1	Upper Oceanic Warming in the Gulf of Mexico between 1950 and 2020
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ABSTRACT

10 We estimate ocean heat content (OHC) change in the upper 2000 m in the Gulf of Mexico 11 (GOM) from 1950 to 2020 to improve understanding of regional warming. Our estimates are 12 based on 192,890 temperature profiles from the World Ocean Database. Warming occurs at 13 all depths and in most regions except for a small region at northeastern GOM between 200 14 and 600m. GOM OHC in the upper 2000m increases at a rate of 0.38±0.13 ZJ decade⁻¹ 15 between 1970 and 2020, which is equivalent to 1.21±0.41 TeraWatts (TW). The GOM sea 16 surface temperature (SST) increased ~1.0±0.25 °C between 1970 and 2020, equivalent to a warming rate of 0.19±0.05 °C decade⁻¹. Although SST in the GOM increases at a rate 17 approximately twice that for the global ocean, the full-depth ocean heat storage rate in the 18 GOM (0.86±0.26 W m⁻²) applied to the entire GOM surface is comparable to that for the 19 20 global ocean (0.82 to 1.11 W m⁻²). The upper 1000m layer accounts for approximately 80-21 90% of the total warming and variations in the upper 2000m in the GOM. The Loop Current 22 advective net heat flux is estimated to be 40.7±6.3 TW through the GOM. A heat budget 23 analysis shows the difference between the advective heat flux and the ocean heat storage rate 24 (1.76±1.36 TW, 1992-2017) can be roughly balanced with the annual net surface heat flux 25 from ECCO (-37.9 TW).

26 1. Introduction

27 The ocean heat content (OHC) of the global ocean has increased significantly over the 28 past few decades (Levitus et al. 2012; IPCC 2021). Although oceanic warming is a global phenomenon, its manifestations and consequences are different regionally. Therefore, 29 30 quantifying warming/cooling trends on a regional scale is critical to understanding the 31 impacts and conducting risk assessments for ecologically and economically significant 32 marginal seas, such as the Gulf of Mexico (GOM). Most oceanic warming research has been 33 focused on a global scale, with some exceptions (e.g. equatorial Indian Ocean, Alory and 34 Meyers 2009; Gulf of Maine, Seidov et al. 2021). Very little research is available regarding 35 detailed spatiotemporal distributions of the warming/cooling in the upper water of the GOM 36 (Ochoa et al. 2021; Li et al. 2022). Temperature profiles have been collected in the GOM 37 since the 1920s and are freely available via the World Ocean Database. In this manuscript, 38 we use the temperature profiles from the World Ocean Database 2018 (WOD18; Boyer et al. 39 2018) and its updates collected between 1950 and 2020 to quantify the OHC trend in the 40 GOM.

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41 Previous studies on oceanic warming in the GOM are mostly restricted to sea surface 42 temperature (SST) changes (e.g. Glenn et al. 2015; Muller-Karger et al. 2015; Li et al. 2022) 43 and deep-water warming (Ochoa et al. 2021). Glenn et al. (2015) studied the SST warming 44 trend for the Caribbean and surrounding regions (including GOM) between 1982 and 2012 45 using an optimum-interpolated SST product. They found a regional increase in annual average SST of 0.15 °C decade⁻¹ for the period 1982-2012 in the Caribbean and Gulf of 46 47 Mexico, which was larger than the annual global rate of 0.11 °C decade⁻¹ during the same 48 period. They also indicated that the warming was likely influenced by the El Niño-Southern 49 Oscillation (ENSO) based on cross-correlation analysis. Muller-Karger et al. (2015) found 50 that SST, wind speed, and sea surface height anomaly (SSHA) showed a statistically significant increase between the early 1980s and 2012 in the GOM. The increase in SST 51 anomaly ranged between 0.17 and 0.3 °C decade⁻¹ in the interior (depth>1000m) GOM. Li et 52 al. (2022) characterized the spatial patterns of the monthly trends in SST of the GOM and 53 54 investigated the seasonal variations in warming trends using satellite SST between 1982 and 55 2019. They found that the summer warming trend (0.22 °C decade⁻¹) was larger than the winter trend (0.05 °C decade⁻¹), suggesting seasonal variations of surface warming in the 56 57 GOM. Regional datasets for the Florida Keys outer-reef showed 0.8-0.9 °C of warming in sea 58 surface temperature over the 20th century and can be fully accounted for by the warming 59 observed from 1975 to 2007 (Kuffner et al. 2015). In a study of Hurricane Harvey, Trenberth 60 et al. (2018) discovered that OHC for the upper 160 m was the highest on record in the GOM prior to the beginning of the summer of 2017, which not only increased the fuel availability 61 62 for Hurricane Harvey intensification, but also increased flooding rains on land. Ochoa et al. (2021) studied deep-water warming in the western GOM between 2003 and 2019. They 63 found a stable linear warming trend of 0.016±0.002 °C decade⁻¹ at about 3500 m using near-64 65 bottom measurements at four sites between 2007 and 2018 and a rate of approximately 0.018±0.002 °C decade⁻¹ below 2000m between 2003 and 2019 using CTD data from eight 66 67 oceanographic cruises. The change of the OHC and the warming/cooling trend in the upper 68 2000m of the GOM are still unclear. The present study will fill in the gap about the OHC 69 change in the upper 2000m using WOD temperature profiles, which allow us to extend 70 satellite-only analyses backward in time and also allow us to diagnose trends at deeper 71 depths.

Knowing how the warming/cooling trend varies on different spatial and temporal scales is
 critical for understanding the consequences of oceanic climate change and climate variability

74 in the GOM. The increased temperature can affect many aspects of the natural environment 75 and ecosystem in the GOM, including coral reefs (Jordán-Dahlgren et al. 2005; Lunden et al. 76 2014), Atlantic Bluefin tuna (Muhling et al. 2011), and poleward organisms distribution shift 77 (Fodrie et al. 2010). The GOM is a semi-enclosed marginal sea that extends from 17.5 °N to 78 31.5 °N latitudes and from -98 °W to -80 °W. It has a long coastline with ~4.9% of the US 79 population living along the GOM coast (Cohen 2018; McKinney et al. 2021). It forms a 80 complex semi-enclosed system with interactions among physical, biogeochemical, 81 socioeconomic, and human activities. GOM ecosystems are vulnerable to climate change 82 impacts and threatened by habitat degradation, ecosystem fragmentation, and increased 83 population growth along the coast (McKinney et al. 2021).

84 Estimating and analyzing the OHC is essential for understanding the evolution of the 85 GOM long-term warming/cooling trend. Following Levitus et al. (2012), we use the term "ocean heat content" instead of "ocean heat content anomaly" used in some publications 86 87 because OHC is always computed with a reference mean subtracted from each observation. 88 In this paper, using OHC estimated from the objectively analyzed pentadal anomaly 89 temperature fields (observations interpolated to standard levels subtracted from the World 90 Ocean Atlas 2009 climatological mean temperature field; Locarnini et al. 2018) from the 91 World Ocean Database (WOD, Boyer et al. 2018), we investigate the spatial and temporal 92 distribution of the OHC change over the past 70 years and quantify the warming trend in the 93 GOM. We further discuss the heat budget closure in the GOM using the net surface heat flux 94 from multiple heat flux products and the estimated Loop Current net advective heat flux.

95 2. Data and Methods

96 *a. Data*

97 The warming trend in the GOM is quantified using running pentadal (five-year) 98 objectively analyzed gridded temperature anomaly fields. The temperature data used to 99 generate the pentadal gridded fields include 192,890 temperature profiles (Fig. 1) collected 100 between 1948 and 2020 in the WOD 2018 (WOD18; Boyer et al. 2018) and its updates. 101 WOD is the world's largest collection of publicly available ocean profile data. The 102 instrument and platform (vehicle from which the instruments were deployed), years of use, 103 and standard deepest depths of measurement are shown in Table 1. 104 Reversing thermometers attached to bottles and later Conductivity-Depth-Temperature 105 (CTD) sensors have been deployed in most years from research ships by U. S. universities, 106 mainly those in proximity to the Gulf, notably Texas A&M, the University of Southern 107 Mississippi, Louisiana State University, and the University of Miami. NOAA research ships 108 provide a major contribution of bottle/CTD temperature profiles from research and 109 monitoring programs. There are also contributions from Mexican and Cuban research ships 110 historically. Though not many in overall numbers, bottle and CTD measurements are often 111 full-depth (surface to ocean bottom), calibrated, and of high quality and cover the entire Gulf.

112 From 1948-1968, Mechanical Bathythermographs (MBT) were the main observing 113 system for subsurface temperature both globally and in the GOM. The MBT data, which 114 could be obtained from a moving ship, were used in weather and climate forecasting and 115 covered the entire Gulf, but only to depths of 125 m. and later ~250 m. MBT temperature 116 profiles were made mainly from research and U.S. Navy ships. The Expendable 117 Bathythermograph (XBT) improved on the MBT in that it could be deployed from a moving 118 ship at normal cruise speed. Ships of Opportunity now included merchant ships and the 119 XBT quickly became the main ocean subsurface observing system in the Gulf. Air drop 120 XBTs (AXBT) were also used in Gulf wide observational experiments in the 1970s, but in 121 more recent years have been limited to hurricane drops. XBTs reached depths of 460 m 122 initially, later 760 m., with some AXBTs and ship based XBTs reaching 1830 m depth.

123 With the advent of the Argo autonomous profiling float program in 2000 (Wong et al. 124 2020), XBT deployment was cut back significantly globally and in the Gulf. Some pre-Argo profiling floats were deployed in the Gulf but the Argo program initially focused on the open 125 126 ocean. Starting in 2010, Argo floats were deployed in the Gulf of Mexico. Argo floats 127 record temperature profiles every 5 or 10 days from 2000 m to the surface. In between, floats 128 drift at 1000 m depth. The coverage of Argo floats is the entire Gulf, excepting the shelf and 129 coastal regions. In addition to Argo floats from the U.S. and Mexican Argo programs, there 130 is a small contribution of ALAMO (Air-Launched Autonomous Micro Observer) profiling 131 floats. Gliders, autonomous remotely controlled ocean vehicles which can make high time 132 and space frequency measurements (Lee and Rudnick, 2018) have been increasingly used in 133 the Gulf since 2010. Gliders flown by Texas A&M and other Gulf proximate U.S. 134 universities, as well as by the U.S. Navy, have provided coverage of the northern half of the 135 Gulf. Many gliders are deployed to explore the mixed-layer, but some dive to 1000m depth.

136 Overall, the temperature profiles provide over 80% coverage of the entire GOM at the sea surface in most pentadal periods, except for the period between 2006 and 2010 (Figs. 1 and 137 138 2). The data density is higher in the northern GOM than the southern GOM in almost all years. The eastern Gulf usually has a larger data density than the western Gulf. All WOD18 139 140 temperature profiles are analyzed in a consistent manner by a series of quality control (QC) 141 procedures. The QC includes duplicate elimination, range and gradient checks, statistical 142 checks and subjective flagging, etc. More details on data sources, data quality control, and 143 data processing procedures as well as objective analysis methods can be found in Boyer et al.

144 (2018) and Locarnini et al. (2018).

Years	Instrument/platform	Number of profiles	Depth range
1950- 2020	Bottle (reversing thermometer) and Conductivity-Depth-Temperature (CTD) from research ship	43,353	Full depth
1967- 2020	Expendable bathythermograph (XBT) from Ship of Opportunity	36,662	460 m, 760m, 1830 m
1948- 1994	Mechanical Bathythermograph (MBT) from Ship of Opportunity	27,909	125 m, 250 m
2010- 2019	CTD from Glider	71,948	1000 m
1999- 2020	CTD from profiling float (mainly Argo)	13,017	2000 m

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Table 1. Temperature profiles in the Gulf of Mexico by instrument/platform type



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Fig. 1. Temperature profiles' spatial distribution for every pentadal (5-year) period from
148 1951 to 2020. The color shows different measurement types. MBT: mechanical
bathythermograph (MBT) data, digital BT (DBT), and micro-BT (μBT); XBT: expendable
bathythermograph and AXBT; CTD: bottle, Conductivity-Temperature-Depth (CTD),
expendable CTD (XCTD) data; GLD: glider data; PFL: profiling float data, mainly from the
Argo program. Some of the data points may not be shown due to data overlap.

153 b. Pentadal Objective Analysis

In order to calculate the OHC, the data need to be gridded and gaps need to be filled. We 154 155 use running pentadal (5-year) objective analysis to create $1^{\circ} \times 1^{\circ}$ gridded temperature anomaly 156 fields at standard depth levels for running pentad from 1950 to 2020. More about pentadal objective analysis can be found in Levitus et al. (2012). The pentad is used in our analysis to 157 158 ensure sufficient data distribution. Fig. 2 shows the temperature data coverage in percentage 159 as a function of time (years) and depth (m) for the pentadal running analysis. The percentage 160 coverage is computed based on the $1^{\circ} \times 1^{\circ}$ gridded number of observations. For any grid at any 161 standard depth, at least one observation is needed to be considered having data. Only data 162 with WOD flag=0 are used. The data coverage is greater than 70% in the upper 100m in all the years between 1950 and 2020 except in the late 2000s. In the upper 750m, the data has 163

- relatively good coverage with a percentage greater than 70% in most years after 1965,
- 165 primarily due to the usage of XBT, which measures temperature down to the depth of about
- 166 760 m from moving ships. Below 760m the coverage is lower except after 2010 when CTD
- 167 and Argo became the dominant instruments. The hatched areas in Fig. 2 show the percent
- 168 coverage of less than 30% to mask the low coverage periods.



169

Fig. 2. Percentage of data coverage of one-degree squares with at least one observational
profile. Hatched area indicates the percentage of coverage less than 30%.

173 To obtain temperature anomalies for each 1° grid at every standard depth level, we subtract the 1° mean temperature from a monthly climatological value. We use the monthly 174 175 climatological temperature fields from the World Ocean Atlas 2009 (WOA09) as the 176 reference fields. XBT/MBT biases are corrected following Levitus et al. (2009). The same 177 objective analysis procedure as in Locarnini et al. (2018) and Levitus et al. (2012) is applied 178 to these temperature anomaly values to create a gridded objectively analyzed temperature 179 anomaly field for each standard depth level for each year (with 80% overlap). A first-guess 180 field of zero is used for the temperature anomaly objective computations, which is a

181 conservative estimate by assuming no change from climatological mean. This will

- 182 underestimate the warming trend in areas and periods with low data coverage (data density).
- 183 Given the good data coverage shown in Fig. 2, we expect that it is not a large underestimate
- 184 in the GOM because the first-guess field (zero) remains only there are no data at all within an
- 185 ~800 km radius (the influence radius of the objective analysis, Levitus et al. 2012). Caution
- 186 should be taken when examining the results in poor data coverage regions and periods.

187 c. Ocean Heat Content

188 Ocean Heat Content (OHC) is based on 1-degree gridded, interpolated temperature 189 anomaly fields T'_w at standard depth levels. The OHC at gridbox [i,j] can be estimated as

190
$$OHC(\mathbf{x};t) = \rho_w c_{pw} \int_{D1}^{D2} T'_w(\mathbf{x},z;t) dz$$
(1)

191 Where **x** is a horizontal coordinate vector $\mathbf{x} = [i, j]$. $\rho_w = 1026 \ kg \ m^{-3}$ is the seawater 192 density, $c_{pw} = 3995 \ J \ kg^{-1} \ ^{\circ}C^{-1}$ is the specific heat capacity of seawater, D1 is the upper 193 depth and D2 is the lower depth. *z* represents depth and *t* is time.

194 Integrated OHC can be estimated by integrating OHC at each gridbox over area and depth 195 range in a selected region. The warming/cooling rate can then be determined by ocean heat 196 storage rate Q_t , which is defined as the time derivative of OHC (Etter, 1983).

197
$$Q_t = \frac{\partial OHC}{\partial t}$$
(2)

198 The OHC analysis for this study was conducted on both basin and grid levels. The linear statistical trend was calculated as OHC change with time using simple linear regression if the 199 200 p-value was less than 0.05. Linear regression and standard error estimate are conducted using 201 the Python SciPy.stats module. 95% confidence interval is used in the uncertainty estimate. 202 Autocorrelation was tested in the OHC residuals from the regression analysis using Durbin 203 Watson statistic. If autocorrelation needed to be considered, the effective degrees of freedom 204 (effective sampling size) were determined based on the e-folding decay time of 205 autocorrelation (Panofsky and Brier, 1958) and later used to evaluate standard errors and 95% 206 confidence intervals for uncertainty estimates.

207 d. Ocean Heat Budget

The Gulf of Mexico is semi-enclosed basin and this provides a convenient opportunity to study the heat budget. The heat budget of an oceanic water column may be calculated as follows (Etter, 1983),

$$Q_t = Q_{net} + Q_v \tag{3}$$

where Q_{net} is the net ocean surface heat flux and Q_v denotes the divergence of the heat transport by ocean currents (advective heat flux). The bottom geothermal heat flux is small in the GOM (Ochoa et al. 2021) and can be ignored in our analysis.

215 Q_{net} comprises net shortwave radiation flux (Q_{SWR}) , net longwave radiation flux (Q_{LWR}) , 216 surface latent heat flux (Q_{LAT}) , and surface sensible heat flux (Q_{Sen}) :

217
$$Q_{net} = Q_{SWR} + Q_{LWR} + Q_{LAT} + Q_{Sen}$$
(4)

 Q_{net} reflects the complex interaction between the ocean and the atmosphere (Carton et al. 218 219 2018). Knowing the annual net surface heat flux is important for understanding the heat 220 budget in the GOM. In this study, we analyze the Q_{net} from four representative products: a 221 fifth-generation atmospheric reanalysis of the global climate covering the period from 222 January 1979 to the present (ERA5; Hersbach et al. 2020) from the European Centre for 223 Medium-range Weather Forecasts, the Objectively Analyzed Air-Sea Fluxes (OAFlux; Yu et 224 al. 2008), NASA's Estimating the Circulation and Climate of the Ocean (ECCO version 4; 225 Forget et al. 2015) product, and the Simple Ocean Data Assimilation (SODA; Carton et al. 226 2018) improved reanalysis data.

227 Advective heat flux Q_v can be given by:

228

 $Q_{\nu} = \rho_{w} c_{pw} V \delta T \tag{5}$

229 where V is the Loop Current transport, which may be determined by the volume transport 230 across the Yucatán Channel (or Straits of Florida). δT is the average climatology temperature 231 difference between the Yucatán Channel and Straits of Florida. Bunge et al. (2002) and 232 Candela et al. (2019) showed that the volume transport across the Yucatán Channel V_Y and 233 the Florida Straits V_F should be balanced out ($V_Y \approx V_F \approx V$) on a multiple-year timescale 234 because river runoff and the volume transport due to precipitation minus evaporation are very 235 small in the GOM (~0.1% of V, Etter, 1983; Bunge et al. 2002) and the change of total 236 volume of water in the GOM with time is also a small term based on altimetry measurements 237 (Bunge et al. 2002).

238 3. Results

239 a. Warming Trend at Sea Surface

240 Fig. 3 shows the average surface temperature anomaly as a function of time for the GOM 241 (red lines), the global ocean (black lines), and the subtropical northern Atlantic Ocean within 242 the latitudinal band between 17.5°N and 31.5°N (blue lines). The region we selected in the 243 Atlantic Ocean has the same latitudinal band as in the GOM for comparison purposes. There is a persistent warming trend in the Gulf of Mexico with a warming rate of ~0.193 °C decade⁻ 244 245 ¹ starting from around 1970 and the average temperature has increased by 1.0+ °C since 1970. 246 The rate of warming in the Gulf of Mexico is about twice that for the global ocean (0.086 °C 247 decade⁻¹), but only slightly larger than the warming trend in the subtropical northern Atlantic 248 Ocean (0.183 °C decade⁻¹). This indicates that the subtropical northern Atlantic Ocean, 249 including the GOM, likely has a larger warming rate than that for the global ocean and also 250 shows the linkage between GOM and the tropical northern Atlantic Ocean. We also 251 compared the GOM temperature anomaly at 0m with that at 10m and they are very similar 252 (Fig. 3).



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Fig. 3. Time series of the sea surface average temperature anomaly for the Gulf of Mexico (red), northern subtropical Atlantic Ocean between 17.5 and 31.5°N latitudes (blue) and global oceans (black) based on running pentadal (five-year) analyses. The anomalies are related to a 1955-2006 (WOA09) baseline. Each pentadal estimate is plotted at the midpoint of the 5-year period. The dashed lines show the linear regression fitted lines for the period between 1970 and 2020 for the GOM, global and the subtropical northern Atlantic Ocean, respectively.

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Our estimated warming rate of 0.193±0.05 °C decade⁻¹ for the surface water of GOM is 262 within the range of the rates $(0.17 \sim 0.3 \text{ °C decade}^{-1})$ estimated by Muller-Karger et al. (2015) 263 264 in the GOM based on satellite observed SST. Both our estimate and those by Muller-Karger 265 et al. (2015) are slightly larger than the warming rate (0.15 °C decade⁻¹) for the Caribbean Sea estimated by Glenn et al. (2015) and the value (0.158 °C decade⁻¹) in Li et al. (2022). Levitus 266 267 et al. (2012) showed the warming rates varied along latitudes for both global and basin-scale 268 oceans. Within the same latitudinal band, the warming rate of the GOM and the northern 269 Atlantic Ocean are similar (Fig. 3). Nonetheless, the surface warming rate in the GOM and 270 surrounding oceans based on our estimates and estimates from previous studies are much 271 larger (twice) than the warming rate of the global ocean (0.086 °C decade⁻¹). The faster 272 warming rate at the sea surface may make the ecosystem and environment of GOM an area of 273 higher susceptibility to climate change.

274 b. Subsurface Warming

275 Fig. 4a shows the temporal evolution and vertical distribution of the integrated OHC at 276 each standard depth level for the GOM from 0 to 2000m. The y-axis is depths and the x-axis 277 is time in years. The OHC is scaled by the thickness of each layer to make it comparable between layers as the thickness of the standard depths varies with depth. The same hatched 278 279 areas as in Fig. 2 are plotted to show the poor data coverage periods. The vertical profile of the warming rates at each standard depth is shown in Fig. 4b. Simple linear regression is 280 281 applied to compute the warming rate at each standard depth level and the gray area shows the 282 variation of the warming rates with depth in Fig. 4b. The warming trend is most prominent near the surface in the upper 50m (Fig. 4a and 4b). The subsurface OHC change is more 283 complicated. The warming rate rapidly declines with depth from 1.1×10^{17} J m⁻¹ year⁻¹ to 2.5 284 $\times 10^{16}$ J m⁻¹ year⁻¹ from 50m to 400m. The decline of warming rates decreases below 400m 285 286 and becomes more uniform below 1100m. Between 200 and 600m, a subsurface irregular decadal warming and cooling oscillation (Fig. 4a) is found, which can also be seen from the 287

288 integrated 200-600m OHC shown in Fig. 4c. The entire vertical profile in Fig. 4b (black line) 289 is positive indicating that oceanic warming occurs at all depths between surface and 2000m in 290 the GOM. Ochoa et al. (2021) found that the deep-water below 2000m to the seafloor in the 291 GOM was also warming in recent years. According to the warming trends in the upper 292 2000m shown in Fig. 4 and the warming shown in Ochoa et al. (2021) below 2000m, we can 293 conclude that the overall trend of the entire GOM is warming in the past several decades from 294 surface to bottom. Moreover, the Gulf of Mexico has warmed continuously since 1970 (Fig. 3 295 and Fig. 4) and the trend becomes steeper after 2010, at least at the surface (Fig. 3). The data 296 coverage below 750m reduces substantially. There is much less spatial variability in the 297 GOM temperature field below 750m than that is above. The seasonal cycle is also very small 298 where it exists below 750 m and by 1000m, the entire GOM basin is approaching uniformity 299 in temperature. A fewer number of spatially distributed measurements can represent the 300 temperature anomaly of the basin below 750m.

301 The causes of the irregular subsurface decadal oscillation between 200 and 600m need 302 more investigation. The period is approximately 10-30 years, much shorter than the Atlantic 303 Multidecadal Oscillation (AMO), which has an estimated period of 60-80 years (McCarthy et 304 al. 2015). Sediment core-derived annual SST indicated that AMO-like oscillations could 305 occur in the Gulf of Mexico (Poore and Brock 2011), but our dataset is only 70 years long 306 and we do not have enough evidence to link the decadal oscillation to AMO. The period is 307 closer to that of the North Atlantic Oscillation (NAO), but NAO is largely an atmospheric 308 phenomenon (Hurrell 1995). Our surface OHC does not show clear decadal oscillations as in 309 the subsurface, and thus the subsurface oscillation is unlikely related to NAO. The 310 interannual and decadal variability of the Loop Current transport flow through the Yucatán 311 Channel may be one possible mechanism to explain the subsurface OHC oscillations between 312 200 and 600m, which needs long term observations (at least decades) of both current and 313 temperature data at the Yucatán Channel to examine this, which currently is not available. 314 Transport flow through the Yucatán Channel has only been measured in recent years but has 315 already shown some interannual variations (Candela et al. 2019). More will be discussed in 316 section 4a.



317

318 Fig. 4. (a) Spatially integrated OHC in the Gulf of Mexico between 1950 and 2020 as a 319 function of depth (0-2000m) at standard depths. Hatched indicates the data coverage that is less than 30%. (b) The linear trend of OHC change in the GOM at standard depth levels. The 320 321 rate is divided by the thickness of each layer to make them vertically comparable. The 322 shading area shows the uncertainty of the linear trends (95% confidence intervals). The trend 323 is estimated using linear regression for each standard depth between 1970 and 2020. The 324 trends are statistically significant at all depths (p-value ≤ 0.05). Standard depths are defined as 0, 10, 20, 30, 50, 75, 100, 125, 150, 200, 250, 300, 400, 500, 600, 700, 800, 900, 1000, 325 1100, 1200, 1300, 1400, 1500, 1750, 2000m. Anomalies are relative to a 1955-2006 baseline. 326 327 (c) Vertically integrated OHC between 200 and 600m.

328 c. Spatial Variations of the Warming Trends

329 To have a more detailed description of the spatial variations of the warming trends, we 330 calculate the heat storage rate for each gridbox between selected depth ranges using linear 331 regression between 1970 and 2020. In Fig. 5, we plot the color-coded heat storage rate at 332 each gridbox for different selected depth layers. Besides the grids inside the GOM, we also 333 show part of the Yucatán Basin of the Caribbean Sea in Fig. 5. Those grids in Yucatán Basin are not used in the trend estimates for the GOM in Figs. 3 and 4. Similar to what was shown 334 335 in Fig. 4, the general trend in the upper 50 m (Fig. 5a) is warming, although the warming rate in the northeast Gulf is lower. The warming is largest in the mid-western GOM and at the 336

- 337 Yucatán channel in the upper 50m. Between 50 and 600 m (Fig. 5b and 5c), there is a slight
- cooling trend in the Northeast GOM. The rest of the GOM is warming with the Yucatán
- Channel having the largest warming rate over the entire GOM between 50 and 600m. Below
- 340 600m, warming occurs almost everywhere and the warming rate is smaller and more uniform.
- 341 In summary, most of the Gulf of Mexico are warming in recent 50 years in the upper 2000m
- 342 except for a small region in the northeastern GOM between 50 and 600m.



Fig. 5. Spatial distribution of the OHC change with time (units: J/year) between different layers (a) 0-50m; (b) 50-200m; (c) 200-600m; and (d) 600-1500m. The OHC trends are estimated using linear regression between 1970 and 2020 at each grid point between different depth layers.

- 348 4. Discussion
- 349 a. Ocean Heat Budget Closure

Based on Eq. (3), the rate of heat storage can be balanced with the annual net surface heat

351 flux and the annual net advective heat flux, which are the two main forcings controlling the

- 352 OHC change in the GOM. The GOM is a semi-enclosed basin with two narrow channels -
- 353 Yucatán Channel and Straits of Florida connecting with the surrounding oceans. The

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geographical structure of the GOM makes it an ideal marginal sea to study the ocean heat
budget closure. We will quantify all three terms in Eq. (3) to examine the heat budget balance

in the GOM.

357 1) Estimate of Heat storage rate Q_t

358 In Fig. 6, we plot the color-coded OHC between selected layers for the entire GOM in the 359 upper 2000m. The OHC becomes positive around 1980. About half of the warming after 360 1980 has occurred in the upper 200m and the upper 1000m accounts for 80-90% of the warming in the upper 2000m. The heat storage rate of the upper 2000m in the GOM can be 361 362 estimated from the integrated OHC using linear regression. Although there are periods of cooling (e.g. 1962-1971, 1992-2000) in the GOM, a warming trend is clear between 1970 and 363 364 2020 based on the integrated OHC in the upper 2000m (Fig. 6). Linear regression is applied 365 to the upper 2000m OHC between 1970 and 2020 (black line, Fig. 6) and between 1992 and 366 2017 (green line, Fig. 6), respectively, to estimate the ocean heat storage rates in the GOM. The period of 1992 and 2017 is selected to have a common period with ECCO data for the 367 368 later heat budget closure discussion. The fit between 1970 and 2020 gives us an overall heat 369 storage rage in the past 50 years. The GOM OHC increases at 0.38 ± 0.13 ZJ decade⁻¹ (mean \pm 370 uncertainty with 95% confidence interval) between 1970 and 2020 (r=0.76), which is equivalent to 1.21 ± 0.41 TW (1 TW = 10^{12} W). Ochoa et al. (2021) estimated the heat storage 371 rate below 2000m is approximately 0.17 TW (~0.054 ZJ decade⁻¹) in the GOM (uncertainty is 372 373 not given in Ochoa et al., 2021). Therefore, the total heat storage rate should be 374 approximately 1.38±0.41 TW for the entire water column of the GOM between 1970 and 2020. This is equivalent to 0.86 ± 0.26 W m⁻² if this is applied to the entire surface area of 375 GOM ($1.60 \times 10^{12} \text{ m}^2$). Correspondingly, the heat storage rate between 1992 and 2017 in the 376 GOM is approximately 0.50±0.43 ZJ decade⁻¹ (Fig. 6, green line), which is equivalent to 377 378 1.59±1.36 TW. The full depth heat storage rate between 1992 and 2017 is about 1.76±1.36 379 TW considering the warming below 2000m from Ochoa et al. (2021) in the GOM or about 1.10 ± 0.85 W m⁻² applied to the entire surface area of GOM. The ocean heat storage rate 380 381 between 1992 and 2017 is larger than that for 1970~2020, primarily due to the cooling period 382 between 1992 and 2000.

The heat storage rates between 1970 and 2020 and between 1992 and 2017 (common period of ECCO) in the GOM are both comparable to the full-depth ocean heat storage rate for the global ocean estimated from observations between 1993 and 2020 by seven different

research groups, which is 0.82 to 1.11 W m⁻² (Johnson et al., 2021) applied to the global 386 ocean surface $(3.61 \times 10^{14} \text{ m}^2)$. See Table 3.2 in Johnson et al. (2021) for more details about 387 388 the trends of OHC increase for the global ocean. Note in Johnson et al. (2021), the ocean heat 389 storage rate is applied to Earth's entire surface. To compare ocean to ocean rate, we 390 converted the values in Johnson et al. (2021) to values for the global ocean surface. Although 391 SST (Figure 3) in the GOM suggest that the surface GOM is warming at a rate approximately 392 twice that of the global ocean, the full-depth ocean heat storage rate in the Gulf of Mexico is 393 similar to the rate for the global ocean.



394

Fig. 6. Vertically integrated ocean heat content between selected layers of the entire GOM from 1950 to 2020 between 0 and 2000m. The anomalies are related to a 1955-2006 (WOA09) baseline. The black line shows the linear regression fitted line based on the OHC in the upper 2000m between 1970 and 2020. While the green line shows the fitted line between 1992 and 2017 to have the same period as ECCO data.

400 2) NET ADVECTIVE HEAT FLUX

Based on Eq. (5), an accurate estimate of net advective heat flux requires long-term
simultaneous measurements of ocean current and temperature profiles at both the Yucatán
Channel and the Straits of Florida. Such observations are rare. The observations that have

404 been conducted are mostly short term (several months to several years) and focus on current 405 observations across the Yucatán Channel to quantify the Loop Current transport through the 406 GOM. One of the early efforts to measure the transport through the Yucatán Channel was 407 conducted between 1999 and 2001 with an average transport estimated to be 23.8 Sv (1 Sv = 10⁶ m³s⁻¹) (Sheinbaum et al. 2002). Two latter efforts with more instruments were conducted 408 409 between May 2010 and May 2011 and between July 2012 and June 2013 with estimated 410 transport to be 27.1 Sv and 25.0 Sv, respectively (Athié et al. 2015). The variability in 411 transport at Yucatán Channel was found to be well correlated with a transport proxy based on 412 altimetry data (Athié et al. 2015). The most recent observations were carried out between 413 September 2012 and August 2016 by Candela et al. (2019) across both Yucatán Channel and Straits of Florida. The average transport at both channels was 27.6 Sv based on the four years 414 415 of continuous observations. The transports though the western ends of Straits of Florida were 416 estimated to be ~25 Sv for an 11-month period between December 1990 and November 1991 417 (Hamilton et al. 2005), which also agreed well with transport across Yucatán Channel. A 418 long-term monitoring program measured daily flow between Florida and the Bahamas at the 419 Cable section (~26.7°N), which can be considered as a surrogate for the Loop Current flow. 420 The Cable estimated annual mean flow is approximately 31~32 Sv (Schmitz and McCartney 421 1993; Larsen & Sanford 1985; Volkov et al. 2020), which should be slightly larger than the 422 actual Loop Current flow at the Yucatán Channel or Straits of Florida due to the inputs from 423 the Old Bahama and the Northwest Providence Channels (Hamilton et al. 2005; Candela et al. 424 2019). From these limited observations, the transport flow through the GOM via the two 425 channels may range from 23.8 to less than 32 Sv. We will use $V = 27.6 \pm 4.0$ Sv as the Loop 426 Current transport flow in our following calculation by considering the value in Candela et al. 427 (2019) is based on the longest (4 years) observations at both Yucatán Channel and Florida 428 Straits and also considering the large variations from other studies. We assume the transport 429 through Yucatán Channel and Straits of Florida are the same (Bunge et al. 2002). 430 In order to calculate the advective heat flux Q_{ν} , we also need to know the average 431 climatology temperature difference between the Yucatán Channel and the Straits of Florida 432 (Fig. 7). We use the 0.1°×0.1° GOM Regional Climatology V2 temperature fields in our

- 433 analysis, which is also derived from the WOD18 using the same temperature profiles used in
- the above warming trend estimation. The average vertical temperature profiles at the two
- 435 channels are similar with the maximum difference found between 50 and 300 m (Fig. 7). The
- 436 vertical mean temperature difference δT in the upper 1000m is approximately 0.36±0.02 °C



451 estimate lies between the two model estimates.





452

Fig. 7 (a) Climatology mean temperature profiles at Straits of Florida and Yucatán
Channel in the upper 1000m. (b) The temperature difference between the Yucatán Channel
and the Straits of Florida. The shaded area shows ±1 standard deviation (std). The dashed
vertical is the vertical mean of the temperature difference. 0.1°×0.1° regional climatological
temperature fields for the GOM (https://www.ncei.noaa.gov/products/gulf-mexico-regionalclimatology) are used. The profile for Straits of Florida is averaged from all profiles within an

area with latitudes between 23° and 25°N and longitudes between -81.1° and -80.2°. The
profile for Yucatán Channel is averaged from all profiles within an area with latitudes of 21°21.9°N and longitudes of -86.8° to -84.9°.

462

463 Liu et al. (2012) discussed that the advection flow (Loop Current) into the GOM may slow down (20-25% reduction) in the late 21st century based on climate model predictions. 464 465 The consequence of a weakening Loop Current is less advective heat flux into the GOM and 466 a cooling impact in the GOM, particularly in the northern basin based on the high-resolution 467 Miami Isopycnic Coordinate Ocean Model (Liu et al. 2012). The transport flow observations 468 at the Yucatán Channel (Sheinbaum et al. 2002; Athié et al. 2015; Candela et al. 2019) and 469 the Florida Cable observations (Larsen and Sanford 1985; Volkov et al. 2020) did not show 470 obvious evidence of the slowing down of the advective heat flux into the GOM. However, the 471 northeastern GOM is indeed less affected by the warming between 1970 and 2020 (Fig. 5), 472 which is coincidentally consistent with the prediction in Liu et al. (2012). The advective net 473 heat flux may be affected by eddies and wind in the GOM and cause inter-annual 474 variabilities. Using a numerical model, Chang and Oey (2010) found that a steady, uniform 475 westward wind in the GOM could increase the heat input into the GOM via the Yucatán 476 Channel as the wind-induced shelf currents advect more heat westward to the western Gulf. 477 They also found eddies were effective transporters of heat across the central Gulf. 478 Seasonality, interannual variability, and intraseasonal variability of wind fields and frequency 479 of Loop Current eddy shedding (Zavala-Hidalgo et al. 2014) could all cause variations in the 480 advective heat flux through the GOM, which contribute to variations of OHC in the upper 481 ocean shown in Figures 4 and 6.

482 3) NET SURFACE HEAT FLUX

483 We compare net surface heat flux in the GOM from four different products as listed in 484 Section 2d. All products show that the northern and eastern GOM lose heat to the 485 atmosphere, while the southwestern GOM gains heat from the atmosphere on an annual basis 486 (Fig. 8). It should be noted that the ECCO data show a larger heat loss area (Fig. 8d) than the 487 other three products. The net surface heat flux averaged over the entire GOM is positive for SODA and OAFlux, but negative for ERA5 and ECCO (Fig. 8 and Table 2). The annual 488 489 cycles of the net surface heat fluxes in the GOM (Fig. 9) are similar in magnitude for all 490 products. The GOM loses heat to the atmosphere in Fall, Winter and early Spring and gains 491 heat in late Spring and Summer. Except for ECCO, their annual mean values are centered





505 Fig. 8. Spatial distribution of the annual net surface heat flux (units: $W m^{-2}$) from (a) 506 SODA, (b) OAFlux, (c) ERA5 and (d) ECCO. Note the average period is based on the 507 available years of each dataset and shown on the top of each panel.



Fig. 9. Time series of monthly and annual surface heat flux for (a) SODA, (b) OAFlux,
(c) ERA5, and (d) ECCO. Blue: monthly surface heat flux for the GOM; red: annual surface
heat flux for the GOM. The long-term mean over the available data period for each product is

512 shown at the top of each panel.

513

Sources	Annual surface net heat flux (W m^{-2})	Annual surface net heat flux (TW) of the entire GOM using the surface area of $GOM = 1.60 \times 10^{12} \text{ m}^2$
Hasternrath (1986)	-15.1	-24.2
Etter (1983)	-24.0	-38.4
Adem et al. (1993)	-2.2	-3.5
Zavala-Hidalgo et al. (2002), bulk formulas	46.6	74.6
Zavala-Hidalgo et al. (2002), satellites and empirical formulas	9.0	14.4
Liu et al. (2012), climate model, high resolution	-34.1	-54.6
Liu et al. (2012), climate model, low resolution	-18.9	-24.4
ERA5 (this study)	-3.9	-6.2
SODA (this study)	3.9	6.2

OAFlux (this study)	6.7	10.7
ECCO (this study)	-23.7	-37.9
Heat budget estimate (this study)	-24.3±4.1	-38.9±6.5

Table 2. Annual net surface heat flux from previous studies and from four global products 515 (ERA5, SODA, OAFlux and ECCO).

516 4) HEAT BUDGET CLOSURE

517 The heat storage rate in the GOM 1.76±1.36 TW between 1992 and 2017 (the same 518 period of ECCO) based on the calculation from the OHC change in the upper 2000m and the 519 estimate below 2000m in Ochoa et al. (2021), while the advective net heat flux is estimated to 520 be 40.7±6.3 TW, which is more than 20 times larger than the heat storage rate. Based on Eq. 521 (3), the GOM needs to release heat to the atmosphere at a rate approximately of -38.9 ± 6.5 522 TW; otherwise, the GOM would warm at a faster rate. Etter (1983)'s estimate of -38.4 TW 523 and the value from ECCO -37.9 TW are comparable to the estimate from Eq. (3) by taking 524 the difference between advective net heat flux and heat storage rate between 1992 and 2017 525 (Table 2). Due to the fact that the average annual temperature difference between the Yucatán 526 Channel and the Straits of Florida are almost always positive and the heat storage rate is 527 much smaller than the advective heat flux, we would expect that the annual net surface heat flux should be negative. Based on the ocean heat closure in Eq. (3), the annual net surface 528 529 heat flux from ECCO is the closest one among the four products to close the heat budget in 530 the GOM. Note that the ECCO net surface heat flux is based on a non-linear inverse 531 modeling framework that satisfies both ocean dynamics and data constraints (Forget et al. 532 2015). Wunsch (2011) also stated that the "adjoint method" used in ECCO made the resulting 533 state estimate satisfies known equations of motion and conservation laws. That might be the 534 reason why ECCO provides the closest net surface heat flux to close the heat budget in the 535 GOM.

b. Impact of Warming in the GOM 536

537 The persistent warming in the GOM (Figs. 3-6) could have already influenced the marine 538 ecosystem and organisms in the Gulf of Mexico. Jordán-Dahlgren et al. (2005) found that 539 surface water warming might increase the prevalence of coral diseases in the northeast 540 Caribbean and Gulf of Mexico by enhancing pathogen activity. Warming also had negative 541 effects on survivorship of the deep-sea coral Lophelia pertusa in the Gulf of Mexico based on 542 a series of warming treatment experiments (Lunden et al. 2014). Atlantic Bluefin tuna (BFT)

543 spawns predominantly in the northern GOM with the optimal spawning temperature of 24-27 544 °C from April to June (Schaefer, 2001). The increased upper ocean temperature (Figs. 3 and 545 4) could reduce the areas in the northern GOM with BFT optimal spawning conditions 546 (Muhling et al. 2011). Warming produces a poleward shift in the distribution of many 547 organisms. For example, numerous additions to the fish fauna were discovered by comparing 548 fish assemblages within seagrass meadows of the northern GOM between the 1970s and 549 2006-2007 survey data (Fodrie et al. 2010). GOM has one of the world's largest 550 eutrophication-driven coastal hypoxia zones and warming will only exacerbate hypoxic 551 conditions (Boesch 2008; Laurent et al. 2018).

552 GOM is one of the marginal seas with frequent hurricane formations and landfalls each 553 year. Hurricane intensity is sensitive to changes in SST (Emanuel 2005) and OHC in the 554 upper ocean (Hallam et al. 2021; Eley et al. 2021). A 0.5 °C increase in August-September 555 SST is responsible for a 40% increase in hurricane activity and frequency (Saunders and Lea 556 2008) based on a statistical model. Hurricane prediction model results show the frequency of 557 category 4 and 5 storms could be doubled by the end of the 21st century due to global 558 warming, and the largest increase is projected to occur in the Western Atlantic Ocean and 559 Gulf of Mexico (Bender et al. 2010). The average SST has increased by approximately 1.0 °C 560 (Fig. 3) and the OHC increase is most prominent in the upper 200m (Fig. 4) since the 1970s, 561 which contribute to the recent intensified hurricane activities in the Gulf of Mexico e.g. 562 Hurricane Harvey (Trenberth et al. 2018) and Hurricane Michael (Nyadjro et al. 2021). 563 Hurricane rainfall dependency increases by a factor of five when SSTs range from 26 to 29 564 °C (Folkins and Braun 2003).

Although the Gulf of Mexico is a semi-enclosed marginal sea, its warming is sensitive to climate change in the Caribbean Sea and North Tropical Atlantic Ocean (Ochoa et al. 2021; Chollett et al. 2012). Long-term monitoring of the heat transport through the Yucatán Channel is necessary to understand the controlling mechanisms of the warming in the GOM. The role of the Loop Current and Loop Current eddies in controlling the warming also needs more investigation.

571 5. Summary

572 OHC in the Gulf of Mexico is calculated from the World Ocean Database 2018 (Boyer et 573 al. 2018) with 1°×1° spatial resolution for the upper 2000m and is available from 1950 to 574 2020. The warming/cooling trends are quantified based on the change of OHC at both basin and grid scales using a linear regression method. We have estimated a warming rate of 575 0.38 ± 0.13 ZJ/decade (0.76 ±0.26 W m⁻²) over the upper 2000m in the GOM in 50 years 576 577 between 1970 and 2020. The warming rate for the entire GOM between 1970 and 2020 is 0.86 ± 0.26 W m⁻² (0.76 W m⁻² in the upper 2000m + 0.1 W m⁻² below 2000m (Ochoa et al. 578 2021)), which is comparable to the warming rate of the global ocean (0.82-1.11 W m^{-2} , 579 580 Johnson et al., 2021). Warming occurs at all depths from the sea surface to bottom with the 581 largest warming rates found in the upper 50m (Fig. 4b and Ochoa et al. 2021). The rate of the 582 warming trend at the surface is about twice that for the global ocean (Fig. 3). A subsurface 583 decadal warming and cooling oscillation with irregular periods is found between 200 and 600 584 m. Most regions of the GOM show a warming trend between 1970 and 2020, except for a 585 subsurface region in the northeastern GOM. Based on the ocean heat budget, the GOM 586 should lose heat to the atmosphere and the annual net negative surface heat flux from the 587 ECCO can roughly close the heat budget in the GOM. The Loop Current advective heat flux 588 is the main heat source for the warming in the GOM. The southern GOM gains heat from the 589 atmosphere on the annual time scale, but integrated over the entire basin, the GOM loses heat 590 to the atmosphere.

591 Understanding the spatial and temporal evolution of the warming trend in the Gulf of 592 Mexico is critical to advance the understanding of environmental change since the 1970s. The 593 warming in the Gulf of Mexico could cause a series of environmental issues, for example, sea 594 level rise and the incidence of hypoxia. Warming also increases the intensity of hurricanes, 595 which leads to the potential loss of wetlands and damage to coastal communities. Warming 596 could cause increased stratification and reduce the O₂ solubility of the water. Less nutrients 597 can be mixed into the surface waters due to enhanced stratification, which will have a 598 negative impact on phytoplankton production and eventually on marine species and 599 commercial fisheries. Here we have shown that most regions of the GOM have become 600 warmer since the 1970s. We hope this study may inspire more investigations on the 601 relationship between warming and environmental issues in the GOM.

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- 611 Data Availability Statement.
- 612 We used the most recent version of the World Ocean Database published online in 2018
- 613 (WOD18) and available at <u>https://www.ncei.noaa.gov/products/world-ocean-database</u>. GOM
- 614 Regional Climatology data is available at <u>https://www.ncei.noaa.gov/products/gulf-mexico-</u>
- 615 <u>regional-climatology</u>. ERA5 surface heat flux is available at
- 616 <u>https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5;</u> OAFlux is available at
- 617 <u>https://oaflux.whoi.edu/data-access/;</u> ECCO surface heat flux is available at
- 618 https://cmr.earthdata.nasa.gov/virtual-directory/collections/C1990404812-
- 619 <u>POCLOUD/temporal</u>; and SODA data is available at
- 620 <u>https://www2.atmos.umd.edu/~ocean/index_files/soda3.4.2_mn_download_b.htm.</u>
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