

1 **Shading as a mitigation tool for coral bleaching in three common Indo-Pacific**
2 **species**

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20

21 **Abstract**

22

23 Shading substantially reduced the degree of bleaching in *Acropora muricata*, *Pocillopora*
24 *damicornis* and *Porites cylindrica* in American Samoa. Experiments were conducted outdoors at two
25 sites on Ofu and Tutuila Islands. An aquarium experiment was set up near some reef-flat pools in the
26 National Park of American Samoa on Ofu Island, using different levels of shading (none, 50% and
27 75%) early in conditions of cumulative thermal stress corresponding to NOAA's Coral Reef Watch-
28 Bleaching Alert System. We analyzed the effects of cumulative thermal stress regarding coral growth,
29 as well as color changes (evaluated using a standardize reference card) as a proxy for decreases in
30 symbiont density and chlorophyll a content (i.e. bleaching). Thermally stressed corals grew less than
31 controls, but corals without shading experienced a more substantial decrease in growth compared to
32 those under 50% or 75% shade. The analysis of coral color showed that both levels of shading were
33 protective against bleaching in conditions of cumulative thermal stress for all species, but were
34 particularly beneficial for the most sensitive ones: *A. muricata* and *P. cylindrica*. Heavier shading
35 (75%) offered better protection than lighter shading (50%) in this experiment, possibly because of the
36 intense light levels corals were subjected to. Although there were limits to the extent shading could
37 mitigate the effects of cumulative heating, it was very effective to at least Degree Heating Week
38 (DHW) 4 and continued to offer some protection until the end of the study (DHW 8). In Tutuila, a
39 shaded / not-shaded platform experiment was carried out in a reef pool in which corals have shown
40 repeated annual summer bleaching for several years. This experiment was designed to investigate if
41 shading could attenuate bleaching in the field and also if there were negative consequences to shading
42 removal. The only factor controlled was light intensity, and our main conclusion was that overall corals
43 on the platform became darker than field colonies in response to shading, but adjusted back to the same
44 color level as field colonies after shade removal. However, the latter results are preliminary and need to
45 be confirmed by future studies under more controlled conditions. As bleaching becomes more frequent
46 and regular due to global warming, we should consider proactively using shading to help mitigate the
47 effects of thermal stress and prolong the survival of at least some coral communities, until solutions to
48 address global climate change become effective.

49

50 **1. Introduction**

51

52 Solar radiation is one of the most important determinants of the distribution of marine
53 organisms. The ultraviolet (UV) portion (290-400 nm) is harmful for many marine species (Jokiel,
54 1980), while photosynthetically active radiation (PAR, 400-700 nm) is necessary for those that are
55 photosynthetic or in a photosynthetic symbiosis, such as most hermatypic corals (for a comprehensive
56 review on coral-algal photobiology see Roth, 2014).

57 There is a tradeoff between the cost of defense against UV and the gains from PAR, both of
58 which decrease with depth. Increased energy from solar radiation can sometimes induce damage to
59 photosystem II (the site of the initial stage of photosynthesis) and cause bleaching, i.e. paling of corals
60 due to loss of photosynthetic endosymbionts and/ or decrease in their pigmentation (Brown, 1997a;
61 Brown et al., 1994; Coles and Jokiel, 1978; Gleason and Wellington, 1993; Hoegh-Guldberg and
62 Smith, 1989; Le Tissier and Brown, 1996; Lesser et al., 1990).

63 However, it is the synergistic effects of intense solar radiation with elevated temperature that
64 are more detrimental, as both contribute excess energy (Dunne and Brown, 2001; Gorbunov et al.,
65 2001) which increases the production of reactive oxygen species in both host (coral animal) and
66 zooxanthellae (endosymbionts), reduces the concentration of D1 protein in the initial stages of
67 photosynthesis, leads to greater DNA damage in the host, decreases photosynthetic pigments, and
68 reduces mycosporine-like amino acids that protect the coral and zooxanthellae by absorbing excess
69 radiation (Gorbunov et al., 2001; Lesser and Farrell, 2004).

70 The benefits of natural protection of corals from intense light during periods of thermal stress
71 have been observed from large-scale coastal dimensions (e.g. areas with turbid water or greater cloud
72 cover) to within coral colonies. Prior to the recent mass bleaching event (Heron et al., 2016; Hughes et
73 al., 2017), the circumtropical mass bleaching of 1997/98 was one of the most harmful in history; the
74 world lost about 16% of its living coral (Wilkinson et al., 1999). A striking exception was the lack of
75 significant bleaching and mortality in French Polynesia; long-term sea-surface temperature (SST) and
76 cloud cover records indicated that cloud cover may have alleviated bleaching stress from high SST by
77 partial protection from solar irradiance (Mumby et al., 2001a). A study of spatial variation in bleaching
78 response to the 2010 seawater warming by corals among 80 sites in Palau found that coral bleaching
79 was significantly higher in the clear waters of outer reefs than in the more turbid waters of bays
80 (Golbuu et al., 2011). Goreau et al. (2000) reported less mortality from bleaching in relatively turbid
81 waters of Sri Lanka and the Seychelles. Wagner et al. (2008) also showed that near shore corals
82 growing in turbid conditions with low light levels were less susceptible to bleaching, despite high

83 temperatures. Likewise, in clear water on outer reefs in Palau, bleaching was observed in *Astrea curta*
84 colonies down to 24 m, in contrast to the turbid Toachel Mlengui channel out of Ngermeduu Bay,
85 where large stands of *Acropora horrida* and other coral genera showed no signs of bleaching in 3 – 5 m
86 of water (CEB, pers. obs.).

87 On a more site-specific scale, Mumby et al. (2001b) documented an increased protection of
88 corals from bleaching with depth. There are even differences in tolerances within coral colonies that
89 appear to be a result of which polyps are facing more solar radiation. Fenner and Heron (2008)
90 documented annual bleaching on the upper surfaces of branches of *Acropora muricata*, and at the
91 extreme, tissue on the tops of some branches died while tissue on the bottom remained healthy. Brown
92 (1997b) showed that bleaching occurred in a portion of a *Goniastrea pectinata* colony more exposed to
93 light. Glynn (1984), Robinson (1985), and Glynn and D’Croz (1990) all found that there was less
94 bleaching of polyps that were receiving solar radiation less directly, being positioned on sides facing
95 away from the predominant exposure angle, in crevices or fissures in the colony.

96 There have been several coral-reef manager’s handbooks (for a reference list see Grimsditch
97 and Salm, 2006) produced that provide guidance for aiding the recovery after a bleaching event and
98 increasing the resilience of coral-reef species and communities. One of these handbooks (Marshall and
99 Schuttenberg, 2006) highlights that two main variables; the intensity of thermal stress and the ability of
100 local corals to withstand such conditions, will be key to their long-term survival. Grimsditch and Salm
101 (2006) also suggest that solar radiation, among other factors, can play an important role affecting the
102 survival of reefs under thermal stress. We propose that for bleaching, defense may be more efficient
103 than recovery. As bleaching becomes more frequent due to climate change (Heron et al., 2016; Hoegh-
104 Guldborg et al., 2007; Hughes et al., 2017), we should shift from responding to events by aiding
105 recovery, to proactive programs that prevent or reduce damage.

106 Shading is unique in that it is a potential direct intervention that can reduce bleaching in
107 response to a specific forecast of a coming event. Thermal stress warning is now available via a
108 satellite-based program provided by the National Oceanic and Atmospheric Administration (NOAA);
109 the Coral Reef Watch-Bleaching Alert System (CRW-BAS, <http://coralreefwatch-satops.noaa.gov>; Liu
110 et al., 2014; Heron et al., 2016).

111 The CRW-BAS program uses satellite data on SST measurements to identify areas that are 1°C
112 above the expected maximum monthly mean (“HotSpot”) and quantify the accumulated thermal stress
113 over 12 weeks to determine the probability that bleaching may occur. One “Degree Heating Week”
114 (DHW) corresponds to temperatures 1°C above the maximum monthly mean SST for 7 days. DHW 2
115 is the same as DHW 1 but for 14 days, or temperatures 2°C above the maximum monthly mean SST

116 for 7 days, and so on. Based on cumulative thermal stress, a bleaching warning system was developed:
117 No Stress ($\text{HotSpot} \leq 0^\circ\text{C}$), Bleaching Watch ($0^\circ\text{C} < \text{HotSpot} < 1^\circ\text{C}$), Bleaching Warning ($\text{HotSpot} \geq$
118 1°C and $0 < \text{DHW} < 4$), Bleaching Alert Level 1 ($\text{HotSpot} \geq 1^\circ\text{C}$ and $4 \leq \text{DHW} < 8$), and Bleaching
119 Alert Level 2 ($\text{HotSpot} \geq 1^\circ\text{C}$ and $\text{DHW} \geq 8$).

120 In this study, we examined the response of three branching species widely distributed in Indo-
121 Pacific reefs; *Acropora muricata*¹, *Pocillopora damicornis* and *Porites cylindrica*, to shading under
122 bleaching conditions, and its potential use as a mitigation tool. Experiments were conducted in
123 American Samoa using different levels of shading early in conditions of cumulative thermal stress
124 corresponding to CRW-BAS on Ofu Island, and measuring shading effects on corals during the annual
125 bleaching season on Tutuila Island.

126
127

128 **2. Methods**

129

130 Two sites were chosen for field shading experiments. A shaded / not-shaded aquarium
131 experiment was set-up outdoors, under natural sunlight, near some reef-flat pools in the National Park
132 of American Samoa on Ofu Island. These diverse coral communities experience daily seawater
133 temperature fluctuations as high as 4°C to 8.6°C , depending on the pools (Craig et al., 2001). Also, a
134 shaded / not-shaded platform experiment was carried out in a reef pool in Tutuila in which corals have
135 shown repeated annual summer bleaching for several years (Fenner and Heron, 2008).

136

137 *2.1. Coral Color Measurements*

138

139 In both experiments the response to stress was recorded using a standardized color reference
140 card (Coral Health Chart, www.coralwatch.org) developed by Siebeck et al. (2006), which uses a 6-
141 point brightness/ saturation scale as a reliable proxy for changes in symbiont density and chlorophyll a
142 content, at least at the 2-units level difference. Fabricius (2006) also showed that the same color scale
143 was strongly and linearly related to the background fluorescence measurements of the corals she
144 studied, confirming the reliability of this method to estimate potential bleaching responses over time.

145 Siebeck et al. (2006)'s coral reference card also includes different hues, designated by letters, to
146 assist the observer in matching the color of the coral. In the present study we used the C hue for A.

¹ *Acropora formosa* is a junior synonym of *Acropora muricata* (Wallace, 1999)

147 *muricata*, the D hue for *P. damicornis*, and the E hue for *P. cylindrica*. However, only the card's
148 numeric data were analyzed, as these are the key measurements to estimate changes (Siebeck et al.,
149 2006).

150 The numeric scale varies from 1 to 6 units, with 6 representing the greatest saturation and least
151 brightness, and therefore, the highest symbiont density and chlorophyll a content (Siebeck et al., 2006).

152 For each coral we recorded the lightest and darkest color scores, being careful not to include the
153 very tip of the branches in the measurement, as they may be lighter due to rapid growth. The final color
154 score for each coral was the average number between the lightest and darkest color units (Coral Health
155 Chart, www.coralwatch.org). To reduce possible variability due to multiple observers (Siebeck et al.,
156 2006), only one of us scored the color data over time for the same species (in Ofu experiments, VC
157 recorded the data for *A. muricata*, and YH for *P. damicornis* and *P. cylindrica*; for Tutuila experiments,
158 DF scored all color data for all species).

159

160 2.2. Ofu Experiment

161

162 During June-July 2011, coral fragments were collected from as many different colonies as
163 possible of *A. muricata*, *P. damicornis* and *P. cylindrica* in "Pool 400" at the National Park of
164 American Samoa in Ofu. Pool 400 is one of the larger pools on the southeast coast of Ofu and probably
165 because of its greater volume, temperature does not fluctuate as much as in the smaller pools. In Pool
166 400, the annual mean seawater temperature was 28.6°C, the mean summer temperature was 29.3° C,
167 and the range through the year varies from 26.2°C - 31.9°C (referred to as "Pool B" in Craig et al.,
168 2001). Coral branches were broken into 3-5 cm long fragments that were then glued with epoxy onto a
169 plastic stub and allowed to recover for a minimum of 3 days in running seawater tables. Approximately
170 30 coral fragments per species were placed in each of 8 aquaria, with a total of 702 corals; 234
171 fragments per species (Figure 1, and Figure A1 in the Appendix, Supplementary Material).

172 Two of the aquaria were set at 28.5°C as controls. All other aquaria were kept at 31.5°C; two
173 had no shading, two had 50% shading starting just after DHW 1 was reached, and two had 75%
174 shading starting just after DHW 1 was reached. The temperature data per aquarium can be found in the
175 Appendix, Figure A2. The experiment continued until DHW 8 was reached (30 days).

176 All coral fragments had their buoyant weight measurements (Jokiel et al., 1978) taken at the
177 beginning of the experiment ("DHW 0") and at the end (DHW 8). At the end, before weighing, any
178 algal growth found on the base of the stub was removed as much as possible, and also from the
179 fragment itself if necessary, with care not to damage the coral.

180 Photos were taken at the beginning of the experiment and at every DHW with the standardized
181 color reference card (Siebeck et al., 2006). Control corals were photographed on the same days
182 thermally stressed corals reached a new DHW.

183

184 *2.2.1. Aquaria System*

185

186 Ten separate 80 liter (22 gallon) polycarbonate tanks (Figure 1, Cambro Manufacturing)
187 received fresh seawater from a 3800 liter (1000 gallon) container located close to shore, which was
188 refilled twice a day by a gas-powered water pump. Water flow to individual tanks was regulated at a
189 rate of about 20 liters (5 gallons) per hour using flow meter valves (Key Instruments).

190 Seawater in the tanks was heated or cooled by diverting water from the tanks through coiled
191 stainless steel heat exchangers using a system of pumps and plastic tubing. All tanks were fully self-
192 contained with no mixing of water amongst them. Heating or cooling of the heat exchangers was
193 achieved by their immersion in insulated chests filled with fresh water heated to ~36°C or cooled to
194 ~22°C by a central heater (Elecro 4kW, Aqua Logic Inc.) or chiller (Delta Star ¾ HP, Aqua Logic
195 Inc.), respectively. Process controllers (Love Temperature Controller 16B-33, Dwyer Instruments Inc.)
196 monitored individual tank temperature via a thermocouple (Type J, Omega Engineering Inc.) installed
197 in each tank, and activated pumps (QuietOne 1200, Lifegard Aquatics) via relays to send tank water
198 through the appropriate heat exchanger and back, according to a programmed temperature set point.

199 A separate pump (QuietOne 3000 - LifeGard Aquatics) centrally located at the bottom of each
200 tank was used in conjunction with rotating diverter heads (BioFlo nozzle, Hydor S.R.L.) to circulate
201 water continuously.

202

203 *2.2.2. Light Measurements*

204

205 Irradiance was measured with an underwater spherical quantum sensor, and light meter,
206 (LiCor®; LI-193SA, LI-250A) for PAR, and a UV radiation sensor, and datalogging radiometer (Solar
207 Light®; PMA 2104, PMA 2100), that detected biologically weighted UV, also called “sunburning” UV
208 radiation, in the 280 to 370 nm range following closely the erythema action spectrum (Appendix,
209 Figure A3). The UV sensor’s peak relative spectral response was between 280 to 300 nm. Knitted
210 black polyethylene fabric designed to reduce light by 50% and 75% were used to shade different
211 aquaria (Figure 1, and Appendix, Table A1). The effect of cloud cover on irradiance and level of
212 cloudiness observed during the experiment was also recorded (Appendix, Table A2 and Figure A4).

213 The highest PAR levels on a cloudless day were above 2000 $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ and UV was above 5
214 $\mu\text{W cm}^{-2}$ (Appendix, Tables A1 and A2, Figure A3).

215

216 2.3. Tutuila Experiment

217

218 The study site was a large pool in Coconut Point, Nu'uuli, where two of the most common coral
219 species are *A. muricata* and *P. cylindrica*. *Pocillopora damicornis* colonies are also abundant in this
220 pool and were examined as well.

221 Coral fragments were cut to 3-5 cm as in the Ofu experiment, and placed on small plastic stubs
222 (using ethyl cyanoacrylate glue, 700cps, E-Z Bond ®) that were attached with plastic coated wires to a
223 1 cm plastic mesh grid, which was located within a basket made with polyvinyl chloride (PVC) pipes.
224 The PVC basket was placed atop of the same mesh material in a larger platform structure (trestle) that
225 was anchored by PVC poles (perforated at regular intervals) secured deep in the sediment with rebars
226 (Figure 2). The trestle's grid was about 15 cm above the sandy substrate (Harriot and Fisk, 1987).

227 The experimental platform was installed in a shallow water site (about 3 m deep). Corals were
228 taken from similar depths to the depth at which the experiment was set up, to minimize light
229 acclimatization issues. The trestle had two baskets with a minimum of 30 coral fragments per species
230 each, placed in a manner that allowed one of them to be under full sunlight and the other one to be
231 shaded.

232 A plastic mesh of 7 mm, Nylex, high-density polyethylene (Jompa and McCook, 2002) was
233 attached to the sides of the platform's poles to decrease predation effects ("cage"). At an earlier trial,
234 prior to the beginning of this experiment, we caged the entire structure but soon realized that we
235 needed to allow herbivores to get in to minimize algal growth around the corals and on the trestle.
236 Thus, we kept the caging material on the sides in an attempt to minimize predation on corals, but
237 because half of the trestle did not have shading or caging material on top, fish could come in and out
238 freely.

239 Bleaching levels were assessed using the standardized color reference card (Siebeck et al.,
240 2006). We compared the mean color score for field colonies, and coral fragments placed on the trestle
241 with caging material surrounding it, and 50% shade on top of half of it. Because of the surrounding
242 caging material, both sides of trestle received some shading in comparison to field colonies. However
243 the exposed side, i.e. without top shading, received more sunlight than the shaded side (with 50% top
244 shade).

245 Field colonies were assessed randomly, i.e. we did not tag coral colonies and re-visited the
246 exact same ones every time. In areas with large thickets of *A. muricata* or *P. cylindrica*, color scoring
247 was done using a 0.5 m² quadrat dropped at regular intervals during a fixed course swim. Coral
248 colonies of *P. damicornis* were randomly chosen for assessment during the same trajectory.

249 The trestle was placed in the field in November 2010 at the expected beginning of the bleaching
250 season. Coral color score assessments took place in February (bleaching season), April (bleaching
251 season) and August (non-bleaching season) 2011. Field colonies of each species were assessed at the
252 same time. The only exception was field colonies of *P. damicornis*, which were assessed in the
253 beginning of May while the trestle coral fragments were all assessed a week earlier in the end of April.
254 However, to simplify the graphs and tables, we referred to these assessments as if they all took place in
255 April.

256 Caging and shading material were removed from the trestle in late April, close to the expected
257 end of the bleaching season (Fenner and Heron, 2008). The April coral color score assessment was
258 carried out immediately before the removal of the caging and shading material.

259 Average temperature changes over time for Tutuila during the study period can be found in the
260 Appendix, Figure A5.

261

262 2.4. Statistical Analyses

263

264 2.4.1. Coral growth

265

266 Data sets related to coral growth failed normality testing, even after several transformation
267 attempts, thus differences between treatments and within species were assessed using a nonparametric
268 one-way analysis of variance (ANOVA, Kruskal-Wallis with Dunn's multiple comparisons post-hoc
269 test), as nonparametric multiple factor ANOVAs may not be accepted as valid (Zar, 1999).
270 Additionally, we built a multivariate generalized linear model (GLM) to assess the relative effects of
271 species type and experimental conditions on coral growth.

272

273 2.4.2. Coral Color

274

275 Similarly to the coral growth data, the coral color score data did not meet the assumptions
276 required by parametric statistical analysis (i.e. normal distribution). Thus, in order to identify
277 differences in coral color score among treatments at a given point in time (measured either as

278 cumulative thermal stress or time of the year, depending on the experiment), we used nonparametric
279 one-way ANOVAs (Kruskal-Wallis and Dunn's multiple comparison post hoc tests). Although this
280 approach has been previously validated (Galbraith et al., 2010), it does not provide information about
281 possible trends over time. To address this, we developed multivariate Cox proportional hazard models
282 (Hosmer and Lemeshow, 1999) to assess the extent to which the type of coral species and experimental
283 conditions (i.e. thermal stress and shade cover) contributed to the probability of coral bleaching over
284 time. We categorized the data for the Ofu experiment in two sets: non-bleached, color score above 2; or
285 bleached, color score of 2 or less (pale group, Siebeck et al., 2006). The categorical species covariate
286 did not meet the proportional hazards assumption and was therefore stratified in the subsequent
287 multivariate analysis to control for the potential confounding effect of species type. The association
288 between the different experimental conditions relative to the control group and probability of a
289 bleaching event over time were presented as adjusted hazard ratios with corresponding 95% confidence
290 intervals. Model fit was assessed with the coefficient of determination (R^2) and the log-likelihood ratio
291 test. In addition, we created Kaplan-Meier survival curves to visualize the relative contribution of each
292 species type, experimental condition, and experimental condition within species type to the probability
293 of coral bleaching events over cumulative thermal stress.

294 Data differences within treatments over time in Ofu were analyzed using nonparametric
295 repeated measures ANOVA (Friedman and Dunn's multiple comparison post hoc tests), we compared
296 three points in time: DHW 0, 4 and 8. For Tutuila, although this same type of analysis would have been
297 the most appropriate to understand differences within coral fragments in the shaded or non-shaded
298 trestle structure at different months, we were unable to use it because the data sets were incomplete;
299 sample sizes varied as some corals died or were otherwise lost by predation, etc. Because of this
300 limitation we had to compare the data using one-way nonparametric ANOVA instead (Kruskal-Wallis
301 and Dunn's multiple comparison post hoc tests), which is less powerful than the repeated measures
302 ANOVA would have been in this specific case.

303

304 *2.4.3. Software*

305

306 Normality testing and ANOVAs were performed with the software InStat
307 (www.graphpad.com). The GLM, Kaplan-Meier curves, and the multivariate Cox proportional hazard
308 models were calculated using the R statistical software package (R Development Core Team, 2012).

309

310

311

312 3. Results

313

314 3.1. Ofu Experiment: Coral Growth

315

316 Growth was lower in all thermally stressed corals compared to controls. According to the
317 ANOVA results, corals under high temperature and without any shade grew significantly less than
318 those under 50% and 75% shade when analyzing the data for all species combined, and for *A.*
319 *muricata*. The same was observed for those under 50% shade in *P. damicornis*, and under 75% shade
320 in *P. cylindrica*. Coral growth between 50 and 75% shade was not statistically different (Figure 3,
321 Table 1).

322 Results from the GLM model revealed statistically significant relationships between species
323 and experimental conditions in relation to growth. Relative to the control group, the average monthly
324 growth decreased by 0.26 g in thermally stressed corals with no shade, decreased by 0.17 g in
325 thermally stressed corals with 50% shade, and decreased by 0.19 g in thermally stressed corals with
326 75% shade. Compared to *A. muricata*, which was the fastest growing coral, *P. damicornis*' monthly
327 growth was 0.22 g smaller on average, and *P. cylindrica*'s 0.19 g smaller on average (Figure 3, Table
328 2).

329

330 3.2. Ofu Experiment: Coral Color

331

332 The mean coral color score changes over cumulative thermal stress for the Ofu experiment can
333 be found in Figure 4 (for frequency data on color coral score in each species see Appendix, Figures A6
334 to A8).

335 Thermal stress resulted in statistically significant decrease in mean coral color score as early as
336 DHW 1 for corals fully exposed to sunlight (no shade) in comparison to control corals when analyzing
337 all species combined, and in *A. muricata*. The same was observed at DHW 2 for *P. cylindrica* and
338 DHW 5 for *P. damicornis* (Table 3).

339 Differences among thermally stressed corals that were shaded in comparison to non-shaded
340 were observed as early as DHW 2 when analyzing the data for all species combined (75% shade, DHW
341 3 for 50% shade), *A. muricata* (50 and 75% shade) and *P. cylindrica* (50% shade, DHW 3 for 75%
342 shade). However, *P. cylindrica* did not show a consistent pattern of statistically significant difference
343 between non-shaded and 50% shaded treatments over time, only corals with 75% shade did (with the

344 exception of DHW 7). In *P. damicornis*, the only differences observed started at DHW 7 (75% shade)
345 or DHW 8 (50% shade) (Table 3).

346 Thermally stressed corals under more shading (75%) had a higher mean color score in
347 comparison to those under less shading (50%) starting at DHW 4 when analyzing the data for all
348 species combined. This pattern was not consistent when analyzing the data per species over time
349 (Figure 4, Table 3).

350 Despite the statistically significant differences described above, the changes in mean color score
351 per DHW among treatments and controls were most commonly below the 2 color scores difference
352 threshold (Siebeck et al., 2006), and thus must be interpreted with caution due to the limitations of the
353 methodology used.

354 However, all corals under thermal stress and no shade did decrease by at least 2 color scores by
355 the middle of the experiment (DHW 4), except for *P. damicornis* (Tables 4 and 5). By the end of the
356 experiment (DHW 8) all of them had decreased by 2 scores or more in comparison to the starting point
357 (DHW 0). This was also true for differences among thermally stressed shaded (both 50% and 75%
358 shade) corals by the end of the experiment, the only exception being *P. damicornis*. Change in control
359 corals remained below that level when analyzing all species combined and separately. All changes in
360 mean color score over time were statistically significant (Tables 4 and 5).

361 The 2 units difference decrease in mean color score from the beginning of the experiment
362 (DHW 0) for thermally stressed corals without shade was reached at DHW 4 for all species combined
363 (2.0 units difference), DHW 3 for *A. muricata* (2.1), DHW 4 for *P. cylindrica* (2.0), and DHW 7 for *P.*
364 *damicornis* (2.0). Those with 50% shade reached it at DHW 5 for all species combined (2.0), *A.*
365 *muricata* (2.3) and *P. cylindrica* (2.2). For corals under 75% shade; at DHW 7 for all species combined
366 (2.0) and for *A. muricata* (2.0), and DHW 5 for *P. cylindrica* (2.0). Shaded *P. damicornis* corals did not
367 decrease by 2 units in color score during the experiment.

368 Kaplan-Meier survival curves suggested a significant effect on the change in coral bleaching
369 risk over time among the different experimental conditions (Figure 5A), species types (Figure 5B), and
370 experimental conditions within species (Figure 5C-E). To quantify this effect, Cox proportional
371 hazards regression analysis was conducted to explore the association between experimental conditions,
372 coral species, and the probability of bleaching over time (Table 6). First, a multivariate model (model
373 1) of data from all coral species was developed to measure the association between experimental
374 conditions and risk of bleaching, controlling for the effects of species type. Compared to the control
375 group, the risk of coral bleaching was 22.16 times higher in coral experiencing thermal stress and no

376 shade, 9.51 times higher in coral experiencing thermal stress and 50% shade, and 5.09 times higher in
 377 coral experiencing thermal stress and 75% shade.

378 Next, coral species-specific models were built to test the associations between experimental
 379 conditions and bleaching within each species group. Among *A. muricata*, risk of bleaching increased
 380 by 81.55 times, 14.14 times, and 7.21 times among coral experiencing thermal stress and 0%, 50%, and
 381 75% shade respectively, compared to the control group. Among *P. damicornis*, risk of bleaching
 382 increased by 4.80 times in coral experiencing thermal stress and no shade and 3.73 times among coral
 383 experiencing thermal stress and 50% shade, there was no statistically significant change in risk of
 384 bleaching in coral experiencing thermal stress and 75% shade. Among *P. cylindrica*, risk of bleaching
 385 increased by 140.98 times, 67.60 times, and 40.99 times among coral experiencing thermal stress and
 386 0%, 50%, and 75% shade respectively, compared to the control group.

387 The effect of shading conditions on coral bleaching risk was much higher among *A. muricata*
 388 (model 2) and *P. cylindrica* (model 4) compared to *P. damicornis* (model 3).

389

390 3.3. Tutuila Experiment

391

392 The mean SST remained below the maximum monthly mean of 29.3°C (Appendix, Figure A5)
 393 during the entire experiment in Tutuila, thus corals were not under thermal stress. The main factor in
 394 this experiment was a decrease in light availability due to shading.

395 When analyzing all species together, trestle corals were darker than field colonies in February
 396 and April (Figure 6, Table 7). Corals under heavier shading (shaded trestle, with top shade and caging
 397 material on the sides) were darker than those under lighter shading (exposed trestle, with caging
 398 material only) in February, but this difference was not statistically significant in April. After the
 399 removal of all caging material and top shade (August), no significant differences in color score were
 400 observed between trestle corals and field colonies. When the data was analyzed per species, there were
 401 some differences but the general pattern in February and April remained similar. In August, *A.*
 402 *muricata* and *P. cylindrica* trestle corals remained slightly darker than field colonies, while the
 403 opposite was observed in *P. damicornis*, which became lighter (Figure 6, Table 7).

404 The combined data for all species showed that field colonies were lighter in February
 405 comparatively to April and August, and slightly darker in April in comparison to August (Figure 6,
 406 Table 8). This pattern was similar for *A. muricata* and *P. cylindrica*, but *P. damicornis* field colonies
 407 were darkest in August. Overall, corals in the exposed trestle were darker in February in comparison to
 408 April, and lighter in August in comparison to both February and April. The data per species followed a

409 similar pattern. The data for all species combined showed that corals in the shaded trestle were not
410 significantly different in color in February and April, but were lighter in August. This was also the case
411 when the data were analyzed per species (Figure 6, Table 8).

412 Only in a couple of cases the change in color score was at or above 2 units (*A. muricata*:
413 February, field colonies vs shaded trestle, 2.6 units difference; *P. damicornis*: shaded trestle, February
414 vs August, 2.0 units, April vs August, 2.1 units).

415

416

417 **4. Discussion**

418

419 Coral bleaching can be caused by many different factors (Brown, 1997a), but currently the
420 greatest concern is thermal stress due to the rising in ocean temperatures related to global climate
421 change (Heron et al., 2016; Hoegh-Guldberg et al., 2007; Hughes et al., 2017). Depending on its
422 severity, bleaching events can cause partial or complete mortality of corals, sometimes on a massive
423 scale (Hoegh-Guldberg, 1999; Hughes et al., 2017, Wilkinson et al., 1999). Recovery from such events
424 are not always possible and depend on other factors, including local anthropogenic impacts and further
425 bleaching episodes, which will likely become more common in the next few decades (Hoegh-Guldberg
426 et al. 2007, Hughes et al., 2017; Sheppard, 2003).

427 Coral bleaching, however, can be induced not only by higher water temperatures, but also by
428 high light intensity (Coles and Jokiel, 1978; Gleason and Wellington, 1993; Lesser and Farrell, 2004).
429 Conditions that decrease solar irradiance such as cloud cover, natural shade or high turbidity, offer
430 protection to corals under thermal stress (Hoegh-Guldberg, 1999; Golbuu et al, 2011; Goreau et al.,
431 2000; Mumby et al., 2001a; Wagner et al., 2008; West and Salm, 2003). Therefore, if corals could be
432 shaded during periods of cumulative thermal stress, bleaching could potentially be reduced or
433 prevented as it has been shown in aquaria (Lesser and Farrel, 2004; Smith and Birkeland, 2007).
434 Satellite technology is currently providing warning of harmful heating (CRW-BAS,
435 <http://coralreefwatch-satops.noaa.gov>), so now there is a possibility of implementing proactive
436 mitigating measures in the form of shading. To develop this method, we need to know the most
437 effective levels of light attenuation, the best time for implementing it and also if there are negative
438 consequences to this methodology.

439 In this study we examined how early implementation of different levels of shading (50% and
440 75% shade, applied just after DHW 1 was reached) performed in mitigating the effects of cumulative

441 thermal stress in three branching coral species, regarding their growth as well as their degree of color
442 loss as a proxy for decreases in symbiont density and chlorophyll a content (i.e. bleaching).

443 In the Ofu experiment, all thermally stressed corals showed less growth than controls, but corals
444 without shading experienced a more substantial decrease in growth compared to those under 50% or
445 75% shade. According to the results of the GLM analysis, corals under lighter shading grew faster than
446 those under heavier shading, but the difference was very small.

447 The analysis of coral color score as an indicator of stress in the Ofu experiment, showed that
448 both levels of shading were protective against bleaching in conditions of cumulative thermal stress for
449 all species, but were particularly beneficial for the most sensitive ones: *A. muricata* and *P. cylindrica*.
450 According to Craig et al. (2001) the latter species do not occur in the reef-flat pools with the highest
451 temperature fluctuation (pool A) in the National Park, but *P. damicornis* does, which seems consistent
452 with their responses to thermal stress in the present study.

453 Heavier shading (75%) offered better protection than lighter shading (50%) in this experiment,
454 possibly because of the intense light levels corals were subjected to. Further experiments would be
455 needed to determine if less shading would be better or equally protective for corals exposed to less light
456 intensity, e.g. those found in deeper water.

457 It was important to reduce irradiance levels early in the period of cumulative thermal stress as
458 branching species can start bleaching as soon as DHW 1 or 2 (Berkelmans and Willis, 1999; Coles et
459 al., 1976; Smith and Birkeland, 2007). Although there were limits to the extent shading could mitigate
460 the effects of cumulative heating, it was very effective to at least DHW 4 and continued to offer some
461 protection until the end of the study (DHW 8).

462 The Tutuila experiment was designed to investigate if shading could attenuate bleaching in the
463 field and also if there were negative consequences to shading removal. During the time of the
464 experiment corals were not under thermal stress as the mean SST remained below the maximum
465 monthly mean of 29.3°C, thus any bleaching was not expected to have been caused by unusually high
466 temperature.

467 The only factor that we were able to control in the Tutuila experiment was light intensity, and
468 our main conclusion was that overall corals became darker than field colonies in response to shading,
469 but seemed to be able to adjust back to the same color level as field colonies after shade removal. The
470 only exception was *P. damicornis*, which had darker field colonies in comparison to all trestle
471 fragments after shade/ caging material removal. However, the field colonies of this species were
472 relatively much darker in August compared to the color score pattern of the field colonies of the other
473 two species in relation to the previous months, and we are unsure of why that was the case. A more

474 controlled experiment would be necessary to further clarify if this species does respond differently than
475 the other species to shade removal or not. Additionally, most color changes in the experiment in
476 Tutuila were below the 2 units difference in color score, and thus may not necessarily represent a real
477 change in symbiont density and chlorophyll a content, so these results should be viewed cautiously and
478 need to be confirmed by future studies.

479 Fenner and Heron (2008) documented what seems to be the first regular summer subtidal
480 bleaching event in coral communities caused by temperature and light. According to the latter authors,
481 staghorn coral populations in the Tutuila pools probably have consecutively bleached for at least seven
482 years. As bleaching becomes more frequent and regular due to global warming (Heron et al., 2016;
483 Hughes et al., 2017), we should consider methods such as shading to help mitigate the effects of
484 thermal stress and prolong the survival of at least some coral communities, until solutions to address
485 global climate change become effective.

486

487

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489

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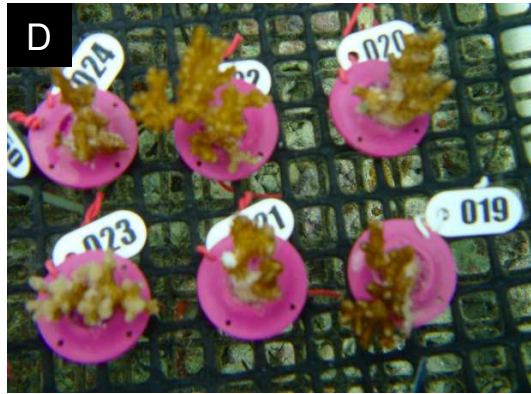
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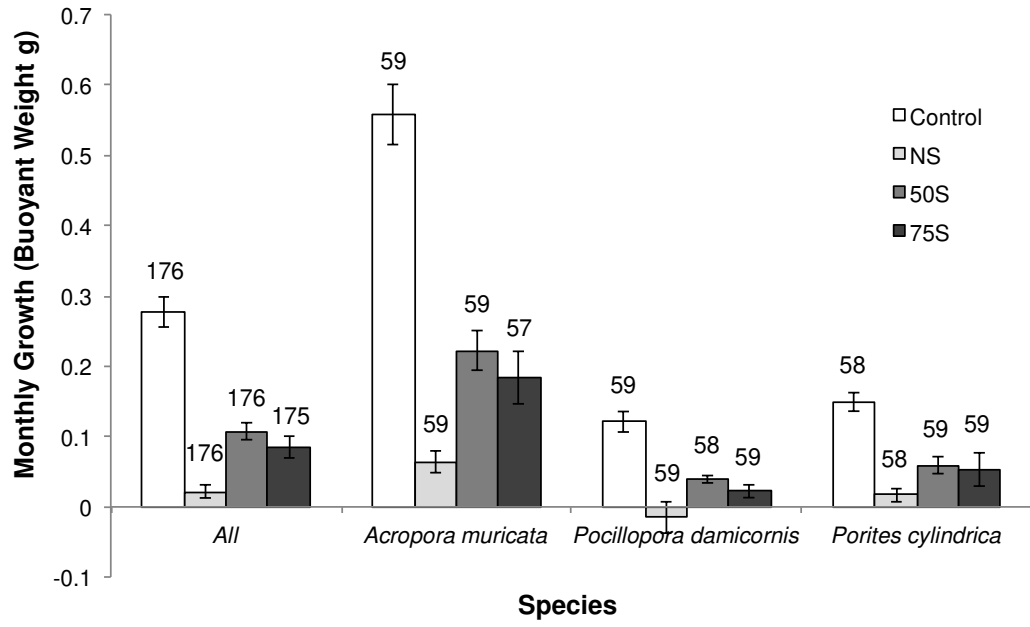
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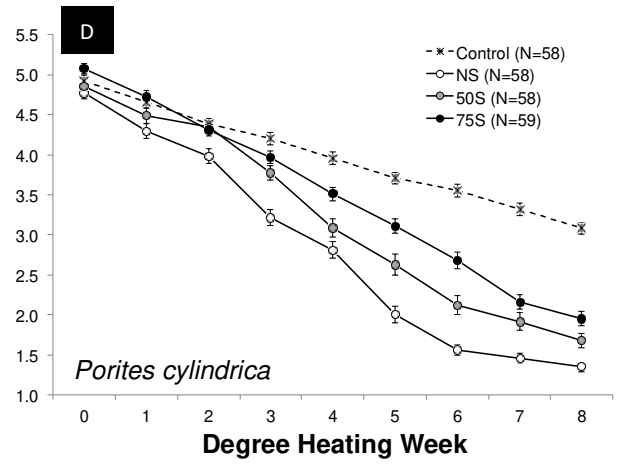
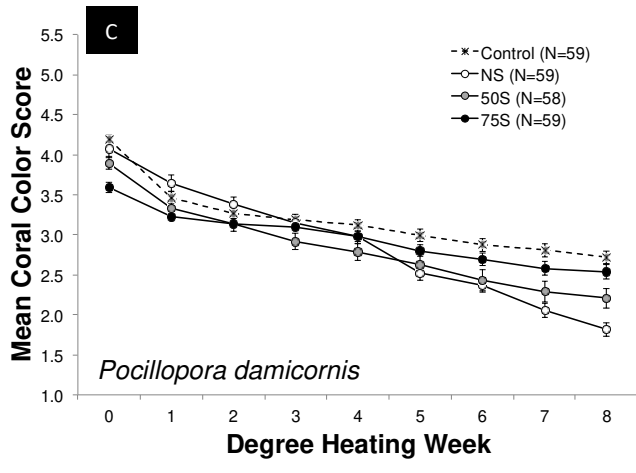
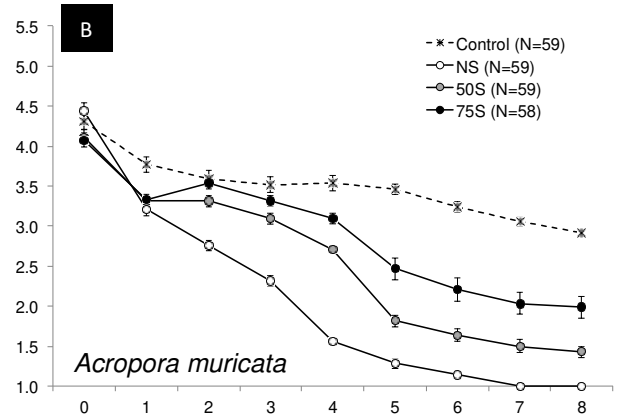
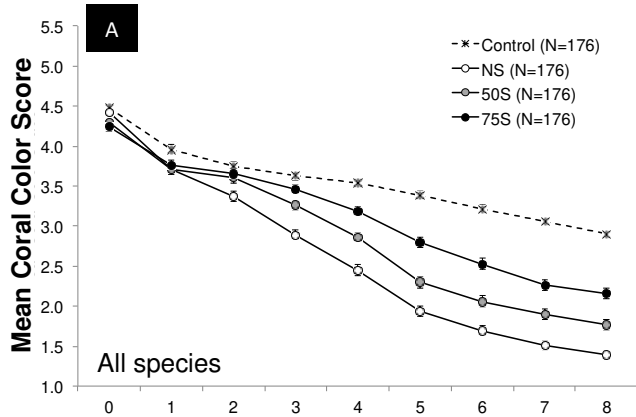
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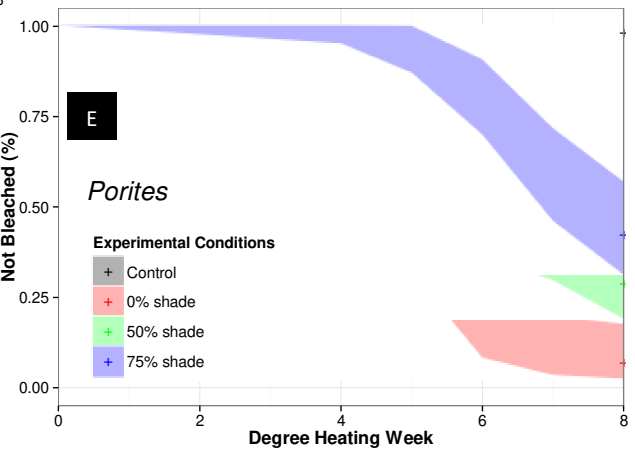
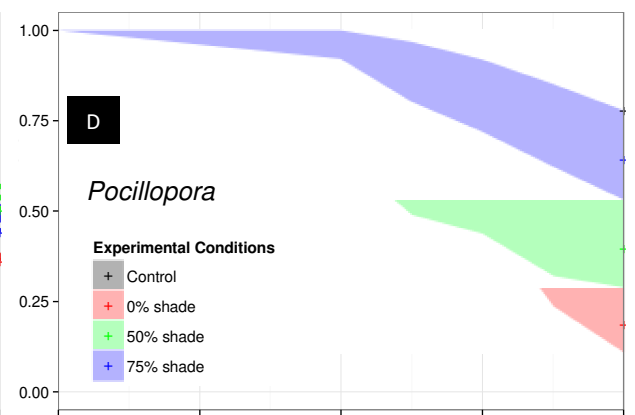
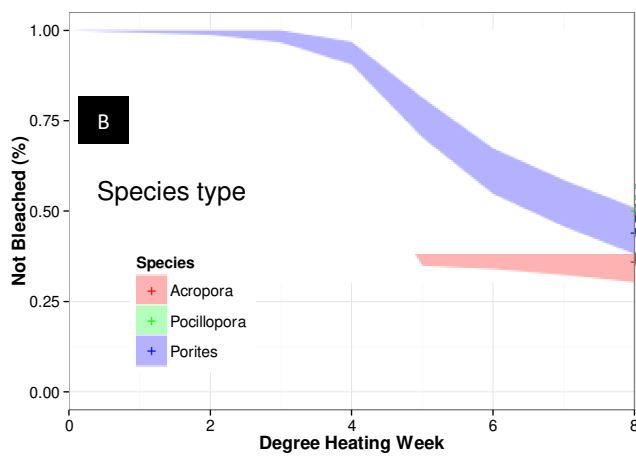
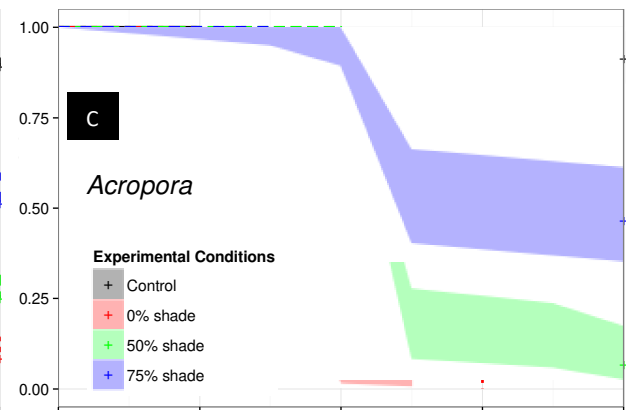
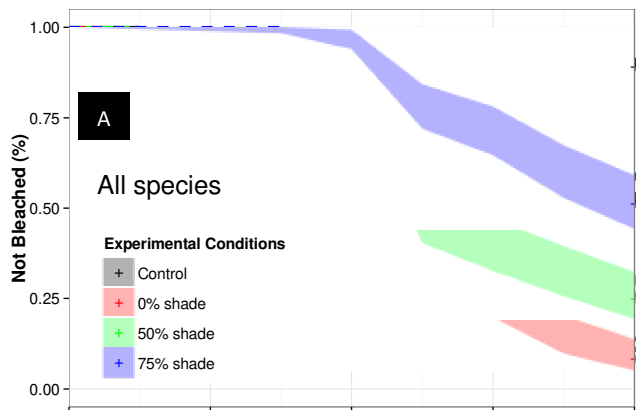


Tank 02	Tank 04	Tank 06	Tank 08	Tank 10
50S	75S		NS	Control
89-176	01-88		01-88	89-176
R2	R1		R1	R2
Control	NS		75S	50S
01-88	89-176		89-176	01-88
R1	R2		R2	R1
Tank 01	Tank 03	Tank 05	Tank 07	Tank 09









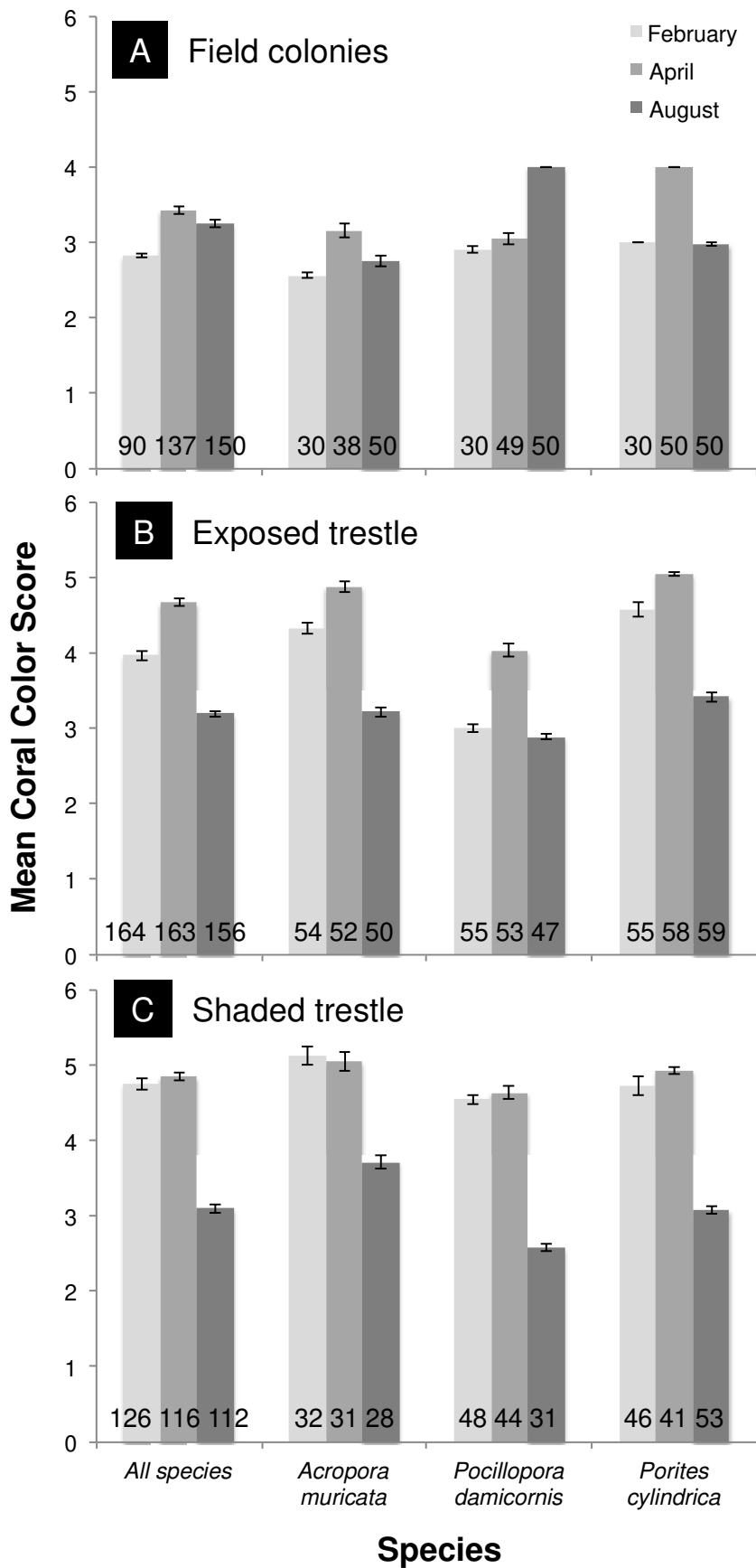


Table 1. Ofu experiment: one-way analysis of variance for coral growth data in relation to species, temperature and light levels (Figure 3).

Tests	All species	<i>Acropora</i>	<i>Pocillopora</i>	<i>Porites</i>
KW	174.32 ****	110.18 ****	70.825 ****	57.000 ****
DMC				
C x NS	***	***	***	***
C x 50S	***	***	***	***
C x 75S	***	***	***	***
NS x 50S	***	***	**	-
NS x 75S	***	***	-	**
50S x 75S	-	-	-	-

KW: Kruskal-Wallis test (corrected for ties); DMC: Dunn's Multiple Comparison test; C: Control, no thermal stress, no shade; NS: high temperature, no shade; 50S: high temperature, 50% shade; 75S: high temperature, 75% shade; - P>0.05 (not significant); ** P<0.01; *** P<0.001; **** P<0.0001.

Table 2. Ofu experiment: multivariate general linear model for coral growth data in relation to species, temperature and light levels (Figure 3).

Variables	Coefficient	SE	t-value*
Intercept	0.41	0.02	24.33*
Species			
<i>Acropora</i>	-	-	-
<i>Pocillopora</i>	-0.22	0.02	-12.73*
<i>Porites</i>	-0.19	0.02	-11.09*
Experiment			
Control	-	-	-
NS	-0.26	0.02	-13.09*
50S	-0.17	0.02	-8.74*
75S	-0.19	0.02	-9.72*

C: Control, no thermal stress, no shade; NS: high temperature, no shade; 50S: high temperature, 50% shade; 75S: high temperature, 75% shade; SE: standard error; * statistically significant at $P < 0.05$; - Reference.

Table 3. Ofu experiment: one-way analysis of variance for coral color score data per Degree Heating Week (DHW) regarding coral species and light levels (Figure 4). Shading was placed in selected aquaria just after DHW 1 was reached.

DHW		0	1	2	3	4	5	6	7	8
All species										
KW		10.884	12.175	21.294	85.786	147.17	216.02	238.99	263.02	280.72
		*	**	****	****	****	****	****	****	****
DMC	C x NS	-	*	***	***	***	***	***	***	***
	C x 50S	-	*	-	***	***	***	***	***	***
	C x 75S	-	*	-	-	***	***	***	***	***
	NS x 50S	-	-	-	***	**	**	**	***	***
	NS x 75S	-	-	**	***	***	***	***	***	***
	50S x 75S	-	-	-	-	***	***	***	***	***
Acropora										
KW		10.369	21.463	56.992	93.008	156.87	134.46	125.85	138.1	140.31
		*	****	****	****	****	****	****	****	****
DMC	C x NS	-	***	***	***	***	***	***	***	***
	C x 50S	-	**	-	**	***	***	***	***	***
	C x 75S	-	**	-	-	-	***	***	***	***
	NS x 50S	*	-	***	***	***	**	**	**	*
	NS x 75S	*	-	***	***	***	***	***	***	***
	50S x 75S	-	-	-	-	*	*	-	-	*
Pocillopora										
KW		34.117	12.874	7.205	6.083	7.734	14.37	16.965	33.7	48.821
		****	**	-	-	-	**	***	****	****
DMC	C x NS	-	-	N/A	N/A	N/A	**	**	***	***
	C x 50S	*	-	N/A	N/A	N/A	*	**	**	**
	C x 75S	***	-	N/A	N/A	N/A	-	-	-	-
	NS x 50S	-	-	N/A	N/A	N/A	-	-	-	*
	NS x 75S	***	**	N/A	N/A	N/A	-	-	***	***
	50S x 75S	*	-	N/A	N/A	N/A	-	-	-	-
Porites										
KW		7.289	12.601	11.215	53.767	59.655	92.732	118.2	106.42	117.8
		-	**	*	****	****	****	****	****	****
DMC	C x NS	N/A	-	*	***	***	***	***	***	***
	C x 50S	N/A	-	-	*	***	***	***	***	***
	C x 75S	N/A	-	-	-	*	**	***	***	***
	NS x 50S	N/A	-	*	***	-	**	*	-	-
	NS x 75S	N/A	**	-	***	***	***	***	-	***
	50S x 75S	N/A	-	-	-	-	-	*	-	-

KW: Kruskal-Wallis test (corrected for ties); DMC: Dunn's Multiple Comparison test; C: Control, no thermal stress, no shade; NS: high temperature, no shade; 50S: high temperature, 50% shade; 75S: high temperature, 75% shade; N/A: not applicable; - P>0.05 (not significant); * P<0.05; ** P<0.01; *** P<0.001; **** P<0.0001.

Table 4. Ofu experiment: difference in mean coral color score over cumulative thermal stress measured in Degree Heating Weeks (DHWs) regarding coral species and light levels (Figure 4). Comparisons were made between the beginning (DHW 0), middle (DHW 4) and end (DHW 8) of the experiment. Score differences of 2 units or above are bolded.

	DHW 0 to 4	DHW 0 to 8	DHW 4 to 8
All species			
Control	0.9	1.6	0.6
NS	2.0	3.0	1.1
50S	1.4	2.5	1.1
75S	1.1	2.1	1.0
<i>Acropora</i>			
Control	0.8	1.4	0.6
NS	2.9	3.4	0.6
50S	1.4	2.7	1.3
75S	1.0	2.1	1.1
<i>Pocillopora</i>			
Control	1.1	1.5	0.4
NS	1.1	2.3	1.2
50S	1.1	1.7	0.6
75S	0.6	1.1	0.4
<i>Porites</i>			
Control	1.0	1.8	0.9
NS	2.0	3.4	1.5
50S	1.8	3.2	1.4
75S	1.6	3.1	1.6

C: Control, no thermal stress, no shade; NS: high temperature, no shade; 50S: high temperature, 50% shade; 75S: high temperature, 75% shade.

Table 5. Ofu experiment: repeated measures analysis of variance for coral color score data over cumulative thermal stress measured in Degree Heating Weeks (DHWs) regarding coral species and light levels (Figure 4). Comparisons were made between the beginning (DHW 0), middle (DHW 4) and end (DHW 8) of the experiment.

	Control	NS	50S	75S
All species				
Fr	286.24	343.14	332.33	295.51
	****	****	****	****
DMC				
DHW 0 x 4	***	***	***	***
DHW 0 x 8	***	***	***	***
DHW 4 x 8	***	***	***	***
<i>Acropora</i>				
Fr	78.127	114.42	115.03	86.41
	****	****	****	****
DMC				
DHW 0 x 4	**	***	***	***
DHW 0 x 8	***	***	***	***
DHW 4 x 8	***	***	***	***
<i>Pocillopora</i>				
Fr	107.09	115.56	105.04	96.5
	****	****	****	****
DMC				
DHW 0 x 4	***	***	***	***
DHW 0 x 8	***	***	***	***
DHW 4 x 8	**	***	***	***
<i>Porites</i>				
Fr	105.03	113.56	113.03	113.51
	****	****	****	****
DMC				
DHW 0 x 4	***	***	***	***
DHW 0 x 8	***	***	***	***
DHW 4 x 8	***	***	***	***

Fr: Friedman Statistic (corrected for ties), DMC: Dunn's Multiple Comparison test, C: Control, no thermal stress, no shade; NS: high temperature, no shade; 50S: high temperature, 50% shade; 75S: high temperature, 75% shade; ** P<0.01; *** P<0.001; **** P<0.0001.

Table 6. Ofu experiment: Cox proportional hazards models analysis to assess the probability of coral bleaching over cumulative thermal stress measured in Degree Heating Weeks regarding coral species and light levels (Figure 5).

	Coral Species			
	Model 1: All species	Model 2: <i>Acropora</i>	Model 3: <i>Pocillopora</i>	Model 4: <i>Porites</i>
Experimental Conditions				
Control	1.00 (ref)	1.00 (ref)	1.00 (ref)	1.00 (ref)
NS	22.16 (13.59-36.14)*	81.55 (31.19-213.21)*	4.80 (2.60-8.88)*	140.98 (19.39-1024.90)*
50S	9.51 (5.85-15.45)*	14.14 (5.58-35.83)*	3.73 (1.97-7.07)*	67.60 (9.30-491.6)*
75S	5.09 (3.09-8.37)*	7.21 (2.80-18.60)*	1.62 (0.81-3.23)	40.99 (5.61-299.60)*
Model Fit				
R ²	0.34	0.53	0.16	0.42
LLR	294.9 (3); p<0.001	176.80 (3); p<0.001	40.8 (3); p<0.001	128.3 (3); p<0.001

C: Control, no thermal stress, no shade; NS: high temperature, no shade; 50S: high temperature, 50% shade; 75S: high temperature, 75% shade; R²: correlation coefficient; LLR: log likelihood ratio; ref: reference; *statistically significant at p<0.05.

Table 7. Tutuila experiment: one-way analysis of variance for coral color score data per species among different sites (i.e. field coral colonies, and coral fragments in the exposed and shaded sides of the trestle) per month (Figure 6).

Species	KW		DMC FC vs. TE	FC vs. TS	TE vs. TS
February 2011					
All species	179.07	****	***	***	***
<i>Acropora muricata</i>	84.245	****	***	***	**
<i>Pocillopora damicornis</i>	78.756	****	-	***	***
<i>Porites cylindrica</i>	65.656	****	***	***	-
April 2011					
All species	222.64	****	***	***	-
<i>Acropora muricata</i>	79.444	****	***	***	-
<i>Pocillopora damicornis</i>	85.410	****	***	***	**
<i>Porites cylindrica</i>	131.88	****	***	***	-
August 2011					
All species	4.643	-	N/A	N/A	N/A
<i>Acropora muricata</i>	48.700	****	***	***	***
<i>Pocillopora damicornis</i>	111.09	****	***	***	-
<i>Porites cylindrica</i>	34.839	****	***	-	***

KW: Kruskal-Wallis test (corrected for ties), DMC: Dunn's Multiple Comparison test, FC: field colonies near trestle, TE: trestle's exposed side, TS: trestle's shaded side, - P>0.05 (not significant), ** P<0.01, *** P<0.001, **** P<0.0001, N/A: not applicable.

Table 8. Tutuila experiment: one-way analysis of variance for coral color score data per species within a specific site (i.e. field coral colonies, and coral fragments in the exposed and shaded sides of the trestle) over time (Figure 6).

Species	KW		DMC		
			Feb vs. Apr	Feb vs. Aug	Apr vs. Aug
Field Colonies					
All species	69.553	****	***	***	*
<i>Acropora muricata</i>	31.635	****	***	**	**
<i>Pocillopora damicornis</i>	96.386	****	-	***	***
<i>Porites cylindrica</i>	127.46	****	***	-	***
Exposed Trestle					
All species	204.55	****	***	***	***
<i>Acropora muricata</i>	103.05	****	**	***	***
<i>Pocillopora damicornis</i>	78.951	****	***	-	***
<i>Porites cylindrica</i>	118.19	****	**	***	***
Shaded Trestle					
All species	206.02	****	-	***	***
<i>Acropora muricata</i>	48.560	****	-	***	***
<i>Pocillopora damicornis</i>	70.120	****	-	***	***
<i>Porites cylindrica</i>	100.47	****	-	***	***

KW: Kruskal-Wallis test (corrected for ties), DMC: Dunn's Multiple Comparison test, Feb: February 2011, Apr: April 2011, Aug: August 2011, - P>0.05 (not significant), ** P<0.01, *** P<0.001, **** P<0.0001.