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1	Simple and Modular Integrated Modeling of Storm Drain Network with Gridded
2	Distributed Hydrologic Model via Grid-Rendering of Storm Drains for Large Urban Areas
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Abstract

25 For accurate flash flood forecasting and effective stormwater planning and management in 26 urban areas, it is necessary to model not only the natural channel systems but also the large and 27 complex networks of storm drains. In this work, we describe a modular storm drain model that can easily be coupled with existing gridded distributed hydrologic models for real-time flash 28 29 flood forecasting, and stormwater planning and management for large urban areas (> 100 km^2). 30 A salient feature of our approach is the use of the equivalent storm drain network (ESDN) which 31 approximates the actual network on the same grid as that of the distributed hydrologic model, 32 thereby rendering coupling simple and modular. In the integrated model, storm-drain flow occurs 33 through the simplified network coarsened to the resolution of the distributed hydrologic model 34 without full 1D-2D dynamics or very detailed man-made structures and features modeled. The integrated model is applied to a 144.6 km² area of five urban catchments in the Cities of 35 36 Arlington and Grand Prairie in Texas, US. Comparisons at selected locations using kinematic-37 wave flow simulations show that the ESDN approximates the flow through the original network 38 very well with attendant savings in computational amount and reduction in modeling 39 complexities. The impact of the storm drain network is assessed via a combination of simulation 40 experiments, sensitivity analysis and limited comparison with observed flow. It is shown that the 41 storm drain network in highly urbanized catchments in the study area is very effective in 42 reducing surface flow at most locations for about 30 min following onset of significant rainfall, 43 that the existing stormwater infrastructure would lose effectiveness for approximately 30% of the 44 study area with a 15% increase in imperviousness relative to the current conditions, and that 45 significant uncertainties exist in partitioning of surface flow into storm drain and natural channel flows due to sensitivity to inlet flow modeling. With greatly reduced computing cost and 46

modeling complexity, the proposed approach offers a practical solution for integrated stormwater
modeling for large urban areas for a wide range of applications. The proposed approach cannot,
however, resolve flow at sub-grid scales and hence is not appropriate for very detailed modeling
for small areas.

51 Keywords: equivalent storm drain network, distributed hydrologic modeling, integrated
52 modeling, flash flood forecasting, stormwater planning and management.

53 **1 Introduction**

54 Flooding is one of the most significant natural hazards in urban areas and a significant cause 55 for economic loss and inconvenience to the residents. To mitigate hazards and to reduce negative 56 impacts of flooding, urban municipalities operate storm drain networks of varying capacity and 57 complexity. Whereas the conveyance capacities of storm drain systems are generally much 58 smaller than those of the natural channel systems (Rafieeinasab et al. 2015), storm drain 59 networks may significantly alter the severity of flooding and other negative impacts depending 60 on the location of flooding and the magnitude of rainfall. For accurate flash flood forecasting in 61 urban areas, it is therefore necessary to model not only the natural channel systems but also the 62 large and complex networks of storm drains (Hénonin et al. 2010). Such a capability is also 63 important for planning and management of stormwater infrastructure which has traditionally 64 been designed on a site-by-site basis with the goal of keeping the post-development peak flow 65 from flooding events the same as before. Such practice, however, does not reduce the runoff or the total volume of stormwater and may still produce flooding downstream. Also, with site-by-66 67 site design, it is not possible to fully account for spatiotemporal variations of runoff and flow 68 through natural and man-made hydrologic and hydraulic systems or spatiotemporal variability of 69 precipitation beyond the site scale. As such, the resulting stormwater system may not work 70 effectively at larger watershed scales. Indeed, McCuen (1979) and Emerson et al. (2005) showed 71 that an unplanned system of site-based stormwater control measures or best management 72 practices can actually increase flooding on a watershed scale owing to the effect of many 73 facilities discharging into a receiving water body in an uncoordinated fashion - causing the very 74 flooding problem the individual basins were built to solve (NRC 2008).

75 The ability to model the natural channel and storm drain systems jointly for large urban 76 areas also allows objective assessment of performance of stormwater infrastructure from heavy-77 to-extreme precipitation under changing conditions. Many researchers have assessed the impacts 78 of climate change on urban drainage systems and analyzed specific impacts on different small 79 urban areas (e.g., Watt et al. 2003; Mailhot et al. 2006a; Guo 2006; Denault et al. 2006; 80 Arnbjerg-Nielsen et al. 2013). Increase in intensity and frequency of heavy-to-extreme rainfall 81 events may cause increase in sewer overflows and urban flooding (Mailhot et al. 2006; Willems 82 et al. 2012; Nazari et al. 2016). While the results vary depending on the urban catchment's 83 response to such rainfall, most conclude that urban areas are subject to increased probability of 84 surcharge and resulting flooding. As such, a critical need exists in stormwater planning and 85 management for capability to assess the performance of large storm drain networks under heavy-86 to-extreme rainfall, changing land cover conditions and climate change (Norouzi et al. 2018). 87 Integrated modeling of flow through natural channels and storm drains for small urban areas 88 is not new (Bonnifait et al. 2009; Kim et al. 2012; Neal et al. 2012; Schumann et al. 2013; 89 Nguyen et al. 2015, Guidolin et al. 2012, Gires et al. 2015, Simões et al. 2011). Approaches such as 1D storm drain-2D surface flow modeling (Leandro et al. 2009) have gained wide popularity 90 91 and acceptance in recent years. Many urban hydraulic models of varying levels of sophistication

92 currently exist, such as HEC-1 (USACE 1985), TR-20 and TR-55 (SCS 1983, 1986), MOUSE 93 (DHI 1995), InfoWorks ICM (Integrated Catchment Modeling, Innovyze 2012), MIKE URBAN 94 (Andersen et al., 2004), and Stormwater Management Model (SWMM) (EPA 1971, Huber and Dickinson 1988), just to name a few. For real-time applications over large areas (> 100 km^2), 95 96 however, such approaches quickly become impractical because of modeling complexities and 97 extremely large computational requirements (Pina et al. 2016, Chen et al. 2012, Duncan et al. 98 2011, Leitão et al. 2010). Distributed hydrologic models (Reed et al. 2004, Smith et al. 2004), 99 on the other hand, are more suitable for real-time application over large areas (Koren et al. 2004, 100 Gochis et al. 2014) but are intended to simulate mainly surface flows. In order to add ability to 101 model storm drains to distributed hydrologic models, an integration with hydraulic models is 102 needed.

103 The objective of this work is to develop a storm drain model that can easily be integrated 104 with the existing gridded distributed hydrologic models for real-time simulation of flow through 105 both natural channels and storm drains for large urban areas. The specific research questions 106 addressed are: 1) How to reduce the geometric complexity of a storm drain network into a 107 simpler "equivalent" network?; 2) How to partition storm runoff into pipe and overland flow? 108 What are the largest sources of uncertainty in the above partitioning?; 3) How does the storm 109 drain network alter the hydrologic response of urban catchments? How does the response vary 110 according to the size of the contributing area, land cover and rainfall magnitude?; and 4) What is 111 the relative importance of natural channels and storm drains in stormwater management and 112 flood control at different spatiotemporal scales? The new and significant contributions of this 113 research are: 1) development of an integrated model capable of simulating flow through both 114 natural channels and storm drains for real-time application for large urban areas; 2) development

of an automatic algorithm for derivation of equivalent storm drain network (ESDN) for direct coupling with existing gridded distributed hydrologic model; and 3) advances in understanding of hydrologic response of urban catchments to heavy-to-extreme rainfall from site to catchment scales.

119 The rest of this paper is organized as follows. Section 2 describes the approach and method 120 for integrated modeling. Section 3 describes the study area and data used. Section 4 presents the 121 results. Section 5 summarizes the conclusions and future research recommendations.

122 2 Integrated modeling of natural channels and storm drain networks

123 The general approach adopted in this work is to develop a storm drain module that can be 124 coupled with the existing gridded distribute models with minimum changes to the latter. The 125 gridded distributed hydrologic model used in this work is the U.S. National Weather Service's 126 (NWS) Hydrology Laboratory Research Distributed Hydrologic Model (RDHM) (Koren et al. 127 2004, NWS 2009). The RDHM has been used in many research and operational applications 128 (Moreda et al. 2006; Reed et al. 2007; Nguyen et al. 2012; Fares et al. 2014; Habibi et al. 2016) 129 and is recognized as one of the best performing distributed hydrologic models (Reed et al. 2004; 130 Smith et al. 2012; Moreda et al. 2006). The RDHM operates on the rectangular Hydrologic 131 Rainfall Analysis Project (HRAP) projection grid (Greene and Hudlow 1982) which has a 132 resolution of approximately 4 km×4 km in mid-latitudes. Whereas the a priori parameters are 133 available for the continental US at 1 HRAP resolution only, the RDHM can operate at higher 134 resolutions of 1/2, 1/4, 1/8, 1/16 HRAP, etc. In this work, the 1/16 HRAP resolution, or about 135 250 m, is used throughout. The RDHM uses the Sacramento model (SAC, Burnash et al. 1973) for rainfall-runoff modeling and the kinematic wave model (Chow et al. 1988, Koren et al. 2004) 136

for hillslope and channel routing. Surface runoff is routed within each cell through conceptual
hillslopes that drain into the conceptual channel running through the same grid cell. Subsurface
runoff from the SAC is drained directly into the conceptual channel.

140 In developing the storm drain module, modularity, simplicity and computational efficiency 141 are of great importance so that it may easily be integrated with the existing distributed models 142 with gridded hillslope and channel routing. By operating the storm drain module on the same 143 grid as the gridded hydrologic model, one only needs to partition runoff into natural channel and 144 storm drain flows at each grid box, route the flows separately, and discharge the storm drain flow 145 into the natural channel at outfall locations. In this way, adding the storm drain module to the 146 gridded distributed model amounts only to adding a sink to the existing channel routing model if 147 the grid box contains inlets, and a source if the grid box contains outfalls. Fig 1 shows the 148 schematic of this approach in the context of the RDHM. The above modelling approach entails 149 significant simplification of the relevant processes due, e.g., to the coarse resolution of 250 m 150 employed. As such, the proposed approach is not appropriate for certain applications which may 151 require very high-resolution modeling. The spatial scale of the modeling domain of interest in 152 this work is 100 to 1,000 times larger than that in typical 1D-2D application (Gires et al. 2015, 153 Simões et al. 2011). The proposed approach hence represents a set of modeling choices carefully 154 selected from the array of elements in storm drain and distributed hydrologic modeling toward an 155 operational viable solution for large urban areas.

With the ESDN approach, the storm drain modeling (SDM) takes the following steps: 1) Determine the model resolution; 2) Derive the ESDN from the actual storm drain network to the resolution of the RDHM; 3) Run the SAC to determine surface and subsurface runoff for all grid cells; 4) Partition the surface runoff between the ESDN and the natural channel network for all grid cells; 5) Route the storm drain flow through the ESDN using a pipe-flow approach and
discharge into the natural channels at outfall-containing grid cells, 6) Route the natural channel
flow through the natural channel network; and 7) Repeat Steps 3 through 6 for all time steps. The
following describes the storm drain module and its integration with the RDHM (Steps 2 through
6) in detail.

165 **2.1 Hillslope routing**

166 The water depth over the conceptual hillslopes in each grid cell is modeled via kinematic167 wave routing (Koren et al. 2004):

$$168 \qquad \frac{\partial h}{\partial t} + L_h \frac{\partial q_{L_h}}{\partial x} = R_s \tag{1}$$

169
$$q_{L_h} = \frac{1}{L_h n} h^{5/3} S^{1/2} = 2D \frac{1}{n} h^{5/3} s^{1/2}$$
 (2)

170 where h denotes the water depth on the hillslopes (m), R_s denotes the surface runoff rate (m/s), q_{L} denotes the total discharge per unit area from all hillslopes in the grid box (m/s), L_{h} denotes 171 the hillslope length (m) given by the area of a grid cell (m^2) divided by the total width of the 172 173 hillslopes over which surface flow occurs (m), S denotes the slope of the hillslopes (dimensionless), *n* denotes the hillslope Manning roughness coefficient ($s/m^{1/3}$), *D* denotes the 174 drainage density (m⁻¹), a parameter for subdividing a cell into equally sized overland flow planes, 175 176 and t denotes time (s). The drainage density, D, represents the reciprocal of the characteristic length scale of a hillslope within a cell and a constant value of 2.5 (km⁻¹) is assumed in this work. 177 178 The identity of $1/L_h=2D$ in Eq.(2) stems from the assumed symmetry of the conceptual hillslopes draining into the natural channel that runs in the middle of the grid box. For further details of the 179 180 model and estimating parameters, the reader is referred to Koren et al. (2004).

181 **2.2 Channel routing**

182 With the inclusion of storm drain flow, the RDHM routing model for natural channels

183 (Koren et al. 2004) is modified to the following:

$$184 \qquad \frac{\partial A}{\partial t} + \frac{\partial Q}{\partial x} = \frac{(R_g + q_{L_h})f_c}{L_c} - \frac{Q_{inlet}}{L_c} + \frac{Q_{outfall}}{L_c}$$
(3)

$$185 \quad Q = Q_s A^m \tag{4}$$

where A denotes the wetted cross section of the natural channel (m^2), Q denotes the flow though 186 the natural channel (m³/s), R_g denotes the subsurface runoff rate from SAC (m/s), q_{L_h} denotes the 187 overland flow rate per unit area at the hillslope outlet (m/s) (see Eqs.(1) and (2)), f_c denotes the 188 grid cell area (m²), L_c denote the channel length within a grid cell (m), Q_{inlet} denotes the total 189 190 flow into the storm drains within the grid box (m^3/s), $Q_{outfall}$ denotes the total flow through all 191 outfalls within the grid box (m^3/s), m denotes the exponent parameter, Q_s denotes the specific 192 discharge (m/s), and m denotes an exponent parameter. In Eq.(3), the total flow into the storm 193 drain network through the inlets in the grid cell cannot exceed the total flow generated from the hillslope at that grid cell or the total flow generated from the pavement area of the grid cell, Q_{pvmt} 194 (see Subsection 2.4), i.e., $Q_{inlet} \leq \min\{q_{L_k} f_c, Q_{pvmt}\}$. The a priori parameter grids of Q_s and m are 195 196 available from the NWS for the continental US (CONUS) based on the 30-m resolution National 197 Elevation Dataset from the NHDPlus Version 2 (NHDPlusV2, David et al. 2011). The cell-to-198 cell connectivity is derived with the Cell-Outlet-Tracing-with-an-Area-Threshold algorithm 199 (Reed, 2003). In this work, the routing model parameters and the channel connectivity are 200 rederived at 1/16 HRAP resolution using the NWS-developed programs. With Eq.(3), storm 201 drain modeling amounts to modeling time-varying Q_{inlet} and $Q_{outfall}$ at all grid boxes which is described below. 202

203 **2.3 Equivalent storm drain network (ESDN)**

204 The purpose of the ESDN is to represent the actual network with a hydraulically equivalent 205 virtual network that has only a single virtual pipe in each grid box. In this way, one may couple 206 the storm drain module to the gridded distributed model only by adding sink and source terms in 207 the existing channel routing model as shown in Eq.(3). Deriving the ESDN amounts to 208 coarsening the real storm drain network such that the former approximates the mass and 209 momentum balance of the latter within acceptable accuracy. Pipe networks generally consist of 210 series and parallel pipes. All such configurations may be combined and converted into a simple 211 equivalent pipe (Jeppson 1974). Multiple approaches exist for modeling equivalent pipe systems 212 of series and parallel pipes under steady state and full flow conditions (Anderson et al 1995; 213 Larock et al 2000). Most approaches are based on adjusting the diameter, and length or 214 roughness of the pipes while keeping the other properties unchanged. The resulting equivalent 215 network produces the same pressure heads and head losses as the original network for all flow 216 rates. The main limitation with the above simplification is that, although the resulting network is 217 hydraulically equivalent to the original network, it does not preserve travel time due to the 218 steady-state assumption. To approximate both the hydraulics and the travel time for series and 219 parallel pipes, Raczynski et al. (2008) developed the hydraulic and travel time-equivalent 220 technique which is used in this work as described below. To determine the equivalent pipe, the 221 equivalent diameter of the aggregated pipes is first determined using the average total travel time 222 of series and parallel pipes. The average total travel time across a set of series pipes is the sum of 223 the travel times in each pipe. For parallel pipes, the total travel time is determined by discharge-224 weighted average travel time. Whereas the computed equivalent length ensures that the travel 225 time in the equivalent pipe will equal to the series or parallel pipes, it does not ensure that the

system will be hydraulically equivalent. To maintain hydraulic consistency between the original and equivalent systems, pipe roughness is determined from equivalent pipe relationships derived using conservation of energy across a set of pipes in parallel or series. In this work, the expression derived from the Manning's equation is used to calculate the equivalent length

230 (Habibi 2017, Raczynski et al. 2008).

For derivation of the ESDN, it is necessary first to determine the flow directions of the real network. For this purpose, an automatic algorithm has been newly developed in R. The program inputs the GIS layers of inlets, junctions, outlets and pipe identifiers and coordinates, and outputs flow directions in the real storm drain network. The algorithm starts from the most downstream

point of the network and moves upstream in the following sequence of operations:

1. Select an outfall from the outfall GIS layer;

237 2. Identify all pipes that drain to the selected outfall;

3. Determine the flow direction for each pipe identified in Step 2 based on elevation; and

4. Locate the immediate upstream point and repeat Steps 2 and 3.

240 The flow directions derived above for the actual storm drain network are used to derive the

241 equivalent network using a second automatic algorithm developed in R which is described below:

- 1. Select an outfall in the real network and read flow directions for the branch of the actual
- 243 network that drains to the outfall;

244 2. Select the most upstream grid box in the branch and search for any connecting pipes;

245 3. Calculate the equivalent pipe characteristics;

4. Repeat Steps 2 and 3 until the actual pipes within the grid box are reduced to a singleequivalent pipe;

5. Repeat Steps 2 through 4 for all grid boxes that contain the branch until the outlet is reached;and

250 6. Repeat the above steps for all branches within the real network.

251 For the algorithmic details, the reader is referred to Habibi (2017). Figs 2a and 2b show the 252 original storm drain network and the resulting equivalent network for the five urban catchments 253 on a 250 m grid, respectively. In these catchments, there are about 22,000 storm drain pipes and 254 open channels in the actual network which are reduced to about 2,000 in the equivalent network. 255 Some storm drain open channels are self-contained and are not connected to any other structures. 256 Such channels are considered as natural channels and excluded in the construction of the ESDN. 257 To check the goodness of the equivalent network modeling, the routing results using the full and 258 equivalent networks for the Johnson Creek Catchment (see Fig 3) are compared in Fig 4 at the 259 outfalls of six branches of the equivalent network. For routing, kinematic wave model is used for 260 both networks using the same inflows (see Subsection 2.5). Fig 4 shows that the hydrographs 261 from the equivalent network are very close to those from the original network. The comparisons are similar for the other catchments and are not shown. The above results indicate that the 262 263 equivalent network represents the storm drain network very well.

The ESDN identified in this way is then connected to the natural channel network by mapping the former in HRAP coordinates and assigning cell numbers that are common to both the natural channel and the equivalent storm drain networks. Missing values of slope and diameter for storm drains exist in the storm drain database provided by the Cities of Arlington and Grand Prairie. In this work, they are filled with estimates according to the following rules. If the pipe diameter is unknown, the pipe size is selected based on the standard pipe size chart and

the sizes of the up- and downstream pipes. If the slope of the pipe is unknown, the ground slopeis used.

272 **2.4 Flow into storm drains**

Flow into the ESDN is determined by modeling inlet flows under the following assumptions:

1. Runoff generated on the inlet-bearing roadways drains first into the ESDN, based on head-

discharge inlet equations and provided that the sewer network is not full,

276 2. If the storm drain network is full, the runoff drains into the natural channel in that grid box,

277 and

278 3. All inlets in the same grid box share the same capacity.

279 There are four types of inlets typically used for urban drainage: curb opening, grate, and 280 combination (of curb opening and grate) inlets and linear drains (Akan and Houghtalen 2003, 281 TxDOT 2016). In the study area, curb opening inlets dominate. It is therefore assumed that all 282 inlets are of this type. Weir or orifice equations have been used by many researchers to 283 determine flow rate into a curb inlet. Fig 5 shows the flow rate as a function of water depth for 284 three different inlet lengths (see Subsection 4.4 for the choice) for the same inlet height and 285 discharge coefficient based on Leandro et al. (2007), Chen et al. (2003), Gallegos et al. (2009) 286 and TxDOT (2016). Changing the inlet height or discharge coefficient has the same effect as 287 changing the inlet length (see Eqs.(5) and (6) below). As such, Fig 5 depicts the sensitivity to 288 inlet height or discharge coefficient as well. The figure indicates that significant variations exist 289 among different models, and that TXDOT (2016) overlaps the most with the other models. In 290 this work, TXDOT (2016) was used as described below. Clogging is accounted for by 291 fractionally reducing the number of inlets in a grid box (see Subsection 4.4). Other factors that

may also modify inlet flow (see, e.g., Leitão et al. 2016) are not considered in this work forsimplicity.

If the depth of flow in the gutter is less than or equal to 1.4 times the height of the inlet opening, the inlet is assumed to operate as a weir (TxDOT 2016). If there are N_w such inlets in the grid cell, the total flow into the ESDN at that grid box is given by (TxDOT 2016):

297
$$Q_{inlet} = N_w C_w L_w y^{3/2}$$
 if $y \le 1.4d$ (5)

298 where Q_{inlet} denotes the flow into the ESDN (m^3/s) , C_w denotes the weir coefficient of

1.6 $(m^{0.5}/s)$, L_w denotes the length of the curb inlet opening (m), y denotes the water depth at the inlet opening (m), and d denotes the height of the inlet opening (m). If y > d, the inlet is assumed to operate as an orifice. Note that the water depth y in Eq.(5) reflects the slope of the pavement from which the pavement flow drains into the inlet (see Eqs.(8)). The total flow through N_o such orifices is given by (TxDOT 2016):

$$304 \qquad Q_{inlet} = N_o C_o dL_o \sqrt{2gy} \quad if \quad y > d \tag{6}$$

where C_o denotes the orifice coefficient of 0.67, L_o denotes the circumference of the orifice (m), and g denotes the gravitational acceleration (m/s²). At depths between 1.0 and 1.4 times the opening height, flow is in a transition stage and is determined based on the smaller of the weir and orifice flows.

To determine *y* in Eqs.(5) and (6), water depth on the pavement in each grid box was
modeled using kinematic wave routing analogous to that for hillslope routing in Eqs.(1) and (2):

311
$$\frac{\partial h_{pvmt}}{\partial t} + L_{pvmt} \frac{\partial q_{pvmt}}{\partial x} = i$$
(7)

312
$$q_{pvmt} = \frac{1}{L_{pvmt}} \frac{1}{n_{pvmt}} h_{pvmt}^{5/3} S^{1/2}$$
(8)

313 where h_{pvmt} denotes the water depth on the pavement (m), *i* denotes the rain rate for the grid cell (m/s), q_{pvmt} denotes the flow on the pavement per unit area (m/s), L_{pvmt} denotes the pavement 314 length (m) assumed to be $\sqrt{f_c}$ (m) and n_{pvmt} denotes the Manning's roughness coefficient for the 315 pavement. The total flow from the pavement area in a grid box, Q_{pvmt} (m³/s), is given by 316 $Q_{pvmt} = q_{pvmt} f_{pvmt}$ where f_{pvmt} denotes the pavement area (m²) estimated from the GIS layers. The 317 water depth on the pavement, h_{pvmt} , is used for y in Eqs.(5) and (6). If Q_{inlet} is larger than Q_{pvmt} , 318 Q_{inlet} is set to Q_{pvmt} . If Q_{inlet} is smaller than the total hillslope flow into the channel, $q_{L_{k}} f_{c}$, the 319 remaining flow $q_{L_{h}}f_{c} - Q_{inter}$ is assumed to drain into the natural channel as shown in Eq.(3). In 320 the highly unlikely case of $Q_{inlet} > q_{L_h} f_c$, Q_{inlet} is set to $q_{L_h} f_c$. In reality, the curb-opening inlets 321 322 intercept gutter flow whereas Eqs.(7) and (8) model sheet flow. Also, a number of parameters in 323 the routing and inlet flow models is subject to significant uncertainties. To assess the impact of 324 the parameters to partitioning of surface runoff into flow into the storm drain network and that into the natural channels, a sensitivity analysis was carried out with respect to the key inlet flow 325 326 model parameters (see Subsection 4.4).

327 **2.5 Storm drain flow modeling**

Flow through the ESDN is modeled based on simplification of the continuity andmomentum equations under the kinematic wave assumption (Chow et al. 1988):

$$330 \quad \frac{dV}{dt} = Q_{in} - Q_{out} \tag{9}$$

331
$$S_f = S_0$$
 (10)

where *V* denotes the volume of water in the pipe (m³), Q_{in} denotes the inflow rate (m³/s) and Q_{out} denotes the outflow rate (m³/s). If the upstream end of the pipe represents an inlet(s), we have 334 $Q_{in}=Q_{inlet}$. If the downstream of the pipe represents an outfall(s), we have $Q_{out}=Q_{outfall}$. The above 335 simplification is valid if the variations in the hydrograph are gradual enough to result in a quasi-336 steady flow for each pipe (Motiee et al. 1996) and the pipe is not surcharged. The momentum 337 equation can be expressed via the Manning's equation as (Chow et al. 1988):

338
$$A_p = \left(\frac{n_p p_p^{2/3}}{S_o^{1/2}}\right)^{3/5} Q_{out}^{3/5}$$
(11)

where A_p denotes the wetted cross-sectional area of the pipe (m²), n_p denotes the Manning coefficient for the pipe, P_p denotes the wetted perimeter of the pipe (m), and S_o denotes the slope of the pipe. In Eq.(11), A_p and P_p are computed from the downstream water depth. For the flowchart of the integrated model operation, the reader is referred to Habibi (2017). Note that, while multiple elements have been newly added as described above, the only change necessary to the RDHM code itself is adding the source and sink terms in Eq.(3).

345 **3 Study area and data used**

The study area includes five urban catchments in the Cities of Arlington and Grand Prairie in the Dallas-Fort Worth (DFW) area of TX with a combined area of 144.6 km² (see Fig 2). The size and time-to-peak at the outlet of each catchment vary from 3.4 to 54.6 km² and from 0.5 to 2.5 hrs, respectively (Rafieeinasab et al. 2015). For the storm drain network and topography in the study area and the percent impervious cover, the reader is referred to Rafieeinasab et al. (2015). The average percent impervious cover varies from 31% in the Fish Creek Catchment to 48% in the Johnson Creek Catchment.

1 HRAP resolution based on soil and land cover data (Anderson et al., 2006; Zhang et al., 2011).

For the RDHM, a total of 11 a priori parameters for the SAC are available for the CONUS at

In this work, the SAC parameters were derived at a resolution of 1/16 HRAP for the study area (Norouzi 2016) using the computer program developed by the NWS (Zhang et al. 2011). The soil and land cover data used are from the Soil Survey Geographic (SSURGO) database and the National Land Cover Database (NLCD) for 2001, 2006 and 2011, respectively. In addition to the 11 a priori parameters, PCTIM (Permanently Impervious Area) was also derived for the study area at 1/16 HRAP resolution for the SAC which assumes that all rain that falls on the impervious area runs off without interception storage.

362 To evaluate the performance of the integrated model, streamflow simulations were 363 compared with observations. For the study basins, water level observations from pressure 364 transducer sensors are available every 15 min from the high water warning system operated by 365 the Cities of Arlington and Grand Prairie. These observations were used previously to validate 366 streamflow simulations (Rafieeinasab et al., 2015a) using rating curves derived via 1-D steady 367 state non-uniform hydraulic modeling (Kean and Smith 2005, 2010; Norouzi et al. 2015). 368 High spatiotemporal-resolution Quantitative Precipitation Estimation (QPE) is essential for 369 prediction of urban flash floods. For the study area, the DFW Demonstration Network of the 370 Collaborative Adaptive Sensing of the Atmosphere (CASA) Program consisting of high-371 resolution X band radars provides high resolution (500 m, 1 min) QPE (Chen and Chandrasekar 372 2015) which also utilizes the Next-Generation Radar (NEXRAD). Rafieeinasab et al. (2014, 373 2015) carried out comparative evaluation of different radar-based QPE products for the study 374 area. They showed that, in general, the CASA QPE is more accurate for larger precipitation 375 amounts whereas the Multisensor Precipitation Estimator (MPE, Seo et al. 2010) estimates are 376 more accurate for smaller amounts. In this work, both the CASA and MPE QPE products are 377 used.

378 4 Results

379 This section presents the results in four parts: 1) comparison of the simulated flow at 380 catchment outlets against observations to assess the ability of the model to well represent real 381 flows in the natural channels; 2) analysis of the integrated model results with and without storm 382 drain modeling from site to catchment scales to assess the contributing area-dependent impact of 383 storm drains to surface flow; 3) assessment of the impact of storm drains on peak flow under the 384 existing land cover conditions and under a 15% increase in imperviousness; and 4)assessment of 385 the impact of the initial conditions of the storm drain flow model and the sensitivity of the 386 conveyance volumes in the natural channel and storm drain networks to selected inlet flow 387 model parameters.

388 4.1 Comparison with observed flow at catchment outlet

389 The ESDN modeled in this work include not only the storm drainage pipes but also the open 390 channel to which storm drainage pipes are connected. All other man-made open channels, not 391 including roadways, are considered as part of the natural channel network. One may hence 392 expect the conveyance capacity of the ESDN to be relatively modest compared to that of the 393 natural channel network. Rafieeinasab et al. (2015) indicated that full-capacity open channel 394 storm drains can convey several times more flow than full-flow storm drain pipes in the study 395 area, and that, for a large event such as Tropical Storm (TS) Hermine in 2010, the natural 396 channels convey about 3 and 15 times as much flow as the full-capacity man-made open 397 channels and pipes, respectively. TS Hermine produced 160 mm of rainfall over a 24-hr period 398 in the study area which corresponds to a return period of about 25 years. In the study area, water

399 level observations are available only at the catchment outlets where the discharge represents the 400 combined flow through both the natural channels and storm drains. As such, comparison of 401 streamflow at the outlets of sizable catchments is not likely to reveal the impact of storm drains. 402 On the other hand, one may still compare the natural-channel flow simulations with and without 403 storm drain modeling with the observed flow to assess the quality of the integrated model 404 simulation at the catchment scale. The premise of such comparison is that, if the model can 405 simulate outlet flow realistically, it is likely to be able to simulate flows from smaller 406 contributing areas. It is important to note that, in this assessment, we are not necessarily 407 interested in the absolute accuracy of the simulation given the various sources of error. Instead, 408 our primary interest is in ascertaining whether the model response is realistic at the catchment 409 scale relative to the observed flow so that the model response at smaller spatial scales is likely to 410 be realistic.

411 Figs 6a and 6b show the hyetographs (top) and the simulated vs. observed hydrographs 412 (bottom) for two events occurred in late November and December of 2015, respectively, in the 413 14.4 km² Cottonwood Creek at Carrier (Outlet 6363). The total rainfall amounts are 120 mm 414 over a 24-hr period for the Nov event (Fig 6a) and 90 mm over a 48-hr period for the Dec event 415 (Fig 6b) which correspond to return periods of approximately 5 and 2 years, respectively. Fig 6c 416 shows the comparison of simulated flow vs. observed water level for the Jan 2017 event shown 417 in Fig 10a (see Subsection 4.2) at three additional outlet locations of 6043 (Arbor Creek at 418 Tarrant), 6083 (N Fork Cottonwood at GSWP) and 6143 (Fish Creek at GSWP); the contributing areas are 4.1, 8.4 and 31.2 km², respectively. The rainfall data used is the CASA QPE at 1/8 419 HRAP and 1-min resolution. The RDHM resolution is at 1/16 HRAP. Figs 6a and 6b indicate 420 421 that the model simulations are able to capture the events quite well, but that they are not able to

422 pick up very fast-varying streamflow responses very well. In addition, the model simulation for 423 the late Dec event exhibits flow magnitude-dependent errors. The errors present in these 424 simulations are not at all surprising in that they are based solely on the a priori model parameters 425 for both soil moisture accounting and routing as no calibration was attempted in this work. 426 Overall, it is seen that the model is capable of producing realistic streamflow responses to 427 significant rainfall events albeit with a mix of both amplitude (Seo et al. 2009) and phase (Liu et 428 al. 2011) errors of varying magnitude. Comparison of streamflow simulations with (red dashed 429 line) and without (blue dashed line) storm drain modeling in Fig 6a indicates that the differences 430 between the two are indistinguishable for the larger Nov event, but that, for the smaller Dec 431 event, the peak flows at the outlet have increased slightly with storm drain modeling. Fig 6c, 432 which only allows qualitative comparison of flow vs. stage, generally supports the above observations. Fig 6c indicates that, for Outlet 6143 which is associated with the largest 433 434 contributing area among the four, significant hydrologic uncertainty exists which is likely to 435 override the effects of storm drain modeling except perhaps for the most upstream areas. As 436 explained above, discharge at the catchment outlet reflects both the natural channel and storm 437 drain flows and hence is not very useful in assessing the impact of storm drains. To assess 438 performance at much smaller spatial scales, a set of twin simulation experiments were carried out 439 which is described below.

440 **4.2 Impact of storm drains at different scales of contributing area**

In the DFW area, the design of stormwater infrastructure calls for 25-yr 24-hr design rainfall
for conveyance, and for 100-yr 24-hr design rainfall for flood mitigation (NCTCOG 2015). In
this work, we apply spatially uniform 100-yr 5-min and 24-hr rainfall of constant rates to assess

444 the impact of storm drain network on channel flow in response to impulse- and step-function 445 forcings of rainfall, respectively. We then apply two actual events for additional assessment. Fig 446 7 shows the simulated hydrographs of channel flow with (red solid line) and without (blue solid line) SDM at all grid boxes in the Johnson Creek Catchment (Outlet 6033, 40.4 km²) due to a 447 448 spatial uniform rainfall pulse of 280.7 mm/hr lasting 5 min. Because the hydrographs shown in the figure represent the response of the contributing areas to what is essentially an impulse, they 449 450 may be considered as scaled unit hydrographs. As expected, the smaller the contributing area, the 451 faster the hydrologic response. Though difficult to see in this figure, there are numerous 452 hydrographs near the origin representing the response of very small contributing areas. To help 453 discern the hydrographs associated with storm drains from those without, Fig 8 shows the box-454 and-whisker plots of the surface flow in logarithmic scale at all grid boxes as a function of time elapsed with and without SDM. In the figure, the upper and lower ends of the box represent the 455 75th and 25th percentiles, the line in the box represents the median, and the ends of the whiskers 456 represent median $\pm 1.58 \times IQR / \sqrt{N}$ where IOR denotes the inter-quartile range and N denotes the 457 458 sample size. It is seen that the storm drains in this catchment reduce surface flow in the median 459 sense for about 30 min, and that at a number of locations the reduction persists well past 30 min. For Outlet 6133 of the Fish Creek Catchment (54.3 km², not shown), it was observed that the 460 461 storm drains reduce flow at most locations only for the first 10 min or less, and that between 15 462 and 40 min or so there is a noticeable increase in flow with storm drains modeled. The above 463 observations suggest that the Fish Creek Catchment may be susceptible to downstream flooding 464 due to storm drains upstream. To track the impact of storm drains on surface flow at each grid 465 box during the course of the catchment response following an impulse rainfall, Fig 9 plots the ratio of the flow with storm drains to that without at all grid boxes in the Johnson Creek 466

467 Catchment due to 100-yr 5-min rainfall. A ratio of less or greater than unity is an indication that 468 the storm drains reduce or increase surface flow at that location, respectively. Note in the figure 469 that the storm drains reduce flow significantly for a very short duration at almost all grid cells, 470 that the flow remains reduced for the entire duration at many of the above locations, but that 471 there are locations where the storm drains increase flow between 5 to 50 min. The results for 472 other catchments are qualitatively similar and are not shown. Figs 10a and 10b show the 473 hyetographs and box-and-whisker plots of the hydrographs with and without SDM for the 474 Johnson Creek Catchment (GP6033) for the Jan 16, 2017, and May 29, 2015 events, respectively. Also shown are the rainfall maps based on the CASA QPE. The 2017 event, a fast-moving 475 476 convective front which also spawned tornadoes, produced up to 100 mm of rain in about 6 hours 477 in parts of the catchment. The 2015 event, which was the largest in DFW during the wettest ever 478 May of that year, produced up to 130 mm in about 7 hours in large parts of Arlington and Grand 479 Prairie. For the Jan 2017 event, it is seen that the storm drains reduce surface flow significantly, 480 and that at many locations the reduction persists throughout the event. For the May 2015 event, 481 which had a return period of over 300 years for 6-hr duration (Norouzi 2016), the storm drains 482 had a very small impact as the extreme rainfall was widespread and quickly filled almost the 483 entire storm drain network (Fig 10b). For stormwater planning and management, the locations 484 where surface flow increases due to storm drains are of particular interest. The following 485 subsection describes how such areas may be identified by spatially mapping the changes in peak 486 surface flow from the integrated model.

487 **4.3 Impact of storm drains on peak flow**

488 To ascertain the locations where storm drains may increase or decrease peak surface flow, 489 the ratio of the peak flow with storm drains to that without is calculated at each grid cell and 490 mapped over the entire catchment. This ratio is referred to herein as the peak flow ratio (PFR). 491 Fig 11 shows the map of the PFR for a 100-yr 24-hr rainfall amount of 280.7 (mm) for the entire 492 study area. Note in the figure that the PFR is less than unity for most cells (i.e. storm drains 493 reduce peak flow), and that the ratio is smaller for many grid cells where a large number of inlets 494 exist. Fig 12 shows the map of the PFR exceeding unity but only for those cells that do not 495 contain outfalls. In this way, we exclude the cells from consideration where the increase in peak 496 flow is due to direct discharges from storm drains. It is likely that some of the colored cells 497 shown in Fig 12 contain higher-order natural streams for which the performance of storm drain 498 systems may not be of concern. All other colored cells in Fig 12 may be considered as not being 499 served well by the existing stormwater infrastructure in the sense that peak flow has increased 500 due to storm drains compared to the storm drain-less conditions. 501 In the DFW area and elsewhere, continuing urbanization is expected to alter the hydrologic

response of urban catchments. Analysis of the NLCD land cover of 2001, 2006 and 2011 for the
area indicates that imperviousness increased by about 15 percent between 2001 and 2011

504 (Norouzi 2016). Fig 13 is the same as Fig 11 but the peak flow with storm drains under the

505 existing condition (i.e., the denumerator in the PFR) has been replaced with that under a uniform

506 15% increase in imperviousness in all catchments. Note that, with the increase in

507 imperviousness, the size of the area of the PFR exceeding unity has increased by about 30%,

508 indicating that in many areas the existing storm drains would no longer be adequate.

509 **4.4** Sensitivity to initial conditions of storm drain flow and inlet flow parameters

510 Because the residence time of stormwater in the storm drain network is only of the order of 511 10 hours or less for the study catchments, in most situations one may safely initialize the ESDN 512 with no-flow conditions. As the event progresses, however, the accuracy of the model state may 513 deteriorate due to the growth of simulation error in time. It is hence necessary to assess the 514 impact of the initial flow conditions in the ESDN on time-to-peak and peak flow. To that end, 515 comparisons of time-to-peak and peak flow were made between the two bounding conditions of 516 completely empty and full storm drains following a 100-yr return period rainfall of 5-min 517 duration. The results indicate that the accuracy of the state variable in the storm drain model, A_p 518 in Eq.(11), may impact the quality of simulation significantly, particularly when the storm drains 519 may undergo successive cycles of filling and draining from successive short-duration pulses of 520 rainfall.

521 Although flow through storm drain systems is well understood, its modeling entails 522 significant uncertainties (Pappenberger et al. 2008; Mantovan and Todini, 2006). In this work, 523 inlet flows are determined based on uniform kinematic-wave water depth over the paved areas in 524 each grid cell assuming either weir or orifice flow (see Subsection 2.4). In reality, inlet flow is partitioned from gutter flow whose depth is typically larger than the uniform water depth over 525 the entire pavement. In inlet design, inlet flow is determined by the interception rate, or the 526 527 efficiency of the inlet, which depends on the gutter flow (TxDOT 2016). In this work, we assess 528 the impact of selected inlet parameters to partitioning of hillslope runoff into inlet and channel 529 flows by evaluating the sensitivity of weir flow in Eq.(5) to the inlet length, L_w . Because 530 changing the number of inlets in the grid cell, N, or the weir coefficient, C_w, has the same effect

531 as changing L_w , analysis of sensitivity on L_w amounts to that of all three parameters, N, C_w and 532 L_{w} . For this reason, we chose a wide range of values for L_{w} to encompass possible variations in N 533 and C_w as well. In the study area, both curb-opening and depressed curb-opening inlets exist for 534 which the weir discharge coefficient, C_w, is 0.374 and 0.286, respectively. A typical curb-535 opening inlet has a length of 2.5 m in the study area but, at many locations, the inlets are doubled 536 to a length of 5.0 m. Inlets may be clogged which would effectively reduce N and/or L_w . In inlet 537 design, clogging factors of 0.12 and 0.08 are suggested for one and two units of curb-opening 538 inlets (Guo and MacKenzie 2012) which effectively reduces N in Eq.(5) to 0.88N and 0.92N, 539 respectively. From the above, one may arrive at the lower and upper bounds for NC_wL_w of 0.63N 540 and 1.87N, respectively. To encompass approximately the above range of possible variations, 541 $L_w=1.7, 2.5$ and 5.0 (m) were chosen without reducing N and keeping $C_w=0.374$. Then $L_w=10.0$ 542 (m) and 50.0 (m) were added to assess the asymptotic behavior. Fig 14 shows the volume of 543 stormwater conveyed by the natural channels vs. the storm drains from spatially uniform 100-yr 544 return period 5-min rainfall over the five catchments. The uppermost dotted black line denotes 545 the total stormwater volume conveyed both by the natural and storm drain networks. Different 546 colors represent different nominal inlet lengths. For each color, the solid and dashed lines denote 547 the stormwater conveyed by the natural channels and storm drains, respectively. The solid and 548 dotted lines of the same color hence partition the total stormwater volume into natural channel 549 and stormwater flow volume. The following observations may be made in Fig 14. The 550 stormwater volume conveyed by storm drains with a nominal inlet length of 2.5 and 5.0 m is 551 approximately 22 and 38%, respectively, of the total runoff volume for both 5-min and 24-hr rainfall of 100-yr return period. The limiting conveyance volume by storm drains is reached at 552 the nominal inlet length of 50 m where over 60% of the surface runoff is conveyed by storm 553

554 drains. As expected, the rate of increase in the runoff volume conveyed by storm drains 555 decreases as the nominal inlet length increases, i.e., there is diminishing marginal value in 556 increasing the inlet capacity. The above results indicate that significant uncertainties exist in 557 partitioning surface runoff into natural channel and storm drain flows, and that rigorous 558 uncertainty analysis is necessary for comprehensive assessment. With greatly reduced modeling 559 complexity and computational requirements, the integrated modeling approach proposed in this 560 work makes such analysis readily possible. Currently, simulation of a 24-hr event for the study area of 144.6 km² at a 250-m resolution with 1-min rainfall input takes about 3 hours (or about 561 562 12.5 seconds per time step) on a 6 Intel® Xeon® CPU E5-2620 v2 @ 2.10GHz core computer 563 with 65 GB memory. The current version of the storm drain model has very large room for 564 improvement in computational efficiency. It is expected that multi-fold and significant reduction 565 in computing time is readily achievable with and without parallelization, respectively, a task left 566 as a future endeavor.

567 5 Conclusions and future research recommendations

For accurate flash flood forecasting and effective stormwater planning and management in urban 568 569 areas, it is necessary to model not only the natural channel systems but also the large and 570 complex networks of storm drains. Whereas there exist many 1D-2D models, most are not well-571 suited for real-time operation or large-area implementation due to extremely large computational 572 and modelling requirements (Noh et al. 2018). High-resolution distributed modelling, on the 573 other hand, is now a common operational practice for water modelling and forecasting for large areas (>100 km²) around the world (see, e.g., the National Water Model (NWM) in the US, 574 http://water.noaa.gov/about/nwm). In this work, we propose a modular storm drain model which 575

576 may be easily coupled with existing gridded distributed hydrologic models. The proposed integrated model is applied to a 144.6 km² area consisting of five urban catchments in the Cities 577 578 of Arlington and Grand Prairie in Texas, US. Whereas the above domain is large by the 579 stormwater modeling standards, it represents only a small fraction of the large cities in DFW. For 580 reference, the Cities of Dallas, Fort Worth, Arlington and Grand Prairie which comprise the midsection of DFW have a combined area of about 2,371.9 km². The storm drain module described 581 in this work is aimed at eventual operation for such large areas. A salient feature of the proposed 582 583 approach is the use of the equivalent storm drain network (ESDN) which approximates the actual 584 storm drain network on the same grid as the distributed hydrologic model, thus rendering 585 coupling of the storm drain module and the distributed model extremely simple. The ESDN uses 586 the equivalent systems method of Raczynski et al. (2008) which has also been used by a number of researchers and practitioners for modelling flow through pipe networks (Mohammad and 587 588 Ahmad 2011, Gad and Mohammad 2014, Choi and Kang 2015). The gridded distributed 589 hydrologic model used in this work is the NWS's RDHM. The main findings are as follows. 590 The ESDN represents the real storm drain network very well. At the catchment scale, the 591 impact of storm drains is not readily discernable because streamflow at the catchment outlet 592 integrates both the natural channel and storm drain flows. For smaller catchments, it is seen that 593 storm drain modeling increases peak flow at the outlet slightly for significant events. To assess 594 the impact of the storm drain network at all locations, twin simulation experiments were carried 595 out in which the integrated model was run with and without the storm drain module using 596 impulse- and step-function design rainfall. The results show that the storm drains are very 597 effective in reducing surface flow for a short duration at almost all grid cells in the study area, 598 and that, at many locations, the flow remains reduced for the entire duration. For the highly

599 urbanized Johnson Creek Catchment (Outlet 6033), the storm drain network reduces surface flow 600 at most locations for about 30 min, and that the reduction persists well past 30 min at many 601 locations. For the least impervious Fish Creek Catchment (Outlet 6133), on the other hand, the 602 storm drain network reduces surface flow only for the first 10 min or less at most locations, and 603 increases noticeably between 15 and 40 min. The above suggests that the Fish Creek Catchment 604 may be susceptible to downstream flooding due to storm drain flow from upstream. The 605 simulation results also reveal that there are locations in the Johnson Creek Catchment where the 606 existing storm drain network may increase peak flow compared to the storm drain-less 607 conditions, and that, with a 15% increase in imperviousness relative to the current conditions, the 608 existing stormwater infrastructure would lose effectiveness for approximately 30% of the study 609 area. The above results demonstrate the potential power of the integrated model for real-time 610 flash flood forecasting as well as planning and management of stormwater infrastructure for 611 large urban areas.

612 The integrated model simulations also show that for the study area the stormwater volume 613 conveyed by storm drains with a nominal inlet length of 2.5 and 5.0 m is approximately 22 and 614 38%, respectively, of the total runoff volume for both 5-min and 24-hr rainfall of 100-yr return 615 period. As expected, the rate of increase in the runoff volume conveyed by storm drains 616 decreases as the nominal inlet length of the inlet increases, indicating diminishing marginal value 617 in increasing the inlet capacity. The sensitivity to the inlet flow parameters indicates significant 618 uncertainties in partitioning surface runoff into natural channel and storm drain flows. Whereas 619 rigorous uncertainty analysis for stormwater infrastructure for a large area using 1D-2D 620 modeling would be extremely expensive for modeling and computationally-wise, the integrated 621 modeling approach proposed in this work makes such analysis possible even for very large areas.

622 Due to the coarse resolution and simplifications, however, the proposed approach cannot resolve 623 flow at sub-grid scales and hence is not suitable for very detailed modeling for small areas. 624 Validation of simulation results at sufficiently small spatial scales remains a large challenge 625 due to lack of ubiquitous streamflow sensing. It is noted that water level sensors are being 626 deployed at small urban streams in the study area and elsewhere in DFW (Habibi et al. 2017) and 627 the crowdsourcing app, iSeeFlood (Choe et al. 2017, http://ispuw.uta.edu/nsf/8-1-628 1description.html), have also been launched to aid validation as well as real-time forecasting. 629 The NWS has recently implemented NWM (Graziano et al. 2017), a hydrologic model that uses 630 the Weather Research and Forecasting Model Hydrological modeling system (WRF-Hydro, 631 Gochis et al. 2014), to forecast streamflow and other hydrologic variables over CONUS. 632 Though WRF-Hydro and RDHM have significant differences in routing operations, it is 633 expected that the storm drain module developed in this work can also be integrated with WRF-634 Hydro with a modest amount of effort (David Gochis, personal communication, May 2017).

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Water depth (m)

Fig 6a

Observed and simulated flow using CASA (GP6363)



Fig 6b

Observed and simulated flow using CASA (GP6363)





Flow for 100-yr 5-min rainfall (GP6033)





Flow ratio for 100-yr 5-min rainfall (GP6033)



Fig 10a

Simulated flow for Jan 16 2017 (GP6033)



Fig 10b

Simulated flow for May 29 2015 (GP6033)









Volume from 100-yr 5-min rainfall

