

1 Hatchery crashes among shellfish research hatcheries along the Atlantic coast of the United
2 States: a case study at Horn Point Laboratory oyster research hatchery

3
4 Matthew W. Gray^{1*}, Stephanie Alexander¹, Brian Beal²; Tom Bliss³; Colleen A. Burge⁴; Jacob
5 Cram¹; Michael De Luca⁵; James Dumhart⁶; Patricia M. Glibert¹; Michael Gonsior⁷; Andrew
6 Heyes⁷; Klaus Huebert¹; Vyacheslav Lyubchich⁷; Katherine McFarland⁸; Matt Parker⁹; Louis
7 Plough¹; Eric Schott¹⁰; Lisa Wainger⁷; Gary H. Wikfors⁸; Ami Wilbur¹¹

- 8 1. Horn Point Laboratory, University of Maryland Center for Environmental Science, 2020 Horns Point Road,
9 Cambridge, MD 21613, USA
- 10 2. Downeast Institute, 39 Wildflower Lane, Beals, ME 04611, USA
- 11 3. Marine Extension Service, University of Georgia, Shellfish Research Laboratory, 20 Ocean Science
12 Circle, Savannah, Georgia 31411, USA
- 13 4. Institute of Marine and Environmental Technology, University of Maryland Baltimore County, 701 E Pratt
14 Street, Baltimore, MD 21202, USA
- 15 5. Aquaculture Innovation Center, Rutgers University, 3920 Bayshore Drive, Cape May, NJ 08204, USA
- 16 6. Maryland Department of Natural Resources, Piney Point Aquaculture Center, P.O. Box 150, 17996 Piney
17 Point Rd, Piney Point, MD 20674
- 18 7. Chesapeake Biological Laboratory, University of Maryland Center for Environmental Science, 146
19 Williams St. Solomons, MD 20688, USA
- 20 8. NOAA Fisheries Service, Northeast Fisheries Science Center, 212 Rogers Avenue, Milford, CT 06460,
21 USA
- 22 9. University of Maryland Extension, 6707 Groveton Drive, Clinton, MD 20735, USA
- 23 10. IMET, University of Maryland Center for Environmental Science, 701 East Pratt St., Baltimore, MD,
24 21202, USA
- 25 11. Shellfish Research Hatchery, Center for Marine Science, University of North Carolina Wilmington, 5600
26 Marvin K Moss Lane, Wilmington, NC 28409

27
28 *Contact information: mgray@umces.edu; +1 410.221.8348

29

30 **Citation:**

31 M.W. Gray, S.T. Alexander, B.F. Beal, T. Bliss, C.A. Burge, J.A. Cram, M. De Luca, J. Dumhart,
32 P.M. Glibert, M. Gonsior, A. Heyes, K.B. Huebert, V. Lyubchich, K. McFarland, M. Parker, L.V.
33 Plough, E.J. Schott, L.A. Wainger, G.H. Wikfors, A. E. Wilbur (2022). Hatchery crashes among
34 shellfish research hatcheries along the Atlantic coast of the United States: A case study at Horn
35 Point Laboratory oyster research hatchery. *Aquaculture* 546:737259
36 <https://doi.org/10.1016/j.aquaculture.2021.737259>

37 **Abstract**

38 Shellfish hatcheries have become an increasingly important component of aquaculture production
39 in the United States. Although the industry has been advancing technologically over time to
40 stabilize production and supply, many hatcheries suffer regularly from bouts of stalled or failed
41 production, termed crashes. Crashes are widely acknowledged to occur and are considered a
42 persistent problem in the industry but also an understudied phenomenon in the field of shellfish
43 aquaculture that warrants greater investigation. Furthermore, there are few thorough reports on
44 production variability from established hatcheries. To help fill the data gap and initiate a broader
45 discussion on the causes of hatchery crashes, we provide testimonials from research hatchery
46 managers across the Atlantic Coast about their experiences with crashes. As a case study, we
47 report on long-term production trends (2011-2020) at Horn Point Laboratory's oyster hatchery,
48 which included persistent production failure during the 2019 season. During the 2019 season,
49 larval assays were conducted to determine drivers of production failure; however, no clear culprits
50 were identified. Machine learning was used to help characterize production variability and hindcast
51 the specific conditions when the hatchery's production was most efficient. Microbial community
52 structure of larval associated microorganisms was shown to differ between a crash and non-crash
53 time-point. We highlight the ubiquity of hatchery crashes along the Atlantic Coast of the US, the
54 range of severity at which crashes can occur, and the difficulty of identifying the underlying causes
55 of crashes, even at world-class research facilities. Collectively, we conclude that more research,
56 data sharing, and cross-institution collaboration are needed to prevent crashes, and to develop
57 mitigation strategies to maintain high levels of consistent shellfish aquaculture production.

58
59 Keywords: hatchery, bivalve, production, *C. virginica*, seed supply, larvae, machine learning
60

61

62 **1.1 Introduction**

63 The United States is the 3rd largest global consumer of seafood and imported \$402 million (155
64 million pounds) of bivalves in 2019 to meet domestic demand for oysters, mussels, scallops, and
65 clams (USDA, 2019). To reduce these trade deficits and relieve harvest pressure on wild stocks,
66 federal (e.g. NOAA, USDA) and state agencies have promoted shellfish production along all US
67 coastlines. Although regional production rates may vary greatly (NOAA, 2016) because of a variety
68 of factors (environmental conditions, regulations, supply chains, etc.), larval supply underpins
69 cultured production of oysters nationally (Ekstrom et al., 2015). Aside from a few distinct bays (e.g.
70 Willapa Bay, WA), hatchery production of oyster larvae and seed has become increasingly
71 important to fuel domestic aquaculture production (Barton et al., 2015) in the US and plays an
72 important role for enhancing wild fisheries and restoration efforts in many coastal bays and
73 estuaries (Hornick and Plough, 2019). Decades of research on larval diets, water treatment, and

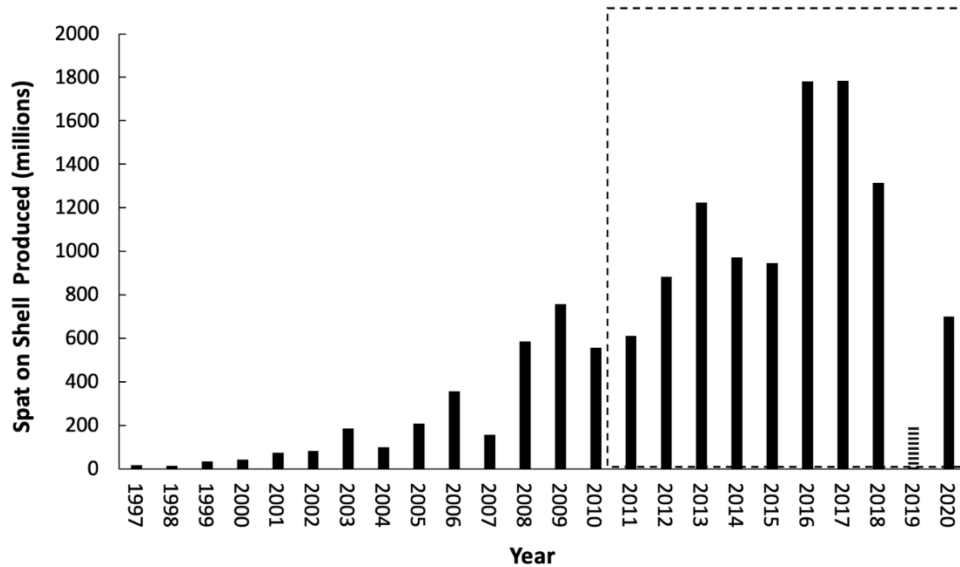
74 culture practices have led to large improvements in the production rates and efficiencies of
75 shellfish hatcheries (Elston et al., 1981; Helm and Millican, 1977; Lewis et al., 1988; Robert and
76 Gérard, 1999; Urban Jr and Langdon, 1984). These facilities are now responsible for reliably
77 supplying high-quality larvae and seed to a growing aquaculture industry.

78 Although hatchery staff may be highly skilled, most facilities still experience periods of poor larval
79 growth or mass mortality events, termed crashes, which have been a perpetual problem in the
80 industry (Walker, 2017). Crashes are recognized as a world-wide industry phenomenon not unique
81 to oysters but have been observed among a variety of cultivated shellfish species (Jones, 2006).
82 Crashes may occur over short timescales with entire batches (tens of millions) of larvae lost
83 overnight. Upon inspection of tanks in the morning after a crash, managers may observe larvae to
84 be thickly coated with bacteria or filled with ciliates, making it difficult to determine if these
85 microorganisms were the cause of the crash or opportunistic invaders that exploited the
86 compromised batch (Estes et al., 2004). Aside from bacteria, there are a wide variety of potential
87 chemical contaminants or other biological agents that could quickly degrade culture conditions,
88 such as toxic or inhibitory metals, organic or inorganic chemicals coming from natural or
89 anthropogenic sources (e.g. terrestrial agriculture), and poor water quality (see mini-review by
90 Jones 2006). Furthermore, all of these sources have several opportunities to disrupt hatchery
91 production, as they can affect broodstock conditioning, algal culture, or the larvae themselves in
92 culture tanks. Without effective diagnosis, developing mitigation or avoidance strategies can be a
93 daunting task. Indeed, hatchery staff rarely have the time or resources to investigate the causes of
94 crashes in their facilities. Instead, the most common approach to coping with crashes is to simply
95 dump ‘bad water’, clean tanks and other equipment, and hope that tanks can be filled with ‘good
96 water’ so that production can resume. Bad water that reduces larval growth and survival, such as
97 from coastal upwelling of acidic water, can linger in coastal margins for a long time (i.e. weeks to
98 months) and potentially re-enter the hatchery repeatedly over the production season (Barton et al.,
99 2012).

100 Crashes are costly as they result in loss of revenue while wasting labor and other production
101 resources. These losses can reverberate throughout the industry. Larvae and seed supply is
102 already among the top concerns among some growers (Langston, 2015; Lukenbach et al., 2008),
103 and weak hatchery production only exacerbates these concerns and may deter new entrants into
104 the industry. The 'Seed Crisis' observed in the western USA oyster industry is a notable example.
105 During the late 2000s, commercial hatcheries struggled to produce oyster larvae for years, which
106 ultimately resulted in an estimated industry loss of \$110 million in the Pacific Northwest (Ekstrom et
107 al., 2015).

108 Although crashes in shellfish hatcheries are acknowledged to occur and considered common, they
109 also represent one of the unspoken failures in current shellfish cultivation. Crashes remain under-
110 investigated and mostly unexplained. A major impediment to exploring crashes is the
111 understandable lack of willingness among private hatcheries to divulge production failures. Indeed,
112 through our informal surveying of the private industry, we found that many hatcheries were
113 interested in learning how to avoid or mitigate crashes but were reluctant to share data or publicly
114 discuss production failures occurring at their facilities. In contrast to private hatcheries, shellfish
115 research hatcheries, which also suffer from crashes, produce larvae for a variety of purposes other
116 than aquaculture (e.g., research, restoration, fisheries enhancement) and often carry the mandate
117 of sharing information with the public.

118 Here we highlight the spatial and temporal variability of crashes among a network of research
119 hatcheries along the Atlantic Coast of the US (**Fig. 1**). The University of Maryland Center for
120 Environmental Science (UMCES) Horn Point Laboratory oyster hatchery (HPLOH) is the largest
121 hatchery supplier of oyster larvae and seed on the Atlantic Coast. Annually, it produces billions of
122 pediveliger larvae, typically between the months of April and September, for a variety of purposes
123 in the Chesapeake Bay, including oyster sanctuary restoration, replenishment of the public fishery,
124 and supplying eyed larvae for the rapidly growing aquaculture industry in Maryland and Virginia
125 (UMCES Horn Point Laboratory, 2020).



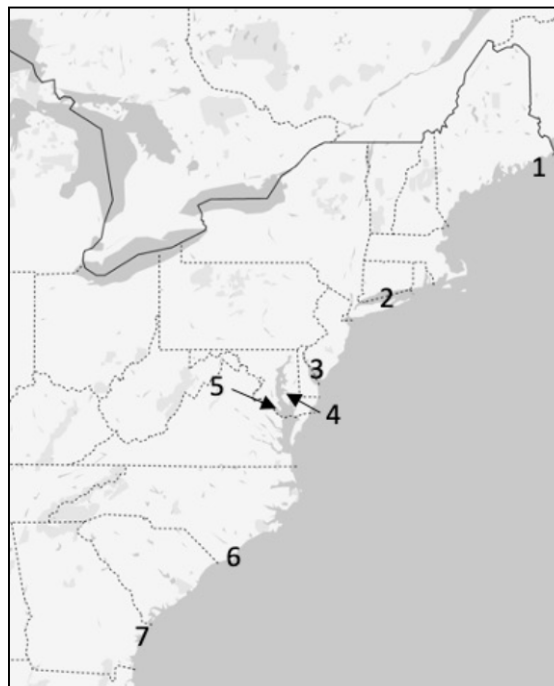
126 **Figure 1.** Total production of spat on shell at the University of Maryland Center for Environmental Science
 127 Horn Point Laboratory oyster hatchery over time. Period with available hatchery records is denoted by dashed
 128 box. Persistent production failure during 2019 is highlighted with horizontal stripes. Reduced production in
 129 2020 was due to the COVID-19 pandemic and depressed demand and related production challenges.
 130
 131

132 At these large production scales (mean batch size = 80 million eyed larvae; \$300/million diploid
 133 wild larvae), a single crash results in approximately \$24,000 in lost revenue. This loss estimate
 134 excludes accounting for ancillary expenses associated with production, such as wasted labor and
 135 other resources (e.g., seawater, electricity, algae and reagents). Although the HPLOH is fully
 136 equipped and staffed with highly experienced personnel, some of whom hold advanced degrees in
 137 science, periodic crashes of oyster larvae have occurred annually.

138 Most recently, during the 2019 production season, HPLOH was unable to produce larvae for 1/3 of
 139 the production season (late May to early August). Harmful algal blooms in the Chesapeake Bay
 140 have been suspected as frequent contributors to hatchery crashes. Other factors such as harmful
 141 bacteria, organic pollutants, poor water quality, and low salinity events also may impair production,
 142 given the hatchery's location within the mesohaline portion of this bay. Although production failure
 143 was linked to historic rainfall and low salinity conditions for months preceding the 2019 season
 144 (examined and described below), no clear causes or factors have yet been identified to explain the
 145 prolonged crashes in 2019.

146 Aside from HPLOH, production crashes also vary greatly among our network of research
147 hatcheries along the Atlantic Coast (**Fig. 2**). For brevity, we have not included site-specific
148 environmental details, which would show differences among facilities due to their latitude,
149 proximity to the ocean, surrounding land use, etc; however, it is important to acknowledge that
150 despite these differences, some forms of crashes have occurred at all the listed facilities (**Table 1**).
151 Interestingly, the closest hatchery to HPLOH (co-located in the mesohaline portion of Chesapeake
152 Bay) also suffers from periodic crashes but at asynchronous periods at HPLOH. Starting furthest
153 away from HPLOH, the Downeast Institute (DEI; Maine) has difficulty culturing microalgae in
154 August and many times in January/February. Oyster larvae production problems occur periodically
155 at other times of the year and can lead to entire losses of larval batches, which seem to be linked
156 to disease outbreaks (i.e. *Vibrio* spp). DEI hypothesizes that these crashes are associated with
157 strong winds that suspend pathogens embedded in sediments that are pumped into the hatchery.
158 A new threat to production at DEI is a marine fungus that creates 'pink blobs' which, after
159 appearing on tank walls, are followed by complete loss of larvae within 2-3 days. Crashes at DEI
160 slow aquaculture research progress and delay delivery of oysters and clams purchased by local
161 growers and communities that use DEI seed to manage their stocks. Rutgers University's
162 Aquaculture Innovation Center (AIC; New Jersey) typically observes crashes annually for 2-4
163 weeks during late May to early June driven by a mysterious cause. Crashes of oyster larvae and
164 juveniles at AIC represent a substantial burden on annual seed production upon which many
165 commercial farmers rely. During the entire 2018 season (March through July), AIC had persistent
166 low larval survival (< 10%), crippling production despite numerous attempts to triage and prevent
167 crashes (including extra cleaning, water filtration, probiotics, etc.). The precise causes of the
168 season-long crash at AIC were never determined. After thorough cleaning in the 2018 offseason,
169 AIC reported few production issues in 2019. University of Georgia's Shellfish Laboratory (UGA)
170 was created in 2015 to help revive the state's oyster aquaculture industry through research and
171 extension. This research laboratory has also been a valuable resource regionally, supplying seed

172 to South Carolina after a local, private hatchery ceased production. For several weeks in August of
173 2017, UGA noticed late-stage (7-9 days post fertilization) larval mortality. Late-stage mortality is
174 less common than early-stage mortalities in hatcheries but is particularly costly because of the
175 amount of resources invested prior to failure. NOAA's Northeast Fisheries Science Center
176 (NEFSC; Connecticut) has stable production, but reported relatively slow larval growth and poor
177 setting after July annually. This facility does not supply oysters to the local shellfish industry but
178 instead uses these larvae for research purposes. University of North Carolina Wilmington's
179 Shellfish Research Hatchery has experienced production swings historically and episodic crashes
180 during 2010-2013. Since this time, however, they have had stable and reliable production, which
181 they linked to optimizing temperatures for larval growth and survival within their hatchery. These
182 anecdotal observations illustrate how hatchery crashes are common, originate from many potential
183 sources, and remain largely unresolved.



184

185 **Figure 2.** Atlantic research hatchery network member locations: 1) Downeast Institute (ME), 2) NOAA
186 Northeast Fisheries Science Center (CT), 3) Rutgers University's Aquaculture Innovation Center (NJ), 4)
187 UMCES Horn Point Laboratory, 5) MD DNR Piney Point Hatchery, 6) UNC Wilmington's Hatchery (NC), 7)
188 University of Georgia's Shellfish Laboratory (GA).

189
 190
 191 In this study, we sought to examine the phenomenon of crashes at HPLOH by mining the detailed,
 192 long-term hatchery production dataset at HPLOH. Since 2011, HPLOH has monitored and
 193 recorded a vast array of production data including broodstock conditioning, food ration, and
 194 production rates. These production data alone are interesting as there are few examples of long-
 195 term hatchery-scale shellfish production data that have been published and available for scrutiny
 196 by scientists or the public. In addition to production data, a series of larval assays and other
 197 investigations were conducted by the hatchery staff and other researchers during short-term
 198 crashes (2018) and persistent crashes (2019). These studies provide insight into the complexities
 199 limiting identification of drivers of hatchery crashes so that mitigation strategies may be developed.
 200 We conclude this work by identifying primary knowledge gaps surrounding hatchery crashes and
 201 their impact on the shellfish aquaculture industry. We also, however, offer a path forward for future
 202 investigations and highlight emerging technology for improving hatchery performance or increasing
 203 flexibility to maintain production in the face of adverse environmental conditions.

204

205 **Table 1.** Shellfish research hatcheries in our study network with their specific location, crash symptom, and suspected
 206 culprit. HPLOH refers to the Horn Point Laboratory Oyster Hatchery.

Facility Name	Location	Crash Symptoms	Culprit
Horn Point Laboratory Oyster Hatchery	Cambridge, Maryland	Periodic crashes of new batches or slowed growth Prolong failure in 2019.	Unknown
Downeast Institute	Beals, Maine	Periodic crashes of new batches; complete loss of all larvae	Benthic pathogens/fungus
Rutger's Aquaculture Innovation Center	Cape May, New Jersey	Periodic crashes in late May Persistent failure in 2018	Unknown
Piney Point Oyster Hatchery	Piney Point, Maryland	Periodic, asynchronous failure with HPLOH	Unknown
University of Georgia	Savanah, Georgia	Late-stage mortality in 2017	Unknown
NOAA Northeast Fisheries Science Center	Milford, Connecticut	Slow larval growth and poor setting in July	Unknown
University of North Carolina	Wilmington, North Carolina	Production swings from 2010-2013	Suboptimal temperature

207

208

209

210 **2.1 Methods**

211 We used a variety of methods to examine hatchery crashes at HPLOH, including oyster larvae
212 developmental assays, microbial community analysis and sequencing, meta-analysis of production
213 records, and a suite of exploratory water-quality analyses. Statistical analysis of production trends
214 also was performed to examine production bottlenecks and to identify environmental and culture
215 conditions that drive production yield (defined below).

216

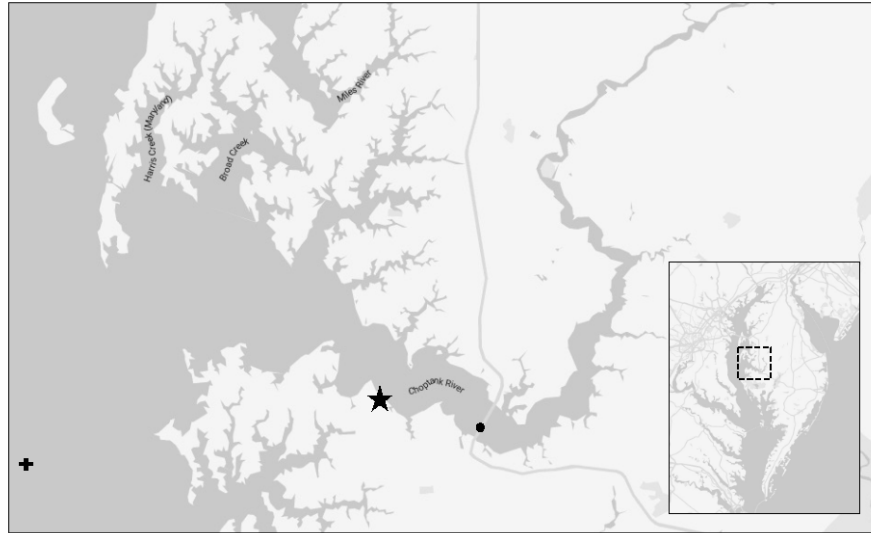
217 *2.2 Study site*

218 HPLOH is located adjacent to the Choptank River (38°35'06.92N, 76°05'08.45"W), which is the
219 largest tributary of the Chesapeake Bay on the eastern shore and located in the mesohaline
220 portion of the Chesapeake Bay.

221

222 *2.3 Choptank River water quality data*

223 Archived real-time water quality data, used to examine how bay conditions affect hatchery
224 production retrospectively, were obtained from the Goose Reef Buoy (GRB) located in the main
225 stem of Chesapeake Bay (Maryland Dept. of Natural Resources Station XEF3551) (**Fig. 3**). GRB
226 has been collecting data at hourly intervals since 2008. Water-quality variables monitored by GRB
227 dissolved oxygen, water temperature, salinity, pH, chlorophyll, and turbidity. Long-term (1986-
228 2020), monthly water-quality data were downloaded from a fixed monitoring station located
229 upstream from the hatchery (Maryland Dept. of Natural Resources Station ET5.2, Choptank River).
230 These data were used to examine how 2019 water conditions differed from historical trends.



231

232 **Figure 3.** Location of Horn Point Laboratory oyster hatchery on the Choptank River (star) in the mesohaline
 233 portion of the Chesapeake Bay. Water quality monitoring stations: Maryland Dept. of Natural Resources Station
 234 on the Choptank River (circle) and the Goose Reef Buoy in the main stem of the Chesapeake Bay (cross).
 235

236

237 *2.4 HPLOH hatchery water-quality data and larval culture*

238 Within the hatchery, the staff measures water temperature, salinity, and pH daily with a handheld
 239 sonde (YSI pro1030). Since 2016, the hatchery crew has been monitoring (with intermittent data
 240 gaps) alkalinity from weekly titrations (n = 193; SI Analytics TitroLine 6000) during the production
 241 season. Aragonite saturation state (Ω_{ar}) was determined using carbonate system variables (pH
 242 and alkalinity), for seawater temperature and salinity, and entered into carbonate calculating
 243 software (CO2SYS). For the past 3 years, the hatchery staff has used carbonate data and
 244 additions of Na_2CO_3 to alter tank chemistry to ensure tank Ω_{ar} and pH are above 1 and 8,
 245 respectively. Larval cultures are reared at 28-30°C and maintained by the air temperature of the
 246 hatchery itself. Salinity of larval cultures ranged throughout the season; however, a minimum
 247 salinity of 10 ppt is maintained with additions of artificial salt mix (Crystal Sea MarineMix).
 248 Afterward, there is no further manipulation of larval culture water quality.

249

250 *2.5 Production data*

251 Long-term hatchery production data (2011-2019) were obtained from HPLOH hatchery data
252 archives. These records include a wide variety of information around the broodstock, spawning,
253 and larval production process in the hatchery. For brevity, we list most but not all data that were
254 collected to illustrate the detail of these records as well as list the numerous variables that initially
255 were considered relevant to our investigation.

- 256 • **Broodstock data:** origin of broodstock (river and bar location); temperature, salinity, and
257 pH information (min, max, average) during conditioning.
- 258 • **Spawning data:** stimulus used to initiate spawning, length of time for first female to release
259 eggs, length of time for first male to release sperm, number of oysters used for spawn, sex
260 ratio, % females spawned, average shell height of females in spawn, eggs produced,
261 fecundity (average number of eggs per spawned female); subjective visual assessments:
262 general condition (0 - watery and transparent to 4 - full body filling shell cavity with visible
263 organs); gonadal index (0 - no visible gonadal development to 4 - follicles clearly defined to
264 engorged); egg quality (0 - no eggs to 4 - very well developed); sperm mobility (0 - no
265 sperm to 4 - sperm present and extremely active).
- 266 • **Production data:** total eggs added to tank, age at first tank drain, survival to prodissoconch
267 I (D-hinge), days to first eyed veliger stage, total eyed veligers produced. Additional notes
268 within production data explicitly stated if a batch crashed, was discarded, or combined with
269 another batch because of slow growth.

270 Every spawn and resulting brood were tracked continuously through the entire production process
271 unless it crashed or was combined and mixed with another batch of larvae. For our investigation,
272 we excluded mixed-broods to avoid confounding factors among batches. This enabled us to link
273 hatchery production of larvae back to the specific environment and husbandry under which they
274 were cultured, spawned, and their parents were conditioned.

275 Long-term production data were analyzed for two performance metrics: production yield and
276 production rate. Yield, with its potential range from 0 (crash) to 100% (all eggs produced
277 competent larvae and no mortality observed), described the ability of the hatchery to usher larvae
278 through the production process and was defined as the ratio of outputs to inputs:

279

$$280 \quad \text{Yield (\%)} = (\text{Eyed larvae produced} / \text{Eggs added to tank}) \times 100\% \quad (1)$$

281

282 Production rate was defined as the day at which larvae first reached the pediveliger stage, a
283 production metric routinely recorded by the hatchery. All production data from 2019 were analyzed
284 separately from long-term analysis of production trends at HPLOH attributable to anomalous,
285 persistent crashes observed throughout most of this season.

286 *2.6 Larval developmental assays during 2019 crashes*

287 Complete production failure was observed for approximately 8 consecutive weeks in the middle of
288 the 2019 season (late May to late July), representing the worst season in this facility's history. The
289 hatchery staff conducted 8 larval developmental assays and other water quality analyses to identify
290 drivers of production failure. During each trial, adult oysters were spawned according to standard
291 hatchery protocols, and fertilized eggs were stocked in triplicate in 1,000-L conical tanks at 10
292 larvae per mL. Tanks were drained and cleaned at 24 h post-fertilization and every 2-3 days
293 thereafter. The need to quickly identify crashes and complete lack of production led to most trials
294 being assessed qualitatively as either 'crashed' or 'survived' among treatments within each assay.
295 Daily rations of food consisted of standard, live hatchery diets, which varied in composition over
296 time and were scaled commensurately with culture age (Kuang et al., 2003), unless otherwise
297 noted. Algal food cultures at HPLOH are created from pure stocks of monocultures which are
298 grown in Choptank River water that has been filtered in series through sand filters then 1- μm string

299 cartridge filters, which is then either ozonated (large tanks in greenhouses) or autoclaved (flasks
300 and carboys).

301 The following section describes the purpose and treatments for each assay. Here, we group these
302 assays by common factors (**Table 2**). At the outset of the 2019 production season, low Choptank
303 River salinity (< 50% historic average) was observed, and early-season larval culture was
304 unsuccessful. The HPLOH responded by adding salt to adult conditioning systems and larval
305 production tanks to achieve minimum salinities (10 ppt). When the standard artificial seawater
306 (Crystal Sea®) failed to improve production yield, an assay was developed to examine if larval
307 development could be improved using a regionally-mined, fine-grained sodium chloride (Mix-n-Fine®)
308 (assay 1). Later, it was hypothesized that poor condition of broodstock attributable to abnormally-
309 low salinities during the spring of 2019 might explain poor oogenesis and larval production. To
310 address this, broodstock from saltier portions of the lower Chesapeake Bay (Manokin River; 15-18
311 ppt) were spawned, and resulting larvae were monitored during a larval assay (assay 2). Low river
312 salinity also was suspected to degrade equality/physiology of microalgae fed to larvae. At this time
313 the salinity within the algal system was 6.5. Algal cultures were inspected microscopically for
314 presence of HAB or other undesirable microorganisms by the hatchery algologist, but none were
315 observed. Nevertheless, an assay was developed to examine larval development in response to
316 algae with greater salt content (11 ppt), prefiltering water supplying algal cultures (charcoal or
317 string cartridge filter or ozonation) + algae + salt, and Reed Shellfish Diet® + prefiltered water on
318 larval development were evaluated (assay 3). Furthermore, there was concern that the automated
319 feeding system at HPLOH, which directly pumped food from the greenhouse to algal tanks, may
320 have been a source of contamination. To tease apart these potential competing sources of
321 contamination, hand feeding trials that included a salinity component (6.5 and 11 ppt) were added
322 to this assay (assay 4).

323**Table 2.** Suspected problems and hatchery responses during persistent production failures during the 2019 production season at Horn Point Laboratory. Water filtration
 324assay (5) includes Standard Filtered Water (SFW), Charcoal Filtered Water (CFW); assay 3 includes ozonated water (OZ) as a pre-treatment to algae production.

Assay number	Suspected Problem	Hatchery Response	Result
1	Salt quality?	Larval developmental assay: Mix-n-Fine® Salt vs Crystal Sea®	Crash
2	Broodstock impacted by low salinity?	Larval developmental assay: Obtain broodstock from saltier portion of the bay	Crash
3	Salinity impacting food quality?	Larval developmental assay: algal paste vs algae + salt vs algae + filtered seawater + salt vs DI + salt + algae, OZ water treatment	Crash
4	Automated feeder contaminated	Larval developmental assay: Hand feeding 2L bottles with various diets, salted or unsalted water, Choptank or foreign water (Wachapreague, MD).	Crash
5	Water filtration not sufficient?	Larval developmental assay: SFW vs CFW	Crash
6	Lab contamination?	Larval developmental assays: reciprocal broodstock and larval transfers - VIMS vs HPL	HPL broodstock spawned at VIMS produce competent larvae; Spawning and early larval culture at HPL Problematic, 3-day old larvae from VIMS survive at HPL.
7	Choptank River water bad?	Larval developmental assay: Choptank CFW vs Deal Island CFW	Choptanks CFW: low initial survival (6%) and crashed at day 8 Deal Island CFW: high initial survival, moderate survival (44%) at day 8
8	Chemical contamination in Choptank River?	Water samples sent to MD Spectral laboratory	No harmful chemicals detected
9	Presence of adverse <i>Vibrio</i> spp.?	Water and larval samples sent to USDA for <i>Vibrio</i> plate streaking	No <i>Vibrio</i> detected (highly unusual)
10	Missing beneficial bacteria?	Larval developmental assay: probiotic study using proprietary blend of <i>Bacillus</i> spp.	No significant difference on production compared to control (no dose); Coincidentally, Choptank River salinity naturally increase and crashes ceased.
11	Bacterial community altered among crashed and non-crashed 2018 samples?	Larval development assay: DNA sequencing	Microbiota of the larvae from the crash were statistically dissimilar from those obtained during normal production

326 Various potential sources of larval culture seawater also were examined. The standard filtered
327 water (SFW) supplying larval tanks consisted of Choptank River water passed through sand filters
328 followed by cartridge filters to remove particles >1µm. The first assay compared larval development
329 under SFW to the same water with additional filtration through activated charcoal (CFW) (assay 5).
330 It was thought that one or a combination of these filters would remove harmful algae/bacteria or
331 toxins that may be present in the SFW. Considering the facility may be contaminated from a variety
332 of sources (i.e. water, tanks, food, etc.), reciprocal transfers of broodstock and spawned larvae
333 between HPLOH and the Virginia Institute of Marine Science (VIMS) were performed and tested
334 under a separate assay (assay 6). Concerned that a contaminant was coming in through the water
335 filtration system, water was brought into HPLOH from 50 km south of the hatchery in the mainstem
336 of the bay (Deal Island, MD) (assay 7).

337 For more detailed analysis of water quality, hatchery water was analyzed for chemical
338 contaminants and *Vibrio* pathogens. HPLOH is located in a rural environment and is surrounded by
339 active, large farms (corn, soybean, wheat). Previous reports have indicated that insecticides,
340 herbicides, and fungicides enter into the Choptank River at different times during the farming
341 season, with the highest concentration of herbicides in surface water found between May and
342 August (Kuang et al., 2003). SFW and CFW samples were sent to Maryland Spectral Services
343 (Baltimore, MD) in June of 2019 (assay 8) and analyzed for > 70 semivolatile organics (EPA
344 methods 8270D via GC/MS) and > 20 chlorinated pesticides (EPA 80801B via GC/ECD). The
345 analysis included scans for many persistent organic pollutants consistent with EPA protocols,
346 including pesticides that are long-lived and were typically used by the agriculture industry.

347 To examine for the presence of harmful bacteria, larvae and water samples were sent to USDA,
348 ARS in Dover, DE, for microbial analysis (assay 9). The media used for testing and quantifying
349 *Vibrio* levels was Thiosulfate Citrate Bile Salts Sucrose Agar (TCBS; Difco, BBL brand). This agar
350 inhibits most non-*vibrios* by the inclusion of high salt levels. Many *Vibrio* spp. grow on it, including
351 *V. coralliilyticus* and *V. tubiashii*. For assays, 0.1 mL of water or algal/water sample was spread

352 over the entire surface of a freshly-prepared TCBS plate and incubated overnight at 26°C. For
353 larval samples, a few hundred larvae were crushed in an empty petri dish followed by addition of
354 0.1 mL of sterile seawater, and the entire volume was tested by spread plating on the TCBS agar
355 similarly to water and algal samples.

356 Toward the end of the failure period, the hatchery staff attempted to use a blend of proprietary
357 *Bacillus* spp. probiotics (Quality Bacteria Laboratories; St. Louis, MO) to improve water quality
358 (assay 10). Uncertain of how to adapt the use of probiotics to ameliorate culture conditions within
359 tanks, the standard dose (8 g/m³), ½ dose (4 g/m³), 2x dose (16 g/m³), and a control (0 g/m³) were
360 evaluated for their effects during larval developmental assays. Although larval samples from
361 crashed and non-crashed cultures were not preserved from the 2019 production season, similar
362 samples were archived from 2018 during larval diet studies at HPLOH using larvae produced from
363 wild broodstock and two selectively bred lines (LOLA and NEH). Here we present the microbial
364 community structure associated with larvae from crashed samples (acute mortality of larval
365 experimental and control treatments) and non-crashed samples (high survival of all larvae) (assay
366 11). Both studies were designed initially to study the effects of starvation on larval gene expression
367 of several strains, so the differences in crashed and non-crashed samples were compared to the
368 differences between strains (wild and selectively bred lines), and between fed and non-fed larvae,
369 and across three replicate treatments per group. Crashed and non-crashed microbial communities
370 were analyzed using amplicon sequencing of the 16S and 18S ribosomal RNA Gene
371 (Supplemental Materials).

372

373 *2.7 Statistical analysis*

374 Several parametric statistical tests, including linear and non-linear regression, analysis of variance
375 (ANOVA) and t-tests, were used to examine general aspects of interannual hatchery production
376 and failures. Assumptions of normality and homoscedasticity were checked using Shapiro-Wilk's

377 test and Levene's test, respectively. Regression models were selected using the lowest AICc
378 values.

379 Detailed production analysis was performed using regression trees. A total of 20 variables were
380 considered as predictors of hatchery production yield and hatchery production rate (**Table 3**).
381 These factors cover the full range of production from broodstock collection, conditioning, spawning,
382 and larval culture. Furthermore, water quality conditions found in the main stem of the Chesapeake
383 Bay at the Goose Reef Buoy was also considered. Although a total of 497 un-mixed broods were
384 cultured to competency during 2011-2020 production seasons (2019 season data excluded), a
385 total number of 144 broods were available for most of the analysis as there were incomplete
386 records for some of the variables. When some of the variables were removed from the model as
387 not statistically significant, the sample size was reassessed to include additional observations, with
388 complete records for the variables remaining in the model.

389 To study the effects of these variables in a data-driven way, potentially incorporating nonlinearities
390 and interactions or combined effects of the variables, and to identify important predictors of the
391 crashes, we applied a machine learning method termed "random forest" (Breiman, 2001). The
392 basic element of random forest is a classification and regression tree (Breiman et al., 1984)
393 (CART), which recursively partitions the records of hatchery efficiency into homogeneous subsets,
394 using values of the predictors. R package *ranger* was used to apply the random forest methods
395 (Wright et al., 2020), and the Boruta algorithm was used to select relevant predictors (Kursa and
396 Rudnicki, 2010, 2020, Degenhardt et al., 2019). Predictive performance of individual CARTs and
397 random forests was evaluated using 10-fold cross-validation, which was repeated 20 times for
398 enhanced stability of the results (i.e., accuracy measures of model predictions were calculated 200
399 times on random hold-out data, then averaged).

400
401

Table 3. Factors considered during CART and Regression Trees analysis. Significant predictors are listed in decreasing order of importance from top to bottom. Quadrant 1 refers to the relationship of production yield over production rate (Fig. 7c) when the hatchery is most efficient.

Predictors of Production			Significant Predictors		
Production Stage	All factors considered	Units or condition	Production Yield	Production Rate	Quadrant 1
Broodstock Collection	Year Broodstock Collected	year	Week of Year	Average Conditioning pH	Survival to D-hidge
	Broodstock Source Location	oyster bar name	Survival to D-hidge	Broodstock Source Location	Avg. Conditioning Salinity
	River System of Collected Broodstock	river name	GRB Oxygen	Survival to D-hidge	GRB Oxygen
Conditioning	Avg. Conditioning Salinity	ppt	GRB Chlorophyll	Tank Buffering	Average Conditioning pH
	Avg. Conditioning Temperature	C	GRB Turbidity	Avg. Shell Height of Females	GRB Temperature
	Average Conditioning pH	NBS units	Fecundity	Avg. Shell Height of Males	Year Broodstock Collected
	Stimulation Used	yes or no	Year Broodstock Collected	GRB Temperature	GRB Oxygen
Spawning	Sex Exposed to Stimulant	male or female	Avg. Conditioning Salinity		Week of Year
	Avg. Shell Height of Males	mm	Gonadal Index		Fecundity
	Avg. Shell Height of Females	mm	GRB Temperature		GRB Salinity
	Fecundity	millions of eggs/female	Avg. Shell Height of Males		Tank Buffering
Larval Culture	Gonadal Index	1 to 4	Avg. Shell Height of Females		Avg. Shell Height of Females
	Week of Year	week	Average Conditioning pH		
	Survival to D-hidge	%	River System of Collected Broodstock		
Environmental Data	Tank Buffering	buffered/unbuffered	GRB Salinity		
	GRB Oxygen	mg/l	Avg. Conditioning Temperature		
	GRB Turbidity	NTU			
	GRB Salinity	ppt			
	GRB Chlorophyll	µg/l			
	GRB Temperature	C			

402

403 Microbial community structure was compared between the crash and non-crash studies and
404 treatments therein by applying redundancy analysis to determine if samples differed significantly
405 between the crash and non-crash study and to compare these differences to those driven by larval
406 strain and feeding status (fed vs starved).

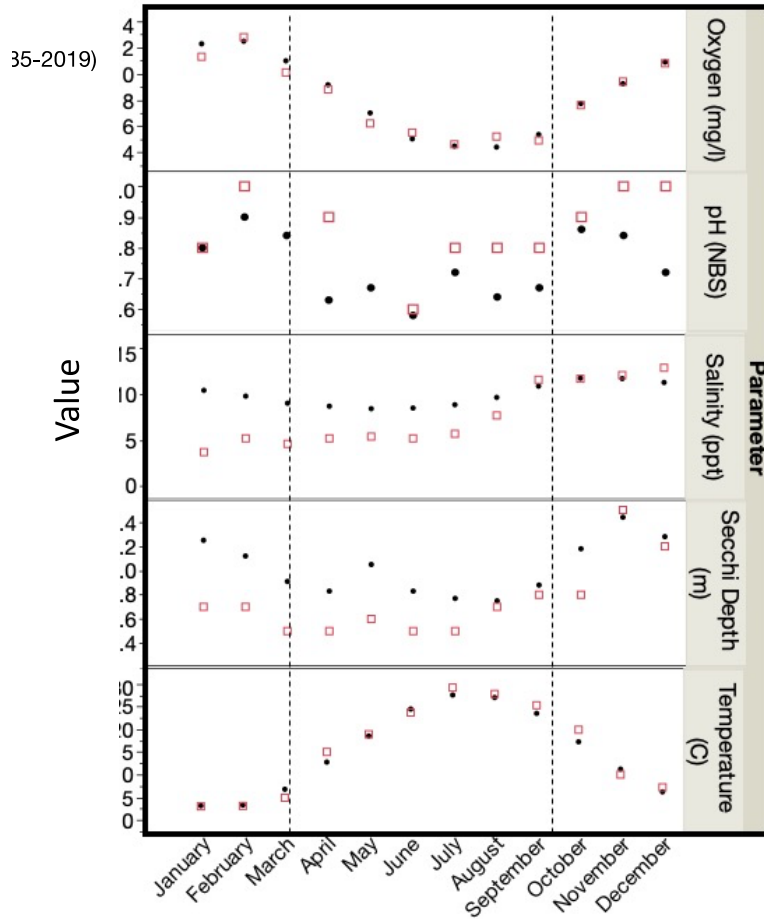
407

408 **3.1 Results**

409 *3.2 Water quality conditions in the Choptank River*

410 HPLOH is located in the mesohaline portion of the Chesapeake Bay; therefore, we thought it was
411 important to first report on the conditions found in the Choptank River to orient readers that are
412 used to working in more marine environments that have less water quality variability and greater
413 carbonate buffering.

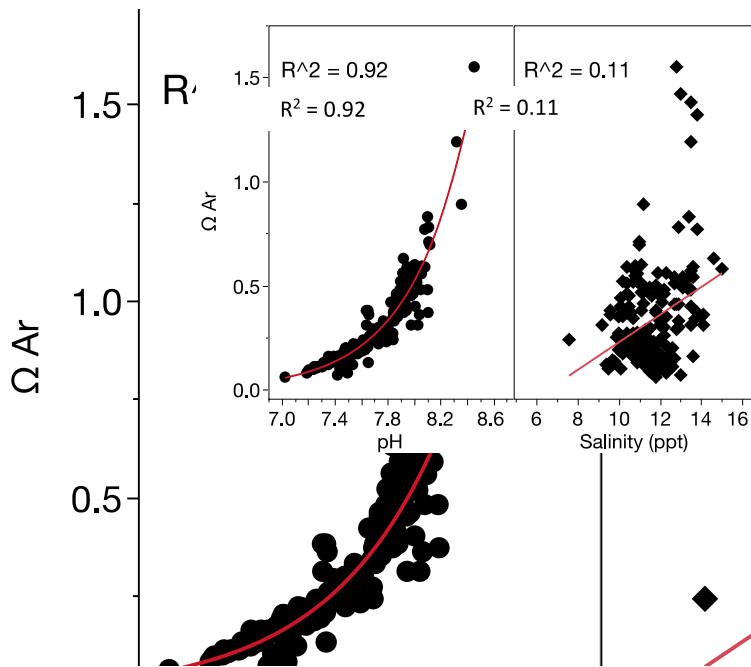
414 Water quality variables (oxygen, pH, salinity, Secchi depth, and temperature) varied greatly over
415 seasonal scales (**Fig. 4**). Here we report on the range of means spanning > 30 years of water
416 quality monitoring on the Choptank River (MDNR Station ET5.2) adjacent to the hatchery. Average
417 (standard deviation) oxygen, pH, salinity, Secchi depth, and temperature were 8.25 (3.02) mg/L,
418 7.74 (0.11) units, 9.93 (1.25) ppt, 1.02 (0.22) m, 15.1 (9.16) °C, respectively.



419

420 **Figure 4.** Monthly average (1986-2020) water quality conditions in Choptank River, MD (filled circles) and
 421 similar water quality data from 2019 (open squares). Hatchery season represented as area between dashed
 422 lines. No differences among y-axis units. Data source: Maryland Dept. of Natural Resources station ET5.2
 423

424 Average alkalinity measured at HPLOH between 2016-2020 was 825.70 (110.36) ueq/L. The
 425 average Ω_{ar} was 0.33 (SD:0.24). A strong non-linear relationship between river water Ω_{ar} and pH
 426 was observed ($R^2 = 0.92$), while a weaker linear relationship was found between Ω_{ar} and salinity
 427 ($R^2 = 0.11$; **Fig. 5**).



428

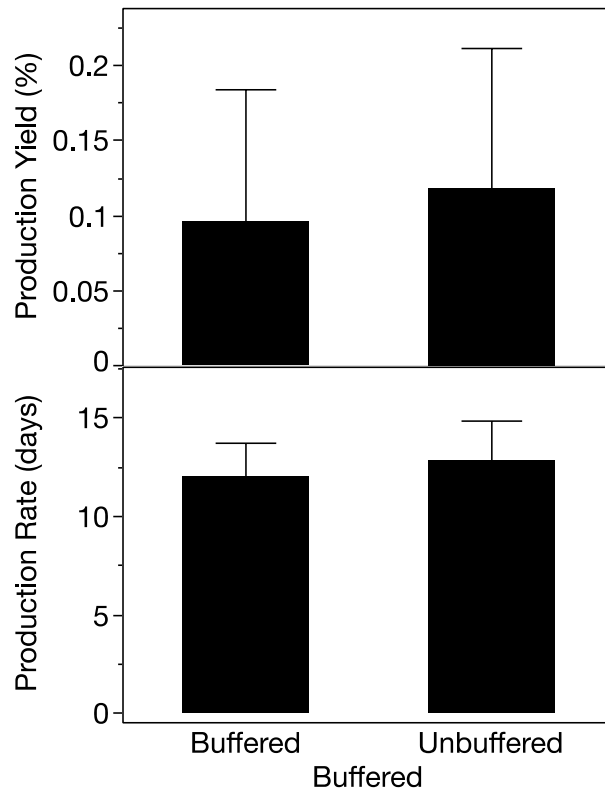
429 **Figure 5.** Distribution of aragonite saturation state at HPL between 2016-2020 and outlier box plot. Inset:
 430 aragonite saturation state at HPL over pH and salinity from discrete measurements in HPL hatchery during the
 431 production seasons from 2016-2020. Data excludes 2019 production season.
 432

433 *HPLOH production trends (2011-2020)*

434 Production has, until recently, trended positively at HPLOH since 2011 and the hatchery has
 435 reliably produced millions if not billions of spat on shell annually in recent history. For example,
 436 HPLOH consistently produced more than 500 million spat-on-shell since 2011 up until 2019.
 437 Maximal production occurred in 2017, with 1.784 billion spat-on-shell produced. Spat production
 438 trends have been driven by federally-supported oyster restoration in the Chesapeake Bay.

439 To better understand inter-annual variation in production yield, we examined average efficiency on
 440 several time scales. HPLOH hatchery production yield of eyed-larvae between 2011 and 2020
 441 varied from 0% to 56%. Average production yield during this period was 10.7% (std dev = 9.2%).
 442 Average annual production yield (ANOVA $F_{8,486} = 10.47$, p-value < 0.0001) and production rate
 443 (ANOVA $F_{8,412} = 9.02$, p-value < 0.0001) varied significantly across all seasons; however, there
 444 were no clear trends in production yield or rate over time.

445 The effect of manipulating carbonate chemistry was examined by comparing the average
 446 production yield and production rate after the hatchery began buffering larval tanks (2016-2020;
 447 excluding 2019) to the four previous years (2013-2015) (**Fig. 6a**). A small but significant reduction
 448 in yield was detected between buffered (9.6%) and unbuffered (11.8%) batches ($t(447) = 2.68$, p -
 449 value = 0.004). Production rates of buffered batches were found to be significantly quicker (12.02
 450 days) than earlier unbuffered batches (12.97 days) ($t(298) = 4.49$, p -value < 0.001) (**Fig. 6b**).



451

452 **Figure 6.** Production yield and production rate at HPL hatchery among unbuffered (2011-2015) and buffered
 453 batches (2016-2020). Asterisk indicates significant greater means. 2019 production rate data were excluded
 454 from the buffered pooled mean.
 455

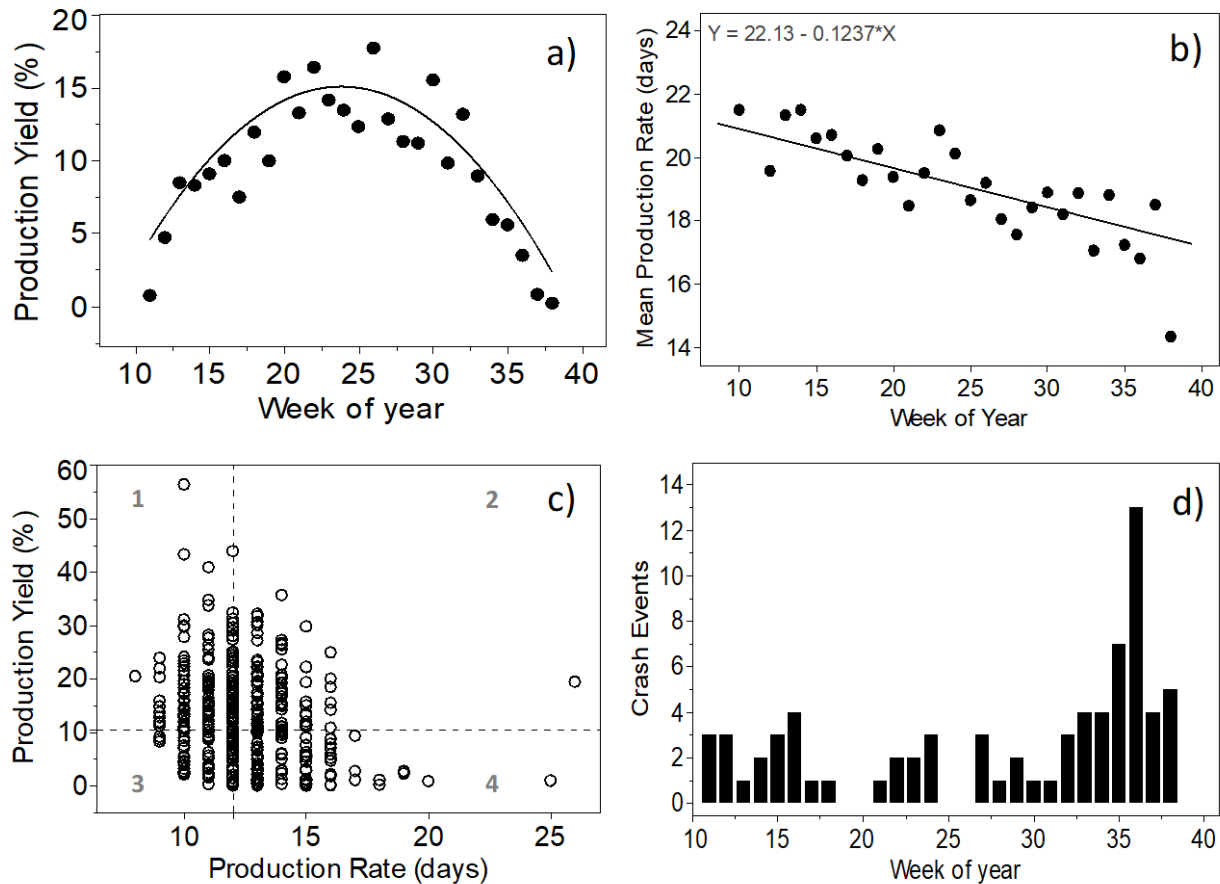
456 Next, seasonal trends were explored statistically. Aggregating data among all seasons, the
 457 following quadratic function relates mean production yield to the week of the year:

458
$$Yield (\%) = a + b \times W + c \times W^2 \quad (2)$$

459 where a , b , and c are coefficients (estimated for weekly average data as -0.16, 0.024, and -0.0005,
460 respectively) and W is the week of the year. This nonlinear function explained much of the variance
461 in weekly average production yield over time ($R^2 = 0.70$, **Fig. 7a**). Mean production rate was found
462 to decline significantly and linearly across the production season ($F_{1,27} = 53.76$ p-value < 0.0001;
463 $R^2 = 0.67$; **Fig. 7b**). Additionally, mean production rate was nonlinearly and significantly predictive
464 of production yield with the following quadratic function:

$$465 \quad \text{Yield (\%)} = a + b \times PR + c \times PR^2 \quad (3),$$

466 where a , b , and c are coefficients (estimated for weekly average data as -1.43, 0.15, and -0.003,
467 respectively) and PR is the production rate in days ($R^2 = 0.29$, **Fig. 7c**). Hatchery crashes were
468 found to have occurred fairly evenly throughout the 2011-2020 production seasons. Crashes were,
469 however, most common in the 35th and 36th week of the year, which corresponds typically to
470 either the last week of August or first week of September (**Fig. 7d**).



471

472 **Figure 7.** a) Average hatchery production yield over the week of the year; b) mean production rate over week
 473 of year; c) mean production yield over production rate divided among four quadrants separated by median
 474 values (dashed lines); d) number of crash events per week of the year. All data are derived from long-term
 475 (2010-2020) hatchery production data but exclude 2019 data.

476

477 3.3 Drivers of production yield and production rate

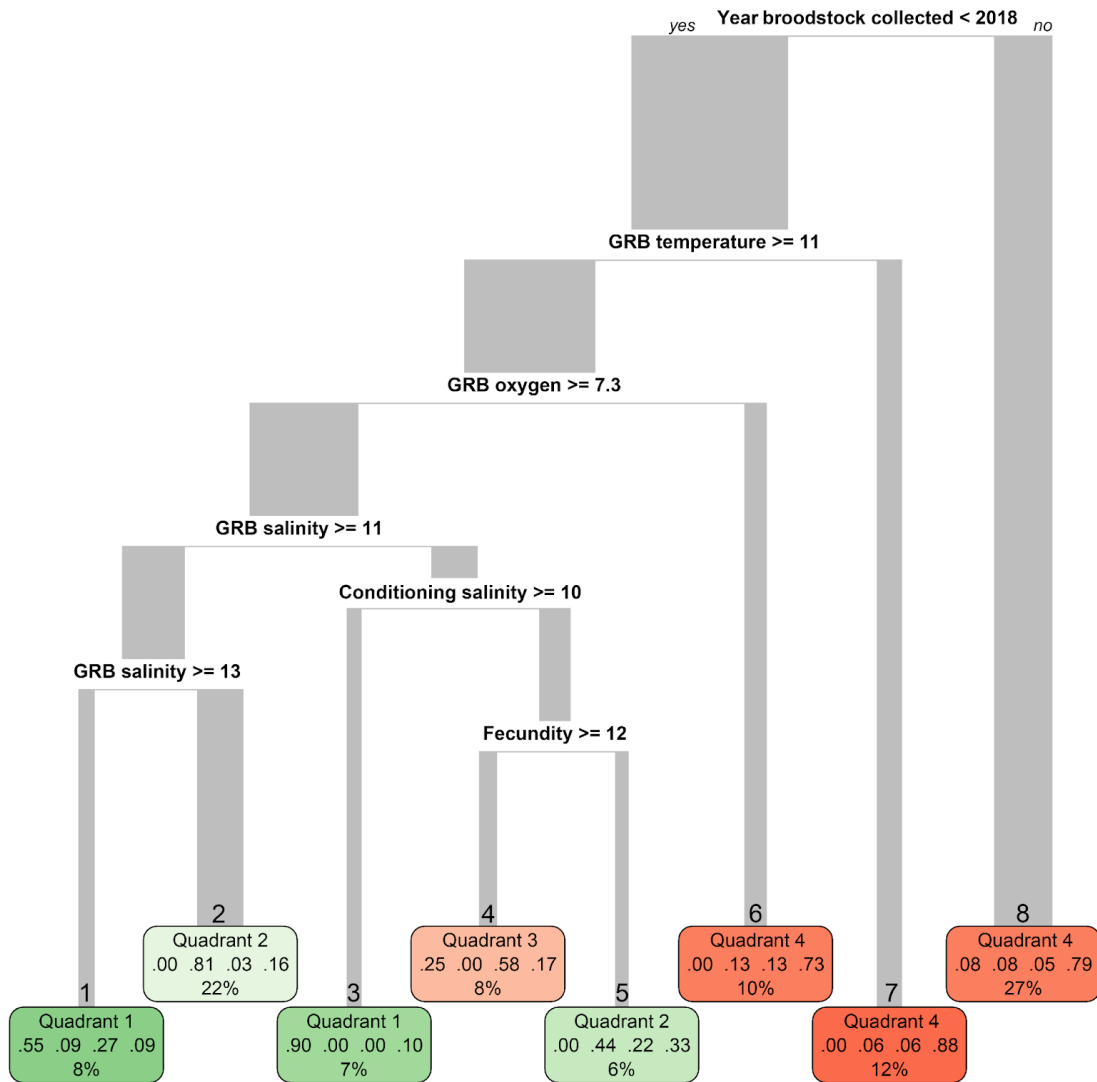
478 For predicting hatchery yield, a Boruta algorithm retained 16 of the 20 potential predictors (**Table**
 479 **3**) as statistically significant (the following categorical variables were removed: the source name,
 480 stimulation and its type, and whether the tank was buffered). When tested on out-of-sample data in
 481 the cross-validation study, random forest achieved $R^2 = 0.54$, root mean squared error RMSE =
 482 6.6%, and mean absolute error MAE = 5.0%. For comparison, predictive performance of a CART
 483 was worse than of random forest, with the $R^2 = 0.36$ being lower and errors being higher (RMSE =
 484 8.0%, MAE = 5.7%). The final random forest for yield was trained on 177 observations and ranked

485 the top-3 predictors in order of decreasing importance as follows: 1) Week of year, 2) Percent
486 survival of eggs to D-hinge, 3) GRB oxygen.

487 For predicting production rate, the same 20 predictors were considered, from which only 7 were
488 retained by Boruta as statistically significant. The cross-validation study provided $R^2 = 0.19$, RMSE
489 = 1.8 days, and MAE = 1.4 days for the random forest. The final model was trained on 225
490 observations and ranked the predictors as follows: 1) Average conditioning pH, 2) Broodstock
491 source location, 3) Survival of eggs to D-hinge, 4) Tank buffering, 5) Average shell height of
492 females, 6) Average shell height of males, and 7) GRB temperature (**Table 3**).

493 Lastly, we examined how these same 20 factors influenced the distribution of the data when larval
494 yield is regressed over production rate. The relationship between yield and rate can help describe
495 production efficiency at the hatchery scale. Ideally, from a manager's perspective, high yielding
496 broods that are produced quickly most efficiently use fewer resources and are least expensive to
497 produce (**Fig. 7c** quadrant 1). Conversely, low-yielding broods that grow slowly are the most
498 expensive to produce (**Fig. 7c** quadrant 4). Boruta algorithm retained 12 variables as important
499 factors. In the cross-validation study, random forest with these factors showed accuracy 60.2% and
500 average F1 score 0.65. The final random forest model was trained on 148 observations and
501 reported predictors in order of decreasing importance: 1) Survival of eggs to D-hinge, 2) Average
502 conditioning salinity, 3) GRB turbidity, 4) Average conditioning pH, 5) GRB temperature, 6) Year of
503 broodstock, 7) GRB oxygen, 8) Week of year, 9) Fecundity, 10) GRB salinity, 11) Tank Buffering,
504 and 12) Average shell height of spawning females (**Table 3**). Predictive performance of a single
505 CART was again lower than of random forest, with cross-validated accuracy 56.5% and average
506 F1 score of 0.62. Despite lower accuracy, visual analysis of the classification tree (**Fig. 8**) aids in
507 understanding the conditions under which broods end up in one of the four quadrants of hatchery
508 efficiency shown in Figure 7c. For example, broods produced from broodstock collected in 2018
509 and later (right branch with the terminal node 8, corresponds to 27% of the analyzed sample), had
510 the greatest probability, 79%, of appearing in quadrant 4 (i.e. low hatchery efficiency), while the

511 likelihood of them falling in quadrants 1-3 were just 8%, 8%, and 5%, respectively. For broodstock
 512 collected before 2018 (large left branch), water quality variables and fecundity allowed further
 513 separation of observations. For example, both nodes 1 and 3 correspond to quadrant 1 and
 514 represent a similar share of the sample data (8% and 7%), but the observed probability of quadrant
 515 1 is higher in node 3 (90% vs. 55% in node 1). Node 3 corresponds to high salinity conditions both
 516 for broodstock and larvae, while node 1 is formed only considering the larvae conditions.



517

518 **Figure 8.** A classification tree separating the observed data (top, starting with all $n = 144$ or 100% of data) by
 519 the values of the predictor variables. Branch widths are proportional to the number of observations. The
 520 terminal nodes of the tree are numbered and show the predicted quadrant, the predicted probability of each
 521 quadrant (color ranges from bright and pale green for quadrants 1 and 2 to pale and bright red for quadrants
 522 3 and 4), and the node size expressed as percentage out of n .

523 Note that the relative importance of factors in CART may differ from the order the factors were
524 used in the tree (**Fig. 8**) and from the importance ranking provided by random forest. Variable
525 importance in CART was quantified by the reduction of the node impurity the variable was able to
526 provide (in classification tasks, node impurity is usually measured using Gini index), and although
527 year of the broodstock was considered a good factor to start the data splitting, other factors
528 provided more substantial reductions of the node impurity, leading to more homogeneous terminal
529 nodes. In the CART in **Fig. 8**, the top-3 important variables were average salinity for the
530 broodstock and larvae, and week of year, and year of the broodstock was ranked sixth. In random
531 forests, importance of variables was quantified differently, as the increase of a tree's prediction
532 error when values of one of the variables was randomly permuted. Such evaluation was done on
533 out-of-bag data that were not used for constructing the tree, then the error metrics were averaged
534 across all trees. Also, because each tree in a random forest was trained on a slightly different
535 (bootstrapped) sample of the data, the random forest results provide a wider range of situations
536 arising from the same sample, with some observations being randomly removed or included
537 several times in a bootstrap sample. Hence, random forest results and specifically rankings of the
538 variable importance were more robust than those of CART.

539

540 *3.4 Water quality during the 2019 production season*

541 There were several water quality variables that varied significantly during the 2019 production
542 season. Student's t-tests on each variable determined significantly lower annual mean values for
543 salinity ($t_{1,23}$ p-value = 0.0375) and Secchi depth ($t_{1,23}$ p-value = 0.0216) during the 2019 season
544 compared to those from long-term average (1986-2020).

545

546 *3.5 Larval assays to identify 2019 crash sources*

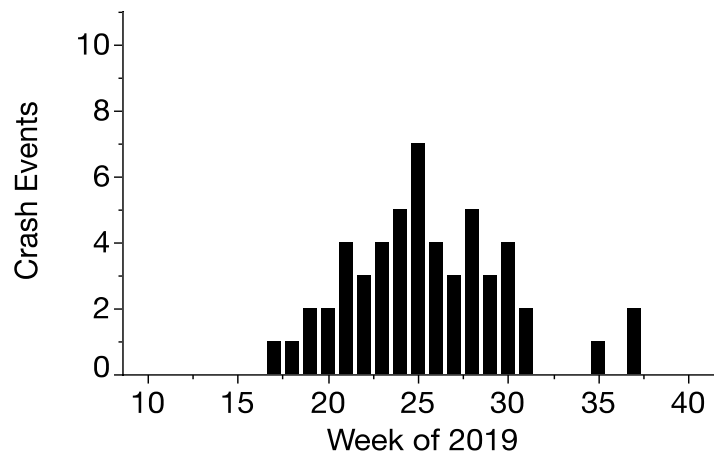
547 All treatments from larval developmental assays examining the effects of salt supplementation
548 (assay 1), salinity effects on food quality (assay 3), automatic algae feeding system (AAFS)
549 contamination (assay 4), water filtration efficacy (assay 5) were found to have crashed upon
550 inspection of tanks on the first drain (**Table 2**).

551 Other larval assays provided mixed results. Importing broodstock from Manokin did not permit
552 production to resume readily (assay 2). During reciprocal transfer larval assays (assay 6), it was
553 determined that HPLOH broodstock were successfully spawned at VIMS and their resulting larvae
554 could be reared satisfactorily on this institute's water and algae. HPLOH-spawned larvae
555 transferred to VIMS were not successfully transported and crashed quickly after arriving at the
556 facility. Portions of this same cohort that were left behind at HPLOH, crashed at HPLOH on day 5
557 post-fertilization. Conversely, 3-day-old larvae that were spawned at VIMS, shipped to HPLOH,
558 reared in CFW, held at salinity of 11 ppt, and fed from the AAFS were raised to settlement. Larvae
559 reared in the foreign water hatched and developed to a greater extent than those reared in
560 standard FSW (assay 7); however, this assay was terminated early as larvae reared in Choptank
561 CFW water crashed by the third tank drain (approximately 6 days post-fertilization).

562 Outsourced water quality, bacterial analysis, and attempts to improve water quality through
563 probiotics were met with interesting yet puzzling results. Spectral analysis of Choptank River water
564 and FSW did not detect any harmful chemicals (assay 8), indicating no apparent chemical
565 contamination. *Vibrio* analysis did not detect any known harmful *Vibrio* species (assay 9); in fact,
566 no *vibrios* were detected at all. During the course of the probiotics investigation (assay 10), salinity
567 in the Choptank River had increased to > 10 ppt and larvae within all treatments reached the eyed
568 stage at 14 days post-fertilization, rendering the need for probiotics obsolete. The 2x dose of
569 probiotics, however, produced significantly more larvae (2.23 larvae million) than the ½ dose
570 treatment (1.57 million larvae) and was similar to normal dose (1.82 million larvae) and control
571 treatments (1.94 million larvae) by the end of the 14-day study (ANOVA $F_{3,9} = 7.77$ p-value =
572 0.0172). As Choptank River salinity exceeded the 12 ppt threshold at this time, production

573 inexplicably resumed and production yields increased markedly (**Fig. 9a**) without revealing
574 precisely what had been driving crashes throughout the summer (**Fig. 9b**). Once production
575 resumed, the hatchery staff extended the season as long as possible and applied greater effort to
576 recover from production loss. As a result, HPLOH produced a total of 962 million eyed larvae in
577 waning time left of the season.

578



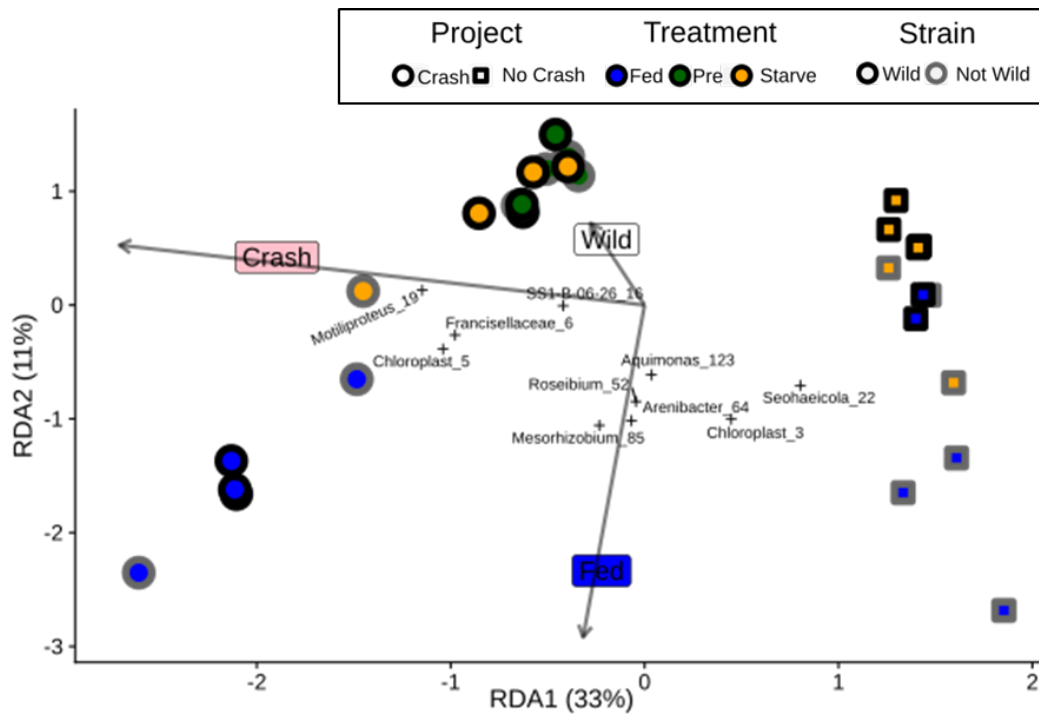
579

580 **Figure 9.** a) Average hatchery production efficiency and b) number of crash events per week over week of
581 the year from 2019 season.

582

583 Significant differences in the microbial communities were observed between crashed and non-
584 crashed cultures (assay 11). Redundancy analysis suggested that the species level community

585 structure variability was statistically significantly related to whether the samples were from the
 586 "good" or "crash" project, with 34% of the variance in community structure explained by project ($p <$
 587 0.001). Another 16% of the variability was related to whether the larvae were fed, rather than
 588 starved ($p < 0.001$). The pre-treatment time-point appeared to be similar to the "starved" treatment
 589 in the crash project, so for analysis purposes, the pretreatment and starved groups were
 590 combined. The microbial community structure did not significantly relate to the larval strain ($p =$
 591 0.08), with strain explaining only 4% of the variance. A remaining 45% of the variance in microbial
 592 community structure between samples did not appear related to any of our variables (**Fig. 10**).



593

594 **Figure 10.** Visualization of the redundancy analysis that compared the relationship between project (Crash vs
 595 non-crash), treatment (fed vs starved and pretreatment), and strain (wild vs LOLA (non-crash project) or NEH
 596 (crash project)). Large points correspond to tank samples, with shape corresponding to project, border color
 597 corresponding to strain, fill color relating to treatment. Samples with points closer together are more similar.
 598 The axes indicate the position of each point along each RDA axis returned by the analysis. Vectors indicate
 599 gradients on the ordination plot that represent samples that are more typical of the different treatments. For
 600 instance, Crash samples generally are lower along RDA1, but non-crash are higher along RDA1. + signs
 601 indicate how different ASVs relate to the project, strain and treatment predictor variables. The positions of the
 602 points correspond to the different treatments, with points in the direction of a given vector more typical of that
 603 predictor variable. Thus, ASVs with points in the upper left-hand quadrant are more commonly found in crash
 604 samples. Only the ten ASVs with distance farthest from the origin are shown. Many others are related to
 605 variables of interest.

606 Because so much of the variance in microbial community structure was associated with our
607 variables of interest, it is not possible to identify particular ASVs that predict the variables of
608 interest. Rather, general linear mixed models showed that when allowing for treatment and strain
609 to be excluded as random effects, 155 of 446 observed (63%) of the ASVs were statistically
610 significantly related to "Project" ($P < 0.01$). Similarly, when holding project and strain as random
611 effects, 31% of the ASVs were related to "Treatment" (fed vs starved only) ($P < 0.01$).

612 No ASV from the *Vibrio* genus occurred frequently enough to pass quality filtration cutoffs.

613 Although some vibrios were occasionally quantified at very low amplicon copy numbers in some
614 samples, none were present consistently across replicates in any group, and none were present at
615 least twice in 20% of our samples; therefore, they were excluded from our analysis.

616

617 **4.1 Discussion**

618 Detailed analysis of shellfish hatchery production trends is not common in the research literature
619 as many hatcheries are private entities and may not want to publicize their production process or
620 production problems for competitive reasons. Our disclosure of hatchery production problems
621 across the network of Atlantic research hatcheries contrasts this sentiment as it was our intention
622 to highlight crashes as an important topic of future investigation to bolster shellfish aquaculture.

623 Another major objective of this research was to share long-term hatchery production trends of a
624 mature hatchery staffed with seasoned personnel that have kept exceptional records of the
625 production process. Our analysis revealed quantitative information about the 'when and how'
626 HPLOH production was most efficient, which can be used in the future to improve hatchery
627 performance and move closer towards optimization.

628

629

630

631 4.2 Study site, water quality and larval production at HPLOH

632 The HPLOH resides in the mesohaline portion of the Chesapeake Bay, which experiences water
633 quality characteristics, such as salinities (10 ppt), pH (7.7), and Ω_{ar} (0.33), that would be
634 considered adverse to larval production. The low Ω_{ar} in the Choptank River is attributable to a
635 combination of eutrophication, microbial-respired primary production, and low salinity (Kemp et al.,
636 2005). Laboratory studies have shown clearly that the calcification rates of juvenile *C. virginica*
637 from the mesohaline portion of Chesapeake Bay decline with pH (Waldbusser et al., 2010). From
638 an aquaculture perspective, the carbonate chemistry found regularly in the Choptank River
639 represents extreme values most hatcheries would try to avoid. For example, Whiskey Creek
640 Shellfish Hatchery (Netarts Bay, OR) found that 53% of relative production of *Crassostrea gigas*
641 was correlated to Ω_{ar} that larvae experienced during the first 24 hours of production and a Ω_{ar} >
642 1.75 was needed for positive growth of the production brood. Conversely, the average Ω_{ar} at
643 HPLOH (0.33) would elicit 100% production failure at Whiskey Creek (Barton et al., 2012),
644 demonstrating that carbonate chemistry thresholds for hatchery production are likely regionally-
645 and species-dependent. Historically, however, the HPLOH conditioned, spawned, and cultivated
646 larvae in undersaturated river water regularly without aid of any additional carbonate buffering.
647 Based upon the growing body of evidence that larvae development and growth responds to Ω_{ar} ,
648 the hatchery has used Na_2CO_3 since 2016 to improve larval development. Interestingly, we only
649 detected a moderate improvement in the rate of production attributable to buffering and actually
650 detected a small but significant increase in yield when batches were unbuffered. (**Fig. 6**).

651 Our study suggests that hatchery production of *C. virginica* can be maintained despite the low
652 alkalinity and relatively acidified conditions found in the mesohaline portion of the Chesapeake
653 Bay. It is not clear, however, how production at HPLOH has overcome low Ω_{ar} to achieve reliable
654 production of *C. virginica*. Acidification stress imposes energetic burdens on numerous processes
655 of the developing larvae, including homeostasis, calcification, and development (Gray et al., 2017;
656 Kurihara, 2008; Waldbusser et al., 2015b). The high-quality and high-volume diets that larvae

657 receive in hatcheries may allow them to cope with energetic costs of undersaturated carbonate
658 conditions (Gibbs et al. 2021; Thomsen et al 2013). Alternatively, other evolutionary factors may be
659 at play. Some oysters in Chesapeake Bay may be more adapted to conditions of coastal
660 acidification. Broodstock for the HPLOH is collected from local tributaries fed by the undersaturated
661 water of the Choptank. Yet, unpublished spatfall data from Maryland Dept. of Natural Resources
662 show these same tributaries (Harris Creek and Broad Creek) have experienced consistent, albeit
663 irregular, recruitment over the past 34 years. In general, others have found populations naturally
664 exposed to low pH/low Ω_{ar} may be much more adapted to fluctuating or extreme acidification
665 stress than populations from more buffered and stable environments (Pansch et al., 2014). The
666 Pacific oyster *C. gigas* has repeatedly shown vulnerability to low pH/low Ω_{ar} (Kurihara et al., 2007;
667 Timmins-Schiffman et al., 2013; Waldbusser et al., 2015a), but populations from the Yellow Sea
668 which are exposed seasonally to pH values between 7.7 to 7.4 appear resistant to acidification
669 stress at larval and post-larval stages (Ginger et al., 2013). Similarly, in short-term studies, *C.*
670 *virginica* that originated from Saint-Simon Bay (Brunswick, Canada) with natural acidification,
671 produced larvae with greater survival under acidified conditions (pH = 7.46) using standard
672 hatchery protocols (Clements et al., 2020).

673 Cultivating larvae in the mesohaline portion of the Chesapeake Bay provides both advantages and
674 disadvantages not found in saltier regions of this estuary. For one, the low salinity waters are
675 thought to have lower annual recruitment but also represent a refuge from some forms of disease
676 pressure (MSX and Dermo) (Mann and Powell, 2007; Mccollough et al., 2007). Although adult
677 oysters grow rapidly in the highly productive waters of the Choptank River, salinity can drop rapidly
678 with intense or prolonged rainfall, pushing salinity well below the threshold where adults will not
679 actively feed and grow (~5 ppt) (Casas et al., 2018). For Maryland, 2018 was the wettest year on
680 record (Hopkins et al., 2020). It is likely that the low salinity in the fall of 2018 and first half of 2019
681 greatly reduced the overwintering condition and gonadal development of broodstock used in the
682 2019 production season.

683 4.3 Experimental larval assays during persistent crashes

684 The subsequent series of larval assays provided little explanation as to what environmental
685 insult(s) drove the persistent crashes at HPLOH throughout most of the 2019 production season.
686 Indeed, the only conclusion was that failed production was more complicated than a simple salinity
687 issue (**Table 2**). Factors that were also ruled out include salinity negatively affecting food, artificial
688 salt quality, automated feeder contamination, pesticides/toxicology, and broodstock quality. Indeed,
689 reciprocal transfer experiments revealed broodstock derived from HPLOH could produce
690 competent larvae when reared at another facility. Conversely, 3-day old larvae brought to and
691 reared at HPLOH were able to develop. These data suggest the culprit driving crashes at HPLOH
692 was most lethal when larvae were exposed to it during the first hours or days after fertilization (the
693 trochophore stage through prodissiconch I). Previous toxicological studies as well as other
694 hatchery production studies mentioned above have confirmed this early, unshelled larval life-stage
695 to be most vulnerable to environmental perturbations (Barton et al., 2012; His et al., 1999; Ragg et
696 al., 2019).

697 Although some assays enabled us to rule out certain suspects and identify important windows of
698 exposure to insults, others left us with more questions than answers. Interestingly, hatchery water
699 and cultured larvae that were assayed for *Vibrio* spp., which may cause hatchery production failure
700 (Prado et al., 2005; Richards et al., 2015; Sugumar et al., 1998; Ushijima et al., 2018), came back
701 not only negative for harmful *Vibrio* spp. (e.g., *V. tubiashii* or *V. coralliilyticus*) but also revealed the
702 absence of all *Vibrio* spp detectable on this agar medium. Such an outcome is highly unusual,
703 especially during periods of major mortalities and suggests that whatever was suppressing larval
704 growth may have also suppressed growth of *Vibrio* spp. One *Vibrio* that does not grow well on
705 TCBS agar is *V. hollisae*, which can also infect shellfish, so this species could have influenced
706 mortalities (Gary Richards – US Dept. of Agriculture, personal communication). Vibrios, however,
707 are not the only bacterial pathogens that can elicit larval oyster mortalities, so other pathogens
708 should be considered in future investigations. For example, the Ostreid herpesvirus 1, a highly

709 virulent pathogen of the Pacific oyster can also infect and kill multiple bivalve species (Arzul et al.,
710 2017); only one study to date, conducted in 2002 has tested for OsHV-1 in larval *C. virginica*
711 (Burge et al., 2017; Friedman et al., 2005). Comorbidity from suboptimal culture conditions
712 combined with bacterial processes represents a new and important avenue worth exploring. Coffin
713 et al. (2021) recently found endogenous, exploitative bacteria can accelerate mortality of bivalves
714 under adverse culture environmental conditions.

715 Experimental assays conducted to examine some of the more common factors thought to influence
716 production were neither comprehensive nor exhaustive. For example, we considered low-salinity
717 harmful algal species (e.g. *Prorocentrum minimum* and *Karlodinium veneficum*) or the toxic
718 chemicals they exude could have compromised food quality or larval production. HAB events
719 commonly overlap spatially and temporally with the oyster spawning season (Glibert et al., 2007; Li
720 et al., 2015), have been increasing in frequency in Chesapeake Bay, and pose a threat to
721 environmental health and aquatic life (Li et al., 2015), including early-life stages of *C. virginica*
722 (Brownlee et al., 2008; Glibert et al., 2007). We did not, however, explicitly test for the presence of
723 these species or substances. Rather, we simply examined how various filtration systems (sand
724 filtration, ozonation, activated charcoal, etc.), thought to be capable of removing the HAB species
725 and their organic chemicals (Falconer et al., 1989; Gonçalves and Gagnon, 2011; Newcombe and
726 Nicholson, 2004), modified culture production. Additionally, we explored if harmful algae could
727 have contaminated HPLOH algal cultures, which are supplied with sand-filtered (effective filtration
728 of ~50 µm particles) river water, by comparing growth and survival rates of larvae fed standard
729 diets versus live algal diets from pure cultures diluted with pre-filtered river water or algal pastes.
730 As no filtration system improved production outcomes, we assumed HABs were not present and
731 did not interfere with larval production. Additionally, contamination of algal stocks with HABs would
732 likely have been recognized by the HPLOH algologist, who monitors and maintains cultures. We
733 recognize this is an incomplete analysis, but even large research hatcheries, such as HPLOH,

734 have limited resources that at times limit lines of inquiry when preliminary findings suggest pivoting
735 and exploring other avenues.

736 The observation that microbiota differed significantly between the two projects in which larvae grew
737 normally or crashed (**Fig. 10**) could suggest a possible relationship between the microbiota and
738 hatchery crashes. Additionally, among crashed larvae, we observed starved larvae survived for
739 longer periods of time and had microbiota that were more similar to non-crashed larvae,
740 suggesting a possible three way interaction between larval digestion, the microbiota, and the
741 mortality dynamics associated with the crash. Notably our observations of statistically detectable
742 differences between crash and non-crashed larvae contrasted with Ramachandran et al's (2018)
743 previous analysis, which showed no detectable bacterial community variability between tanks with
744 high and low survival rates within the same facility. In contrast, it was more similar that of Timmins-
745 Schiffman (2021) in which a pH driven mortality event in a hatchery was shown to covary with
746 bacterial community variability. A main caveat to this finding is that, although treatment tanks were
747 replicated, and there were multiple treatments within our two experiments, we sampled only during
748 one crash event, and one non-crash event. Future studies should sample at multiple crash and
749 non-crash time points. In particular, time series analysis would allow examination of changes in the
750 microbiota that precede a crash, rather than just co-occur with crashes. Another caveat, is that we
751 have not yet examined the community structure of viruses associated with the larvae, and viruses
752 may affect both larval health and the microbiota.

753 If our observations prove robust in subsequent analysis, several different factors could relate
754 microbial community structure to the health of their larval hosts. Some microbiota are oyster
755 pathogens (Richards et al., 2015; Travers et al., 2015), and so there could be some element of
756 these vastly different communities that affects host health. Microbiota also modulate the immune
757 systems of many host species (Chu and Mazmanian, 2013; Geva-Zatorsky et al., 2017), and could
758 make the hosts susceptible to pathogenesis (Crowell et al., 2009) by organisms that we do not
759 test for, such as viruses. Conversely, the health of the larvae may affect the microbiota rather than

760 *vice versa*. Indeed, the presence of decomposing oysters, present to some degree in the crash
761 samples, could create a refuge for a very different microbiota than those of living hosts. It is also
762 possible that during the crash there is a problem with the larval food, and that dysbiosis could
763 occur as the hosts struggle to process this food. The observation that the microbiota of larvae
764 collected during a crash were dissimilar from larval microbiota during a time of normal production
765 suggests the possibility that microbiota associated with larvae could relate to, or even drive these
766 crashes. With only two time points, however, it is not possible to deconvolve normal temporal
767 variability from crash effects. Accordingly, studies that investigate the microbiota at multiple time
768 points, both during crashes and times of normal production, are warranted.

769

770 *4.4 Long-term production trends*

771 Examining the variation in long-term trends in HPLOH production yield does indicate there are both
772 hatchery and environmental factors that have important effects. Larval survival to the first water
773 change 24 hr after stocking is a major production bottleneck at HPLOH and likely other hatcheries
774 because of the highly sensitive nature of the embryo to early prodissococh life-stages. This may
775 represent a critical time period that the hatchery staff could focus on, as fully shelled larvae are
776 more resistant and resilient to suboptimal culture conditions. Many additional contributors to larval
777 production occur prior to the spawn, indicating environmental conditions during broodstock
778 conditioning is of critical importance (Utting and Millican, 1997). Environmental factors we found to
779 be significant for broodstock conditioning and resultant larval production were average salinity, pH,
780 and temperature, as well as source and year the broodstock was collected. Female fecundity and
781 gonadal index also were found to be significant endogenous factors that were predictive of larval
782 performance and hatchery production yield. Each of these environmental factors could conceivably
783 be manipulated by the hatchery staff to improve production outcomes. Similarly, the hatchery staff
784 could explore how these environmental factors or others (e.g. diet) improve fecundity, which has

785 also been shown to be positively correlated with production yield and rate. Alternatively, breeders
786 may want to focus on working with larger, more fecund individuals to improve production. Indeed,
787 time series analysis, in which larvae are archived continuously over one or more growing seasons
788 with crash and non-crash periods would allow researchers to identify microbial trends that precede,
789 rather than simply coincide with crashes.

790

791 *4.5 Random forest analysis of production yield and production rate*

792 Random forest analysis allowed us to obtain a data-driven model of relationships between the
793 hatchery production yield and environmental conditions. Without pre-specifying the form of
794 individual relationships or the form and number of interaction effects, the method chose variables
795 by their ability to iteratively differentiate levels of yield. At the same time, predictive importance of
796 the variables in the random forest was assessed by permuting *individual* variables, therefore, *joint*
797 importance of the variables was not assessed. Although random forest is more robust to
798 collinearity of variables than a usual regression (because variables are randomly subset at each
799 new tree split, and collinear variables may be randomly removed), the selected relevant variables
800 may still be collinear or redundant. Hence, random forest facilitated selection of the most important
801 variables to focus on but future research is required to obtain a deeper understanding of the
802 underlying mechanisms and interactions.

803 The random forest techniques have been successfully implemented in a number of related tasks,
804 such as predicting oyster norovirus outbreaks (Shamkhali Chenar and Deng, 2017), mussel growth
805 (Bergström et al., 2015), and water temperature for marine aquaculture (Otsuka et al., 2018).

806 Although the sample of about 200 observations allowed us to study a number of variables and test
807 their statistical significance, the power of machine learning techniques emerges with large volumes
808 of data. For example, effects at relatively extreme combinations of values are important for
809 predicting production crashes, but are now estimated using only few corresponding observations

810 and thus bear a large uncertainty. Exploring larger samples would allow us to study the effects of
811 different factors in more detail and improve the random forest model for decision making in oyster
812 aquaculture. Nevertheless, results for our random forest analysis may be immediately useful for the
813 managers in two major ways. First, the data-driven assessment of factors can allow managers to
814 prioritize and focus on manipulating specific broodstock and culture conditions (i.e. salinity and
815 percent survival to D-hinge, respectively) to achieve greater yields at faster rates. Second,
816 estimated relationships are also data-driven and are not restricted to parametric linear functions as
817 is usually done in statistical regression analysis. Those relationships can be studied for the
818 presence and location of change points, thresholds, and combined effects responsible for the best
819 possible outcomes. Indeed, a portion of analysis included partial dependence plots
820 (Supplementary Materials **Fig. 11 - 14**) that display the conditions under which each important
821 factor influences a given brood's yield and production rate. These can be used directly by a
822 hatchery to begin 'tuning' production towards higher yields produced at quicker rates.

823

824 *4.6 Prospective and Summary*

825 As a high-volume, state-of-the-art research hatchery, HPLOH has been producing larvae while at
826 the same time keeping fastidious notes that were immediately digitally archived. Aside from gross
827 production statistics (i.e. larvae produced, number of spawns, etc.), these data were collected for
828 the purpose of improving hatchery performance. When record keeping began, it was impossible to
829 know which data would be important to record and help guide hatchery optimization. As a result,
830 these records are by no means perfect and one lesson learned was that the data management at
831 HPLOH could be improved with some regular QAQC, which could prevent data gaps and prevent
832 inconsistencies that create confusion when gathering and analyzing data. Furthermore, this first
833 analysis indicates only the direction for future studies that may improve production at HPLOH (e.g.
834 broodstock conditioning, initial culture conditions). Therefore, we wish to highlight several points

835 concerning data collection and future directions of research on hatchery production and crashes
836 elsewhere.

837 First, our statistical analysis of past production points to factors that the hatchery staff can
838 manipulate and investigate further to improve future production. To develop mitigation strategies
839 and avoid sudden downturn in production, however, forecasting should be a major technological
840 goal. We could imagine monitoring precipitation and predicting declines in river salinity prior to
841 freshwater arrival, at which time the hatchery could store “good” water as a possible avoidance
842 strategy.

843 Second, the hatchery culture conditions and environmental data used as possible predictors of
844 production are commonly monitored within shellfish hatcheries throughout the US. There is a long
845 list of biological and chemical factors that are not observed routinely but could be vital for better
846 understanding of production trends. For example, after extensive production monitoring and testing
847 at Whiskey Creek Hatchery, Ω ar was identified as a key driver of larval production. Since that time,
848 this hatchery expanded its carbonate chemistry monitoring by permanently installing a Burkolator[®],
849 enabling them to record with high temporal resolution all carbonate variables. Perhaps because of
850 increasing HAB event frequency in Chesapeake Bay, greater monitoring of HAB species and
851 toxins through more sophisticated means, such as a Floctobot (McLane[®], East Falmouth, MA),
852 may be important in the future. Furthermore, salient environmental drivers may change over time
853 coincident with shifts in climate change, alteration in local land use, or other anthropogenic factors.

854 Third, although there are bound to be some common factors that govern production among many
855 or all shellfish hatcheries (e.g. survival to D-stage, temperature, algae, etc.), local conditions and
856 site-specific environmental factors are also likely to be important. As a result, most hatcheries that
857 strive to optimize production will likely have to collect a wide variety of production information and
858 local environmental data. Afterward, and with careful analysis, specific production factors may
859 emerge such that collection efforts can be fine-tuned and paired-down. Nevertheless, we also

860 encourage greater communication among hatcheries to share information on short- and long-term
861 production problems and mitigation strategies used to overcome them. Such information may be
862 valuable for saving time and effort when trying to diagnose problems and reducing the expenses of
863 delayed production.

864 Fourth, our study of production trends at HPLOH was greatly improved by the breadth of data
865 available to us. This is certainly a consequence of the regularity with which data are gathered
866 across the production process, digitized, and stored. Furthermore, the HPLOH has a dedicated
867 staff member who maintained and collated these data. Many hatcheries, research hatcheries
868 included, collect data but these data often are written on paper, lack organization or consistent
869 notation, contain significant and lengthy data gaps, and are not centralized or digitized. We
870 recognize that not all hatcheries have the means to dedicate personnel to data acquisition, but we
871 hope that this study demonstrates the value of collecting a variety of data to help hatcheries audit
872 production processes and move toward optimization.

873 Fifth, developing new culture technology that hatchery staff can pivot to and resume production
874 when 'bad water' is persistent, such as recirculating aquaculture systems (RAS), may be an
875 important avoidance strategy in the future. These systems may allow production to proceed, albeit
876 at a smaller scale, by using entirely artificial conditions that are less reliant on the fluctuating
877 conditions of natural water bodies. As such, there appears to be growing interest in using RAS
878 across all stages of shellfish production (Frias and Segovia, 2010; Qiu et al., 2017; Ramos et al.,
879 2021).

880 Sixth, due to the complexities with identifying crash sources, efficiently and reliably responding to
881 crashes may require managers to send samples from productive and unproductive periods to a
882 multidisciplinary team (biologists, chemists, pathologists, microbiologists, metagenomists, etc.) that
883 is capable of quickly analyzing and identifying contaminants so that appropriate mitigation
884 procedures can be developed. Rapid response in collection and preservation or analysis is crucial

885 during a crash as the presence and stability of chemical constituents might be short. Data from
886 periods of high larvae productivity would enable for quick comparisons and establishments of
887 contaminant thresholds as some potentially harmful compounds will occur naturally and vary in
888 concentration. Additionally, because sources of crashes occur over time, such analysis may have
889 been repeated by the entire team many times per season. Indeed, the authors of this paper first
890 came together in early 2019 to form a proposal that would readily analyze the inorganic/organic
891 chemistry, biogeochemistry, bacterial and viral communities, algal toxins, etc. of crashed oyster
892 larvae across all research hatcheries listed herein. We strongly suggest that this approach be
893 examined more to both explore the variety of insults that disrupt production and how these vary
894 over space and time. Eventually, it is our hope that new technology could be developed that can
895 rapidly predict the arrival of such insults, diagnose impacted facilities, or at least eliminate
896 candidate culprits.

897 Finally, the economics of hatchery crashes and the consequences on the private shellfish industry
898 as a whole are not well understood and cannot be explored until more hatcheries share data for
899 examination by economists. Private hatcheries may be unwilling to share production problems with
900 researchers or other industry members (i.e. competitors), perhaps fearing how this information may
901 shape their brand; however, a major benefit of detailing crashes and investigating their drivers with
902 research hatcheries was the eagerness of members to share production details to solve an
903 industry-wide problem. Although precise economic information remains scarce, it is certain that
904 production delays cause the profitability of hatcheries, and the growers they supply, to suffer. This
905 is especially true for new or smaller hatcheries that may lose three to four weeks of production, if
906 they rely on single batches that crash toward the end of the two-week production process (i.e.
907 spawn to set). This time loss may be another significant cost, considering the entire production
908 season often lasts only 12-20 weeks. In some locations, hatcheries have closed or been brought to
909 the brink of failure following persistent crashes that can create a bullwhip effect on supply chains,
910 threatening growers and market availability of oysters (Weiss, 2008).

911 Culture of *Crassostrea virginica* larvae has advanced greatly, albeit slowly, since its humble
912 beginnings in the late 19th century. A primitive understanding of oyster biology and lack of
913 technology that was needed to prepare and maintain cultures hampered development of effective
914 culture systems (see review by Kennedy 2014). After continued investigation and study through the
915 early and mid-20th century, methods for oyster culture had become reliable, arguably culminating
916 in the first comprehensive manual for cultivation of bivalve larvae by Loosanoff and Davis (1963).
917 Since then, bivalve larval culture has continued to advance. Nevertheless, sporadic larval crashes
918 have been a consistently sore topic within the industry. There has been limited motivation for
919 researchers to invest effort in understanding these failures, arguably because they are currently
920 accepted as a common and unavoidable cost of production that is rarely reported; however, we
921 should not accept larval failures or crashes as a major bottleneck that constrains overall shellfish
922 aquaculture production. As in other industries, especially in the agricultural sector, we must identify
923 the weakest links in the production process and fix these to improve production reliability and
924 greater profitability. Shellfish aquaculture should be treated no differently. To meet domestic and
925 international goals of increasing supply of shellfish, which is thought of as the most ecologically
926 sustainable source of animal protein (Shumway et al., 2003), we argue hatchery crashes must be
927 addressed.

928

929 Acknowledgements:

930 This research was sponsored by UMCES Horn Point Laboratory. We thank A. Hollins for
931 assistance with the microbiome sequencing.

932

933

934

References

- Arzul, I., Corbeil, S., Morga, B., Renault, T., 2017. Viruses infecting marine molluscs. *J. Invertebr. Pathol., Invertebrate Viruses and the Food Chain* 147, 118–135.
<https://doi.org/10.1016/j.jip.2017.01.009>
- Barton, A., Hales, B., Waldbusser, G.G., Langdon, C., Feely, R.A., 2012. The Pacific oyster, *Crassostrea gigas*, shows negative correlation to naturally elevated carbon dioxide levels: Implications for near-term ocean acidification effects. *Limnol. Oceanogr.* 57, 698–710.
- Barton, A., Waldbusser, G.G., Feely, R.A., Weisberg, S.B., Newton, J.A., Hales, B., Cudd, S., Eudeline, B., Langdon, C.J., Jefferds, I., others, 2015. Impacts of coastal acidification on the Pacific Northwest shellfish industry and adaptation strategies implemented in response. *Oceanography* 28, 146–159.
- Bergström, P., Lindegarth, S., Lindegarth, M., 2015. Modeling and predicting the growth of the mussel, *Mytilus edulis*: implications for planning of aquaculture and eutrophication mitigation. *Ecol. Evol.* 5, 5920–5933. <https://doi.org/10.1002/ece3.1823>
- Breiman, L., 2001. Random forests. *Machine Learning* 45(1), 5–32.
<https://doi.org/10.1023/A:1010933404324>
- Breiman, L., Friedman, J.H., Olshen, R.A., Stone, C.J., 1984. *Classification and Regression Trees*. Taylor & Francis, New York.
- Brownlee, E.F., Sellner, S.G., Sellner, K.G., Nonogaki, H., Adolf, J.E., Bachvaroff, T.R., Place, A.R., 2008. Responses of *Crassostrea virginica* (Gmelin) and *C. ariakensis* (Fujita) to bloom-forming phytoplankton including ichthyotoxic *Karlodinium veneficum* (Ballantine). *J. Shellfish Res.* 27, 581–591. [https://doi.org/10.2983/0730-8000\(2008\)27\[581:ROCVGA\]2.0.CO;2](https://doi.org/10.2983/0730-8000(2008)27[581:ROCVGA]2.0.CO;2)
- Burge, C.A., Shore-Maggio, A., Rivlin, N.D., 2017. Ecology of emerging infectious diseases of

- Invertebrates, in: Ecology of Invertebrate Diseases. John Wiley & Sons, Ltd, pp. 587–625.
<https://doi.org/10.1002/9781119256106.ch16>
- Casas, S.M., Lavaud, R., La Peyre, M.K., Comeau, L.A., Filgueira, R., La Peyre, J.F., 2018. Quantifying salinity and season effects on eastern oyster clearance and oxygen consumption rates. *Mar. Biol.* 165, 90. <https://doi.org/10.1007/s00227-018-3351-x>
- Chu, H., Mazmanian, S.K., 2013. Innate immune recognition of the microbiota promotes host-microbial symbiosis. *Nat. Immunol.* 14, 668–675. <https://doi.org/10.1038/ni.2635>
- Clements, J.C., Carver, C.E., Mallet, M.A., Comeau, L.A., Mallet, A.L., 2020. CO₂-induced low pH in an eastern oyster (*Crassostrea virginica*) hatchery positively affects reproductive development and larval survival but negatively affects larval shape and size, with no intergenerational linkages. *ICES J. Mar. Sci.* <https://doi.org/10.1093/icesjms/fsaa089>
- Coffin, M.R.S., Clements, J.C., Comeau, L.A., Guyonnet, T., Maillet, M., Steeves, L., Winterburn, K., Babarro, J.M.F., Mallet, M.A., Haché, R., Poirier, L.A., Deb, S., Filgueira, R., 2021. The killer within: Endogenous bacteria accelerate oyster mortality during sustained anoxia. *Limnol. Oceanogr.* n/a. <https://doi.org/10.1002/lno.11798>
- Croswell, A., Amir, E., Tegatz, P., Barman, M., Salzman, N.H., 2009. Prolonged impact of antibiotics on intestinal microbial ecology and susceptibility to enteric salmonella infection. *Infect. Immun.* 77, 2741–2753. <https://doi.org/10.1128/IAI.00006-09>
- Degenhardt, F., Seifert, S., Syzmczak, S., 2019. Evaluation of variable selection methods for random forests and omics data sets. *Briefings in Bioinformatics. Oxford Academic. Brief. Bioinform.* 20, 492–503.
- Ekstrom, J.A., Suatoni, L., Cooley, S.R., Pendleton, L.H., Waldbusser, G.G., Cinner, J.E., Ritter, J., Langdon, C., van Hooidek, R., Gledhill, D., Wellman, K., Beck, M.W., Brander, L.M., Rittschof, D., Doherty, C., Edwards, P.E.T., Portela, R., 2015. Vulnerability and adaptation

- of US shellfisheries to ocean acidification. *Nat. Clim. Change* 5, 207–214.
<https://doi.org/10.1038/nclimate2508>
- Elston, R., Leibovitz, L., Relyea, D., Zabila, J., 1981. Diagnosis of vibriosis in a commercial oyster hatchery epizootic: Diagnostic tools and management features. *Aquaculture* 24, 53–62.
[https://doi.org/10.1016/0044-8486\(81\)90043-0](https://doi.org/10.1016/0044-8486(81)90043-0)
- Estes, R.M., Friedman, C.S., Elston, R.A., Herwig, R.P., 2004. Pathogenicity testing of shellfish hatchery bacterial isolates on Pacific oyster *Crassostrea gigas* larvae. *Dis. Aquat. Organ.* 58, 223–230. <https://doi.org/10.3354/dao058223>
- Falconer, I.R., Runnegar, M.T.C., Buckley, T., Huyn, V.L., Bradshaw, P., 1989. Using activated carbon to remove toxicity from drinking water containing cyanobacterial blooms. *J. AWWA* 81, 102–105. <https://doi.org/10.1002/j.1551-8833.1989.tb03170.x>
- Frias, R., Segovia, M., 2010. Gonad development of the Japanese oyster *Crassostrea gigas* in a recirculating system: first step toward the development of conditioning and maturation protocols. *J. Shellfish Res.* 29, 303–308. <https://doi.org/10.2983/035.029.0204>
- Friedman, C.S., Estes, R.M., Stokes, N.A., Burge, C.A., Hargove, J.S., Barber, B.J., Elston, R.A., Burreson, E.M., S.Reece, K., 2005. Herpes virus in juvenile Pacific oysters *Crassostrea gigas* from Tomales Bay, California, coincides with summer mortality episodes. *Dis. Aquat. Organ.* 63, 33–41. <https://doi.org/10.3354/dao063033>
- Geva-Zatorsky, N., Sefik, E., Kua, L., Pasman, L., Tan, T.G., Ortiz-Lopez, A., Yanortsang, T.B., Yang, L., Jupp, R., Mathis, D., Benoist, C., Kasper, D.L., 2017. Mining the human gut microbiota for immunomodulatory organisms. *Cell* 168, 928-943.e11.
<https://doi.org/10.1016/j.cell.2017.01.022>
- Gibbs, M.C., L.M. Parker, E. Scanes, M. Byrne, W. A. O’Conner, P.M. Ross. Energetic lipid responses of larval oysters to ocean acidification. *Mar. Poll. B.* 168, 112441

- Ginger, K.W.K., Vera, C.B.S., R, D., Dennis, C.K.S., Adela, L.J., Yu, Z., Thiyagarajan, V., 2013. Larval and post-larval stages of pacific oyster (*Crassostrea gigas*) are resistant to elevated CO₂. PLOS ONE 8, e64147. <https://doi.org/10.1371/journal.pone.0064147>
- Glibert, P.M., Alexander, J., Meritt, D.W., North, E.W., Stoecker, D.K., 2007. Harmful algae pose additional challenges for oyster restoration: impacts of the harmful algae *Karlodinium veneficum* and *Prorocentrum minimum* on early life stages of *Crassostrea virginica* and *Crassostrea ariakensis* J. Shellfish Res. 26, 919–925.
- Gonçalves, A.A., Gagnon, G.A., 2011. Ozone application in recirculating aquaculture system: an overview. Ozone Sci. Eng. 33, 345–367. <https://doi.org/10.1080/01919512.2011.604595>
- Gray, M.W., Langdon, C.J., Waldbusser, G.G., Hales, B., Kramer, S., 2017. Mechanistic understanding of ocean acidification impacts on larval feeding physiology and energy budgets of the mussel *Mytilus californianus*. Mar. Ecol. Prog. Ser. <https://doi.org/10.3354/meps11977>
- Helm, M.M., Millican, P.F., 1977. Experiments in the hatchery rearing of Pacific oyster larvae (*Crassostrea gigas* Thunberg). Aquaculture 11, 1–12.
- His, E., Beiras, R., Seaman, M.N.L., 1999. The assessment of marine pollution-bioassays with bivalve embryos and larvae. Academic Press, pp. 1–178.
- Hopkins, K.G., Bhaskar, A.S., Woznicki, S.A., Fanelli, R.M., 2020. Changes in event-based streamflow magnitude and timing after suburban development with infiltration-based stormwater management. Hydrol. Process. 34, 387–403. <https://doi.org/10.1002/hyp.13593>
- Hornick, K.M., Plough, L.V., 2019. Tracking genetic diversity in a large-scale oyster restoration program: effects of hatchery propagation and initial characterization of diversity on restored vs. wild reefs. Heredity 123, 92–105. <https://doi.org/10.1038/s41437-019-0202-6>
- Jones, J.B., 2006. Why won't they grow?—Inhibitory substances and mollusc hatcheries. Aquac.

Int. 14, 395–403.

Kemp, W.M., Boynton, W.R., Adolf, J.E., Boesch, D.F., Boicourt, W.C., Brush, G., Cornwell, J.C., Fisher, T.R., Glibert, P.M., Hagy, J.D., others, 2005. Eutrophication of Chesapeake Bay: historical trends and ecological interactions. *Mar. Ecol. Prog. Ser.* 303, 1–29.

Kennedy, V.S., 2014. Technological Constraints During the First 40 Years of Eastern Oyster *Crassostrea virginica* Aquaculture. *Rev. Fish. Sci. Aquac.* 22, 55–72.
<https://doi.org/10.1080/10641262.2013.822464>

Kesarcodi-Watson, A., Kaspar, H., Lategan, M.J., Gibson, L., 2008. Probiotics in aquaculture: the need, principles and mechanisms of action and screening processes. *Aquaculture* 274, 1–14.

Kuang, Z., McConnell, L.L., Torrents, A., Meritt, D., Tobash, S., 2003. Atmospheric deposition of pesticides to an agricultural watershed of the Chesapeake Bay. *J. Environ. Qual.* 32, 1611–1622. <https://doi.org/10.2134/jeq2003.1611>

Kurihara, H., 2008. Effects of CO₂-driven ocean acidification on the early developmental stages of invertebrates. *Mar. Ecol. Prog. Ser.* 373, 275–284. <https://doi.org/10.3354/meps07802>

Kurihara, H., Kato, S., Ishimatsu, A., 2007. Effects of increased seawater pCO₂ on early development of the oyster *Crassostrea gigas*. *Aquat. Biol.* 1, 91–98.

Kursa, M.B., Rudnicki, W.R., 2010. Feature selection with the Boruta package. *J Stat Softw* 36, 1–13.

Kursa, M.B., Rudnicki, W.R., 2020. Boruta: Wrapper Algorithm for All Relevant Feature Selection. R package version 7.0.0. <https://CRAN.R-project.org/package=Boruta>

Langston, A.L., 2015. 2015 AQ Summit: Identified R&D Needs Report. DigitalCommons@UMaine, Annual Maine Aquaculture R&D and Education Summits.

Lewis, T.E., Garland, C.D., O'Brien, T.D., Fraser, M.I., Tong, P.A., Ward, C., Dix, T.G., McMeekin,

- T.A., 1988. The use of 0.2- μ m membrane-filtered seawater for improved control of bacterial levels in microalgal cultures fed to larval Pacific oysters (*Crassostrea gigas*). *Aquaculture* 69, 241–251.
- Li, J., Glibert, P.M., Gao, Y., 2015. Temporal and spatial changes in Chesapeake Bay water quality and relationships to *Prorocentrum minimum*, *Karlodinium veneficum*, and CyanoHAB events, 1991–2008. *Harmful Algae* 42, 1–14. <https://doi.org/10.1016/j.hal.2014.11.003>
- Loosanoff, V.L., Davis, H.C., 1963. Rearing of bivalve mollusks. *Adv. Mar. Biol.* 1, 1–136.
- Lukenbach, M., Lipton, D., Webster, D.W., Abel, S., Zinn, T., Leggett, T., Rhodes, E., Sellner, K.G., 2008. A framework for native oyster aquaculture development in Maryland (No. 08–166). Chesapeake Research Consortium, Edgewater, MD.
- Mann, R., Powell, E.N., 2007. Why oyster restoration goals in the Chesapeake Bay are not and probably cannot be achieved. *J. Shellfish Res.* 26, 905–917.
- Mccollough, C.B., Albright, B.W., Abbe, G.R., Barker, L.S., Dungan, C.F., 2007. Acquisition and progression of *Perkinsus marinus* infections by specific-pathogen-free juvenile oyster (*Crassostrea virginica* Gmelin) in a mesohaline Chesapeake Bay tributary. *J. Shellfish Res.* 26, 465–477.
- Newcombe, G., Nicholson, B., 2004. Water treatment options for dissolved cyanotoxins. *J. Water Supply Res. Technol.-Aqua* 53, 227–239. <https://doi.org/10.2166/aqua.2004.0019>
- NOAA, 2016. Annual Landings [WWW Document]. *Commer. Fish. Stat.* URL <https://www.st.nmfs.noaa.gov/commercial-fisheries/commercial-landings/annual-landings/index> (accessed 9.19.16).
- Oksanen, J., Blanchet, F.G., Kindt, R., Legendre, P., Minchin, P.R., O'hara, R.B., Simpson, G.L., Solymos, P., Stevens, M.H.H., Wagner, H., 2013. Package 'vegan.' *Community Ecol.* Package Version 2, 1–295.

- Otsuka, T., Kitazawa, Y., Ito, T., 2018. Multiple water-level seawater temperature prediction method for marine aquaculture, in: Mouhoub, M., Sadaoui, S., Ait Mohamed, O., Ali, M. (Eds.), Recent trends and future technology in applied intelligence, Lecture Notes in Computer Science. Springer International Publishing, Cham, pp. 366–371.
https://doi.org/10.1007/978-3-319-92058-0_35
- Prado, S., Romalde, J.L., Montes, J., Barja, J.L., 2005. Pathogenic bacteria isolated from disease outbreaks in shellfish hatcheries. First description of *Vibrio neptunius* as an oyster pathogen. Dis. Aquat. Organ. 67, 209–215. <https://doi.org/10.3354/dao067209>
- Pruesse, E., Quast, C., Knittel, K., Fuchs, B.M., Ludwig, W., Peplies, J., Glöckner, F.O., 2007. SILVA: a comprehensive online resource for quality checked and aligned ribosomal RNA sequence data compatible with ARB. Nucleic Acids Res. 35, 7188–7196.
<https://doi.org/10.1093/nar/gkm864>
- Qiu, T., Qi, J., Zheng, J., Liu, Y., 2017. Design and performance of a recirculating aquaculture system for oyster larval culture. Aquac. Res. 48, 5699–5706.
<https://doi.org/10.1111/are.13392>
- Ragg, N.L.C., Gale, S.L., Le, D.V., Hawes, N.A., Burritt, D.J., Young, T., Ericson, J.A., Hilton, Z., Watts, E., Berry, J., King, N., 2019. The effects of aragonite saturation state on hatchery-reared larvae of the Greenshell mussel *Perna canaliculus*. J. Shellfish Res. 38, 779–793.
<https://doi.org/10.2983/035.038.0328>
- Ramachandran, P., Reed, E., Commichaux, S., Strain, E., Depaola, A., Rikard, S., Ottesen, A., 2018. Characterization of the Microbiota of Oyster Larvae (*Crassostrea virginica*) and Tank Water from an Aquaculture System with High and Low Larval Survival Rates. Genome Announc 6. <https://doi.org/10.1128/genomeA.00597-18>
- Ramos, C. de O., da Silva, F.C., Gomes, C.H.A. de M., Langdon, C., Takano, P., Gray, M.W., de Melo, C.M.R., 2021. Effect of larval density on growth and survival of the Pacific oyster

- Crassostrea gigas* in a recirculation aquaculture system. *Aquaculture* 540, 736667.
<https://doi.org/10.1016/j.aquaculture.2021.736667>
- Richards, G.P., Watson, M.A., Needleman, D.S., Church, K.M., Häse, C.C., 2015. Mortalities of Eastern and Pacific oyster larvae caused by the pathogens *Vibrio coralliilyticus* and *Vibrio tubiashii*. *Appl. Environ. Microbiol.* 81, 292–297. <https://doi.org/10.1128/AEM.02930-14>
- Robert, R., Gérard, A., 1999. Bivalve hatchery technology: The current situation for the Pacific oyster *Crassostrea gigas* and the scallop *Pecten maximus* in France. *Aquat. Living Resour.* 12, 121–130. [https://doi.org/10.1016/S0990-7440\(99\)80021-7](https://doi.org/10.1016/S0990-7440(99)80021-7)
- Shamkhali Chenar, S., Deng, Z., 2017. Environmental indicators of oyster norovirus outbreaks in coastal waters. *Mar. Environ. Res.* 130, 275–281.
<https://doi.org/10.1016/j.marenvres.2017.08.009>
- Shumway, S.E., Davis, C., Downey, R., Karney, R., Kraeuter, J., Parsons, J., Rheault, R., Wikfors, G., 2003. Shellfish aquaculture—in praise of sustainable economies and environments. *World Aquac.* 34, 8–10.
- Sugumar, G., Nakai, T., Hirata, Y., Matsubara, D., Muroga, K., 1998. *Vibrio splendidus* biovar II as the causative agent of bacillary necrosis of Japanese oyster *Crassostrea gigas* larvae. *Dis. Aquat. Organ.* 33, 111–118. <https://doi.org/10.3354/dao033111>
- Thömsen, J., I. Casties, C. Pansch, A. Körtzinger, F. Melzner. Food availability outweighs ocean acidification effects in juvenile *Mytilus edulis*: laboratory and field experiments. *Global Change Bio.* 19: 1017-1027.
- Timmins-Schiffman, E., O'Donnell, M.J., Friedman, C.S., Roberts, S.B., 2013. Elevated pCO₂ causes developmental delay in early larval Pacific oysters, *Crassostrea gigas*. *Mar. Biol.* 160, 1973–1982.
- Timmins-Schiffman, E., White, S.J., Thompson, R.E., Vadopalas, B., Eudeline, B., Nunn, B.L.,

- Roberts, S.B., 2021. Coupled microbiome analyses highlights relative functional roles of bacteria in a bivalve hatchery. *Environmental Microbiome* 16, 7.
<https://doi.org/10.1186/s40793-021-00376-z>
- Travers, M.-A., Boettcher Miller, K., Roque, A., Friedman, C.S., 2015. Bacterial diseases in marine bivalves. *J. Invertebr. Pathol., Pathogens and Disease Processes in Marine Molluscs* 131, 11–31. <https://doi.org/10.1016/j.jip.2015.07.010>
- UMCES Horn Point Laboratory, 2020. About Horn Point Oyster Hatchery | Horn Point Lab Oyster Hatchery. URL <http://hatchery.hpl.umces.edu/overview/about-horn-point-oyster-hatchery/> (accessed 7.24.20).
- Urban Jr, E.R., Langdon, C.J., 1984. Reduction in costs of diets for the American oyster, *Crassostrea virginica* (Gmelin), by the use of non-algal supplements. *Aquaculture* 38, 277–291.
- USDA, 2019. Aquaculture Data [WWW Document]. Aquac. Trade Data. URL <https://www.ers.usda.gov/data-products/aquaculture-data.aspx> (accessed 12.30.19).
- Ushijima, B., Richards, G.P., Watson, M.A., Schubiger, C.B., Häse, C.C., 2018. Factors affecting infection of corals and larval oysters by *Vibrio coralliilyticus*. *PLOS ONE* 13, e0199475.
<https://doi.org/10.1371/journal.pone.0199475>
- Utting, S.D., Millican, P.F., 1997. Techniques for the hatchery conditioning of bivalve broodstocks and the subsequent effect on egg quality and larval viability. *Aquaculture, Proceedings of the fish and shellfish larviculture symposium LARVI '95* 155, 45–54.
[https://doi.org/10.1016/S0044-8486\(97\)00108-7](https://doi.org/10.1016/S0044-8486(97)00108-7)
- Waldbusser, G.G., Hales, B., Langdon, C.J., Haley, B.A., Schrader, P., Brunner, E.L., Gray, M.W., Miller, C.A., Gimenez, I., 2015a. Saturation-state sensitivity of marine bivalve larvae to ocean acidification. *Nat. Clim. Change* 5, 273–280.

Waldbusser, G.G., Hales, B., Langdon, C.J., Haley, B.A., Schrader, P., Brunner, E.L., Gray, M.W., Miller, C.A., Gimenez, I., Hutchinson, G., 2015b. Ocean acidification has multiple modes of action on bivalve larvae. *PloS One* 10, e0128376.

Waldbusser, G.G., Voigt, E.P., Bergschneider, H., Green, M.A., Newell, R.I.E., 2010. Biocalcification in the eastern oyster (*Crassostrea virginica*) in relation to long-term trends in Chesapeake Bay pH. *Estuaries Coasts* 1–11.

Walker, T., 2017. Seed supply a challenge for North American oyster producers. *Hatch. Int.*

Weiss, K.R., 2008. A warning from the sea. *latimes*. <https://www.latimes.com/local/la-me-oysters13-2008jul13-story.html>

Wright, M.N., Wager, S., Probst, P., 2020. ranger: A Fast Implementation of Random Forests. URL <https://CRAN.R-project.org/package=ranger>, R package version 0.12.1