

On the nature of wind-forced upwelling in Barrow Canyon

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1 **ABSTRACT**

2

3 Using time series from a mooring deployed from 2002–2004 near the head of Barrow
4 Canyon, together with atmospheric and sea-ice data, we investigate the seasonal signals in the
5 canyon as well as aspects of upwelling and the wind-forcing that drives it. On average, the flow was
6 down-canyon during each month of the year except February, when the up-canyon winds were
7 strongest. Most of the deep flow through the head of the canyon consisted of cold and dense
8 Pacific-origin winter water, although Pacific-origin summer waters were present in early autumn.
9 Over the two-year study period there were 54 upwelling events: 33 advected denser water to the
10 head of the canyon, while 21 upwelled lighter water due to the homogeneous temperature/salinity
11 conditions during the cold season. The upwelling occurs when the Beaufort High is strong and the
12 Aleutian Low is deep, consistent with findings from previous studies. Most events resulted in the
13 reintroduction of Pacific Winter Water onto the Chukchi Shelf, rather than advection of Atlantic
14 Water. Overall there were more than twice as many upwelling events during the cold season as the
15 warm season due to the seasonal strengthening of the winds. An analysis of temporally integrated
16 measures revealed a statistically significant relationship between the atmospheric forcing and
17 upwelling response, such that stronger storms tend to result in stronger upwelling. Finally, it is
18 demonstrated that upwelling typically corresponds to the occurrence of the Northeast Chukchi
19 Polynya.

20

21 *Keywords: Arctic Ocean, Barrow Canyon, Upwelling, Polynya, Pacific-origin water masses,*
22 *Atlantic Water*

23

24 1. Introduction

25

26 The Pacific-origin water that flows northward through Bering Strait has a profound impact
27 on the physical state of the western Arctic Ocean as well as the ecosystem. It carries large amounts
28 of freshwater and heat (e.g. Steele et al., 2004; Shimada et al., 2006; Woodgate et al., 2012) and is
29 also a significant source of nutrients, phytoplankton and zooplankton to the region (e.g. Codispoti et
30 al., 2005; Lowry et al., 2015; Ershova et al., 2015). Upon entering the wide and shallow Chukchi
31 Sea, the water is channeled by the bottom topography of the shelf and is also influenced and/or
32 transformed by strong atmospheric forcing and the seasonal presence of sea ice. There are three
33 main pathways that the Pacific water follows on its way northwards (Fig. 1): the western branch,
34 which advects the water into Herald Canyon; the middle branch that proceeds through the Central
35 Channel between Herald and Hanna Shoals; and the eastern branch, which flows adjacent to the
36 west coast of Alaska. Some of the water in the western and central branches is diverted to the east
37 and ultimately enters Barrow Canyon (Weingartner et al., 2005; Pickart et al., 2010; Pickart et al.,
38 2016; see Fig. 1).

39

40 The Pacific Water exits the Chukchi Shelf through Long Strait, Herald Canyon, and
41 Barrow Canyon. Averaged over the course of the year the division in transport between the three
42 exit points is believed to be comparable (Woodgate et al., 2005a). Some of the water leaving Herald
43 Canyon forms an eastward-flowing shelfbreak jet along the edge of the Chukchi Sea (Corlett and
44 Pickart, 2017; Li et al., 2019), while some of the water exiting Barrow Canyon forms an eastward-
45 flowing shelfbreak jet along the edge of the Beaufort Sea (Nikolopoulos et al., 2009; Brugler et al.,
46 2014). Using historical shipboard hydrographic and velocity data, Corlett and Pickart (2017)
47 recently demonstrated that a significant amount of the northward transport from Barrow Canyon is
48 diverted to the west and forms a current along the continental slope of the Chukchi Sea (offshore of
49 the shelfbreak jet). This has been named the Chukchi Slope Current, and, based on mooring data, it
50 is present year-round (Li et al., 2019). In addition to these localized advective outflows, water from
51 the Chukchi Shelf is believed to be fluxed seaward all along the shelfbreak via turbulent processes
52 (Pickart et al., 2005; Spall et al., 2008) and via subduction (Timmermans et al., 2014).

53

54 It has been argued that, during the summer months, a significant fraction of the transport of
55 Pacific Water flowing through Bering Strait is channeled into Barrow Canyon. Using a long-term
56 transport proxy constructed from mooring and wind data, Weingartner et al. (2017) estimated that
57 ~40 % of the Bering Strait inflow drains into the canyon during the months of May–September.
58 Based on shipboard measurements, it appears that, at times, this percentage can be as large as 80–

59 100% (Itoh et al., 2013; Gong and Pickart, 2016; Pickart et al., 2016). The year-long mean transport
60 at the head of the canyon is estimated to be ~ 0.2 Sv (Weingartner et al., 2017), while at the mouth
61 of the canyon it is estimated to be ~ 0.45 Sv (Itoh et al., 2013). This makes the canyon a particularly
62 important gateway for Pacific Water entering the basin. In addition to the two currents that emanate
63 from Barrow canyon, eddies and other turbulent features are spawned there that propagate into the
64 basin (e.g. Pickart and Stossmeister, 2008).

65
66 Barrow Canyon is also known to be a biological “hotspot” due to its elevated nutrient
67 concentrations, enhanced productivity rates, and high benthic biomass. Consequently, it is a region
68 where marine mammals and seabirds concentrate (Hill and Cota, 2005; Grebmeier et al., 2015). As
69 such, Barrow Canyon was included as one of the original sites of the Distributed Biological
70 Observatory (DBO) program when the program was initiated in 2010. As described by Moore and
71 Grebmeier (2018), the aim of DBO is to enhance our understanding of the Pacific Arctic ecosystem
72 and document the ongoing changes within it, focusing on key hotspot regions and how they are
73 changing in time. Part of the goal of DBO is to better interpret the biological responses in light of
74 the physical variability. Therefore, investigation of the physical processes occurring in Barrow
75 Canyon is highly relevant for the DBO program.

76
77 Several mechanisms bring nutrient-rich Pacific Winter Water into the canyon that likely
78 influence the productivity there. The flow pathways noted above seasonally advect this cold water
79 into the head of the canyon. The coastal branch is the dominant source during the winter months
80 into late-spring (Pickart et al., 2016; Weingartner et al., 2017), while the central pathway transports
81 the cold water into the canyon during mid- to late-summer (Pickart et al., this issue). In addition to
82 these advective sources, enhanced small-scale mixing in the canyon (Shroyer, 2012) likely fluxes
83 nutrients from the sediments into the water column. Bottom boundary layer detachment has been
84 identified in Herald Canyon as another means of fluxing nutrients vertically from the seafloor
85 (Pickart et al., 2010), and it is likely that this mechanism also operates in Barrow Canyon.
86 Shipboard measurements support this notion (R. Pickart, unpublished data).

87
88 A particularly energetic process that occurs in Barrow Canyon is that of upwelling, which
89 can bring nutrient-rich winter water from offshore into the canyon and onto the Chukchi Shelf. The
90 importance of this cold water for the regional ecosystem cannot be overstated. It is known to trigger
91 phytoplankton blooms in the region (and even maintain under-ice blooms), which have been
92 observed to be sustained for long periods of time in the presence of this water mass (Lowry et al.,
93 2015). Enhanced primary production, in turn, leads to the increases in biological production across
94 all trophic levels. Warm Atlantic Water, which resides below the winter water in the interior basin

95 (and is also rich in nutrients), can also be upwelled onto the Chukchi Shelf. At times, it is advected
96 far south of the canyon (Bourke and Paquette, 1976) and can influence the formation and
97 maintenance of the Northeast Chukchi Polynya (Ladd et al., 2016; Hirano et al., 2016). In addition,
98 upwelling plays a role in other processes on the shelf, such as water mass transformation (i.e.
99 Kawaguchi et al., 2011), ice freeze-up and melt, and eddy formation. It can also affect the
100 properties of the waters that ultimately enter the Arctic basin (i.e. Weingartner et al., 2017).

101

102 Numerous factors are believed to drive the up-canyon flow in Barrow Canyon. These
103 include propagation of shelf-edge waves (Aagaard and Roach, 1990; Carmack and Kulikov, 1998;
104 Danielson et al., 2014), changes in the meridional sea level pressure gradient (Mountain et al.,
105 1976), and southward rectified flow at depth due to temporal variations in the outflow of the Pacific
106 water (Signorini et al., 1997). However, the most common upwelling mechanism in Barrow Canyon
107 is wind forcing, in particular enhanced easterly and northeasterly winds (e.g. Carmack and Kulikov,
108 1998; Pickart et al., 2009; Watanabe, 2011; Okkonen et al., 2009). Despite the previous work
109 addressing upwelling in Barrow Canyon, there are numerous aspects of this process that warrant
110 further study. This includes the precise relationship between the currents and the water masses in
111 the canyon. There is also the need for an improved understanding of how the hydrography and flow
112 are affected by the wind and the associated large-scale atmospheric circulation patterns, as well as
113 by the presence of sea ice and occurrence of polynyas.

114

115 In this study, we use two years of mooring data collected near the head of Barrow Canyon,
116 together with atmospheric reanalysis fields, meteorological data, and satellite ice concentration data,
117 to investigate different aspects of wind-driven upwelling in Barrow Canyon. The data are first used
118 to characterize the flow and atmospheric forcing in the canyon, as well as the seasonality of the
119 water masses. This allows us to then more effectively describe the anomalous conditions associated
120 with upwelling. We also investigate the differences between the two years, which emphasizes the
121 pronounced interannual variability that occurs in the canyon. The mooring was situated in close
122 proximity to two of the DBO lines: DBO-4, which is a transect to the northwest of Wainwright,
123 Alaska, and DBO-5, which crosses the central portion of Barrow Canyon (see Moore and
124 Grebmeier (2018) for the locations of all eight DBO lines). Although the mooring data were not
125 collected contemporaneously with the DBO program, the physical processes analyzed here will
126 greatly aid in the interpretation of the ongoing DBO measurements.

127 2. Data and Methods

128

129 The primary in-situ data used in this study come from the Western Arctic Shelf-Basin
130 Interactions (SBI) program, which took place from 2002–2004 (Grebmeier et al., 2005). While
131 more recently collected mooring data from the vicinity of Barrow Canyon have been used to
132 address certain aspects of upwelling (e.g. Ladd et al., 2016; Weingartner et al., 2017), the results
133 presented here offer new insights into this process, and also allow for a future comparison of
134 conditions between the early 2000s and roughly a decade later. We now describe the different
135 sources of data used in our study.

136

137 2.1. Mooring data

138

139 A mooring was maintained near the head of Barrow Canyon at the 78-m isobath (Fig. 2) as
140 part of the SBI program from August 2002 to September 2004. The canyon is $O(50\text{ km})$ wide and
141 $O(150\text{ km})$ long, extending from approximately the 50-m isobath to the 300-m isobath. The
142 mooring was equipped with a Sea-Bird Electronics SBE16 SEACAT, which provided time series of
143 temperature, salinity, and pressure every hour at 67 m depth. The SEACAT was calibrated at Sea-
144 Bird before and after each deployment. Hourly velocity data (flow speed and direction) were
145 obtained from an AANDERAA Recording Current Meter (ACM-7) at 64 m depth. These data were
146 detided by estimating the tidal signal using the T_Tide harmonic analysis toolbox
147 (Pawlowicz et al., 2002) and then removing it from the velocity time series. As the current
148 fluctuations at the head of the canyon are maximized along the canyon axis, we rotated our velocity
149 data into a coordinate frame with a positive alongstream direction of 56°T (down-canyon) and
150 positive cross-stream direction of 326°T (toward the Chukchi Shelf). This choice was guided by the
151 orientation of the principal axis of the variance ellipse and by the orientation of the mean flow
152 (Fig. 2). Weingartner et al. (2017) used a similar rotation angle. The SEACAT returned a complete
153 data set for both deployments (with a gap of a few days during the mooring turnaround). However,
154 the AANDERAA current meter failed in summer 2003, so there is a three-month gap in the velocity
155 time series at the end of the first year.

156

157 2.2. Barrow wind data

158

159 Data from the meteorological station at Barrow, Alaska (now called Utqiagvik, Alaska) are
160 used to characterize the wind forcing in the region. The station is located approximately 110 km to
161 the northeast of the mooring site (Fig. 2). Hourly data were obtained from the National Climate
162 Data Center of the National Oceanic and Atmospheric Administration (NOAA). As described in

163 Pickart et al. (2013), the data were subsequently quality controlled and interpolated to fill in small
164 gaps. We determined the component of the wind that resulted in the maximum correlation with the
165 along-canyon current fluctuations at the head of the canyon. In the analysis that follows, positive
166 winds (southwesterly) are directed along 52°T , and negative winds (northeasterly) are directed
167 along 232°T .

168

169 *2.3. Atmospheric reanalysis fields*

170

171 To characterize the large-scale atmospheric patterns, we use the North American Regional
172 Reanalysis (NARR, Mesinger, 2006) sea level pressure (SLP) data and 10 m winds. NARR uses
173 newer data assimilation techniques and more advanced modeling procedures than the original
174 National Centers for Environmental Prediction (NCEP) global reanalysis product. The spatial
175 resolution of the NARR data is 32 km, and the time increment is 6 hours.

176

177 *2.4. Satellite ice concentration data*

178

179 For sea-ice concentration, we use the blended Advanced Very High Resolution Radiometer
180 (AVHRR) and the Advanced Microwave Scanning Radiometer (AMSR) product of National
181 Climatic Data Center, NOAA. This combined product, with two different types of sensors, helps
182 reduce systematic errors and avoids data gaps in cloudy regions (Reynolds et al., 2007). The
183 combined product is available from 2002 through September 2011; for the period of 2011 – 2017
184 AVHRR time series were used. The spatial resolution of the data is 0.25° , and the temporal
185 resolution is once per day. The estimated accuracy of the ice concentration data is $\pm 10\%$ (Cavalieri
186 et al., 1991).

187

188 3. Hydrographic and flow characteristics at the head of Barrow Canyon

189

190 Before investigating the upwelling in Barrow Canyon, it is necessary to describe the
191 different types of water found in the canyon, their seasonality, and the overall character of the flow.
192 The two-year mean velocity vector ($\sim 20 \text{ cm s}^{-1}$ down-canyon) is shown in Fig. 2, along with the
193 mean 10-m wind vector at Barrow ($\sim 2 \text{ m s}^{-1}$ directed roughly up-canyon). Previously reported flow
194 measurements in the canyon have also shown a mean northward velocity of $15\text{--}20 \text{ cm s}^{-1}$ that
195 opposes the wind (Mountain et al., 1976; Weingartner et al., 2017), with velocities frequently
196 exceeding 50 cm s^{-1} (see also Weingartner et al. (2005) and Gong and Pickart (2005)).

197

198 3.1. Water masses

199

200 In this study, we use potential temperature-salinity (T/S) ranges for the different water
201 masses following previous studies (e.g. Coachman et al., 1975; Gong and Pickart, 2015;
202 Itoh et al., 2015). These definitions are shown in Fig. 3. We note that these limits are not precise
203 since the T/S characteristics of the water masses entering the Chukchi Sea can vary from year to
204 year, but for our purposes this classification is sufficient.

205

206 As depicted in Fig. 1, Pacific Water enters Barrow Canyon both from the coastal branch and
207 from the Central Channel branch. Over the course of the year, these two pathways deliver all of the
208 different types of Pacific-origin water to the canyon (Fig. 3). The two classes of summer water
209 include Alaskan Coastal Water (ACW) and Bering Summer Water (BSW). The former originates
210 from river run-off into the Gulf of Alaska and eastern Bering Sea and enters the Chukchi Sea in the
211 Alaskan Coastal Current (ACC). The latter is a combination of nutrient-rich Gulf of Anadyr water
212 and colder and fresher Bering Shelf waters that mix north of Bering Strait (Coachman et al., 1975).
213 BSW is advected into Barrow Canyon via both the central and coastal pathways (Gong and Pickart,
214 2005).

215

216 Previous studies (e.g. Brugler et al., 2014; Pickart et al., 2016) have considered two types of
217 Pacific-origin winter water: newly-ventilated winter water (i.e. water that was recently modified by
218 convective overturning either on the northern Bering Shelf or Chukchi Shelf), and remnant winter
219 water (RWW, which is older winter water that has been warmed by solar heating and/or via mixing
220 with summer waters). Here we also distinguish between two classes of newly-ventilated winter
221 water following Weingartner et al. (1998; 2017) and Itoh et al. (2015) (and others) who
222 demonstrated that the water can be further salinized within polynyas on the Chukchi Shelf. The

223 polynya product is termed hypersaline winter water (HSWW), while the more moderately salinized
224 product is referred to here simply as winter water (WW).

225

226 The remaining two water masses found in Barrow Canyon are Atlantic Water (AW) and
227 fresh surface water of local Arctic origin influenced by ice melt and/or river discharge (referred to
228 here as meltwater (MW) following Pisareva et al., 2015 and others). As discussed in the
229 introduction, AW is often advected into the canyon during upwelling events. This water originates
230 from the Eurasian Arctic and typically resides deeper than about 200 m in the Canada Basin. It is
231 transported throughout the Arctic Ocean by a system of cyclonic boundary currents (e.g. Rudels et
232 al., 2004). MW is typically observed in the upper part of the water column and is found over a large
233 range of temperatures depending on the time of year. River water is distinguishable from meltwater
234 using specialized tracers (e.g. the stable oxygen isotope O-18; see Cooper et al., 1997), but our data
235 do not allow us to make this distinction; note also that our hydrographic sensor was situated near
236 the bottom.

237

238 3.2. Seasonality

239

240 On average, the flow at the head of Barrow Canyon during the 2-year measurement period
241 was down-canyon during each month of the year except February (Fig. 4a). The maximum flow
242 occurred in summer, with a peak in July of nearly 50 cm s^{-1} . The error bars shown in Fig. 4 are
243 standard errors, hence it is seen that the down-canyon flow during part of the fall (October-
244 November) was not significantly different from zero. The seasonal cycle of wind (Fig. 4b) reveals
245 that the up-canyon flow in February corresponded to the month with the strongest up-canyon winds.
246 The measurements at the head of the canyon reported by Weingartner et al. (2017) for the period
247 2010–15 also show the strongest down-canyon flow in summer. However, from December through
248 April the flow was up-canyon or near zero for their dataset, hence during our earlier measurement
249 period the currents were more consistently directed out of the canyon. This might be related to the
250 more persistent easterly/northeasterly winds in the later period versus our measurement period (e.g.
251 Brugler et al., 2014). The potential temperature and salinity at the head of the canyon also displayed
252 seasonality (Fig. 4c). From January to May the water was near the freezing point, then warmed to a
253 maximum temperature in September before progressively getting colder through the remainder of
254 the fall. The salinity was lowest in the summer and early-fall.

255

256 To identify the water masses flowing through the canyon over the two-year period we
257 constructed a T/S diagram for each season, which includes a histogram of the measurements
258 (Fig. 3). During the summer months (June–August), most of the deep water at the canyon head

259 consisted of WW and RWW. As explained in Pickart et al. (this issue), these two winter waters are
260 still flushing down the canyon in summer due to the long advective route of the central pathway
261 (see also Weingartner et al., 2005; Pickart et al., 2016; Shroyer and Pickart, 2017). The next most
262 common water mass was BSW, with only a small amount of ACW. During the fall (September–
263 November), both summer water masses were present (mainly in the early-fall) but again there was a
264 large presence of RWW. Substantial quantities of AW were measured as well, due to upwelling
265 activity. MW was also observed in this deep part of the water column. The winter (December–
266 February) and spring (March–May) seasons were characterized by the largest volumes of dense
267 winter water, including HSWW near the freezing point. Interestingly, there were two modes of the
268 winter water: a WW mode near a salinity of 32.5, and a HSWW mode in the salinity range of 34–
269 34.5. While AW was present during the winter (along with small amounts of RWW and BSW), the
270 spring months were characterized almost exclusively by newly ventilated winter water near the
271 freezing point.

272
273 Using the definitions of the water masses, we created a time series that reveals when each
274 was present at the head of the canyon (Figure 5). Consistent with the seasonal T/S plots, the
275 dominant water mass was the cold winter water (both WW and HSWW), which was present for
276 prolonged periods during the two year-long deployments. There was considerably more HSWW the
277 first year. RWW appeared in June of each year, interspersed with the WW (this is when the winter
278 water starts to warm). The two summer waters tended to appear in patches, sometimes lasting only
279 a few days. Consistent with the findings of von Appen and Pickart (2012), the BSW appeared
280 earlier in the summer than the ACW, and lasted later into the fall (as late as mid-December the
281 second year). There was far less ACW in the second summer, only a very small amount in August
282 and then again in September. The AW, which appeared only in fall and winter, sometimes persisted
283 for close to a week, while other times only for a day or so. Finally, while MW is commonly
284 observed in Barrow Canyon in summer, it typically resides in the upper 20–30 m (Itoh et al., 2015;
285 Pickart et al., this issue). We measured water with the characteristics of MW at 67 m in late-
286 November/early-December of the second year. Some of this was near the freezing point (Fig. 3c),
287 suggesting melting of ice that had only recently been formed. As for the warmer MW, it is possible
288 that this resulted from mixing of RWW with very fresh surface waters, or ACW that had been
289 cooled in the fall.

290
291 Based on our mooring data from 2002–2004, almost 80% of the deep flow through the head
292 of the canyon consisted of cold and dense winter waters: WW (38%), RWW (31%) and HSWW
293 (10%). This is in line with previous studies (e.g. Weingartner et al., 2017). However, one should
294 remember that these estimates only apply to the near-bottom layer and hence are not representative

295 of the total flow discharge through the head of the canyon. BSW was present at the mooring site
296 12% of time, while ACW was only measured 3% of time. Note that this does not imply that the
297 ACC was this sporadic. The ACW advected by the current is often confined to shallower depths in
298 the canyon (see Pickart et al., this issue). In total, AW was observed 4% of the time, which was
299 associated with upwelling (either up-canyon flow during the event or return flow back to the basin
300 after the event). MW was measured less than 2% of time.
301

302 4. Atmospheric forcing and sea ice

303

304 We now assess the atmospheric conditions both during the study period and climatologically
305 for the region, as well as the sea ice cover.

306

307 4.1. Sea level pressure and winds

308

309 It is well known that two atmospheric centers of action – the Beaufort High (BH) and the
310 Aleutian Low (AL) – govern the wind patterns across the Chukchi Shelf (Pickart et al., 2009, 2013;
311 Brugler et al., 2014). Using the Barrow weather station wind data, we computed the monthly mean
312 along-canyon winds averaged over the period 1979 – 2014. One sees that, climatologically, the
313 winds are out of the northeast during each month of the year (cyan curve in Fig. 4b). There is a
314 well-defined seasonal cycle, with stronger winds during fall and winter, although the amplitude is
315 only about 1 m s^{-1} . The large standard errors indicate significant interannual variability within each
316 month.

317

318 To put this in context we constructed climatological maps of SLP and 10-m winds using the
319 35-year NARR reanalysis dataset (Figure 6). Following Woodgate et al. (2005a), we defined the
320 cold season from October to March and the warm season from April to September. During the
321 warm period, the BH is climatologically centered over the Beaufort Sea, with a weak signature of
322 the AL situated in the eastern Bering Sea. In winter, the BH strengthens, spreads to southwest, and
323 merges with the Siberian High – a system of high pressure over Siberia associated with anti-
324 cyclonic circulation. At the same time the AL deepens significantly due to the greater number and
325 increased strength of storms progressing along the North Pacific storm track (e.g. Favorite et al.,
326 1976; Pickart et al., 2009; Lin et al., 2016). As such, the SLP gradient between the two centers of
327 action is enhanced in the cold season, which leads to stronger winds in the Chukchi Sea.

328

329 Not surprisingly, there are differences between the studied period versus the climatological
330 winds (compare Figs. 6 and 7), but we focus here on the changes between the two deployment years
331 (Fig. 7). One sees that the warm season in 2004 was significantly windier than in 2003 (Figs. 7a, b).
332 This was because in 2003 there was much greater month-to-month variability, including a period of
333 southerly winds in July and September. This resulted in both a weaker BH and a more moderate AL.
334 Accordingly, the anomaly shows strengthened northeasterlies in Barrow Canyon for the second year
335 (Fig. 7c). In the cold season, the signature of the BH was similar for the first deployment year
336 versus the second deployment year (Figs. 7d, e). The biggest difference was in the AL, which had a
337 double-core structure during the second deployment year. However, while this led to enhanced

338 northerly winds in the Bering Sea and southern Chukchi Sea, there was essentially no difference in
339 the vicinity of Barrow Canyon (Fig. 7f). These different wind patterns between the two mooring
340 years have bearing on the upwelling investigated below.

341

342 4.2. *Sea-ice cover*

343

344 To describe the sea-ice cover in the Barrow Canyon region, we created a time series of ice
345 concentration both at the mooring site near the head of the canyon and within a larger domain that
346 encompasses the Northeast Chukchi Polynya (165–159°W, 68.8–71.7°N, see Fig. 1). For the second
347 time series, we computed the median ice concentration from the grid points within the defined
348 region. We divide the year into three seasons: the open water season when the concentration was
349 less than 10%; the partial ice season when the concentration was between 10% and 70%; and the
350 full ice season when the ice cover exceeded 70% (following Schulze et al., 2012). The different
351 shading in Fig. 8 highlights the three seasons defined as such, applied to the ice concentration at the
352 mooring position.

353

354 For the two-year period (from 1 September 2002 to 31 August 2004) at the head of Barrow
355 Canyon, the full ice and open water seasons were roughly comparable in length, both of which were
356 much longer than the partial ice season (Fig. 8). The variability in ice cover was considerably more
357 pronounced at the mooring site compared to the broader polynya region (compare the solid and
358 dashed curves). Overall, the sea ice was more highly concentrated in the winter of 2002–2003 than
359 the winter of 2003–2004, and the full ice season lasted two weeks longer the first deployment year.
360 Furthermore, in winter of 2003–2004 there were more openings during the full ice period (five
361 openings compared to two during winter 2002–2003). The model simulations of Kawaguchi et al.
362 (2011) show that the polynya adjacent to the Alaska coast tends to occur more frequently when the
363 AL is in the northwestern part of the Bering Sea across the western Aleutian Islands. This was
364 indeed the case for winter season 2003–2004 (Fig. 7e), although no substantial difference in the
365 wind field over the polynya region was evident between the two winter seasons (Fig. 7f). The role
366 of sea ice in upwelling is investigated below in section 5.2.

367

368 **5. Upwelling events at the head of Barrow Canyon**

369

370 In this section, we examine the characteristics and variability of the wind-induced upwelling
371 at the head of Barrow Canyon using the near-bottom mooring data. We then consider the influence
372 of the atmospheric forcing and sea-ice cover.

373

374 *5.1. General characteristics of upwelling*

375

376 *5.1.1. Upwelling criteria*

377 Past studies along the Beaufort and Chukchi slopes have identified upwelling based on a set
378 of criteria (e.g. Pickart et al., 2009; Schulze and Pickart, 2012; Lin et al., 2018). The first
379 requirement is that the winds are upwelling favorable, the second is that the flow along the
380 shelfbreak is reversed (i.e. directed westward), and the third is that anomalously dense water
381 appears near the bottom in the vicinity of the shelfbreak (having been advected upslope from the
382 basin). In the present study, we have removed the third requirement. The rationale is that, at certain
383 times of the year, the water at the head of Barrow Canyon is denser than that offshore of the canyon
384 at similar depths or deeper. In these instances, the upwelled water could in fact be less dense than
385 the water previously residing at the head. As such, we have identified upwelling events as those
386 periods when there is a flow reversal (southward flow) at the mooring position stronger than 10 cm
387 s^{-1} , coincident with northerly winds. If two flow reversals were not separated in time by more than
388 12 hours, they are considered one event. The results are not sensitive to the precise choice of the
389 flow reversal threshold.

390

391 *5.1.2. Characteristics of upwelling*

392 Over the two-year study period (August 2002 to September 2004) there were a total of 54
393 upwelling events meeting the above criteria – 27 in each deployment year. Of these events, 33 were
394 associated with denser water observed at the mooring site and 21 had lighter water present at the
395 site (shown by the black and white bars, respectively, in Fig. 5). The potential density anomaly was
396 computed relative to the density observed at the beginning of the current reversal. The majority of
397 the events having negative density anomaly occurred during the cold season (October–March, 16
398 events). This is consistent with the fact that the Chukchi Shelf is predominantly filled with winter
399 water modes (WW and HSWW), denser than the ambient water offshore, during winter. Another
400 scenario resulting in a negative density anomaly at the mooring site occurs when a previous
401 upwelling event has advected AW onto the shelf. After the wind subsides the AW starts draining
402 northwards out of the canyon, and if this process is not complete by the time of the following event

403 then lighter water can appear at the head of the canyon. Both cases are evident in Fig. 5. For
404 example, the former scenario was observed in January 2003, and the latter in mid-November 2002.

405
406 Schulze and Pickart (2012) identified 45 wind-driven upwelling events (shown by the red
407 bars on Fig. 5) on the Beaufort shelfbreak/slope, using mooring data collected during the same time
408 as our study, roughly 150 km to the east of Barrow Canyon. Our analysis demonstrates that 40 out
409 of the 45 Beaufort slope events coincided with upwelling at the head of Barrow Canyon. The
410 percentage likely would have been larger, but four of the Beaufort slope events occurred during
411 summer 2003, when we had no velocity data in Barrow Canyon. Furthermore, the fifth event was
412 preceded by particularly strong northward flow out of the canyon, which was strongly retarded but
413 not reversed. This suggests that upwelling on the Beaufort slope is nearly always associated with
414 upwelling in Barrow Canyon.

415
416 On the other hand, 9 of 54 upwelling events identified in the canyon were not coincident
417 with upwelling on the Beaufort slope (which is not due to missing data). Several things might
418 explain this difference. For example, shelf waves are known to cause flow reversals in the canyon
419 (e.g. Aagaard and Roach, 1990; Danielson et al., 2014). However, we argue that the main factor is
420 the atmospheric forcing. To demonstrate this, we constructed composite fields using the reanalysis
421 data for the cases when upwelling occurred at both sites versus only in Barrow Canyon (Fig. 9). In
422 the former case, there is a well-developed Beaufort High and Aleutian Low which result in
423 northeasterly winds. By contrast, in the latter case the AL is displaced more to the east and there is
424 no signature of the BH. Instead, high pressure resides over Siberia and the resulting east-to-west
425 SLP gradient leads to more northerly winds – which are not conducive for upwelling along the
426 Beaufort slope.

427
428 The mean up-canyon velocity for all the upwelling events was $\sim 30 \text{ cm s}^{-1}$, while the
429 strongest velocities observed exceeded 120 cm s^{-1} . The average length of an upwelling event was 70
430 hours, varying from 14 to 169 hours. The longest upwelling events occurred during early February
431 in both years. Seasonally, the greatest number of events occurred in winter (Dec–Feb, 20 events),
432 followed by fall (Sep–Nov, 17 events), spring (Mar–May, 14 events), and summer (Jun–Aug, 3
433 events). It should be remembered that during summer 2003 there were no velocity data, hence no
434 upwelling events could be identified. The good correspondence with upwelling events on the
435 Beaufort Slope suggests that there could have been four additional flow reversals, driven by
436 northeasterly winds that summer. The enhanced frequency of upwelling in late-fall to early-winter
437 recorded here is consistent with the observations of Aagaard and Roach (1990) in Barrow Canyon.
438 While Pickart et al. (2013) found two seasonal peaks in the occurrence of upwelling along the

439 Beaufort slope, in May and November, we did not observe a comparable seasonality. This could be
440 because Pickart et al. (2013) used longer time series. However, while there is a strong springtime
441 peak in easterly winds in the region, which is conducive for upwelling along the Beaufort slope
442 (Lin et al., 2016), Fig. 4b indicates that the component of wind along the axis of Barrow Canyon in
443 our study period in fact decreases from February into spring.

444
445 To evaluate the time delay between the onset of northerly winds and reversed up-canyon
446 flow, we calculated the correlation between the rotated wind and along-canyon velocity. The
447 resulting wind-current time lag of 9 hours is a bit longer than that calculated by Schulze and
448 Pickart (2012) for the shelfbreak of the Alaskan Beaufort Sea (8 hours). This is also evident in
449 Fig. 5 where one sees that the upwelling events on the Beaufort slope commence a bit earlier than
450 the corresponding reversals in Barrow Canyon (compare the timing of the black and white patches
451 with that of the red patches). This could again be due to the different orientation of the bathymetry
452 versus the winds at the two sites. One should also remember, however, that our mooring is situated
453 near the head of the canyon, i.e. it presumably takes longer for water from the basin to transit the
454 ~150 km distance from the mouth of Barrow Canyon to the mooring, versus the short distance from
455 the basin to the Beaufort shelfbreak.

456 457 5.1.3. *Water masses during upwelling*

458 What water masses were upwelled to the head of Barrow Canyon during the two-year period?
459 To examine this, we tabulated the T/S characteristics of the water present at the peak of each
460 upwelling event, where the peak is defined as the time of maximum or minimum potential density
461 anomaly, depending on whether the up-canyon flow advected heavier or lighter water, respectively.
462 Note that this represents the densest or lightest water masses brought up from the basin during an
463 event (while other water masses often preceded the appearance of the final water mass). Based on
464 this measure, six of the seven previously discussed water masses were upwelled during the two-year
465 period, the only exception being ACW (Fig. 10). Pickart et al. (this issue) also noted an absence of
466 ACW during upwelling events using full water column data from the central portion of Barrow
467 Canyon. In Fig. 10 we divide the results into the cold and warm seasons. This reveals that there
468 were many more events during the cold season (37) than in the warm season (17). The timing of the
469 events is also marked on the time series of the water masses in Fig. 5, highlighting how rare
470 upwelling was in summer.

471
472 Consider first the densest water mass upwelled to the mooring site, the AW. Previous studies
473 have noted the presence of AW on the Chukchi Shelf to the south of Barrow Canyon (e.g. Bourke
474 and Paquette, 1976), but, according to Weingartner et al. (2005), it is rarely advected farther than

475 Icy Cape. During our two-year study period, AW was upwelled to the mooring site only during the
476 cold season. This is consistent with earlier measurements (Mountain et al., 1976; Bourke and
477 Paquette, 1976; Aagaard and Roach, 1990; Weingartner et al., 1998). In a study of upwelling on the
478 Beaufort slope, Lin et al. (2018) found that, under the same wind conditions, Pacific-origin water
479 was primarily upwelled during the summer months, while AW was upwelled more frequently
480 during the remainder of the year. They argued that this is due to seasonal variations in the wind
481 stress curl offshore of the continental slope that causes the Pacific Water/Atlantic Water interface to
482 migrate vertically in the water column. In summer, the interface is depressed, making the AW less
483 accessible. This could explain why we only observed AW being upwelled during the cold season
484 (we note that all of the AW upwelling events were positive density anomaly events).

485
486 During the warm season, all the upwelling events at the mooring site were associated with
487 Pacific Water. Furthermore, 11 of the 14 events were associated with newly ventilated winter water
488 (WW or HSWW, Fig. 10). As seen in Figs. 4 and 5, WW first starts appearing at the mooring site in
489 December and is observed intermittently until the end of August/early September. Pickart et al. (this
490 issue) also observed WW in the canyon during August and September in a collection of repeat
491 hydrographic sections crossing the middle of the canyon, spanning a four-year period. This is
492 consistent with the seasonal presence of this water mass within the interior flow paths of the
493 Chukchi Shelf that deliver the dense water to the canyon. As such, WW should occupy the region
494 seaward of Barrow canyon as it exits into the basin during (and shortly after) this time frame, which
495 would make it readily available for upwelling during northeasterly wind events. Both positive and
496 negative density anomaly events were associated with different types of winter water.

497
498 The other two Pacific-origin water masses present at the head of Barrow Canyon during the
499 peak of upwelling events were RWW and BSW (Fig. 10). The former was measured mostly in the
500 cold season (there were only two events during warm season) mainly in the first half, as opposed to
501 the late-winter to early-spring occurrences of WW. BSW was observed only once (late-November
502 2003), also during the cold season. The lightest water mass upwelled to the head of Barrow Canyon
503 was the Arctic-origin MW. One event occurred in November 2003 (Fig. 10), associated with a
504 positive density anomaly (although the magnitude of the anomaly was small). This was during a
505 period of three consecutive northerly storms, and hence sustained wind mixing might have brought
506 the MW to depth (this water mass is normally found in the surface layer).

507
508 The above results pertain to the peak of the upwelling. It is of interest as well to determine
509 the full suite of water masses that are advected past the mooring site during upwelling. More than
510 80% of the water measured during upwelling events over the two-year period was winter water:

511 WW (~44.4%), RWW (~34%), and a small amount of HSWW (~5.2%). Thus, most upwelling
512 events in Barrow Canyon resulted in the reintroduction of cold Pacific Water onto the shelf.
513 Notably, the WW and HSWW are both very high in nitrate (e.g. Lowry et al., 2015), which could
514 help explain the enhanced biological productivity observed in the canyon (Grebmeier et al., 2015).
515 The next most common water mass was AW (~9.4%) followed by BSW (~5.4%). The percentage
516 of the two lightest water masses, ACW (~0.4%) and MW (~1.2%), were negligibly small.

517

518 This may seem at odds with the results of Lin et al. (2018) who observed little-to-no Pacific
519 Water upwelling events on the Beaufort slope during the cold months based on 6 years of mooring
520 data. Overall, they found that AW was upwelled three times more frequently than Pacific Water.
521 Here we find the opposite trend – only 5 out of the 54 events contained AW at their peaks. This is
522 most likely due to the difficulty of drawing such dense AW all the way from the basin to the head
523 of the canyon, which was also noted by Aagaard and Roach (1990). The seasonal trends found by
524 Lin et al. (2018) may apply more closely to the canyon mouth.

525

526 5.2. Atmospheric forcing

527

528 5.2.1. Large-scale circulation

529 We now investigate the atmospheric circulation patterns that resulted in the upwelling
530 during the study period. Following the analysis in Section 4.1, we consider the warm months
531 (April–September) and the cold months (October–March). We created composite maps of SLP and
532 10 m winds for the times in which upwelling was occurring versus the non-forced periods for these
533 two times of the year (Fig. 11).

534

535 One sees that, during the warm months, upwelling took place when the Beaufort High was
536 well developed and there was a signature of the Aleutian Low in the Bering Sea (Fig. 11a). This is
537 similar to the findings of Weingartner et al. (2017) and Pickart et al. (this issue) who considered
538 upwelling in Barrow Canyon in more recent years. For the unforced periods during the warm
539 months, there are only weak signatures of these two centers of action and very light winds in the
540 canyon (Fig. 11b). During the cold months, the upwelling in Barrow Canyon is also driven by the
541 Beaufort High in conjunction with the Aleutian Low (Fig. 11d). However, both centers of action
542 have stronger signatures compared with the warm season composite. This is to be expected because,
543 as shown above, climatologically the BH is stronger in winter and the AL is deeper (Fig. 6). This is
544 also seen in the unforced composite for the cold months of our study period (Fig. 11e). The
545 anomaly fields reveal that, while both centers of action change considerably during upwelling in the
546 warm period, it is primarily the AL that is altered (deepened) when upwelling occurs during the

547 cold months (compare Figs. 11c and f). Pickart et al. (2009) found this to be true as well for
548 upwelling along the Beaufort slope.

549

550 5.2.2. *Strength of forcing versus water column response*

551 Over the two-year period there was a wide range of up-canyon wind speeds that resulted in
552 upwelling. To document this, we tabulated the number of upwelling events identified from the
553 mooring time series as a function of wind speed threshold (Fig. 12). The strongest forcing was 16 m
554 s⁻¹ (one event), while the weakest was 5 m s⁻¹ (two events). This distribution of wind events is
555 similar to that previously reported for the Beaufort slope. Using data over the same two-year period
556 considered here, Schulze and Pickart (2012) determined that upwelling commences on the Beaufort
557 slope once the easterly wind speed exceeds 4 m s⁻¹. Based on 6 years of mooring data at the same
558 location (2008–13), Lin et al. (2016) constructed a composite upwelling event based on 131
559 individual events. They determined that the average peak wind speed was between 5–6 m s⁻¹.

560

561 Do the strongest up-canyon wind speeds result in the strongest upwelling events in Barrow
562 Canyon? To assess this, it was necessary to define a robust measure of the strength of forcing as
563 well as the strength of the water column response. For the former, we followed Pisareva et al. (2015)
564 and used the Barrow meteorological data to compute the time integral of the wind stress over each
565 up-canyon wind event (whether or not the event resulted in upwelling),

$$566 I_w = \int_{t_{ws}}^{t_{we}} |\tau_a(t)| dt, \quad (1)$$

567 where τ_a is the along-canyon component of the wind stress (computed following Large and Pond,
568 1981), and t_{ws} and t_{we} are the start and end times of the wind events. A wind event was defined
569 when there was an up-canyon wind for more than 6 hours. If two events were not separated in time
570 by more than 6 hours, those events were considered as one. Note that I_w takes into account both the
571 magnitude of the wind as well as the length of the event. It is related to the cumulative Ekman
572 transport defined by Huyer et al. (1979) (the cumulative Ekman transport is $I_w/\rho f$, where ρ is the
573 density of the water and f is the Coriolis parameter).

574

575 The value of I_w for each up-canyon wind event over the two-year mooring deployment is
576 shown in Fig. 13a, where the black squares indicate the mean for each month (the storms that
577 occurred during summer 2003 are not included since we have no velocity data for that time period).
578 Overall, this measure of storm strength displays the same seasonal pattern as the wind magnitude
579 (compare Figs. 13a and 3b), with larger I_w , and larger variability, from mid-fall through mid-spring.
580 In Fig. 13a we have marked those wind events that caused upwelling (red symbols). A clear
581 relationship is evident: out of the 33 northerly storms with I_w greater than 0.2 N m⁻² d, only 6 did

582 not induce upwelling, and all but one with $I_w > 0.3 \text{ N m}^{-2} \text{ d}$ resulted in upwelling (the exception was
 583 the event in May 2004 when a strong northerly wind caused the flow in the canyon to retard but not
 584 reverse). Eight storms resulted in multiple (two, three or four) upwelling events at the head of
 585 Barrow canyon, due to the long duration of the enhanced winds. Those events are plotted
 586 overlaying each other and circled on Fig. 13a. Seven of these storms had $I_w > 0.3 \text{ N m}^{-2} \text{ d}$. At the
 587 same time, our data suggest that it is not necessary for a wind event to have I_w greater than some
 588 threshold to cause upwelling. As seen in Fig. 13, six of the upwelling events had $I_w < 0.1 \text{ N m}^{-2} \text{ d}$.

589
 590 The seasonal distribution of I_w for all the wind events over the period 1979–2014
 591 (corresponding to the NARR coverage) displays a similar pattern as the two-year period of our
 592 study (Fig. 13b). Storms tend to be weaker in the summer months with less variability. Based on
 593 our two-year mooring data set, 82% of the wind events with $I_w > 0.2 \text{ N m}^{-2} \text{ d}$ resulted in upwelling.
 594 Consequently, we can extrapolate and conclude that there were at least 428 upwelling events at the
 595 head of Barrow Canyon over the 36-year period (also keep in mind, that a single storm can force
 596 multiple flow reversals in the canyon).

597
 598 To determine the strength of the upwelling response, Lin et al. (2016) calculated an
 599 upwelling index that considers both the duration of the event and the magnitude of the resulting
 600 density anomaly. As discussed earlier, we are considering both positive and negative density
 601 anomaly events. As such, we use a metric based on the strength and duration of the reversed flow at
 602 the head of the canyon, which we refer to as the flow reversal index (FRI, $\text{m s}^{-1} \text{ d}$),

$$603 \quad \text{FRI} = \int_{t_{us}}^{t_{ue}} |U(t)| dt, \quad (2)$$

604 where U is the magnitude of the along-canyon flow reversal measured by the mooring, and t_{us} , t_{ue}
 605 are start time and end time of each upwelling event (defined as the start and the end of a flow
 606 reversal). Lin et al. (2018) found a statistically significant linear relationship between their
 607 upwelling index and the cumulative Ekman transport ($I_w/\rho f$); i.e. stronger storms tend to result in
 608 stronger upwelling. We find a similar result here. We regressed FRI against I_w for each upwelling
 609 event, where I_w was computed over the period of the flow reversal. The result is shown in Fig. 14
 610 which reveals a statistically significant relationship ($R=0.79$). One might expect that the largest
 611 values of FRI would be associated with AW appearing at the mooring site (i.e. water being drawn
 612 from deeper in the basin). However, there does not appear to be a direct dependence between the
 613 value of FRI and the occurrence of AW: while three of the strongest events indeed brought AW to
 614 the head of the canyon, the other AW events had much smaller FRI values (Fig. 14). This is not
 615 surprising in light of the results of Lin et al. (2018) who demonstrated that the primary factor

616 dictating whether or not AW is upwelled onto the Beaufort shelf is the interface height between the
617 Pacific Water and Atlantic Water in the adjacent basin.

618

619 5.2.3. *Impact of ice cover*

620 Out of the 54 events, 14 occurred during the open water period, 10 during partial ice, and 30
621 during full ice cover (Fig. 8). These percentages are comparable to those for the Beaufort slope for
622 the same time period (Schulze and Pickart, 2012), where the largest number of upwelling events
623 also occurred during full ice cover. We note that only three events brought lighter water to the
624 canyon head during the open water and partial ice seasons, while 18 such events occurred during
625 full ice season. To determine if the ice concentration impacts the upwelling response, we calculated
626 individual regressions of FRI and I_w using the data for each ice season separately. There were no
627 significant differences, implying that, to first order, ice concentration does not influence the strength
628 of the flow reversals. This is different than on the Beaufort slope where Schulze and Pickart (2012)
629 found that the upwelling response varies significantly with ice cover.

630

631 Is there a relationship between the upwelling in the canyon and the occurrence of the
632 Northeast Chukchi Polynya? To address this, first we computed the ice concentration anomaly
633 within the red box in Fig. 1. This is defined as the difference between the spatially averaged
634 concentration for a given day and the monthly average. We considered the months of December to
635 March (when ice cover is extensive on the Chukchi Shelf). As discussed above, a value of I_w greater
636 than $0.3 \text{ N m}^{-2} \text{ d}$ implies that upwelling is occurring in the canyon. Using this threshold, we were
637 able to consider a longer time period for the analysis (2002–2017).

638

639 For each month, we tabulated the number of days in the month that the ice concentration
640 anomaly was negative, and compared this to the number of upwelling days during the month. This
641 revealed a clear relationship such that more upwelling days are associated with less ice in the
642 polynya region (Fig. 15). We also considered all the storms (i.e. relaxing the threshold for I_w) and
643 found that, while there is a significant relationship, the correlation is weaker ($R = 0.58$ versus 0.79).
644 In addition, we singled out the polynya events as those instances when the average concentration in
645 the box fell below 85%. This resulted in nearly the same relationship as in Fig. 15. The slope of the
646 regression in Fig. 15 implies that roughly 50% of the upwelling days correspond to a negative ice
647 anomaly in the polynya region. The main reason that the percentage is not larger is that there is a
648 delay in the ice response south of the canyon to the onset of the upwelling favorable winds. This
649 was demonstrated by compositing all the events over the 15-year period and quantifying the
650 relationship between the upwelling winds and the anomaly in ice cover.

651 We note that the Northeast Chukchi Polynya has been classified as a hybrid sensible-
652 heat/wind-driven polynya, meaning that it is formed by wind-driven divergence and also influenced
653 by the upwelling of warm AW onto the shelf, which can melt ice (Ladd et al., 2016; Hirano et al.,
654 2016). Interestingly, two upwelling events during the second deployment year, that coincided with
655 substantial openings in the ice, brought Atlantic Water to the head of the canyon (Fig. 8) and had
656 the largest values of FRI (Fig. 14). However, while our results do not distinguish between wind
657 forcing versus ice melt, only 5 of the 54 upwelling events in our two-year mooring record brought
658 AW to the head of the canyon. This implies that wind forcing is the dominant influence on the
659 formation of the polynya.
660

661 **6. Summary**

662

663 Using two years of mooring observations from the head of Barrow Canyon, together with
664 atmospheric and ice concentration data, we investigated the seasonal signals in the canyon as well
665 as aspects of upwelling and the wind-forcing that drives it. While previous studies have addressed
666 upwelling in Barrow Canyon using moored data (e.g. Weingartner et al., 2017), much of our focus
667 was on the individual events that occurred over a two-year period. This allowed us to perform a
668 detailed analysis of the water masses brought to the head of the canyon and how this relates to the
669 strength of the wind-driven flow reversals. As such, we have provided valuable insights into the
670 nature of upwelling in Barrow canyon, which is critical for improving our understanding of local
671 biological responses to this physical variability.

672

673 On average, the flow in Barrow Canyon over the two-year study period was down-canyon
674 during each month of the year except February, when the up-canyon winds were strongest. During
675 the summer months, most of the deep water at the canyon head consisted of newly ventilated winter
676 water (WW) and remnant winter water (RWW), consistent with the seasonal delivery of these dense
677 water masses to the canyon via interior shelf pathways. During the fall, both summer Pacific-origin
678 water masses, Bering Summer Water (BSW) and Alaskan Coastal Water (ACW), were present
679 while Atlantic Water (AW) was measured as well due to upwelling activity. The winter and spring
680 seasons were characterized by the largest volumes of dense winter water, including hypersaline
681 winter water (HSWW) near the freezing point. Overall, nearly 80% of the deep flow through the
682 head of the canyon during the two-year period consisted of cold and dense winter waters.

683

684 Different mechanisms are known to cause upwelling in the canyon, including propagation of
685 shelf-edge waves and changes in the meridional sea level pressure gradient. We considered only
686 wind-driven upwelling events, which dominated the record. The events were identified as periods of
687 flow reversals (i.e. up-canyon flow) associated with northeasterly winds. Unlike the Beaufort
688 shelf/slope, where upwelling always advects denser water to the vicinity of the shelfbreak, in
689 Barrow Canyon the flow reversals at times deliver lighter water to the head of the canyon. Of the 54
690 identified upwelling events, 33 were associated with a positive near-bottom density anomaly at the
691 head of the canyon, while 21 resulted in lighter waters at the site. The latter scenario largely
692 occurred during the cold season due to the generally homogeneous T/S conditions (the canyon was
693 already filled with heavy winter water modes). Most of the events measured in the canyon
694 coincided with upwelling on the Beaufort slope. The exceptions occurred during northerly winds
695 (versus northeasterly) due to the positions of the Aleutian Low and Siberian High.

696

697 Over the two-year mooring deployment, all the Pacific-origin water masses were upwelled
698 to the head of the canyon except for ACW. In the warm season, most of the events consisted of
699 WW or HSWW because these dense water masses have only recently exited the canyon at this time
700 of the year. AW was upwelled to the mooring site only during the cold season, consistent with
701 observations on the Beaufort slope, demonstrating that this water mass is more accessible in the
702 adjacent basin during these months (see Lin et al., 2018). Overall, the majority of upwelling events
703 in Barrow Canyon resulted in the reintroduction of cold Pacific Winter Water onto the shelf, rather
704 than advection of AW. This is in contrast to the Beaufort slope where upwelling of AW is far more
705 common. This is likely because of the long distance that the AW has to travel to reach the head of
706 the canyon. Notably, the high-nutrient winter water is conducive for enhanced productivity, which
707 in turn may help explain why Barrow Canyon is associated with high benthic biomass and increased
708 numbers of marine mammals and seabirds.

709
710 Overall, we observed more than twice as many upwelling events during the cold season as
711 the warm season due to the seasonal enhancement of upwelling-favorable winds. By constructing
712 composite atmospheric fields using reanalysis data, we demonstrated that upwelling in Barrow
713 Canyon occurs when the Beaufort High is strong and the Aleutian Low is deep, consistent with the
714 previous study of Weingartner et al. (2017). These conditions are also conducive for enhanced
715 offshore ice transport and polynya openings along the Alaskan coast (Hirano et al., 2017), which is
716 evident in our data as well. Danielson et al. (2014) found that when the Aleutian Low is centered
717 over the Bering Sea it tends to cause coastal convergence along the Alaskan coast, resulting in
718 northward propagating shelf waves. Further investigation is necessary to elucidate the precise
719 relationship between upwelling in Barrow Canyon and the large-scale atmospheric patterns,
720 continental shelf waves, and variations of the Pacific-Arctic sea level gradient.

721
722 We quantified the strength of the atmospheric forcing by computing the time integral of the
723 wind stress, I_w , during all the up-canyon wind events. The magnitude and variability of I_w were
724 considerably smaller in the summer compared with the rest of the year. To relate the wind forcing to
725 the water column response, we defined a flow reversal index (FRI) which takes into account both
726 the duration and magnitude of the up-canyon velocity. We found that there was a statistically
727 significant relationship between I_w and FRI such that stronger storms tend to result in stronger
728 upwelling, similar to the case for the Beaufort slope (Lin et al., 2018). In contrast to the Beaufort
729 slope, however, the strength of the upwelling response does not appear to be impacted by the
730 presence of ice cover. The reasons for this warrant further investigation. Finally, it was
731 demonstrated that upwelling typically corresponds with the occurrence of the Northeast Chukchi
732 Polynya.

733

734

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736

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745

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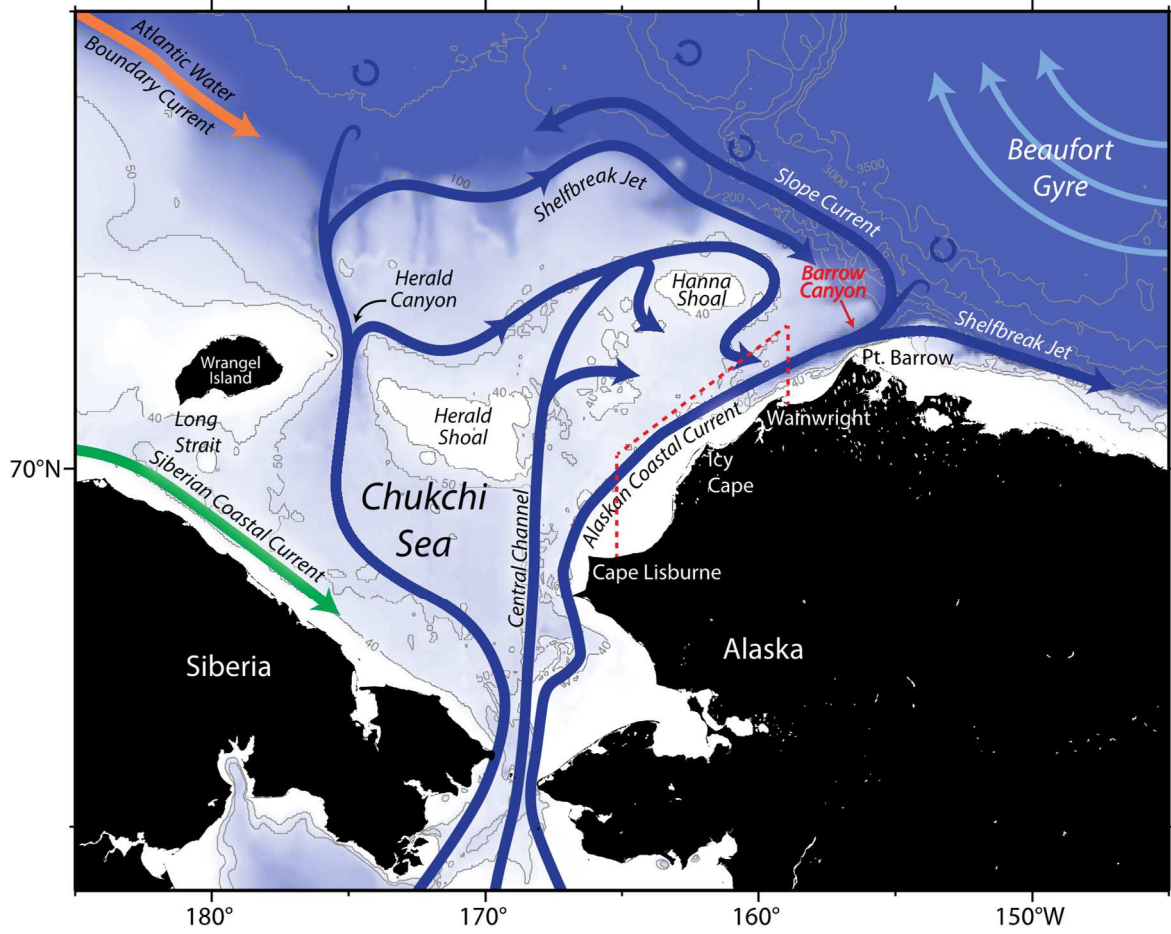
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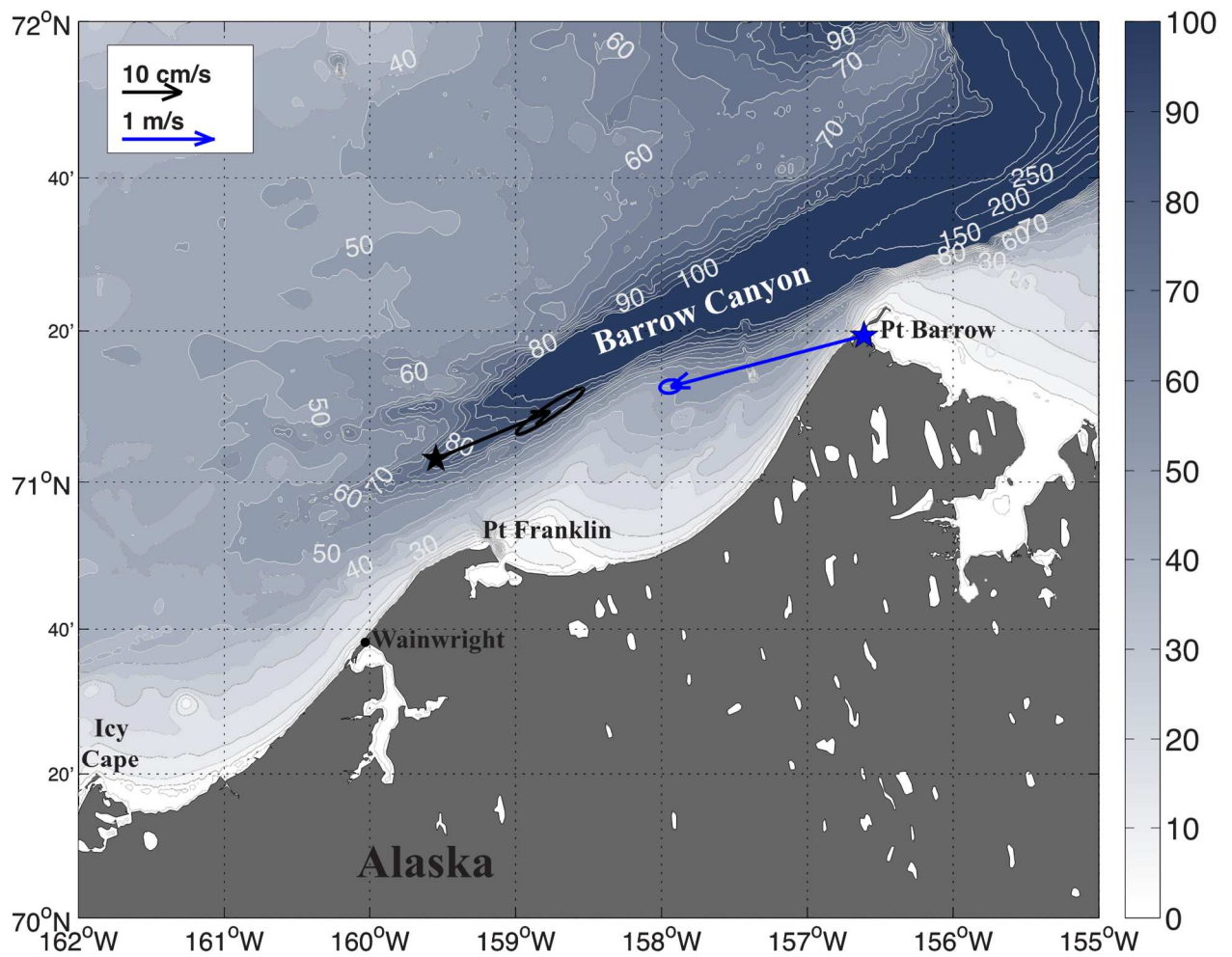
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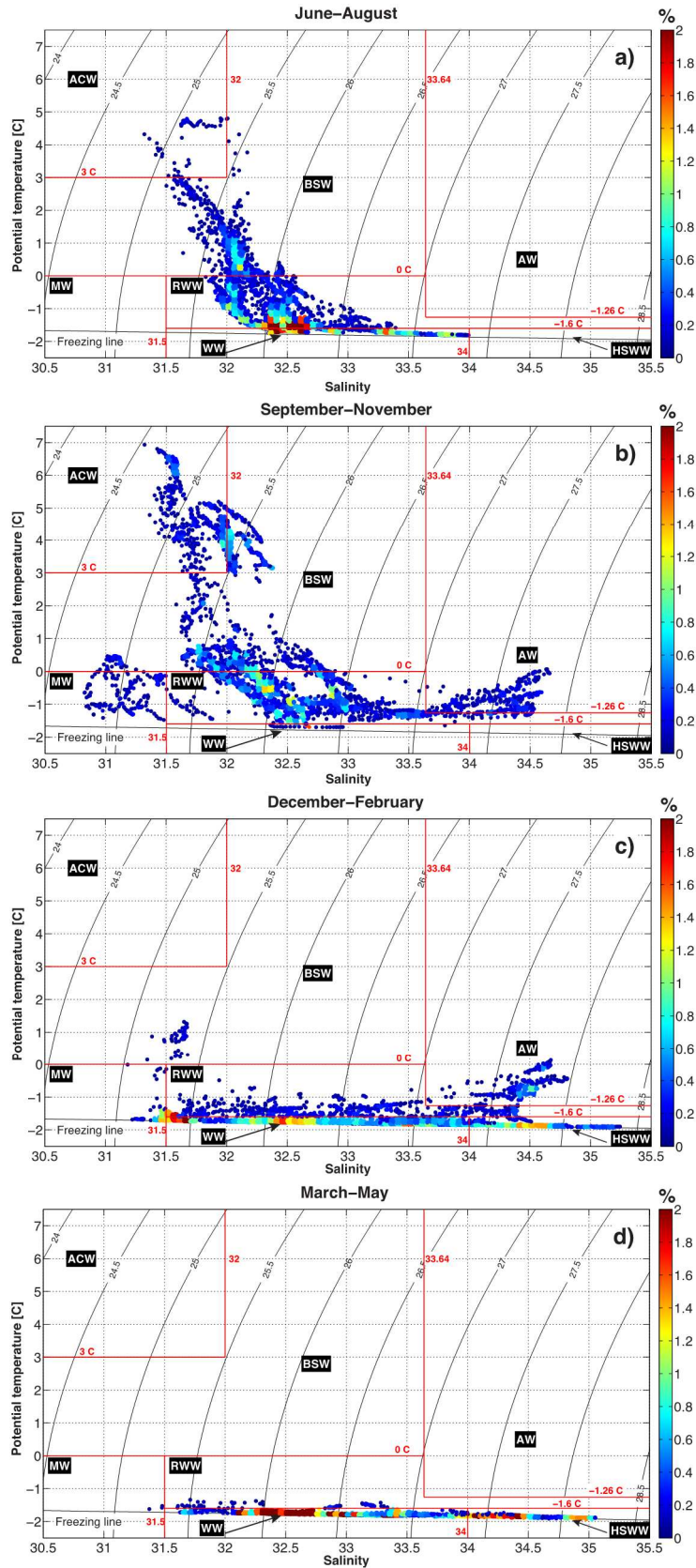
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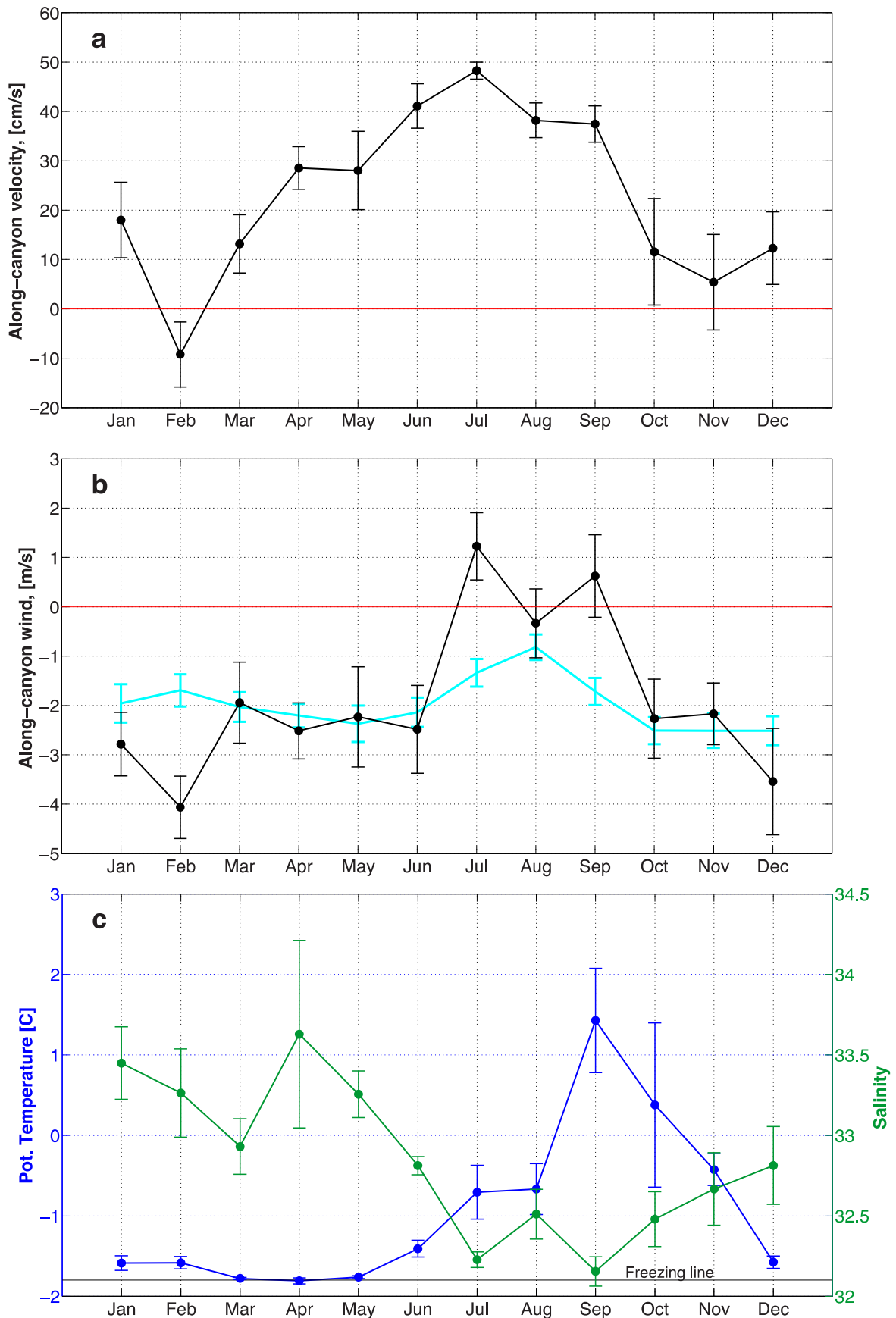
1010
 1011 Fig. 1. Schematic circulation of Pacific-origin water in the Chukchi Sea and geographical place names (adopted from
 1012 Corlett and Pickart, 2017). The polynya box in the northeast part of the shelf, discussed in Section 4.2, is delimited by
 1013 the dashed red line.



1014
 1015 Fig. 2. Enlarged map of the Barrow Canyon region. The location of the SBI mooring used in the study is indicated by
 1016 the black star. The location of the Barrow weather station is indicated by the blue star. The mean current and wind
 1017 vectors and their standard error ellipses are shown in black and blue, respectively. The bathymetry is the IBCAO v3
 1018 product; white numbers indicate depth in meters (Jakobsson et al., 2012).

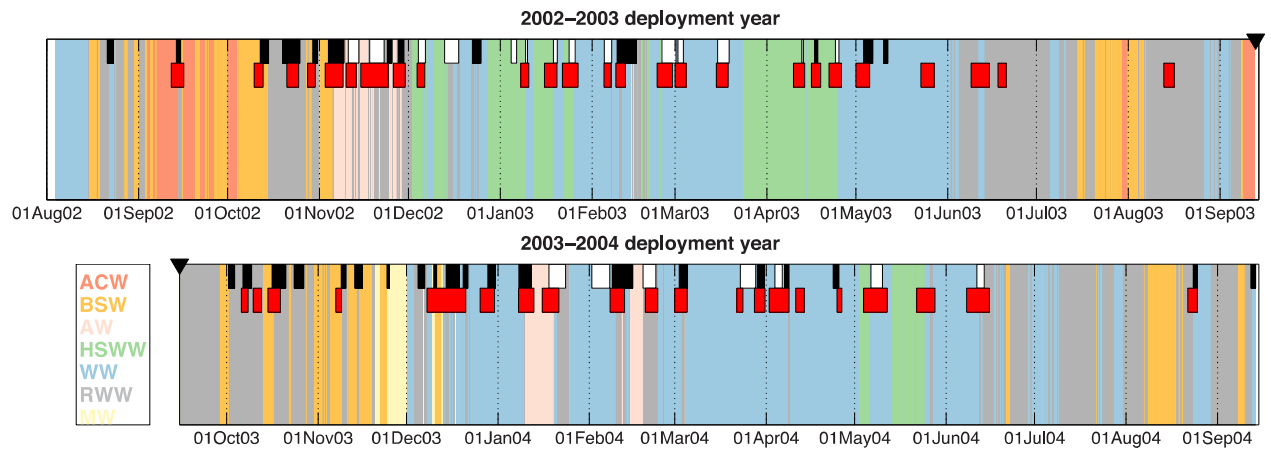


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 1020 Fig. 3. Seasonal T/S diagrams for the water measured by the mooring, where the color represents the percentage of
 1021 occurrence of the measurements within bins of 0.05°C in potential temperature by 0.2 in salinity. (a) June – August; (b)
 1022 September – November; (c) December – February; and (d) March – May. The water mass boundaries are denoted by
 1023 the red lines. ACW = Alaskan Coastal Water; BSW = Bering Summer Water; WW = newly ventilated Pacific Winter
 1024 Water; RWW = remnant Pacific Winter Water; HSWW = hypersaline Pacific Winter Water; MW = Arctic-origin
 1025 meltwater/river discharge water; and AW = Atlantic Water.

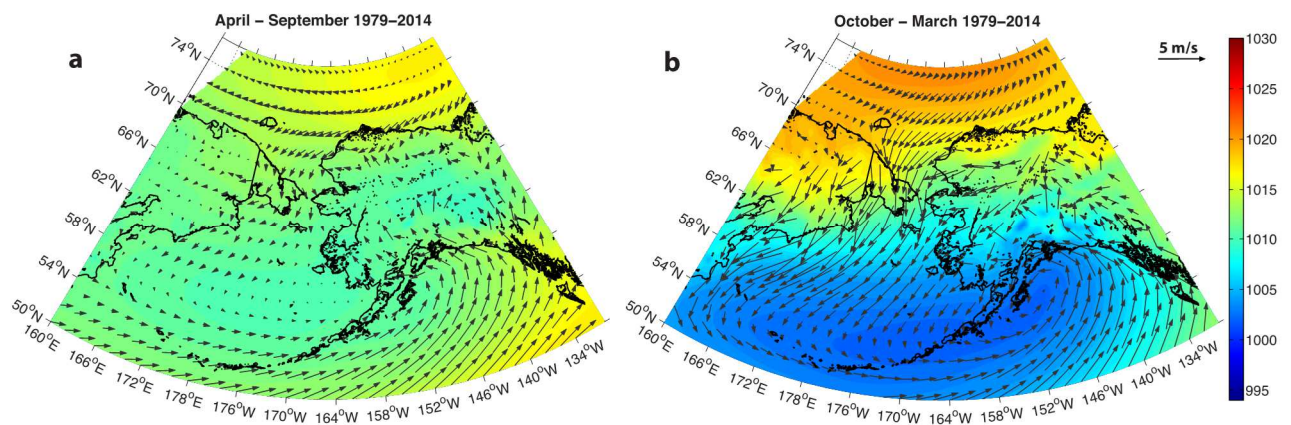


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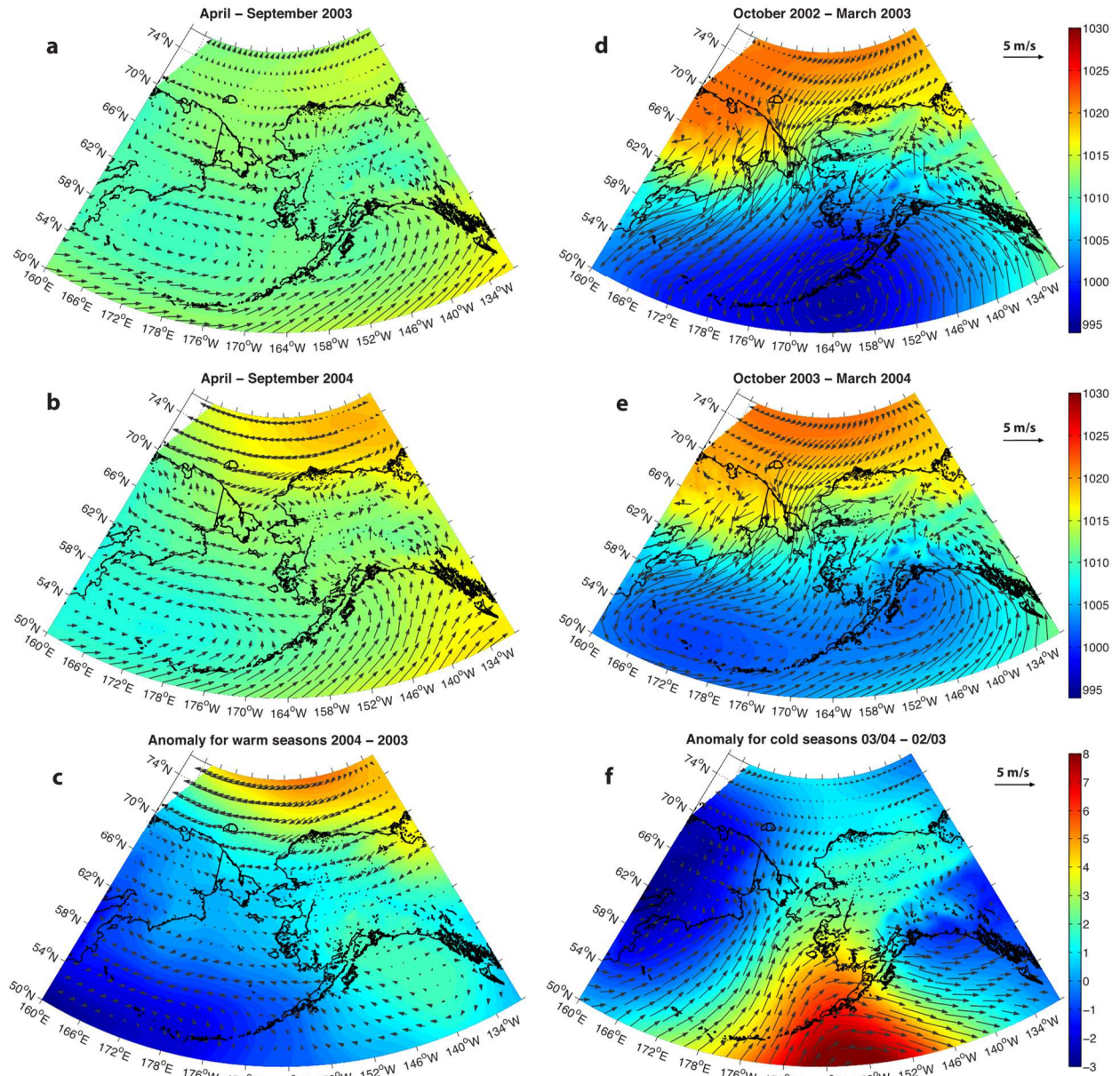
Fig. 4. (a) Monthly means and standard errors for the along-canyon component of the velocity from the mooring near the head of Barrow Canyon deployed from 2002–2004. (b) Same as (a) for the along-canyon component of the wind at Barrow for the mooring deployment period (black curve) and for the climatological period 1979–2014 (cyan), with the standard errors indicated by the bars. Positive values are down-canyon flow/wind. (c) Same as (a) for the potential temperature (blue) and salinity (green) from the mooring. The freezing line is shown in black.



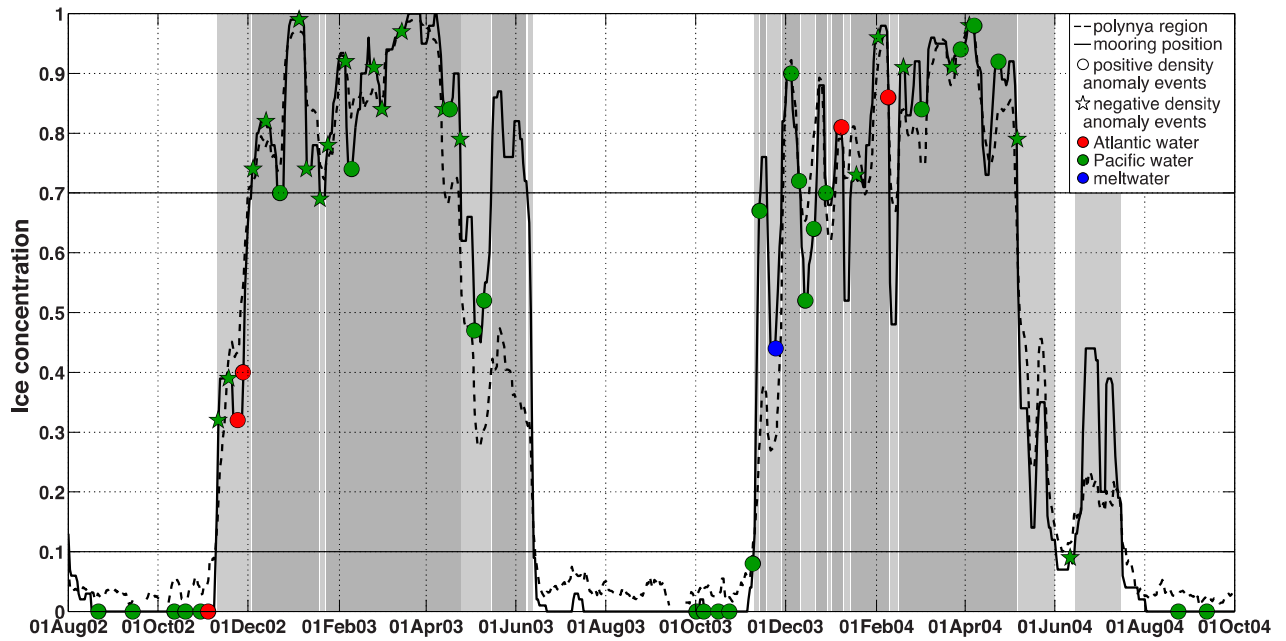
1032
 1033 Fig. 5. Time series of water masses using the temperature and salinity data from the mooring at the head of Barrow
 1034 Canyon. The top panel is the 2002–2003 deployment, and the bottom panel is the 2003–2004 deployment. The mooring
 1035 turnaround date is denoted by the black triangle in each panel. The different water masses are indicated by the different
 1036 colors (see the legend). The water mass abbreviations are defined in the caption of Fig. 3. The upwelling events at the
 1037 head of Barrow Canyon are indicated by the black (positive density anomaly events) and white (negative density anomaly
 1038 events) patches at the top of each panel. The upwelling events at the Beaufort Slope (Schulze and Pickart,
 1039 2012) are indicated by red patches (positive density anomaly events).
 1040



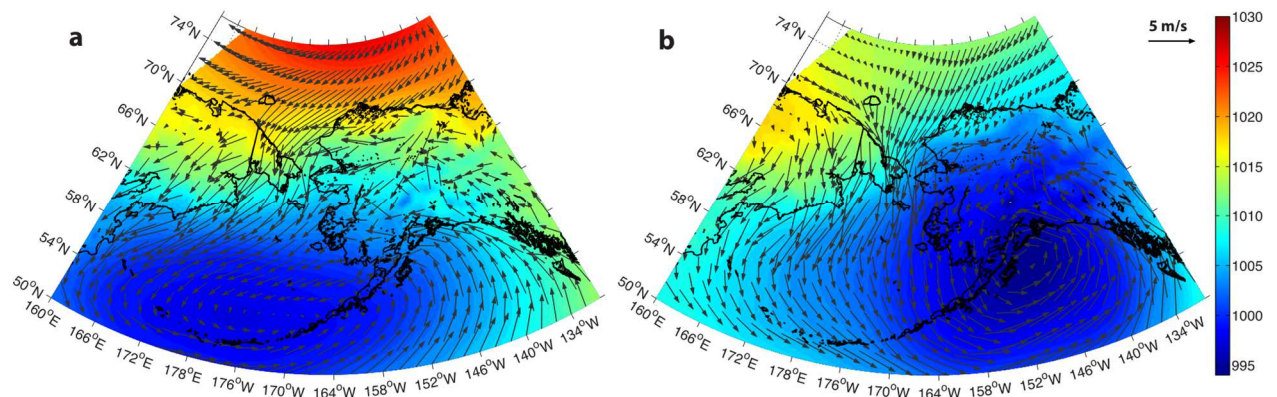
1041
 1042 Fig. 6. Maps of climatological mean sea level pressure (mb, color) and 10-m winds (vectors) from NARR for the period
 1043 1979–2014. (a) the warm season (April–September); (b) the cold season (October–March).



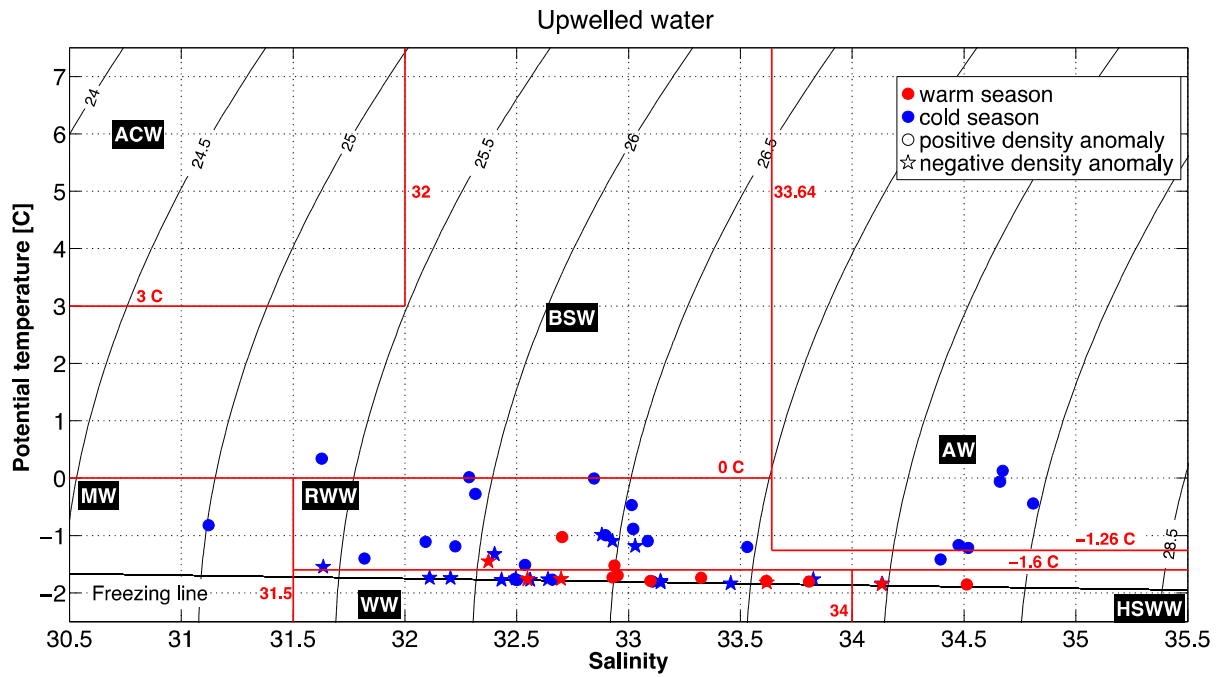
1044
 1045 Fig. 7. Maps of sea level pressure (mb, color) and 10-m winds (vectors) from NARR for the warm and cold season of
 1046 each mooring deployment year, along with the anomaly fields. Left panel (a, b, c): the warm season (April–September);
 1047 right panel (d, e, f): the cold season (October–March).



1048
 1049 Fig. 8. Ice concentration at the mooring site (solid black line) and median ice concentration within the polynya box
 1050 (dashed black line) during the two-year mooring deployment (see Fig. 1 for the location of the polynya box). The circles
 1051 (stars) mark the positive (negative) density anomaly events. The color of the symbols (red, green, blue) mark the water
 1052 type present at the peak of each event (Atlantic Water, Pacific Water, meltwater respectively). The shading indicates the
 1053 three ice seasons: open water (white), partial ice cover (light grey), and full ice cover (dark grey).
 1054

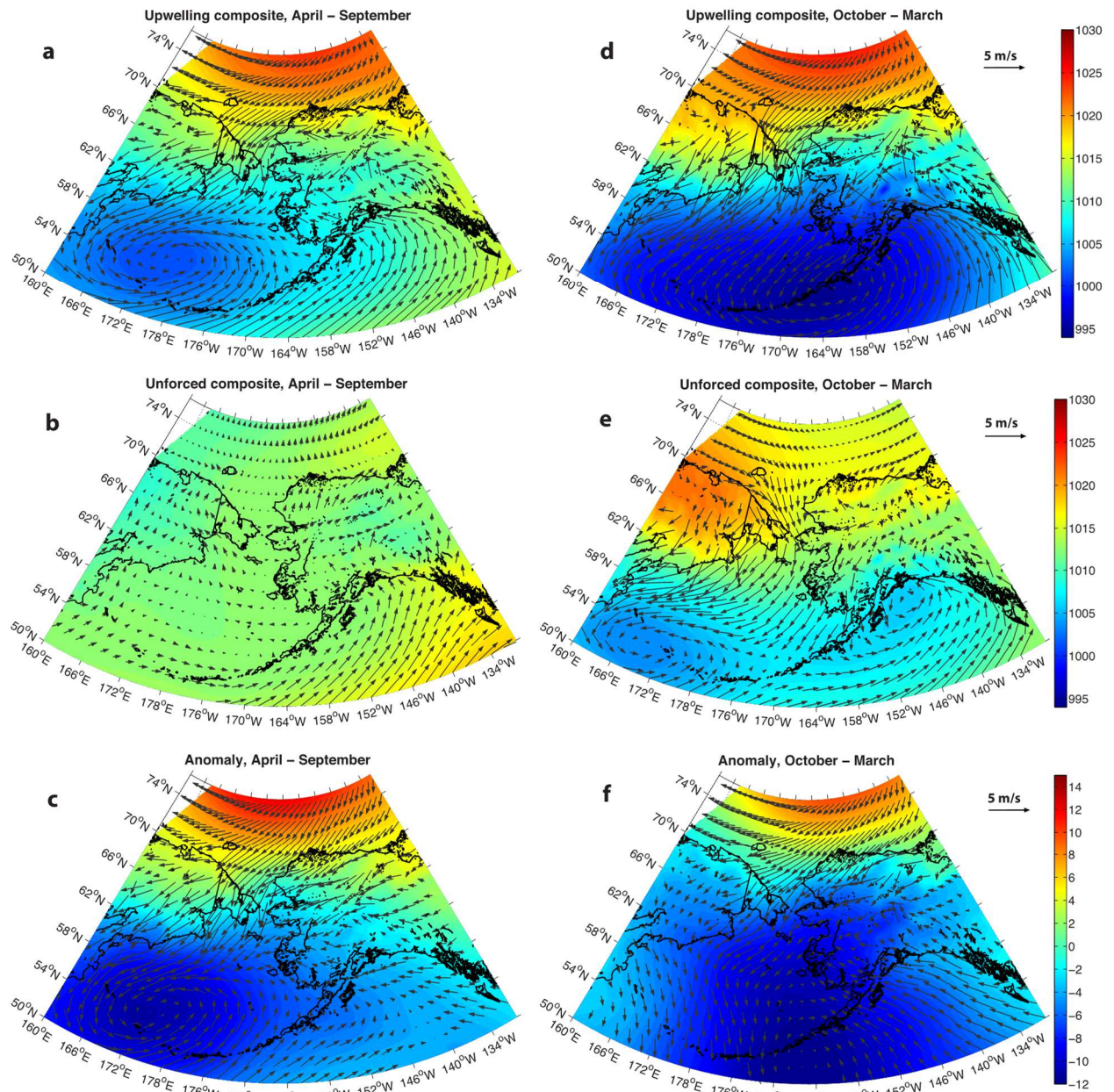


1055
 1056 Fig. 9. Composite average maps of sea level pressure (mb, color) and 10-m winds (vectors) from NARR for (a) periods
 1057 when upwelling occurred along the Beaufort slope as well as at the head of Barrow canyon, and (b) periods when
 1058 upwelling occurred only in the canyon.
 1059

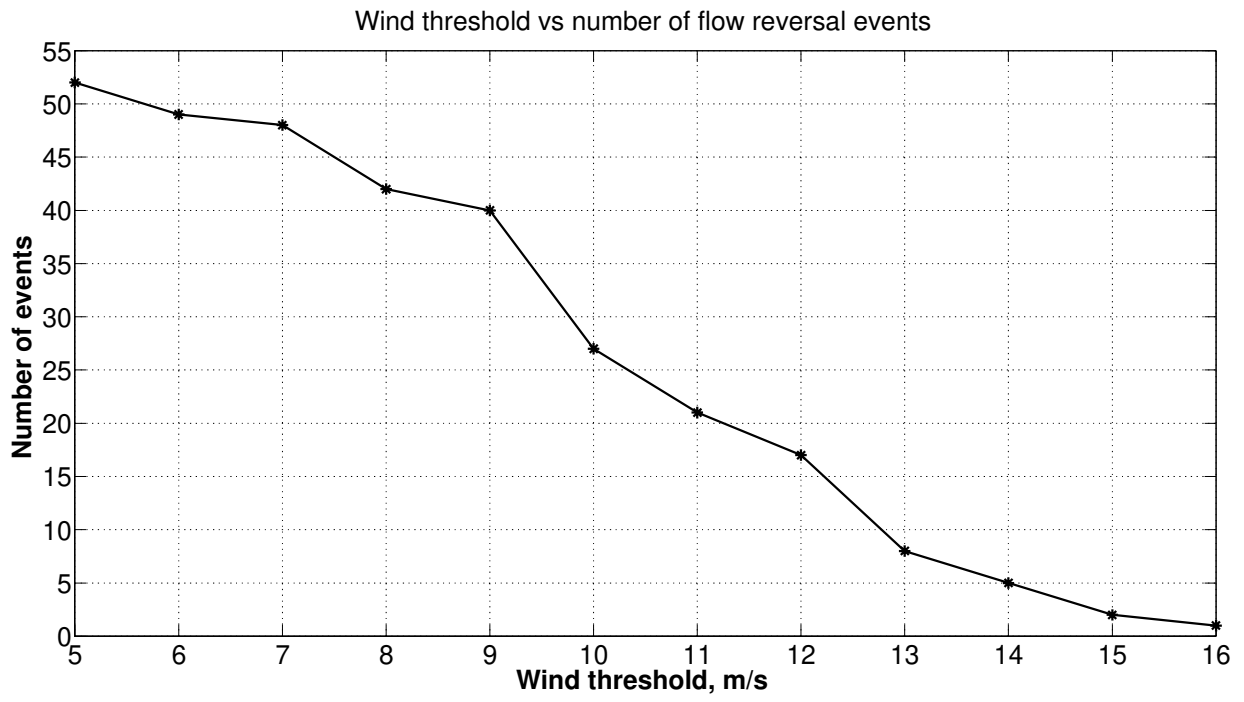


10

1061 Fig. 10. The T/S value of the water measured by the mooring at the peak of each upwelling event. Red symbols are the
 1062 events during the warm season, and blue symbols are the events during the cold season. Circles (stars) denote events
 1063 associated with positive (negative) density anomaly. The water mass definitions are the same as in Fig. 3.
 1064



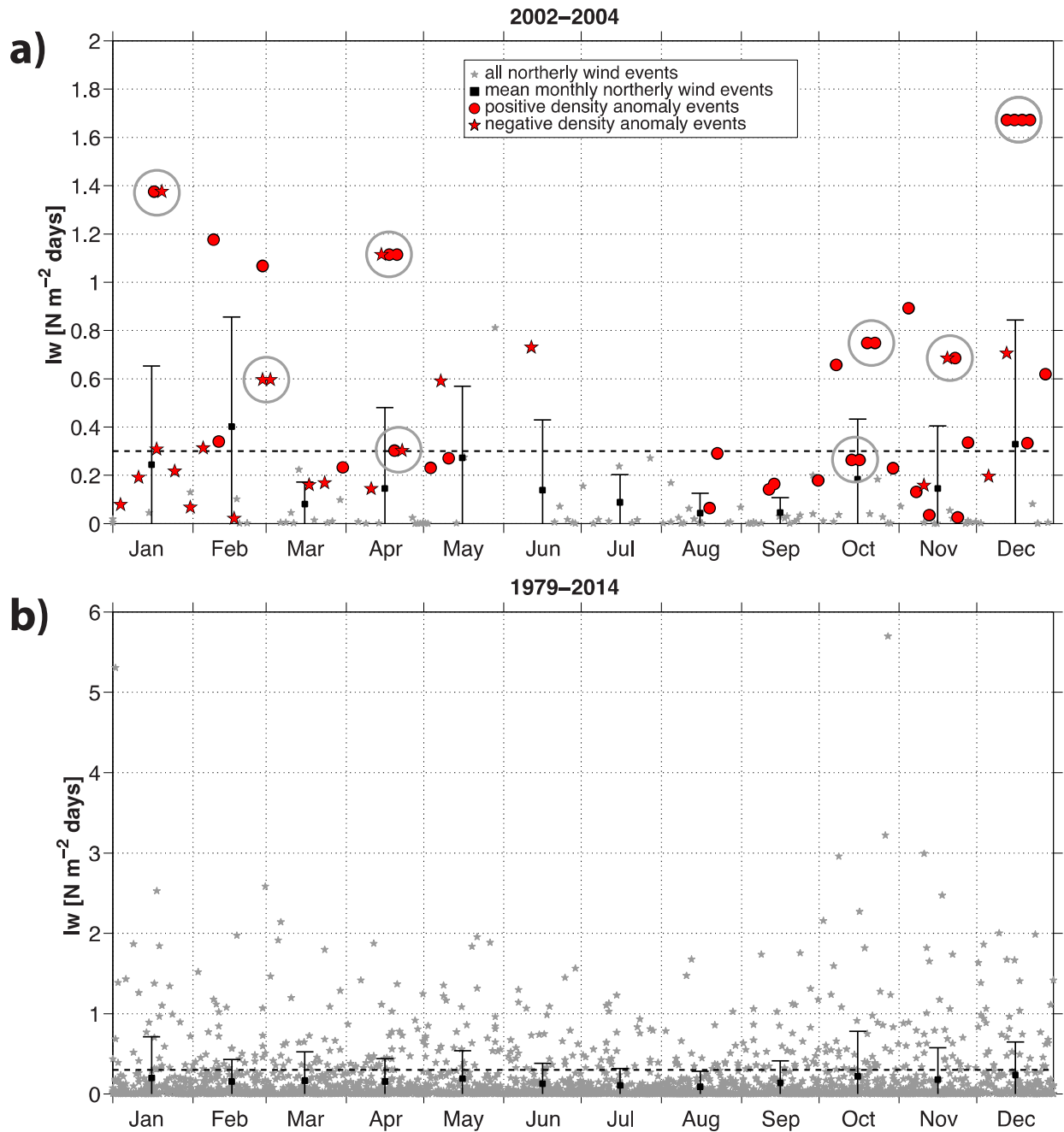
1065
 1066 Fig. 11. Maps of sea level pressure (mb, color) and 10-m winds (vectors) from NARR for periods of upwelling (a and d)
 1067 versus non-forced periods (b and e), along with the anomalies (upwelling minus non-forced, c and f). Left panel (a, b,
 1068 c): the warm season (April–September); right panel (d, e, f): the cold season (October–March).



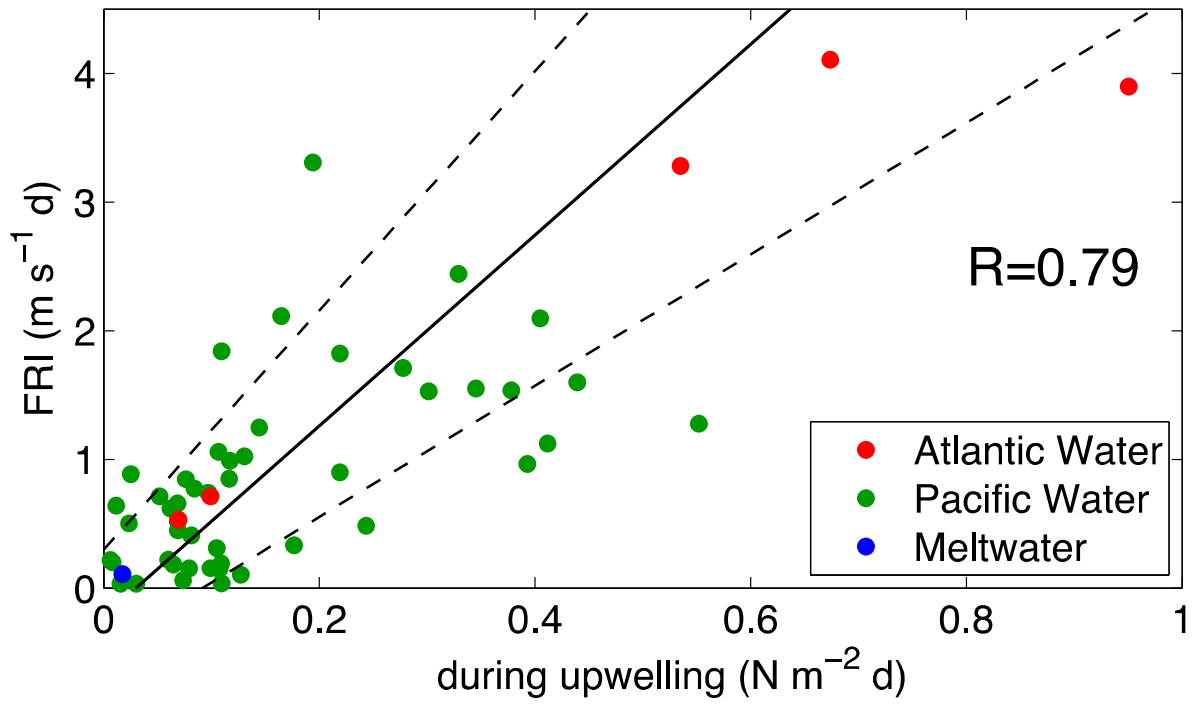
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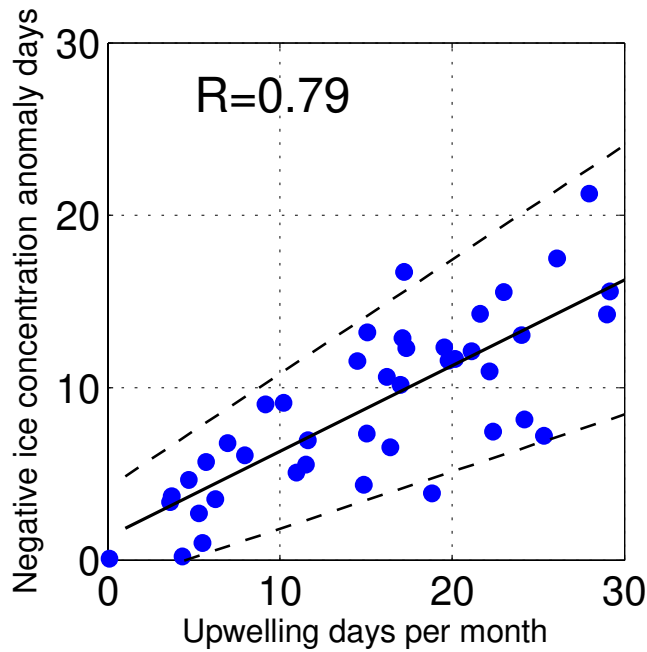
Figure 12. Number of upwelling events as a function of up-canyon wind speed threshold.



1071
 1072 Fig. 13. Time integral of the wind stress I_w for the up-canyon wind events for (a) the mooring deployment period 2002–
 1073 2004, and (b) the climatological period 1979–2014. The grey stars are the individual events and the black squares are
 1074 the monthly means. The standard deviations are denoted by the bars. In (a), those events associated with upwelling are
 1075 indicated by the red circles (positive density anomaly events) and the red stars (negative density anomaly events). The
 1076 open circles denote multiple upwelling events corresponding to a single storm. The threshold of $I_w = 0.3 \text{ N m}^{-2} \text{ d}$ is
 1077 shown by black dashed line. Note: for presentation purposes the absolute value of I_w is plotted (since it is a negative
 1078 quantity for northeasterly wind events).
 1079



1080
 1081 Fig. 14. Value of FRI versus I_w for upwelling events (circles), and the linear fit (black line). The 99% significant levels
 1082 are indicated by the dashed lines. The color of the symbols (red, green, blue) mark the water type present at the peak of
 1083 each event (Atlantic Water, Pacific Water, meltwater respectively).



1084
 1085 Fig. 15. Number of upwelling days per month versus the number of negative ice concentration anomaly days in the
 1086 polynya box of Fig. 1 (see text for details). The linear fit is shown (black line) along with the 99% significance levels
 1087 (dashed lines).
 1088