

1 **Impacts of the 2019 strong IOD and monsoon events on Indian Ocean sea**  
2 **surface salinity**

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32 **Abstract**

33 The impact of the 2019 super positive Indian Ocean Dipole (PIOD) event, the strongest in the  
34 last four decades, which also co-occurred with an El Niño and a strong summer monsoon, on  
35 Indian Ocean sea surface salinity (SSS) is examined using the Soil Moisture Active Passive  
36 satellite measurements. Salt budget estimation suggests a predominant, nearly ocean-wide  
37 influence by surface freshwater flux and horizontal advective terms. Subsurface ocean influence  
38 on the salt budget occurs mainly in the southeastern tropical Indian Ocean (SETIO). The PIOD  
39 event suppressed the influence of the El Niño, thereby causing anomalous high precipitation in  
40 western India, and leading to an unusual freshening in the southeastern Arabian Sea (AS), which  
41 is subsequently advected towards the equatorial Indian Ocean (EIO). In the western Bay of  
42 Bengal (BoB), following the waning of monsoon-influenced precipitation in the fall, SSS  
43 becomes anomalously salty and traverses towards the AS against the flow of anomalous surface  
44 currents. During the peak of the summer monsoon in August-September and the peak of the  
45 PIOD event in September-November, SSS in the EIO exhibited tendency for freshening, mainly  
46 driven by westward advection of freshwater from the eastern BoB. Conversely, in the SETIO,  
47 there was tendency for salinification due to suppression of precipitation, enhanced upwelling of  
48 high subsurface salinity, and northward advection of salty water. During December to January of  
49 the following year, these salinity tendencies reversed, with salinification in the EIO and  
50 freshening in the SETIO.

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54 Key words: Indian Ocean, Sea surface salinity, SMAP, Monsoon, Indian Ocean Dipole.

55 **1. Introduction**

56 Salinity variability in the global ocean is controlled mainly by evaporation, precipitation, river  
57 runoff, advection, and entrainment [Delcroix and Henin, 1991; Foltz and McPhaden, 2008;  
58 Grodsky et al., 2019; Jury, 2019; Nichols and Subrahmanyam, 2019; Nyadjro et al., 2020].  
59 These controlling factors vary based on ocean state, air-sea interactions, and climate variability  
60 [Han and McCreary, 2001; Grunseich et al., 2011; Li et al., 2013; Bingham and Lee, 2017;  
61 Subrahmanyam et al., 2018; Qi et al., 2019]. In the Indian Ocean, seasonal reversals of winds  
62 during the monsoons impact ocean current magnitudes and directions, which subsequently have  
63 contrasting impacts on salinity distributions, especially in the northern basins [Jensen 2001;  
64 Nyadjro et al., 2010; Akhil et al., 2014; Trott et al., 2019]. Although located at similar latitudes,  
65 the mean sea surface salinity (SSS) in the Bay of Bengal (BoB) and the Arabian Sea (AS) show  
66 significant differences (Fig. 1a). While the low SSS in the BoB is caused primarily by  
67 precipitation exceeding evaporation, and river runoff, the relatively higher SSS in the AS is  
68 primarily due to evaporation exceeding precipitation (Fig.1a).

69  
70 The India monsoon is a complex air-sea coupled system that impacts rainfall and the livelihood  
71 of billions of people. During the southwest (SW) monsoon season in June-September, humid  
72 southwesterly winds blow from the ocean towards land and generate about 75% of India's annual  
73 rainfall [Murty et al., 1996; Sengupta et al., 2006]. Consequently, river runoff into the BoB is  
74 high during this season and tends to affect the variability of SSS in the basin. Indeed, some of the  
75 world's largest rivers (e.g. Ganges, Brahmaputra, Godavari, and Irrawaddy) flow into the BoB  
76 [Sengupta et al., 2006]. On the contrary, during the northeast (NE) monsoon in November-  
77 February, dry and weak northeasterly winds blow from continental Asia towards the ocean.  
78 Given the importance of the monsoon to the socio-economic livelihood of the people in the  
79 region, studies [e.g. Gadgil et al., 1984; Ashok et al., 2001; Ashok et al., 2004; Behera and  
80 Ratnam, 2018; Subrahmanyam et al., 2020] have been dedicated to examining the factors that  
81 drive the monsoon and determine its strength and variability. There have been only four strong  
82 SW monsoons over the last four decades: 1983, 1988, 1994, and 2019 [Roman-Stork et al., 2020].  
83 According to the Indian Institute of Tropical Meteorology, strong monsoons are those whose  
84 total rainfall exceeds 10% of the long-term mean.

85  
86 On interannual scale, the Indian Ocean is impacted by the Indian Ocean Dipole (IOD), a coupled  
87 ocean-atmosphere mode that affects both local and global climate variability [Saji et al., 1999;  
88 Webster et al., 1999]. The phase and intensity of an IOD event is measured by the Dipole Mode  
89 Index (DMI; Fig. 2a), computed as the difference between sea surface temperature anomalies  
90 (SSTA) in the western Indian Ocean (50°E-70°E, 10°S-10°N) and the southeastern tropical  
91 Indian Ocean (SETIO; 90°E-110°E, 10°S-0°S; Fig. 2b) [Saji et al., 1999]. The IOD typically  
92 develops during boreal summer (Fig. 2b) and peaks during September through November (SON;  
93 Fig. 2c). During the positive phase of the IOD (PIOD), anomalous winds along the equator are  
94 predominantly easterly, and sea surface height anomalies (SSHA) and SSTA are anomalously  
95 low (a reverse of the climatologies; Fig. 2d, e) off the coasts of Sumatra and Java in the SETIO  
96 [Fig. 2b, e; Saji et al., 1999; Webster et al., 1999]. Consequently, atmospheric convection is  
97 suppressed, leading to reduction in rainfall and possible droughts in the countries bordering the  
98 SETIO region. The aforementioned scenarios are reversed during the occurrence of the negative  
99 phase of the IOD (NIOD) [Saji et al., 1999; Webster et al., 1999]. As the strength of the IOD

100 varies among years, so do the responses of the upper ocean such as exhibited by the SSTA  
101 variations over the last three decades in Java and Sumatra (Fig. 2a).

102  
103 Although the IOD is an intrinsic mode of Indian Ocean variability, it is known to co-occur quite  
104 frequently with some El Niño Southern Oscillation (ENSO) events [Saji et al., 1999; Webster et  
105 al., 1999; Gnanaseelan et al., 2012]. Consequently, the IOD and ENSO can impact the Indian  
106 Ocean both collectively and independently. The 2019 PIOD event is the strongest IOD event  
107 thus far during the last four decades and co-occurred with an El Niño [Subrahmanyam et al.,  
108 2020; Greaser et al., 2021]. It is reported to have been caused by a strong interhemispheric  
109 pressure gradient between a stronger than usual pressure over Australia and a weaker than usual  
110 pressure over South China Sea/Philippine Sea which led to a northward flow over the western  
111 Maritime Continent that generated a significant air-sea heat flux and thermocline feedback [Du  
112 et al., 2020; Lu and Ren, 2020]. According to Wang et al. [2020], the 2019 super PIOD event  
113 was associated with the strongest easterly and southerly wind anomalies on record in the SETIO  
114 and caused significant latent cooling that overcame the increased radiative warming over the  
115 region and led to a unique thermodynamical forcing. The 2019 strong SW monsoon season  
116 lasted longer than usual, from June to October [Subrahmanyam et al., 2020], thus overlapping  
117 with the development and peak stages of the PIOD event, and potentially affecting each other  
118 and SSS variability in the Indian Ocean.

119  
120 Salinity variability during IOD events is of interest as the anomalies can induce water column  
121 instability, which in turn drives upwelling/downwelling. The upwelling of colder subsurface  
122 waters into the surface ocean in turn suppresses atmospheric convection, thereby reducing  
123 precipitation in the SETIO and beyond [Susanto et al., 2001; Nyadjro and Subrahmanyam,  
124 2014; Horii et al., 2018]. The formation of a barrier layer (i.e. the difference between the mixed  
125 layer depth and isothermal layer depth) influences the advection of subsurface saline waters into  
126 the surface layers by shielding the usually warm, less saline surface waters from the usually  
127 colder, more saline subsurface waters. The barrier layer thus affects the influence that salinity  
128 has on air-sea interactions [Masson et al., 2003; de Boyer Montégut et al., 2004].

129  
130 The unusual co-occurrence of the strongest IOD event since 1979, the strongest SW monsoon  
131 event since 1994, and an El Niño event, motivates this study to examine the response of the  
132 Indian Ocean SSS to these events that occurred during 2019. The increased volume of data from  
133 satellite salinity measurements such as the Soil Moisture and Ocean Salinity (SMOS) and the  
134 Soil Moisture Active Passive (SMAP) missions have enabled the examination and understanding  
135 of SSS variability during such interesting climatic events.

## 136 137 **2. Data and Methods**

### 138 *2.1 Data*

139 Monthly  $0.25^{\circ} \times 0.25^{\circ}$  gridded Level-3 SSS data from April 2015 to present were obtained from  
140 the SMAP v5.0 product produced by the National Aeronautics and Space Administration  
141 (NASA) Jet Propulsion Laboratory (JPL; <https://smap.jpl.nasa.gov/data/>) and distributed by the  
142 NASA Physical Oceanography Distributed Active Archive Center (PO.DAAC). The SMAP  
143 satellite measures brightness temperature using the L-band (1.4 GHz) at a spatial resolution of 40  
144 km every 3 days, from which SSS is then derived. In a recent assessment of SMAP, Menezes  
145 [2020] showed it to be statistically reliable in the Indian Ocean due to its improved spatial

146 resolution, and enhanced correction of radio frequency interferences and land contamination,  
147 thereby enhancing its reliability for coastal area studies.

148  
149 Daily SST data on a  $0.25^\circ \times 0.25^\circ$  grid were obtained from the National Oceanic and Atmospheric  
150 Administration (NOAA) National Centers for Environmental Information (NCEI) Optimum  
151 Interpolation Sea Surface Temperature (OISST) v2.1 product. The OISST product is produced  
152 by combining observations from different platforms such as from ship measurements, buoys,  
153 Argo floats and satellites (e.g. from the Advanced Very High-Resolution Radiometer -AVHRR-  
154 infrared satellite) [Reynolds et al., 2007]. The OISST data spans from 1982 to present.

155  
156 We obtained surface wind data from the v2.0, 6-hourly ocean gap-free  $0.25^\circ \times 0.25^\circ$  gridded  
157 Remote Sensing Systems' (RSS) Cross-Calibrated Multi-Platform (CCMP) product [Mears et al.,  
158 2019]. This product, available from 1988 to present, is produced by combining cross-calibrated  
159 satellite microwave winds and instrument observations using a Variational Analysis Method  
160 (VAM). Daily precipitation data on a  $1^\circ \times 1^\circ$  grid and available from 1996 to present, are from the  
161 University Corporation for Atmospheric Research (UCAR) Global Precipitation Climatology  
162 Product (GPCP) v2.2 product archived at NOAA NCEI [Huffman et al., 2012]. The GPCP data  
163 are produced from a combination of rain gauge and satellite data. We used monthly  $0.25^\circ \times 0.25^\circ$   
164 gridded evaporation data obtained from the European Centre for Medium-Range Weather  
165 Forecasts ERA5 data set. This data set is available for 1979 to present.

166  
167 Daily mean sea surface height anomaly (SSHA) data on a  $0.25^\circ \times 0.25^\circ$  grid were obtained from  
168 Archiving, Validation, and Interpretation of Satellite data in Oceanography (AVISO) [Ducet et  
169 al., 2000]. This product is produced by merging SSH from altimetry satellites such as the  
170 European Remote Sensing Satellite (ERS-1/2), Ocean Topography Experiment  
171 (TOPEX)/Poseidon, Jason-1, Jason-2, Jason-3, Sentinel-3A, Saral/AltiKa, and Cryosat-2. The  
172 product is distributed by Copernicus Marine and Environment Monitoring Service (CMEMS)  
173 (<http://www.marine.copernicus.eu>). Surface velocity currents for this study are from the Ocean  
174 Surface Current Analyses Real-Time (OSCAR) data set [Bonjean and Lagerloef, 2002]. OSCAR  
175 currents are produced by combining satellite-derived ocean surface heights, surface winds, and  
176 SST using a diagnostic model of ocean currents based on frictional and geostrophic dynamics.  
177 OSCAR data are available at a spatial resolution of  $1^\circ \times 1^\circ$  and represent mean currents in the  
178 upper 30 m of the ocean.

179  
180 Subsurface  $0.5^\circ \times 0.5^\circ$  gridded temperature and salinity data obtained from the Coriolis Ocean  
181 Database Reanalysis (CORA v5.2; Cabanes et al. 2013) were used in this study. The CORA  
182 product is produced by objective analysis of data from several sources such as Argo floats,  
183 moorings, sea mammal, Conductivity-Temperature-Depth (CTD), expendable CTDs (XCTD),  
184 and expandable bathythermographs (XBTs).

185  
186 Monthly mean fields are computed from daily mean fields. Interannual anomalies are computed  
187 by subtracting the monthly climatologies from the monthly time series and then smoothing with  
188 a 3 month running mean twice to remove intraseasonal variability.

189  
190  
191

192 *2.2 Methods*

193 Mixed layer depth (MLD) was computed from CORA using a variable density threshold  
 194 equivalent to 0.2 °C [de Boyer Montégut et al., 2004]:

$$195 \quad \Delta\sigma_\theta = \sigma_\theta(T_{10} - 0.2, S_{10}, P_0) - \sigma_\theta(T_{10}, S_{10}, P_0) \quad (1)$$

196 where  $\Delta\sigma_\theta$  is the change in potential density between the reference depth (10 dbar) and the base  
 197 of the mixed layer.  $T_{10}$  and  $S_{10}$  are respectively temperature and salinity at 10 dbar, and  $P_0$  is sea  
 198 surface pressure. The isothermal layer depth (ILD) is computed as the depth at which the  
 199 subsurface temperature decreases by 0.2 °C relative to the temperature at the reference depth of  
 200 10 dbar. The barrier layer thickness, BLT = ILD-MLD. Thus, from the above definitions, there is  
 201 no barrier layer when the temperature controls the MLD (i.e. MLD  $\approx$  ILD). Conversely, a barrier  
 202 layer will occur when the salinity stratification is different from temperature stratification (de  
 203 Boyer Montégut et al., 2004).

204  
 205 We computed the SMAP salt budget following similar approach in Zhang et al. [2013], Akhil et  
 206 al. [2016], and Kido and Tozuka [2017]:

$$207 \quad \frac{\partial S'}{\partial t} = \bar{S} \frac{(E-P)'}{h} - \bar{u} \frac{\partial S'}{\partial x} - u' \frac{\partial \bar{S}}{\partial x} - \bar{v} \frac{\partial S'}{\partial y} - v' \frac{\partial \bar{S}}{\partial y} - w' \frac{\partial \bar{S}}{\partial z} + R \quad (2)$$

209 where overbar terms represent climatological mean seasonal cycle and primed terms represent  
 210 interannual variability.  $S$  is SMAP salinity,  $h$  is MLD,  $E$  is evaporation,  $P$  is precipitation,  $u$  is  
 211 zonal current velocity,  $v$  is meridional current velocity, and  $w$  is vertical current velocity. We  
 212 computed  $w$  by combining the Ekman upwelling ( $w_e$ ) and vertical motion of the MLD ( $\frac{\partial h}{\partial t}$ ),  $w =$   
 213  $w_e + \frac{\partial h}{\partial t}$ , where  $w_e = \text{curl}(\tau/f)\rho^{-1}$ ,  $\tau$  is wind stress,  $f$  is Coriolis parameter and  $\rho$  is the surface  
 214 density computed from the CORA data. The residual  $R$  from the computation represents physical  
 215 processes such as lateral and vertical mixing processes that cannot be estimated directly from the  
 216 dataset. We estimated the vertical salinity gradient from the CORA data as the difference  
 217 between SSS and salinity 10 m below the MLD. The terms in equation (2) from left to right are  
 218 the anomalous salinity tendency, anomalous sea surface freshwater flux of the mean salinity,  
 219 zonal advection of anomalous salinity by the climatological zonal current, zonal advection of  
 220 climatological salinity by the anomalous zonal current, meridional advection of anomalous  
 221 salinity by the climatological meridional current, meridional advection of climatological salinity  
 222 by the anomalous meridional current, anomalous interaction of the mixed layer with the layer  
 223 below, and residuals. The net zonal advection anomaly  $UADV = -(\bar{u} \frac{\partial S'}{\partial x} + u' \frac{\partial \bar{S}}{\partial x})$ , while the net  
 224 meridional advection anomaly  $VADV = -(\bar{v} \frac{\partial S'}{\partial y} + v' \frac{\partial \bar{S}}{\partial y})$ .

225  
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 227  
 228 **3. Results and Discussion**

229 *3.1. Mean SMAP SSS*

230 The mean SSS in the Indian Ocean as depicted by different datasets in previous studies [e.g.  
 231 Masson et al., 2003; Rao and Sivakumar, 2003; Akhil et al., 2014; Nyadjro et al., 2014;  
 232 D'Addezio et al., 2015] is well reproduced by SMAP (Fig. 1a). Mean SSS is high in the AS due  
 233 to evaporation exceeding precipitation. On the other hand, mean SSS is low in the BoB due to

234 monsoon-influenced precipitation and river-runoff exceeding evaporation [Fig. 1a]. Relatively  
235 low SSS is also seen along Java-Sumatra and is influenced by fresh waters from the BoB and  
236 from the Pacific Ocean via the Indonesian Throughflow [ITF; Susanto et al., 2001; Sengupta et  
237 al., 2006].

238  
239 In the Indian Ocean, dominant SSS variability occurs in the northern basins, equatorial region,  
240 and the SETIO (Fig. 1a, b). SSS seasonal variability is strongest in the northern Indian Ocean  
241 (NIO), especially in the BoB (Fig. 1b) due to seasonal reversing monsoon winds, seasonal  
242 reversing currents and significant changes in precipitation and river runoff over the course of the  
243 seasons [Jensen, 2001; Chaitanya et al., 2014]. Also, there is strong SSS seasonal variability  
244 along the coast of Sri Lanka as it is the pathway for exchange of salty and freshwater between  
245 the AS and BoB. In the AS, SSS seasonal variability occurs mainly in the eastern rim and  
246 southeastern AS. The central equatorial Indian Ocean and SETIO show relatively marginal SSS  
247 seasonal variability (Fig. 1b). On interannual scale, the NIO is still the most variable area, albeit  
248 less energetic than the seasonal variability, while the southern BoB, eastern AS, Sri Lanka and  
249 SETIO regions show relatively weaker interannual SSS variability (Fig. 1c). The interannual  
250 variability is controlled primarily by the IOD, but further strengthened when the IOD co-occurs  
251 with ENSO [Thompson et al., 2006; Grunseich et al., 2011; Nyadjro et al., 2014].

### 252 253 *3.2. SSS in the Northern Indian Ocean*

254 In Fig. 3, we assess the SMAP SSS seasonal cycle in the NIO to provide context for the  
255 examination of variability during the 2019 strong monsoon and PIOD events. Time series plots  
256 of SSS seasonal anomalies (Fig. 3a), box-averaged along the pathways of water exchange in the  
257 NIO (Fig. 3b), highlight the SSS variations that occur across the monsoon seasons. At the peak  
258 of the SW monsoon season in August, increased precipitation, and river runoff caused freshening  
259 of the surface ocean in the northern BoB (Fig. 3b). The West India Coastal Current (WICC) is  
260 southward in the eastern AS, the southwest monsoon current (SMC) is eastward south of Sri  
261 Lanka, while the East India Coastal Current (EICC) is northward in southwestern BoB (Fig. 3b;  
262 see also McCreary et al., 1996; Schott and McCreary, 2001), suggesting, and supporting, the  
263 advection of high salinity waters from the AS into the BoB to balance the lowered SSS in the  
264 BoB. Consequently, SSS along southern India and Sri Lanka is saltier than the annual mean as  
265 high salinity water is transported from the AS into the BoB (Fig. 3a). During the intermonsoon  
266 break in October, the currents, especially those south of Sri Lanka, weaken (Fig. 3d).

267  
268 The aforementioned currents begin to reverse prior to the start of the NE monsoon [Fig. 3e;  
269 Schott and McCreary, 2001]. At this time, the low salinity waters in the northern BoB begin to  
270 spread out of the bay towards Sri Lanka. At the beginning of the NE monsoon season in  
271 November, the EICC is southward along the southwestern BoB coast, the northeast monsoon  
272 current (NMC) is westward south of Sri Lanka while the WICC is northward in southeastern AS  
273 (Fig. 3e), consistent with previous reports [e.g. Murty et al., 1992; McCreary et al., 1996; Schott  
274 and McCreary, 2001]. This current structure persists into the peak of the NE monsoon in January  
275 and flushes out freshwater from the BoB into the AS via southern India and Sri Lanka (Fig. 3g).  
276 The spreading of fresh surface waters in the southwestern BoB intensifies during November to  
277 December as the EICC strengthens (Fig. 3a, e-f). The freshwater is pushed into the AS during  
278 January to March by the westward NMC and northward WICC (Fig. 3g-i). During this time, the  
279 northern BoB becomes relatively saltier (Fig. 3g-i) as the influence of the summer monsoon-

280 driven precipitation and river runoff had waned (Fig. 3). Another pathway for the export of the  
281 excess BoB freshwater from the SW monsoon, as suggested by Han and McCreary [2001] and  
282 Rao and Sivakumar [2003], is the eastern BoB, where coastal currents and waves advect the  
283 water towards the equatorial region and Indonesia (Fig. 3).

284  
285 SSS and surface currents in the NIO underwent significant changes during the 2019 strong  
286 monsoon and PIOD events (Fig. 4). Relative to August climatology, there is freshening of ~1  
287 PSU along the southeastern AS coast, which is subsequently advected by the anomalous WICC  
288 towards Sri Lanka and the equatorial Indian Ocean (Fig. 4b). Previous studies [e.g. Thompson et  
289 al., 2006; Nyadjro et al., 2014] have suggested the eastern BoB to be the source of freshwater in  
290 the equatorial Indian Ocean during the occurrence of PIOD events (Fig. 4d-f). Our result  
291 suggests that the southeastern AS is an additional source of low salinity waters to the equatorial  
292 Indian Ocean during strong PIOD events (Fig. 4d-f). The surface freshening in the southeastern  
293 AS was possibly caused by the anomalous, increased precipitation in the region during August  
294 2019 (Fig. 5b). Such high precipitation during August is quite unusual as the prior years show  
295 relatively lower precipitation during July-August (Fig. 5a).

296  
297 A recent study by Ratna et al. [2021] suggests that the co-occurrence of a strong PIOD and a  
298 relatively weaker ENSO (as occurred in 2019) leads to wetter India summer monsoons. The  
299 study showed that India summer monsoon rainfall was 16% greater during 2019, with regards to  
300 the 1981-2010 climatology. Typically, India summer monsoon rainfall is suppressed in an El  
301 Niño only year [Behera and Ratnam, 2018] or when a weak/moderate PIOD co-occurs with a  
302 strong El Niño year [as occurred in 2015; Zhang et al., 2018]. However, when a strong PIOD and  
303 a relatively weaker El Niño co-occur, the PIOD event influences an increase in rainfall over  
304 India while also suppressing the El Niño's negative impact on the Indian Ocean monsoon [Ashok  
305 et al., 2001; Ashok et al., 2004; Anil et al., 2016; Behera and Ratnam, 2018]. Ashok et al [2004]  
306 showed that during such occurrences, an anomalous divergence center forms over the eastern  
307 tropical Indian Ocean from where an anomalous divergent flow crosses the equator, weakens El  
308 Niño-induced divergence over the western Pacific, and strengthens convergence over the Indian  
309 Ocean monsoon area. Subsequently, there is an increase in rainfall especially over western India.  
310 The anomalous, increased rainfall over western India persists into October 2019 (Fig. 5c, d) and  
311 influences the occurrence of the anomalous low surface salinity waters seen in the southeastern  
312 AS during this period (Fig. 4c, d). This is at variance with the 2015 PIOD event where the strong  
313 El Niño suppressed precipitation in the western Indian Ocean during the boreal summer (Fig. 5a)  
314 and caused positive SSS anomalies in the southeastern AS (Fig. 4a).

315  
316 Besides the increased precipitation in the western Indian Ocean during 2019, there were  
317 anomalously warm thermocline waters along the pathways of water exchange in the NIO during  
318 the peak of the PIOD, differing from what occurred during similar period in 2018 (Fig. 6d-f). In  
319 addition, barrier layers formed along these pathways (Fig. 6) which strengthened stratification,  
320 and inhibited mixing of the anomalous fresh surface waters with the saltier subsurface ocean in  
321 the southeastern AS thereby prolonging the presence of the freshwater pool in the southeastern  
322 AS into November 2019 (Fig. 4e). The formation of a barrier layer in this region helps sustain  
323 high SST ( $>28^{\circ}\text{C}$ ) which subsequently promotes deep atmospheric convection and heavy  
324 precipitation [Gadgil et al., 1984; Nyadjro et al., 2012]. In the southwestern BoB, the barrier  
325 layer restrained mixing of the anomalous salty SSS with the fresher subsurface ocean (Fig. 4c)



326 thereby enabling the salinification of the southwestern BoB surface ocean to linger on longer  
327 during the PIOD event (Fig. 4).

328

329 The extent of the anomalous freshening in the southeastern AS (Fig. 4) is not properly resolved  
330 in other datasets examined (e.g. Argo and CORA, Figure not shown), thus showing the  
331 superiority of SMAP data in this regard. Indeed, Chaitanya et al. [2014] posited similar  
332 limitations when they showed the inability of in-situ observations in capturing the amplitude and  
333 narrow offshore structure of a freshening event in the NIO. Such limitation was primarily  
334 attributed to excessive spatial smoothing resulting from paucity of in-situ measurements.

335

336 As the PIOD peaks during October-December and the monsoon-influenced rainfall wanes in the  
337 BoB (Fig. 5d-f), the sea surface becomes anomalously saltier in the northern BoB, and traverses  
338 along the western BoB coast, via southern Sri Lanka into the AS (Fig. 4d-g). This movement of  
339 anomalous high salinity water out of the BoB is against the flow of the anomalous northward-  
340 flowing EICC (Fig. 4d-g). Such export of high salinity surface water during October-January is  
341 at variance with what happens in the seasonal cycle when freshwater is exported by the  
342 southward-flowing EICC towards the AS (Fig. 3d-g; see also Jensen 2001; D'Addezio et al.  
343 2015; Trott et al., 2019). The EICC often flows southward during the NE monsoon and  
344 northward during the SW monsoon [McCreary et al., 1996; Shankar et al. 1996]. It is however  
345 strongly impacted by remote forcing through Kelvin waves, which causes it to occasionally  
346 oppose local winds and flow in unexpected directions [McCreary et al., 1996; Rao et al., 2010;  
347 Dandapat et al., 2018; Fournier et al., 2017]. In addition, westward-travelling Rossby waves,  
348 forced by variable winds in the interior of the BoB, impact the EICC [McCreary et al., 1996;  
349 Shankar et al., 1996; Greaser et al., 2021]. A study by Dandapat et al. [2018] suggests that the  
350 EICC is most unstable, disorganized, and weak during PIOD events. Further, a modelling study  
351 by Akhil et al. [2016] and satellite study by Fournier et al. [2017] suggest that PIOD events tend  
352 to generate large-scale sea level anomalies and anticyclonic flows near the coastal areas of the  
353 western BoB. Thus these mesoscale eddies, rather than the EICC, may have driven the export of  
354 anomalous high salinity waters out of the BoB during the peak of the 2019 PIOD event.

355

### 356 3.3. SSS in the Equatorial Indian Ocean

357 The climatological equatorial westerly winds (Fig. 2d, e) reverse to be easterly winds during the  
358 PIOD event (Fig. 2b, c) and generate anomalous westward currents in the surface equatorial  
359 Indian Ocean (Fig. 4). SSS anomalies were weak in the equatorial region prior to the peak of the  
360 PIOD event (Fig. 4b, c). Surface equatorial waters freshened during the peak of the 2019 PIOD  
361 event, between October 2019 and January 2020 (Fig. 4d-g), with peak negative SSS anomalies  
362 during December (Fig. 4f). The freshening was caused by the anomalous, increased precipitation  
363 in the equatorial region during this period (Fig. 5d-g). In addition, anomalous, fresh surface  
364 waters were sourced from the southeastern AS (as earlier mentioned) and the eastern BoB, with  
365 the later advected westward towards the western equatorial Indian Ocean by the strengthened,  
366 anomalous equatorial surface currents (Fig. 4), consistent with results from Thompson et al.  
367 [2006], Zhang et al. [2013], and Nyadjro and McPhaden [2014].

368

### 369 3.4. SSS in the SETIO

370 SSS variability in the SETIO is strongly correlated with the IOD, with the DMI leading the SSS  
371 variability by a month (Fig. 4a). Typically, positive (negative) SSS anomalies occur in Java and

372 Sumatra during PIOD (NIOD) events (Fig. 4a). Enhanced upwelling and reduced precipitation  
373 (Fig. 5) during the 2019 PIOD event led to positive SSS anomalies in the SETIO (Fig. 4).  
374 Salinification of the SETIO surface ocean was first noticeable during August 2019, especially in  
375 the northwestern Java and southwestern Sumatra coasts. By October 2019, during the peak of the  
376 PIOD event, the salinification has increased, covering much of the Java-Sumatra coast, and also  
377 spread further offshore by the anomalous currents (Fig. 4). The SETIO salinification reached its  
378 peak during November 2019, at which time it exceeded 1.5 PSU. Noteworthy is that the SETIO  
379 salinification continued beyond the PIOD event into 2020, as the driving mechanisms have not  
380 entirely disappeared and also consistent with the cycling of wave energy during IOD events as  
381 suggested by Gnanaseelan et al. [2012], McPhaden and Nagura [2014], and Nyadjro and  
382 McPhaden [2014].

383  
384 There are regional differences in SSS distribution in the SETIO with SSS variability in Java and  
385 Sumatra being out of phase in certain years (Fig. 4a). For example, during the fall of 2015 and  
386 2018, SSS anomalies were positive in Java but negative in Sumatra (Fig. 4a). During the peak of  
387 the 2019 PIOD event, salinification was stronger along the Sumatra coast than along the Java  
388 coast. For example, at the peak of the salinification in November 2019, SSSA was 0.8 PSU at  
389 Sumatra and 0.65 PSU at Java (Fig. 4a). Possible reasons for the SETIO regional differences  
390 include the anomalous southeasterly winds being stronger and more upwelling-favorable (i.e.,  
391 stronger alongshore winds) along Sumatra than along Java (Fig. 2b, c), as well as Kelvin wave  
392 activities that are dominant along the Sumatra coast [Murtugudde et al., 2000]. Subsequently,  
393 there was a stronger upwelling (i.e. see the displacement of isotherms; Fig. 7) of salty waters in  
394 Sumatra than Java (Fig. 4). There is also an additional influence from the ITF which brings  
395 warm, freshwater from the Pacific Ocean that potentially lowers the salinity in Java but has little  
396 or no influence in Sumatra [Susanto et al., 2001; Du et al. 2005; Sengupta et al., 2006; Hu and  
397 Sprintall, 2016].

### 398 399 *3.5. Subsurface influence in the SETIO*

400 The subsurface ocean plays an important role in SSS variability. There was stronger upwelling of  
401 anomalously high salinity ( $>0.5$  PSU) and colder waters ( $<-3^{\circ}\text{C}$ ) from the subsurface during the  
402 2019 PIOD event than during comparable periods in 2018 (Fig. 7). This was caused by stronger  
403 displacements of the isotherms during 2019 than during 2018 (Fig. 7d-f). While the summer  
404 deepening of the MLD is comparable in both years, the ILD is weaker during 2019 than 2018. In  
405 addition, unlike in 2018, both the MLD and ILD are similar during the PIOD event in 2019.  
406 Subsequently, there was no barrier layer formation during the PIOD event, hence enabling the  
407 stronger vertical advection of saltier, colder waters into the SETIO surface ocean. In turn, the  
408 salinification of the surface ocean destabilizes the water stratification, inducing vertical mixing  
409 over the water column and enhancing further SST cooling in the SETIO [Kido and Tozuka,  
410 2017; Horii et al., 2020]. Regionally, the mixed layer salinification and cooling are stronger in  
411 Sumatra than in Java (Fig. 7) due to reasons previously ascribed.

### 412 413 *3.6. SSS budget*

414 The processes responsible for the salinity variability during the 2019 strong PIOD and summer  
415 monsoon events are examined in a salt budget estimation (Fig. 8). Salinity tendency was  
416 controlled predominantly by the surface freshwater flux and horizontal advective (mainly the  
417 zonal advection of climatological salinity by the anomalous zonal current, i.e. term 4 in equation

418 (2); Figure not shown) terms. The influence of the subsurface term (i.e. term 7 in equation (2))  
419 on the SSS budget is minimal in most areas of the Indian Ocean and therefore not shown. During  
420 the SW monsoon season, the freshwater flux term showed tendency for freshening in the NIO  
421 (Fig. 8e, f) and contributed immensely to the surface freshening observed in the southeastern AS  
422 (Fig. 8b-e). In the BoB however, the freshening tendency by the freshwater flux term was  
423 overwhelmed by salinification tendency by the horizontal advection terms (Fig. 8i, j, m, n),  
424 hence the mostly positive SSS anomalies observed in the northern BoB (Fig. 4). In addition,  
425 previous studies [e.g. Akhil et al., 2014; D’Addezio et al. 2015; Pant et al., 2015] have suggested  
426 that vertical advection of salty subsurface waters tend to balance the impact of surface freshwater  
427 flux and river runoff during the SW monsoon in the northern BoB, such that it suppresses the  
428 lowering of the salinity tendency.

429  
430 The tendency for surface freshening in the equatorial Indian Ocean during the PIOD event was  
431 largely controlled by surface freshwater flux term where precipitation exceeded evaporation (Fig.  
432 8e-h), and the zonal advection term which brought freshwater from the eastern rim of the BoB  
433 towards the central and western equatorial Indian Ocean (Fig. 8i-l). The usual semi-annual  
434 eastward-flowing Wyrki jets that occur during the intermonsoon in October-November is not  
435 present during the peak of the PIOD event [Masson et al., 2003]. Instead, a westward flow  
436 develops and advects freshwater along the equatorial region. In the SETIO, there was tendency  
437 for salinification during the boreal summer which strengthened during the peak of the PIOD  
438 event in the boreal fall. This is driven mostly by the anomalous sea surface freshwater flux of the  
439 mean salinity term in which evaporation exceeded precipitation (Fig. 8e-h) with maximum  
440 influence occurring during October-November (Fig. 8g). The salinification is further augmented  
441 by upwelling of anomalous high subsurface salinity (Fig. 7), and northward advection of high  
442 salinity waters along the Java-Sumatra coast (Fig. 8m-p). The upwelling of anomalous cold  
443 water (Fig. 7d-f) potentially suppresses atmospheric convection and precipitation which further  
444 sustains the salty surface ocean in the SETIO [Susanto et al., 2001; Kido and Tozuka, 2017;  
445 Horii et al., 2020; Wang et al., 2020].

446  
447 **4. Summary and Conclusions**  
448 The co-occurrence of the strongest PIOD event since 1979, the strongest SW monsoon event  
449 since 1994, and an El Niño event provided a unique opportunity to use SMAP satellite-derived  
450 data to examine the response of the Indian Ocean SSS to these events that occurred during 2019.  
451 SMAP is able to reproduce the observed, known features of SSS variability in the Indian Ocean,  
452 and thus gives confidence in the results obtained in this study. Overall, the anomalous sea  
453 surface freshwater flux of the mean salinity and zonal advection of climatological salinity by the  
454 anomalous zonal current terms of the salt budget equation were the dominant factors controlling  
455 SSS variability in the Indian Ocean during 2019. There were notable changes in SSS in the NIO  
456 as a result of the co-occurrence of a strong monsoon with strong PIOD and El Niño events. Most  
457 importantly, an unusual anomalously fresh SSS occupied the southeastern AS during the summer  
458 monsoon and PIOD events, driven by PIOD-influenced anomalous precipitation in the western  
459 Indian Ocean. Meanwhile, in the BoB, the usual impact of precipitation was suppressed, which,  
460 together with advective processes, caused positive SSS anomalies that were subsequently  
461 exported out of the bay.

462

463 In the equatorial Indian Ocean, anomalous precipitation (Fig. 5), and westward advection of less  
464 saline waters from the eastern Indian Ocean (Fig. 4) led to freshening. In the SETIO however,  
465 strong upwelling-favorable southeasterly winds which is influenced by the co-occurrence of  
466 PIOD and ENSO, occurred along the Java-Sumatra coast. These drove water away from the  
467 coast, enabling an upwelling of colder, saltier subsurface waters into the surface ocean. This  
468 process, together with anomalous net evaporation, and northward advection of high salinity  
469 water, led to a significant salinification in the SETIO during the 2019 strong PIOD event. In  
470 summary, the co-occurrence of multiple ocean-climate events leads to quite unusual variability  
471 of SSS in the Indian Ocean. Additional studies will be needed to completely understand how  
472 these interactions and variabilities further feed back to impact these events and oceanic and  
473 atmospheric parameters in the Indian Ocean and beyond.

474  
475

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480

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482 interests.

483 **Code availability:** None.

#### 484 **Availability of data and material:**

485 SMAP SSS data are available at <https://smap.jpl.nasa.gov/data/>. OISST data are available at  
486 [https://www.ncei.noaa.gov/data/sea-surface-temperature-optimum-](https://www.ncei.noaa.gov/data/sea-surface-temperature-optimum-interpolation/v2.1/access/avhrr/)  
487 [interpolation/v2.1/access/avhrr/](https://www.ncei.noaa.gov/data/sea-surface-temperature-optimum-interpolation/v2.1/access/avhrr/). GPCP precipitation is downloaded from  
488 <https://www.ncei.noaa.gov/data/global-precipitation-climatology-project-gpcp-daily/access/>.  
489 SSH data are available at <http://www.marine.copernicus.eu>. ERA5 data is downloaded from  
490 [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-monthly-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-monthly-means?tab=overview)  
491 [means?tab=overview](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-monthly-means?tab=overview).

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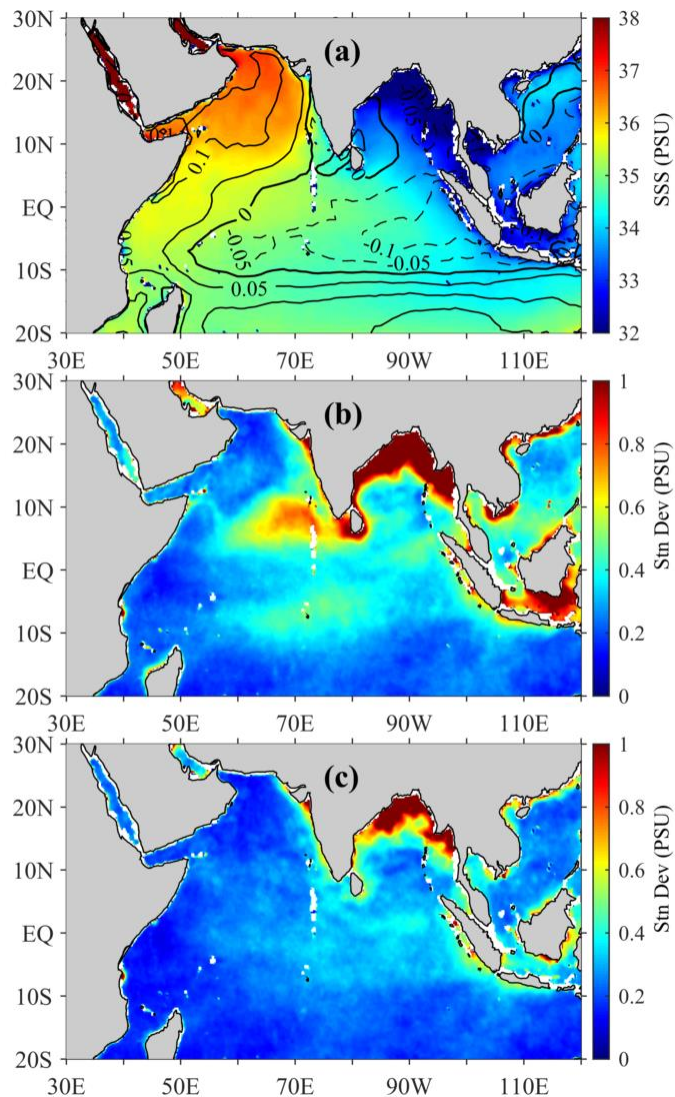
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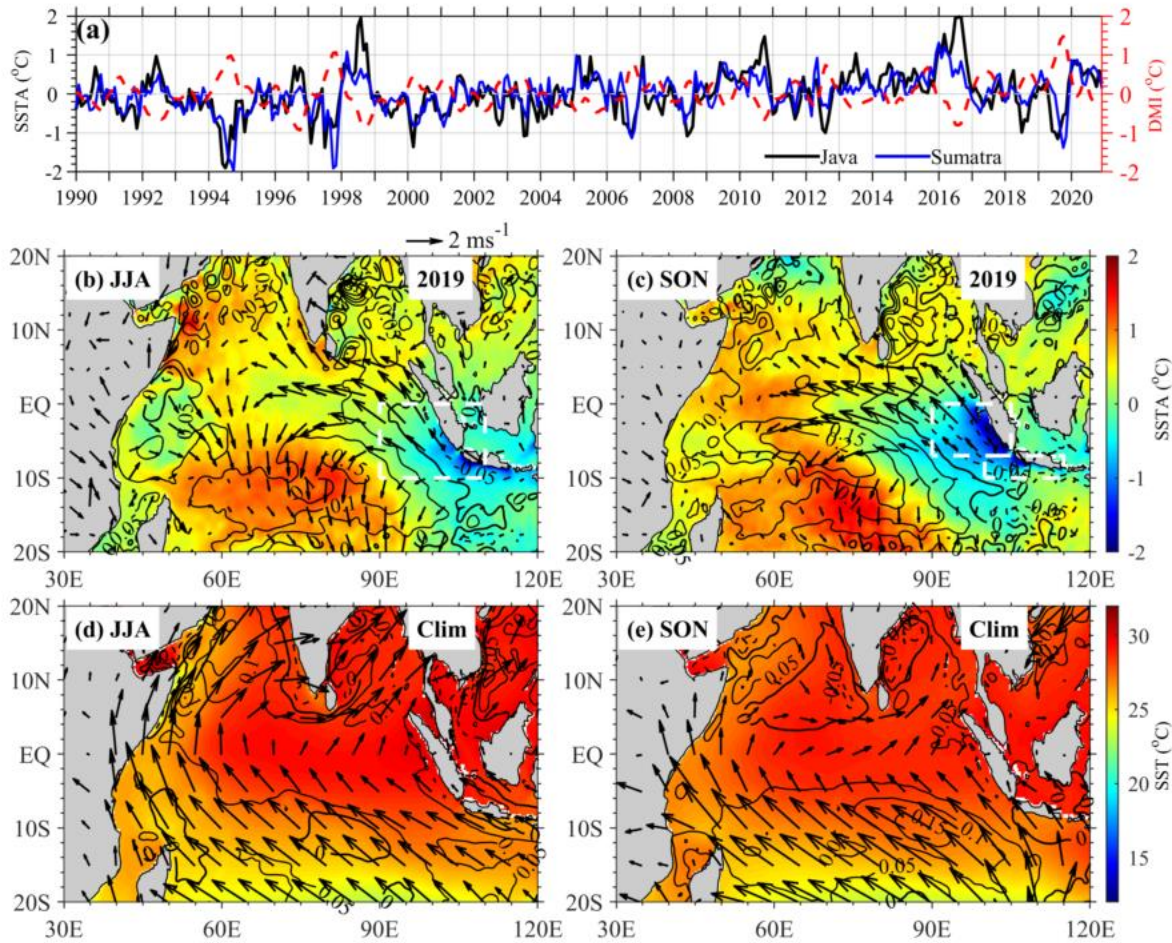
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737  
738 Fig. 1. (a) Annual mean (computed for April 2015-December 2020) SSS (color shading, PSU), and  
739 evaporation minus precipitation (E-P, contours,  $\text{m month}^{-1}$ ). Solid contours show evaporation  
740 exceeds precipitation while dashed contours show precipitation exceeds evaporation. CI=  $0.05 \text{ m}$   
741  $\text{month}^{-1}$ . Standard deviation of (b) seasonal SSS anomalies (PSU), and (c) interannual SSS  
742 anomalies (PSU).

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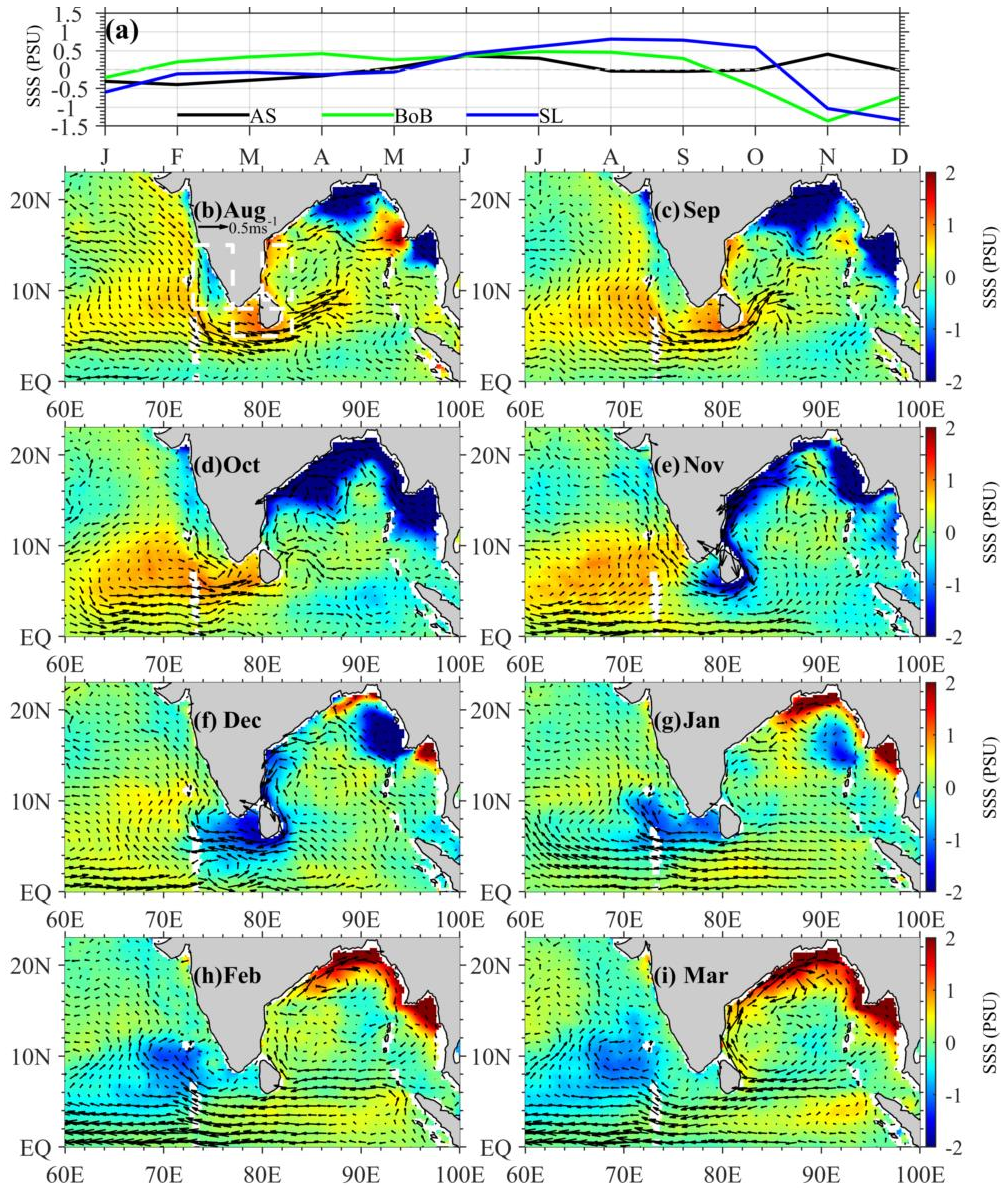
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757 Fig. 2. (a) Dipole mode index (DMI, red line, °C), sea surface temperature interannual anomalies  
758 (SSTA, °C) box-averaged over Java (100°E-115°E, 7°S-10°S; black line) and Sumatra (90°E-  
759 105°E, 7°S-EQ; blue line) during 1990-2020. Composite mean of interannual anomalies of SST  
760 (color shading, °C), surface winds (vectors, ms<sup>-1</sup>), and SSH (contours, m) during (b) June-August  
761 2019 and (c) September-November 2019. Composite mean of climatological sea surface  
762 temperature (SST, color shading, °C), surface winds (vectors, ms<sup>-1</sup>), and sea surface height (SSH,  
763 contours, m) during (d) June-August and (e) September-November. Solid contours show positive  
764 SSH while dashed contours show negative SSH. Box in (b) marks the SETIO region, 90°E-  
765 110°E, 10°S-0°S.

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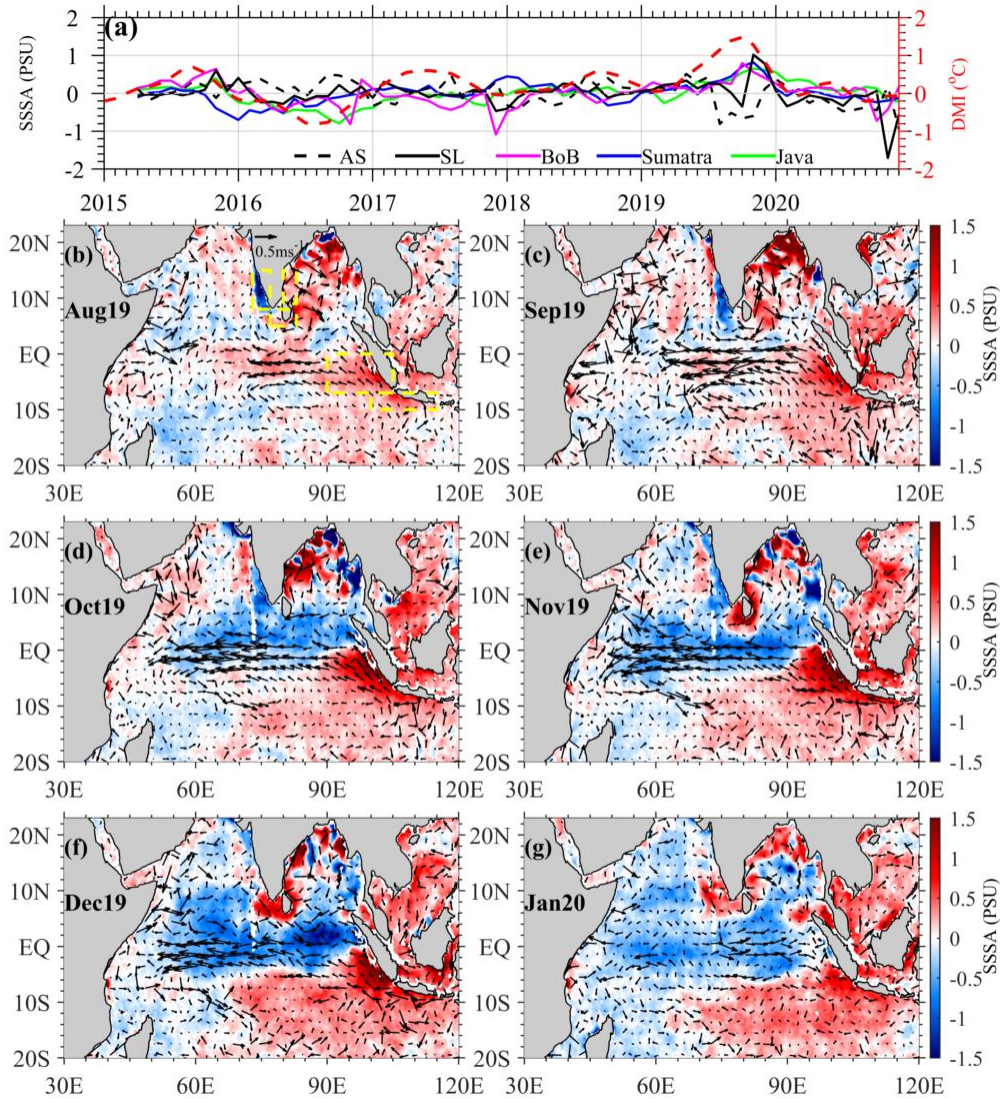




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771 Fig. 3. (a) Climatological seasonal anomalies of sea surface salinity (SSS, PSU) box-averaged  
772 over the Arabian Sea (black line), Bay of Bengal (green line), and Sri Lanka (blue line).  
773 Climatological seasonal anomalies of SSS (color shading, PSU), and OSCAR surface currents  
774 (vectors, ms<sup>-1</sup>) in the northern Indian Ocean during (b) August, (c) September, (d) October, (e)  
775 November, (f) December, (g) January, (h) February, and (i) March. The climatological seasonal  
776 anomalies are computed as the difference between monthly climatologies and the data mean,  
777 where means are computed over the period covering the SMAP data for this study (i.e., April  
778 2015-December 2020).

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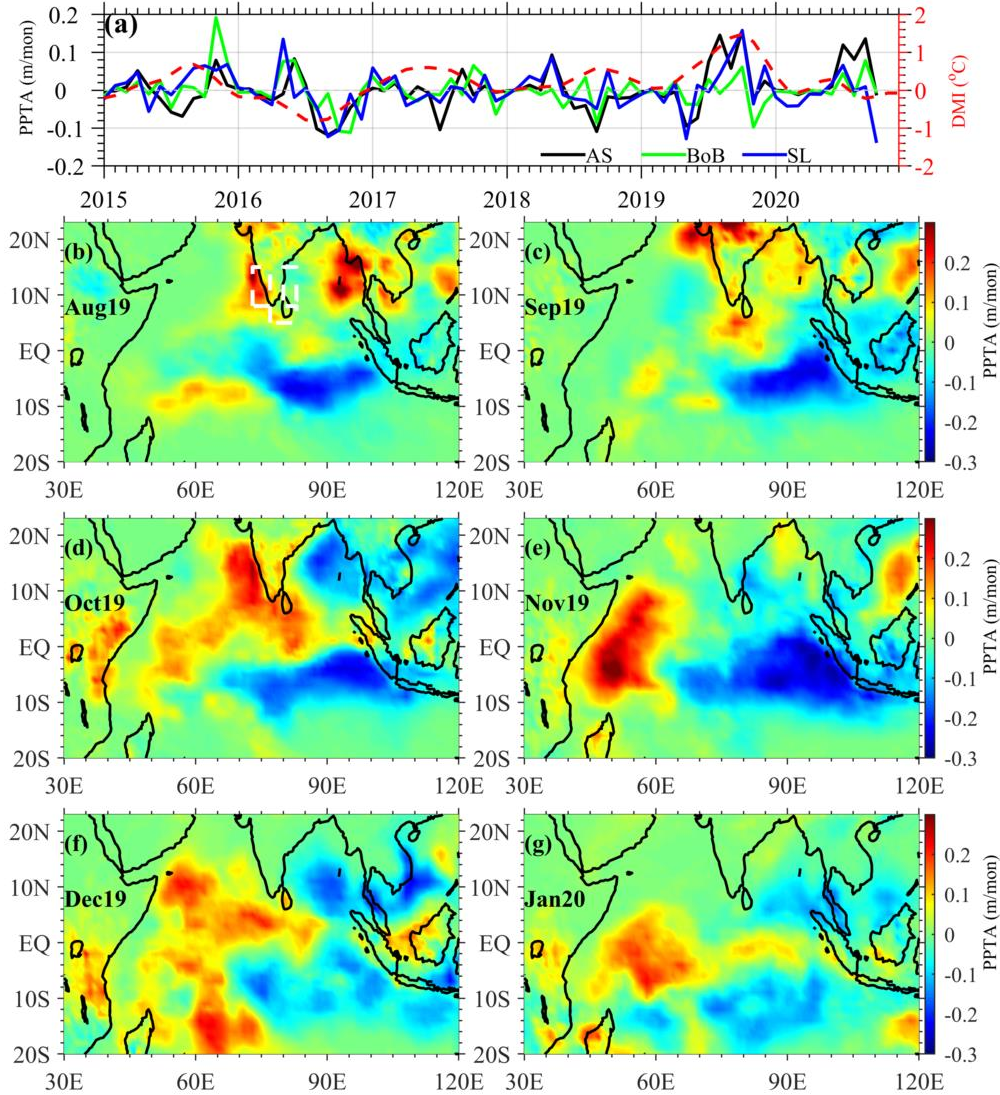


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787 Fig. 4. (a) Dipole mode index (DMI, red line, °C), sea surface salinity interannual anomalies  
788 (SSSA, PSU) box-averaged over the Arabian Sea (dashed black line), Sri Lanka (solid black  
789 line), Bay of Bengal (solid magenta line), Sumatra (solid blue line), and Java (solid green line).  
790 SSSA (color shading, PSU), and surface currents interannual anomalies (vectors, ms<sup>-1</sup>) during (b)  
791 August 2019, (c) September 2019, (d) October 2019, (e) November 2019, (f) December 2019,  
792 and (g) January 2020.

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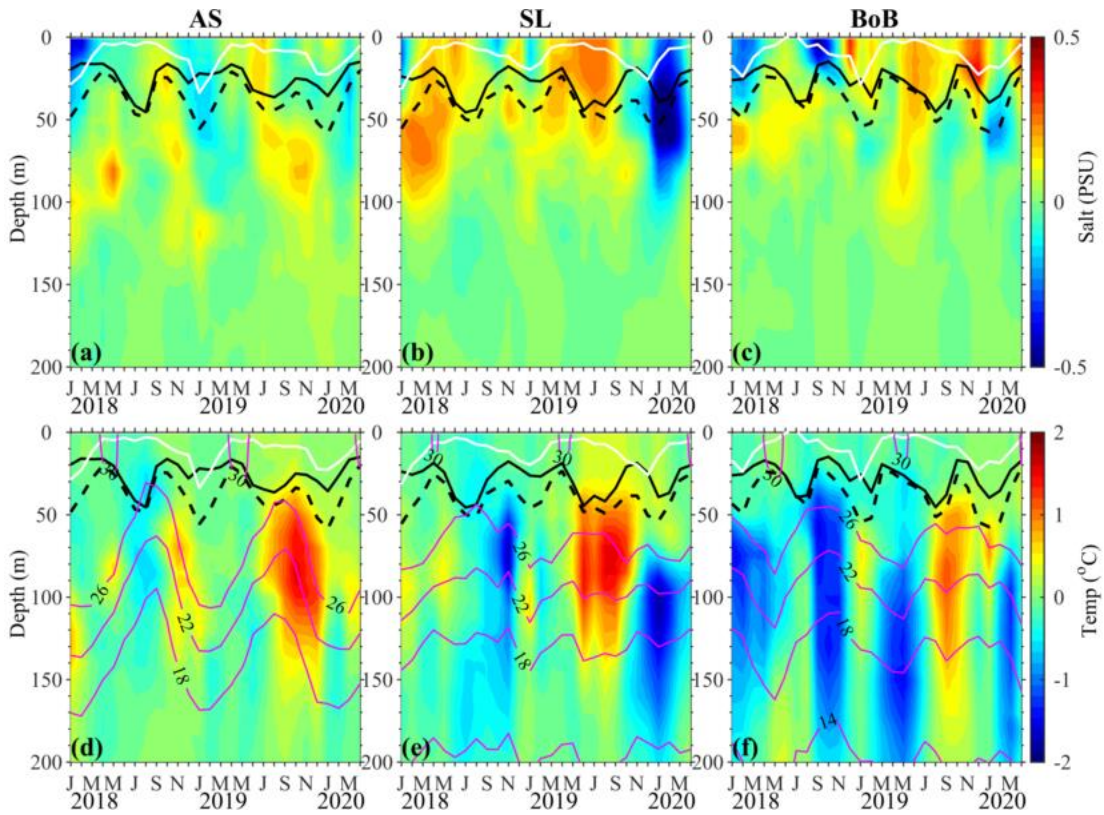




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Fig. 5. (a) Dipole mode index (DMI, red line, °C), precipitation interannual anomalies (PPTA, m month<sup>-1</sup>) box-averaged over the Arabian Sea (black line), Bay of Bengal (green line), and Sri Lanka (blue line). PPTA (color shading, m month<sup>-1</sup>) during (b) August 2019, (c) September 2019, (d) October 2019, (e) November 2019, (f) December 2019, and (g) January 2020.

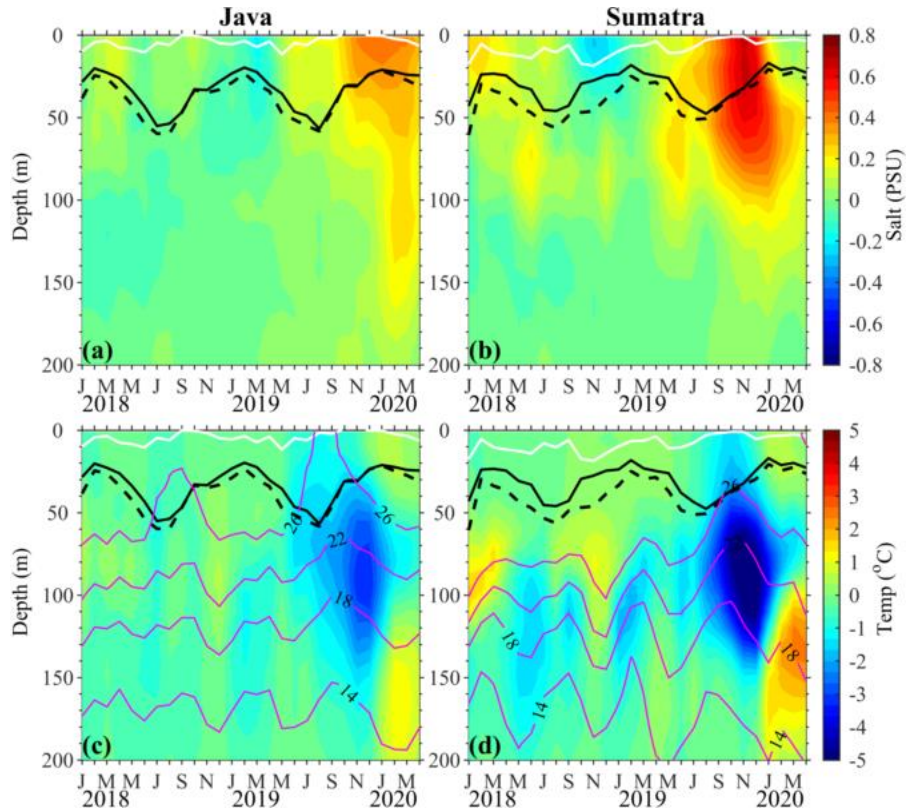
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Fig. 6. Time-depth sections of CORA interannual anomalies of (top row) salinity (color shading, PSU), and (bottom row) temperature (color shading, °C), box-averaged over (left column) Arabian Sea, (middle column) Sri Lanka, and (right column) Bay of Bengal. Solid magenta lines show isotherms (°C, CI=4°C), solid black lines show the mixed layer depth (MLD, m), dashed black lines show the isothermal layer depth (ILD, m), and the solid white lines show the barrier layer thickness (BLT = ILD-MLD). See Fig. 4b for locations of boxes.

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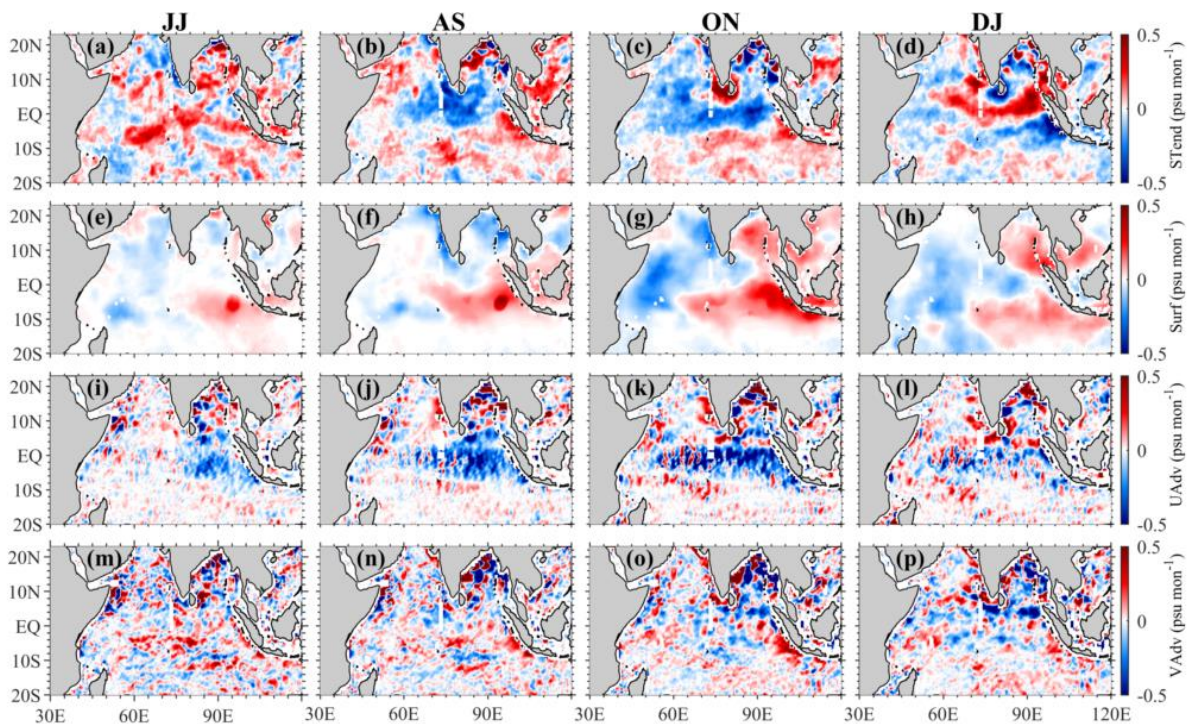


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Fig. 7. Time-depth sections of CORA interannual anomalies of (top row) salinity (color shading, PSU), and (bottom row) temperature (color shading, °C), box-averaged over (left column) Java, and (right column) Sumatra. Solid magenta lines show isotherms (°C, CI=4°C), solid black lines show the mixed layer depth (MLD, m), dashed black lines show the isothermal layer depth (ILD, m), and the solid white lines show the barrier layer thickness (BLT = ILD-MLD). See Fig. 4b for locations of boxes.



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Fig. 8. Bi-monthly composites of interannual salt budget terms ( $\text{psu month}^{-1}$ ) for (row 1) salinity tendency, (row 2) surface freshwater flux, (row 3) zonal advection (UADV), and (row 4) meridional advection (VADV), during (column 1) June-July 2019, (column 2) August-September 2019, (column 3) October-November 2019, and (column 4) December 2019-January 2020. See equation (2) for definition of salt budget terms.