

1 **Paralytic Shellfish Poisoning Toxins in Butter Clams (*Saxidomus gigantea*) from the**  
2 **Kodiak Archipelago, Alaska.**

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23  
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31

32 **Abstract**

33 Consumption of toxic butter clams (*Saxidomus gigantea*) is the most frequent cause of  
34 paralytic shellfish poisoning (PSP) in Alaskan coastal communities. This study examines  
35 seasonal variation in total paralytic shellfish toxin concentrations and congener distribution in  
36 tissues of butter clams collected in three communities in the Kodiak Islands, Alaska: the City of  
37 Kodiak, Ouzinkie and Old Harbor. In response to questions from local harvesters, the efficacy of  
38 removing particular clam tissues on total toxin levels was also assessed. Butter clam samples  
39 were collected ~monthly during 2015-2020 in each community to monitor shellfish toxin levels.  
40 Results were combined with clam monitoring data collected previously (2013-2015) to document  
41 the seasonal distribution of saxitoxin (STX) and its congeners (neosaxitoxin, gonyautoxin) in  
42 clam tissues. Seasonally, paralytic shellfish toxin levels in butter clams were highest in summer,  
43 declined in winter, but often remained above regulatory limits throughout the year in the three  
44 Kodiak communities. Butter clams collected from Ouzinkie (2013-2020) averaged  $165 \pm 87 \mu\text{g}$   
45 STX equivalents (Eq.)  $100 \text{ g}^{-1}$ , compared to Kodiak  $73 \pm 54 \mu\text{g}$  STX Eq.  $100 \text{ g}^{-1}$  and Old Harbor  
46  $143 \pm 103 \mu\text{g}$  STX Eq.  $100 \text{ g}^{-1}$ . STX accounted for 59-71% of the total toxin concentration in  
47 clams at Ouzinkie, Kodiak, and Old Harbor, while neosaxitoxin (neoSTX) accounted for 12-  
48 18%. Gonyautoxins (GTXs) represented 31-60% of the total toxin concentration during the  
49 seasonal *Alexandrium catenella* bloom in June-July, with lower percentages in other months. The  
50 fraction of total toxin varied among clam tissues: the siphon tip (2-29%), the neck (3-56%), the  
51 gut (3-65%) and the body (6-85%). Removal of the siphon tip reduced total toxin content  
52 substantially in some samples but had little effect in others. Saxitoxin congeners varied greatly  
53 and somewhat unpredictably among clam tissues, and the results indicate removal of specific  
54 tissues was not an effective strategy for reducing paralytic shellfish toxin levels in butter clams  
55 for safe consumption.

56 **1. Introduction**

57

58           In North America, paralytic shellfish poisoning (PSP) occurs most commonly through  
59 consumption of bivalves that have ingested microalgae in the genus *Alexandrium*. Many  
60 *Alexandrium* species produce potent neurotoxins (saxitoxins, STXs) which can accumulate to  
61 high concentrations in shellfish (Wiese et al., 2010). STXs act by blocking voltage-gated  
62 sodium channels and inhibiting mammalian nerve cell depolarization, resulting in adverse  
63 gastrointestinal, neurological and cardiovascular symptoms (Noda et al., 1990; Cusick and  
64 Saylor, 2013). The potencies of the individual saxitoxin congeners vary by more than 100-fold,  
65 the most toxic being STX, neosaxitoxin (neoSTX) and some of the gonyautoxins (GTXs)  
66 (Etheridge, 2010; FAO/WHO, 2016). After ingestion of *Alexandrium* cells, shellfish can  
67 metabolically convert less toxic congeners found in the microalgae into more toxic compounds  
68 (Botelho et al., 2012; Suarez-Isla, 2015). Most notably, GTXs and the less potent N-  
69 sulfocarbamoyl toxins C1-C4 often predominate in *Alexandrium* cells and may be converted to  
70 the more toxic congeners STX and neoSTX in shellfish tissue (Etheridge, 2010; Laabir et al.,  
71 2013). Such congener conversion has been documented in shellfish before, where STX,  
72 neoSTX and the GTXs represented up to 15%, 58%, and 38% of the total toxin load (Reis  
73 Costa et al., 2009; Trainer et al., 2014). However, specific congeners can be ephemeral,  
74 changing seasonally in response to localized *Alexandrium* bloom progression and metabolic  
75 processes within the shellfish (Beitler, 1988).

76           Paralytic shellfish toxins (PSTs) may also be distributed unequally among shellfish  
77 tissues following assimilation. For example, Cembella et al. (1993) found toxin concentrations  
78 in the organs of Atlantic surf clams (*Spisula*) and sea scallops (*Placopecten*) varied greatly  
79 among the adductor muscle, gill, mantle, siphon and foot. Research with Pacific butter clams

80 (*Saxidomus gigantea* Deshayes, 1839) indicated a similar level of inter-tissue variability, with  
81 the highest toxin levels often associated with the siphon (Beitler, 1988). As a result, removal of  
82 all or part of the siphon has been adopted previously by commercial and noncommercial  
83 harvesters to reduce clam toxicity (Waskiewicz et al., 1955); and regulatory agencies have  
84 recommended recreational butter clam harvesters remove the siphon tip to reduce PSP risk  
85 (Nishitani et al. 2004; BCCDC, 2021).

86 In the U.S., the danger to human health from PSTs is most severe in Alaska due to  
87 recurrent toxic *Alexandrium* blooms, the relative remoteness of many coastal communities, and  
88 historical reliance on shellfish resources for subsistence and cultural practices (RaLonde,  
89 2001). PSP is a continuing threat to shellfish harvesters in Alaska, and more than 100 cases of  
90 PSP have been recorded by the State since the early 1990s, with recent fatalities recorded in  
91 1994 (Kodiak), 1997 (Kodiak), 2010 (SE Alaska), and most recently in July 2020 (Unalaska)  
92 (Gessner et al., 1997; Trainer et al., 2014; ADHSS, 2020). To prevent outbreaks of PSP in the  
93 U.S., State public health agencies (through the U.S. Food and Drug Administration [FDA] and  
94 Interstate Shellfish Sanitation Conference) have adopted a safe, regulatory limit of 80  $\mu\text{g}$  STX  
95 Eq. 100  $\text{g}^{-1}$  of shellfish tissue (FDA, 2019; <https://dec.alaska.gov/eh/fss/shellfish/>). Historical  
96 data indicate toxin levels in shellfish along the Gulf of Alaska and the Aleutians commonly  
97 exceed 1,000  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$ , with extreme toxin levels over 10,000  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$   
98 observed periodically (Castrodale, 2015). Mitigation of Alaskan PSP risk generally hinges  
99 upon direct surveillance of PST concentrations in shellfish rather than *Alexandrium* cell  
100 abundance. Past studies have shown shellfish toxin levels provide a reasonable measure of  
101 *Alexandrium* cell abundance and bloom severity (Matweyou, 2003; Vandersea et al., 2018)  
102 relative to the greater time and manpower costs and difficulties associated with reliable

103 identification and counting of *Alexandrium* cells in plankton samples via microscopy  
104 (Anderson et al., 2005; Godhe et al., 2007; Litaker et al., 2018). *Alexandrium* cell-based  
105 monitoring is also limited by the capacity for molecular methods within the State. Because of  
106 limited State toxin testing capacity and the enormous Alaskan coastline, only commercially  
107 harvested shellfish are routinely tested for PSTs by the Alaska Dept. Environmental  
108 Conservation. The management strategy for non-commercial harvesting has been to alert  
109 residents that all shellfish are potentially unsafe and their consumption should be avoided  
110 (ADPH, 2018; ADHSS, 2020).

111         Subsistence harvesting of shellfish resources is common in coastal Alaska despite PSP  
112 risks. In the Kodiak Archipelago (Fig. 1A) for instance, harvested shellfish are often dominated  
113 by butter clams due to both their large size and their wide distribution and abundance. Survey  
114 data from the Kodiak communities of Ouzinkie, the City of Kodiak, and Old Harbor (Figs. 1B-  
115 D) indicated Native residents exhibit higher shellfish consumption rates than elsewhere in the  
116 state (Wolfe, 2004; Lance et al., 2019). Clam harvesting is also an important part of the cultural  
117 identity of Kodiak Native communities, where group harvesting and consumption of butter  
118 clams have long been important social activities. Harvested items are commonly shared among  
119 family members, friends and other households statewide, and may even be shipped to other  
120 North American communities (Mishler, 2001; Reedy-Maschner and Maschner, 2012). In  
121 combination with shellfish toxin concentrations frequently greater than the regulatory limit  
122 (Ralonde, 1996; Matweyou and Bartz, 2015), these social factors result in very high PSP risks  
123 to Kodiak shellfish consumers.

124         Here are described the results of a 5-year, community-based shellfish monitoring project  
125 in the Kodiak Archipelago. In part, this work represents an extension of a 2013-2015 pilot

126 project by the State of Alaska (Matweyou and Bartz, 2015). Specifically, we report PST data  
127 from butter clams collected in Ouzinkie, the City of Kodiak and Old Harbor during 2013-2020  
128 (Fig. 1). Seasonal trends in toxin levels and the contribution of major PST congeners to the clam  
129 toxin pool are examined. Although butter clams are not ideal for shellfish toxicity monitoring, in  
130 that individuals often retain PSTs for months after an *Alexandrium* bloom (Chambers and  
131 Magnusson, 1950), this species is target by subsistence harvesters in the Kodiak Islands, and was  
132 identified as the preferred species by participating communities. Although it was not possible to  
133 monitor phytoplankton cell abundances during this study, plankton surveys conducted by the  
134 authors before and after the study period indicated blooms of *A. catenella* were responsible for  
135 the observed toxin levels in butter clams. An investigation into toxin distribution in butter clam  
136 tissues was added in response to inquiries by Kodiak shellfish harvesters regarding the effect of  
137 local preparation methods on toxin levels in clam meats. The distribution of PSTs among the  
138 clam siphon tip, neck, gut and body was determined and the effect of removing the siphon tip on  
139 potential toxin exposure was evaluated.

140

## 141 **2. Methods**

### 142 *2.1 Study sites*

143 The Kodiak Archipelago includes 16 major islands located 40-50 km off the southeast  
144 coast of the Alaska Peninsula. The sampling sites were located on traditional clam harvesting  
145 beaches in the communities of Ouzinkie, Kodiak, and Old Harbor (Fig. 1A). Ouzinkie is an  
146 Alutiiq community located on Spruce Island; here, butter clams were collected from Sourdough  
147 Flats (Fig. 1B). The City of Kodiak (hereafter Kodiak) is the largest population center in the  
148 archipelago and is located on Chiniak Bay at the east end of Kodiak Island. Here, butter clams  
149 were collected from Mission Beach (MB) and the east side of Near Island (NI; Fig. 1C). Old

150 Harbor is an Alutiiq community on the southeast coast of Kodiak Island. Butter clams from this  
151 location were collected at Shipwreck Beach (Fig. 2D).

152  
153 *2.2 Sample collection*

154 Butter clam samples were collected approximately monthly in Ouzinkie and Old Harbor  
155 during 2013 - 2020, and in the City of Kodiak during (2016 - 2020). For butter clam monitoring,  
156 at least 12 clams were collected within a two-meter radius (if possible); small specimens (<4 cm  
157 length) were avoided because they were considered too small for subsistence harvesting. Clams  
158 were scrubbed, rinsed with tap water to remove sediment and debris, and were then shucked and  
159 drained (ASEHL, 2020). The meats were pooled and frozen at -20 °C pending shipment to the  
160 National Oceanic and Atmospheric Administration (NOAA) Laboratory in Beaufort, North  
161 Carolina for analysis.

162 To examine the distribution and seasonality of PSTs in clam tissues, 3-6 groups of butter  
163 clams (at least 12 clams per group) were collected from Mission Beach and Shipwreck Beach  
164 during 2015-2018 (Table 1). Clams were shucked as above. Meats were then dissected and  
165 pooled into samples of the black siphon tip (tip), the remainder of the siphon (neck), the gut  
166 contents (gut), and the remaining tissue (body), reflecting the tissue types typically discarded or  
167 retained prior to consumption (see Fig. 4). The tissue types from each clam were then pooled,  
168 weighed and frozen at -20 °C pending toxin analysis.

169  
170 *2.3 Toxin analysis*

171 Shellfish samples were analyzed via high performance liquid chromatography (HPLC)  
172 with pre column oxidation using the standard methods of Lawrence et al. (2005) and refined by  
173 Ben-Gigirey (2012) and Harwood et al. (2013). Briefly, samples were processed using a  
174 Kinematica Polytron model PT-MR 2500E homogenizer fitted with a 12 mm dispersing head

175 (Kinematica, Inc., New York, USA). A five g subsample of homogenized tissue was extracted  
176 with 3 mL of 1% acetic acid in a 100 °C water bath for 5 min. After cooling at 4 °C, the sample  
177 was centrifuged at 4,500 rpm for 10 min, and the supernatant was collected. The remaining pellet  
178 was re-extracted and the supernatants combined. One mL of the combined extract was passed  
179 through a conditioned SPE C18 cartridge (Milford, Massachusetts, USA), pH-adjusted to 6.5,  
180 and diluted to 4 mL for oxidation with periodate and peroxide. PSTs were quantified using  
181 Agilent 1100 (Santa Clara, California, USA) or Waters Aquity Arc HPLC systems equipped with  
182 fluorescence detection and 5 µm C18 columns (150×4.6 mm, Phenomenex, Inc., Torrance,  
183 California, USA). Concentrations of STX, neoSTX, decarbamoyl saxitoxin (dcSTX),  
184 gonyautoxins 2 and 3 (GTX2, GTX3), decarbamoyl gonyautoxins 2 and 3 (dcGTX2, dcGTX3),  
185 gonyautoxins 1 and 4 (GTX1, GTX4), gonyautoxin 5 (GTX5), and the di-sulfated toxins C1 and  
186 C2 were quantified using standards purchased from the National Research Council Canada  
187 (Halifax, Nova Scotia, Canada). Isomers GTX 1 and 4, GTX 2 and 3, and C1 and C2 toxins  
188 could not be resolved with pre-column oxidation (Lawrence et al., 2005) and are reported as  
189 pairs (GTX1/4, GTX2/3, C1/C2). In keeping with the Alaska Department of Environmental  
190 Conservation's protocols, toxicity equivalency factors (TEFs) from the European Food Safety  
191 Authority 2009 (ESFA, 2009) were used to convert congener concentrations to STX Eq., with  
192 the higher TEF used for unresolved congener pairs. Throughout this study toxin concentrations  
193 in clams and tissue components are reported in total STX Eq. The contribution of individual  
194 congeners to the clam toxin pool was calculated by weight based on STX Eq. The fraction of  
195 clam toxin concentrations associated with specific tissues (% toxin) was calculated as the STX  
196 Eq. in each tissue relative total toxin pool in that tissue component.



197           Quality assurance of toxin data was completed by instrument validation using  
198 homogenates of butter clams and mussels analyzed previously by the Alaska Department of  
199 Environmental Conservation via post-column oxidation. Linear regression was used to compare  
200 results using pre- and post-column oxidation methods ( $y = 0.94x - 6.04$ ,  $r^2 = 0.985$ ). Daily quality  
201 assurance was performed by analyzing toxin standards pre- and post-analysis. In each case  
202 instrument response was within 97% of original standard curve results. Positive controls were  
203 also included during each run.

204  
205 *2.4 Data analysis*

206           Interannual and seasonal trends in total toxin levels for 2013-2020 samples collected  
207 from Ouzinkie, Kodiak and Old Harbor were analyzed graphically using SigmaPlot 14.0  
208 software (Systat Software, Inc., San Jose, California, USA). Comparisons of toxin concentrations  
209 and % toxin content in butter clams among sites were performed using Kruskal-Wallis one-way  
210 analyses of variance on ranks, as the data were highly variable and did not meet the assumptions  
211 of a parametric ANOVA. Seasonal trends were examined by binning toxin levels by month and  
212 calculating the mean and standard deviation. The contribution of major toxin congeners (STX,  
213 neoSTX, total GTXs [i.e., GTX2/3 + GTX1/4 + GTX5]) to butter clam toxin levels was  
214 determined as the STX equivalents for each congener relative to the total toxin level (%). This  
215 approach provided a measure of relative importance of STX, neoSTX and the GTXs (2/3 and  
216 1/4) to the total clam toxicity in STX equivalents. Congeners having very low toxicity (e.g.,  
217 C1/C2) were present in very low quantities and contributed little to the total toxicity in STX  
218 equivalents. Similarly, congeners that could not be quantified (e.g., GTX6) were not considered  
219 in the analysis.

220 To better understand how toxins were distributed among butter clam tissues and how  
221 distribution of these compounds changed over time, variation in toxin levels among the tip, neck,  
222 gut and body tissues was examined as both toxin concentrations ( $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$ ) in each  
223 tissue component and as % toxin content relative to total toxin content in the whole clam. The  
224 contribution of dominant toxin congeners (STX, neoSTX, total GTXs) in each type of clam  
225 tissue was calculated using the concentration of each congener relative to the total toxins in that  
226 tissue component (%).

227

### 228 **3. Results**

#### 229 *3.1 Total Toxin concentrations in butter clams*

230 Total toxin concentrations in butter clams from the three Kodiak monitoring sites show a  
231 pattern of increasing levels in summer and decreasing levels in winter. Distinct peaks in total  
232 toxin levels were evident at all three sites in May-August, consistent with the annual occurrence  
233 of *Alexandrium* blooms (Fig. 2). Following the bloom period, toxin concentrations typically  
234 declined, reaching minimum levels in the winter months (December- February).

235 At Ouzinkie, total toxin levels ranged from 46-578  $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$  among the 71  
236 samples collected, averaging  $165 \pm 87 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$  (Fig. 2A). Though toxin concentrations  
237 subsequently declined in the fall and winter, they remained above the FDA regulatory limit of 80  
238  $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$  after January 2014 except for samples collected in June and September of  
239 2015 (62.3, 61.8  $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$ , respectively).

240 In comparison, data from Near Island, Kodiak showed toxin levels ranged from 16  $\mu\text{g}$   
241  $\text{STX Eq. } 100 \text{ g}^{-1}$  in May 2018 to 385  $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$  in June 2018 (Fig.2B). In contrast to  
242 Ouzinkie, total toxin concentrations in Kodiak were generally low through the entire study  
243 period with a few periods when concentrations exceeded 80  $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$ , including April,

244 August and December of 2017, June-July of 2018, and May-June of 2019. It is worth noting that  
245 both the minimum and maximum toxin concentrations observed at Near Island (16 and 385  $\mu\text{g}$   
246 STX Eq. 100  $\text{g}^{-1}$ ) occurred between May and June of the same year. The reason for this rapid  
247 increase in butter clam toxin concentrations is not known, but is consistent with the ephemeral  
248 nature of the early summer *Alexandrium* blooms in Kodiak (May-June; Matweyou, 2003). The  
249 peak toxin concentration was followed by a rapid decline to 129  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$  in July  
250 followed by relatively low levels for the remainder of the winter season (36-70  $\mu\text{g}$  STX Eq. 100  
251  $\text{g}^{-1}$ , Fig. 2B).

252 At Old Harbor, butter clam toxin concentrations ranged between a high of 672  $\mu\text{g}$  STX  
253 Eq. 100  $\text{g}^{-1}$  in June 2016 and a low of 41  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$  and in January 2020 (Fig. 2C).  
254 Despite occasional gaps in data collection, distinct peaks in toxin concentrations were evident at  
255 Old Harbor in summer of 2014 (340-421  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$ ), 2015 (399  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$ ),  
256 2016 (672  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$ ), 2017 (276  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$ ) and 2018 (273-276  $\mu\text{g}$  STX Eq.  
257 100  $\text{g}^{-1}$ , Fig. 2C). In contrast, it seems the summer of 2019 was characterized by an early, more  
258 moderate bloom in March-May (142-167  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$ ) followed by lower butter clam  
259 toxin levels < 80  $\mu\text{g}$  STX Eq. 100  $\text{g}^{-1}$  through the following summer and until the end of the  
260 study in February 2020.

261

### 262 3.2 Seasonal toxin variation and major STX congeners

263 When the concentrations of major toxin congeners in butter clams were expressed as a  
264 percentage of the total toxin pool, STX was the most important congener overall, with a lesser  
265 contribution by neoSTX and a marked increase in the GTXs during the summer months (Fig. 3).  
266 Over the 2013-2020 study period, saxitoxin accounted for an average of  $67\pm 17\%$  of the total  
267 toxin concentrations at Ouzinkie,  $71\pm 16\%$  at Kodiak and  $59\pm 18\%$  at Old Harbor (Fig. 3B). In

268 contrast, neoSTX accounted for only  $18\pm 13\%$ ,  $13\pm 12\%$  and  $12\pm 10\%$  of the total concentrations  
269 at the three sites, respectively (Figs. 3C).

270 On a seasonal basis, the contribution of STX to total toxin levels generally remained  
271 above 50% at all sites during most of the year, but declined during the summer *Alexandrium*  
272 bloom, when GTXs contributed a greater portion of toxin levels (Fig. 3B, D). This pattern was  
273 paralleled by a decline in the average contribution of neoSTX, which represented ~15-25% of  
274 toxin levels during late summer through spring months, but declined to <15% during the  
275 *Alexandrium* bloom in May-July (Fig. 3C). The relative importance of the GTXs to clam toxin  
276 levels was evident by the increasing contribution of these congeners during the spring-summer  
277 bloom (Fig. 3D). Seasonality of GTXs was most pronounced in Old Harbor clams, where GTXs  
278 represented 12-16% of clam toxicity during the winter months (Nov-Feb), 27-41% in March-  
279 May, and ~60% of clam toxicity in June (Fig. 3D).

280 Averaged over the year, GTXs contributed to  $15\pm 18\%$  of the clam toxin levels at  
281 Ouzinkie,  $15\pm 17\%$  at Kodiak and  $28\pm 21\%$  at Old Harbor. The results of a non-parametric  
282 ANOVA indicated the contribution of STX to clam toxicity was significantly lower ( $H = 10.1$ ,  
283  $p < 0.05$ ) and GTX significantly higher ( $H = 19.3$ ,  $p < 0.05$ ) at Old Harbor compared to clams from  
284 the other two sites.

### 285 286 3.3 Distribution of PSTs in clam tissues

287 Dissection of butter clam tissues enabled analysis of toxin distribution patterns relevant to  
288 preparation methods used by Kodiak subsistence harvesters (Fig. 4). Overall, the distribution of  
289 PSTs among butter clam tissues was highly variable among sampling sites and different times of  
290 year although total PST concentrations generally followed the same seasonal trend (i.e., Fig. 3).  
291 When all groups of samples from both locations were pooled, the toxin concentrations within the

292 butter clam gut were higher on average than all other tissues (mean  $579 \pm 760 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$ )  
293 <sup>1</sup>). Average toxin concentrations in the siphon tip averaged  $305 \pm 152 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$ , those  
294 in the neck were  $194 \pm 182 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$ , and those in the body averaged  $157 \pm 205 \mu\text{g STX}$   
295  $\text{Eq. } 100 \text{ g}^{-1}$  (Fig. 5A). In contrast, when toxin levels in each tissue were expressed as percentages  
296 of the toxin pool present in the whole clam, the highest average toxin amounts were found in the  
297 body (42%), followed by the neck (26%), the gut (21%) and the siphon tip (11%, Fig. 5B). The  
298 greater % toxin in the clam body reflects the greater relative mass of this tissue component.

299         Seasonal changes in toxin levels accounted for the bulk of variation in toxin  
300 concentrations among tissue types and was driven by the clams' ingestion of *Alexandrium* cells  
301 during the summer bloom. The greatest degree of toxin variation occurred in the gut  $10\text{-}2,492 \mu\text{g}$   
302  $\text{STX Eq. } 100 \text{ g}^{-1}$  and the body  $11\text{-}789 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$ , with smaller variation in the neck  $71\text{-}$   
303  $1,184 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$  and siphon tip  $106\text{-}706 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$  (Fig. 5A, Table 1). This  
304 variability was largely attributable to changes in *Alexandrium* abundance during yearly blooms.  
305 These seasonal changes in toxin levels among clam tissues is exemplified by samples collected  
306 in May 2017 and Jun 2018 (Figs. 6, 7). On 25 May 2017, the highest toxin concentrations were  
307 observed in the clam siphon tip ( $212\text{-}470 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$ ) and neck ( $81\text{-}368 \mu\text{g STX Eq. } 100$   
308  $\text{g}^{-1}$ ), which accounted for 18-29% and 32-56% (respectively) of the total clam toxin pool (Fig. 6,  
309 Table 1). Toxin concentrations were much lower in the gut ( $10\text{-}19 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$ ),  
310 representing only 3-4% of the total toxin pool. Toxins in the body of the clams ranged between  
311 11 and  $24 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$  (17-35% of total).

312         In contrast, monitoring samples from the same site on 18 June 2018 showed a much  
313 higher toxin level ( $273 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$ , Figs. 2B, Fig. 7). Although fewer groups of clams  
314 were collected in June 2018 ( $n = 3$ ), tissue data showed high toxin concentrations in the gut

315 (1,937-2,491  $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$ ), representing 57-64% of the total toxin pool (Figs. 7A-C,  
316 Table 1). On the same day, toxin levels in the siphon tip and neck were 212-258 and 132-163  $\mu\text{g}$   
317  $\text{STX Eq. } 100 \text{ g}^{-1}$  (respectively), representing only 2-3% and 5-7% (respectively) of the total toxin  
318 pool.

319

### 320 *3.4 Saxitoxin congeners in butter clam tissues*

321 The distribution of the dominant saxitoxin congeners (STX, neoSTX, GTXs), and their  
322 relative contribution to tissue toxin pools was assessed in greater detail using 2016-2018 samples  
323 collected at Mission Beach, Kodiak (Fig. 8). Here, STX and neoSTX were the dominant  
324 congeners in the siphon tip and neck (Figs. 8A-F). STX and neoSTX were also prominent in the  
325 clam gut and body, but GTXs contributed a much greater percentage of overall clam toxicity. On  
326 average GTXs represented the bulk of the toxin load in the clam gut in June 2016 (82%, Fig.  
327 8A), June 2017 (54%, Fig. 8C), June 2018 (85%, Fig. 8E) and July 2018 (82%, Fig. 8F),  
328 although STX was prominent on the other two dates (May 2017, Apr 2018, Figs. 8B, D). The  
329 clam body was marked by elevated GTX percentages in June 2016 (53%) and Jun-Jul 2018 (75-  
330 62%, Figs 8A, E, F), with prominent STX levels in May-Jun 2017 and April 2018 (Figs. 8B, C,  
331 D).

332

## 333 **4. Discussion**

334

### 335 *4.1 Patterns of toxin levels in shellfish*

336 Butter clams are the most common shellfish species responsible for PSP incidence in  
337 Alaska. A 20-year retrospective analysis of Alaskan PSP cases indicated butter clams caused  
338 58% of PSP incidences, with the remainder of the illnesses involving mussels (22% *Mytilus*

339 spp.), cockles (13% *Clinocardium* spp.), razor clams (2% *Siliqua patula*) and littleneck clams  
340 (2% *Leukoma staminea*; Gessner and Middaugh, 1995). A more recent report by Castrodale  
341 (2015) showed a similar pattern, where butter clams caused 34% of 70 PSP incidents (26% of  
342 117 cases). Despite their predominance in PSP outbreaks, butter clams remain a preferred  
343 shellfish species among Native residents in the Kodiak Archipelago. This preference was evident  
344 during the design of both the current study and the preceding ADEC pilot study (Matweyou and  
345 Bartz, 2015), and reflects an enduring Kodiak tradition of butter clam harvesting. A survey of  
346 Kodiak Archipelago residents by the Alaska Division of Public Health indicated PSP risk was 12  
347 times higher among long term Kodiak residents (>20 years) than in those emigrating to Kodiak  
348 more recently (Ralonde, 1996). Furthermore, PSP risk was not uniform across the Islands.  
349 Residents of Old Harbor were three times more likely to report symptoms of PSP than those in  
350 Kodiak. This pattern is supported by toxin data from the current study as well (Fig. 2). The  
351 apparent difference in PSP incidence among the two communities is attributable to higher butter  
352 clam toxin concentrations at Old Harbor, greater access to commercially sourced foods in the  
353 City of Kodiak (restaurants, grocery stores), more frequent exposure to PSP advisory information  
354 in the more urban location, and the greater proportion of Native residents in Old Harbor relative  
355 to the city. The current study also confirmed traditional knowledge that butter clams are less  
356 toxic in winter, but showed winter toxin levels often remained above the recommended safety  
357 level of 80 µg STX Eq. 100 g<sup>-1</sup> at Ouzinkie and Old Harbor (Figs. 2, 3). This validates previous  
358 work in Alaska confirming that harvesting in winter months does not guarantee butter clams are  
359 safe to eat (Gessner and Middaugh, 1995; Castrodale, 2015).

360

361 *4.2 Efficacy of removing tissues to reduce butter clam toxin levels*

362           The results of this study indicate removal of the siphon tip or other tissues from butter  
363 clam meats during preparation is not an effective approach for reducing toxicity to safe levels.  
364 This finding is significant, given the widespread belief among Kodiak residents that it is possible  
365 to prepare shellfish in such a way that PSP could be prevented (Ralonde, 1996; this study). In the  
366 current study, the observed distribution of toxins among clam tissues was not consistent, and the  
367 data indicate removal of specific tissues, such as the siphon tip, is often insufficient to reduce the  
368 overall toxin load to safe levels (Figs. 5-7, Table 1). For instance, in butter clams collected from  
369 Kodiak and Old Harbor, the siphon tip often exhibited the highest concentration of toxins (106-  
370 706  $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$ ). But since the siphon tip represents only a small fraction of the whole  
371 clam, it contained <30% on average of the total clam toxin pool (Figs. 5B, 6, 7, Table 1). Given  
372 this information, removal of the siphon tip is not adequate to reduce clam toxin loads to safe  
373 levels, especially at sites like Ouzinkie and Old Harbor where toxin concentrations are often  
374 several times the regulatory limit (Fig. 2A, C). Although toxin levels were generally lower at  
375 Kodiak sites (Fig. 2B), the efficacy of siphon tip removal in reducing toxin levels was still highly  
376 variable, yielding a reduction in clam toxin levels of 18-29% in May 2017, but only 2-3 % in  
377 June of 2018 (Figs. 6 & 7, Table 1). These data underscore the risks to harvesters in the Kodiak  
378 Archipelago and elsewhere in Alaska who consume untested shellfish.

379           The data from this study mirror those reported in early efforts to measure toxin levels in  
380 Alaskan butter clams more than 60 years ago. These early efforts used mouse bioassays and  
381 focused on environmental factors governing shellfish toxicity, identification of sites with high  
382 and low toxin levels, development of screening capacity and methods for reducing butter clam  
383 toxicity (Chambers and Magnusson, 1950; Chambers et al., 1955; Magnusson et al., 1955;  
384 Waskiewicz et al., 1955; Page and Meyers, 1957). Data from a retrospective by Brown (1960)



385 indicated the siphon of butter clams collected at sites in southeast Alaska during 1946-1960  
386 exhibited toxin concentrations between two and 13-fold higher than those in the clam bodies. On  
387 average, siphons contained 5,886 mouse units (MU) STX Eq. 100 g<sup>-1</sup> (~1,177 μg STX Eq. 100 g<sup>-1</sup>) versus 869 MU STX Eq. 100g<sup>-1</sup> (~174 μg STX Eq. 100 g<sup>-1</sup>) in the clam bodies (0.2 μg STX  
388 Eq. MU<sup>-1</sup>, Wekell et al., 2004). More detailed follow-up work showed 24% of butter clam toxins  
389 were associated with the black tip of the siphon and 54% with the neck (middle+inner siphon),  
391 with the remaining 22% distributed among the various organs (Quayle, 1967; Quayle and  
392 Bourne, 1972).

393         Given the similarity between the current results (siphon tip 2-30%, neck 3-56% of the  
394 butter clam toxin levels, Table 1) and those from previous studies about the relative  
395 ineffectiveness of siphon removal, it is reasonable to question the need to revisit the same issue.  
396 In this study, HPLC was used to track distribution of STX, neoSTX and GTX among clam  
397 tissues (Figs. 5-8), an analytical method that was unavailable to earlier researchers. These  
398 congener distribution data are highly relevant to shellfish safety in Alaska as exemplified by the  
399 recent PSP-related death that occurred in southwest Alaska in July 2020 (ADHSS, 2020). A  
400 sample collected from Unalaska showed STX and neoSTX concentrations of 313 and 472 μg  
401 100 g<sup>-1</sup> (respectively), while the concentration of GTXs was nearly 9,800 μg 100 g<sup>-1</sup> (pers.  
402 comm., Alaska DEC Environmental Health Laboratory). The elevated GTX levels in the  
403 Unalaska shellfish are indicative of *Alexandrium* blooms very similar to those in Kodiak during  
404 the current study. Taken together, the congener data from Kodiak and Unalaska exemplify the  
405 importance of quantifying GTXs in addition to STX. In particular, this is a vital concern for PSP  
406 field test kits used for screening Alaskan shellfish. To date, available field tests have been  
407 designed primarily to detect STX, which is the most toxic of the PST congeners (McCall et al.,

408 2019). The data from Kodiak indicate quantification of GTXs may be just as relevant to PSP risk  
409 in Alaskan shellfish tissue.

410  
411 *4.3 Seasonal differences in toxin distribution among tissues*

412 The distribution of PSTs in clam tissues varies through the bloom season. When  
413 saxitoxin-producing dinoflagellate cells are present, toxins are generally apparent first in the  
414 bivalve digestive gland and stomach as the cells are ingested (Bricelj and Shumway, 1998).  
415 Toxins are then transferred sequentially to the digestive and excretory organs before reaching the  
416 muscular tissues such as the siphon, foot and adductor muscles (Cembella et al., 1993; Kwong et  
417 al., 2006; Medina-Elizalde et al., 2018). A similar progression occurs in butter clams, where  
418 previous data showed toxin levels increase rapidly in the visceral mass (digestive organs) over  
419 several days, followed by a more gradual increase in siphon toxin levels over several weeks  
420 (Beitler, 1988). The clam tissue data collected in Kodiak and Old Harbor in this study indicate a  
421 similar pattern. In samples from Kodiak, the fraction of toxin in the gut averaged only 5% during  
422 April 2018, increased to 60% during the *Alexandrium* bloom in June, and then declined to 27%  
423 as the bloom subsided in July (Table 1). During the same period, toxins in the siphon represented  
424 55% of the total toxin pool in April, declined to only 9% in June, and then increased to 23% in  
425 July as the bloom subsided.

426 Given this seasonal pattern of toxin distribution in the gut relative to the siphon, the  
427 relative effectiveness of removing these tissues depends on the time of year when clams are  
428 harvested and which tissues are removed. For example, this study indicates removal of the gut  
429 contents might have little effect on overall toxin levels when *Alexandrium* cells are sparse during  
430 March, but might substantially reduce toxin levels during peak bloom levels in June. Conversely,  
431 elimination of the clam siphon during June would reduce toxin levels by <10% compared to

432 other times of the year. Because no butter clam data were available from the winter months, it  
433 was not possible to assess potential reduction in toxin levels during that season.

434         It is noteworthy that data from this study and from Beitler (1988) each indicate the shift  
435 in the bulk of clam toxins from the gut to the siphon may occur very quickly as a bloom  
436 develops. Butter clam monitoring data from Kodiak showed clams were devoid of GTXs on 08  
437 April 2018, for instance, but these toxins were detected in the clam gut just nine days later (17  
438 April, congener data not shown). This pattern of rapid toxin accumulation was mirrored in  
439 laboratory data where GTXs accumulated in the butter clam gut within a few days after exposure  
440 to *Alexandrium* cells (Beitler, 1988). Such rapid toxin accumulation poses a danger to shellfish  
441 harvesters given the apparent shift in bloom timing expected during regional warming events in  
442 Alaska. If higher water temperatures prompt an *Alexandrium* bloom in March-April instead of  
443 June-July, for instance, the degree of PSP risk is likely to shift as well. Earlier spring blooms due  
444 to the widening thermal window for *Alexandrium* growth have been identified elsewhere in  
445 Alaska (Gobler et al., 2017; Vandersea et al., 2018). Shifts in the timing of *Alexandrium* blooms  
446 and ensuing changes in shellfish toxin levels in Kodiak may have serious consequences for butter  
447 clam harvesters seeking to lessen toxin levels by removing the siphon or other tissues.

448

## 449 **5. Conclusions**

450         With exposure to some of the highest levels of PSTs in the state and strong cultural  
451 dependence on butter clam resources, residents of the Kodiak Archipelago are particularly  
452 vulnerable to PSP risks. This study was designed in direct response to inquiries from the  
453 subsistence harvesters in Ouzinkie, Kodiak and Old Harbor to help address these risks by  
454 application of community-based butter clam monitoring. Such monitoring was intended to better  
455 define seasonality of butter clam toxin levels, to examine differences among traditional

456 harvesting beaches, and to test the efficacy of traditional preparation methods in reducing toxin  
457 exposure. Monitoring data collected in 2013-2020 indicated butter clam toxin levels were 2 to  
458 2.5-fold higher on average in Ouzinkie and Old Harbor than at collection sites in Kodiak. While  
459 STX was the predominant toxin in butter clams, seasonal patterns indicated the congener GTX  
460 accounted for 27-55% of toxins during the spring and summer, but only 3-8% before the summer  
461 *Alexandrium* bloom. Toxin distribution in clam tissues showed wide variability among the  
462 siphon tip (2-29% of total), the neck (3-56%), the gut (3-65%) and the body (6-85%). As a result,  
463 preparation methods such as removal of the siphon tip or gut contents could reduce clam toxin  
464 levels substantially in some instances but have little effect in others. Taken together, these data  
465 indicate tissue removal is not a reliable strategy for reducing PSP risk in butter clams.

466

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688 **Figure Captions**

689

690 Fig. 1. Study sites in the Kodiak Archipelago, Alaska. A. Regional map of southwest Alaska  
691 showing location of Kodiak Islands off the Alaska Peninsula. B. Enlargement of the City of  
692 Kodiak with Butter clam collection sites (red dots): Near Island (NI) and Mission Beach (MB).  
693 C. Enlargement of Ouzinkie with collection site at Sourdough Flats (SF). D. Enlargement of  
694 Old Harbor with collection site at Shipwreck Beach (SB).

695

696 Fig. 2. 2013-2020 butter clam toxin concentrations at A. Sourdough Flats, Ouzinkie, B. Trident  
697 Basin (bars) & Mission Beach (dots), Kodiak, and C. Shipwreck Beach, Old Harbor. The red  
698 dashed line denotes the action limit for saxitoxins in shellfish established by the U.S. Food and  
699 Drug Administration ( $80 \mu\text{g STX Eq. } 100 \text{ g}^{-1}$ ).

700

701 Fig. 3. Average 2013-2020 butter clam toxin levels ( $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$ )  $\pm$  Std Dev and  
702 contributions from toxin congeners in butter clams from Ouzinkie, Kodiak and Old Harbor. A.  
703 Total toxin concentrations ( $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$ ). The red dashed line in panel A denotes the  
704 action limit for saxitoxins in shellfish established by the U.S. Food and Drug Administration ( $80$   
705  $\mu\text{g STX Eq. } 100 \text{ g}^{-1}$ ). B. Percentage of total toxin level due to saxitoxin (STX), C. Percent due  
706 to neosaxitoxin (neoSTX), D. Percent due to gonyautoxins (GTX2/3, 1/4 and 5).

707

708 Fig. 4. Butter clam tissues for this study. A. Diagram of butter clam anatomy from Quayle and  
709 Bourne (1972) showing location of the major tissues: Siphon Tip, Neck, Body and Gut  
710 (digestive gland, stomach, gonads, style and intestine). B. Example of butter clam meats  
711 prepared for dissection with higher magnification inset showing the prominent siphon and black  
712 tip. Panel A from Quayle and Bourne (1972) re-printed courtesy of Canadian Science

713 Publishing (<https://www.nrcresearchpress.com/page/authors/services/reprints>). Panel B by J.  
714 Matweyou.

715  
716 Fig. 5. Box plots of PST levels in butter clam tissues from the Kodiak Islands during 2015-2018.

717 Data from clam tissue components: the black tip of the siphon (Tip), the remainder of the siphon  
718 (Neck), the gut contents (Gut), and the remaining tissue (Body). A. Toxin concentrations ( $\mu\text{g}$   
719 STX Eq.  $100\text{ g}^{-1}$ ) in clam tissue components. B. % of total toxin pool in each component. Box  
720 plot: solid line denotes the median, dotted line indicates the mean, box bounds represent the  
721 25th - 75th percentiles, error bars denote the 10th - 90th percentiles, and dots represent outliers.

722  
723 Fig. 6. PST distribution in tissues of six groups of butter clams (panels A-F,  $n \geq 12$  clams per  
724 group) collected at Mission Beach, Kodiak on 25 May 2017. Bars illustrate toxin concentrations  
725 ( $\mu\text{g}$  STX Eq.  $100\text{ g}^{-1}$ ) of four clam tissues: the black tip of the siphon (Tip), the remainder of the  
726 siphon (Neck), the gut contents (Gut), and the remaining tissue (Body). Pies represent the  
727 proportion of toxins (weight %) associated with each tissue. Tissue mass data were not available  
728 to calculate % toxin data in panel D.

729  
730 Fig. 7. PST distribution in tissues of three groups of butter clams (panels A-C,  $n \geq 12$  clams per  
731 group) collected at Mission Beach, Kodiak on 18 June 2018. Bars illustrate toxin concentrations  
732 ( $\mu\text{g}$  STX Eq.  $100\text{ g}^{-1}$ ) of four clam tissues: the black tip of the siphon (Tip), the remainder of the  
733 siphon (Neck), the gut contents (Gut), and the remaining tissue (Body). Pies represent the  
734 proportion of clam toxins (weight %) associated with each tissue.

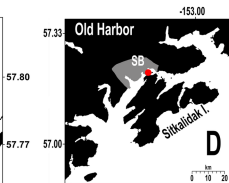
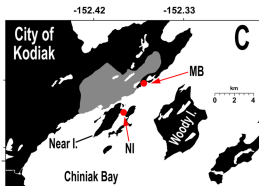
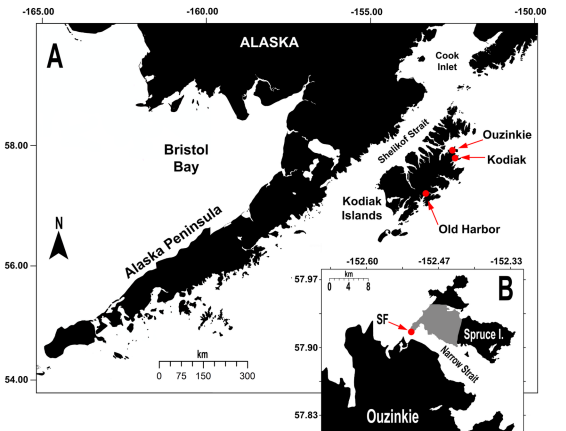
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736 Fig. 8. Contribution of saxitoxin congeners (% total toxin in each tissue) within butter clam tissue  
737 components collected at Mission Beach, Kodiak in 2016-2018. Tissues: the black tip of the

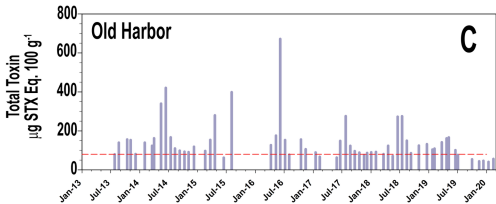
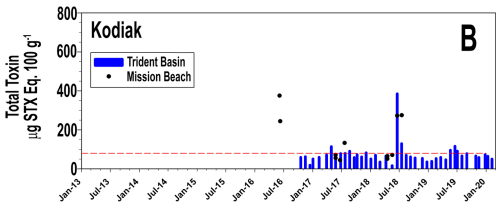
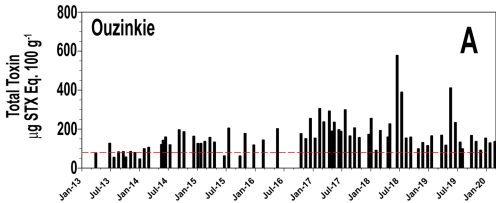
738 siphon (Tip), the remainder of the siphon (Neck), the gut contents (Gut), and the remaining  
739 tissue (Body). Bars represent mean percentages of saxitoxin (STX, black bars), neosaxitoxin  
740 (neoSTX, blue bars) and total gonyautoxins (GTXs, white bars) among 3-6 groups of butter  
741 clams ( $n \geq 12$  per group) collected on each date.  
742

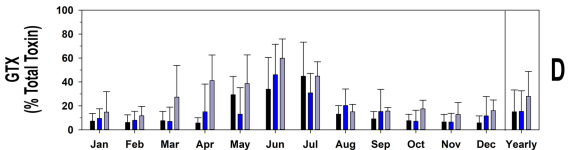
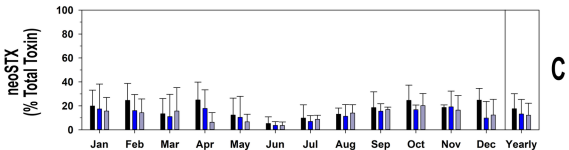
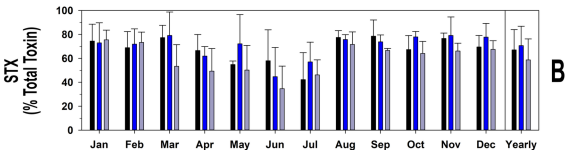
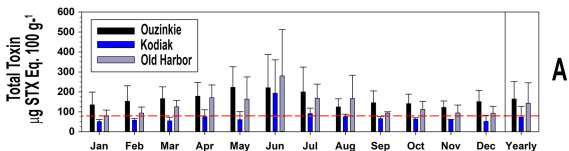
743 Table 1. Percentage of total toxin (STX Eq.) associated with four tissues, the black tip of the siphon (Tip), the  
 744 remainder of the siphon (Neck), the gut contents (Gut), and the remaining tissue (Body). “n/a” denotes samples  
 745 where the tissue sample or the weight was not available.

Date	Location	Replicate	Tip (%)	Neck (%)	Gut (%)	Body (%)
15 Jun 2015	Shipwreck Beach	1	8.4	20.0	65.2	6.4
		2	4.1	3.4	7.2	85.3
		3	1.7	4.4	35.3	58.6
		4	12.3	22.5	24.6	40.6
		5	n/a	n/a	n/a	n/a
		6	n/a	n/a	n/a	n/a
09 Jun 2016	Mission Beach	1	1.7	27.2	22.5	48.5
		2	6.7	9.8	34.2	49.3
		3	6.1	5.4	27.8	60.8
		4	2.7	3.6	26.1	67.6
		5	12.2	4.0	37.4	46.4
25 May 2017	Mission Beach	1	18.3	55.9	2.5	23.2
		2	29.3	32.3	3.6	34.8
		3	25.5	48.0	2.6	23.9
		4	n/a	n/a	n/a	n/a
		5	21.9	49.3	3.8	24.9
		6	24.1	55.7	3.4	16.8
22 Jun 2017	Mission Beach	1	11.7	38.5	12.5	37.3
		2	10.4	37.2	12.4	40.0
		3	13.0	27.2	12.8	47.0
		4	16.6	35.2	10.1	38.1
		5	13.4	44.7	6.6	35.3
		6	14.3	42.3	12.3	31.1
17 Apr 2018	Mission Beach	1	10.6	35.8	8.7	44.9
		2	21.8	47.7	3.5	27.0
		3	11.0	43.3	3.3	42.4
		4	12.9	38.0	3.3	45.8
19 Apr 2018	Shipwreck Beach	1	13.0	19.1	15.5	52.4
		2	14.9	20.5	14.4	50.1
		3	10.9	19.9	13.4	55.8
18 Jun 2018	Mission Beach	1	2.2	7.2	59.3	31.3
		2	2.9	6.9	57.0	32.9
		3	2.3	5.3	64.2	28.2
07 Jul 2018	Mission Beach	1	5.9	18.6	25.2	50.3
		2	5.4	13.4	28.0	52.0
		3	6.4	18.0	28.9	46.7

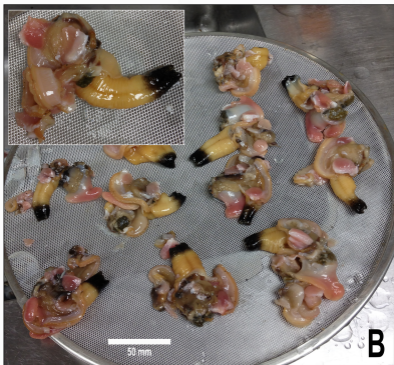
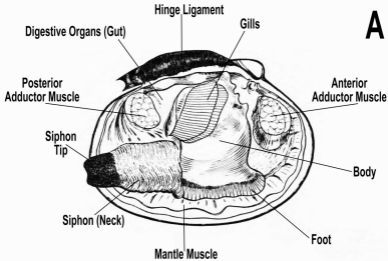
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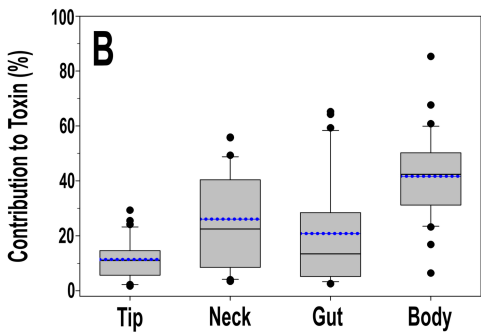
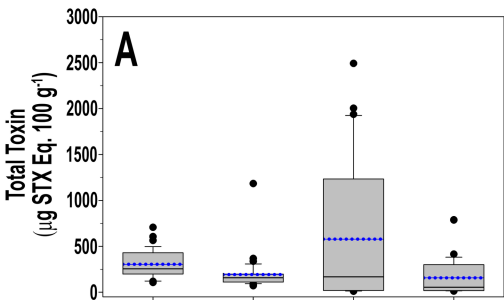




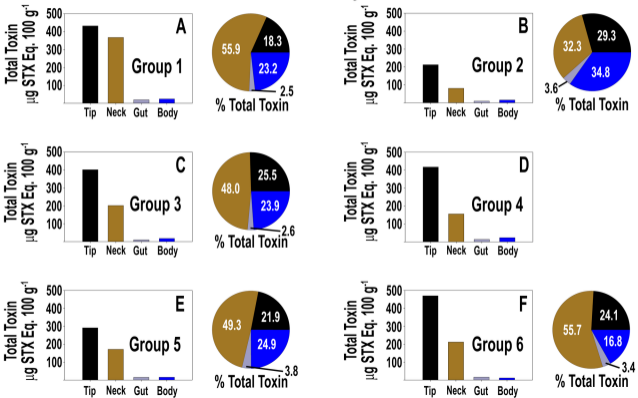








# Mission Beach 25 May 2017



# Mission Beach 18 Jun 2018

