

1 **Title:**

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3 Secular change and inter-annual variability of the Gulf Stream position, 1993-2013, 70°-55° W

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20

21 **Abstract:**

22

23 The Gulf Stream (GS) is the northeastward-flowing surface limb of the Atlantic Ocean's  
24 meridional overturning circulation (AMOC) "conveyer belt" that flows towards Europe and the  
25 Nordic Seas. Changes in the GS position after its separation from the coast at Cape Hatteras, i.e.,  
26 from 75°W to 50°W, may be key to understanding the AMOC, sea level variability and  
27 ecosystem behavior along the east coast of North America. In this study we compare secular  
28 change and inter-annual variability (IAV) of the Gulf Stream North Wall (GSNW) position with  
29 equator-ward Labrador Current (LC) transport along the southwestern Grand Banks near 52° W  
30 using 21 years (1993-2013) of satellite altimeter data. Results at 55°, 60°, and 65° W show a  
31 significant southward (negative) secular trend for the GSNW, decreasing to a small but  
32 insignificant southward trend at 70° W. IAV of de-trended GSNW position residuals also  
33 decreases to the west. The long-term secular trend of annual mean upper layer (200 m) LC  
34 transport near 52° W is positive. Furthermore, IAV of LC transport residuals near 52° W along  
35 the southwestern Grand Banks are significantly correlated with GSNW position residuals at 55°  
36 W at a lag of +1-year, with positive (negative) LC transport residuals corresponding to  
37 southward (northward) GSNW positions one year later. The Taylor-Stephens index (TSI)  
38 computed from the first principal component of the GSNW position from 79° to 65° W shows a  
39 similar relationship with a more distal LC index computed along altimeter ground track 250  
40 located north of the Grand Banks across Hamilton Bank in the western Labrador Sea. Increased  
41 (decreased) sea height differences along ground track 250 are significantly correlated with a  
42 more southward (northward) TSI two years later (lag of +2-years). Spectral analysis of IAV  
43 reveals corresponding spectral peaks at 5-7 years and 2-3 years for the North Atlantic Oscillation  
44 (NAO), GSNW (70°-55°W) and LC transport near 52° W for the 1993-2013 period suggesting a  
45 connection between these phenomena. An upper-layer (200 m) slope water volume calculation  
46 using the LC IAV rms residual of +1.04 Sv near 52° W results in an estimated GSNW IAV  
47 residual of 79 km, or 63% of the observed 125.6 km (1.13°) rms value at 55° W. A similar  
48 upper-layer slope water volume calculation using the positive long-term, upper-layer LC  
49 transport trend accounts for 68% of the mean observed secular southward shift of the GSNW  
50 between 55° and 70°W over the 1993-2013 period. Our work provides additional observational  
51 evidence of important interactions between the upper layers of the sub-polar and sub-tropical  
52 gyres within the North Atlantic over both secular and inter-annual time scales as suggested by  
53 previous studies.

54

55 **Introduction:**

56

57 The Gulf Stream (GS) and Labrador Current (LC) form the western boundary currents of  
 58 the sub-tropical and sub-polar gyres of the North Atlantic, respectively, meeting near the Tail of  
 59 the Grand Banks (TGB). At the TGB, a large fraction of the LC turns eastward and joins the GS  
 60 to form the North Atlantic Current (NAC) that continues flowing towards Europe and the Nordic  
 61 Seas. The remaining LC fraction flows equator-ward around the TGB along the seaward edge of  
 62 the Canadian and U.S. continental shelves. An examination of geostrophic surface velocity  
 63 vectors (Fig. 1) (calculated from 1993-2013, Ssalto/Duacs altimetry products, *in situ* data,  
 64 MSS\_CNES-CLS11 Mean Sea Surface, and the EGM-DIR-R4 geoid model, combining data  
 65 from both GOCE and GRACE geoid models), clearly shows this general large-scale circulation  
 66 in the western North Atlantic: the northeastward-flowing GS from Cape Hatteras to south of the  
 67 TGB, its mean location observed in the large velocities located between the anti-cyclonic sub-  
 68 tropical gyre and the Northern Recirculation Gyre (NRG). Moving north, at the TGB, the GS  
 69 evolves into the meandering NAC, distending in and out of the Northwest Corner. Patterns of  
 70 low dynamic height within the Labrador Basin are characteristic of the cyclonic sub-polar gyre  
 71 with the LC flowing southeast and equatorward around the Grand Banks. The strongest LC  
 72 signal is located along the 500 m isobath from the north just off Labrador near altimeter ground  
 73 track 250, southward to the west of the Flemish Cap, and then around the Grand Banks (Fig. 1).  
 74 Sinking of cold dense water in the Labrador Sea flows southward along the outer continental  
 75 slope and rise (not shown) to form the Deep Western Boundary Current (DWBC), forming the  
 76 southward-flowing subsurface limb of the Atlantic Ocean's meridional overturning circulation  
 77 (AMOC), with the GS forming the AMOC's northward-flowing surface limb. Inputs from the  
 78 Greenland, Iceland, and Norwegian Sea (not shown) also form an important southward-flowing  
 79 sub-surface portion of the AMOC described in summary by Yashayaev *et al.* (2015).

80

The space-time variability of the latitudinal excursion of the GS "north wall" (GSNW) has  
 been shown by combined observational and modeling studies to be an important diagnostic  
 variable and indicator of the AMOC's amplitude (Joyce and Zhang, 2010; Sanchez-Franks and  
 Zhang, 2015). A stronger (weaker) AMOC corresponded to a more southerly (northerly) GSNW  
 using a GFDL climate model and satellite-derived data, respectively (Joyce and Zhang, 2010).  
 Cooler temperatures, lower salinities, and low planetary potential vorticity characteristic of  
 Labrador Sea Water (LSW), along with stronger southwestward flow, were in phase within  
 Slope Waters located between the shelf break and the GSNW from 1993-2007, and preceded a  
 southward shift of the GSNW by 6 months (Peña-Molino and Joyce, 2008) in agreement with  
 Rossby (1999), Rossby and Benway (2000), and Flagg *et al.* (2006). Direct observations of the  
 DWBC along the "Line-W" array located northwest of Bermuda show similar results with  
 stronger DWBC transport when the GSNW is displaced to the south (Toole *et al.*, 2011). More  
 recent studies have shown that in addition to seasonal and short timescale variability, strong  
 inter-annual variability (IAV) of the AMOC has also occurred, with a 30% reduction in AMOC  
 transport between 1 April 2009 and 31 March 2010 along the RAPID/WATCH 26°N  
 measurement array (McCarthy *et al.*, 2012; Smeed *et al.*, 2014). This reduction in the AMOC  
 was accompanied by a stronger Slope Water current, but does not support the Slope Current as a  
 significant driver of GS position by itself, which may also partly result from the supply of source  
 waters from the Labrador Sea and the sub-polar gyre (Rossby, 1999; Ezer and Atkinson, 2014;  
 Ezer, 2015).

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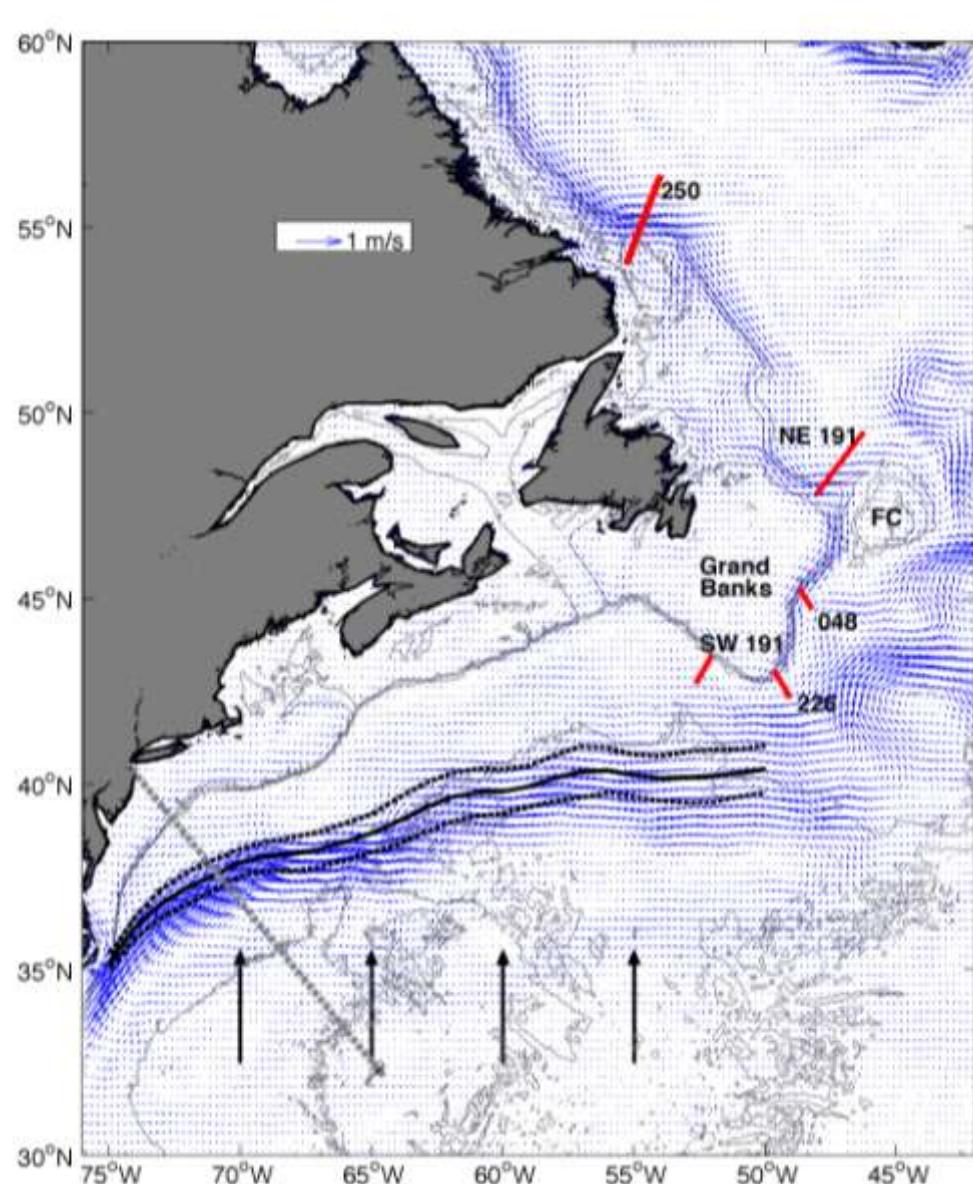


Figure 1. Mean geostrophic surface velocities showing the general large scale circulation and both the northeast-flowing Gulf Stream and southward-flowing Labrador Current (see text for explanation). Long-term mean position of the monthly-mean Gulf Stream North Wall (black solid line) and standard deviation (black dashed lines) are estimated from SST anomalies at every degree of longitude between  $75^{\circ}$  W and  $50^{\circ}$  W from the Canadian Marine Environmental Data Service. Also shown are the locations of: four longitude lines (black vertical arrows) on which annual mean positions of the Gulf Stream North Wall were measured, five outer-shelf altimeter along-track segments (red lines) for measuring upper-layer Labrador Current transport or sea height, the *M/V Oleander* transect (gray dashed line), the location of the Grand Banks, the Flemish Cap (FC), and the 500 m, 1000 m and 5000 m isobaths.

146

147 Changes in the latitudinal excursion of the GS path and their impact on its cross-stream  
148 sea-level gradient have also been linked to sea level rise along the Canadian and U.S. east coasts,  
149 a possible “slowing” of the GS, and increased frequency of coastal flooding (Boon, 2012; Ezer  
150 and Corlett, 2012; Sallenger *et al.*, 2012; Ezer *et al.*, 2013; Ezer and Atkinson, 2014). The  
151 extreme sea level rise noted using tide gauge data for a northeast region located between Cape  
152 Hatteras and Newfoundland may result from remote wind forcing (Andres *et al.*, 2013) but also  
153 corresponded to the period of the 30% reduction in the AMOC from 2009-2010 (Goddard *et al.*,  
154 2015). Although both the GS and LC are driven by large-scale wind patterns over their  
155 respective gyres, with variability attributed to the North Atlantic Oscillation (NAO) (Taylor and  
156 Stephens, 1998; Marshall *et al.*, 2001) studies suggest that thermohaline interactions between the  
157 GS, LC, DWBC, recirculation gyres, and shelf waters may also be important (Rossby, 1999;  
158 Rossby and Benway, 2000; Marshall *et al.*, 2001, Chaudhuri *et al.*, 2011). A significant part of  
159 this thermohaline interaction may result directly from the remaining equator-ward-flowing  
160 fraction of the surface LC and shelf waters releasing varying amounts of less-saline waters into  
161 the Slope Sea.

162 Evidence from low-frequency variations of sea surface salinity (SSS) shows large IAV ( $\pm 1$ -  
163 2 PSU) that is coherent along the *M/V Oleander* line between New Jersey and Bermuda (Fig. 1)  
164 across both the continental shelf and slope water regions, supporting the hypothesis of a release  
165 of less-saline waters from the shelf into the Slope Sea (Rossby and Benway, 2000). Earlier work  
166 by Rossby (1999) suggests further that the well-noted annual shifting of the axis of the GS and  
167 its seasonal transport variations may result from annual variations of this “overflow” of  
168 freshwater from the north from an examination of seasonal and low frequency changes in  
169 dynamic height anomaly and transport of the GS (Sato and Rossby, 1995). Rossby (1999)  
170 speculates that such so-called “gyre interactions” may be operating on inter-annual time scales as  
171 well. Velocity observations at 52 m depth, just seaward of the shelf break along the *M/V*  
172 *Oleander* line, show a significant annual cycle of equator-ward transport with higher (lower)  
173 velocities during winter (summer) and are consistent with the GS displacement to the south by  
174 April (Rossby and Benway, 2000). IAV of the GS position shows similar behavior, with a  
175 southward displacement of the GS corresponding to time periods of higher equator-ward  
176 transport and lower SSS within both shelf and slope waters (Rossby and Benway, 2000). They  
177 suggested that since a larger volume flux along the shelf from the east into the Slope Sea must be  
178 accommodated without a significant thermocline depth increase, the GS must be displaced  
179 southward. In summary, these and other observations suggest the existence of an important  
180 upper-layer thermohaline mechanism that may partly determine the GS path over both annual  
181 and inter-annual time scales.

182 In work we present below, we examine long-term secular changes in the position of the GS  
183 “north wall” (GSNW) along with its IAV from  $55^{\circ}$  to  $70^{\circ}$  W longitude using satellite altimeter-  
184 derived data from 1993-2013 and compare our results with another published GSNW position  
185 index. We also examine long-term secular changes and IAV of upper layer LC transport and LC-  
186 related sea height variability at the shelf break in the western Labrador Sea and Grand Banks  
187 region for multi-year periods also using satellite altimeter data (following Han and Wang, 2006).  
188 Lastly, we compare the secular and inter-annual changes of LC transport with noted changes for  
189 the GSNW in both the time and frequency domains to test the thermohaline “overflow”  
190 hypothesis described above.

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193 **Data and Methods:**

194 Merged satellite altimeter data were obtained from the Archiving Validation and  
 195 Interpretation of Satellite Oceanographic (AVISO) data center (<http://www.aviso.altimetry.fr>) for  
 196 the 21-year (1993-2013) period of record for this study. Mapped AVISO satellite altimeter data  
 197 (daily,  $\frac{1}{4}^\circ \times \frac{1}{4}^\circ$ ) were used to compute the annual mean position of the GSNW using the 50-cm  
 198 sea surface height anomaly contour measured along four longitude lines (Fig. 1) including  $55^\circ$ ,  
 199  $60^\circ$ ,  $65^\circ$ , and  $70^\circ$  W (Gangopadhyay *et al.*, 2016). Gridded SSH data have been used here  
 200 following Perez-Hernandez and Joyce (2014) and Gangopadhyay *et al.* (2016) to understand  
 201 IAV of the GS path, because the latitudinal excursion of the GS between  $75^\circ$  and  $55^\circ$ W ranges  
 202 between 100-300 km which is significantly larger than the error in averaging the fields from the  
 203  $\frac{1}{4}^\circ$  resolution altimeter values. We compare our method to Taylor and Stephens (1998), who  
 204 employed sea surface temperature (SST) oceanographic charts to detect the GSNW at six  
 205 longitudes from  $79^\circ$  to  $65^\circ$  W and then computed a principal component Taylor-Stephens index  
 206 (TSI) across all six longitudes for the 1966-2012 period. We note our current analysis extends  
 207 farther to the east than Taylor and Stephens (1998) but not as far to the west. Other work by  
 208 Joyce *et al.* (2000) used the location of the  $15^\circ$ C isotherm at 200-m depth to determine their GS  
 209 path index from  $75^\circ$  to  $50^\circ$  W for the 1954-1989 period, thus bracketing our study longitudes. A  
 210 recent study by Perez-Hernandez and Joyce (2014) used altimeter-derived monthly sea level  
 211 anomalies determined along 16 points between  $72^\circ$  to  $52^\circ$  W to examine GS path changes.

212 Along-track AVISO satellite altimeter data were used to estimate annual mean LC  
 213 transport across four outer-shelf altimeter track segments surrounding the Grand Banks (Fig. 1)  
 214 after addition of model mean values computed using linear finite element solutions that excluded  
 215 the Ekman surface current as described by Han and Wang (2006). The four segments cross the  
 216 LC at nearly perpendicular angles (Han, 2006; Han *et al.*, 2014): track 191NE in the  
 217 southwestern Labrador Sea, track 048 along the southeastern Grand Banks, track 226 at the  
 218 TGB, and track 191SW along the southwestern Grand Banks near  $52^\circ$  W ([http://www.meds-  
 219 sdmm.dfo-mpo.gc.ca/isdm-gdsi/azmp-pmza/climat/labrador/transport-eng.htm](http://www.meds-sdmm.dfo-mpo.gc.ca/isdm-gdsi/azmp-pmza/climat/labrador/transport-eng.htm)). The lengths of  
 220 the altimeter sections were based upon the width of the mean LC at each location (Han, 2006). A  
 221 fifth altimeter track segment, track 250, extends northeastward across Hamilton Bank (Figs. 1)  
 222 and was used to compute a separate LC index upstream of the Grand Banks from sea height  
 223 differences measured along-track between  $54^\circ$ N and  $56.5^\circ$ N. All along-track 250 passes were  
 224 annually averaged for each year to compute the LC sea height index for the 1995-2010 time  
 225 period. In summary, satellite altimeter data were used to examine the GSNW position, LC  
 226 transport, and LC sea height changes for this study.

227 Spectral analyses of the relatively short, 21-year (1993-2013) GSNW and LC time series  
 228 required use of an autoregressive (AR) modeling technique (Gangopadhyay *et al.*, 2016). The  
 229 AR spectral method has been shown to provide superior performance for short time series  
 230 (Gangopadhyay *et al.*, 1989). Each time series had the mean and trend subtracted, and  
 231 normalized by their respective standard deviation prior to analysis using a sixth-order AR model;  
 232 the order was chosen based on our experience of similar length time-series analyses  
 233 (Gangopadhyay *et al.*, 1989 (see Case study V, Fig. 5) ; Gangopadhyay *et al.*, 2016). The  
 234 confidence interval for spectral estimates by the AR methodology is based on approximate  
 235 statistics (Kay, 1988) and remains constant across each spectrum because of the form of  
 236 variance.

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238

239 **Results:**240 *Gulf Stream North Wall (GSNW) Analysis*

241 Each of the four GSNW annual mean position time series for the 1993-2013 period of  
 242 record is shown along with its corresponding linear trend (Fig. 2). IAV of the GSNW position  
 243 decreases westward from 55° to 70° W, along with the magnitude of the linear trend fitted to  
 244 the data at each of the four longitudes that were analyzed (Table 1). A maximum linear  
 245 southward secular trend of  $-0.10^\circ \text{ y}^{-1}$  or  $-11.08 \text{ km y}^{-1}$  was measured at 55° W, decreasing  
 246 westward to a small but insignificant trend at 70° W. While only the GSNW time series trend  
 247 from 55°W (Fig. 2) displays a statistical significance of better than 95%, the significance at 60°  
 248 and 65° W are just slightly lower at approximately 88%. Therefore, the tendency for both IAV  
 249 and the magnitude of the computed (negative) trends to decrease rapidly in a westward  
 250 direction is readily apparent. Thus, the computed secular trends signal a consistent southward  
 251 movement of the GSNW between 55° and 65° W over the 21-year (1993-2013) period of  
 252 record. We note again the lack of any trend of the GSNW at 70° W (Fig. 2), in agreement with  
 253 Rossby *et al.*, (2014) who found an insignificant long-term decrease in GS layer transport near  
 254 70° W along the *M/V Oleander* transect for a nearly identical 21-year period (1992-2012).  
 255 However, additional analysis of the same data show weakening of the flux along the entire *M/V*  
 256 *Oleander* transect in agreement with a weakening AMOC (Ezer, 2015). The co-variation of  
 257 high (low) transport and northward (southward) position of the GS eastward of Cape Hatteras  
 258 is supported by earlier modeling work by Chaudhuri *et al.* (2011).

259 Subtraction of the secular trend of GSNW movement for each of the time series located  
 260 at 55°, 60°, 65°, and 70° W, results in time series of IAV of GSNW position residuals at each  
 261 longitude. Comparisons between GSNW residuals (Fig. 3a, Table 1) show that the largest  
 262 values consistently occur along eastern-most longitudes at 55° W (rms  $1.13^\circ$ ) and at 60° W  
 263 (rms  $0.60^\circ$ ) with much-reduced values along western-most longitudes at 65° W (rms  $0.31^\circ$ ) and  
 264 70° W (rms  $0.24^\circ$ ). Furthermore, GSNW residuals at all four longitudes clearly show shorter  
 265 period fluctuations and appear out-of-phase prior to 2003, with longer period fluctuations  
 266 beginning during 2003 that appear largely in-phase across all four longitudes (Fig. 3a).  
 267 Corresponding AR power spectra for GSNW residual time series at 55°, 60°, and 65° W  
 268 clearly show both the shorter period ( $\sim 2.5$  year) and longer period ( $\sim 5$  year) fluctuation peaks  
 269 (Fig. 3b) readily apparent in the time series. GSNW power spectra at 65° and 70° W show  
 270 additional longer period peaks at  $\sim 10$  years and  $\sim 7$  years, respectively, with both an absence of  
 271 the  $\sim 5$  year peak and a shift of the shorter period peak to near  $\sim 3.5$  years at 70° W (Fig. 3b).  
 272

273 *Labrador Current (LC) Analysis*

274 Annual mean along-stream LC transport time series for the 1993-2013 period of record  
 275 measured across two outer-shelf altimeter track segments show highly significant decreasing  
 276 linear trends of about  $-0.5 \text{ Sv}$  per decade north of Flemish Pass (191NE) and along the eastern  
 277 flank of the Grand Banks (048) (Fig. 4, Table 1). Further downstream, the trend of LC transport  
 278 time series along track segment 226 near the TGB, while still decreasing ( $-0.2 \text{ Sv}$  per decade), is  
 279 not highly significant. However, the trend of LC transport time series for segment 191SW near  
 280 52° W along the southwestern flank of the Grand Banks increases at about  $+0.4 \text{ Sv}$  per decade  
 281 with somewhat higher significance (Fig. 4, Table 1).  
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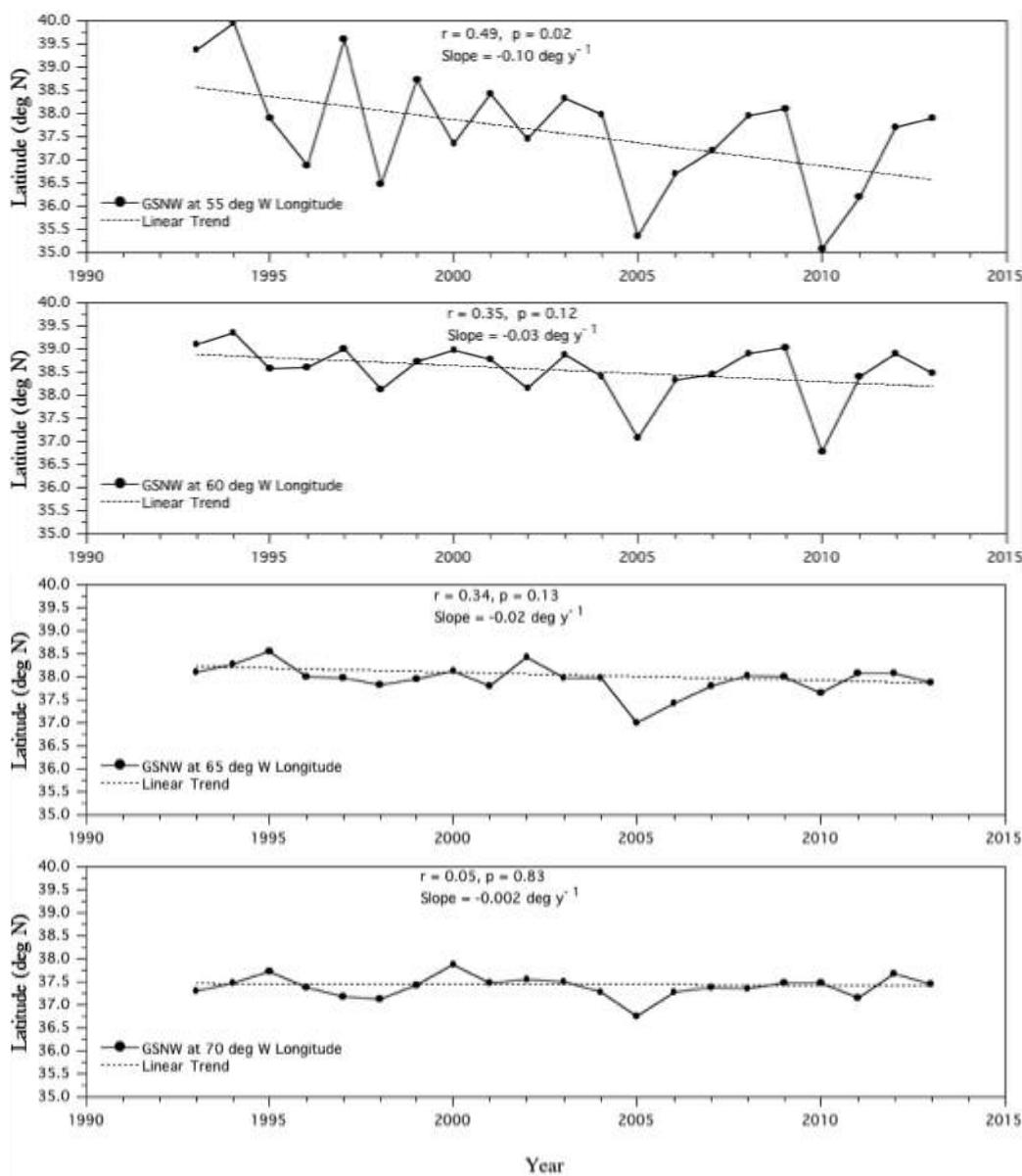


Figure 2. Annual mean latitude of the GSNW position computed along  $55^{\circ}$ ,  $60^{\circ}$ ,  $65^{\circ}$ , and  $70^{\circ}$  W from AVISO mapped altimeter data from 1993-2013, along with computed linear trends.

After removal of linear trends, IAV of LC transport residuals (Fig. 3a, Table 1) is large along downstream segments 226 near the TGB (rms 1.02 Sv), and 191SW near  $52^{\circ}$  W along the southwestern Grand Banks (rms 1.05 Sv). IAV is much reduced further upstream along segments 048 (rms 0.41 Sv) and 191NE (rms 0.29 Sv) along the eastern Grand Banks and north of Flemish Pass, respectively (Fig. 3a, Table 1). In addition, reduced-magnitude LC transport residuals along upstream segments 191NE and 048 appear to be in phase over most of the 21-year period of record, while the much larger residuals along downstream segments 226 and 191SW appear to be largely out-of-phase for the same period (Fig. 3a).

330 **Table 1. Statistics of GSNW and LC Secular Trends and Inter-Annual Residuals**

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332 Significant correlation values ( $p \leq 0.05$ ) are shown in bold.333  $N = 21$  for all statistics shown.

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336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369	336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369	336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369	336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369
	GSNW Longitude	Y-intercept ( $^{\circ}$ N), Slope ( $^{\circ}$ Lat $y^{-1}$ ) Residual rms ( $^{\circ}$ Lat)	<b>r</b> (p-value)
	55 $^{\circ}$ W	38.16, -0.10 1.13	<b>-0.49</b> (0.02)
	60 $^{\circ}$ W	38.87, -0.03 0.60	-0.35 (0.12)
	65 $^{\circ}$ W	38.22, -0.02 0.31	-0.34 (0.13)
	70 $^{\circ}$ W	37.45, 0.00 0.24	-0.05 (0.83)
	LC Altimeter Segment	Y-intercept (Sv), Slope (Sv $y^{-1}$ ) Residual rms (Sv)	<b>r</b> (p-value)
	191NE	6.55, -0.05 0.29	<b>-0.74</b> (0.001)
	048	2.89, -0.05 0.41	<b>-0.65</b> (0.001)
	226	1.80, -0.02 1.02	-0.10 (0.65)
	191SW	0.64, 0.04 1.05	+0.22 (0.35)

370

371

372 Corresponding AR power spectra of LC transport residuals along upstream altimeter track  
373 segment 191NE, just north of Flemish pass, and downstream segments 226 at the TGB and  
374 191SW near 52 $^{\circ}$  W on the southwestern flank of the Grand Banks show both shorter period (~3  
375 year) and longer period (~6-8 years) peaks (Fig. 3b). Upstream segment 048 located along the

376 eastern flank of the Grand Banks only shows the longer period peak (~8 years), with no evidence  
 377 of the shorter period (~3 year) peak (Fig. 3b). Three of the eastern GSNW locations (65°W,  
 378 60°W and 55° W) peak at 5 years, while the southward flowing LC transport (across 191NE)  
 379 shows a distinct 6-year peak (Fig. 3b). A number of GS and LC locations show peaks in the 2-to-  
 380 3-year range. While these are individually significant, a coherence analysis could not be  
 381 performed due to lack of degrees of freedom for the cross spectra for these short time-series.  
 382 Note that these two periods (2-3 years, 5-6 years) also coincide with the characteristic periods for  
 383 the atmospheric NAO forcing described by Gangopadhyay *et al.* (2016).

384

## 385 **Discussion:**

386

### 387 *Combined Gulf Stream North Wall (GSNW) and Labrador Current (LC) Analysis*

388

389 Inter-comparison of our GSNW position and LC transport time series allows the covariance  
 390 of secular trends and IAV of the two western boundary currents to be examined in both the time  
 391 and frequency domains. Subsequently, we also expand on a simplified test of the thermohaline  
 392 “overflow” hypothesis described above using some basic assumptions and a simplified volume  
 393 calculation methodology (Rossby, 1999; Rossby and Benway, 2000).

394 GSNW results from this study show a consistent long-term southward-directed secular  
 395 trend for the GSNW at all four study longitudes that decreases westward from 55° to 70° W with  
 396 a maximum (minimum) southward secular movement of approximately 220 km (4 km) at 55° W  
 397 (70° W) for the 21-year (1993-2013) study period. However, although our results are in  
 398 agreement with the southward shift reported by Ezer *et al.* (2013) between 70° and 74° W, they  
 399 observed a northward shift between 68° and 70° W, showing that long-term shifts of the GS can  
 400 be complex. Nevertheless, the large east-to-west difference in the secular movement of the  
 401 GSNW over the two decades of this study suggests a mechanism that is maximal in the eastern  
 402 portion of our study domain (near 55° W) such as southward LC transport. Other mechanisms,  
 403 e.g., changes over the sub-tropical gyre may be important farther to the west (See Fig. 4 in Ezer,  
 404 2015). Interestingly, studies have shown that annual shifts of the GSNW are also maximal (~70  
 405 km) farthest east, near 63° W (secondary maximum of 30 km near 67° W) but are much smaller  
 406 west of 70° W, using eight years of satellite-derived infrared SST imagery (Lee and Cornillon,  
 407 1995). In a recent study, Perez-Hernandez and Joyce (2014) show from monthly sea level  
 408 anomalies that the leading mode of IAV of GS position are meridional shifts of approximately  
 409 100 km using their 16-point index, with higher modes representing GS meandering that vary  
 410 with GS strength (Kelly *et al.*, 2010). Their typical meridional shift of 100 km compares  
 411 favorably with our mean RMS value of approximately 63 km computed as the average of the  
 412 four RMS values shown in Table 1 after conversion to kilometers. Comparison of their highly-  
 413 resolved monthly GS position time series with our annual mean GSNW position residuals shows  
 414 some agreement, with extreme northward (southward) excursions during 1994-1995, 2000,  
 415 2006-2008, 2012 (1996, 1998, 2005, 2010). Similarly, GSNW path variability from this analysis  
 416 at 65° and 70° W (Figs. 2 & 3a top panel) also shows agreement with the GS position determined  
 417 along the *M/V Oleander* line as shown in Figure 3c by Ezer (2015). In addition, extreme  
 418 southward GS excursions shown for 2005 and 2010 (Fig. 2 & 3a top panel) also coincide with  
 419 extreme negative NAO states for those years, with 2010 corresponding to the period of weak  
 420 AMOC noted in other studies (McCarthy *et al.*, 2012; Ezer, 2015; Goddard *et al.*, 2015)

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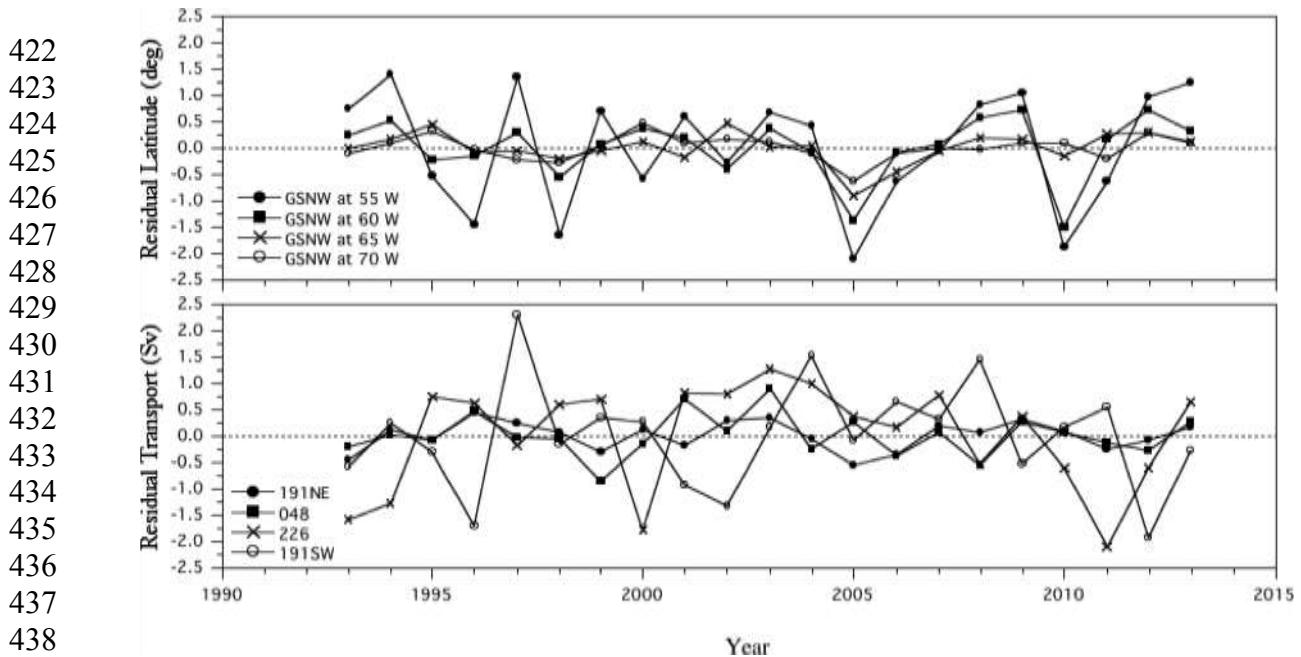


Figure 3a. (Top Panel) Annual mean GSNW position residuals along  $55^{\circ}$ ,  $60^{\circ}$ ,  $65^{\circ}$ , and  $70^{\circ}$  W from AVISO mapped altimeter data from 1993-2013, after subtraction of computed linear trends. (Bottom Panel) Annual mean Labrador Current transport residuals computed along outer-shelf altimeter track segments 191NE, 048, 226, and 191SW from AVISO along-track altimeter data from 1993-2013, after subtraction of linear trends.

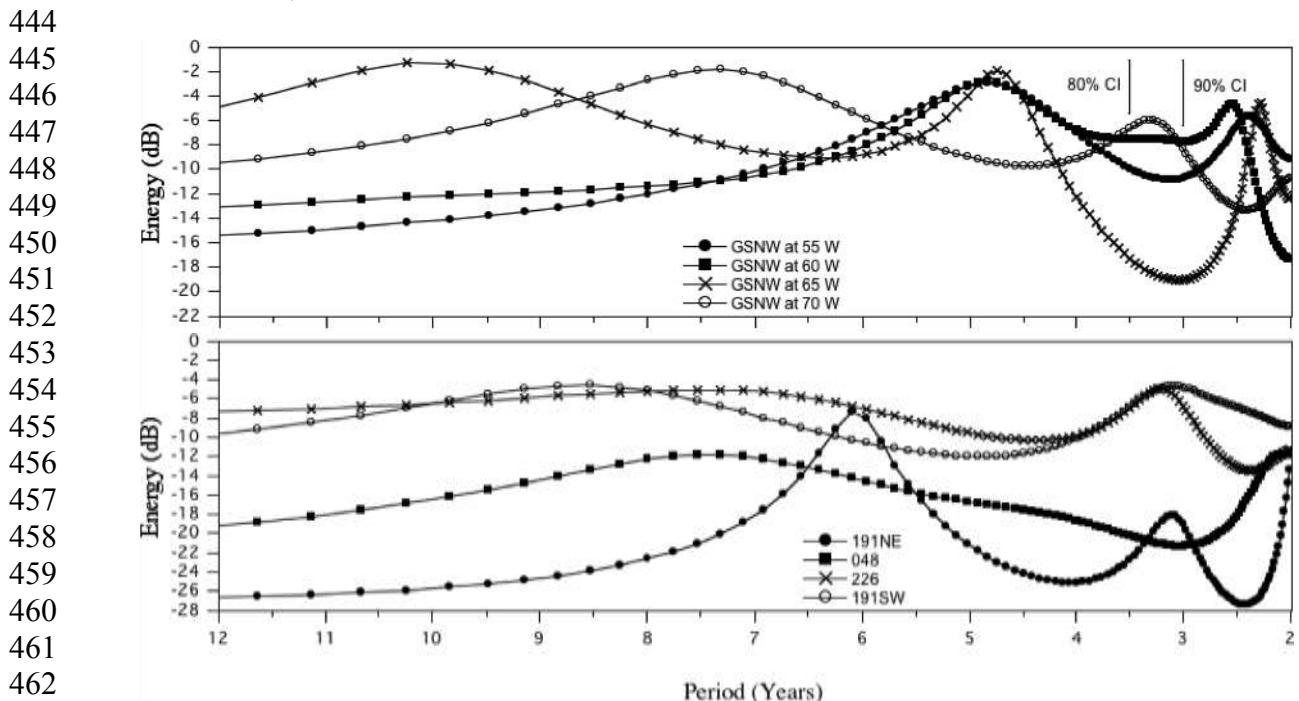


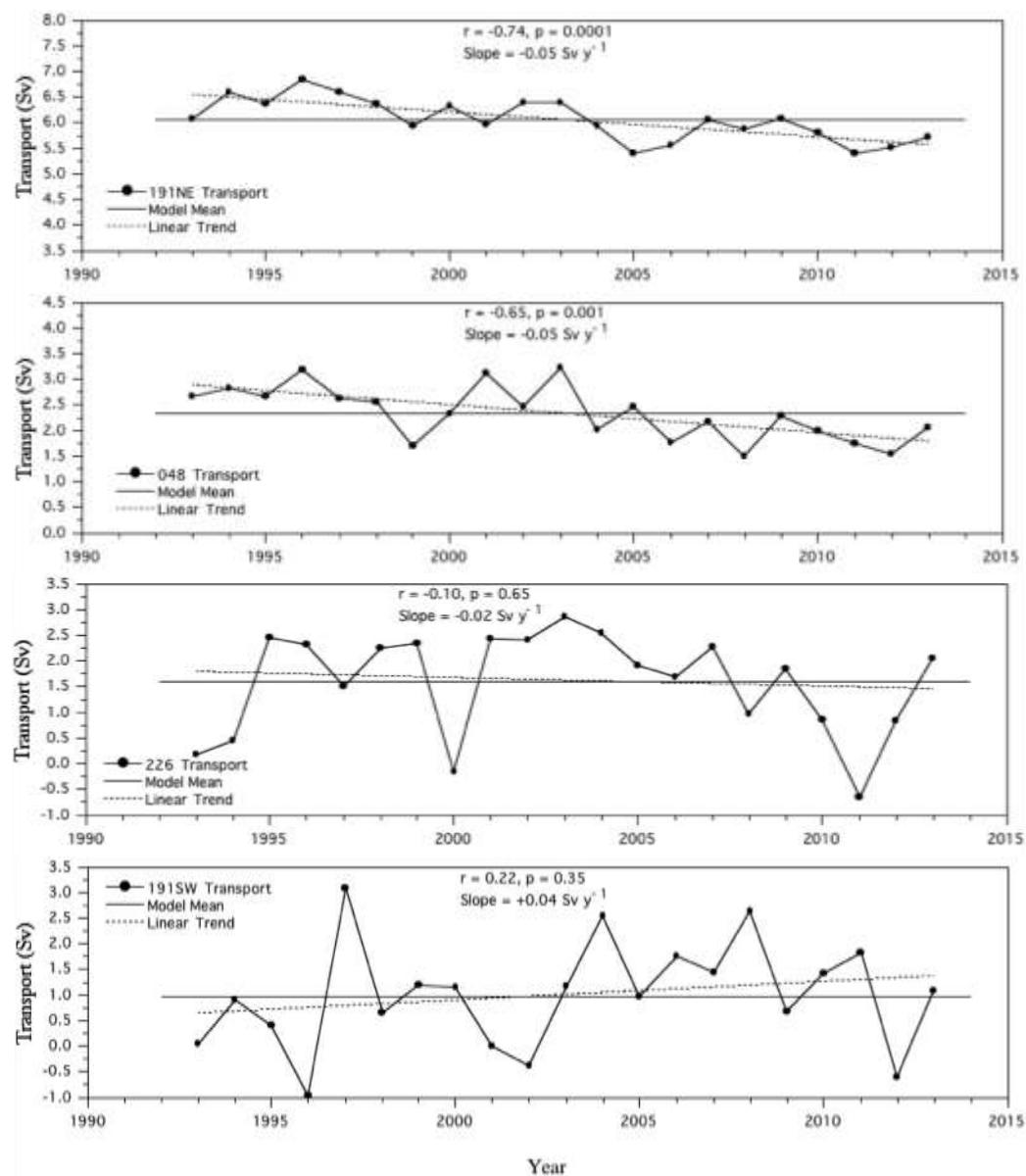
Figure 3b. (Top Panel) Normalized AR power spectra (order 6) of GSNW position residual time series from  $55^{\circ}$ ,  $60^{\circ}$ ,  $65^{\circ}$ , and  $70^{\circ}$  W in Fig. 3a above. (Bottom Panel) Normalized AR power spectra (order 6) of Labrador Current transport residual time series shown for altimeter track segments (191NE, 048, 226, and 191SW) in Fig. 3a above. Also shown are the 80% and 90% spectral confidence intervals (CI).

468 A recent study shows that during the last decade, SST in the Gulf of Maine (GOM) has  
 469 increased at a rate faster than 99% of the global oceans (Pershing *et al.*, 2015) and partially  
 470 attributes this warming to a northward excursion of the GS, along with changes in both the  
 471 Atlantic Multi-decadal Oscillation and Pacific Decadal Oscillation. Furthermore, they suggest  
 472 that such changes may be partly responsible for the collapse of the cod fishery in New England  
 473 waters, including the GOM. However, as shown in our analysis, the GSNW displays a clear  
 474 southward trend over the past two decades at 55°, 60°, and 65° W, although displaying recent  
 475 (2012-2013) large northward-directed residuals following the extreme southward GSNW  
 476 position residuals for 2010 (Figs. 2 & 3a top panel). Specifically, while the long-term (21 years)  
 477 southward trend at 70°W is not significant; it is significant at 85% or more at both 65° and  
 478 60°W. From 2005-2013 (period of study by Pershing *et al.* (2015)) our analysis does show a  
 479 small northward trend in the GSNW at 70°W and 65°W, due largely to the extreme southward  
 480 excursion of 2005, small northward shift during 2006-2009, southward movement for 2010  
 481 (65°W) or 2011 (70°W), followed by northward movement for 2012 (Fig. 2). In contrast, the  
 482 eastern GS region at 60° and 55°W exhibits a clear periodicity of five years added to the  
 483 significant long-term southward trend-line from 2005-2013 (Fig. 2). In any case, shelf SST north  
 484 of Cape Hatteras, including the GOM, is warming at between 1.8-2.5 times faster than regional  
 485 atmospheric trends and is thus similar to atmospheric trends over Labrador and the Arctic,  
 486 supporting advection from the north (Shearman and Lentz, 2010), with noted sub-surface  
 487 warming as well along the *M/V Oleander* expendable bathythermograph transect between 1977-  
 488 2013 (Forsyth *et al.*, 2015).

489 An examination of LC transport trends for the same 21-year (1993-2013) study period  
 490 shows that they differ markedly from north-to-south along segments 191NE, 048, 226 and  
 491 191SW, with trends that transition from strongly negative in the north (191NE and 048) to  
 492 positive in the south (191SW) on the southwestern flank of the Grand Banks in agreement with  
 493 an analysis of data from some of the same altimeter sections (Han *et al.*, 2014). Both our long-  
 494 term secular analysis and the earlier trend analysis by Han *et al.*, (2014) show that the LC  
 495 transport off the northeastern Newfoundland slope is out-of-phase with that over the Scotian  
 496 slope for nearly identical ~20-year periods of record. In addition, the increasing trend computed  
 497 for segment 191SW near 52° W from our study (Fig. 4, Table 1) agrees with LC transport from  
 498 segment 176 near 61° W off the central Scotian shelf (not shown) reported by Han *et al.*, (2014).  
 499 Furthermore, results from Han *et al.*, (2014) also show that LC transport over the Newfoundland  
 500 slope (Scotian slope) is positively (negatively) correlated with the winter North Atlantic  
 501 Oscillation (NAO) index for inter-annual through decadal time scales, with the Grand Banks  
 502 being a region of transition.

503 A shelf-wide near-surface salinity analysis (Bisagni, 2016) shows that large inter-annual  
 504 anomalies are ubiquitous along the entire eastern seaboard of both the United States' and  
 505 Canada's continental shelf, with strong variability located west of the TGB between 1973-2013.  
 506 The same analysis shows near-surface salinity anomaly magnitudes increasing steadily from the  
 507 Eastern Scotian Shelf to the DelMarVa/Hatteras shelf over a distance of ~1400 km and are  
 508 synchronous (coherent at 0-year lag). These observations suggest that an along-shelf, wind-  
 509 modulated, flux-variation model (Sundby and Drinkwater, 2007; Li *et al.*, 2014), i.e., a varying  
 510 flux across the mean along-shelf salinity gradient, as the most likely mechanism (Bisagni, 2016).  
 511 In addition, *M/V Oleander* SSS data across the Middle Atlantic Bight region from New Jersey to  
 512 Bermuda over a 21-year period (1978-1998) show synchronous salinity anomalies extending  
 513 across both the shelf and Slope Sea regions (Rossby and Benway, 2000) also supporting large-

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547 Figure 4. Annual mean Labrador Current transport computed across four outer-shelf altimeter  
548 track segments (191NE, 048, 226, and 191SW) shown in Figure 1 from AVISO along-track  
549 altimeter data from 1993-2013, along with the regression model mean across each segment, and  
550 computed linear trends. Positive (+) values signify equator-ward transport.

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552 scale flux and temperature variations that may extend into the Slope Sea as discussed for slope  
553 water velocity anomalies (Peña-Molino and Joyce, 2008).

554 IAV of LC transport at the shelf break along segment 191SW near 52° W from this study  
555 is large (Fig. 4), with a strong negative (positive) transport anomaly corresponding to strong  
556 positive (negative) salinity anomalies as shown by other studies for 1996 and 1997, (Rossby and  
557 Benway, 2000; Flagg *et al.*, 2006; Bisagni, 2016). Sea surface height along ground track segment  
558 250 is chosen as representative of upstream LC transport within the Labrador Sea. This new  
559 upstream LC index is constructed along segment 250 between 54° and 56.5° N, using both ERS-  
560 2 and ENVISAT satellite missions, with the general structure of the SSH along this segment

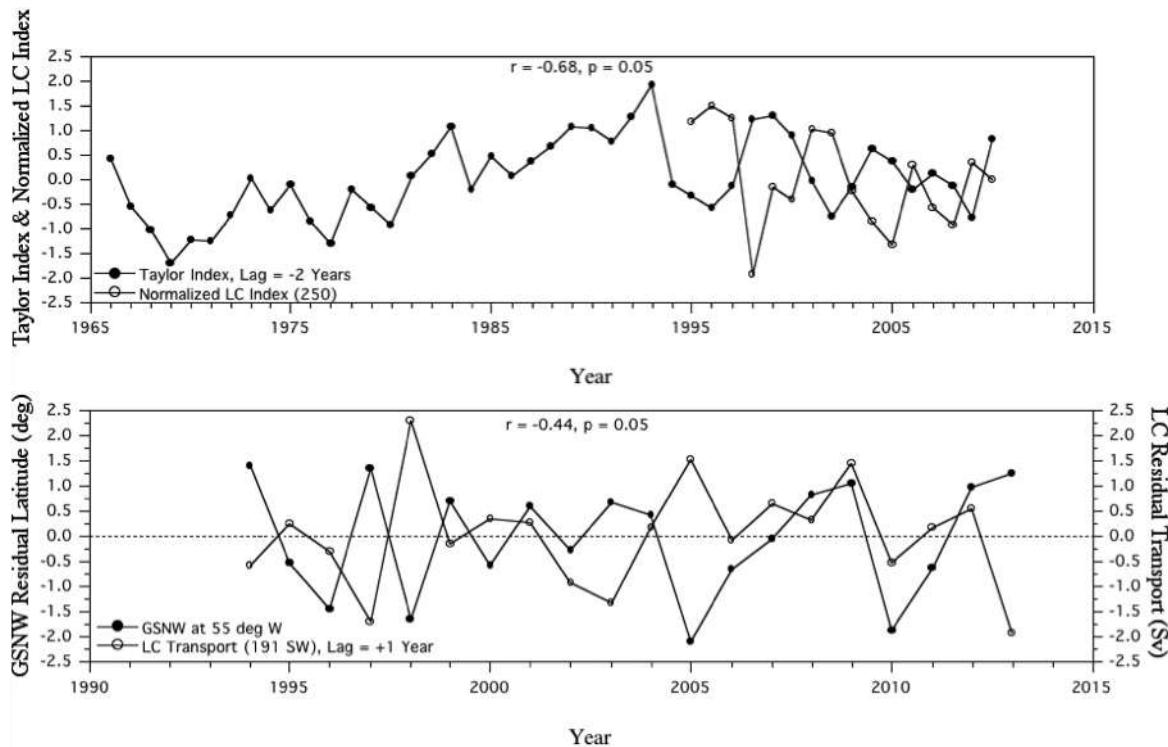
561 being high to low SSH going in the offshore direction (towards higher latitudes), consistent with  
 562 the Labrador Current flow at this location (Fig. 1). The LC index is computed from the height  
 563 difference between 54° N and 56.5° N from each 250 pass and then annually averaged for the  
 564 1995 to 2010 time period.

565 Results from a comparison between annual TSI values with the more distal upstream  
 566 segment 250 LC index within the Labrador Sea from 1995-2010 show the “broad scale” TSI of  
 567 GS position to be highly correlated with the LC index ( $r=-0.68$ , significant at 95% level), with  
 568 the LC index leading the TSI by 2 years (Fig. 5, top panel). More specifically, the LC index  
 569 shows high values for 1996, 2001, 2006, and 2009 followed two years later by low TSI values;  
 570 signifying that increases in our LC index along segment 250 are followed two years later by  
 571 southward shifts in GS position. Similarly, lower values of our LC index observed for 1998,  
 572 2005, and 2008 are followed two years later by northward shifts in GS position (as represented  
 573 by the TSI). The anomalously high LC index for 1996, high transport to the south, has been  
 574 previously described as the strong LC ‘pulse’ in previous studies (e.g., Han, 2006). Farther to the  
 575 south and near the TGB, comparison of the GSNW position residuals at 55° W with the  
 576 downstream LC transport residuals along segment 191SW near 52° W at 0-year lag (not shown)  
 577 does not show a significant relationship between the two signals ( $r = 0.18$ ,  $p = 0.43$ ). However,  
 578 when the LC residual signal is lagged by +1 year (Fig. 5, lower panel), a significant negative  
 579 correlation results ( $r = -0.44$ ,  $p = 0.05$ ), meaning that the previous year’s LC transport residual  
 580 near 52° W is related to the current year’s GSNW position residual at 55° W, similar to the  
 581 maximum 12 month (1-year) lag reported by Peña-Molino and Joyce (2008) for the relationship  
 582 between cooler (warmer) slope water SST anomalies and more-southerly (northerly) shifts of the  
 583 GS. A 1-year delay for the effect of changes in annual mean LC transport near 52° W on the  
 584 GSNW position at 55° W does not seem unreasonable given the 2-year delay we find between  
 585 the more-distal upstream segment 250 LC index and the broad scale TSI. This 1-year delay is in  
 586 agreement with the 1-year delay reported earlier related to the Icelandic Low (Sanchez-Franks *et*  
 587 *al.*, 2016). Lastly, a significant correlation ( $r = 0.48$ ,  $p \leq 0.05$ ) was computed between the nearly  
 588 contiguous *M/V Oleander*-derived southward-directed slope current (a LC extension)  
 589 fluctuations and GS position values at 0-year lag for 1993-2012 (Ezer, 2015), and supports our  
 590 correlation results near 52° W after consideration of the sign conventions used for each analysis.

591 A recent study by Gangopadhyay *et al.*, (2016) has shown that the GS has behaved  
 592 differently along its path from 75° W to 55° W over the last four decades. Specifically, the  
 593 GSNW latitudinal excursion variability west of 60° W, exhibits a dominant time scale of 8-10  
 594 years, while eastward from 65° W, a 4-5 year time scale was also present, with a 2.5-3.5 year  
 595 time scale being present at all four longitudes from this study (Figs. 3a and 3b). The 8-10 year  
 596 time scale present from 70° W to 65° W is clearly related to the NAO signal as shown by other  
 597 investigators (Cook *et al.*, 1998; Wunsch, 1999) and also GS intensity and coastal sea level (Ezer  
 598 *et al.*, 2013). We can also speculate further that both the 4-5 year and the 2.5-3.5 year IAV may  
 599 also be related to the NAO due to possible interactions between the NAO and the LC as  
 600 described by Han *et al.* (2014), caused by variations in the strength and location of the Icelandic  
 601 Low for a lag of +1 year (Sanchez-Franks *et al.*, 2016). The co-plot of the GSNW residual time  
 602 series at 55° W with the LC residual time series along segment 191SW near 52° W does show a  
 603 significant relationship between the two signals when the LC residual signal is lagged by +1  
 604 year, i.e., changes in the LC transport near 52° W lead the GSNW position changes by one year  
 605 (Fig. 5, lower panel).

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617 Figure 5 (Top Panel) Annual mean TSI (-2-year lag) along with annual mean LC index for pass  
 618 250 in the Labrador Sea from Fig. 1. Correlation coefficient and p-value ( $n = 16$ ) for TSI at -2-  
 619 year lag. Note that the -2-year lag applied to the TSI as shown above is equivalent to a +2-year  
 620 lag applied to the LC index as described in the text. (Bottom Panel) Annual mean GSNW  
 621 position residuals along  $55^{\circ}$  W along with annual mean LC transport residuals (+1-year lag)  
 622 computed along segment 191SW near  $52^{\circ}$  W. Correlation coefficient and p-value ( $n = 20$ ) for  
 623 LC at +1-year lag.

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#### 625 *A Possible Model*

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627 A volume flux calculation by Rossby (1999) was used to estimate the annual movement of  
 628 the GSNW along the southern boundary of the Slope Sea due to a hypothesized annual baroclinic  
 629 transport cycle of 0.5 Sv across the shelf-slope front, i.e., a “spilling” of less-saline waters into  
 630 the Slope Sea from the shelf. Assuming no change in the depth of the Slope Sea upper layer (200  
 631 m), the volume flux calculation resulted in an annual GS movement of 42 km, a number that is  
 632 midway between the two maximum annual values reported using satellite-derived SST data by  
 633 Lee and Cornillon (1995). Alternatively, the annual baroclinic transport could also have been  
 634 accommodated by an increase in Slope Sea upper layer depth of 29 m. Rossby (1999) further  
 635 describes how both the annual cycle in the position of the GS, i.e., north during fall and south  
 636 during spring (Iselin, 1940; Watts, 1983) and the 8 Sv annual cycle in GS transport (maximal  
 637 during early summer as reported by Sato and Rossby (1995)) may be related to the June timing  
 638 of the maximum difference in the Fofonoff potential energy anomaly (PEA) values. Rossby’s  
 639 hypothesis is based upon changes in layer depth across the GS measured from the Slope Sea to  
 640 the Sargasso Sea resulting in the annual GS cycles described above (Sato and Rossby, 1995).

641 We extended the volume flux calculation methodology described by Rossby (1999),  
 642 assuming a simplified length and depth ( $2000 \text{ km} \times 200 \text{ m}$ ) for the Slope Sea located north of the

643 GS. Integrating the calculated positive long-term trend of LC transport into the Slope Sea at 52°  
644 W (segment 191SW) over the 1993-2013 period (**Table 1**), we can account for 68.4% (0.53°  
645 latitude) of the mean southward-directed secular shift of the GSNW (0.77° latitude) averaged  
646 across all four GSNW longitudes used herein. A second volume flux calculation using the  
647 measured inter-annual LC rms residual of +1.04 Sv at 52° W integrated over one year results in a  
648 corresponding southward residual for the GSNW of 79 km, or 63% of the observed 125.6 km  
649 (1.13°) GSNW rms residual at 55° W. We speculate that the remaining 37% of the unexplained  
650 GSNW rms residual may result from IAV of shelf water volume (Mountain, 2003) and position  
651 of the shelf-slope front separating shelf and slope waters (Bisagni *et al.*, 2009).

652 In summary, our secular and inter-annual volume flux calculations using measured LC  
653 transport numbers, although crude, result in plausible secular and inter-annual GSNW fluctuation  
654 magnitudes. This level of agreement supports direct interaction between the upper layers of the  
655 sub-polar and sub-tropical gyres within the North Atlantic over secular and inter-annual time  
656 scales as suggested by Rossby (1999) and Rossby and Benway (2000). However, the proposed  
657 simple volume flux mechanism, although plausible, should be compared with future long-term  
658 analyses of computed Fofonoff PEA values in both the Slope Sea and Sargasso Sea as computed  
659 by Sato and Rossby (1995) in their dynamical analysis. While the secular and inter-annual time  
660 scales of GSNW variability are most likely due to NAO modulation of the North Atlantic  
661 circulation including LC transport as suggested by Marshall *et al.*, (2001), this study shows that  
662 additional research is needed to confirm the actual dynamical mechanism related to gyre  
663 interactions. Important needs are much longer records of GSNW positions and LC transports to  
664 determine if the southward secular trend of the GSNW observed from 1993-2013 will continue  
665 and if these changes are related to variations in LC transport from the north.

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687 **Summary and Conclusions:**

688 Recent work has shown that changes in the GS position after its separation from the coast  
 689 at Cape Hatteras may be a key to the understanding of changes in the AMOC, sea level  
 690 variability and coastal flooding along the eastern seaboard of North America, and recently  
 691 observed changes in coastal and offshore ecosystems. In this study we compared secular change  
 692 and IAV of the GSNW position between 55° and 70° W with equator-ward LC transport along  
 693 the southwestern Grand Banks near 52° W and a LC index in the western Labrador Sea using  
 694 approximately two decades of satellite altimeter data.

- 695 1) Results at 55°, 60°, and 65° W show a significant southward (negative) secular trend for the  
 696 GSNW, decreasing to a small but insignificant southward trend at 70° W, with IAV of de-  
 697 tressed GSNW position residuals also decreasing to the west, but largely in phase, especially  
 698 from 2003-2013.
- 699 2) The long-term secular trend of annual mean upper layer (200 m) LC transport near 52° W is  
 700 positive with a transition to negative trends near the Tail of the Grand Banks (TGB) and into  
 701 the Labrador Sea along the eastern Grand Banks in agreement with previous work.
- 702 3) Secular changes we report for the GSNW and LC have occurred during the time of a  
 703 weakening AMOC over the past decade and that both past and ongoing AMOC monitoring  
 704 efforts (RAPID and OSNAP) will continue to provide a more complete picture of the total  
 705 AMOC over time.
- 706 4) IAV of the Taylor-Stephens Index (TSI) computed from the first principal component of the  
 707 GSNW position measured from 79° to 65° W shows a significant relationship with IAV of  
 708 our LC Index computed along altimeter ground track 250 located across Hamilton Bank  
 709 (north of the Grand Banks) in the western Labrador Sea from 1995-2010. Increased  
 710 (decreased) sea height differences along altimeter ground track 250 are significantly  
 711 correlated ( $r = -0.68$ ,  $p = 0.05$ ) with a more southward (northward) TSI two years later (a LC  
 712 index lag of +2-years).
- 713 5) IAV of LC transport residuals near 52° W along the southwestern Grand Banks are  
 714 significantly correlated at a lag of +1-year ( $r = -0.44$ ,  $p = 0.05$ ) with IAV of GSNW position  
 715 residuals at 55° W, with positive (negative) LC transport residuals corresponding to  
 716 southward (northward) GSNW positions, i.e., changes in the LC transport lead the GSNW  
 717 position changes by one year.
- 718 6) Spectral analysis of IAV reveals corresponding spectral peaks at 5-7 years and 2-3 years for  
 719 the North Atlantic Oscillation (NAO), GSNW (70°-55°W) and LC transport near 52° W for  
 720 the 1993-2013 period suggesting a connection between these phenomena.
- 721 7) An upper-layer (200 m) slope water volume calculation using the LC IAV rms residual of  
 722 +1.04 Sv near 52° W results in an estimated GSNW IAV position residual of 79 km, or 63%  
 723 of the observed 125.6 km (1.13°) rms value at 55° W.
- 724 8) A similar upper-layer slope water volume calculation using the positive long-term, upper-  
 725 layer LC transport trend accounts for 68% of the mean observed secular southward shift of  
 726 the GSNW between 55° and 70°W over the 1993-2013 period.
- 727 9) Our work provides additional observational evidence supporting interactions between the  
 728 upper layers of the sub-polar and sub-tropical gyres within the North Atlantic over both  
 729 secular and inter-annual time scales as suggested in previous studies. This interaction may be  
 730 in addition to and a direct result of wind-forcing supplied by changes in the NAO over the  
 731 entire North Atlantic Ocean as described by others (Marshall *et al.*, 2001; Chaudhuri *et al.*,  
 732 2011).

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735

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