1	A semi-analytical scheme to estimate Secchi-disk depth from Landsat-8
2	measurements
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## 18 Abstract

The newly developed semi-analytical scheme (Lee et al. 2015a) for remote sensing of the 19 Secchi disk depth (Z<sub>SD</sub>, m) was modified and applied to Landsat-8 data to obtain high-spatial-20 resolution map of water clarity. In order to implement the quasi-analytical algorithm (OAA) for 21 the derivation of absorption and backscattering coefficients from Landsat-8 data, which are key 22 optical properties for the estimation of  $Z_{SD}$ , the representative wavelengths of Landsat-8 bands in 23 the visible domain are verified; so are the absorption and backscattering coefficients of pure 24 water for these bands. This semi-analytical scheme was then applied to a dataset having both *in* 25 situ measurements of  $Z_{SD}$  (~0.1-30 m) and remote-sensing reflectance and found that the 26 estimated  $Z_{SD}$  from remote sensing matches measured  $Z_{SD}$  very well (R<sup>2</sup> = 0.96, average absolute 27 percent difference  $\sim 17\%$ , N = 197). This scheme was further applied to a Landsat-8 image 28 collected in an estuary to obtain high-spatial resolution Z<sub>SD</sub> map, and the obtained spatial 29 30 distribution of  $Z_{SD}$  is found quite consistent with *in situ* measurements and visual observations. These results indicate an important application of Landsat data - to provide reliable high-31 resolution water clarity product of bays, estuaries, and lakes with a unified mechanistic system. 32

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Keywords: water clarity, Secchi disk depth, Landsat-8, semi-analytical algorithm, inherent
 optical properties

#### 37 **1. Introduction**

Coastal and inland waters are important ecosystems for all lives on Earth. They provide important sanctuary for phytoplankton and aquatic animals, resources for recreation activities, and supply of fresh waters for various industries and city dwellers. During the recent decades, from factors of human activities to climate variations, the quality of these water bodies is under significant stress; and there are more and more frequent occurrences of hazardous events, such as harmful algae blooms, in these ecosystems. Adequate, accurate, and consistent monitoring of these water bodies is a high priority for local and federal government agencies.

One of the water quality parameters routinely measured is water clarity (or water 45 transparency) using a Secchi disk (Arnone et al. 1984; Binding et al. 2007; Bukata et al. 1988; 46 47 Fleming-Lehtinen and Laamanen 2012; Stumpf et al. 1999) - a white or black-and-white disk with a diameter  $\sim 30$  cm. The depth of this disk when it is no longer viewable by an observer at 48 surface is called the Secchi disk depth ( $Z_{SD}$ , m). The value of  $Z_{SD}$  provides a direct and intuitive 49 50 representation of the clarity of a water body; and water clarity is a first order description of the quality status of an aquatic environment, where there have been millions of measurements of  $Z_{SD}$ 51 in the past 100+ years in both oceanic and inland water bodies (Boyce et al. 2012). However, due 52 to the inherent limitation from ship surveys, it is infeasible to have adequate and repetitive 53 observations over large areas and/or multiple lakes from shipborne surveys, although it is 54 excellent to provide detailed characterizations of a few isolated locations. Measurements by 55 airborne or space-borne sensors are the only feasible means to achieve large scale and long-term 56 observations of water clarity of aquatic environments. 57

58 Satellite systems aimed at water's biogeochemical properties are the ocean color satellite sensors, such as the CZCS of the 1970's and the SeaWiFS/MODIS/MERIS of the 1990's and 59 2000's (IOCCG 1999). These sensors have a few narrow (~20 nm in bandwidth) spectral bands 60 in the visible domain, and analyses of the radiance measured at these bands can provide 61 quantitative information of water constituents (e.g., concentration of chlorophyll or suspended 62 particulate matter) (IOCCG 2000) and water clarity (Doron et al. 2011; Shang et al. 2010). These 63 systems have a spatial resolution of ~300 m or coarser, which although have shown great 64 applications in coastal zones or large size lakes (Miller and McKee 2004; Petus et al. 2010), run 65 66 into difficulties to provide adequate measurements for bays, estuaries and many lakes, ecosystems that require much higher spatial resolution for its observations. 67

The Landsat series (thematic mapper and the enhanced thematic mapper) have 2 or 3 wide 68 (50 nm or more in bandwidth) spectral bands in the visible domain (Roy et al. 2014) which were 69 70 found useful for the remote sensing of some water constituents that include water clarity (Brezonik et al. 2005; Giardino et al. 2001; Olmanson et al. 2008). In particular, because of the 71 30 m spatial resolution of the Landsat data, it is "ideal" for synoptic observations of bays and 72 lakes, and a wide range of publications and applications can be found in the literature (Clark et al. 73 1987; Dekker et al. 2005; Zhang et al. 2003; Zhou et al. 2006). One worthnoting example of such 74 applications is the large scale and long-term monitoring of Z<sub>SD</sub> of the 10's of thousands of 75 Minnesota lakes from the 20+ years of Landsat data (Olmanson et al. 2008), which show a clear 76 contrast of water clarity of the many lakes and their variations for a two-decade period. The 77 78 approach used for that effort and many other studies (Binding et al. 2007; Brezonik et al. 2005), however, was purely empirical. Such kind of schemes have two inherent limitations: 1) it 79 requires many and wide range of match-up in situ measurements for the derivation of the 80

algorithms coefficients, and; 2) the empirical coefficients are data or location/region dependent,
thus the algorithm is not portable for application to other lakes or bays.

To overcome such limitations in empirically retrieving  $Z_{SD}$  from remote sensing, it has long 83 been desired to have a mechanistic algorithm for the derivation of Z<sub>SD</sub> from ocean color 84 measurements. An earlier attempt was that of Doron et al (2011), where the derivation for  $Z_{SD}$ 85 was based on a theoretical  $Z_{SD}$  model developed from the classical underwater visibility theory 86 (Duntley 1952; Preisendorfer 1986). It was found that, however, the estimated Z<sub>SD</sub> from ocean 87 color satellite data show large differences when compared with match-up in situ measurements 88 (Doron et al. 2011). This poor performance was reviewed in detail recently (Lee et al. 2015a) 89 90 and it was concluded that the most likely reason for the discouraging results is that the classical model for Z<sub>SD</sub> does not match the physical processes of sighting a Secchi disk in water by the 91 human eye. A new underwater visibility theory was then proposed and a new mechanistic model 92 93 for  $Z_{SD}$  has been established (Lee et al. 2015a). This model was subsequently evaluated with concurrent measurements (~300 stations,  $Z_{SD}$  in a range of ~0.1 - 30 m) of  $Z_{SD}$  and remote 94 sensing reflectance in a wide range of environments and obtained an unbiased absolute percent 95 difference of  $\sim 18\%$  between model estimated and *in situ* measured Z<sub>SD</sub> (Lee et al. 2015a), and 96 the difference changes to merely  $\sim 23\%$  for a MODIS-*in situ* matchup dataset (where there was a 97 time difference of  $\pm 6$  hours between MODIS and *in situ* measurements) [Shang et al, 2015, 98 submitted]. These results indicate a robust performance of the model and algorithm for the 99 estimation of  $Z_{SD}$  from ocean color measurements, which further inspired us to extend this 100 101 mechanistic scheme to Landsat-8 (L8 in the following) data for observation of water clarity of small water bodies. This paper describes the details of estimating  $Z_{SD}$  from L8 data, where the 102 remote-sensing reflectance (the input for  $Z_{SD}$  estimation) of L8 is generated with Acolite 103

104 (Vanhellemont and Ruddick 2015a, b). The overarching goal is to generate  $Z_{SD}$  product of bays, 105 estuaries, and lakes with a unified mechanistic data processing system.

106 **2. Methods** 

107 2.1 Model of the Secchi-disk depth

108 Historically, Z<sub>SD</sub> has been modeled as an inverse function of the beam attenuation coefficient (c) and the diffuse attenuation coefficient  $(K_d)$  of downwelling irradiance (Duntley 1952; 109 Preisendorfer 1986). Recently, through a careful and thorough review of the physics of sighting 110 of a Secchi disk by a human eye, it was found that the classical model of Z<sub>SD</sub> (Aas et al. 2014; 111 Preisendorfer 1986; Zaneveld and Pegau 2004) does not represent the observation of our eyes 112 (Lee et al. 2015a). Following the new underwater visibility theory, the Secchi-disk depth is 113 inversely proportional to the diffuse attenuation coefficient and can be expressed (Lee et al. 114 115 2015a)

116 
$$Z_{\rm SD} = \frac{1}{2.5 \,{\rm Min}(K_d^{tr})} \ln \left( \frac{\left| 0.14 - R_{rs}^{tr} \right|}{0.013} \right). \tag{1}$$

Here  $K_d^{tr}$  is the diffuse attenuation coefficient at the transparent window of the water body within the visible domain (410 - 665 nm), with  $R_{rs}^{tr}$  the remote-sensing reflectance corresponding to this wavelength. Therefore what is needed for the estimation of  $Z_{SD}$  is information of  $K_d^{tr}$  from L8 measurements.

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122 2.2 The overall scheme to analytically retrieve IOPs from remote sensing reflectance

Through analytical derivations of the radiative transfer equation, it has been found that  $K_d$  is 123 a function of the sun zenith angle and the inherent optical properties (IOPs) (Preisendorfer 1976) 124 of the upper water column, in particular the absorption (a) and backscattering  $(b_b)$  coefficients 125 (Gordon 1989; Lee et al. 2013). Thus, the key to obtain  $K_d^{tr}$  from L8 measurements is to derive a 126 and  $b_b$  from L8 data. Although various analytical or semi-analytical algorithms have been 127 developed in the past decades for the retrieval of IOPs from measurements of ocean color 128 (IOCCG 2006), no such algorithms yet were developed to process Landsat data. Because of the 129 mathematical simplicity and physical transparency, here we adopt the quasi-analytical algorithm 130 (QAA) (Lee et al. 2002) for the retrieval of a and  $b_b$  from the remote sensing reflectance of L8 131 (represented as  $R_{rs}^{L8}$ , sr<sup>-1</sup>), and processing steps are briefly described below. 132

133 In general, for  $R_{rs}$  observed in the nadir direction, it can be converted to its subsurface 134 counterpart ( $r_{rs}$ , sr<sup>-1</sup>) following (Lee et al. 2002)

135 
$$r_{\rm rs}(\lambda) = \frac{R_{rs}(\lambda)}{0.52 + 1.7R_{rs}(\lambda)}.$$
 (2)

136 Through modeling of the radiative transfer function,  $r_{rs}$  is a function of the ratio of  $b_b/(a+b_b)$  and 137 can be expressed as (Gordon et al. 1988)

138 
$$r_{\rm rs}(\lambda) = \left(g_0 + g_1 \frac{b_b(\lambda)}{a(\lambda) + b_b(\lambda)}\right) \frac{b_b(\lambda)}{a(\lambda) + b_b(\lambda)}.$$
 (3)

Here  $g_{\theta}$  (= 0.089 sr<sup>-1</sup>) and  $g_{I}$  (= 0.125 sr<sup>-1</sup>) are model constants (Lee et al. 2002). From this quadratic function, there is

141 
$$u(\lambda) = \frac{-g_0 + \sqrt{(g_0)^2 + 4g_1 \times r_{rs}(\lambda)}}{2g_1},$$
 (4)

where  $u = b_b/(a+b_b)$ . Thus, for any wavelength where there exist measurements of  $r_{rs}$ , knowing *a* will enable the analytical derivation of  $b_b$ ; vice versa. Following this logic, QAA starts with the estimation of *a* at a reference wavelength ( $\lambda_0$ )

145 
$$a(\lambda_0) = a_w(\lambda_0) + \Delta a(\lambda_0).$$
 (5)

146 Where  $a_w$  is the absorption coefficient of pure water and assumed as a constant,  $\Delta a(\lambda_0)$  is the 147 contributions from non-water constituents and estimated empirically from  $r_{rs}$  spectrum (Lee et al. 148 2002) [http://www.ioccg.org/groups/software.html].

149 After  $a(\lambda_0)$  is known,  $b_b(\lambda_0)$  is solved from Eq. 3 (Lee et al. 2002), which leads to

150 
$$b_{bp}(\lambda_0) = \frac{u(\lambda_0) \times u(\lambda_0)}{1 - u(\lambda_0)} - b_{bw}(\lambda_0), \qquad (6)$$

where  $b_{bw}$  and  $b_{bp}$  are the backscattering coefficients of pure seawater and particles, respectively. Further the  $b_{bp}$  values at other wavelengths are estimated following a power-law function (Gordon and Morel 1983)

154 
$$b_{bp}(\lambda) = b_{bp}(\lambda_0) \left(\frac{\lambda_0}{\lambda}\right)^{\eta}, \qquad (7)$$

155 with the exponent  $\eta$  estimated empirically from the  $r_{rs}$  spectrum 156 [http://www.ioccg.org/groups/software.html]. Since  $u(\lambda)$  is available from  $r_{rs}(\lambda)$ ,  $a(\lambda)$  can then be 157 easily derived after  $b_{bp}(\lambda)$  is known

158 
$$a(\lambda) = (1 - u(\lambda))(b_{bw}(\lambda) + b_{bp}(\lambda))/u(\lambda).$$
(8)

Following the radiative transfer equation,  $K_d(\lambda)$  is a function of  $a(\lambda)$  and  $b_b(\lambda)$  and can be modeled as(Lee et al. 2013)

161 
$$K_d(\lambda) = (1 + m_0 \times \theta_s) a(\lambda) + \left(1 - \gamma \frac{b_{bw}(\lambda)}{b_b(\lambda)}\right) \times m_1 \times (1 - m_2 \times e^{-m_3 \times a(\lambda)}) b_b(\lambda).$$
(9)

Here  $m_{0-3}$  and  $\gamma$  are model parameters and their values are 0.005, 4.26, 0.52, 10.8, and 0.265, respectively.  $\theta_s$  (in degrees) is the solar zenith angle in air.

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165 2.3 Algorithm parameters for implementing QAA with L8 band setting

For processing hyperspectral or MODIS or SeaWiFS remote sensing measurements,  $\lambda_0$  is 166 167 designated as 55x or 670 nm (Lee et al. 2002) [http://www.ioccg.org/groups/software.html], whereas the required values for  $a_w(\lambda_0)$  and  $b_{bw}(\lambda_0)$  are determined based on  $a_w$  and  $b_{bw}$  spectra 168 reported in the literature (Morel 1974; Pope and Fry 1997; Zhang et al. 2009). For L8, however, 169 because some bands (Band 2 and Band 3 in particular) have a bandwidth ~60 nm, it is necessary 170 to designate a representative wavelength for each band in order to properly propagate the optical 171 properties from one band to another (e.g., Eq. 7). Also, it is required to determine the 172 corresponding  $a_w$  and  $b_{bw}$  values for each band in order to implement QAA for L8 data. 173

The listed center wavelengths for the first four L8 bands are 443, 483, 561, and 655 nm, respectively (Franz et al. 2015; Vanhellemont and Ruddick 2015b). Fundamentally, because the reflectance of each wide band is a weighted average of the corresponding hyperspectral reflectance (see Eq. 10 below), the listed center wavelengths of these L8 bands may not necessarily reflect the representative wavelengths of an interested target if the reflectance of this target is strongly spectral dependent within a spectral window of ~50 nm. To obtain the representative wavelength of L8 bands for aquatic environments, remote sensing reflectance of equivalent L8 bands ( $R_{rs}^{L8}$ ) of a set (901 spectra) of hyperspectral  $R_{rs}$  measured in oceanic and coastal environments (Lee et al. 2014) were calculated by including the response function of each band (Gordon 1995)

184 
$$R_{rs}^{L8}(B_i) = \frac{\int_{400}^{800} R_{rs}(\lambda) RSR_i(\lambda) d\lambda}{\int_{400}^{800} RSR_i(\lambda) d\lambda}.$$
 (10)

Here  $RSR_i$  is the response function of L8 band number i ( $B_i$ ), and the hyperspectral (400-800 nm, 185 5-nm resolution)  $R_{rs}$  (Lee et al. 2014) were interpolated to 1-nm resolution for this calculation. 186 For each L8 band, the calculated  $R_{rs}^{L8}(B_i)$  were then compared with hyperspectral  $R_{rs}$  for 187 wavelengths ( $\lambda_j$ ) within  $\pm 10$  nm of the listed center wavelengths, respectively, and the slope and 188 bias in linear regression were calculated for each pair of  $R_{rs}^{L8}(B_i)$  vs  $R_{rs}(\lambda_j)$ . Table 1 presents 189 results (bias and |slope - 1.0|) of a few of these pairs. Based on these statistical values, it is 190 191 appeared that the center wavelengths of the L8 visible bands presented in the literature are generally applicable for aquatic environments. For Band 3, however, it is found that the most 192 representative wavelength (slope close to 1.0 and a bias closed to 0) is 554 nm (see Fig. 1), 193 instead of the listed 561 nm (close to 0 bias, but slope is  $\sim 0.96$ ), although both wavelengths have 194 a coefficient of determination  $(\mathbb{R}^2) > 0.99$  when compared with  $R_{rs}^{L8}(B_3)$ . This might be in part 195 because the absorption coefficient of pure water increases rapidly (a factor of ~4) from 520 nm 196 to 600 nm (Pope and Fry 1997), and  $R_{rs}$  of aquatic environments are generally much higher (at 197 least for this dataset) in the shorter than in the longer wavelengths for wavelength domain of  $B_3$ , 198 199 therefore the spectrally weighted average (Eq. 10) at this band will have a tendency tilting to the shorter wavelength. Without losing the generality and for easy processing of L8 image, 554 nm 200

is employed as the representative wavelength for L8 Band 3 in this effort, although the impact on the estimation of  $b_{bp}$  at short wavelengths (see Eq. 7) is generally less than 2% for this modification.

After the verification of the representative wavelengths for the L8 bands in the visible domain, the other parameters required to be determined for the implementation of QAA is  $a_w^{L8}(B_i)$  and  $b_{bw}^{L8}(B_i)$ . Because  $R_{rs}$  is proportional to the backscattering coefficient (Eq. 3), band-averaged  $b_{bw}^{L8}(B_i)$  of the first four bands were calculated following the scheme to obtain band-averaged  $R_{rs}$ ,

209 
$$b_{bw}^{L8}(B_i) = \frac{\int_{400}^{800} b_{bw}(\lambda) RSR_i(\lambda) d\lambda}{\int_{400}^{800} RSR_i(\lambda) d\lambda}, \qquad (11)$$

with hyperspectral  $b_{bw}$  spectrum from Zhang et al (2009).

On the other hand, because  $R_{rs}$  is inversely proportional to the absorption coefficient, bandaveraged  $a_w^{L8}(B_i)$  were obtained from a two-step process:

213 
$$x_{w}^{L8}(B_{i}) = \frac{\int_{400}^{800} RSR_{i}(\lambda) \left(1/a_{w}(\lambda)\right) d\lambda}{\int_{400}^{800} RSR_{i}(\lambda) d\lambda}, \qquad (12a)$$

214 
$$a_w^{L8}(B_i) = \frac{1}{x_w^{L8}(B_i)}.$$
 (12b)

The hyperspectral (5-nm original resolution, interpolated to 1-nm resolution)  $a_w$  spectrum for the 400-800 nm range used in the above calculation is a combination of the results of Lee et al 217 (2015b) (400 - 545 nm), Pope and Fry (1997) (550 - 720 nm), and Kou et al (1993) (725 - 800 218 nm). The resulted  $a_w^{L8}(B_i)$  and  $b_{bw}^{L8}(B_i)$  are presented in Table 2.

Further, since there are no significant differences between the representative wavelengths of L8 bands and those of SeaWiFS bands, the default algorithm coefficients used in the current version of QAA [http://www.ioccg.org/groups/software.html] to estimate  $\Delta a(\lambda_0)$  were applied for the L8 band settings. With the above-derived  $a_w$  and  $b_{bw}$  values for L8, a and  $b_b$  of the first four L8 bands can be adequately derived from  $R_{rs}^{L8}$  following the steps described in Section 2.2; subsequently  $K_d$  of these bands can be calculated based on Eq. 9.

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## 226 2.4 Spectral gap filling

Sighting a Secchi disk in water represents measurements of optical signal in the most 227 228 transparent window of the water (Aas et al. 2014; Lee et al. 2015a), which was found can be well characterized with measurements around 440, 490, 530, 555, and 670 nm (Lee et al. 2015a). L8, 229 however, has only four wide bands centered at ~443, 481, 554 and 656 nm in the visible domain, 230 231 thus lacks a band focused at the 500-530 nm window that covers waters more transparent at these wavelengths. Although there is also a wide spectral gap between 554 and 656 nm, extremely few 232 waters having a transparent window in this spectral range, thus this window is not important for 233 the determination of  $Z_{SD}$ , as evidenced for the wide range of environments reported in Lee et al 234 (2015a). To fill the spectral gap around 530 nm, we developed an empirical relationship based on 235 the dataset used in Lee et al (2015a). In that study,  $K_d(488)$ ,  $K_d(530)$ , and  $K_d(555)$  were all 236 estimated independently from the measured  $R_{rs}$  spectrum; and, through multiple regression 237 analysis, it was found that 238

$$K_d(530) = 0.20 K_d(488) + 0.75 K_d(555).$$
<sup>(13)</sup>

Figure 2 compares Eq. 13 estimated  $K_d(530)$  with that derived from  $R_{rs}(530)$ , where the unbiased average absolute percent difference is ~6.9% (R<sup>2</sup> = 0.99, N = 338). Such results provide us the confidence to estimate  $K_d(530)$  from values of  $K_d(488)$  and  $K_d(555)$ . Thus, by assuming no significant difference in attenuation coefficients for the small wavelength differences,  $K_d(530)$ for L8 band setting is approximated as

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$$K_d^{L8}(530) = 0.20 K_d^{L8}(481) + 0.75 K_d^{L8}(554).$$
(14)

Therefore, with  $K_d$  at 443, 481, 554, and 656 nm retrieved semi-analytically from  $R_{rs}^{L8}$ , and  $K_d(530)$  estimated from  $K_d(481)$  and  $K_d(554)$ , a spectral minimum  $K_d$  of a water body can then be determined from the multiband  $K_d$  data, and  $Z_{SD}$  can be calculated following Eq. 1. In this calculation, because  $R_{rs}^{L8}$  is significantly smaller than the remote-sensing reflectance of a white disk, there was no attempt to find the  $R_{rs}^{L8}$  value corresponding to 530 nm, and  $R_{rs}^{tr}$  in Eq. 1 was determined as the maximum  $R_{rs}$  value among wavelengths of 443, 481, 554, and 656 nm.

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#### 253 **3. Results**

A dataset of 197 sites (see Fig. 6 of Lee et al (2015a) for locations) containing concurrent measurements of  $Z_{SD}$  and hyperspectral  $R_{rs}$  is used to evaluate the performance of the abovedescribed semi-analytical scheme to estimate  $Z_{SD}$  from L8 band settings. Measurements of  $Z_{SD}$ were carried out conventionally with a standard 30 cm white disk. Measurements of hyperspectral  $R_{rs}$  were carried out from above the sea surface with a GER 1500 (350 - 1000 nm, 3 nm resolution) following the Ocean Optics Protocols (Mueller et al. 2003), with the processing steps detailed in Shang et al (2011). The equivalent L8  $R_{rs}$  of these measurements were derived following Eq. 10, subsequently *a*, *b*<sub>b</sub>, and *K*<sub>d</sub> of the L8 bands were derived with the steps described in Section 2.2, which further led to semi-analytically estimated  $Z_{SD}$  following Eq. 1. A nominal sun angle of 30° from zenith was used for the calculation of *K*<sub>d</sub> of all stations.

The comparison between  $Z_{SD}$  derived from simulated- $R_{rs}^{L8}$  and *in situ*  $Z_{SD}$  is shown in Fig. 3. 264 Statistically, the  $R^2$  value in linear regression analysis between the two  $Z_{SD}$  datasets (in a range of 265  $\sim 0.1$  - 30 m) is 0.96, along with average unbiased absolute percent difference as 16.7%. These 266 results are almost identical to that obtained from multispectral narrow-bandwidth  $R_{rs}$  (see Fig. 6 267 of Lee et al (2015a)), suggesting robust  $Z_{SD}$  retrievals from  $R_{rs}^{L8}$ . This may not be too surprising 268 because  $Z_{SD}$  value represents a measurement of the bulk water property, whereas the band 269 settings of L8 also provide an observation the bulk water. It deserves an emphasis, however, that 270 during this evaluation there was no algorithm tuning to fit the measured Z<sub>SD</sub> values for the wide 271 range of environments encountered. It is the same algorithm used for the derivation of  $Z_{SD}$  for all 272 locations covering clear oceanic and turbid coastal waters. Such features ensure reliable and 273 consistent  $Z_{SD}$  retrievals from  $R_{rs}^{L8}$  for different regions or areas as long as the quality of 274  $R_{rs}^{L8}$  derived from L8 images is acceptable. On the other hand, it is necessary to point out that the 275 upper limit of this  $Z_{SD}$  dataset is ~30 m, thus not so sure yet of the potentials of using L8 to 276 monitor  $Z_{SD}$  of super blue waters where the transparent window might be in the 400-450 nm 277 range, a window not clear if L8 has enough signals from such waters. 278

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## 280 **4. Demonstration with a Landat-8 image**

281 The scheme described in Section 2 was applied to an L8 image to obtain a high-spatial resolution (30 m) water clarity map of an estuary, whereas the sensor specifics of L8 can be 282 found in Roy et al (2014) and Franz et al (2015). This image (LC81190432013216LGN00) was 283 collected on August 4, 2013 and the targeted area is the Jiulongjiang River estuary off Xiamen 284 city, China (see Fig. 4a and Fig. 4b for the location). The selection of this L8 image was because 285 286 that there were measurements of both  $Z_{SD}$  and hyperspectral remote sensing reflectance in this area (the three red circles in Fig. 4b) eleven days ago (July 24, 2013), with Z<sub>SD</sub> as 0.4, 0.8, and 287 1.4 m at P1, P2 and P3, respectively. 288

The analytical approach to retrieve  $Z_{SD}$  requires  $R_{rs}^{L8}$  as inputs, which was generated with the 289 Acolite algorithm detailed in Vanhellemont and Ruddick (2015b). As a crude evaluation of the 290 quality of  $R_{rs}$  retrieved with Acolite, Fig. 4c shows  $R_{rs}^{L8}$  from L8 and  $R_{rs}^{L8}$  calculated from 291 hyperspectral  $R_{rs}$  of the three points marked in Fig. 4b. Because there was an 11-day temporal 292 293 gap between the L8 observation and *in situ* measurements and this is a highly dynamic estuary, there are obvious differences in  $R_{rs}$  values (especially at P1), but overall  $R_{rs}^{L8}$  appeared valid and 294 consistent with this turbid aquatic system. And, all three locations have the maximum  $R_{rs}^{L8}$  at 295 Band 3; because  $K_d$  is generally dominated by a and  $R_{rs}$  is inversely proportional to a, these  $R_{rs}^{L8}$ 296 spectra indicate that the  $K_d$  values at Band 3 were used for the estimation of  $Z_{SD}$  of these 297 298 locations.

The *ZsD* map of this area derived from L8 is shown in Fig. 4d. Generally there is a pattern of higher clarity ( $\sim$ 2 m) further offshore while lower clarity ( $< \sim$ 0.3 m) closer to the river mouth; and the Jiulongjiang River has a water clarity generally less than 0.2 m - spatial patterns that are consistent with numerous visual observations of tourists and fishermen. For locations P1, P2 and 303 P3,  $Z_{SD}$  values from the L8 data are ~0.3, ~0.6, and ~0.9 m, respectively; which are ~0.2, ~0.7, and ~1.3 m, respectively, from *in situ* hyperspectral  $R_{rs}$ . The *in situ* and L8  $Z_{SD}$  values do not 304 exactly match each other; but the spatial gradient, i.e. an increase of  $Z_{SD}$  from the inner estuary to 305 the outer estuary, is consistent. There are certainly uncertainties associated with the  $Z_{SD}$ 306 algorithm (Lee et al. 2010; Lee et al. 2015a) and that of the derived  $R_{rs}$  from L8 measurements 307 (Vanhellemont and Ruddick 2015b), which will contribute to the  $Z_{SD}$  difference. However, the 308 primary source of difference in the Z<sub>SD</sub> values here is most probably due to the gap in observation 309 time (11 days). The Jiulongjiang River estuary is an area with a semi-diurnal tide; clearer sea 310 water goes upstream at high tide, while turbid river water covers most of the estuary at low tide. 311 Z<sub>SD</sub> at a locale could thus change within hours even in a day. 312

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## 314 5. Conclusions

It is found that the spectral band setting of Landsat-8 is adequate for the estimation of Secchi 315 316 disk depth ( $Z_{SD}$ ); and the accuracy of the semi-analytically estimated  $Z_{SD}$  from L8 band setting is 317 similar to that obtained from a SeaWiFS/MODIS-type dataset, at least for  $Z_{SD}$  in a range of ~0.1 -30 m. These results provide an indirect support on the retrieval of water's total absorption and 318 backscattering coefficients from L8 band settings with the quasi-analytical algorithm (Lee et al. 319 2002) [http://www.ioccg.org/groups/software.html]. Further, as a demonstration, an application 320 of the semi-analytical scheme for  $Z_{SD}$  to an L8 image collected over a turbid estuarine area 321 obtained reasonable Z<sub>SD</sub> values and consistent spatial patterns. These results suggest that the 322 Acolite algorithm for atmosphere correction of Landsat-8 image (Vanhellemont and Ruddick 323 2015b) is promising and support further the semi-analytical scheme for  $Z_{SD}$  from L8 data. 324

325	However, because the quality of $R_{rs}$ plays a critical role on the quantitative remote sensing of
326	water properties, it demands substantial efforts from the community to develop robust processing
327	systems to generate high-quality $R_{rs}$ from L8 for various lake and estuary ecosystems; which also
328	demands support and efforts to obtain more concurrent measurements to validate the $R_{rs}$ and $Z_{SD}$
329	products from L8.

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## 462 **Figure captions:**

- Figure 1. Relationship between  $R_{rs}^{L8}(B3)$  and  $R_{rs}(554;561)$  for a wide range of aquatic environments.
- Figure 2.  $K_d(530)$  synthesized from  $K_d(488)$  and  $K_d(555)$  compared with  $K_d(530)$  derived from  $R_{rs}(530)$ .
- **Figure 3**. Comparison between  $Z_{SD}$  derived from simulated  $R_{rs}^{L8}$  and *in situ*  $Z_{SD}$ . The average unbiased absolute percent difference is ~17% with  $Z_{SD}$  in a range of ~0.1 - 30 m.
- **Figure 4**. Application of the semi-analytic  $Z_{SD}$  scheme to an L8 image over the estuary off
- 470 Xiamen City, China. (a) Location of the targeted area. (b) Pseudo true color of the estuary from
- 471 the L8 measurements. (c)  $R_{rs}^{L8}$  from L8 compared with that from *in situ* measurements for the
- 472 three red points in (b); solid lines for  $R_{rs}^{L8}$  from *in situ* measurements, open symbols for  $R_{rs}^{L8}$
- 473 from L8. Note that there is an 11-day gap between the two observations. (d).  $Z_{SD}$  map derived 474 from  $R_{rs}^{L8}$ .

# 477 Table 1. Representative wavelength of Landsat-8 visible bands

Band 1 (433-453 nm)						
Wavelength [nm]	441	442	443	444	445	
Slope-1	0.0042	0.0016	0.0014	0.0047	0.0084	
bias	0.00001	0.00001	0.00001	0.00002	0.00002	
	Ва	and 2 (450-51	5 nm)			
Wavelength [nm]	480	481	482	483	484	
Slope-1	0.0079	0.0025	0.0028	0.0082	0.0137	
bias	-0.00015	-0.00013	-0.00012	-0.00011	-0.00009	
Band 3 (525-600 nm)						
Wavelength [nm]	553	554	555	556	557	
Slope-1	0.0039	0.0003	0.0048	0.0094	0.0143	
bias	-0.00022	-0.00020	-0.00018	-0.00016	-0.00015	
Band 4 (630-680 nm)						
Wavelength [nm]	654	655	656	657	658	
Slope-1	0.0176	0.0099	0.0011	0.0089	0.0195	
bias	0.00000	0.00001	0.00002	0.00004	0.00005	

Table 2. Absorption and backscattering coefficients of pure seawater for L8 visible bands.

	Band 1	Band 2	Band 3	Band 4
$a_{w} (m^{-1})$	0.005	0.011	0.064	0.368
$b_{bw} ({ m m}^{-1})$	0.0021	0.0014	0.0008	0.0004



Figure 1. Relationship between  $R_{rs}^{L8}(B3)$  and  $R_{rs}(554;561)$  for a wide range of aquatic environments.



**Figure 2**.  $K_d(530)$  synthesized from  $K_d(488)$  and  $K_d(555)$  compared with  $K_d(530)$  derived from 497  $R_{rs}(530)$ .



**Figure 3**. Comparison between  $Z_{SD}$  derived from simulated  $R_{rs}^{L8}$  and *in situ*  $Z_{SD}$ . The average unbiased absolute percent difference is ~17% with  $Z_{SD}$  in a range of ~0.1 - 30 m.



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Figure 4. Application of the semi-analytic  $Z_{SD}$  scheme to an L8 image over the estuary off Xiamen City, China. (a) Location of the targeted area. (b) Pseudo true color of the estuary from the L8 measurements. (c)  $R_{rs}^{L8}$  from L8 compared with that from *in situ* measurements for the three red points in (b); solid lines for  $R_{rs}^{L8}$  from *in situ* measurements, open symbols for  $R_{rs}^{L8}$ from L8. Note that there is an 11-day gap between the two observations. (d).  $Z_{SD}$  map derived from  $R_{rs}^{L8}$ .