

# **Ecological Divergence and Speciation in Common Bottlenose Dolphins in the Western South Atlantic**

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**Acknowledgements**

We are grateful to Dr. Pedro Castilho and research groups of EcoMega-FURG and LAMAQ-UFSC for sampling assistance, Dr. Eric Archer for assistance with statistical analyses, Lynsey Wilcox and Grace Sprehn for lab assistance, and Dr. John Wang, Dr. Scott France, Dr. Joe Neigel, and Dr. James Albert for helpful comments on this manuscript.



## 26 **Introduction**

27 Marine environments have the potential for gene flow across large geographic  
28 distances since absolute barriers are uncommon in this habitat. Restriction of gene flow,  
29 however, is not always associated with geographic barriers, and speciation can occur in  
30 parapatry or sympatry (Rundle & Schluter, 2004; Rundle & Nosil, 2005, Berner *et al.*, 2009).  
31 Environmental conditions may serve as barriers to gene flow: ocean currents and water  
32 temperature can create biogeographic regions and limit the dispersal of species (Palumbi,  
33 1994). For example, Teske *et al.* (2019) showed evidence of thermal-mediated genetic  
34 divergence among populations of a coastal fish (*Psammogobius knysnaensis*) inhabiting the  
35 South African coastline. This region is characterized by different temperature-defined marine  
36 bioregions over a small geographic scale and this thermal-gradient seems to be associated  
37 with phylogeographic breaks separating several coastal species in this region (Teske *et al.*,  
38 2011).

39 There are also examples of behavioral barriers to gene flow in marine environments.  
40 Evidence of rapid ecologically-based divergence has been demonstrated for two ecotypes of  
41 European flounders (*Platichthys flesus*) in the Baltic Sea based on distinct spawning behavior  
42 associated to salinity tolerance (Momigliano *et al.*, 2017). Mate recognition can be another  
43 mechanism driving divergence between marine species. It has been hypothesized that distinct  
44 vocalization may be used by sympatric reef fish species (genus *Haemulon*) that spawn at  
45 night to find mates in the dark (Rocha *et al.*, 2008). Speciation in other reef fish species (*e.g.*,  
46 gobies) at range boundaries or in sympatric areas can be influenced by assortative mating  
47 associated with coloration (Taylor & Hellberg, 2005). Further, prey quality, energetic  
48 demands and competition can influence animals' feeding strategies and habitat selection  
49 (Spitz *et al.*, 2012). Differences in prey preference, foraging techniques, and social  
50 interactions may lead to habitat segregation, and the interaction of the individuals with their

51 environment can result in ecologically-based divergent selection (Schluter, 2001; Rundle &  
52 Nosil, 2005).

53         Such niche specialization can lead to the segregation of populations into ecotypes,  
54 which are defined as populations within a species that differ in multiple traits, including allele  
55 frequencies across loci, and are adapted to distinct ecological conditions that can act as  
56 barriers to gene flow (Lowry, 2012). It has been argued that ecotypes can be considered as an  
57 early stage of divergence in which genetic differences are “a result of adaptations to specific  
58 sets of environmental factors that define habitats” (Lowry, 2012). Divergent selection on traits  
59 in populations occupying contrasting environments or with distinct niches can result in  
60 reproductive isolation and ultimately may even lead to speciation (*i.e.*, ecological speciation)  
61 if divergence is maintained through time (Schluter, 2001; Rundle & Nosil, 2005). Ecotypes  
62 that represent advanced stages of the differentiation process may coincide with distinct  
63 taxonomic units – subspecies or species (Gregor, 1944). The term subspecies can be defined  
64 as “a population, or collection of populations, that appears to be a separately evolving lineage  
65 with discontinuities resulting from geography, ecological specializations, or other forces that  
66 restrict gene flow to the point that the population or collection of populations is diagnosably  
67 distinct” (Taylor *et al.*, 2017a). While subspecies can have some low ongoing gene flow, a  
68 species is “a separately evolving lineage composed of a population or collection of  
69 populations” that is reproductively isolated from other species (Taylor *et al.*, 2017a). Some  
70 examples of marine speciation driven by ecological barriers (*e.g.*, habitat segregation) can be  
71 cited between ecotypes of manta rays (*e.g.*, Kashiwagi *et al.*, 2012), teleost fish (*e.g.*,  
72 Beheregaray & Levy, 2000), and marine mammals (*e.g.*, Foote & Morin, 2016).

73         Marine mammals are highly mobile predators and exhibit a variety of habitat and prey  
74 preferences, and foraging techniques (see Heithaus & Dill, 2002). A classic example of a  
75 marine mammal species that has diverged into morphologically and genetically disparate

76 ecotypes due to specialized foraging behavior and niche preferences is the killer whale,  
77 *Orcinus orca* (Ford *et al.*, 1998; Pitman *et al.*, 2007; Foote *et al.*, 2009). In particular, the  
78 distinct ecotypes of the eastern North Pacific are believed to be in the process of speciation,  
79 possibly initiated by differential ecological pressures due to different foraging tactics  
80 followed by limited gene flow reinforced by strong social structure, and expansion of these  
81 new populations along distinct matrilineal lines (Foote & Morin, 2016).

82         The presence of different ecotypes (coastal and offshore) has also been recognized for  
83 the common bottlenose dolphin *Tursiops truncatus* (Montagu, 1821) in many parts of the  
84 world (Van Waerebeek *et al.*, 1990; Mead & Potter, 1995; Hoelzel *et al.*, 1998; Rosel *et al.*,  
85 2009; Perrin *et al.*, 2011; Vollmer & Rosel, 2013; Louis *et al.*, 2014; Costa *et al.*, 2016; Fruet  
86 *et al.*, 2017). The coastal ecotype of common bottlenose dolphins is generally found in  
87 shallower, nearshore coastal waters, including bays, sounds and estuaries, and in some  
88 geographic regions it can be lighter colored than the offshore ecotype which is found in  
89 deeper, more pelagic waters (Hersh & Duffield, 1990; Van Waerebeek *et al.*, 1990; Sanino &  
90 Yañez, 2001; Torres *et al.*, 2003; Vollmer & Rosel, 2013; Fruet *et al.*; 2017, Félix *et al.*,  
91 2018; Simões-Lopes *et al.*, 2019).

92         In the western South Atlantic (wSA), the taxonomic status of the two ecotypes has  
93 been debated (see Costa *et al.*, 2016; Wickert *et al.*, 2016). Lahille (1908) suggested the  
94 presence of a new species, *Tursiops gephyreus*, based on the cranial morphology of two  
95 specimens collected in the La Plata River, Argentina. More recently, two different hypotheses  
96 have emerged based on morphology. Cranial and skeletal morphological analyses conducted  
97 by Costa *et al.* (2016) revealed the presence of two well-differentiated and diagnosably  
98 distinct groups with morphological characteristics indicating distinct habitat preferences.  
99 These findings led the authors to suggest the presence of distinct ecotypes in the western  
100 South Atlantic. Ecotypes that are diagnosably distinct from each other by morphological

101 characters may be considered as subspecies (Clausen *et al.*, 1941; Gregor, 1944). Therefore,  
102 these findings led Costa *et al.* (2016) to recognize the wSA ecotypes as the subspecies *T. t.*  
103 *truncatus* (offshore ecotype) and *T. t. gephyreus* (coastal ecotype, because it was considered  
104 morphologically similar to the previously described *gephyreus*-type by Lahille, 1908).  
105 Conversely, a concurrent morphological study (Wickert *et al.*, 2016) elevated both forms to  
106 species based on six qualitative cranial characters and following a “diagnosable version of the  
107 Phylogenetic Species Concept” where species are defined “as the smallest aggregation of  
108 populations (sexual) or lineages (asexual) diagnosable by a unique combination of character  
109 states in comparable individuals” (Nixon & Wheeler, 1990). However, these morphological  
110 characters did not classify all the samples with 100% accuracy: four out of the six  
111 morphological characters they identified to visually distinguish between the ecotypes showed  
112 some degree of character overlap (see Wickert *et al.*, 2016 – Results and Supporting  
113 Information S5); results that are more in line with a subspecies description (Martien *et al.*,  
114 2017). In addition, both studies used skulls collected from stranded animals, resulting in a  
115 lack of knowledge about their population of origin since ocean currents can disperse carcasses  
116 far from their original habitat (Peltier *et al.*, 2012), and none has examined the level of genetic  
117 differentiation between these groups. A population genetic study was conducted by Fruet *et*  
118 *al.* (2017) using biopsied bottlenose dolphins collected in coastal and offshore waters of the  
119 western South Atlantic but the authors did not examine the congruence between the  
120 morphological and genetic findings.

121 Accurate species-delimitation, in other words defining whether groups represent  
122 different populations, subspecies or species, is essential for understanding at which stage of  
123 the speciation process these groups are found and for helping to better define species  
124 diversity, ecological interactions, and effective conservation and management strategies. As  
125 stated by Dayrat (2005), morphology-based taxonomy is the study of morphological diversity



126 and the potential species described should be considered as hypotheses to be tested using  
127 additional approaches. The use of ‘integrative taxonomy’, which involves the use of different  
128 sources of data (*e.g.*, morphological, molecular, behavioral), has been growing in the  
129 literature as a strategy to more accurately delimit species and address issues that arise when  
130 using a single line of evidence alone, such as morphological data (Padi al *et al.*, 2010). The  
131 congruence of additional approaches with the morphological findings of potential species is  
132 considered more robust evidence supporting lineage divergence (Dayrat, 2005; Padi al *et al.*,  
133 2010).

134 Here, we compared and integrated morphological and molecular genetic data to  
135 examine the level of evolutionary divergence between the ecotypes of bottlenose dolphins in  
136 the western South Atlantic (wSA). Additionally, we examined the genetic relationship of the  
137 two wSA ecotypes with the well-studied ecotypes described for the western North Atlantic  
138 (wNA) to test the hypothesis of genetic connectivity between the two oceanographic regions  
139 and place this study in a broader phylogeographic context in the western Atlantic Ocean. We  
140 also discuss on the potential speciation processes driving the divergence between the wSA  
141 ecotypes.

142

## 143 **Methods**

### 144 *Samples for genetic analyses*

145 We analyzed 253 samples of *T. truncatus* from the western South Atlantic, which  
146 included 161 biopsy and 92 stranding samples (55 soft tissues; 37 teeth) (Table S1, Figure 1).  
147 Skin biopsy samples ( $n = 161$ ) were collected in 2007-2013 from photo-identified resident  
148 dolphins inhabiting the estuaries and adjacent waters of Laguna ( $n = 16$ ) and Patos Lagoon ( $n$   
149  $= 83$ ), southern Brazil, and from dolphins in Brazilian waters deeper than 100 m and at least  
150 100 km from the coast ( $n = 62$ ) using a biopsy dart system designed for small cetaceans (F.

151 Larsen, Ceta-Dart). These biopsies included some samples ( $n = 120$ ) used by Fruet *et al.*  
152 (2017), with new samples ( $n = 41$ ) collected in all locations. Tissues ( $n = 55$ ) from stranded  
153 dolphins were also collected in 2005-2013. Two stranded individuals were photo-identified as  
154 resident dolphins from Laguna (coastal ecotype), 18 had skulls available and were identified  
155 to the ecotype level based on cranial morphology (see below), and the remaining 35 were  
156 considered of unknown origin since there was no information available that allowed their  
157 classification to ecotype. Further, to increase the sample size of specimens with both  
158 morphological and genetic data for the analysis of congruence, DNA was extracted from the  
159 teeth of 37 additional bottlenose dolphins that stranded in 1978-2012 along the southern  
160 Brazilian coast (Figure 1B). These samples were identified to the ecotype level by their  
161 cranial morphology. Skulls were also available from two previously biopsied animals after  
162 their death in subsequent years (Table S1). Therefore, a total of 57 out of the 253 samples had  
163 both morphological and genetic data available, but due to problems with DNA amplification  
164 of the tooth samples (see below) only 34 of these 57 were used in the analyses of congruence  
165 between the data sets. DNA extraction and molecular sexing methodologies are described in  
166 the Supporting Information. All maps in this study were generated using MARMAP (Pante &  
167 Simon-Bouhet, 2013) implemented in R v3.3.1 (R Core Team, 2016) and the ETOPO1 data  
168 set (Amante & Eakins, 2009).

169 We also used 72 published mtDNA control region haplotypes from genetically  
170 identified coastal ( $n = 22$ ) and offshore ( $n = 50$ ) bottlenose dolphins from the western North  
171 Atlantic (wNA) available in GenBank (Table S2) and nuclear microsatellite genotypes of 37  
172 bottlenose dolphins biopsied in offshore waters of the wNA (Figure S1) to compare the  
173 signatures of dolphins of the wSA with those from wNA.

174 *Microsatellite genotyping and analyses*

175           Microsatellite genotyping was performed for the 216 soft tissues collected in the wSA  
176 and the 37 individuals biopsied in offshore waters of the wNA using 10 microsatellite loci  
177 amplified in multiplexes (multiplexes 1 and 2 in Table S3) with a Qiagen Type-it  
178 Microsatellite PCR kit following Rosel *et al.* (2017a). We also attempted to genotype 7 loci  
179 (Table S3) from a tooth of a specimen with a coastal skull but an offshore haplotype (see  
180 results). Genotyping was performed on an ABI 3130 Genetic Analyzer with Genescan Liz-  
181 500 size standard and scored using GeneMapper v5 (Applied Biosystems). Positive and no-  
182 DNA controls were included in all genotyping amplifications. Individuals were kept in the  
183 analyses when at least 8 loci were successfully amplified (wSA: 190 out of the 216; wNA: 37  
184 out of the 37). Genotyping error rate was estimated by randomly selecting 19 individuals of  
185 the wSA and four of the wNA and re-genotyping at all 10 loci.

186           We initially identified duplicate samples using the genotypic information and the  
187 software MSTools (Park, 2001), and looked for congruence in the sex and mtDNA haplotype  
188 of the potential duplicates. We then genotyped these potential duplicate samples with 11  
189 additional loci (multiplexes 3 and 4 in Table S3) to increase power in confirming the  
190 detection of duplicates before removal from the data set. One sample of each pair of  
191 duplicates identified using 21 loci was removed from further analyses (Table S1). Genotyping  
192 errors due to null alleles, allelic dropout, and incorrect scoring of stutter peaks were checked  
193 using MICRO-CHECKER v2.2.3 (Van Oosterhout *et al.*, 2004) with 10,000 iterations. Each  
194 locus was tested for departure from Hardy-Weinberg equilibrium (HWE) (Guo & Thompson,  
195 1992) and linkage disequilibrium using the Fisher's exact tests in GENEPOP v4.6 (Rousset,  
196 2008) using 10,000 dememorizations, 1,000 batches, and 10,000 iterations per batch. Both  
197 tests were applied to the full final data set and to the ecotype groups expected based on skull  
198 morphology or sample origin (*i.e.*, photo-identification or biopsy sampling location). The  
199 sequential Bonferroni technique (Holm, 1979) was applied to correct for multiple tests. Loci

200 that exhibited homozygote excess were re-genotyped at a lower temperature (45°C) to check  
201 for the presence of null alleles.

202 Evidence for more than one genetic cluster in the wSA was investigated using the  
203 Bayesian clustering programs TESS v2.3.1 (Durand *et al.*, 2009) and STRUCTURE v2.3.4  
204 (Pritchard *et al.*, 2010) and 147 samples of known origin (biopsy samples from coastal and  
205 offshore waters; stranding samples identified to ecotype by skull morphology or photo-  
206 identification) after the removal of duplicates (see results). The two approaches were used to  
207 look for congruence between results and ensure reliability in the determination of the wSA  
208 clusters. STRUCTURE was also used to assign 21 stranding samples of unknown origin to a  
209 cluster by activating the USEPOPINFO option with one run of  $K = 2$  (best number of clusters,  
210 see results) and all the other prior settings. See Supporting Information for parameters used.  
211 The STRUCTURE and TESS results (using the same individuals) were compared to reach a  
212 consensus in defining the best number of wSA clusters.

213 For each identified wSA cluster, inbreeding coefficient ( $F_{IS}$ ), and mean observed ( $H_O$ )  
214 and expected ( $H_E$ ) heterozygosities, as well as pairwise  $F_{ST}$  (Weir & Cockerham, 1984)  
215 between the clusters (with 10,000 permutations), were estimated using ARLEQUIN v3.5.1.2  
216 (Excoffier & Lischer, 2010). Mean allelic richness (AR) was calculated using FSTAT v2.9.3  
217 (Goudet, 1995) and the total numbers of alleles (NA) and private alleles per wSA cluster were  
218 identified with Convert (Glaubitz, 2004). The presence and directionality of contemporary  
219 gene flow between the wSA clusters was estimated using the microsatellite data set (10 loci)  
220 and the program BAYESASS v3.0.4 (Wilson & Rannala, 2003). See Supporting Information  
221 for the parameter settings.

222 Mean pairwise relatedness values ( $r$ ) were estimated in COANCESTRY v1.0.1.8  
223 (Wang, 2011) using the Queller & Goodnight (1989) index to identify closely related  
224 individuals. To exclude the possibility that kinship may be overestimating population

225 structure (Bilgmann *et al.*, 2014), the clustering analyses and further nuclear statistical  
226 analyses were repeated by excluding one sample of each pair of individuals within each  
227 cluster with relatedness values,  $r \geq 0.5$ .

#### 228 *Mitochondrial DNA sequencing and analyses (wSA)*

229 A 353 base pair (bp) portion of the mtDNA control region was successfully amplified  
230 and sequenced for 230 samples, which included all 216 soft tissue samples and 14 tooth  
231 samples (23 tooth samples failed to amplify due to DNA degradation) of the western South  
232 Atlantic (wSA). Primers and PCR conditions are described in the Supporting Information.

233 A total of 208 individual sequences of the wSA were used for the mtDNA data  
234 analyses after removal of 22 duplicates. Most of the samples ( $n = 168$ ) were classified to an  
235 ecotype based on the nuclear clustering analyses. However, for samples we were able to  
236 sequence but not genotype for more than 8 loci ( $n = 27$ ), ecotypic classification was defined  
237 according to cranial morphology or photo-identification. Further, stranding samples of  
238 unknown origin (and without skull available for morphological classification), which were  
239 sequenced but not genotyped ( $n = 13$ ), were designated “unknown ecotype” and were only  
240 used in the mtDNA network analysis and in the Random Forest analysis for assignment  
241 probability to an ecotype (see Supporting Information and Results). Noteworthy, eight out of  
242 the 208 samples exhibited heteroplasmic (hpl) haplotypes (Vollmer *et al.*, 2011) and they  
243 were only used in the Random Forest analysis (see below) due to software limitations in  
244 dealing with ambiguous bases.

245 A median joining network of 29 mtDNA haplotypes was constructed in Network  
246 v5.0.0.3 (Bandelt *et al.*, 1999) with default parameters to examine the relationships among the  
247 haplotypes found in the wSA. Haplotype (Nei & Tajima, 1981) and nucleotide (Nei, 1987)  
248 diversities, and genetic differentiation ( $F_{ST}$ ,  $\Phi_{ST}$ ) between the wSA ecotypes (conducted with  
249 and without closely related individuals) were estimated in ARLEQUIN. Net between-group

250 nucleotide divergence ( $d_A$ ; Nei, 1987) was estimated using the STRATAG package (Archer *et*  
251 *al.*, 2017a) in R v3.3.1. The best model of evolution to calculate the divergences was  
252 identified using jModelTest v2.1.6 (Posada, 2008) and Bayesian Information Criterion (BIC)  
253 on CIPRES Science Gateway (Miller *et al.*, 2010) – Tamura-Nei (Tamura & Nei, 1993) with  
254 invariant sites.

255 Finally, percent diagnosable (*PD*) based on a Random Forest methodology (Archer *et*  
256 *al.*, 2017b) was used to produce classification models to examine whether there is subspecies  
257 or species level diagnosability between the wSA ecotypes using 195 mtDNA sequences  
258 (without the unknown ecotype samples). In brief, this method develops a classification model,  
259 based on multiple classification trees, that maximizes the probability of correct classification  
260 using all variable sites in the mtDNA sequence alignment (see more details in Archer *et al.*,  
261 2017b). We followed the 95% diagnosability threshold (Taylor *et al.*, 2017b) for the  
262 subspecies level due to the fact that although gene flow has been restricted between the  
263 subspecies, low levels of gene flow may still occur, what can result in some small level of  
264 overlap between the groups, and 100% for the species level, since species are expected to be  
265 100% diagnosable from one another (see Archer *et al.*, 2017b). See Supporting Information  
266 for specifications of the run.

#### 267 *MtDNA and microsatellite analyses for the wSA and wNA combined*

268 The 208 mtDNA control region sequences of the western South Atlantic (wSA) were  
269 aligned with 72 control region haplotypes of the western North Atlantic (wNA) using  
270 CLUSTALW implemented in Geneious v9.1.8 (Biomatters) and default parameters,  
271 producing a 354 bp alignment. Phylogenetic relationships among *T. truncatus* haplotypes of  
272 the western Atlantic (wSA: 29; wNA: 21) were investigated using a maximum likelihood tree  
273 constructed in IQ-TREE web server (Trifinopoulos *et al.*, 2016) with Ultrafast bootstrap  
274 (UFBoot) analysis, 1,000 bootstrap replicates and all other default parameters.

275 *Lagenorhynchus acutus*, *Steno bredanensis* and the holotype of *T. aduncus* were used for  
276 outgroups (Table S2). The best evolutionary model for DNA substitution was selected using  
277 jModelTest and BIC on the CIPRES portal – Hasegawa-Kishino-Yano (Hasegawa *et al.*,  
278 1985) with invariant sites and a gamma distribution. We also constructed a median joining  
279 network of 50 mtDNA haplotypes in Network (Bandelt *et al.*, 1999) and default parameters to  
280 examine the relationships among the haplotypes found in the wSA and wNA. Lastly, the  
281 TESS and STRUCTURE analyses were repeated with 10 microsatellite loci and 168 wSA  
282 samples and 37 wNA offshore samples following the methodologies described above.

### 283 *Morphological data and statistical analyses*

284 A principal component analysis (PCA) was performed on 100 out of 106 physically  
285 mature skulls available in this study, including 83 previously examined in Costa *et al.* (2016),  
286 using 21 cranial measurements (Table S1). The samples were assigned to an ecotype  
287 following the qualitative characters defined in Costa *et al.* (2016) to visually identify the  
288 ecotypes based on skull morphology (coastal: 75; offshore: 25). Our goal was to examine the  
289 distribution of the individuals on the orthogonal axes and visually identify possible clusters  
290 along the PCA axes based on the *a priori* classifications. A Random Forest analysis (R  
291 package *randomForest*; Liaw & Wiener, 2002) was performed using the morphometric data  
292 set to quantify the accuracy of the *a priori* classifications. The Random Forest arguments  
293 were set as  $m_{\text{try}} = 8$ ,  $n_{\text{tree}} = 10,000$ , and  $\text{sampsiz}e = 12$  (half of the smallest sample size; used  
294 to correct for unbalanced models due to differences in sample sizes). The PCA and Random  
295 Forest were conducted in R v3.3.1. A total of 28 out of the 100 specimens used in the  
296 morphological multivariate analyses also had tissue available for the molecular analyses  
297 described above. Using visual inspection of the skull, we also classified to the ecotype six  
298 additional specimens (coastal: 5; offshore: 1) that had some missing cranial measurements

299 (*i.e.*, were not included in the multivariate analyses above) but also had tissue available for  
300 molecular analyses.

301

## 302 **Results**

### 303 *Quality control – genetic data*

304 The genotyping data set comprised 190 samples from the western South Atlantic  
305 (wSA) that were successfully amplified for at least eight microsatellite loci. However, a total  
306 of 25 pairs of duplicates (including individuals with more than one duplicate) were identified  
307 and, after removal of 22 duplicate samples (including a sample of unknown location; see  
308 Table S1), the final wSA nuclear data set comprised 168 samples (coastal: 107; offshore: 61;  
309 see results below). The genotyping of the DNA extracted from the tooth (UFSC1077) failed  
310 for all loci. The genotyping error rate was 0.006 (three scoring differences in 506 alleles). The  
311 mtDNA control region was successfully amplified for 230 samples; the final sample size after  
312 removal of the 22 duplicates was 208 (coastal: 131; offshore: 64; unknown: 13; see results  
313 below) of which 97 were males, 96 were females, and 15 of unknown sex (see Table 1).

314 Neither significant departure from HWE nor linkage disequilibrium was observed  
315 after Bonferroni correction when dividing the data set into the ecotype groups expected based  
316 on skull morphology or sample origin. MICRO-CHECKER detected possible null alleles and  
317 incorrect scoring of stutter peaks for locus Ttr61 in the coastal cluster. Re-genotyping a subset  
318 of homozygotes at a significantly lower annealing temperature confirmed the original calls,  
319 suggesting null alleles were not present and the locus was retained. High relatedness values  
320 were only observed within the coastal wSA cluster and no significant change in the clustering  
321 results was observed after the removal of 74 related samples (Figure S2); therefore we kept all  
322 the samples in the subsequent analyses.

### 323 *Genetic analyses (wSA)*



324 Results of TESS and STRUCTURE were congruent for the samples of known origin:  
325 the samples of the western South Atlantic (wSA) were divided into the groups expected based  
326 on skull morphology and/or sample origin (*i.e.*, photo-identification or biopsy sampling  
327 location). For TESS, the DIC curve initially decreased sharply and began to level off at  $K_{\max} =$   
328 4 (Figure S3-A). The bar plots in TESS (Figure 2) indicated at most three clusters ( $K = 3$ )  
329 with most of the individuals (94.6%) assigned to two distinct clusters corresponding to the  
330 wSA coastal and offshore ecotypes (cut-off  $\geq 0.5$ ). The most likely number of clusters  
331 identified in STRUCTURE using the Evanno method was  $K = 2$ , whereas  $LnP(D)$  suggested  
332  $K = 3$  (Figure S4-A). Comparisons between the two clustering analyses demonstrated  
333 congruence of 100% for  $K = 2$  and of 76% for  $K = 3$  (Figure 2). The plots of  $K=3$  indicated  
334 the subdivision of the wSA offshore cluster in two. However, there was no consistency in the  
335 assignment of offshore individuals to a third cluster when comparing both TESS ( $n = 8$   
336 samples) and STRUCTURE ( $n = 27$  samples) results (Table S4). Further results (*i.e.*, mtDNA  
337 haplotypes, geographic distribution, sex information, genetic connectivity with the western  
338 North Atlantic samples) did not reveal any pattern that could logically explain the subdivision  
339 of the wSA offshore group. We also did not detect any significant level of relatedness within  
340 the offshore data set. Therefore, considering the results obtained for both clustering analyses,  
341 the lack of a biological explanation for the presence of a third cluster of a small number of  
342 wSA offshore samples, and the fact that in many cases  $LnP(D)$  overestimates population  
343 structure, while  $\Delta K$  more accurately detects the uppermost hierarchical level of genetic  
344 structure (Evanno *et al.*, 2005),  $K = 2$  was considered the most likely number of clusters in the  
345 wSA at the highest hierarchical level, resulting in 87 individuals assigned to the coastal  
346 cluster and 60 to the offshore cluster.

347 Using the USEPOPINFO option in STRUCTURE, 20 individuals of unknown origin  
348 were strongly assigned (assignment probabilities  $> 0.97$ ) to the coastal cluster, creating a final

349 coastal data set of 107 genotyped individuals, and one sample was strongly assigned to the  
350 offshore cluster, forming a final offshore data set of 61 genotyped individuals (assignment  
351 probability = 1.0).

352 The 353 bp control region alignment for the 195 individuals assigned to an ecotype  
353 revealed 37 haplotypes (including eight hpl) defined by 44 polymorphic sites, with 11  
354 (including four hpl) exclusively found in samples considered as coastal ( $n = 131$ ) and 25  
355 (including another four hpl) exclusively found in samples considered as offshore ( $n = 64$ ).  
356 Only one haplotype (OTtr34) was shared between the ecotypes (Figure 3). It was found in  
357 five samples classified as the offshore ecotype and one stranding sample (UFSC1077)  
358 assigned to the coastal ecotype by skull morphology. No fixed nucleotide differences were  
359 observed between the ecotypes. The 13 stranding samples designated “unknown ecotype”  
360 exhibited four previously described haplotypes: three exclusively found in coastal samples  
361 and one that matched the haplotype shared between the wSA ecotypes (Figure 3). All the  
362 “unknown ecotype” samples ( $n = 12$ ) that exhibited the “coastal” haplotype were *predicted*  
363 (based on the mtDNA Random Forest analysis) to belong to the coastal ecotype (assignment  
364 probabilities  $> 99.5\%$ ), whereas the single “unknown ecotype” sample with the shared  
365 haplotype was *predicted* to belong to the offshore ecotype (assignment probabilities  $>$   
366  $99.35\%$ ).

367 Allelic diversity and heterozygosity values were lower for the coastal (which also  
368 exhibited two monomorphic loci: Ttr54 and Ttr58) than the offshore nuclear cluster. The  
369 same was observed for the genetic diversity patterns for the mtDNA (Table S5). A significant  
370 positive inbreeding coefficient (after Bonferroni correction) was only observed in the coastal  
371 cluster when the closely related individuals were included in the analysis (Table S5).

372 Significant genetic differentiation was observed between the ecotypes for both  
373 markers with and without closely related individuals included (Table 2). Nei's  $d_A$  was 0.008

374 and diagnosability  $PD = 98.44\%$  (Table S6), both values indicative of subspecies level  
375 distinction (Taylor *et al.*, 2017b). Recent gene flow rates were extremely low in both  
376 directions between the coastal and offshore ecotypes (Table 2).

### 377 *Genetic comparisons between wSA and wNA ecotypes*

378 The control region alignment revealed that 30 of the 37 haplotypes identified in the  
379 western South Atlantic (wSA) were exclusively found in the wSA samples (SWATtr and hpl),  
380 while seven (OTtr) were shared with offshore common bottlenose dolphins of the western  
381 North Atlantic (wNA) (new haplotypes were deposited in GenBank: accession numbers  
382 MK105857-MK105886). The shared haplotype observed in the wSA was also seen in wNA  
383 offshore dolphins. No haplotypes were shared with the coastal wNA samples. The wNA  
384 coastal dolphins formed a separate group in the haplotype network and phylogenetic tree,  
385 whereas both coastal and offshore samples of the wSA grouped together with the wNA  
386 offshore ecotype (Figures 4 and 5).

387 TESS and STRUCTURE runs incorporating wSA dolphins and wNA offshore  
388 samples returned a similar number of clusters (Figure 6). The DIC curve decreased sharply  
389 and slowed after  $K_{\max} = 5$  (Figure S3-B) and TESS bar plots indicated at most four clusters,  
390 with 97.1% of the individuals assigned among three distinct clusters (cut-off  $\geq 0.5$ ). The most  
391 likely number of clusters identified in STRUCTURE using the Evanno method was  $K = 2$ ,  
392 whereas  $LnP(D)$  suggested  $K = 4$  (Figure S4-B). For  $K = 2$ , all the wSA coastal samples were  
393 clustered together, while all the offshore samples from both the wSA and wNA formed a  
394 second cluster for the western Atlantic (wATL) (all assignment probabilities  $> 93\%$ ). At  $K =$   
395 3 there was also a strong geographic component to the clusters (*i.e.*, wSA coastal *vs.* wSA  
396 offshore *vs.* wNA offshore), whereas at  $K = 4$ , TESS and STRUCTURE subdivided the  
397 offshore samples into additional clusters (assignment probabilities  $\geq 50\%$ ), which did not  
398 show any discernable geographic pattern (*e.g.*, wSA *vs.* wNA). Comparisons between the two

399 analyses demonstrated congruence in the individual assignments of 100% for  $K = 2$ , 87% for  
400  $K = 3$ , and 75.5% for  $K = 4$  (Table S4). Considering the lack of any obvious biological  
401 explanation for the subdivision of the offshore samples into three clusters (as seen in  $K = 4$ ),  
402  $K = 3$  was considered the most likely number of clusters in the wATL (wSA coastal, wSA  
403 offshore, wNA offshore) with evidence for a small number of admixed individuals between  
404 the two offshore clusters, particularly a few wSA offshore animals with some affinity to the  
405 wNA offshore group.

#### 406 *Morphological analyses*

407         The 100 specimens from the western South Atlantic (wSA) were distributed in two  
408 well-defined clusters along the PCA plot, showing congruence with the ecotype  
409 classifications based on morphological characters and previous observations (see Costa *et al.*  
410 2016 for more details). The first two principal components explained 75.8% of the variance  
411 (Figure 7). Random Forest showed congruence of 98.7% with the PCA results in the grouping  
412 classification. One individual (UFSC1281), *a priori* classified as coastal, was assigned to the  
413 offshore ecotype by Random Forest with low scores (60.7%). This individual is placed closer  
414 to the coastal than offshore cluster in the PCA plot (Figure 7), and therefore it was still  
415 classified as belonging to the coastal ecotype. The six individuals visually assigned to an  
416 ecotype based on morphological characters were classified as five coastal and one offshore.

417         Congruence was observed between the mtDNA and morphological results, with one  
418 exception. In brief, 28 of 34 samples had both a coastal morphotype and mtDNA haplotype  
419 only found in dolphins of coastal waters, five exhibited the offshore morphotype and  
420 haplotypes found in dolphins collected in offshore waters, and one single sample (UFSC1077)  
421 was identified as coastal based on skull morphology but its tooth DNA sequencing  
422 (successfully extracted three times and amplified and sequenced two times for each

423 extraction) revealed a haplotype (OTtr34) originally found in offshore dolphins of both wSA  
424 and wNA (see information for 28 out of the 34 samples in Figure 7).

425

## 426 **Discussion**

### 427 *Ecological divergence between the wSA ecotypes*

428 Ecological factors may be the driving force in the evolutionary divergence between  
429 the ecotypes of the western South Atlantic (wSA). The two wSA ecotypes exhibit differences  
430 in morphological traits that have been attributed to differential prey and habitat preferences  
431 (Costa *et al.*, 2016). The congruence seen here between the morphological and genetic data  
432 confirms the presence of two distinct ecological groups in the wSA – namely coastal and  
433 offshore ecotypes – with significant level of evolutionary divergence. The correspondence  
434 between habitat (based on biopsy location) and genetic differentiation further support the  
435 initial suggestion by Costa *et al.* (2016) that the ecotypes have a parapatric distribution.  
436 Evidence for habitat-driven population structure was also supported by previous molecular  
437 analyses (Fruet *et al.*, 2017) and by the observation of differential habitat distribution between  
438 the ecotypes (Simões-Lopes *et al.*, 2019).

439 The coastal ecotype appears to be restricted to shallower waters (< 20 m) within ~3  
440 km of the coast between latitudes -23° and -43° (Di Tullio *et al.*, 2015; Costa *et al.*, 2016;  
441 Fruet *et al.*, 2017; Simões-Lopes *et al.*, 2019), usually forming small associated groups (less  
442 than 100 individuals) with high site-fidelity to estuaries, enclosed bays, and river mouths  
443 (Simões-Lopes *et al.*, 1998; Vermeulen & Cammareri, 2009a; Fruet *et al.*, 2011; Daura-Jorge  
444 *et al.*, 2013; Giacomo & Ott, 2016), and employing habitat-specific learned foraging  
445 techniques (Simões-Lopes *et al.*, 1998). The offshore ecotype has a larger home range, is  
446 usually distributed along the coast in deeper waters (> 30 m), although there are records of  
447 these dolphins closer to the coast (Simões-Lopes *et al.*, 2019; Tardín *et al.*, 2019), which may

448 be influenced by the presence of upwelling (Tardin *et al.*, 2019), and they usually form  
449 groups up to hundreds of individuals (Di Tullio *et al.*, 2016; Fruet *et al.*, 2017; Simões-Lopes  
450 *et al.*, 2019).

451 Populations occupying different environments or exploiting different resources in  
452 sympatry or parapatry can experience contrasting natural selection pressures on traits, which  
453 will become advantageous in one environment but not in the other (Schluter, 2001; Rundle &  
454 Nosil, 2005). This ecological differentiation can lead to reproductive isolation and ultimately  
455 result in ecological speciation (Schluter, 2001; Rundle & Nosil, 2005), with a reduced  
456 probability of mating between such ecologically differentiated groups possibly arising due to  
457 individuals' preference to mate within their native habitat (*i.e.*, habitat preferences), the  
458 selection of mates on the basis of phenotypic traits (*i.e.*, mate choice), or migrants presenting  
459 lower growth, reproduction and survival rates in a different environment than their natal  
460 habitat because of a less-adapted phenotype (*i.e.*, selection against migrants) (Hendry *et al.*,  
461 2007; Schluter & Conte, 2009).

462 For the western South Atlantic, there are records of a small area of overlap for the two  
463 ecotypes in shallower waters (Vermeulen & Cammareri, 2009b; Fruet *et al.*, 2017), so mating  
464 between them could conceivably occur. However, sightings of co-occurrence of the ecotypes  
465 are uncommon (Simões-Lopes *et al.*, 2019). The genetic data suggested low migration rates  
466 between the wSA ecotypes (around 1% per generation based on microsatellite data) and  
467 stronger differentiation was found between common bottlenose dolphins occupying adjacent  
468 but ecologically distinct habitats (*i.e.*, wSA coastal *vs.* wSA offshore) than between dolphins  
469 occupying distant but ecologically similar habitats (*i.e.*, wSA offshore *vs.* wNA offshore). The  
470 single haplotype we found to be shared between the two ecotypes in the western South  
471 Atlantic was also shared with dolphins from offshore waters of the western North Atlantic  
472 (wNA). Seven additional haplotypes (out of the 37 found in the wSA samples) were shared

473 among offshore dolphins of the wSA and wNA. The nuclear data also suggested some degree  
474 of admixture between the offshore samples of the two regions, to the exclusion of the wSA  
475 coastal samples, suggesting there may be some genetic interconnection between the offshore  
476 dolphins of both ocean basins, although whether this is historical or ongoing is unknown.  
477 Taken together these findings indicate that distinct habitat choices might be leading the  
478 ecotypes to more frequently mate with individuals inhabiting either their natal area or similar  
479 environmental conditions. Therefore, habitat preferences and low dispersal rates may be the  
480 potential primary drivers of the reproductive isolation between these ecotypes.

481         Examples of ecological specialization as the driving force of speciation have been  
482 cited before for other marine species (Rocha *et al.*, 2005; Kashiwagi *et al.*, 2012; Foote &  
483 Morin, 2016), and the levels of genetic and morphological divergence observed between the  
484 wSA common bottlenose dolphin ecotypes in this study suggest they may provide another  
485 example of ecological speciation in the marine environment.

486 *The wSA ecotypes and their relationship to the wNA ecotypes*

487         Similar to the results in Fruet *et al.* (2017), the offshore ecotype was more genetically  
488 diverse in both the nuclear and mitochondrial DNA than the coastal ecotype, which seems to  
489 be a worldwide characteristic (Natoli *et al.*, 2004; Louis *et al.*, 2014). In the western South  
490 Atlantic, we observed only one shared haplotype between the wSA ecotypes. It was an  
491 offshore-type haplotype found in five offshore individuals and one stranded dolphin with a  
492 skull characteristic of the coastal ecotype. In contrast, Fruet *et al.* (2017) found no shared  
493 haplotypes between biopsies collected in coastal and offshore waters of the wSA. Including  
494 samples from stranded animals and, more importantly, combining genetic and morphological  
495 data from those samples may have increased the power to detect animals with mixed histories.  
496 If only morphological data, or only genetic data, were available for the stranding sample  
497 (UFSC1077), we would not have detected it as unusual. This result raises the possibility of

498 further shared haplotypes in the stranding samples of unknown origin ( $n = 13$ ) for which there  
499 is only mtDNA sequence data available. Random Forest analysis using the mtDNA variable  
500 sites of these “unknown ecotype” samples allowed us to predict their ecotype based on  
501 classification probabilities; however the Random Forest analysis is only looking at maternal  
502 data (mtDNA) so it will not be able to detect the presence of possible “hybrids” of the two  
503 ecotypes based on nuclear data, and higher assignment probability of the mtDNA haplotype is  
504 expected to the ecotype where the haplotype in question is found in higher frequency.  
505 Therefore, we conclude that although we can use a quantifiable probability to classify  
506 “unknown ecotype” samples, it is impossible to reliably classify these 13 samples to an  
507 ecotype using only mtDNA sequence, reinforcing the need to use multiple lines of evidence  
508 when working with stranding data.

509 Further, as previously stated a total of eight offshore-type haplotypes (including the  
510 shared haplotype between the wSA ecotypes) were also found in offshore dolphins of the  
511 western North Atlantic (wNA). Louis *et al.* (2014) also detected control region haplotypes  
512 shared between coastal and offshore ecotypes in the eastern North Atlantic (eNA) and  
513 offshore individuals from the western North Atlantic. As in this current study, there were no  
514 haplotypes shared with the wNA coastal dolphins. Evidence for genetic connectivity between  
515 wNA offshore dolphins and common bottlenose dolphins of other oceanographic regions has  
516 been observed elsewhere (Natoli *et al.*, 2004; Quérouil *et al.*, 2007; Tezanos-Pinto *et al.*,  
517 2009). Moura *et al.* (2013) suggested that climate changes during the Late Pleistocene may  
518 have allowed oceanic bottlenose dolphins to colonize coastal habitats, resulting in an  
519 opportunity for divergence between coastal and offshore bottlenose dolphin ecotypes. As  
520 pointed out by Louis *et al.* (2014), low levels of genetic diversity, as seen for the western  
521 South Atlantic (wSA) coastal ecotype (Fruet *et al.*, 2017; this study), may be due to founder  
522 events. The absence of shared haplotypes between the wSA ecotypes and the wNA coastal



523 ecotype supports the hypothesis of independent founder events. Further, while the  
524 phylogenetic analysis supported separation of the wNA coastal dolphins from all the others, it  
525 could not distinguish among the wSA coastal, wSA offshore and wNA offshore dolphins. The  
526 inability to differentiate among these three groups may be due to low power associated with  
527 this short control region fragment; the use of longer sequence data, *i.e.*, whole mitochondrial  
528 genomes, may improve the phylogenetic resolution of these taxa. Evidence of speciation  
529 between the two ecotypes in the wNA has been previously suggested (Kingston & Rosel,  
530 2004) and should be further investigated.

### 531 *Taxonomic and conservation implications*

532 Statistical analysis of morphological divergence has revealed that the wSA ecotypes  
533 may be considered at least different subspecies (Costa *et al.*, 2016), a conclusion accepted by  
534 the Society for Marine Mammalogy's Committee on Taxonomy (2018). In this current study,  
535 we detected morphological diagnosability of 98.7% between the ecotypes using 100 samples  
536 (coastal: 75; offshore: 25) and a Random Forest analysis. Nevertheless, Wickert *et al.* (2016)  
537 suggested the observed morphological differentiation is strong enough to warrant species  
538 status for the two ecotypes following the Phylogenetic Species Concept.

539 Application of the Phylogenetic Species Concept can significantly increase the  
540 number of described species, particularly when very few characters or small sample sizes are  
541 used (Walsh, 2000; Agapow *et al.*, 2004). The erroneous split of a species can result in new  
542 taxa, each with smaller ranges and population sizes than the original species. This can  
543 potentially increase the number of endangered species and result in negative consequences for  
544 conservation strategies and the study of biodiversity where there are often limited resources  
545 (Agapow *et al.*, 2004). The use of additional lines of evidence can help to reinforce the  
546 findings based on the Phylogenetic Species Concept and improve species classifications  
547 Further, morphology-based taxonomy based on qualitative morphological characters should

548 be “treated as tentative” (Agapow *et al.*, 2004) and tested using additional lines of evidence  
549 since it may lead to some problematic classifications due to (1) possible subjectivity in  
550 deciding whether the level of morphological differentiation is congruent with species-level  
551 divergence; (2) a large number of individuals is needed to demonstrate that the morphological  
552 qualitative characters are fixed differences between the groups (Agapow *et al.*, 2004; Dayrat,  
553 2005; Padial *et al.*, 2010). Therefore, in order to evaluate whether the level of differentiation  
554 seen between the two ecotypes in the wSA is sufficient to raise them to species status, we  
555 followed the subspecies and species concepts defined in Taylor *et al.* (2017a) and made use of  
556 the integrative taxonomy framework, which uses different sources of data to test the level of  
557 diagnosability between the groups under study.

558 We also made use of metrics using mtDNA control region sequence data, net between-  
559 group nucleotide divergence (Nei’s  $d_A$ ) and Percent Diagnosable ( $PD$ ), since they have been  
560 suggested as useful tools to distinguish cetacean populations, subspecies, and species (Rosel  
561 *et al.*, 2017b; Taylor *et al.*, 2017b). The mtDNA control region has been commonly used in  
562 taxonomic studies with cetacean taxa, however, as pointed out by Rosel *et al.* (2017c), there  
563 has been a lack of consistency in how subspecies and species were defined based on this data  
564 type. Rosel *et al.* (2017b) used mtDNA control region sequence data from well-accepted pairs  
565 of populations, subspecies and species of cetaceans to compare several different metrics and  
566 observed that Nei’s  $d_A$  and Percent Diagnosable performed best in discriminating each  
567 taxonomic group and provided highly accurate thresholds of classification, which, coupled  
568 with additional lines of evidence (*e.g.*, nuclear markers), can “improve taxonomic  
569 investigations in cetaceans”. Moderate values for Nei’s  $d_A$  (0.008) and diagnosability ( $PD$ )  
570 around 98% were observed between the two wSA ecotypes, both of which are in line with the  
571 thresholds considered informative for subspecies descriptions ( $0.004 < d_A < 0.02$ ;  $95\% < PD$   
572  $< 100\%$ ; see Taylor *et al.*, 2017b). We found one shared haplotype, no fixed substitutions

573 separating the mtDNA clusters, and no clear phylogenetic distinction between the wSA  
574 ecotypes. The low level of differentiation and shared haplotype may be indicative of a  
575 relatively recent divergence and incomplete lineage sorting in the mtDNA genome or a low  
576 level of genetic exchange (approximate 1% per generation) as suggested by the microsatellite  
577 data. Previous studies have also indicated possible low levels of gene flow between the wSA  
578 ecotypes. Using microsatellite data, Fruet *et al.* (2017) and Oliveira *et al.* (2019) both  
579 provided evidence for some admixed individuals. However, the number of loci in these  
580 studies was relatively low and the very low allelic diversity of the coastal ecotype increases  
581 the likelihood of shared common alleles that could create the appearance of admixture. The  
582 level of admixture identified by Oliveira *et al.* (2019) prevented the authors from  
583 recommending any formal taxonomic proposal for raising the subspecies *T. t. gephyreus* to  
584 the species level.

585         Taken together, these results suggest the wSA ecotypes are in the process of  
586 ecological divergence leading to speciation, although it may be incomplete since we cannot  
587 currently rule out the possibility of some gene flow. The results support the description of the  
588 wSA ecotypes as the subspecies *Tursiops truncatus gephyreus* (wSA coastal ecotype) and *T.*  
589 *t. truncatus* (offshore ecotype, which includes the wSA and wNA offshore dolphins) (Costa *et*  
590 *al.*, 2016). Interestingly, the low level of mtDNA divergence contrasts sharply with the large  
591 amount of morphological differentiation observed between the wSA ecotypes. Further studies  
592 with considerably higher number of nuclear genetic markers, a possibility provided by next-  
593 generation sequencing methods, will be able to more comprehensively evaluate the genetic  
594 drivers of divergence and levels of male-mediated gene flow. Integrating nuclear data with the  
595 morphological and mitochondrial data provided here will allow a complete and thorough  
596 evaluation of the taxonomy of these ecotypes and whether they may represent species,  
597 particularly when placed in a larger geographic context.

598           The western South Atlantic subspecies represent incipient evolutionary lineages and  
599 we urge that these two subspecies be managed independently and preserved for conservation,  
600 morphological diversity, and evolutionary purposes. *T. t. gephyreus* exhibits low levels of  
601 genetic variability and this subspecies appears to be restricted to the coastal waters of  
602 southern Brazil, Uruguay, and northern Argentina (Fruet *et al.*, 2017; Oliveira *et al.*, 2019;  
603 this study), although further work is needed to identify the northernmost distribution along the  
604 Brazilian coast. These coastal areas are affected by several anthropogenic stressors (*e.g.*,  
605 overfishing, bycatch, contamination, habitat degradation) that seem to be impacting the  
606 dolphins' survival (Daura-Jorge & Simões-Lopes, 2011; Fruet *et al.*, 2012; Fruet *et al.*, 2016),  
607 with some records of population decline (see Vermeulen *et al.*, 2017).

608

#### 609 **Data Availability**

610           Haplotypes found in this study were deposited in GenBank under the accession  
611 numbers MK105857-MK105886. Microsatellite and morphological data sets can be found in  
612 the Figshare repository under the DOI: 10.6084/m9.figshare.9963212

613

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917 Table 1: Sample sizes (a) for the microsatellite and mitochondrial DNA (mtDNA) data sets,  
 918 indicating initial number of samples available, the number that failed (see main text), the  
 919 number of duplicates, and the final sample size for each data type; (b) number of samples in  
 920 common across datasets. Values in bold indicate the final total number of samples available  
 921 for that data set. s: soft tissue samples; t: tooth samples.

(a)	Initial data set	Failed	Duplicates removed	Final data set
microsatellites	216 s, 1 t	26 s, 1 t	22 s	168 s
mtDNA	216 s, 37 t	23 t	22 s	194 s, 14 t

(b)	microsatellites	mtDNA	skulls
microsatellites	<b>168</b>		
mtDNA	168	<b>208</b>	
skulls	2	34	<b>106</b>

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935 Table 2: Mean recent migration rates and respective 95% confidence intervals (CI) between  
 936 the wSA clusters identified by STRUCTURE, inferred using microsatellite data and  
 937 BAYEASS. Genetic differentiation ( $F_{ST}$  and  $\phi_{ST}$ ) between the wSA clusters inferred using  
 938 microsatellite data and mitochondrial DNA data ( $p$ -values  $< 0.0001$  for all tests). The  
 939 migration rates were estimated as the proportion of individuals that migrate from one cluster  
 940 to the other per generation. The analyses were performed with and without the closely related  
 941 coastal samples (see text). NA: Not Applicable. Total sample size per ecotype for nuclear  
 942 data: offshore ( $n = 61$ ); coastal (with related samples:  $n = 107$ ; without related samples:  $n =$   
 943 33). Total sample size per ecotype for mtDNA data: offshore ( $n = 64$ ); coastal (with related  
 944 samples:  $n = 131$ ; without related samples:  $n = 57$ ).

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Migration rates between clusters			Genetic differentiation between clusters		
<i>With closely related coastal samples</i>					
From/To	Coastal (95% CI)	Offshore (95% CI)		Nuclear DNA	mtDNA
Coastal	0.997 (0.991 – 1.0)	0.005 (0.0 – 0.016)	$F_{ST}$	0.358	0.233
Offshore	0.003 (0.0 – 0.009)	0.995 (0.984 – 1.0)	$\Phi_{ST}$	NA	0.406
<i>Without closely related coastal samples</i>					
From/To	Coastal (95% CI)	Offshore (95% CI)		Nuclear DNA	mtDNA
Coastal	0.99 (0.972 – 1.0)	0.006 (0.0 – 0.016)	$F_{ST}$	0.258	0.204
Offshore	0.01 (0.0 – 0.028)	0.994 (0.984 – 1.0)	$\Phi_{ST}$	NA	0.361

947 Figure 1 Map of the western South Atlantic study area showing sampling locations of (A)  
948 biopsy and (B) stranding samples used in the genetic analyses. Samples are identified by color  
949 according to the origin (see text): coastal waters/morphology (green), offshore  
950 waters/morphology (blue), and unknown origin (orange).

951

952 Figure 2 Bayesian assignment probabilities of common bottlenose dolphins in the western  
953 South Atlantic based on 10 nuclear microsatellite loci and inferred using (A) TESS and (B)  
954 STRUCTURE for  $K = 2$  and  $K = 3$ . Each column represents one individual with colors  
955 representing the membership proportion to each of the clusters: wSA coastal cluster (green),  
956 wSA offshore cluster (blue), unknown offshore (third) cluster (gray).

957

958 Figure 3 Median joining network of haplotypes of common bottlenose dolphins of the western  
959 South Atlantic. Haplotypes color coded as coastal ecotype (green), offshore ecotype (blue),  
960 “unknown ecotype” (orange). The size of the circles is proportional to the haplotype  
961 frequency in each group. Small red dots indicate either extinct or unsampled haplotypes.  
962 Small red numbers represent mutational steps.

963

964 Figure 4 Median joining network of haplotypes of common bottlenose dolphins of the western  
965 Atlantic. Haplotypes color-coded as western South Atlantic coastal ecotype (green), western  
966 South Atlantic offshore ecotype (blue), western North Atlantic coastal ecotype (red) and  
967 western North Atlantic offshore ecotype (purple). The size of the circles is proportional to the  
968 haplotype frequency in each group. Haplotypes from the western North Atlantic coastal  
969 ecotype were retrieved from GenBank and therefore there is only one individual per  
970 haplotype. Small black dots indicate either extinct or unsampled haplotypes. Small red  
971 numbers represent mutational steps.

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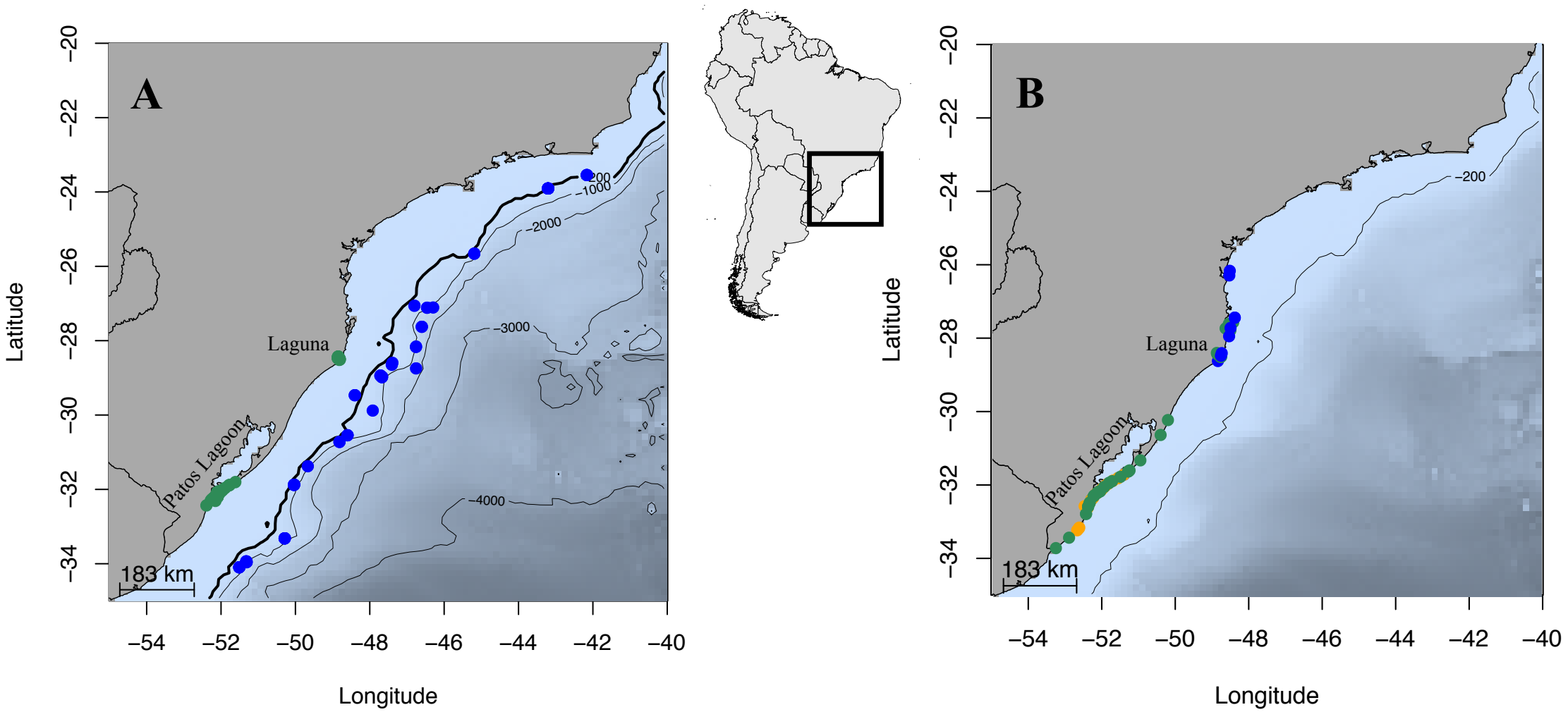
973 Figure 5 Phylogenetic tree for common bottlenose dolphins of the western Atlantic Ocean  
974 based on maximum likelihood methodology using 354 bp of mtDNA control region sequence.  
975 Values above nodes represent bootstrap values (cut-off > 50%). Ttr: wNA coastal haplotypes;  
976 OTtr: wNA offshore haplotypes; SWATtr: wSA haplotypes. The haplotype names are colored  
977 following descriptions in Figure 4. The shared haplotype between ecotypes is colored in  
978 black.

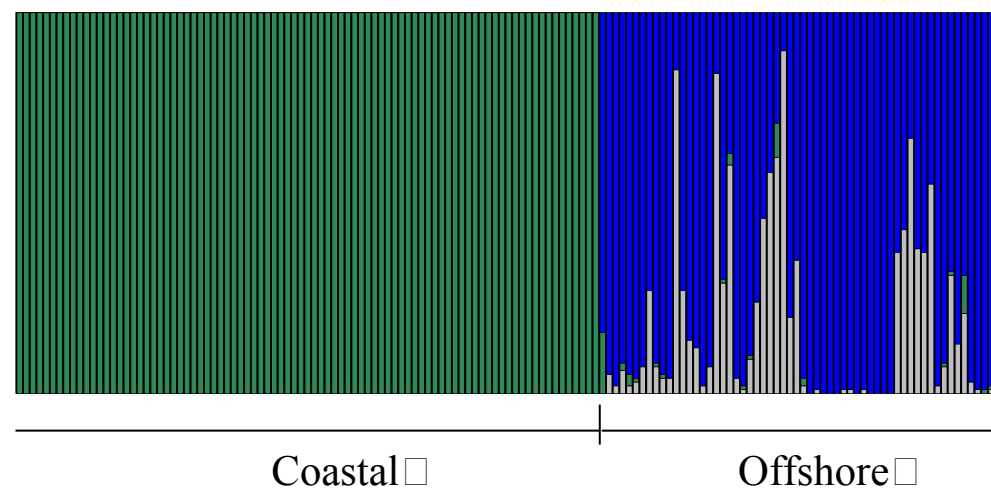
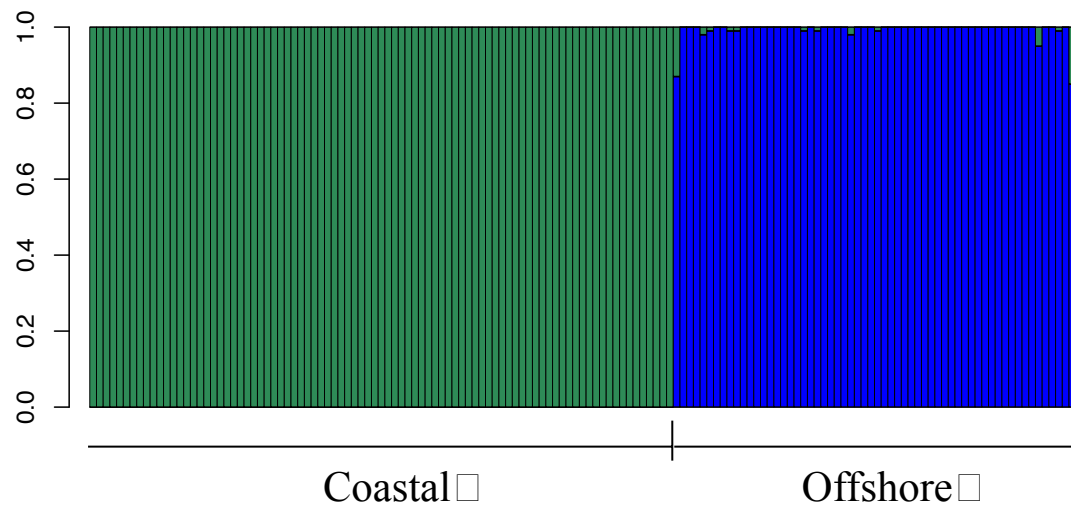
979

980 Figure 6 Bayesian assignment probabilities of common bottlenose dolphins in the western  
981 Atlantic Ocean based on 10 nuclear microsatellite loci and inferred using (A) TESS and (B)  
982 STRUCTURE for  $K = 2$ ,  $K = 3$ , and  $K = 4$ . Each column represents one individual. The colors  
983 represent the membership proportion to each of the clusters: wSA coastal cluster (green),  
984 wSA offshore cluster (blue), wNA offshore cluster (purple), unknown offshore (fourth)  
985 cluster (gray).

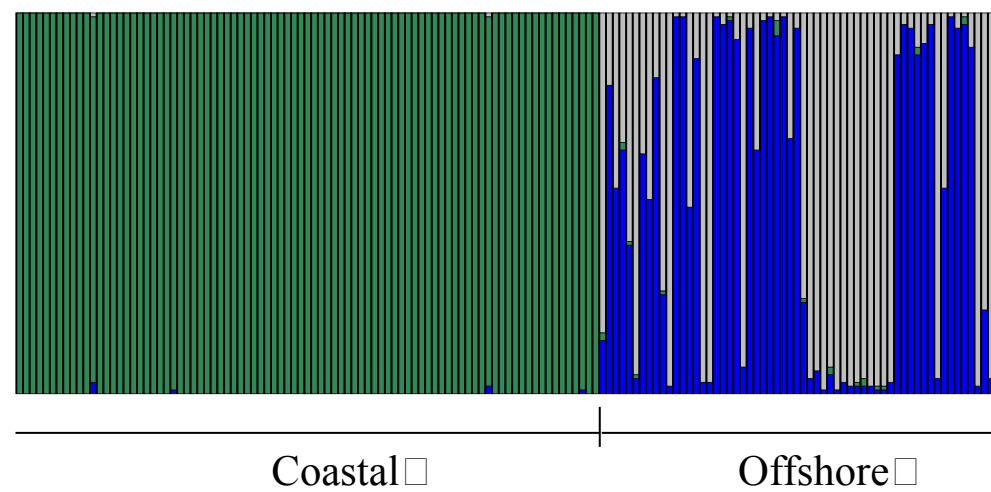
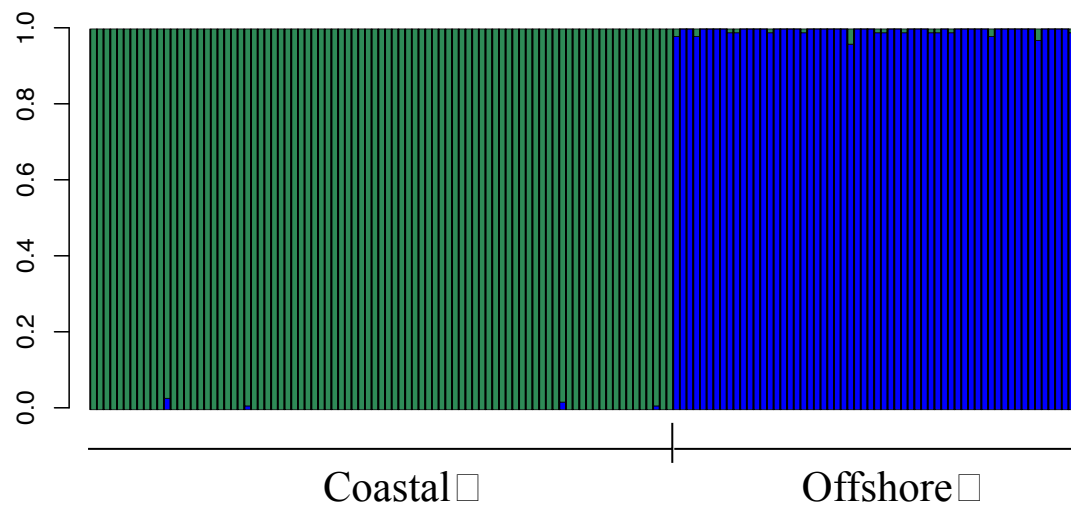
986

987 Figure 7 Scatter plot of the principal component 1 (PC1) and 2 (PC2) scores from the  
988 principal component analysis of 21 cranial measurements and 100 common bottlenose  
989 dolphins of the western South Atlantic. Black shapes represent the specimens with only  
990 morphological data available (circle: coastal morphotype; triangle: offshore morphotype),  
991 whereas colored shapes represent the specimens with both morphological and genetic data  
992 available (green: coastal haplotype; blue: offshore haplotype). The sample UFSC1077 (see  
993 text) is represented by a blue circle. The sample UFSC1281 (see text) is represented by “\*”.  
994 Ellipses represent 95% confidence.

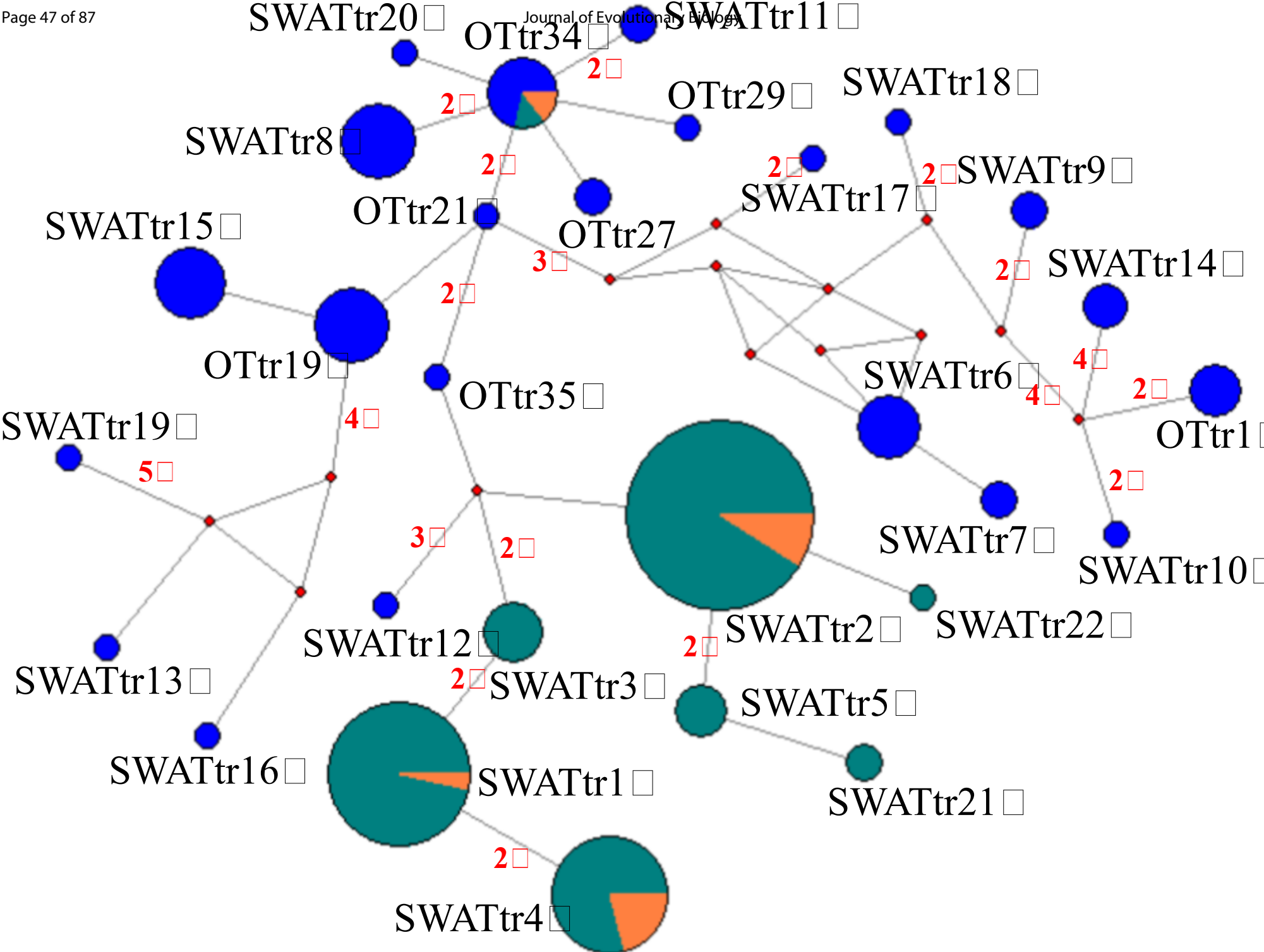


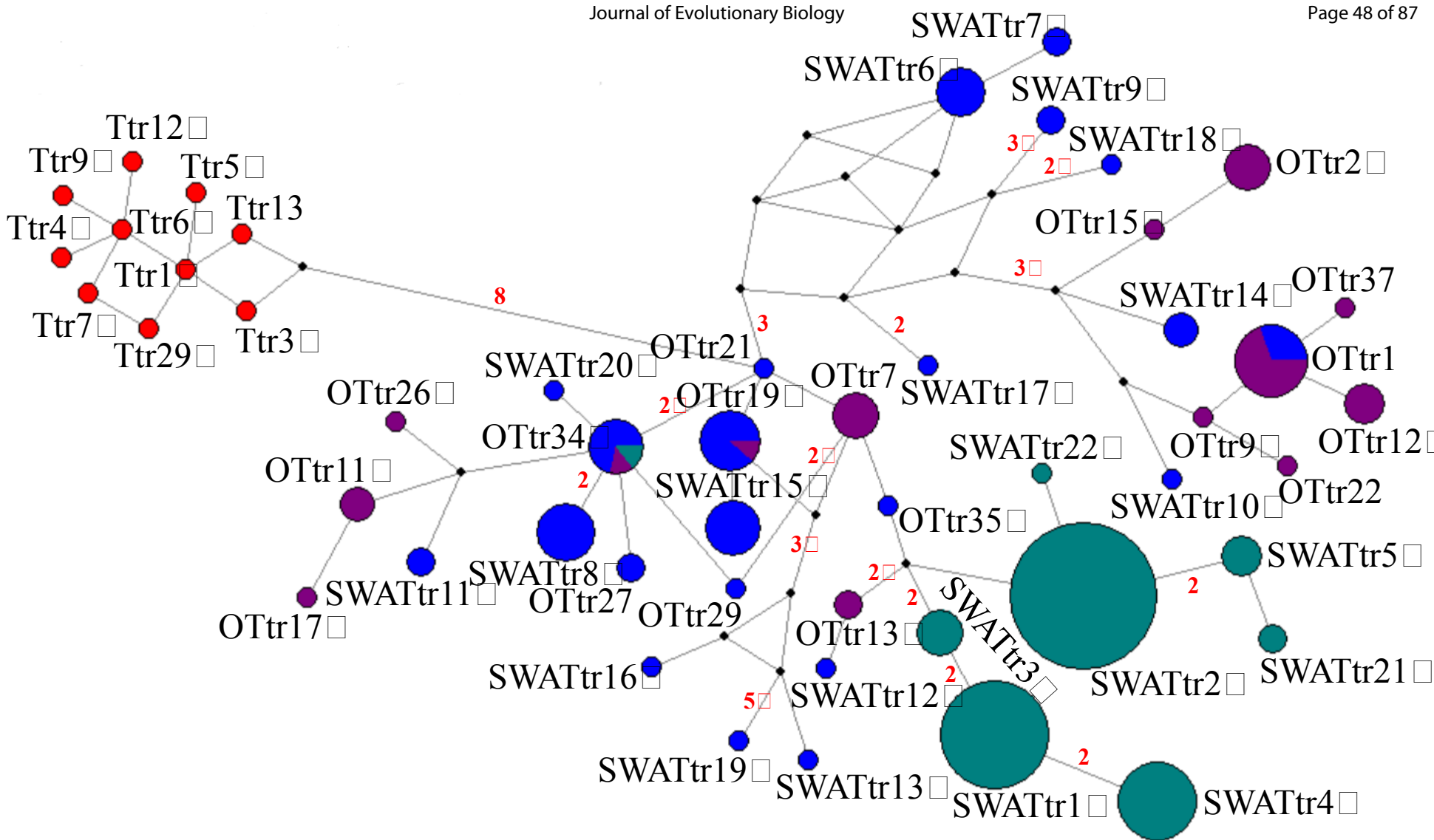
$K = 2$  $K = 3$ 

A



B





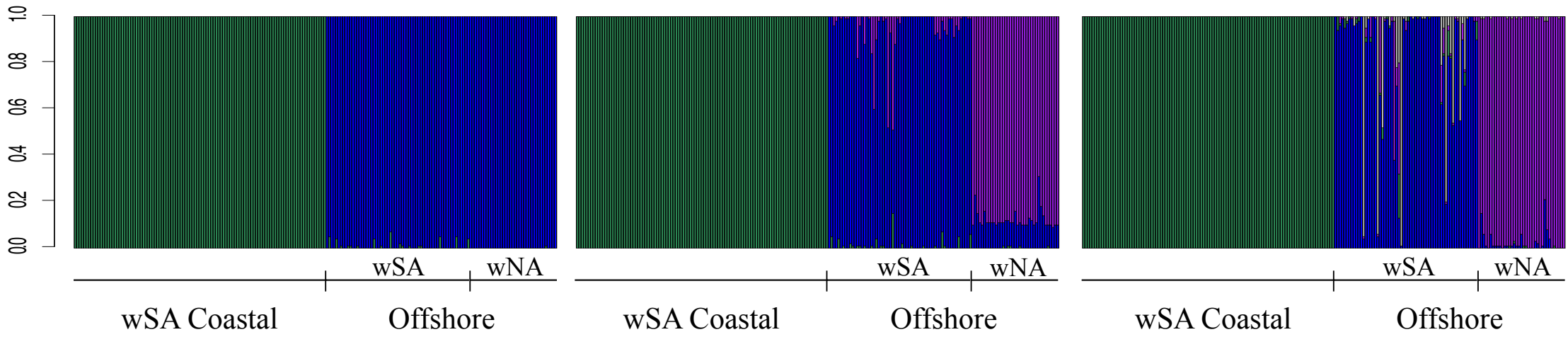




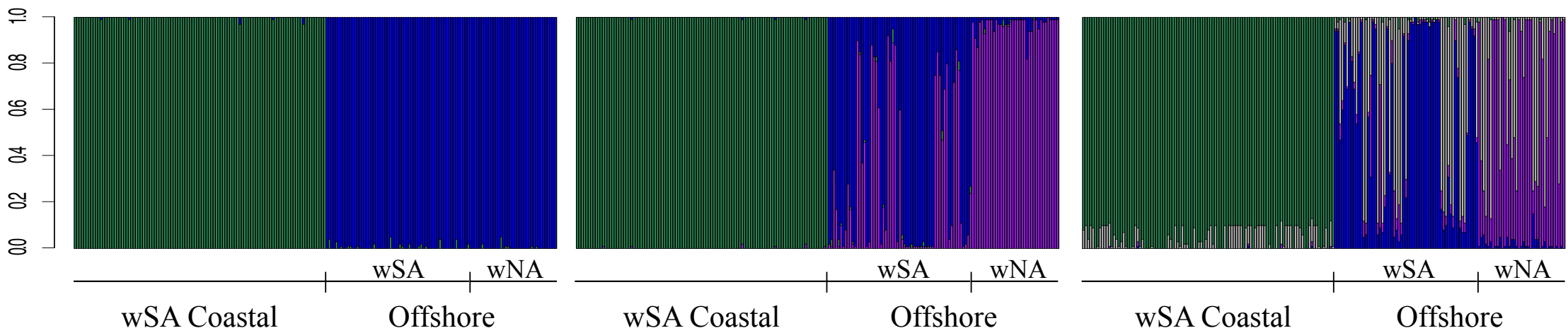
- L. acutus*
- S. bredanensis*
- T. aduncus*
- Ttr9**
- Ttr6**
- Ttr4**
- Ttr12**
- Ttr7**
- Ttr29**
- Ttr13**
- Ttr3**
- Ttr1**
- Ttr5**
- SWATtr2**
- SWATtr22**
- SWATtr5**
- SWATtr21**
- OTtr35**
- OTtr13**
- SWATtr12**
- SWATtr3**
- SWATtr1**
- SWATtr4**
- OTtr7**
- OTtr29**
- OTtr27**
- OTtr34**
- SWATtr20**
- SWATtr8**
- OTtr11**
- OTtr17**
- OTtr26**
- SWATtr11**
- OTtr21**
- OTtr19**
- SWATtr15**
- SWATtr19**
- SWATtr13**
- SWATtr16**
- SWATtr17**
- SWATtr6**
- SWATtr7**
- SWATtr9**
- SWATtr18**
- SWATtr14**
- OTtr2**
- OTtr15**
- SWATtr10**
- OTtr9**
- OTtr22**
- OTtr12**
- OTtr1**
- OTtr37**

wNA Coastal

Offshore & wSA Coastal

$K = 2$  $K = 3$  $K = 4$ 

A



B

