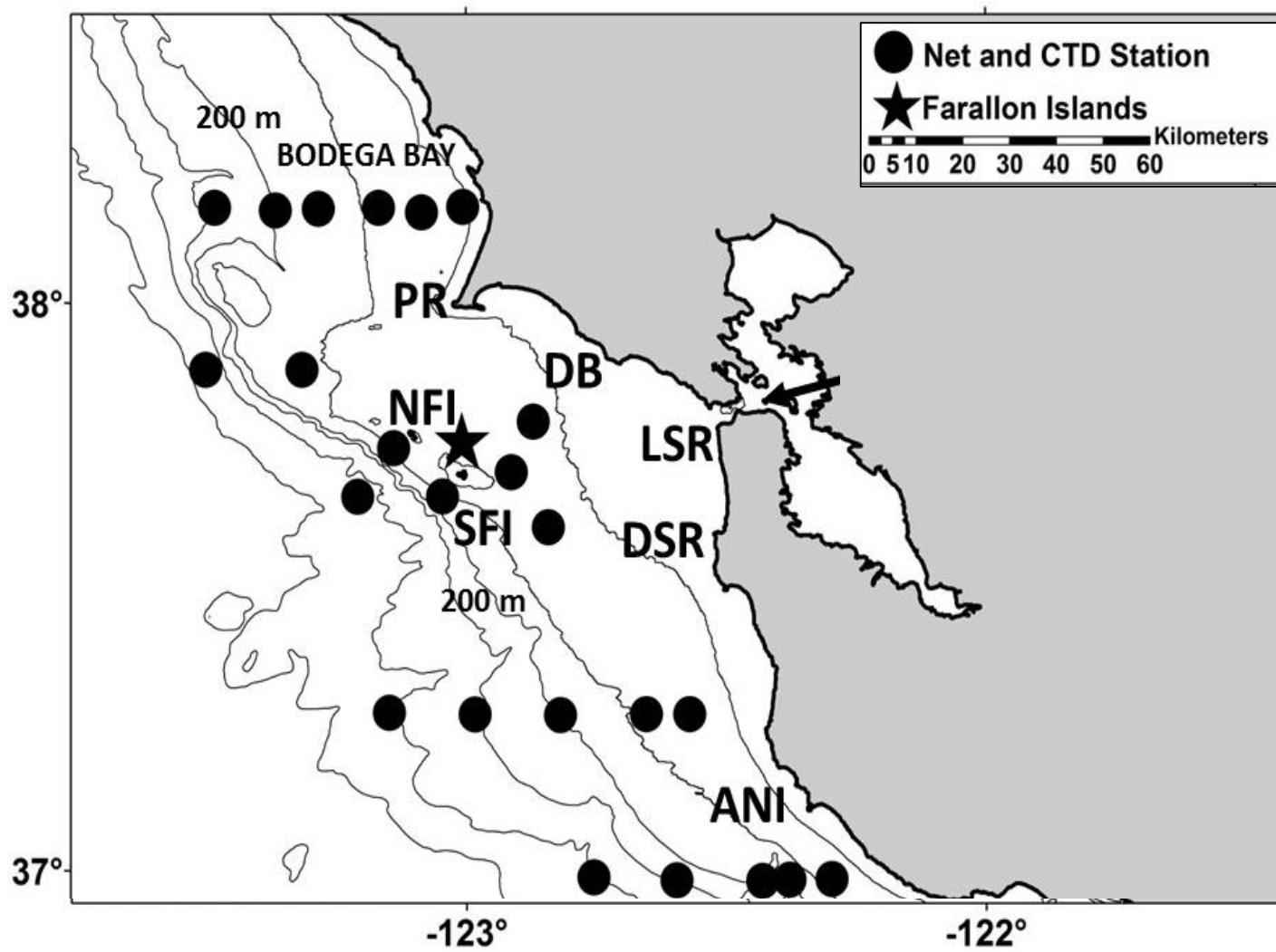


Figure 2

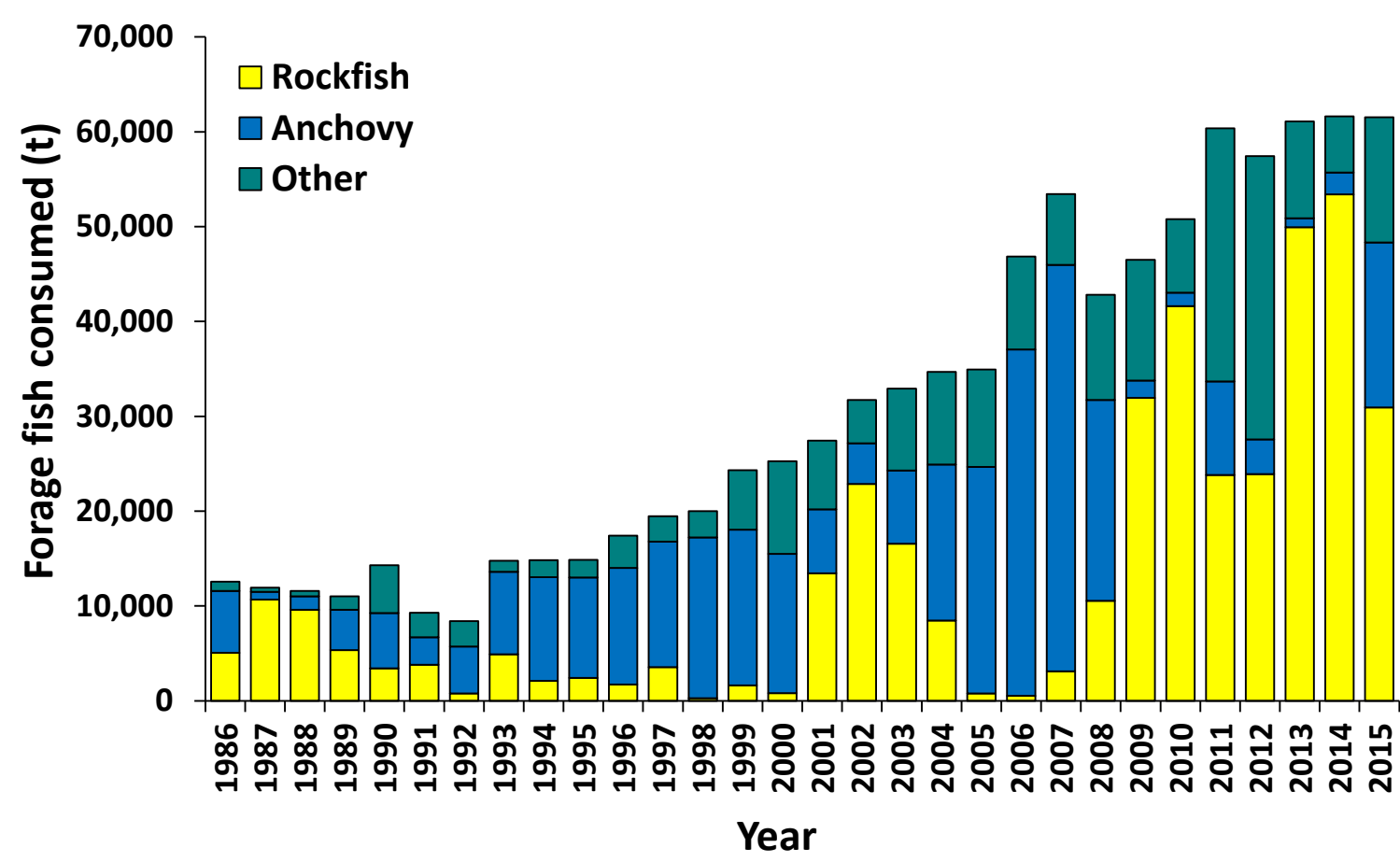


Figure 3

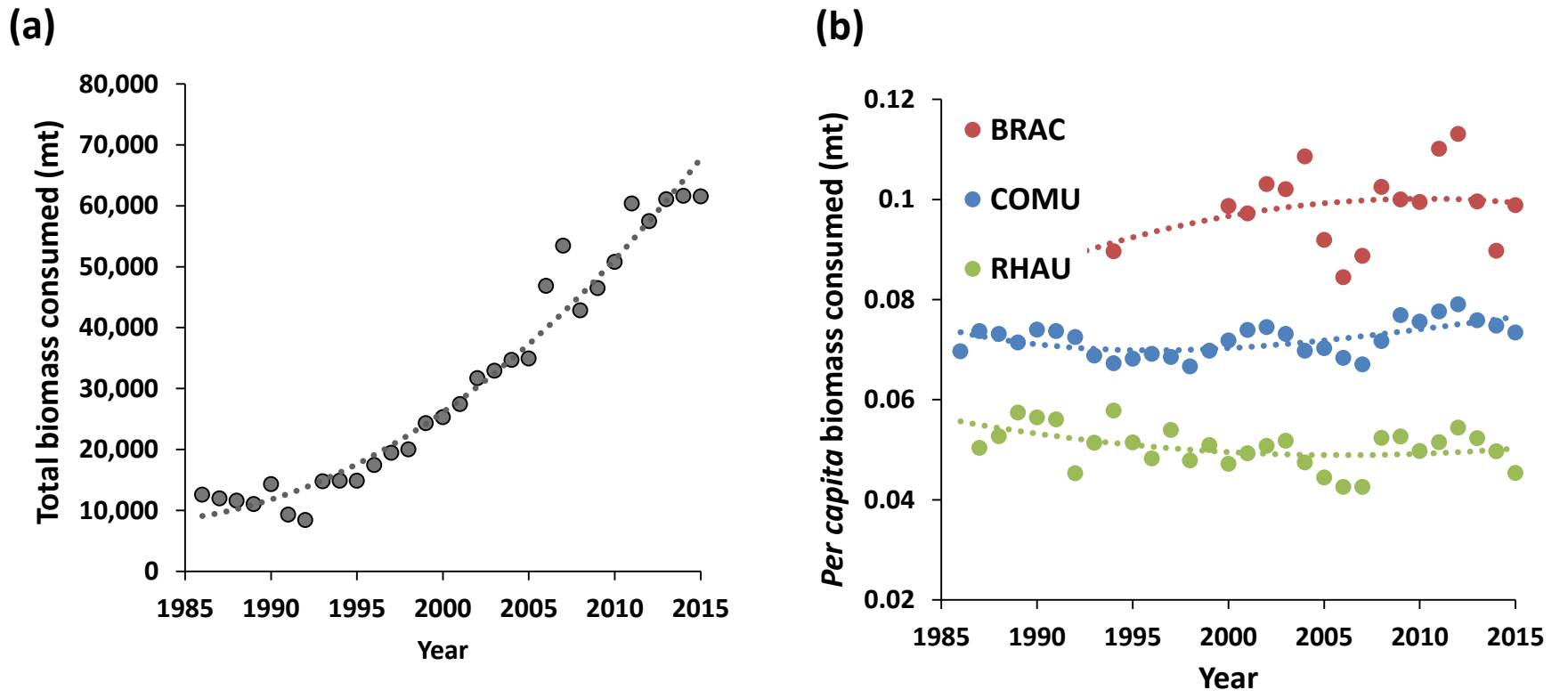


Figure 4

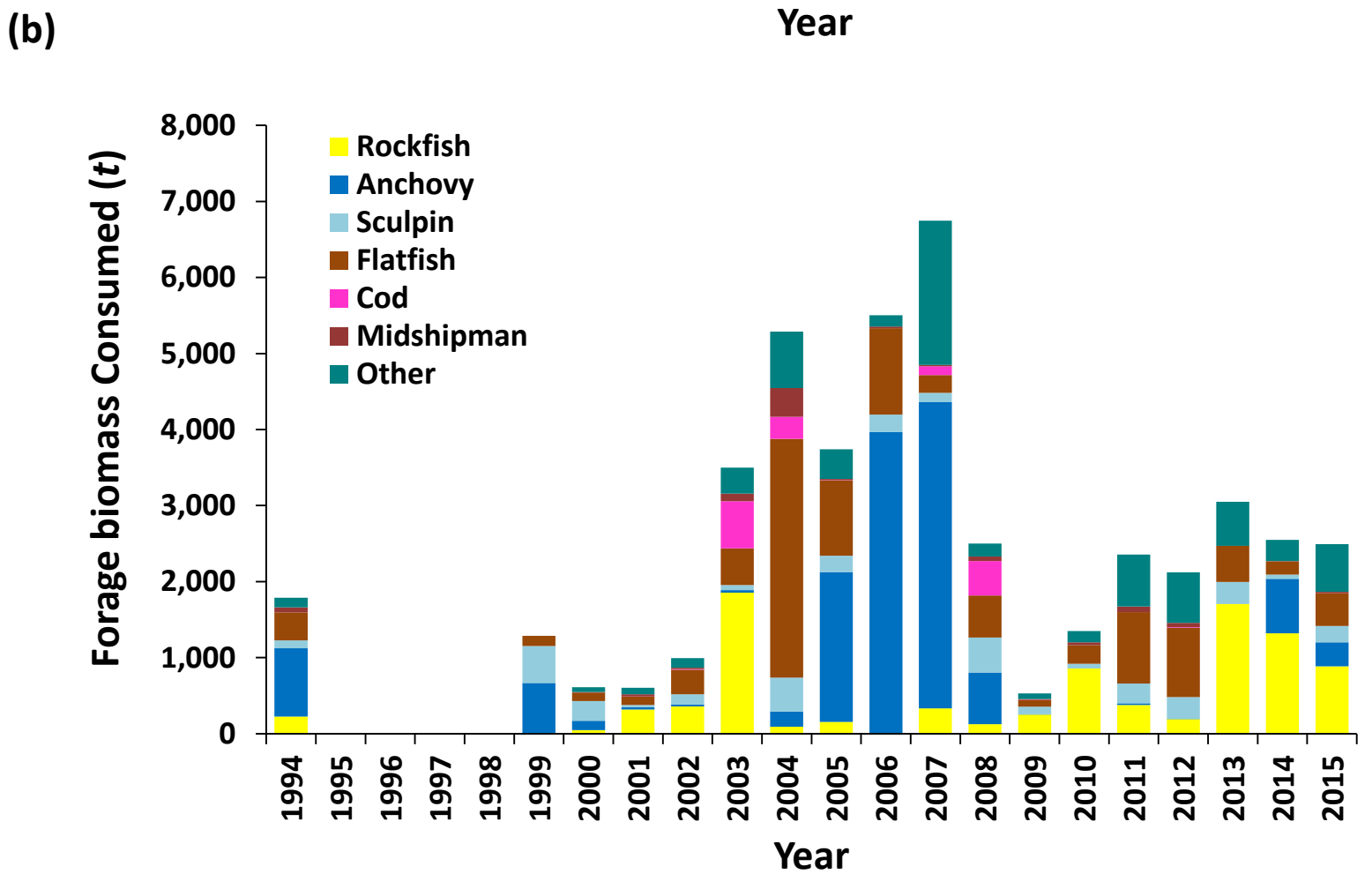
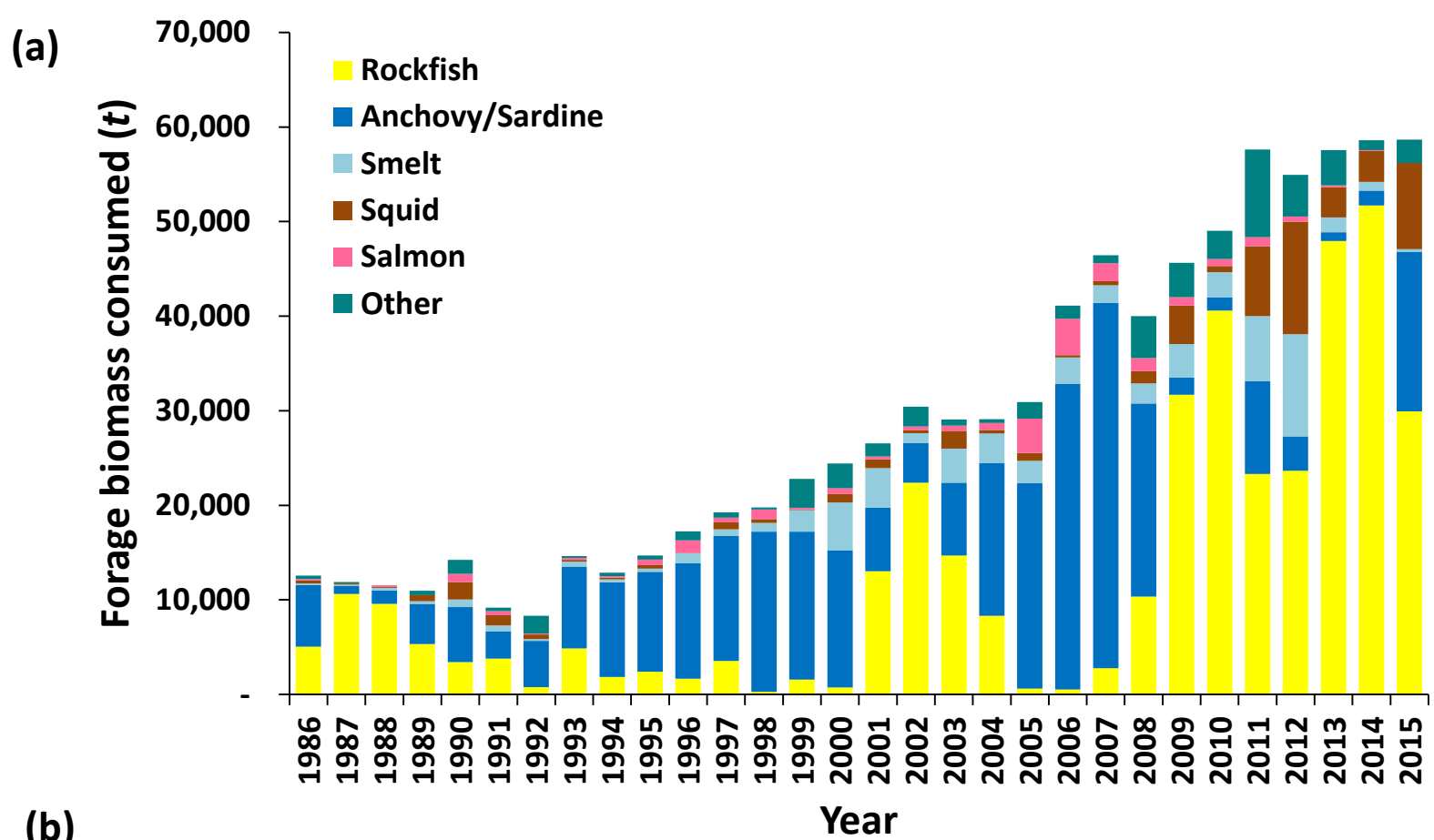


Figure 4

(c)

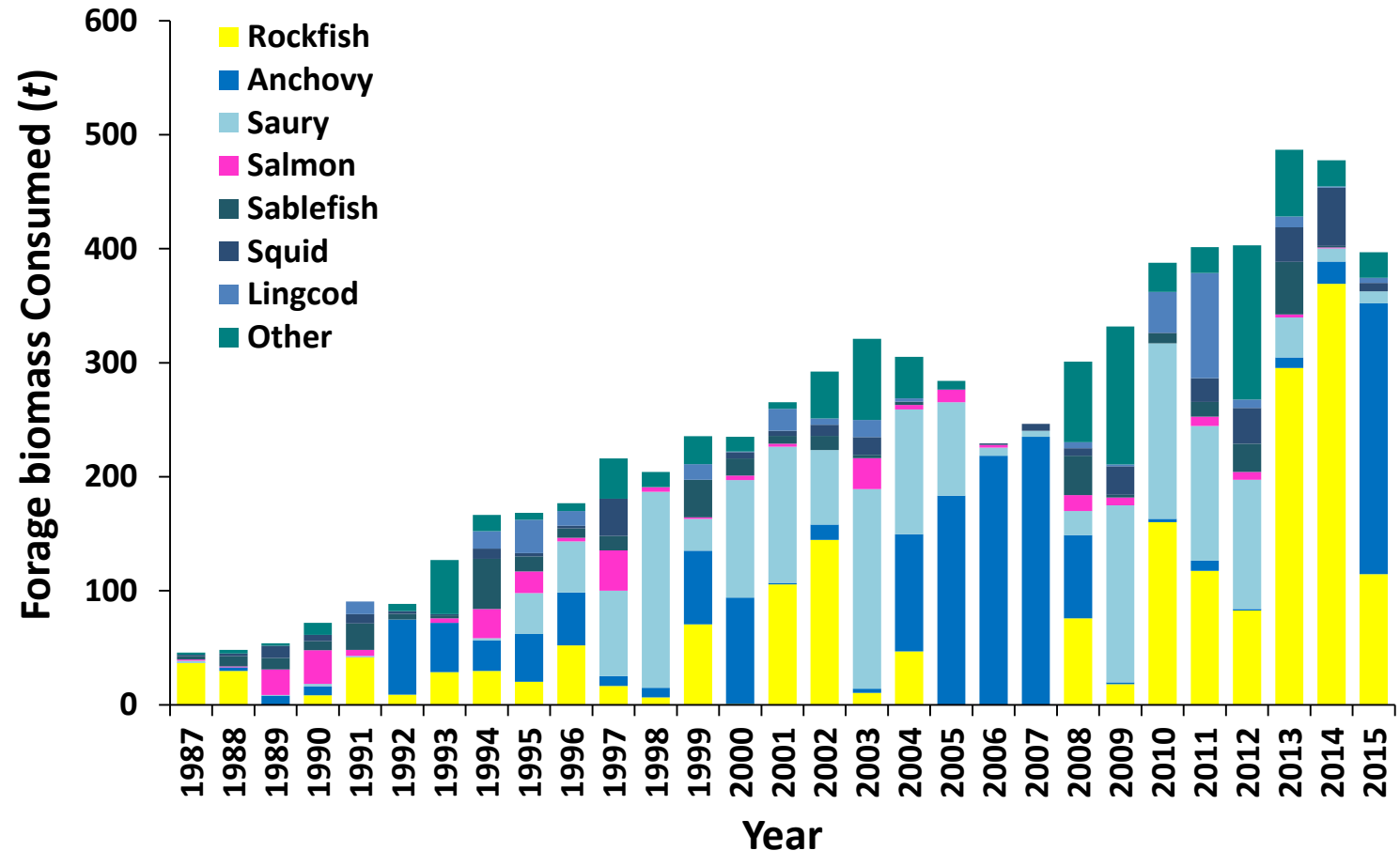


Figure 5

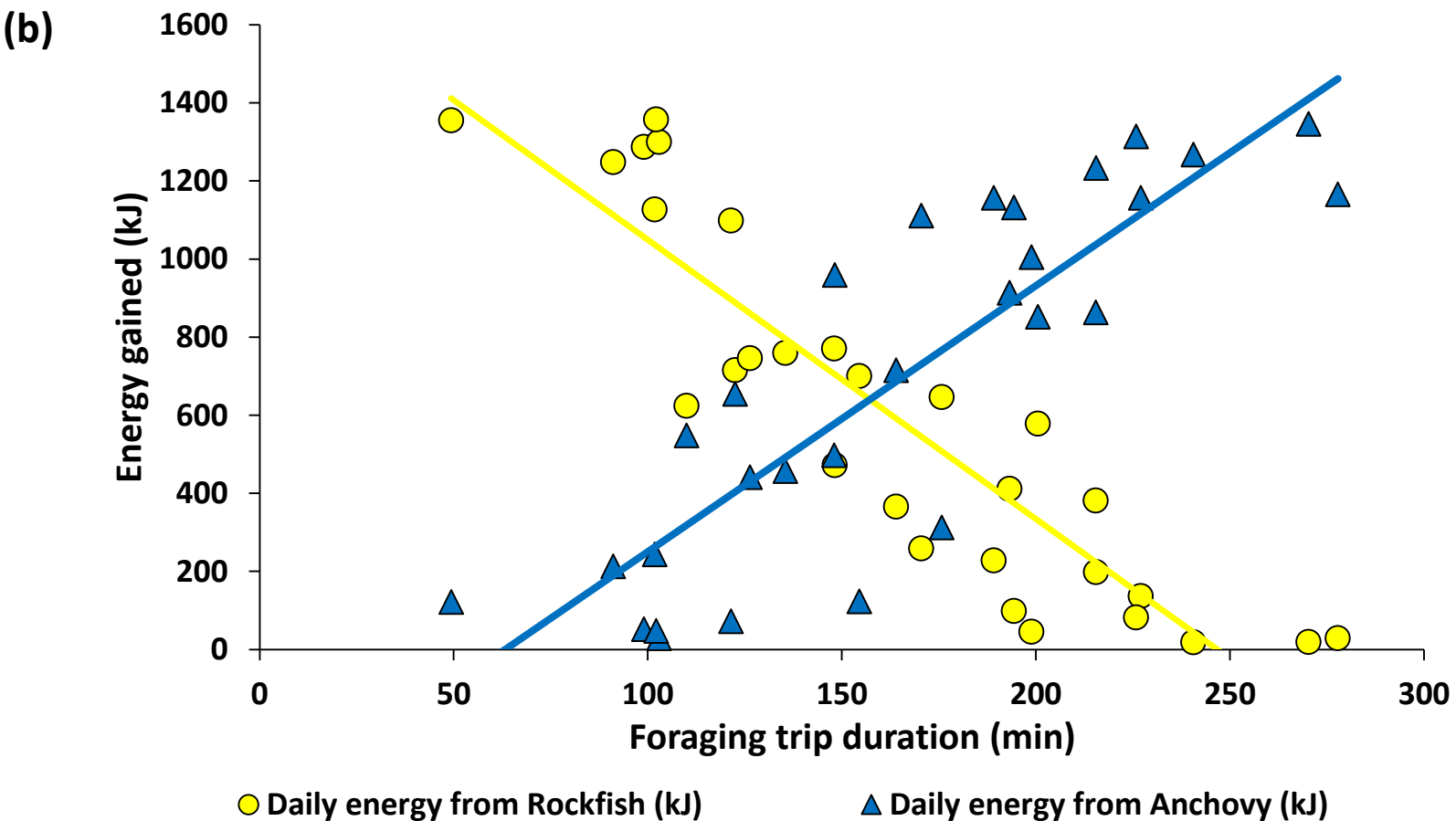
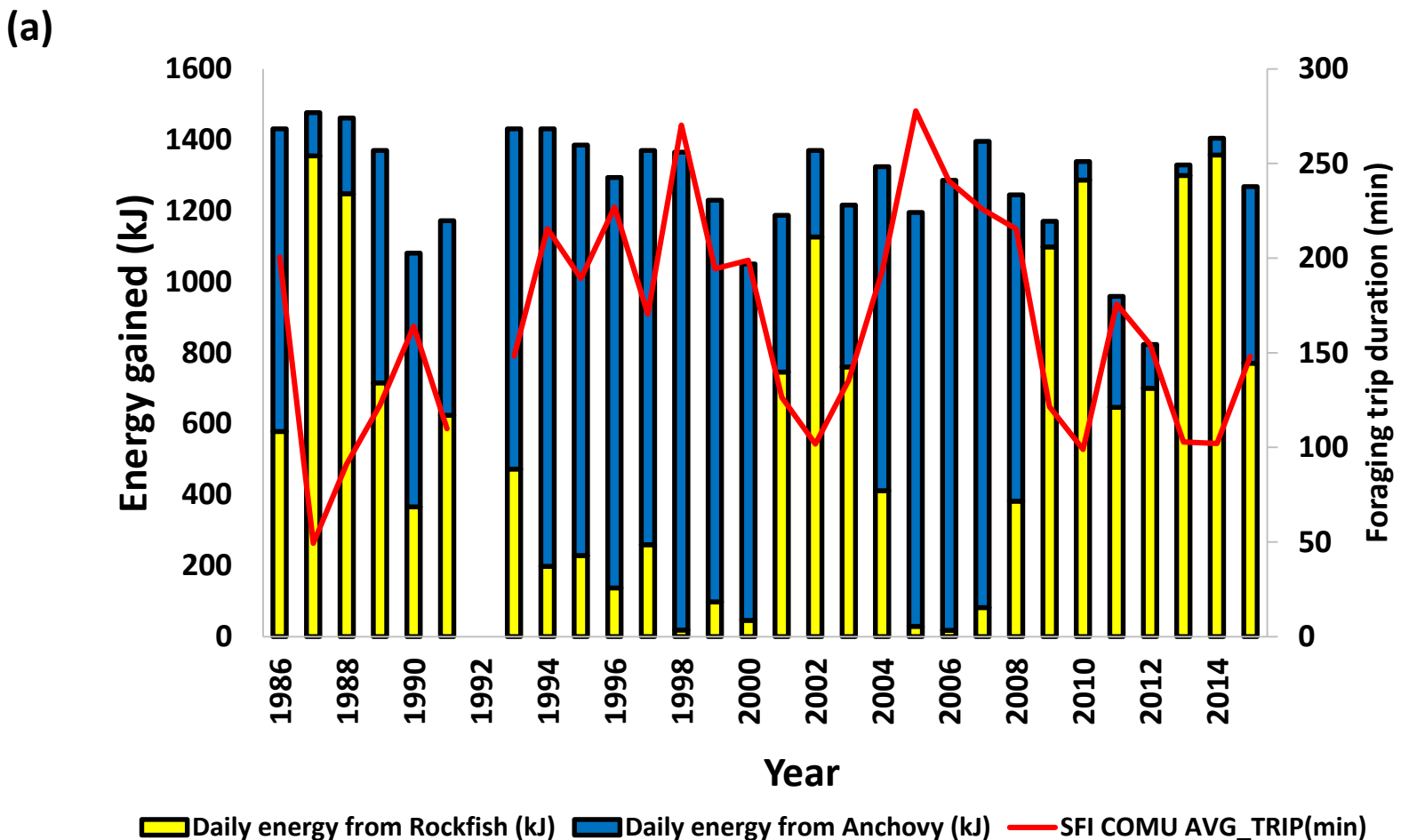


Figure 6

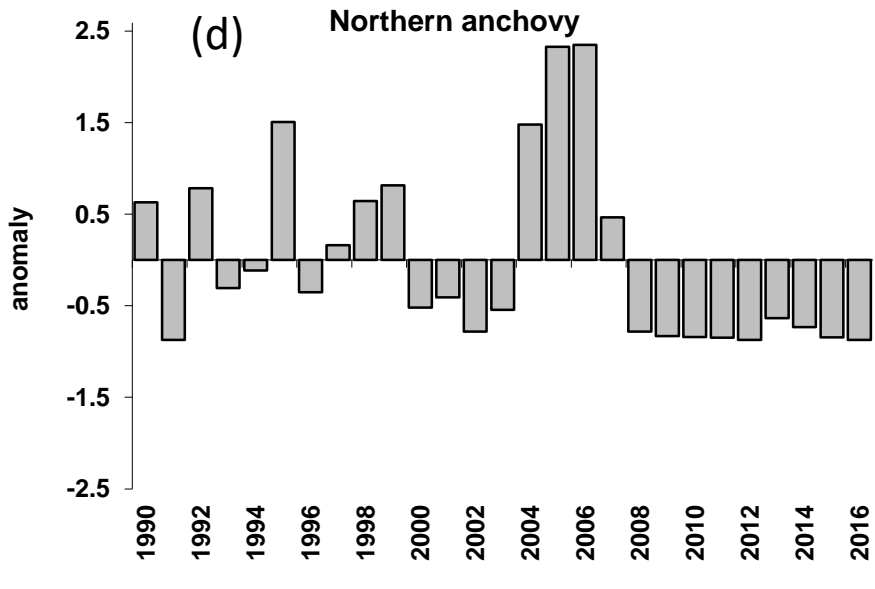
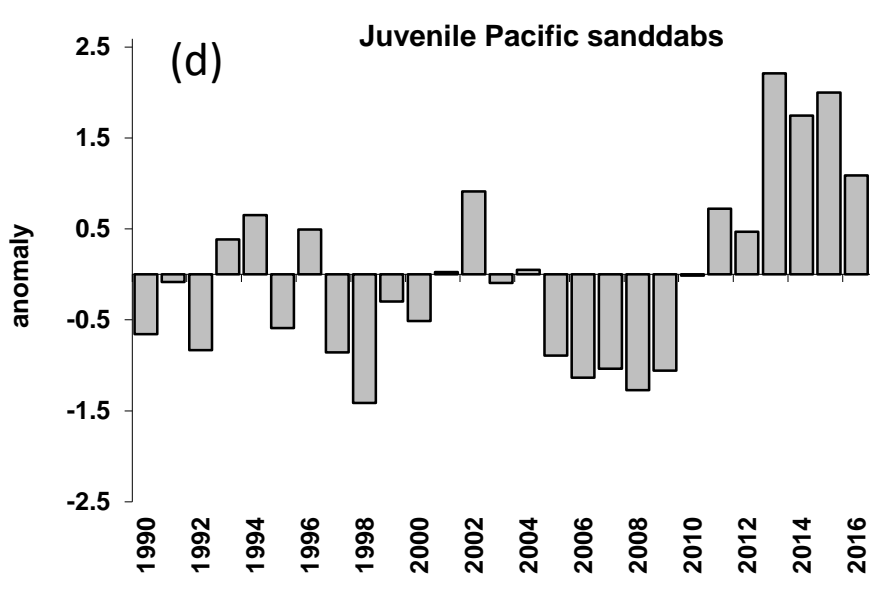
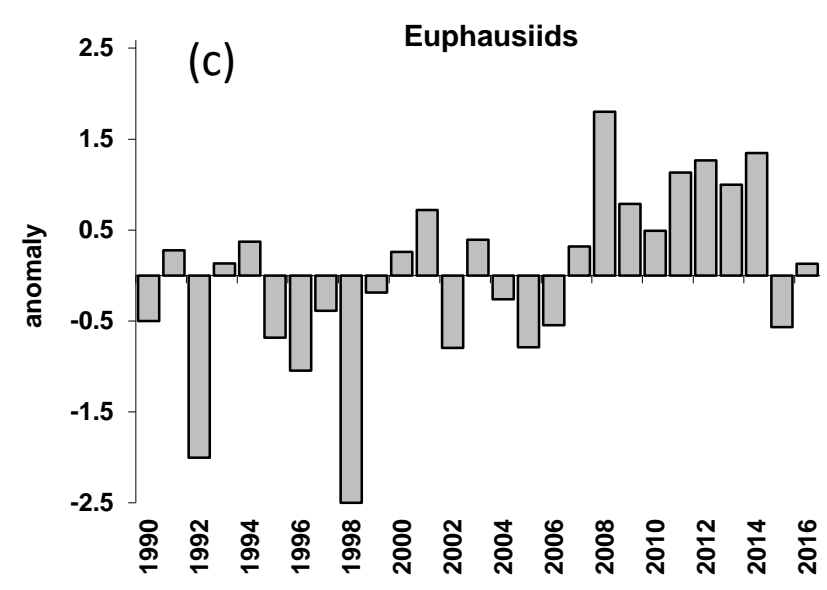
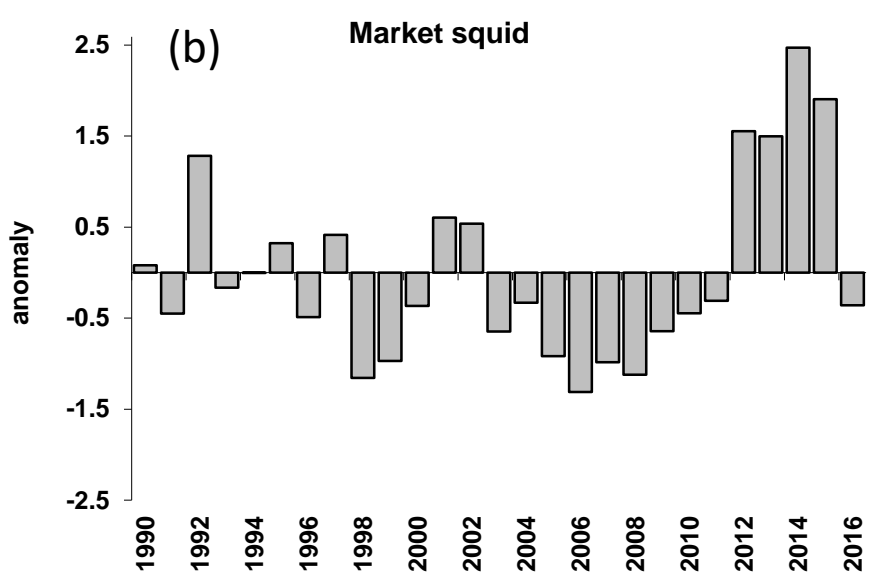
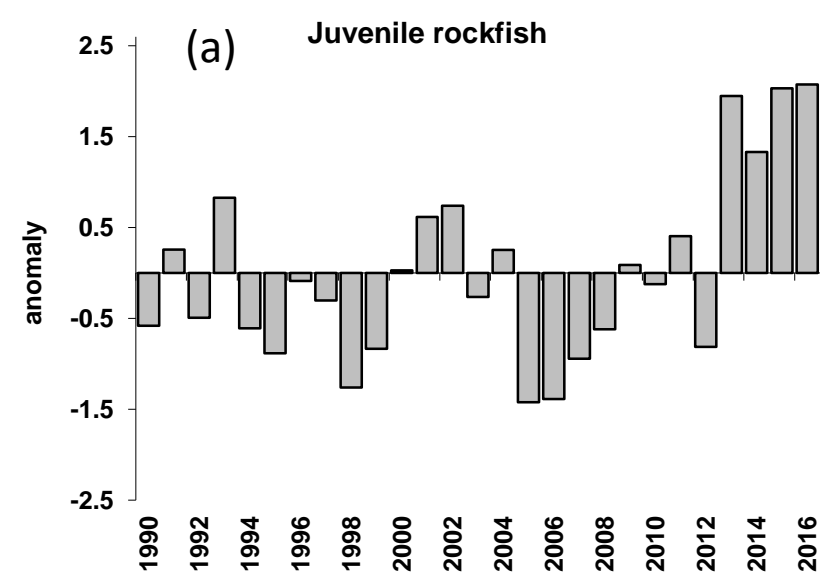


Figure 7

