1	Biophysical Controls on Carbon and Water Vapor Fluxes across a Grassland Climatic
2	Gradient in the United States
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23 ABSTRACT

Understanding of the underlying causes of spatial variation in exchange of carbon and water 24 vapor fluxes between grasslands and the atmosphere is crucial for accurate estimates of regional 25 and global carbon and water budgets, and for predicting the impact of climate change on 26 27 biosphere-atmosphere feedbacks of grasslands. We used ground-based eddy flux and 28 meteorological data, and the Moderate Resolution Imaging Spectroradiometer (MODIS) enhanced vegetation index (EVI) from 12 grasslands across the United States to examine the 29 spatial variability in carbon and water vapor fluxes and to evaluate the biophysical controls on 30 31 the spatial patterns of fluxes. Precipitation was strongly associated with spatial and temporal variability in carbon and water vapor fluxes and vegetation productivity. Grasslands with annual 32 average precipitation < 600 mm generally had neutral annual carbon balance or emitted small 33 amount of carbon to the atmosphere. Despite strong coupling between gross primary production 34 (GPP) and evapotranspiration (ET) across study sites, GPP showed larger spatial variation than 35 ET, and EVI had a greater effect on GPP than on ET. Consequently, large spatial variation in 36 ecosystem water use efficiency (EWUE = annual GPP/ET; varying from 0.67 \pm 0.55 to 2.52 \pm 37 0.52 g C mm⁻¹ ET) was observed. Greater reduction in GPP than ET at high air temperature and 38 39 vapor pressure deficit caused a reduction in EWUE in dry years, indicating a response which is opposite than what has been reported for forests. Our results show that spatial and temporal 40 variations in ecosystem carbon uptake, ET, and water use efficiency of grasslands were strongly 41 42 associated with canopy greenness and coverage, as indicated by EVI.

43 *Keywords:* Ecosystem water use efficiency; Eddy covariance; Enhanced vegetation index,

44 Evapotranspiration; Grasslands; Gross primary production

46 **1. Introduction**

In the past two decades, eddy covariance systems have been established in several grassland 47 sites in the United States (U.S.) for investigations of processes controlling carbon and water 48 vapor fluxes, and site specific results have been reported (Baldocchi et al., 2004; Fischer et al., 49 2012; Krishnan et al., 2012; Ma et al., 2007; Scott et al., 2010; Suyker et al., 2003). However, 50 51 these observation networks cover only a small portion of grasslands. Sole reliance on individual sites may lead to biased estimates of fluxes at large scales (Biondini et al., 1991; Rahman et al., 52 2001). The broad distribution of grasslands across contrasting climate and management gradients 53 54 adds to the complexity of measuring and modeling of fluxes, and understanding the vulnerability of ecosystems to environmental change. It is commonly accepted that ecosystem responses to 55 changes in climatic-forcing variables such as precipitation, temperature, and CO₂ concentration 56 are nonlinear (Burkett et al., 2005; Gill et al., 2002). Grasslands are considered ideal for rainfall 57 manipulation studies because they are highly responsive to interannual variability in precipitation 58 (Knapp et al., 2002). However, precipitation manipulation experiments at individual sites rarely 59 capture this nonlinearity as they tend to have few (only two or three) treatments different from 60 the control and do not manipulate temperature, which can co-vary with precipitation. Flux tower 61 62 sites now allow comparative analysis, synthesis, modeling, and upscaling of site-level flux measurements (Falge et al., 2002; Gilmanov et al., 2010; Gilmanov et al., 2003; Turner et al., 63 2003; Xiao et al., 2014). Synthesis of flux data from multiple sites across a climatic gradient 64 65 allows analysis of the influences of a wider range environmental condition compared with manipulative studies at a single site. Several studies have investigated spatial variability of 66 67 carbon fluxes (Churkina et al., 2005; Gilmanov et al., 2005; Kato and Tang, 2008; Law et al., 68 2002; Soussana et al., 2007; Yu et al., 2013; Yuan et al., 2009). These studies have shown that

spatial variability of carbon fluxes is significantly correlated with mean annual temperature 69 (MAT) and precipitation (MAP). However, most of the synthesis studies assembled all biomes 70 together, which masked differences in response over spatial gradients within a biome type, such 71 as grasslands. Compared to carbon fluxes, spatial variability in water vapor fluxes and water use 72 73 efficiency at the ecosystem level, and the mechanistic understanding of the underlying 74 controlling mechanisms in grasslands is still unclear. In addition, very little is known regarding 75 the relative sensitivity of different grassland communities (C_4 , mixed C_3/C_4 , and C_3 dominant) across broadly-distributed grasslands to climate. High frequency eddy covariance measurements 76 77 allow calculation of net ecosystem CO₂ exchange (NEE), evapotranspiration (ET), gross primary production (GPP), ecosystem respiration (ER), and synthetic metrics such as ecosystem water 78 use efficiency (EWUE, which reflects the tradeoff between water loss and carbon uptake in 79 carbon assimilation process), thereby facilitating investigation of responses of carbon and water 80 vapor fluxes to environmental drivers (Huxman et al., 2004; Law et al., 2002). 81 82 Satellite remote sensing provides a feasible approach for monitoring vegetation dynamics at ecosystem to global scales (Myneni et al., 1997; Zhang et al., 2003). A better understanding of 83 phenological patterns of vegetation and their drivers is essential to improve climate and 84 85 biogeochemical cycle models and also to better simulate the exchange of carbon and water vapor fluxes between land surface and the atmosphere (Running and Hunt, 1993). Previously, 86 phenological dynamics have been shown to play a vital role in the variability of carbon and water 87 88 vapor fluxes at the ecosystem scale for a broad range of ecosystems (DeForest et al., 2006; Hutyra et al., 2007; Ma et al., 2013; Richardson et al., 2010; Wagle et al., 2015). However, the 89 major drivers of spatial variability of phenological metrics and the role of phenological dynamics 90 91 on spatial variability of fluxes have not been specifically examined for broadly-distributed

92 grasslands in the U.S. This greatly hampers our understanding of the impacts of future climate change on phenological dynamics and the carbon and water budgets of U.S. grasslands. Further, 93 an establishment of a robust relationship between tower fluxes and remotely sensed data can 94 facilitate extrapolation of site-level fluxes to obtain regional estimates of carbon and water 95 budgets across complex landscapes (Gilmanov et al., 2005; Xiao et al., 2008). 96 97 This study covers 12 AmeriFlux grassland sites that represent the distribution of grasslands within the conterminous U.S., including C₄ dominated semi-arid shortgrass prairie of the 98 Southwest (AZ), C₃ dominated Mediterranean grassland (CA), C₃/C₄ mixed temperate grassland 99 100 of the Northwest (MT) and Southeast (MS), C₄ dominated temperate continental tallgrass prairie 101 of the Midwest (IL, KS) and South Central (OK), and C_3/C_4 mixed humid continental grassland of the Midwest (SD). The objectives of this study were: 1) to analyze the spatial variability in 102 grassland carbon and water vapor fluxes, 2) examine whether a satellite measurement of green 103 biomass (as quantified by the Moderate Resolution Imaging Spectroradiometer (MODIS) 104 105 enhanced vegetation index, EVI) captures the observed spatial variability in carbon and water 106 vapor fluxes, and 3) determine the responses of GPP and ET to major climatic variables. The time series measurements quantify the conditional statistics associated with seasonal changes in 107 108 climatic variables and the results provide important insights about predicting the impact of climate change on biosphere-atmosphere feedbacks of grasslands under current and future 109 climatic conditions. 110

111 **2.** Materials and methods

112 2.1. Site descriptions

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113 The 12 grassland sites used in this study (Fig. S1) cover a broad range of geographic

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location, grassland type (warm-season C₄ dominant, mixed C₃ and C₄ species, and cool-season

115 C₃ dominant), and climate (semi-arid, temperate/temperate continental, humid continental, and 116 Mediterranean). Long term MAT ranged from 5 to 17 °C and MAP ranged from 345 to 1455 mm 117 across sites. General site characteristics for the study sites are provided in Table 1. Detailed site 118 information can be found in previous studies (see references in Table 1) or AmeriFlux website 119 (http://ameriflux.ornl.gov/).

120 2.2. Meteorological, eddy flux, and MODIS EVI data

121 Site-specific climate data [i.e., air temperature (T_a) , precipitation, volumetric soil water content (SWC), vapor pressure deficit (VPD)] and Level-4 eddy flux (half hourly, daily, and 122 123 weekly) were acquired from the AmeriFlux website (http://ameriflux.ornl.gov/) or from published data by the site PI (R. Scott, Kendall grassland). Carbon and water vapor fluxes were 124 125 measured at each site using the eddy covariance technique. GPP was derived by partitioning 126 NEE data (Reichstein et al., 2005). Some study sites (Flagstaff Wildfire, El Reno burn and 127 control, Fermi Prairie, Walnut, and Brookings) had a total of only two to three years of data and 128 measurements were not available for the entire year (mainly missing during winter, non-growing 129 season). In this case, NEE, GPP, ER, and ET data over the available period were averaged for the 130 same date into a single composite year and integrated for the entire year to derive annual sums of 131 NEE (NEE_{vr}), GPP (GPP_{vr}), ER (ER_{vr}), and ET (ET_{vr}) at each site. Moreover, due to data 132 availability during most of the growing season, growing season sums of NEE (NEE_{GSL}), GPP 133 (GPP_{GSL}), ER (ER_{GSL}), and ET (ET_{GSL}) at each site were also computed for each year. For the 134 rest of the sites where multiple years of data were available for the entire year, annual and growing season sums of carbon fluxes and ET at each site were computed for each year. Since 135 flux data were available for the peak growing season across all site-years, maximum values of 136 137 fluxes (NEE_{max}, GPP_{max}, and ET_{max}) at each site were computed for each year.

The 8-day composite MODIS land surface reflectance (MOD09A1) data for single pixels (500 m x 500 m) containing the geo-location coordinates of a flux tower were downloaded from the data portal of the Earth Observation and Modeling Facility, the University of Oklahoma (http://eomf.ou.edu/visualization/). Although the spatial resolution of the MODIS pixels and flux tower footprints may vary, Figure S2 shows that the MODIS pixels mostly cover uniform grasslands. Blue, green, red, and near infrared (nir) bands were used to derive EVI (Huete et al., 2002) as shown below:

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$$EVI = 2.5 \times \frac{\rho_{nir} - \rho_{red}}{\rho_{nir} + (6 \times \rho_{red} - 7.5 \times \rho_{blue}) + 1}$$
 (1)

where ρ is surface reflectance in the wavelength band. EVI, widely used as a proxy of canopy
greenness, is an optimized version of normalized difference vegetation index (NDVI) to account
for atmospheric noise variations and variable soil and canopy background influences (Huete et
al., 2002).

To match the temporal resolution of EVI, we calculated 8-day composite values of fluxes and meteorological variables. We averaged 8-day composite values of NEE, GPP, ET, T_a , and SWC over the study period for the same date into a single composite year for each site to determine their mean seasonal patterns. Maximum values of EVI (EVI_{max}) during the growing season were computed for each year. The 8-day composite EVI values for the growing season were summed to derive growing season sum of EVI (EVI_{sum}) for each year.

156 2.3. Growing season length based on GPP and EVI

157 Growing season length based on GPP (GSL_{GPP}) was determined as the number of days for 158 which GPP was > 1 g C m⁻² day⁻¹ (Wagle et al., 2014). Carbon uptake period (CUP) was 159 determined as the number of days of negative NEE (carbon uptake by the ecosystem) during the

growing season (Wagle et al., 2015). If there were periods with GPP less than 1 g C m^{-2} day⁻¹ or 160 positive NEE during the growing season, those periods were excluded from GSL_{GPP} or CUP (for 161 example see Brookings site in Table 2). To relate GSL_{GPP} from flux tower measurements, the 162 growing season length (GSL_{EVI}) was determined based on EVI. The GSL_{EVI} was defined as the 163 164 number of days the EVI was greater than the given threshold values for each site. The threshold 165 values of EVI were determined when EVI started to increase at the beginning of the growing season and decreased after senescence. The threshold EVI values were ~0.12 at semi-arid sites 166 (Audubon, Flagstaff, and Kendall) and Fortpeck, while they were ~ 0.20 at all other sites. To 167 168 reduce the uncertainties in times series of EVI and interannual variations in the growing season length at individual sites (the green-up time of grassland links to timing of rainfall and spring 169 temperature), we averaged 8-day composite EVI and carbon fluxes over the study period into a 170 single composite year to produce mean 8-day time series of EVI and carbon fluxes, then 171 determined GSL_{EVI}, GSL_{GPP}, and CUP. 172

173 2.4. Calculation of ecosystem water use efficiency (EWUE)

174 The EWUE for the annual scale (EWUE_{vr}) was calculated from the ratio of GPP_{vr} to ET_{vr} , 175 while EWUE for the growing season (EWUE_{GSL}) was determined from the ratio of integrated 176 GPP (GPP_{GSL}) to ET (ET_{GSL}) over the growing season. To assess the intrinsic link between GPP 177 and ET via stomatal conductance at the ecosystem level, inherent ecosystem water use efficiency 178 (IEWUE) was derived from the ratio of GPP to ET multiplied by VPD on daily time scales (Beer 179 et al., 2009) and compared for selected sites in different climate zones during dry years. To further examine the relative response of NEE, GPP, and ET to two major climatic variables (T_a 180 and VPD) across study sites, half-hourly daytime (global radiation $> 5 \text{ W m}^{-2}$) NEE, GPP, and 181

182	ET during the period of GSL_{GPP} (Table 2) for the entire study period were aggregated in 10
183	classes of increasing T_a and VPD, and plotted against T_a and VPD.

184 2.5. Statistical analysis

We performed correlation and regression analyses between fluxes, EVI, and major climatic variables to assess the degree of association between dependent and independent variables. The relationships with the highest level of significance (i.e., best fit functions) were selected. The coefficient of variation (*CV*) was calculated for annual and seasonal integrals and maximum values of fluxes and EVI across study sites to characterize the magnitudes of spatial variations.

190 **3. Results**

191 *3.1. Climatic conditions across study sites*

192 Air temperature showed similar seasonal patterns across study sites, while volumetric SWC showed different seasonal patterns among study sites (Fig. 1). Annual average SWC was below 193 194 20% at semi-arid sites, 25-35% at temperate and temperate-continental sites, 44% at Brookings (humid continental), and 18% at Vaira (Mediterranean). Annual average relative water content 195 $[\square_{R} = (\square - \square_{\min})/(\square_{\max} - \square_{\min})$, where \square_{\min} and \square_{\max} are minimum and maximum values of soil 196 water content observed at each site] was low at semi-arid sites (0.34 at Audubon, 0.39 at 197 Flagstaff, and 0.34 at Kendall), while it was 0.43 at Vaira and > 0.46 at other sites (up to 0.65 at 198 199 Brookings) (data not shown).

Several study sites experienced drought during the study period. For example, annual rainfall in 2004 was 26% lower than in 2005 at Audubon. At El Reno, annual rainfall was ~ 30 % below the annual average (860 mm, 1971-2000) in both years of the study period. However, growing season rainfall and SWC at El Reno were higher in 2005 than 2006 (Fischer et al., 2012). Annual rainfall in 2012 was 60% lower than in 2010 at Konza. Annual rainfall in 2001 was 33% lower than in 2003 at Fort Peck. The Goodwin site received more than normal rainfall in 2004 but
experienced drought in 2005. At Vaira, annual rainfall in the hydrological year (July to June) of
2003-2004 was 44% lower than the hydrological year of 2004-2005.

3.2. Seasonality and magnitudes of EVI and carbon and water vapor fluxes, and climatic
controls

To characterize the seasonal variation of grassland phenology, the mean seasonal cycles of 210 211 EVI, GPP, and ET were determined (Fig. 2). The EVI generally began to increase rapidly at approximately DOY 100 (April) for the majority of the sites, reached peak values in summer 212 (peak growth), and decreased during the plant senescence stage and approached the pre-CUP 213 value (e.g., prior to DOY 100) near DOY 300 (end of October). However, different seasonal 214 215 lengths, as indicated by seasonal dynamics of EVI, were observed at Audubon and Kendall (semi-arid grasslands: DOY 180-300, corresponding to the summer rainy season), Vaira 216 (Mediterranean grassland: ~DOY 300-160, with EVI_{max} occurring in spring), and Goodwin 217 218 (temperate: ~DOY 25-335). Table 2 shows that mean EVI_{max} ranged from 0.27 ± 0.08 (Kendall) 219 to 0.66 \pm 0.1 (Brookings) and mean seasonal EVI_{sum} ranged from 2.49 \pm 0.27 (Kendall) to 16.28 220 \pm 0.22 (Goodwin), with CV of 31 and 48% across sites, respectively. The across-site analysis shows that EVI_{max} was strongly correlated with annual average \Box_R (R² = 0.70, P < 0.001) but not 221 with seasonal average $\Box_{\rm R}$ (R² = 0.12, P = 0.27). Similarly, mean seasonal EVI_{sum} was strongly 222 correlated with spatial variation in annual average \Box_{R} (R² = 0.67, P = 0.001) than seasonal 223 average $\Box_{\rm R}$ (R² = 0.17, P = 0.18). Annual average $\Box_{\rm R}$ and MAP together explained 90% (P < 224 225 0.0001) of spatial variation in mean seasonal EVI_{sum}.

The seasonal dynamics of carbon and water vapor fluxes also corresponded well with thevegetation dynamics because the fluxes began to increase after the grasses greened up, peaked at

the maturity stage, and declined after senescence (Fig. 2). The GSL_{GPP} varied from only about 228 1.5 months (from end of July to mid-September) at semi-arid sites (Audubon and Kendall 229 grasslands) to about nine months (from the starting of February to early November) at Goodwin 230 231 (Table 2). Similarly, CUP was the longest (eight months) at Goodwin and the shortest at Audubon (41 days) as CUP was strongly correlated with GSL_{GPP} ($R^2 = 0.65$, P < 0.001) and 232 MAP ($R^2 = 0.72$, P < 0.001). As expected, strong correlations were observed between GPP_{yr} or 233 GPP_{GSL} and GSL_{GPP} (both: $R^2 = 0.79$, P < 0.001), and between CUP and NEE_{vr} ($R^2 = 0.66$, P =234 0.001) or NEE_{GSL} ($R^2 = 0.56$, P = 0.005). Different magnitudes of NEE, GPP, and ET were 235 observed across study sites (Table 2), with CV of 51%, 42%, and 29%, respectively, indicating a 236 larger spatial variability of NEE and GPP than of ET. Annual average \Box_{R} explained 22% (P =237 0.11), 33% (P = 0.05), and 74% (P < 0.0001) of spatial patterns in NEE_{max}, GPP_{max}, and ET_{max}, 238 respectively. 239

240 *3.3. Variability in carbon and water budgets*

241 Large variability in annual and seasonal sums of NEE, GPP, and ET was observed across 242 study sites (Table 3), with CV of 164%, 54%, and 39%, respectively, on an annual scale and 93%, 58%, and 46%, respectively, on the seasonal scale. Average NEE_{vr} across study sites 243 showed a net carbon uptake by grasslands, $-76 \pm 125 (\pm SD) \text{ g C m}^{-2} \text{ year}^{-1}$, but with larger 244 variability than the uptake itself. The spatial variation in NEE_{vr} was more strongly related to the 245 variation in GPP_{yr} ($R^2 = 0.55$, P = 0.006) than to ecosystem respiration (ER_{yr}, $R^2 = 0.33$, P =246 247 0.05). Annual and seasonal sums of carbon fluxes and ET tended to be lower in regions with smaller precipitation as fluxes showed positive and nonlinear relationship with precipitation (Fig. 248 3). Results show that GPP, ER, and ET were similar for the site-years with approximately > 800249 250 mm of annual precipitation and > 600 mm of seasonal precipitation. The across-site analysis

shows that annual average \Box_R explained 53% (P = 0.01) of NEE_{GSL}, 55% (P < 0.01) of NEE_{yr},

252 72% (P < 0.001) of GPP_{GSL} and ER_{GSL}, 75% (P < 0.001) of GPP_{vr}, and 67% (P = 0.002) of ER_{vr}

when the Brookings site was excluded. Annual average \Box_{R} explained 77% (P < 0.001) and 74%

- 254 (P < 0.001) of spatial variations in ET_{GSL} and ET_{yr}, respectively.
- In addition to spatial variability, grasslands showed large interannual variability in carbon uptake potential in response to climate forcing (i.e., drought) and disturbances (i.e., fire,

257 invasion) or the biological legacy effects of extensive vegetation growth in the previous year. For

example, there was a net carbon uptake of 167 g C m^{-2} year⁻¹ at the Audubon site in 2005 when

annual and seasonal rainfall was over 350 and 250 mm, respectively, while the site emitted more

260 carbon than it assimilated for the rest of the years. The Konza site, which had a consistent carbon

uptake from 2007 to 2011, emitted more carbon than it assimilated in the drought year of 2012.

262 The Flagstaff Wildfire site emitted more carbon than it assimilated both on seasonal and annual

time scales for the entire study period because of the wildfire a decade before the flux

264 measurements that killed all trees. The Audubon site emitted more carbon in 2003 after the

wildfire in 2002. The carbon uptake by the Fermi Prairie site declined dramatically in 2006

because of an infestation of white sweet clover (*Melilotus alba*) which died out completely and

very little green vegetation was left in the field after July. Even though spring 2006 was wet at

the Vaira site, NEE decreased substantially in the 2005-2006 growing season because an

extraordinary amount of litter produced in 2004-2005 covered the ground surface and suppressed
the grass growth in 2005-2006, and also increased ER (Ma et al., 2007).

271 3.4. Relationship of EVI with carbon and water vapor fluxes

The EVI was strongly correlated with GPP, with correlation coefficient (r) of more than 0.8

at nine out of 12 sites, and with ET, with r of more than 0.7 at ten out of 12 sites (Table 4). The

274 across-site analysis showed that the correlation of EVI remained high with seasonal variations in GPP (r = 0.85) and ET (r = 0.80). The EVI_{max} was strongly correlated with spatial variations in 275 NEE_{max} ($R^2 = 0.67$, P = 0.001), GPP_{max}, ($R^2 = 0.80$, P < 0.001), and ET_{max} ($R^2 = 0.68$, P < 0.001) 276 277 0.001). To further test the capabilities of EVI to capture the observed trends in the flux data series and seasonal sums of fluxes, we tested the ability of GSL_{EVI} to predict GSL_{GPP} and CUP 278 (Fig. 4a), and seasonal sums of GPP and ET (Fig. 4b). We also examined the relationships of 279 EWUE, GPP, and ET with EVI_{sum} on the seasonal scale (Fig. 4c, d). The GSL_{EVI} showed strong 280 linear correlations with the spatial variability in GSL_{GPP} ($R^2 = 0.94$, P < 0.0001), CUP ($R^2 =$ 281 0.70, P < 0.001), GPP_{GSL} (R² = 0.62, P = 0.002), and ET_{GSL} (R² = 0.61, P = 0.003). High levels 282 of canopy green biomass and coverage, as indicated by higher seasonal EVI_{sum}, were strongly 283 associated with higher EWUE_{GSL} ($R^2 = 0.62$, P < 0.0001), GPP_{GSL} ($R^2 = 0.78$, P < 0.0001), and 284 ET_{GSL} ($R^2 = 0.73$, P < 0.0001) (Fig. 4c, d). 285

286 *3.5. Relationship between GPP and ET, and variability in EWUE*

287 The across-site analysis of annual or growing season sums of GPP and ET showed strong positive relationships ($R^2 = 0.77$ on an annual scale and $R^2 = 0.82$ on the seasonal scale, Fig. 5), 288 indicating strong coupling between GPP and ET. However, the ratio of sums of GPP to ET 289 yielded variations in EWUE_{vr} (ranged from 0.67 \pm 0.55 to 2.52 \pm 0.52 g C mm⁻¹ ET) and 290 EWUE_{GSL} (ranged from 0.90 \pm 0.36 to 2.65 \pm 0.43 g C mm⁻¹ ET) across study sites (Table 3). 291 292 We also found interannual variability in EWUE at the same sites. Reduction in GPP during 293 relatively dry years compared to non-dry years at a similar level of ET resulted in a smaller 294 EWUE (i.e., the slope of the relationship between GPP and ET, Fig. 6).

295 3.6. Response of NEE, GPP, and ET to T_a and VPD

296	To better understand the effects of climate change on carbon and water vapor fluxes of
297	grassland ecosystems, we compared the response of NEE, GPP, and ET to two major climatic
298	variables (T _a and VPD) among all grassland sites (Fig. 7a, b). Results show that the responses of
299	NEE, GPP, and ET to T_a and VPD varied among grassland sites. In general, NEE, GPP, and ET
300	increased with T _a and VPD up to a certain level and declined thereafter. The optimum ranges of
301	T _a and VPD for NEE, GPP, and ET differed among sites. However, the responses of NEE, GPP,
302	and ET to T _a and VPD were very similar among grasslands in the same climatic zone. Maximum
303	values of NEE, GPP, and ET occurred at about 22-23 °C at two semi-arid sites (Flagstaff
304	Wildfire and Kendall), while they occurred at ~27 $^{\circ}$ C at another semi-arid site (Audubon).
305	Maximum values of NEE and GPP occurred at ~25 $^\circ$ C and ET occurred at ~30 $^\circ$ C at Fermi
306	Prairie, while NEE and GPP peaked at \sim 30 °C and ET peaked at \sim 35 °C at all other four
307	temperature continental sites and Goodwin (temperate). The NEE and GPP peaked at ~25 $^\circ$ C and
308	ET at ~30 °C at Brookings and Fort Peck. Maximum values of NEE, GPP, and ET occurred at
309	~20 °C at Vaira (Mediterranean).
310	Maximum values of NEE, GPP, and ET occurred at about VPD of 15-17 hPa and depressed
311	when VPD > 20 hPa at all three semi-arid sites. The values reached maximum at ~27 hPa at
312	three temperate continental sites (El Reno burn, El Reno control, and Konza), while they were
313	maximum at ~20 hPa at Walnut and ~15 hPa at Fermi Prairie. Both NEE and GPP reached
314	maximum at ~10 hPa at Brookings, Fort Peck, and Vaira, while they reached maximum at ~18
315	hPa at Goodwin. The ET reached maximum at ~25 hPa at Brookings, ~20 hPa at two temperate
316	sites (Fort Peck and Goodwin), and at ~15 hPa at Vaira. Our results show that carbon fluxes and
317	ET responded to T_a and VPD differently. Lower optimum temperature ranges for NEE and GPP
318	than ET, and more decline in NEE and GPP than ET at high T_a and VPD across sites (Fig. 7a, b)

indicated that physiological controls in response to increased T_a and VPD more greatly affected
NEE and GPP than ET.

As the individual response of GPP and ET to climatic variables may be confounded by the 321 effect of VPD on canopy conductance, we also compared the relationship between GPP and ET 322 vs. GPP x VPD and ET at the three selected sites in different climatic zones during relatively dry 323 years (Fig. 8). As expected, GPP and ET showed a linear relationship, but interestingly the 324 relationship between GPP x VPD and ET was exponential across all sites. The linear relationship 325 $(R^2 = 0.82)$ between GPP and ET was only slightly weaker than the exponential relationship $(R^2 = 0.82)$ 326 = 0.84) between GPP x VPD and ET at the El Reno control site, but the exponential relationship 327 between GPP x VPD and ET was substantially stronger than the linear relationship between GPP 328 and ET at Fort Peck ($R^2 = 0.70$ vs. 0.55) and Vaira ($R^2 = 0.86$ vs. 0.78). 329

330 4. Discussion

4.1. Variability in EVI and carbon and water vapor fluxes

332 The considerable effects of precipitation and SWC on vegetation production, as indicated by 333 EVI values, were consistent with previous reports that higher precipitation promotes higher 334 biomass in grasslands across spatial scales (Bai et al., 2004; Sala et al., 1988). This enhanced 335 plant growth and productivity was strongly correlated with greater rates of carbon and water 336 vapor fluxes, showing the ability of EVI to track spatial variability in carbon and water vapor 337 fluxes of geographically-distributed grasslands. Our analysis also demonstrates that EVI can be 338 used to delineate growing season length and CUP, and to approximate carbon and water vapor fluxes, and EWUE of grasslands (Fig. 4). These results indicate much potential of using this EVI 339 approach for understanding and extrapolating fluxes over large grassland areas. A previous study 340 341 also showed the potential to link the MODIS EVI and tower-derived GPP to better understand

the functioning of savanna ecosystems across the north Australian tropical transect (Ma et al.,
2013). Similarly, Churkina et al. (2005) showed that EVI calculated from VEGETATION
SPOT-4 was strongly correlated to the CUP and could be utilized to estimate NEE_{yr} of a broad
range of ecosystems.

Our results illustrate that the carbon uptake-emission status of the grasslands was conditional 346 347 upon soil water availability and precipitation. Higher annual average $\Box_{\rm R}$ was strongly correlated with higher values of fluxes. Similarly, carbon fluxes and ET increased linearly with increasing 348 precipitation at lower ranges of precipitation (< 1000 mm of annual rainfall and < 600 mm of 349 350 seasonal rainfall) (Fig. 3). This result supports an earlier finding (Gilmanov et al., 2005) that mixed prairie ecosystems in North America emitted more carbon than they assimilated during 351 352 years with lower than normal rainfall. A study that manipulated rainfall variability and quantity in a C₄ dominated native grassland in Northeast Kansas showed that carbon cycling processes 353 such as soil carbon efflux and carbon uptake by the vegetation were suppressed when rainfall 354 variability increased (Knapp et al., 2002). These results indicate that projected increases in 355 356 rainfall variability due to anthropogenic climate change and atmospheric warming could greatly influence carbon cycling processes of grasslands. Further, our study shows that carbon dynamics 357 358 of grasslands were subject to disturbance events (*i.e.*, fire, invasion) and the biological legacy 359 effects of one year on another year. Such alterations in carbon dynamics have been reported for 360 several grassland and forest ecosystems worldwide (Amiro et al., 2006; Barr et al., 2007; Ciais et 361 al., 2005; Flanagan et al., 2002; Gilmanov et al., 2007). These results suggest that this interannual variability in carbon and water vapor fluxes of ecosystems should be considered 362 363 when estimating regional carbon and water budgets.

364 4.2. Coupling between GPP and ET, and variability in EWUE

365	As expected, GPP and ET were strongly correlated (Fig. 5) due to the physiological control
366	of gas exchange (Valentini et al., 1991). However, responses to seasonal variations in climatic
367	variables differed between GPP and ET. These differential responses presumably led to partial
368	decoupling between GPP and ET under changing environmental conditions. As a result, the
369	relationship between GPP and ET was weaker as compared to GPP x VPD and ET among
370	selected sites in different climatic zones in relatively dry years (Fig. 8). These results
371	demonstrate that the intrinsic link between carbon assimilation and water loss through stomatal
372	conductance exists in grasslands at the ecosystem level since the ratio of ET to VPD is a proxy
373	for canopy conductance (Beer et al., 2009). As in Beer et al. (2009), we also observed a
374	nonlinear relationship between GPP x VPD and ET for grasslands, suggesting greater decoupling
375	of herbaceous canopies from the atmosphere than forests (Jarvis and McNaughton, 1986).
376	The climatic gradient affected grassland phenology and canopy coverage across study sites,
377	which strongly influenced carbon and water vapor fluxes of grasslands. The GPP_{GSL} and ET_{GSL}
378	were strongly correlated with EVI_{sum} , and additional units of EVI were associated with a greater
379	increase in GPP _{GSL} (101 g C m ⁻²) than ET_{GSL} (43.3 mm) as shown in Figure 4d. This is likely
380	because increasing canopy coverage increased light use efficiency for photosynthesis and
381	attenuated radiation transmitted to the soil surface and reduced soil evaporation. Although both
382	GPP and ET decreased during dry conditions, drought-induced reduction in vegetation cover
383	along with leaf-level physiological controls of high T _a and VPD on GPP than on ET caused more
384	reduction in GPP than in ET. As a result, EWUE was higher at the sites with larger EVI and less
385	climatically-imposed water limitation, and it was lower in dry years (Fig. 6) and at sites with
386	smaller EVI (Fig. 4c). Our result supports the finding of a previous study (Hu et al., 2008) which
387	showed a reduction in EWUE during drought and high EWUE in the years or sites with high

productivity of Chinese temperate grasslands. These results show a pronounced effect of droughton carbon and water vapor fluxes and EWUE of grasslands.

Reduction in EWUE of grasslands during drought in this study indicated the opposite 390 response than what has generally been reported for forests. Previous studies in forest ecosystems 391 392 have shown that EWUE of forests increases in dry years because of a greater reduction in ET 393 than GPP. During drought, EWUE increased in European forests but not in grasslands (Wolf et 394 al., 2013). Krishnan et al. (2006) reported a larger relative reduction in ET than in GPP of boreal forests in Saskatchewan, Canada, leading to higher EWUE in dry years. Likewise, fairly constant 395 396 values of EWUE were observed in most of the coniferous boreal and temperate forests of Canada (Brümmer et al., 2012). Water use efficiency in a beech forest was mainly controlled by 397 398 evaporative demand of the atmosphere and leaf stomatal behavior, and not by the canopy coverage (Herbst et al., 2002). In contrast, higher EWUE of grasslands was associated with 399 higher EVI in our study (Fig. 4c). This contrasting result can be attributed to differences in soil 400 401 evaporation as soil evaporation is negligible under well-developed forest but considerable in grasslands. Previous studies reported that grasslands did not reduce ET as long as soil moisture 402 was available but forests employed water saving strategies by reducing ET, causing the 403 404 contrasting responses of European forests and grasslands to drought and heatwaves (Teuling et 405 al., 2010; Wolf et al., 2013). These results suggest that the current ecosystem modeling approaches which predict increasing EWUE in response to drought (Baldocchi, 1997; Schulze, 406 407 1986; Williams et al., 1998) under the assumption of more stomatal regulation of water losses with slight reductions in photosynthesis (Flexas and Medrano, 2002) might not be applicable for 408 409 all biomes, at least for grasslands. This indicates the need to consider reduction in EWUE during 410 drought when modeling carbon fluxes of grasslands, especially in drought-prone environments.

411 **5.** Conclusions

This study revealed large spatial variability in carbon and water vapor fluxes, and vegetation 412 properties such as EVI among geographically-distributed grasslands in the U.S. These variations 413 were primarily related to differences in precipitation and soil water availability. Integration of 414 EVI with ground-based eddy flux and climate data showed potential to improve understanding of 415 416 the temporal and spatial variability in carbon and water vapor fluxes, and to approximate GSL, CUP, EWUE, and carbon and water vapor fluxes of grasslands. However, this result needs 417 verification by long-term observations across geographical sites and by more complete sampling 418 419 of grasslands of the world to examine whether these relationships hold. For example, this study lacks data from grasslands in tropical and sub-tropical regions. Our results show that the 420 optimum T_a and VPD ranges were lower for NEE and GPP than for ET, and NEE and GPP were 421 reduced more than ET at high T_a and VPD, suggesting the higher sensitivity of NEE and GPP 422 than ET to aridity. As a result, EWUE of grasslands decreased during dry years, a response the 423 424 opposite of what has generally been reported in forest ecosystems. This result is inconsistent with the assumption of current models of canopy functions which predict increasing EWUE 425 during drought due to a reduction in stomatal conductance. An evaluation of the intrinsic link 426 427 between GPP and ET through stomatal conductance across a wide range of environmental conditions is essential to better understand adaptation mechanisms of grasslands to climate. Our 428 study's comparison of the responses of carbon and water vapor fluxes of geographically-429 430 distributed grasslands to major climatic variables provides insight about the effects of climate change on carbon and water budgets of grasslands. 431

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605 Figure Legends:

Fig. 1. Mean seasonal dynamics (8-day composite values) of annual air temperature and near
surface volumetric soil water content (SWC) at the 12 grassland sites. Data over the study period
were composited into a single year for each site to determine the mean seasonal patterns.

Fig. 2. Mean seasonal dynamics (8-day composite values) of enhanced vegetation index (EVI),

610 gross primary production (GPP), and evapotranspiration (ET) at the 12 grassland sites. Data over

the study period were composited into a single year for each site to determine the mean seasonalpatterns.

Fig. 3. Relationships of annual (yr) or growing season (GSL) sums of gross primary production 613 (GPP), ecosystem respiration (ER), and evapotranspiration (ET) with annual or seasonal sum of 614 precipitation (Precip): (a) $y = -313 + 2.23x - 0.0007x^2$, $R^2 = 0.79$, (b) $y = -456 + 3.6x - 0.002x^2$, 615 $R^2 = 0.77$, (c) $y = -215 + 1.95x - 0.0006x^2$, $R^2 = 0.74$, (d) $y = -274 + 2.59x - 0.001x^2$, $R^2 = 0.79$, 616 (e) $y = 31.76 + 1.01x - 0.0004x^2$, $R^2 = 0.50$, and (f) $y = -103 + 1.67x - 0.001x^2$, $R^2 = 0.62$. All 617 relationships were statistically significant at the 0.0001 level. Lines represent best fit regressions. 618 619 Fig. 4. Relationships between: (a) carbon uptake period (CUP) and growing season lengths based on enhanced vegetation index (GSL_{EVI}) and gross primary production (GSL_{GPP}): CUP = 0.9x - 1000620 76.2, $R^2 = 0.70$, P < 0.001 and $GSL_{GPP} = 1.18x - 76.02$, $R^2 = 0.94$, P < 0.0001, (b) GSL_{EVI} and 621 growing season sums of GPP (GPP_{GSL}) and ET (ET_{GSL}): GPP_{GSL} = 6.48x - 546, R² = 0.62, P = 622 0.002 and $ET_{GSL} = 2.94x - 157$, $R^2 = 0.61$, P = 0.003, (c) ecosystem water use efficiency 623 (EWUE_{GSL}) and sum of enhanced vegetation index (EVI_{sum}) on the seasonal scale : y = -0.07 +624 $0.36x - 0.01x^2$, $R^2 = 0.61$, P < 0.0001, and (d) GPP_{GSL}, ET_{GSL}, and EVI_{sum}: GPP_{GSL} = 101x - 109, 625 $R^2 = 0.78$, P < 0.0001 and $ET_{GSL} = 43.3x + 52.7$, $R^2 = 0.73$, P < 0.0001. Lines represent best fit 626 627 regressions.

628	Fig. 5. Relationships between annual (yr) or growing season (GSL) gross primary production
629	(GPP) and evapotranspiration (ET) across the 12 grassland sites. Lines represent best fit linear
630	regressions.

- **Fig. 6.** Ecosystem water use efficiency (EWUE), i.e., the slope of gross primary production
- (GPP) vs. evapotranspiration (ET) on daily basis in dry (open symbols and dotted lines) and non-
- dry years (closed symbols and solid lines) for the three selected grassland sites.
- **Fig. 7.** Response of net ecosystem exchange (NEE), gross primary production (GPP), and
- evapotranspiration (ET) to (a) air temperature (T_a) and (b) vapor pressure deficit (VPD) at the 12
- $figure{1}{1}$ grassland sites. Half-hourly NEE, GPP, and ET data during day time (global radiation > 5 W m⁻
- 2) for the entire study period were aggregated in classes of increasing T_a and VPD.
- 638 Fig. 8. Relationship between gross primary production (GPP) and evapotranspiration (ET), and
- between GPP x VPD (vapor pressure deficit) and ET on a daily basis for the selected sites during
- 640 dry years.

Table 1. Description of vegetation types and climate at flux sites. MAT: mean annual

642 temperature, MAP: mean annual precipitation.

Climate	Site (State)	Latitude longitude	Elevation (m) MAT (°C) MAP (mm)	Study period	Vegetation	Soil type	References
Semi-arid	Audubon (AZ)	31.5907 -110.5092	1469 14.7 475	2002- 2006	Short-grass prairie (C ₄) and perennial herbs	Sandy clay loam	Krishnan et al. (2012)
Semi-arid	Flagstaff Wildfire (AZ)	35.4454 -111.7718	2270 9 610	2005- 2007	Short-grasses (C_3/C_4 mixed) with a few shrubs	Silt clay loam	Dore et al. (2008)
Semi-arid	Kendall (AZ)	31.7365 -109.9419	1531 17 345	2005- 2009	Short-grass prairie (C_4) and C_3 shrubs	Sandy loam	Scott et al. (2010)
Temperate continental	El Reno Burn (OK)	35.5497 -98.0402	421 14.9 860	2005- 2006	C ₄ dominated tallgrass prairie	Norge silt loam	Fischer et al. (2012)
Temperate continental	El Reno Control (OK)	35.5465 -98.0401	421 14.9 860	2005- 2006	C ₄ dominated tallgrass prairie	Norge silt loam	Fischer et al. (2012)
Temperate continental	Fermi Prairie (IL)	41.8406 -88.2410	226 9.4 937	2005- 2007	C ₄ dominated tallgrass prairie	Silt clay loam	Matamala et al. (2008)
Temperate continental	Konza (KS)	39.0824 -96.5603	443 13 835	2007- 2012	C ₄ dominated tallgrass prairie	Silt clay loam	Brunsell et al. (2008)
Temperate continental	Walnut (KS)	37.5208 -96.8550	408 13.1 1054	2001- 2004	Tallgrass prairie (C ₃ /C ₄ mixed)	Silt clay loam	Song et al. (2005)
Humid continental	Brooking s (SD)	44.3453 -96.8362	510 5.8 550	2004- 2006	Mixed C_3 and C_4 species	Clay loam	Gilmanov et al. (2010)
Temperate	Fort Peck (MT)	48.3077 -105.1019	634 5.13 500	2000- 2006 (missing 2002)	Mixed C_3 and C_4 species	Sandy loam	Gilmanov et al. (2010)
Temperate	Goodwin (MS)	34.2547 -89.8735	70 15.7 1455	2002- 2006	Short-grasses (C_3/C_4) with scattered trees and shrubs	Silt loam	Gilmanov et al. (2010)
Mediterranean	Vaira (CA)	38.4067 -120.9507	129 15.9 498	2001- 2007	Cool-season C ₃ species and sparsely distributed oak trees	Very rocky silt loam	Baldocchi et al. (2004)

- Table 2. Seasonal dynamics of carbon fluxes (net ecosystem CO₂ exchange, NEE and gross
- 645 primary production, GPP), maximum rates of NEE (NEE_{max}, g C m⁻² day⁻¹), GPP (GPP_{max}, g C
- m^{-2} day⁻¹), and evapotranspiration (ET_{max}, mm day⁻¹), and maximum (EVI_{max}) and seasonally
- 647 integrated values (EVI_{sum}) of enhanced vegetation index at the 12 grassland sites.

Site	GSL _{EVI}	CUP	GSL _{GPP}	NEE _{max}	GPP _{max}	ET _{max}	EVI _{max}	EVI _{sum}
	(DOY)	(DOY)	(DOY)	$(\pm SD)$	(± SD)	(± SD)	$(\pm SD)$	(± SD)
Audubon	185-305	217-257	209-257	-3.59 ±	5.51 ±	4.04 ±	0.32 ±	3.16 ±
				1.65	2.57	1.16	0.04	0.24
Flagstaff	105-313	121-153	121-273	-0.91 ±	4.45 ±	3.39 ±	0.30 ±	4.72 ±
Wildfire				0.34	0.39	0.66	0.04	0.22
Kendall	193-297	209-257	209-257	-2.73 ±	$4.45 \pm$	3.30 ±	0.27 ±	2.49 ±
				1.18	1.45	0.46	0.08	0.27
El Reno	97-305	113-217	105-289	-6.85 \pm	13.74 ±	5.54 ±	0.54 ±	$10.26 \pm$
burn				2.3	4.82	0.09	0.08	1.33
El Reno	97-297	113-217	97-289	-5.19 ±	11.02 ±	5.69 ±	0.55 ±	9.9 ±
control				0.23	2.01	0.3	0.09	1.28
Fermi	97-313	113-265	105-289	-9.50 ±	$14.49 \pm$	5.64 ±	0.65 ±	11.68 ±
Prairie				1.49	1.85	0.34	0.06	0.48
Konza	97-321	129-233	97-321	-9.10 ±	15.86 ±	7.61 ±	0.59 ±	10.31 ±
				1.29	2.63	0.92	0.07	0.38
Walnut	89-313	113-273	105-289	-4.50 ±	10.63 ±	5.24 ±	0.53 ±	$10.0 \pm$
				0.77	0.63	0.8	0.05	0.27
Brookings	81-329	97-185 &	97-305	-5.35 ±	10.59 ±	8.13 ±	0.66 ±	13.21 ±
		265-305		1.34	1.62	1.7	0.1	0.05
Fort Peck	97-265	105-169	105-225	-2.12 ±	$4.38 \pm$	5.24 ±	0.31 ±	4.59 ±
				1.24	2.14	2.86	0.03	0.17
Goodwin	25-337	33-273	33-313	-6.17 ±	12.68 ±	6.41 ±	$0.62 \pm$	16.28 ±
				2.29	1.12	2.43	0.04	0.22
Vaira	305-161	17-137	345-145	-5.41 ±	10.38 ±	3.81 ±	0.43 ±	$8.04 \pm$
				0.79	0.60	0.40	0.04	0.51

652

653

1. Maximum values of NEE, GPP, ET, and EVI at each site were first determined for an individual year, then averaged for the entire study period.

650 2. GSL_{EVI} and GSL_{GPP} are growing season lengths based on EVI and GPP, respectively. CUP is carbon uptake
 651 period.

3. The 8-day composite EVI values were summed (EVI_{sum}) for the period of GSL_{EVI} for an individual year, then averaged for the study period.

654	Table 3. Integrated net ecosystem CO ₂ exchange (NEE, g C m ⁻² year ⁻¹), gross primary production
655	(GPP, g C m ⁻² year ⁻¹), and evapotranspiration (ET, mm year ⁻¹) on annual (yr) or growing season
656	(GSL) scales at the 12 grassland sites. Ecosystem water use efficiency (g C mm ⁻¹ ET) on annual
657	(EWUE _{yr}) and seasonal (EWUE _{GSL}) scales were derived from the ratio between annual and
658	growing season sums of GPP and ET, respectively.

Site	NEE _{yr}	GPP _{yr}	ET _{yr}	EWUE _{yr}	NEE _{GSL}	GPP _{GSL}	ET _{GSL}	EWUE _{GSL}
		$(\pm SD)$	(± SD)		$(\pm SD)$	$(\pm SD)$	$(\pm SD)$	
	SD)							
Audubon	$88 \pm$	$178 \pm$	264 ±	$0.67 \pm$	$-5 \pm$	147 ±	155 ±	$0.93 \pm$
	250	151	10	0.55	110	112	35	0.64
Flagstaff	93	391	382	1.02	60	353	302	1.17
Wildfire								
Kendall	-20 ±	188 ±	231 ±	0.79 ±	-39 ±	146 ±	138 ±	1.0 ± 0.3
	44	66	37	0.19	46	67	33	
El Reno	-71	1139	651	1.75	-207	1129	563	1.96 ±
burn								0.71
El Reno	-13	1085	714	1.52	-175	1060	603	1.74 ±
control								0.29
Fermi	-333	1298	660	1.97	-389	1267	580	2.23 ±
Prairie								0.03
Konza	-86 ±	1308 ±	663 ±	1.98 ±	-186 ±	1206 ±	593 ±	2.03 ±
	107	303	136	0.3	102	317	132	0.22
Walnut	-118	968	594	1.63	-154	938	510	1.83 ±
								0.12
Brookings	-183	859	826	1.04	-181	839	742	1.19 ±
C								0.52
Fort Peck	9 ±	331 ±	348 ±	$0.88 \pm$	19 ± 88	238 ±	262 ±	0.90 ±
	90	178	99	0.37		148	124	0.36
Goodwin	-223	1369 ±	665 ±	2.04 ±	-233 ±	1345 ±	636 ±	2.14 ± 0.4
	± 204	261	117	0.37	187	260	106	
Vaira	-55 ±	759 ±	299 ±	2.52 ±	-127 ±	751 ±	280 ±	2.65 ±
	96	204	41	0.52	113	202	34	0.43

- 660 Table 4. Correlation coefficients (r) between 8-day composite values of gross primary production
- 661 (GPP), evapotranspiration (ET), and enhanced vegetation index (EVI) for the entire study period

Site	GPP~EVI	ET~EVI		
Audubon	0.90	0.79		
Flagstaff Wildfire	0.63	0.47		
Kendall	0.85	0.72		
El Reno burn	0.94	0.91		
El Reno control	0.93	0.92		
Fermi Prairie	0.88	0.89		
Konza	0.89	0.80		
Walnut	0.94	0.92		
Brookings	0.73	0.81		
Fort Peck	0.69	0.67		
Goodwin	0.83	0.78		
Vaira	0.87	0.81		
Cross-sites	0.85	0.80		

at the 12 grassland sites.

Fig. 1



























