#### Inter-comparison of black carbon measurement 1

# methods for simulated open biomass burning

#### emissions 3

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#### Abstract 18

- 19 Biomass burning (BB) is a major source of black carbon (BC), but comparing BC content of
- 20 different smoke-impacted air masses may be uncertain if different measurement techniques are
- used to quantify the BC, or if non-BC fractions influence a given measurement. To investigate 21
- 22 these potential issues, five instruments reporting BC were compared in well-mixed smoke during
- 23 the FIREX laboratory campaign in 2016, including two filter-based absorption instruments; one
- in situ absorption instrument; a laser-induced incandescence instrument; and a thermal-optical 24
- 25 instrument. BB aerosols were generated using fuels common to wildfires in the Western US in a
- relatively controlled environment, with BC concentrations ranging from roughly 10 to 100 µg 26
- m<sup>-3</sup> (55 total fires). Applying the Bland-Altman graphical approach, systematic biases and 27
- 28 proportional biases were identified between the selected reference instrument (in situ absorption)
- and the other four instruments. BC emission factors (EF<sub>BC</sub>) derived from the thermal-optical 29
- 30 instrument, laser-induced incandescence instrument, and filter-based absorption instruments
- 31 were, on average, 83%, 39% and 66%, greater than the *in situ* absorption instrument,
- 32 respectively. To understand why these differences exist, principal component analysis combined
- with a K-means clustering algorithm was implemented to group different fires into three clusters 33
- 34 based on several co-dependent fire-related parameters (modified combustion efficiency (MCE),

- 35 single scattering albedo (SSA) at 870nm, organic carbon / elemental carbon ratio (OC/EC ratio),
- and absorption Ångström exponents (AAE)); clusters are nominally referred to as "Black",
- 37 "Mixed", and "Brown" based on the mean SSA and AAE values for each. The best agreement
- among all instruments was observed for the "Black" cluster (mean  $EF_{BC}$  ratio = 1.89, for the fires
- with mean SSA = 0.31 and AAE = 1.44); this agreement worsened for the "Mixed" (mean  $EF_{BC}$
- ratio = 2.94, for the fires with mean SSA = 0.80 and AAE = 1.92) and "Brown" clusters (mean
- $EF_{BC}$  ratio = 3.12, for the fires with mean SSA = 0.96 and AAE = 2.50), likely due to the
- 42 increased presence of externally (or internally) mixed aerosols that altered the chemical and
- optical properties of the aerosols. In general, the discrepancies observed among the BC
- 44 instruments from this work agree with or slightly exceed the ones from previous ambient and
- 45 laboratory studies. Care should be taken when interpreting different BC measurements in BB
- smoke because large artifacts can occur due to co-emitted materials.

## 1. Introduction

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- 48 Black carbon (BC, light-absorbing carbonaceous aerosol that absorbs all wavelengths of solar
- radiation and is chemically inert, Kirchstetter et al. (2004) and Petzold et al. (2013)) aerosol
- remains an uncertain but important climate forcer, with a recent estimate putting its direct
- radiative forcing near 0.6 W m<sup>-2</sup> (Wang et al., 2016). Uncertainty in BC forcing arises in part
- from the different methods used to measure its concentration in the atmosphere, and comparing
- 53 measurements with model-predicted values and related radiative forcings (Bond et al., 2013).
- Methods for measuring BC fall into three broad measurement techniques: optical methods,
- which measure light absorption or attenuation and convert to an equivalent BC mass (eBC) via
- assumed mass absorption cross sections (MAC) (Petzold et al., 2013); thermal-optical analysis
- 57 (TOA) methods, which measure carbon present in filter samples and broadly categorize it into
- 58 elemental (EC) and organic (OC) carbon fractions; and laser-induced incandescence (LII)
- 59 methods, which relate thermal emissions to the mass of refractory material present in sampled
- particles (rBC) (Lack et al., 2014). The response of the instruments to BC can vary due to natural
- differences in the chemical and optical properties of the sampled BC-containing particles. For
- example, absorption properties depend on mixing state, shape and size (Bond et al., 2006; Fuller
- et al., 1999; Jacobson, 2000); LII response has been shown to have some variability in response
- to different rBC materials (Schwarz et al., 2006); and EC measurements can be affected by co-
- 65 sampled species (Khan et al., 2012). Sampling artifacts also affect comparisons between
- 66 instruments; these artifacts can arise from particle-filter interactions, relative humidity (RH)
- 67 effects, and a myriad of other processes (e.g., Bond et al. (1999); Lack et al. (2008); Liousse et al.
- 68 (1993); Müller et al. (2011); Murphy et al. (2009); Weingartner et al. (2003)).
- 69 Previous studies have compared the different BC measurement methods, usually to either
- laboratory-generated BC, or to ambient air in a variety of environments (e.g., Müller et al. (2011);
- Sharma et al. (2017); Sheridan et al. (2005); Watson et al. (2005) and references within;
- Yelverton et al. (2014)). In general, instruments using the same technique (i.e., for eBC, rBC, or
- 73 EC) have agreed to within 10-15% in previous inter-comparison studies (Cross et al., 2010;
- Laborde et al., 2012b; Müller et al., 2011; Slowik et al., 2007). For example, Sheridan et al.
- 75 (2005) focused on comparing filter-based and *in situ* absorption measurements and reported good
- agreement provided adequate correction schemes were implemented. However, results from
- comparisons between different techniques (e.g., rBC against eBC) using various sources of BC
- showed a much wider range of responses.

- 79 Recent laboratory-based inter-comparisons examining different measurement techniques have
- 80 generally used flame-generated and/or surrogate materials such as fullerene soot or regal black,
- 81 with some also examining effects of mixing or coating with non-absorbing material. For example,
- Yelverton et al. (2014) measured emissions from an ethylene-air diffusion flame and found eBC
- 83 (using both filter-based and *in situ* measurements) to be at least 50% higher than measurements
- of rBC and EC, from a Single Particle Soot Photometer (SP2) and several different TOA analysis
- protocols, respectively. Greater values for optical measurements can be explained in part by the
- 86 enhancement of absorption from internal mixing of BC with non-BC materials (Fuller et al.,
- 87 1999), though these effects are not always straight-forward (e.g., Cappa et al. (2012); Fierce et al.
- 88 (2016)). The use of a heated inlet can reduce this effect by volatilizing at least some of the
- 89 coatings, with good agreement reported between LII, TOA and a filter-based optical method
- 90 reported for ambient air in Japan (Kondo et al., 2011).
- 91 Other groups have examined the response between different instruments for ambient air (e.g.,
- Ajtai et al. (2011); Jeong et al. (2004)). Hitzenberger et al. (2006) reported good agreement
- 93 between filter-based eBC and EC measurements in a diesel-dominated urban area. More recent
- 94 work has reported differences between methods for measurements in industrial regions
- 95 (Miyakawa et al., 2016) and for on- and near-road measurements made with a suite of
- 96 instruments sampling on a mobile platform deployed on-road (Holder et al., 2014). Sharma et al.
- 97 (2017) reported that both eBC and EC were roughly three times greater than rBC measured at a
- 98 remote Arctic site.
- 99 Few studies, however, have examined the responses of instruments to biomass burning (BB)
- emissions specifically, despite its importance as a global BC source; in fact, open BB is
- estimated to account for approximately 42% of global BC emissions (Bond et al., 2013). Reid et
- al. (1998) found that different eBC measurements in BB plumes over Brazil agreed within 5%,
- but EC measurements were about 50% lower. Both Cheng et al. (2011) and Reisinger et al.
- 104 (2008) noted that ambient BB impacts increased the discrepancy between EC values measured
- using different temperature protocols. Moreover, McMeeking et al. (2009) showed increasing
- disagreement between TOA protocols for BB samples with higher OC/EC ratios. Even fewer
- have examined different instrument responses to BB emissions in relatively controlled
- environments, and those that have generally focus on cookstove emissions or other types of
- 109 contained combustion (de la Sota et al., 2017).
- To address the relative lack of inter-comparison measurements for BC from BB, we conducted a
- systematic comparison of different BC instruments spanning all measurement techniques under
- relatively controlled laboratory conditions. Our study focuses on a detailed comparison of five
- 113 BC measurement instruments during the Fire Influence on Regional to Global Environments
- Experiment (FIREX) laboratory campaign in 2016. The purpose of our study was to quantify any
- differences in measurements of eBC, rBC and EC derived from commonly-used BC
- instrumentation for different biomass fuels under different combustion conditions.

# 117 2. Methodology

- 118 2.1. Sampling site and experimental methods
- 119 The FIREX campaign was conducted at the United States Forest Service Fire Science Laboratory
- 120 (FSL) from October 1 to November 15, 2016 in Missoula, Montana. More than 100 burns were

- performed in an "open" combustion environment under artificial control of fuel types and
- quantities. A summary of the fuels, fuel components, and fuel properties (e.g., moisture content)
- is provided as Table S1. A more detailed description of the combustion facility and burn
- information can be found in Koss et al. (2018) and Selimovic et al. (2018).
- We used an 8" diameter semi-rigid aluminum main transfer duct to transfer the smoke from the
- 126 combustion room to the FSL's wind tunnel room, where our BC instruments were located. The
- flow rate through the duct was roughly 20,000 LPM, resulting in a residence time of 1 to 1.5 s; at
- this residence time, we expect minimal losses within the transfer duct. We drew the emissions
- into our sampling chamber (~200 LPM, anisokinetic sampling) from the center of the duct to
- minimize wall interactions using a 2.5 cm stainless steel tube. We did not quantify leaks or losses
- through this transfer line since our work focuses on an inter-comparison of the different BC
- instruments rather than the accurate quantification of BC mass emissions from the fires; any
- leaks/losses would affect all instruments.
- We used a design similar to that used by Sheridan et al. (2005) to transfer the emissions to the
- BC instruments. Emissions first passed through a cyclone (Model URG-2000-30ET, URG Corp.,
- estimated to have a 1.5-µm cut-point at a flow rate of 200 LPM) to remove larger particles. The
- emissions that passed through the cyclone were injected into an actively-mixed cylindrical
- chamber (stainless steel, volume = 210 L). This chamber served as an intermediate between the
- transfer line and the BC instrumentation to minimize biases that could arise when sampling at
- different flow rates and locations from the main transfer duct. Valves allowed us to either pull
- BB emissions from the transfer line or introduce HEPA-filtered air to the sampling chamber.
- During experiments, emissions were sampled from the mixing chamber into eight real-time BC
- instruments and two sets of filters. Filtered air was drawn into the mixing chamber during the
- experiments to replace the air sampled by the BC instruments and filters, leading to a gradual
- dilution of particle concentrations in the mixing chamber over time. A schematic of this setup is
- provided in Figure S1 and Figure S2, and a more detailed description of the experiments is
- available in the Supplementary Material.
- 148 Two types of experiments were performed during FIREX: stack burns, where emissions were
- sampled in real-time through an exhaust stack over the fire; and room burns, where emissions
- filled the combustion room ( $12.5m \times 12.5m \times 22m$ ) and were then intermittently sampled by
- instruments over several hours. During stack burns, we sampled emissions from the initial stage
- of the burn (typically flaming combustion) up until achieving a BC concentration in the sampling
- chamber between 10 to 100 µg m<sup>-3</sup>. However, in some instances, we deliberately sampled only
- during the later stages of the fire to collect samples with a larger contribution from smoldering
- combustion, and hence, a potentially broader range of aerosol optical properties which might be
- observed near the source for real-world fires. For room burns, we followed the similar approach
- outlined for stack burns, but in this case, only well-mixed emissions in the combustion room
- were sampled (determined by approximately stable real-time absorption values of other study
- participants' instruments). In this case, the room itself acted to integrate the emissions of the
- participants instruments). In this case, the footh itself acted to integrate the emissions of the
- entire fire, a sub-sample of which was then drawn into our chamber. Due to the relatively long
- residence time in the combustion room (on the order of hours due to limited air exchange), we
- were able to collect multiple emission samples from the room into our mixing chamber for each
- 163 room burn.

#### 2.2. Instrumentation

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165 We deployed a suite of instrumentation to characterize BC in the smoke samples during the campaign, including two in situ methods for eBC, five filter-based methods for eBC, and one 166 method for rBC. Furthermore, two 47mm filter holders were used for off-line thermal-optical 167 analysis of EC; one contained a single (bare) quartz filter (Q), while the other contained a Teflon 168 filter followed by a quartz filter (QBT). Table 1 lists all of the key BC instrumentation included 169 170 in our study, as well as their measured parameters and key specifications used for this intercomparison work. Note that we are using the BC instruments per manufacturer instructions and 171 172 did not adopt non-standard procedure to enhance their performance specifically for BB smoke in 173 this work. In addition to the BC instruments, we deployed a carbon dioxide (CO<sub>2</sub>) gas analyzer 174 (LI-840A, LI-Cor Biosciences), a carbon monoxide (CO) gas analyzer (model T300, API-175 Teledyne), and scanning mobility particle sizer (model 3938, TSI Inc.).

Table 1 Summary of BC instruments used during the 2016 FIREX laboratory campaign

Method	Instrument (Manufacturer) <sup>a</sup>	Abbr.	Direct Measurement	Derived parameter used in current work <sup>b</sup>	Measurement uncertainty (Relative)	Other notes		
Thermal- optical (EC)	OCEC Analyzer (Sunset Laboratory Inc) <sup>c</sup>	Offline OCEC	OC and EC area density on filter (µg m <sup>-2</sup> )	BC mass concentration (μg m <sup>-3</sup> ), OC/EC ratio	16% (Liu et al., 2013)	Analyze using IMPROVE-A TOR temperature protocol.		
In situ absorption (eBC) <sup>d</sup>	Photoacoustic Extinctiometer (Droplet Measurement Technologies, Model 870 nm)	PAX-870	B <sub>abs</sub> and B <sub>scat</sub> at 870 nm (Mm <sup>-1</sup> )	BC mass concentration (µg m <sup>-3</sup> ), SSA, AAE and SAE (inferred with the PAX- 405)	20% Use MAC of 4.74 m <sup>2</sup> g <sup>-1</sup> converted to nm from recommended value in Bo			
	Photoacoustic Extinctiometer (Droplet Measurement Technologies, Model 405 nm) <sup>e</sup>	PAX-405	B <sub>abs</sub> and B <sub>scat</sub> at 405 nm (Mm <sup>-1</sup> )	AAE and SAE (inferred with the PAX- 870)	7% (Nakayama et al., 2015)	-		
	Aethalometer (Magee Scientific)	AE-31	B <sub>atn</sub> at seven wavelengths (370, 470, 520, 590, 660, 880, and 950 nm) (Mm <sup>-1</sup> )	Not included in current work <sup>f</sup>				
	Micro Aethalometer (AethLabs)	μΑΕ	B <sub>atn</sub> at 880 nm (Mm <sup>-1</sup> )					
Filter-based absorption (eBC) <sup>d</sup>	Atmospheric Black Carbon Detector (Lawrence Berkeley National Laboratory)	ABCD	B <sub>atn</sub> at 880 nm (Mm <sup>-1</sup> )					
	Continuous Light Absorption Photometer (NOAA ESRL GMD) <sup>g</sup>	CLAP	B <sub>atn</sub> at 467, 528, and 652 nm (Mm <sup>-1</sup> )	BC mass concentration (µg m <sup>-3</sup> ), AAE, B <sub>abs</sub> at 870 nm (Mm <sup>-1</sup> )	30% (Ogren et al., 2017)	Apply flow and pressure corrections. Apply filter type correction on the samples of CLAP equipped with Azumi filter. Perform correction using B1999 scheme and its empirical parameters. Use PAX-derived Bscat and SAE to compute Bscat at TAP and CLAP wavelengths (needed in the correction		

	Tricolor Absorption Photometer (Brechtel Manufacturing Inc) <sup>g</sup>	ТАР	B <sub>atn</sub> at 467, 528, 652 nm	BC mass concentration (µg m <sup>-3</sup> ), AAE, B <sub>abs</sub> at 870 nm (Mm <sup>-1</sup> )	30% (Laing et al., 2016)	scheme). Convert self-derived Babs to that at 870 nm with self-calculated AAE. Use the same MAC as the PAX-870.
Refractory (rBC)	Single Particle Soot Photometer (Droplet Measurement Technologies) <sup>h</sup>	SP2	Laser induced incandescence and light scattering of single particle	BC mass concentration (µg m <sup>-3</sup> )	20% (Laborde et al., 2012a)	Observed particle mass distribution is fitted by a log-normal size distribution. A non-standard laminar flow element is used to measure flow rates accurately.

<sup>&</sup>lt;sup>a</sup> More detailed information of the instruments (such as flow rate and spot area of filter-based instruments) is provided in Table S4.

<sup>&</sup>lt;sup>b</sup> In our comparison, the generic term BC is used to refer to one of the three methods: eBC, EC, and rBC.

<sup>&</sup>lt;sup>c</sup> The filters were collected for 76 experiments during the campaign, generally 2 or 3 per day.

<sup>&</sup>lt;sup>d</sup> Operating wavelengths are based on manufacturer specifications. B<sub>atn</sub> is similar to the B<sub>abs</sub> but it is specific to filter-based instruments.

<sup>&</sup>lt;sup>e</sup> The PAX-405 was used from fire 32 to fire 107.

<sup>&</sup>lt;sup>f</sup> See Section 2.2.3 for details.

<sup>&</sup>lt;sup>g</sup> B1999 correction scheme was performed on the CLAP and TAP data from fire 32 to fire 107, during which we have both PAX-405 and PAX-870.

<sup>&</sup>lt;sup>h</sup> The SP2 was only used during the stack burns (fire 1 to fire 75).

#### 2.2.1. Determination of EC from filter samples

- We used a TOA method to determine EC on the Q and QBT filters. Prior to the campaign, the
- quartz filters were baked at 550 °C in air for a minimum of 8 hr to remove possible organic
- contamination. Both quartz and Teflon filters were kept in pre-baked-aluminum-foil-lined petri
- dishes sealed with Teflon tape, and stored in a freezer (-18 °C) before and after sampling. Filters
- were sampled for approximately fifteen minutes to ensure sufficient sample loading for detection.
- After collection, the valve connecting the filters to the chamber was closed, and the filters were
- 187 removed and returned to their respective petri dish. To check for contamination, some filters
- served as handling blanks (filters brought to the campaign but not loaded in the filter holders),
- and roughly 10% of all filters were dynamic blanks (filters loaded in the filter holders that
- sampled filtered air through the barrel).

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- 191 Filters were analyzed in the laboratory at The Ohio State University's campus using a Sunset
- 192 OCEC Analyzer (hereafter referred to as offline OCEC) following the study. We used both the
- 193 US Interagency Monitoring of Protected Visual Environments (IMPROVE-A) thermal optical
- reflectance (TOR) protocol (Chow et al., 1993) and the National Institute of Occupational
- 195 Safety and Health protocol (Birch and Cary, 1996) with maximum temperature 870 °C (NIOSH-
- 196 870), and a comparison between the two protocols can be found in Figure S4. However, we will
- primarily focus on the discussion of IMPROVE-A TOR results in the main text because this
- method is more commonly used in the analysis of ambient samples (Solomon et al., 2014).
- 199 Briefly, these methods provide OC and EC concentrations from the Q and QBT filters.
- 200 Measurements of laser reflectance throughout the analysis assist in the split of OC and EC due to
- the pyrolysis carbon (PC) generated during the analysis of OC stages. The production of and
- 202 correction for PC is subject to the thermal and optical protocols and can lead to uncertainties in
- the split carbon concentration (Chow et al., 2004). The instrument was calibrated daily using
- clean filters and sucrose standards.
- 205 Analysis of handling blanks yielded averaged total carbon (OC + EC) and EC concentrations of
- $0.72 \pm 0.27 \,\mu \text{g m}^{-2}$  and  $0.03 \pm 0.05 \,\mu \text{g m}^{-2}$ , respectively (detection limit was  $0.08 \,\mu \text{g m}^{-2}$  for both),
- suggesting almost no contamination throughout the shipping and handling of samples, especially
- for EC. The analysis of dynamic blanks also suggests almost no contribution to EC (0.09  $\pm$  0.07
- 209 µg m<sup>-2</sup>) from any residual contamination in our experimental setup.

#### 210 2.2.2. *In situ* eBC measurements

- The *in situ* aerosol optical properties were measured by two photoacoustic extinctiometers (PAX)
- at 870 nm and 405 nm from Droplet Measurement Technologies (DMT), which will be
- subsequently referred to as the PAX-870 and PAX-405, respectively. Briefly, the PAX measures
- 214 light absorption coefficients (B<sub>abs</sub>) and scattering coefficients (B<sub>scat</sub>) (Arnott et al., 1999;
- Nakayama et al., 2015). Emission samples entering both instruments were first passed through a
- scrubber to remove nitrogen dioxide and other UV-absorbing gases (which may interfere with
- 217 the PAX-405 measurements) and a diffusion drier to minimize the effects of RH on the measured
- 218 optical properties, following the manufacturer recommendations. The scrubber and drier were
- 219 recharged as needed throughout the campaign. We only include eBC from the PAX-870 in this
- inter-comparison because the contributions of brown carbon (BrC) to absorption at 405 nm can
- be significant (Andreae and Gelencsér, 2006). The manufacturer-recommended MAC value of

- 4.74 m<sup>2</sup> g<sup>-1</sup> at 870 nm is used to convert B<sub>abs</sub> to eBC mass in current work for both the *in situ* and
- filter-based methods.
- 224 2.2.3. Filter-based methods for eBC
- Five filter-based instruments provided real-time light absorption measurements; however, a
- direct comparison between the Magee Scientific AE31 and the filters for offline OCEC analysis
- was not possible due to frequent AE31 filter-tape advancement at higher concentrations, so the
- AE31 is not included in this work. However, the AE31 along with the prototype Atmospheric
- 229 Black Carbon Detector (ABCD) and Micro Aethalometer (µAE), will be considered in future
- work. Consequently, the only filter-based eBC results that we include here are from the Tricolor
- 231 Absorption Photometer (TAP) from Brechtel Manufacturing Inc (BMI) and Continuous Light
- Absorption Photometer (CLAP) from NOAA's Earth System Laboratory's Global Monitoring
- 233 Division, which are widely used in monitoring networks.
- Both CLAP and TAP are photometers that provide light absorption measurements of particles
- deposited on a filter, similar to the Particle Soot/Absorption Photometer (PSAP) (Ogren et al.,
- 236 2017), but with multiple filter spots (8 sample spots and 2 reference spots), thus enabling longer
- operation between filter changes. These instruments are conceptually similar to each other (and
- 238 the PSAP), providing light absorption measurements at three wavelengths (467 nm, 528 nm, and
- 239 652 nm); however, BMI substantially re-engineered the CLAP in their development of the TAP.
- The spot change of the CLAP was manually performed when transmission (Tr) reached
- approximately 0.5, while the TAP advanced to a new spot automatically with a Tr threshold set
- to be 0.5. For the first portion of the campaign, we used Pallflex E70-2075S filters in the CLAP
- 243 while Azumi filters (model 371M, Azumi Filter Paper Co., Japan) were used in the second
- portion (due to a lack of availability of the Pallflex filters). The TAP was equipped exclusively
- 245 with the Azumi filters throughout the campaign. We applied the correction recommended in
- Ogren et al. (2017) to account for these differences in filter material when converting to Azumi
- 247 filters.
- One challenge with any filter-based instrument is that the presence of the filter can potentially
- introduce biases. For example, high filter loadings may result in an under-estimate of light
- absorption due to the reduction of filter optical path by deposited particles, while light scattering
- by embedded particles or the filters themselves can result in an over-estimate due to their
- 252 contribution to the decrease of transmittance. Various correction schemes exist to account for
- 253 these biases (e.g., Bond et al. (1999), Ogren (2010), Virkkula et al. (2005), and Virkkula (2010)).
- However, to simplify our inter-comparison efforts here and reduce uncertainties that may be
- introduced by different schemes, we will solely use the widely adopted correction factor from
- Bond et al. (1999) in this work, hereafter referred to as "B1999" for both the TAP and CLAP
- data. This scheme was built into the TAP software and was routinely used in NOAA's
- 258 processing of CLAP data. A comprehensive comparison of all of the filter-based instruments, as
- 259 well as the evaluation of multiple published correction equations for these instruments, will be
- the focus of future work.
- 261 2.2.4. Incandescence technique for rBC
- We measured rBC concentrations with a DMT SP2 (only available to us during stack burns).
- 263 Briefly, the SP2 uses LII to quantify the mass of rBC in particles, here in the range of

approximately 1 and 160 fg (a mass equivalent diameter range of 90-550 nm, assuming that rBC

has a void free density of 1.8 g cm<sup>-3</sup>). The instrument also detects the single particle light

scattering and provides information that can be used to calculate BC-containing particle optical

size and mixing state. In the current study, data was recorded discontinuously during the

experiments with high particle concentrations, and an exponential decay curve was fit to the data to interpolate rBC mass concentrations between measurement periods (an example of time-series

270 BC results from the four near-real-time instruments throughout a fire is shown in Figure S3). A

BC results from the four hear-real-time instruments throughout a fire is shown in Figure 55). A

lognormal fit on the particle mass distribution (Schwarz et al., 2006; Spackman et al., 2008) was

used to derive correction factors (Table S2) to account for any BC outside the instrument

detection limits during each experiment. These factors, which ranged from 1.00 to 1.18, were

used to correct the SP2-observed rBC mass concentrations to a best-estimate of the total

accumulation mode rBC concentration. During FIREX, the SP2 was equipped with a non-

standard laminar flow element designed to measure lower flow rates accurately, with the SP2

sampling at flow rates on the order of 0.006 LPM to minimize coincidence errors and other

278 complications associated with high particle count rates. This low sample flow was carefully

calibrated, and only added a small component of additional uncertainty (~<5%) to the SP2

concentration measurement. Coincident incandescent particles (e.g. two particles measured in a

single SP2 detection window of ~80 µs) were not taken into account during processing; these

could lead to an under-estimation of rBC mass during sampling periods with high aerosol

concentrations. We estimated the worst case (i.e. at the highest concentrations of rBC) resulting

low bias in concentration to be at most 5-8% during FIREX.

The leading edge only (LEO) fitting method (Gao et al., 2007) was used to estimate a coating

thickness from the initial optical size of individual rBC particles, assuming Mie core-shell theory.

A value of  $n_{core}$ =2.26+1.26*i* was assumed for the complex index of refraction of the rBC core

288 (Moteki et al., 2010) and  $n_{\text{coating}}=1.45$  for the non-absorbing coating material at 1064 nm (the

wavelength of the SP2 laser) following Lack and Cappa (2010). These values were used in the

290 calculation of absorption enhancement (E<sub>abs</sub>) discussed in Section 3.2.1.

#### 291 2.2.5. Calibrations

- 292 As part of our sampling strategy, we conducted calibration experiments at various frequencies
- during the campaign. SP2 laser intensity was calibrated twice-daily with polystyrene latex
- spheres, following Schwarz et al. (2010). No significant changes in laser efficiency relative to
- instrument temperature (as has been previously observed in some cases) were observed.
- 296 Throughout the campaign sufficient laser intensity for detection over the rBC mass range
- reported (1- 160 fg) was maintained, as per Schwarz et al. (2010). The SP2 rBC mass calibration
- was performed using fullerene soot (Sigma Aldrich lot #F12SO11) size selected through a
- 299 differential mobility analyzer (for mobility diameters between 125-350 nm) twice during the
- 300 campaign, and the two calibrations were within ~10% of one another. The empirical relationship
- relating the mobility diameter to single particle fullerene soot mass from Moteki and Kondo
- 302 (2010) was used to determine the mass to incandescence relationship. The average mass to
- incandescence relationship from these two calibrations was used to process data from the stack
- 304 burns.
- Light absorption and light scattering of the PAXs were calibrated once a week following the
- 306 manufacturer's recommend procedure using ammonium sulfate aerosol and fullerene soot,
- 307 respectively. Flow rates of all instruments were measured regularly using a bubble flow meter

- and adjusted if necessary. Periodic gas calibrations of the CO<sub>2</sub> and CO analyzers were also
- performed using standard gas mixtures (2000 ppmv CO<sub>2</sub> in N<sub>2</sub> and 100 ppmv CO in N<sub>2</sub>,
- 310 respectively).
- 311 2.3. Calculation of EF<sub>BC</sub> and key fire-related parameters
- To standardize the data across different instruments (with different time resolutions) and across
- different fires, we calculated a time-integrated EF<sub>BC</sub> for each fire (where the integration window
- is fifteen minutes, the offline OCEC filter sampling period):

$$EF_{BC} = \frac{\Delta BC}{\Delta CO_2 + \Delta CO} \times f_C \tag{1}$$

- where  $\Delta BC$  represents the time-integrated, background-corrected BC mass concentration (µg m<sup>-3</sup>)
- integrated over the duration of filter collection, and  $\Delta CO_2$  and  $\Delta CO$  are the time-integrated,
- 318 background-corrected concentrations of CO<sub>2</sub> and CO over the same time interval (converted to
- 319 g-C m<sup>-3</sup> from ppmv). We calculated the eBC mass concentration at 870 nm (the operating
- wavelength of the PAX-870) from B<sub>abs</sub> by dividing by the MAC. For the CLAP and TAP, we
- first extrapolated the measured B<sub>abs</sub> to 870 nm using inferred absorption Ångström exponents
- 322 (AAE; see below) as others have done previously (Sheridan et al., 2005; Slowik et al., 2007), and
- 323 then derived eBC mass concentration. This results in different  $\Delta$ eBC values than at 652 nm for
- the TAP and CLAP (Figure S5), but we took this approach to compare all of the eBC instruments
- 325 at the same wavelength (870 nm). The term  $f_C$  is the fuel's mass fraction of carbon calculated on
- a dry weight basis of fuel, which ranged from 0.37 to 0.56 (Selimovic et al., 2018). Because
- 327 these time-integrated EF<sub>BC</sub> were sub-sampled from each fire and did not account for any
- 328 leaks/losses, we do not recommend that these values be used for direct comparison with fire-
- 329 integrated EF<sub>BC</sub> from other studies.
- We evaluated the combustion conditions that produced the emissions sampled in our chamber
- using the modified combustion efficiency (MCE), determined by excess CO and CO<sub>2</sub> mixing
- ratios (also time-integrated over the 15-minute filter sampling period):

$$MCE = \frac{\Delta CO_2}{\Delta CO_2 + \Delta CO} \tag{2}$$

- MCE can be used as an indicator of flaming (MCE  $\rightarrow$  1) or smoldering (MCE < ~0.9)
- combustion (Akagi et al., 2011; McMeeking et al., 2009; Reid et al., 2005). In this campaign,
- typical values of  $\Delta CO_2$  and  $\Delta CO$  measured from our chamber ranged from 80-150 ppmv and 2-
- 337 10 ppmv, respectively. Occasionally, low  $\Delta CO_2$  concentrations relative to background led to
- 338 higher uncertainties in background corrections. Nevertheless, our calculated MCE values
- generally agreed within 10% of those measured in the combustion room (Selimovic et al., 2018),
- despite differences in collection times for some fires (Figure S6).
- Two other key parameters utilized in our data analysis were the single scattering albedo (SSA; an
- optical property of emitted aerosol) and the OC/EC ratio (a chemical property of the emitted
- aerosol). Since the focus of this work is BC, which is the dominant absorber at longer
- 344 wavelengths, we used measurements of  $B_{abs}$  and  $B_{scat}$  from the PAX-870 to calculate SSA at 870
- 345 nm:

$$SSA = \frac{B_{SCat}}{B_{abs} + B_{Scat}} \tag{3}$$

- The OC/EC ratio was simply calculated as the ratio of the OC mass concentration divided by the
- 348 EC mass concentration, as derived in the offline OCEC IMPROVE-A analysis.
- Another optical property of the emissions that we considered in data interpretation was the AAE,
- which describes (fits) light absorption as a power law function of wavelength.

$$AAE = -\frac{\ln(B_{abs}(\lambda_1)/B_{abs}(\lambda_2))}{\ln(\lambda_1/\lambda_2)}$$
 (4)

- 352 It has been widely accepted that "pure" externally mixed BC has AAE ≈ 1 (Bond et al., 2013;
- Lack and Langridge, 2013), while BB aerosols typically have AAE > 1 (Clarke et al., 2007). For
- example, during previous laboratory studies and in ambient measurements, the AAE was
- observed to range from 1.5-7 and highly related to SSA (Liu et al., 2014; McMeeking et al.,
- 356 2014). AAE can be inferred from an instrument (or combination of similar instruments) that
- measure light absorption at different wavelengths of light. Hence, we could derive AAE from the
- outputs of both the CLAP and the TAP (exponential least squares fit between multiple B<sub>abs</sub> and
- wavelengths;  $b_{abs} \sim \lambda^{-AAE}$ ), or the combination of the PAX-870 and PAX-405 (applying Eq. 4)
- directly). We observed some discrepancies among the AAEs obtained from these instruments,
- which may be due to measurement uncertainties of B<sub>abs</sub> that propagates to the calculation of
- 362 AAE. Furthermore, the lack of a well-accepted correction algorithm of filter-based instruments
- will introduce uncertainties on the AAEs derived from the CLAP and TAP (Backman et al.,
- 364 2014). In our subsequent discussion, we used the average AAE value from all three instruments
- 365 (referred to as AAE<sub>avg</sub>). The analogous term for light scattering is the scattering Ångström
- and TAP data.

#### 367 2.4. Statistical methods for data processing and analysis

- To aid in data interpretation, we used principal component analysis (PCA) combined with
- 369 K-means clustering (hereafter, shortened to PCA/K-means) to categorize each burn into groups
- with similar fire-related parameters (Section 3.1.2). We first applied PCA to transform MCE,
- 371 SSA, and OC/EC ratio into a set of new orthogonal variables (i.e., principal components (PC);
- Jolliffe (1986)) because each of these parameters has some degree of correlation with the others.
- 373 After obtaining the PCs, we conducted K-means clustering to obtain K disjoint groups (i.e.,
- 374 groupings of burns, or "clusters") such that the burns in a given cluster have similar PCs and are
- different from the burns in other clusters (Hartigan and Wong, 1979). The number of clusters
- 376 was determined based on "elbow criteria", which considers at the total within-cluster sum of
- 377 squares (total-WCSS, a parameter that describes compactness of the clustering) as a function of
- 378 the number of clusters (Hardy, 1994). Detailed descriptions of the PCA/K-means procedure
- performed on FIREX dataset are given in the Supplementary Material.
- 380 After categorizing the burns, we adopted the Bland-Altman difference approach (Altman and
- 381 Bland, 1983) to evaluate the agreement of the five instruments (Section 3.2.1). This approach
- remains the "gold-standard" for method-comparison studies (Ryan and Woodall, 2005) because
- it enables visual examination of the agreement and the data scatter between two instruments,
- where one instrument is arbitrarily chosen as the reference (i.e., it need not be a "ground truth").

- 385 It has also been widely used in various aerosol studies to visualize the differences between
- instruments (Nieuwenhuijsen et al., 2015; Spinazzè et al., 2017; Ward et al., 2006). Briefly, in
- 387 the Bland-Altman approach, the difference between the two measurements for a given sample is
- 388 plotted against the two measurements' mean value for that sample. Hence, a good agreement
- between two methods is observed when the differences are scattered about zero and the best-fit
- 390 line of the differences has a slope of zero. Conversely, one can also identify systematic biases
- 391 (i.e., the data are not scattered about zero) and/or proportional biases (i.e., the slope of the data is
- not zero) between instruments.
- 393 Because linear regressions are a more traditional approach in the aerosol science literature than
- 394 the methods described above, we have also provided these results in the online Supplementary
- Material. Figure S7 relates  $EF_{BC}$  for each instrument with MCE, similar to prior work (Hosseini
- et al., 2013; May et al., 2014; McMeeking et al., 2009), while Figure S8 relate EF<sub>BC</sub> between
- different instruments. However, we have utilized a major axis regression (rather than the more-
- 398 typical ordinary least squares regression) which accounts for uncertainty in both the abscissae
- and the ordinates (Ludbrook, 2010; Wu and Yu, 2018).

# 400 2.5. Computational methods

- Data processing and fire integrations were performed with Igor Pro. Igor Pro's exp\_XOffset
- 402 curve-fit function was used to interpolate data points when CLAP and TAP advanced filter spots
- 403 during fires and when the SP2 measurements were not recorded. The R programming language
- 404 was used for statistical analysis (lmodel2 package for type II linear regression, prcomp and
- 405 kmeans packages for PCA/K-means analysis, and bland.altman.plot package for Bland-Altman
- 406 figures).

# 407 3. Results and discussion

- We broadly focus our presentation of results into two sections. Section 3.1 discusses the
- 409 calculated fire-related parameters (MCE, SSA, OC/EC ratio, and AAE<sub>avg</sub>) and the outcome of
- our PCA/K-means clustering analysis using these parameters. Section 3.2 provides our inter-
- comparison of different BC instruments using the clustering results and draws upon the statistical
- analysis in our interpretation. Table S2 and S3 provide all of the sample-period-integrated EF<sub>BC</sub>
- for the different instruments as well as all fire-related parameters for each fire.

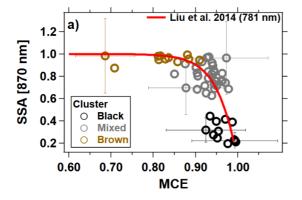
#### 414 3.1. Fire-related parameters

#### 415 3.1.1. Variability of parameters

- Our observed MCE range from 0.80 to 0.99, with the exception of two samples collected only
- during later stages of smoldering combustion. These observations suggest that we collected
- smoke samples representative of smoldering and flaming combustion as well as a mixture of the
- two. The MCE range is consistent with previous field studies (e.g., 0.80 to 0.99 during BBOP
- 420 (Collier et al., 2016); 0.88 to 0.94 during SEAC<sup>4</sup>RS (Liu et al., 2017)) and laboratory studies
- 421 (e.g., 0.85 to 0.96 during FLAME-III (May et al., 2014); 0.69 to 0.98 during FLAME-IV
- 422 (Pokhrel et al., 2016)).

Figure 1a illustrates the relationship between SSA (range = 0.20 to 0.99) and MCE; SSA increases (and later plateaus) as MCE decreases, which can be attributed to a relative increase in light-scattering particulate matter emission as MCE decreases (e.g., Figure 1b). Points are colored based on our clustering analysis (see Section 3.1.2). A proposed SSA-MCE relationship at 781 nm (Liu et al., 2014) is superimposed on our data in Figure 1a; our data generally follow this predictive curve, but note the increased scatter at higher MCE, which may arise from fuel chemistry variations and the presence of non-absorbing inorganics (e.g., ammonium, nitrate, chloride) that are not well predicted by MCE (Christian et al., 2003; McMeeking et al., 2014).

The OC/EC ratio (range = 1.27 to 44.72) is also plotted against corresponding MCE in Figure 1b. The ratios are the lowest when MCE is highest (i.e., during flaming combustion), but they rapidly increase as MCE decreases. Consistent with the relationship between SSA and MCE, OC/EC ratio are lowest for flaming fires and increase with decreasing MCE.



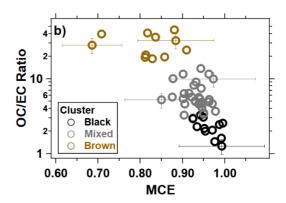


Figure 1. Sample-period-integrated SSA (a) and OC/EC ratio (b) as a function of sample-period-integrated MCE. The functional relationship between SSA (781 nm) and MCE proposed by Liu et al. (2014) is presented in panel (a) to guide the eye to the variation of SSA at higher MCE. Data points are colored by PCA/K-means clustering results. The error bars represent the propagated uncertainties calculated using the measurement uncertainties in Table 1 (The measurement uncertainties of CO<sub>2</sub> and CO are 0.15% and 10%, respectively).

AAE $_{avg}$  ranged from approximately 0.97 to 2.90 for the fire sampling periods included in this work, with the highest occurring for dung emissions, which were OC-dominated (MCE  $\approx$  0.88, SSA  $\approx$  0.99, and OC/EC  $\approx$  45). The smallest AAE $_{avg}$  was measured during the excelsior (wood slivers) burn, which was flaming-dominated (MCE  $\approx$  0.95, SSA  $\approx$  0.76, and OC/EC ratio  $\approx$  3.4). Similarly, Selimovic et al. (2018) reported an average AAE of 2.8  $\pm$  1.57 across 31 different whole fires during the campaign (note: these measurements are mutually exclusive since the PAX-405 was shared between different projects during FIREX). Additionally, we observed similar relationships between AAE $_{avg}$  against SSA, OC/EC ratio, and MCE as those derived from previous laboratory study (Pokhrel et al., 2016; Selimovic et al., 2018) and field measurements (Liu et al., 2014) (see Figure S9).

#### 3.1.2. PCA/K-means clustering

453

- MCE can be used to classify the combustion conditions during open BB into three general 454
- 455 groups: mostly flaming combustion, mostly smoldering combustion, and a mixture of "similar"
- 456 amounts of flaming and smoldering combustion (Yokelson et al., 1996). However, this
- 457 classification is simple and somewhat subjective; for example Reid et al. (2005) defined flaming
- 458 combustion for MCE > 0.9 and smoldering combustion for MCE < 0.9, whereas Akagi et al.
- 459 (2011) defined pure flaming by MCE  $\sim$  0.99 and pure smoldering by MCE  $\sim$  0.8 (a fire with
- 460 roughly equal contribution from flaming and smoldering would have MCE ~ 0.9). To reduce
- 461 subjectivity and incorporate aerosol properties (SSA, OC/EC ratio) in our analyses, we applied
- 462 PCA/K-means clustering to classify different fires using an objective statistical approach, which
- 463 facilitated the comparison of different instruments.
- 464 Our PCA/K-means algorithm grouped the burns into three clusters, as shown in Figure 2a. The
- 465 two PC were interim variables that reduced the dimensionality and accounted for roughly 91% of
- the proportions of variation in the data (74.26% and 16.70%, respectively). The K-means 466
- 467 algorithm then used the reduced data to identify the three clusters. The clusters can be related
- 468 back to the three fire-related parameters used as inputs; briefly, the three clusters were
- characterized as follows: (1) lower SSA and OC/EC ratio with higher MCE; (2) "mid-range" 469
- 470 SSA, OC/EC ratio, and MCE; and (3) higher SSA and OC/EC ratio with lower MCE. One could
- 471 intuitively generate similar clusters using a priori knowledge of BC emissions from BB, so we
- 472 are able to qualitatively validate our algorithm. We will refer to Cluster (1) as "Black" (since
- SSA  $\rightarrow$  0 at 870 nm and median AAE<sub>avg</sub> ~ 1.5), Cluster (3) as "Brown" (since SSA  $\rightarrow$  1 at 870 473
- nm and median  $AAE_{avg} \sim 2.8$ ), and Cluster (2) as "Mixed" (since these values of SSA and 474
- 475 AAE<sub>avg</sub> are somewhere in between) in order to provide each with a brief qualitative descriptor.
- 476 These groupings are also included in Figure 1.
- 477 Additional clusters did not improve the quality of fit (i.e., total-WCSS = 147, 66, 25, 17, 11, and
- 14 for total number of the clusters (K) = 1 to 5, and an "elbow" shape was observed when K = 3). 478
- 479 Moreover, the optimized cluster number and the main characteristics within each cluster
- 480 compare well with Chen et al. (2012), in which they adopted K-means on variables of SSA, AAE,
- 481 MCE, and instantaneous scattering emission factor, yielding three clusters, referring as low-SSA
- 482 (BC-like), high-SSA (OC-like), and intermediate in a study of biofuel emissions from cookstoves.
- 483 For further evaluation of our clustering algorithm, we used AAE<sub>avg</sub> as an external criterion,
- 484 shown in Figure 2b. This method was inspired by Yeung et al. (2001), in which they applied a
- clustering analysis to all but one experimental variable and used the remaining one to evaluate 485
- 486 the predictive ability of the clustering. The general increase in AAE<sub>avg</sub> from "Black" to "Mixed"
- to "Brown" followed a priori expectation since SSA and AAEavg have been shown to be 487
- 488 positively correlated (Liu et al., 2014; McMeeking et al., 2014; Selimovic et al., 2018). This
- 489
- implies that our PCA/K-means analysis is viable for clustering co-dependent BB properties on
- 490 both a statistical and physical basis. Besides the association between AAE<sub>avg</sub> and the three 491
- clusters, we found that the clusters appear to be related to fuel type and plant components (Figure
- 492 S10). For example, most observations in the "Black" cluster are from the combustion of pine 493
- litter (e.g., dry needles) or fuels from the "chaparral" biome (e.g., manzanita, chamise). In 494 contrast, rotten logs and "entire" fuel samples (e.g., canopy material + logs) contribute to most of
- 495 the observations in the "Brown" cluster, suggesting this component produces more BrC relative

496 to BC. Combinations of fuel type and plant component are more distributed throughout in the 497 "Mixed" cluster.

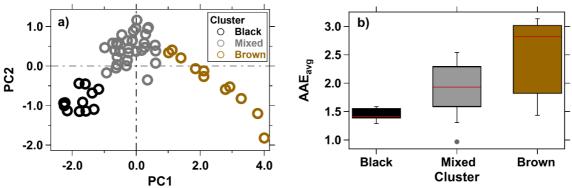


Figure 2. (a) Results of PCA/K-means clustering analysis (b) Box plot of AAE<sub>avg</sub> for the different clusters.

#### 3.2 Inter-comparison of BC measurements

#### 3.2.1. Pairwise comparison to a reference instrument

We utilized the Bland-Altman difference approach to compare the different instruments to a reference (Figure 3; Table 2). However, as we alluded to in Section 2.4, none of the above BC instruments provided an unequivocally "ground truth" value for each experiment. We selected the PAX-870 to be the "reference" instrument in our analysis with the following rationale: (1) it was the only real-time instrument running continuously through all fires; (2) it was not constrained by size thresholds for particle detection; (3) it was relatively straightforward to calibrate; and (4) it measured light absorption *in situ* rather than after aerosol collection on a filter. Hence, for all pairs of instruments in Figure 3, the PAX-870 EF<sub>BC</sub> value was the one that was subtracted in our differences. We must emphasize that it is not our intent to suggest that the PAX-870 is the "best" instrument or for the reader to infer that any of these instruments are inherently "wrong"; we provide pairwise Bland-Altman difference plots using each of the other instruments as the reference in the online Supplement (Figure S8 lower panels).

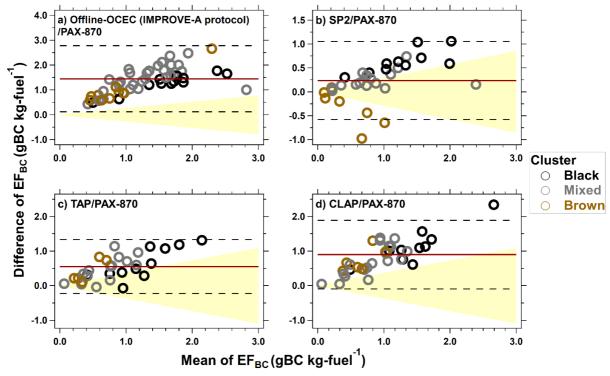


Figure 3. Bland-Altman plots for comparisons of  $EF_{BC}$  between (a) Offline OCEC (IMPROVE-A protocol)/PAX-870 (b) SP2/PAX-870 (c)TAP/PAX-870 and (d) CLAP/PAX-870. Mean difference (solid line) and limits of agreement (dashed lines) of each pair of comparison are provided in the figure. The yellow shaded region represents propagated measurement uncertainty. Note different scales for Y-axis. The Bland-Altman plot for Offline OCEC (NIOSH-870 protocol)/PAX-870 is provided in Figure S4.

Data Pair	Mean difference (95% CI) (g-BC kg-fuel <sup>-1</sup> )	Lower LoA (95% CI) (g-BC kg-fuel <sup>-1</sup> )	Upper LoA (95% CI) (g-BC kg-fuel <sup>-1</sup> )	Slope (Diff & Mean)	Systematic Bias?	Proportional Bias?
Offline OCEC /PAX-870	1.45 (1.26 to 1.63)	0.12 (-0.20 to 0.43)	2.78 (2.46 to 3.10)	0.83 (P<0.05)	Yes	Yes
Offline OCEC (NIOSH-870) /PAX-870	0.69 (0.59 to 0.82)	-0.22 (-0.44 to 0.01)	1.59 (1.37 to 1.81)	0.65 (P<0.05)	Yes	Yes
SP2/PAX-870	0.24 (0.09 to 0.38)	-0.58 (-0.83 to -0.33)	1.05 (0.80 to 1.30)	0.39 (P<0.05)	Yes	Yes
TAP/PAX-870	0.53 (0.40 to 0.67)	-0.23 (-0.46 to 0.01)	1.29 (1.06 to 1.52)	0.57 (P<0.05)	Yes	Yes
CLAP/PAX-870	0.87 (0.71 to 1.03)	-0.09 (-0.38 to 0.18)	1.83 (1.56 to 2.13)	0.76 (P<0.05)	Yes	Yes

Table 2. Bland-Altman statistical results

CI, confidence interval; LoA, limits of agreement (mean difference  $\pm$  1.96  $\times$  SD (standard deviation of differences). Systematic bias exists if the 95% CI of the bias does not contain zero, and proportional bias exists if the slope of the regression between difference and mean differs from zero significantly.

- Overall, Figure 3 suggests that the PAX-870 generally provides a lower measurement value than
- the other instruments considered here but to a varying degree (as seen in the mean differences
- and limits of agreement in Table 2). This could imply that most instruments over-estimate BC
- concentrations, but it could also imply that the PAX-870 measurement is incorrect. However, in
- the absence of a "ground truth" measurement, we cannot confirm or reject either claim. These
- discrepancies between instruments cannot be explained by measurement uncertainty alone
- (yellow shaded areas in Figure 3 and Figure S11).
- Although the PAX-870 was selected as the reference instrument, it is not without its own
- limitations. One cause of uncertainty in the PAX-870 measurement is related to the MAC value,
- which was assumed to be 4.74 m<sup>2</sup> g<sup>-1</sup> across all eBC instruments and all fires. A central value is
- generally quoted with a range of  $\pm$  20% (e.g. Bond and Bergstrom (2006) gives 7.5  $\pm$  1.2 m<sup>2</sup> g<sup>-1</sup>
- at 550 nm, while Olson et al. (2015) reported lower MAC values for BB), so there is some
- 538 inherent uncertainty in this value. While we could calculate MAC values using a combination of
- one mass-based instrument (the SP2 or offline OCEC) and one eBC instrument (PAX-870, TAP,
- or CLAP), this would force the instruments to agree in our comparison, which is not our intent.
- Another factor that could bias the PAX-870 is E<sub>abs</sub> (due to the lensing effect by OC or other
- 542 coating material); E<sub>abs</sub> will cause the PAX-870 detect a larger eBC concentration than that from
- 543 the same materials in an externally mixed form. In this work, we estimated  $E_{abs}$  to range between
- 1.06 and 1.96 based on SP2 analysis (typically higher values were obtained for the fires with
- 545 higher SSA and OC/EC ratio). Additionally, the PAX-870 may be biased low due to potential
- 546 (unquantified) losses in the dryer and scrubber. Consequently, when interpreting Figure 3 and
- Table 2, the potential uncertainties of the PAX-870 are equally important as those of the other
- 548 four instruments.
- 549 Considering the pair of offline OCEC (IMPROVE-A)/PAX-870 (Figure 3a), the Bland-Altman
- approach shows that there was both a systemic bias and a proportional bias between the two
- instruments. The mean difference of EF<sub>BC</sub> between the offline OCEC (IMPROVE-A) and PAX-
- 870 was  $1.45 \pm 0.18$  g-BC kg-fuel<sup>-1</sup> (95% confidence interval) and did not include zero
- (systematic bias). The differences (y-axis) also increased from roughly 0.5 g-BC kg-fuel<sup>-1</sup> to
- greater than 3 g-BC kg-fuel<sup>-1</sup> (proportional bias; p-value of slope < 0.05). Moreover, the "cone-
- shaped" pattern of the data suggests that the discrepancy between the offline OCEC and PAX-
- 870 tends to be more variable as the overall BC emissions increase (i.e., it exhibits
- 557 heteroscedasticity).
- Besides the aforementioned factors that may affect the PAX-870 results, the observed
- discrepancy between the offline OCEC and PAX-870 could be due to potential issues with the
- 560 IMPROVE-A protocol when deriving EC concentration, including: (1) incomplete evolution of
- OC during the stage of OC analysis (Cavalli et al., 2010); (2) the OC/EC split for BB aerosols
- with relatively high OC/EC ratios or high OC loading on the filter (Khan et al., 2012; Wu et al.,
- 563 2012); (3) the charring correction method used in the IMPROVE-A protocol (Chow et al., 2004);
- 2012), (3) the chairing correction method used in the hyri KOVE-A protocol (Chow et al., 200-
- 564 (4) the assumption that the PC and native EC have the same MAC in the pyrolysis correction
- (Chow et al., 2004; Nicolosi et al., 2018); (5) the presence of BrC and inorganic matter on the on
- the filters (McMeeking et al., 2009; Subramanian et al., 2007). We provide an inter-protocol
- comparison and discuss potential causes of the discrepancy in the supplementary text and Figure
- 568 S4.

569 From Table 2, the SP2 and PAX-870 appear to have the best agreement based on the lowest 570 mean difference and slope closest to zero. However, considering Figure 3b, there are two distinct 571 trends in the data; the "Black" and "Mixed" clusters exhibit a positive proportional bias, while 572 the "Brown" cluster exhibits a negative proportional bias. Since we report rBC after adjustments 573 using mass correction factors, the SP2/PAX divergence is unlikely to be related to the size 574 distribution of the samples (See SMPS-derived geometric mean diameter and geometric standard 575 deviation in Table S2). The negative trend for the "Brown" cluster appears to be related to E<sub>abs</sub>, 576 which can shift the PAX-870 to a higher reading relative to measurements of rBC externally mixed (E<sub>abs</sub> inferred from the SP2 is shown in Figure S12). On the other hand, the LII technique 577 is less efficient in detecting small (<~0.5 fg, or approximately 70 nm VED) rBC cores when 578 579 there is a significant presence of non-BC material internally mixed with the rBC (Schwarz et al., 580 2010). Generally, this small rBC fraction only contributes weakly (<5 %) to the accumulation 581 mode rBC mass detected by the SP2 in biomass burning. Moreover, the SP2-derived rBC can be 582 overestimated by laser-induced charring of organic aerosols (Sedlacek III et al., 2018). Finally, 583 some of the differences between the SP2 and the PAX-870 could be due to the difference between the flow rates of the two instruments (PAX: 1.0 LPM, SP2: 0.006 LPM), which may 584 585 result in a bias between the two instruments that we cannot identify.

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The overall patterns in the Bland-Altman plots for the TAP (Figure 3c) and CLAP (Figure 3d) compared to the PAX-870 are consistent with prior work. By generating aerosol mixtures with a large range of SSA, Sheridan et al. (2005) found that the PSAP tended to slightly over-estimate the photoacoustic instrument for the particles with SSA greater than 0.67. Likewise, Arnott et al. (2003) observed that B<sub>abs</sub> measured by the PSAP was roughly 60% higher than that measured by the *in situ* photoacoustic instrument during field measurements of ambient atmospheric aerosols (BC concentration <6.7 μg m<sup>-3</sup>). These discrepancies could be due to the filter-induced biases in the measurements made by the TAP and CLAP (see Section 2.2.3 above). Even though the B1999 correction scheme is meant to address potential filter-induced biases, it was developed for PSAP using internal mixtures of ammonium sulfate and nigrosin, so it may have limitations for the BB aerosols considered in this work. Moreover, we may introduce systematic biases in our extrapolation from the original wavelengths of the filter-based instruments to 870 nm due to potential absorption by BrC at shorter wavelengths. Nevertheless, the Bland-Altman plot comparing the TAP and CLAP (Figure S8) suggests there is no proportional bias between the two filter-based instruments, and most of the discrepancy appears to be related to measurement uncertainties (Figure S12). Thus, the differences between the TAP/PAX-870 comparison (Figure 3c) and the CLAP/PAX-870 (Figure 3d) are most likely due to a combination of propagated measurement uncertainty and minor operational differences between the instruments.

Interestingly, the different clusters have slightly different behaviors within each Bland-Altman plot. We have already discussed this in the context of Figure 3b. However, considering the coneshaped pattern in Figure 3a, the "Black" cluster mostly follows the lower boundary of the cone, while the "Brown" and "Mixed" clusters are more distributed throughout. The relatively larger uncertainty observed for the "Brown" and "Mixed" clusters could be due to a larger amount of pyrolyzed OC relative to the amount of EC present, and the detection of both PC and EC can have greater uncertainties when there is a more complicated mixture of inorganic matter on the filters (Bladt et al., 2014; Martins et al., 1998; McMeeking et al., 2009). We continue to explore these variations within and between clusters for different instruments in the next section.

#### 613 3.2.2. Effect of BB properties on instrument comparisons

Due to the apparent differences between clusters in Figure 3, we explore these further here. In 614

615 Figure 4, we present box-and-whisker plots of EF<sub>BC</sub> ratios (using the PAX-870 in the

denominator) using only the fires where data are available for each instrument in the pair. The 616

617 dashed line in Figure 4 represents a ratio of 1, or perfect agreement between the two instruments.

618 The same uncertainties discussed with respect to Figure 3 are likely applicable here as well

619 (especially for the "Brown" and "Mixed" clusters).

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620 Table 3  $EF_{BC}$  derived by the five instruments across the three clusters of fires (mean  $\pm$  standard 621 deviation). Number of fires for each combination of cluster and instrument is shown in 622 parenthesis.

Cluster	PAX-870 (g-BC kg- fuel <sup>-1</sup> )	Offline OCEC (IMPROVE-A) (g-BC kg-fuel <sup>-1</sup> )	Offline OCEC (NIOSH-870) (g-BC	SP2 (g-BC kg- fuel <sup>-1</sup> )	TAP (g-BC kg- fuel <sup>-1</sup> )	CLAP (g-BC kg- fuel <sup>-1</sup> )
Black	$0.99 \pm 0.39$ (n = 10)	$2.27 \pm 0.74$ (n = 10)	kg-fuel <sup>-1</sup> ) 1.99 ± 0.85 (n=10)	$1.61 \pm 0.61$ (n = 10)	$1.55 \pm 0.69$ (n = 9)	$2.00 \pm 0.79$ (n = 8)
Mixed	$0.58 \pm 0.41$ (n = 34)	$2.11 \pm 0.78$ $(n = 34)$	$1.17 \pm 0.55$ (n=28)	$0.98 \pm 0.58$ (n = 15)	$0.91 \pm 0.45$ (n = 17)	$1.15 \pm 0.54$ (n = 19)
Brown	$0.54 \pm 0.42$ (n = 9)	$1.37 \pm 0.88$ (n = 11)	0.88 ± 0.35 (n=7)	$0.29 \pm 0.24$ (n = 7)	$0.62 \pm 0.37$ (n = 5)	$1.00 \pm 0.38$ (n = 6)

To contextualize some of this discussion, Table 3 summarizes the EF<sub>BC</sub> from each instrument for each cluster. As might be expected, the burns within the "Black" cluster have the largest EF<sub>BC</sub> for each of the five instruments, and the mean EF<sub>BC</sub> decreases from the "Black" to "Mixed" to "Brown" cluster. However, the trends across clusters for each instrument are not identical. For example, all values (except the offline OCEC (IMPROVE-A)) decrease by roughly 40% from the "Black" to "Mixed" clusters, but the change in EF<sub>BC</sub> from the "Mixed" to "Brown" cluster is more varied (ranging from ~7% to ~70% decrease). Moreover, as suggested in the previous section, the PAX-870 consistently has the lowest EF<sub>BC</sub> among all instruments for a given cluster (except for the SP2 EF<sub>BC</sub> for the "Brown" cluster). Note that due to differing instrument availability throughout the campaign (e.g., the SP2 was only available during stack burns), different fires were used in the computation of the emission factors for different instruments, so a direct comparison of these EF<sub>BC</sub> values (e.g., statistical significance testing) is not rigorous, but a comparison of trends is interesting..

Based on Figure 4, we make the following observations. First, by aggregating all of the ratios within each cluster, both the mean value and the range of the EF<sub>BC</sub> ratios to the PAX-870 increase from the "Black" cluster (mean = 2.02, range: 0.93 to 2.92) to the "Mixed" (mean = 3.01, range: 1.05 to 8.80) to the "Brown" (mean = 3.04, range: 0.15 to 8.26). This suggests that the instruments have the best agreement for the "Black" cluster (likely chemically and optically similar to "pure" BC), and this agreement worsens for the "Mixed" and "Brown" clusters (relatively larger contributions from OC). Second, by considering different instruments across the clusters, the median EF<sub>BC</sub> ratios vary from "Black" to "Mixed" to "Brown"; these values increase for the offline OC/EC (both protocols), TAP, and CLAP, and they decrease for the SP2. Third, the response of an individual instrument appears to be related to differences in aerosol optical (i.e., SSA, AAE<sub>avg</sub>) and chemical (i.e., OC/EC ratio) properties, as evident in the differences between different instruments within a given cluster. All of these observations

suggest that both the measurement technique and the aerosol optical/chemical properties influence a given instrument's relative agreement with our reference instrument.

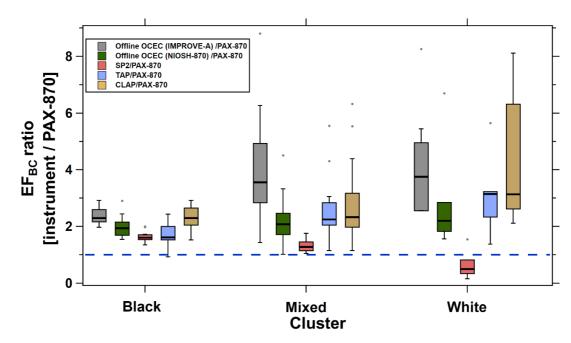


Figure 4. Box-plots for the distribution of  $EF_{BC}$  ratios (to PAX) of individual pairs of instruments across the three clusters. Within each cluster, five subgroups of  $EF_{BC}$  ratios (from left Offline OCEC (IMPROVE-A)/PAX-870, Offline OCEC (NIOSH-870)/PAX-870, SP2/PAX-870, TAP/PAX-870, and CLAP/PAX-870) are shown in different colors. The box ranges represent the lower quartile (25th percentile) and upper quartile (75th percentile) and the box height is the interquartile range (IQR). The whiskers correspond to the 10th and 90th percentiles. The line in the middle of the box represents the median, and dots represent outliers.

#### 3.2.3. Comparison of BC from the" Black" cluster with previous studies

We have discussed some of the prior work in the Introduction, but we continue this here for context, specifically focusing on our "Black" cluster and previous laboratory studies using ethylene/air-generated soot (Cross et al., 2010; Slowik et al., 2007; Yelverton et al., 2014) and internal combustion engines (Jiang et al., 2018; Kamboures et al., 2013) since our black cluster is the cluster that is likely to be the most similar in characteristics to these sources (e.g., low SSA, low OC/EC ratio). One caveat in the comparison of our work to these prior studies is that in our "Black" cluster, the OC/EC ratio ranges from roughly 1 to 3, whereas for the ethylene/air-generated soot, the OC/EC ratio in Yelverton et al. (2014) was roughly 0.5, and for the internal combustion engines, the OC/EC ratio is likely less than one (Saliba et al., 2017); hence, directly comparing our results to these previous results may have some limitations. Another caveat is that the eBC values are used "as presented" in the various references, so the wavelength at which eBC was derived may vary and so will the wavelength-dependent MAC (Table S5), which may potentially contribute to some of the variability in that panel. In Figure 5, we present a summary of this comparison with selected previous studies that used "Black" cluster-like particles as sources. The instrument ratio in previous studies indicates the ratio of the given instrument to an

*in situ* eBC instrument, similar to Figure 4 (and hence, we only include those studies including *in situ* eBC measurements).

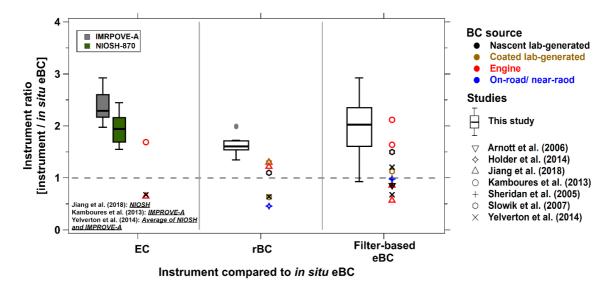


Figure 5. Instrument comparisons for "Black" cluster-like particles. Results are displayed as instrument ratios (divided by in situ eBC measurements). The line in the middle of the box represents the median of the present work.

For uncoated soot ("pure" BC), Yelverton et al. (2014) found that eBC (both filter-based and *in situ*) was roughly a factor of 1.5 greater than both rBC and EC, for which they found nearly perfect agreement. By testing BC emitted from marine engines and vehicle engines, respectively, Jiang et al. (2018) and Kamboures et al. (2013) found that different BC (excluding EC) techniques were well correlated (R<sup>2</sup> > 0.85) but had varied linear relationships (e.g. the filter-based eBC instruments provide lower values than the *in situ* eBC instrument in Jiang et al. (2018),the opposite was true in Kamboures et al. (2013)). Our EC values are higher than both our eBC and rBC values, which is consistent with Kamboures et al. (2013), but different from Jiang et al. (2018) and Yelverton et al. (2014). Compared with those studies that observed higher filter-based eBC than *in situ* eBC, our instrument ratios are similar (within a factor of 2). While the exact reason for these discrepancies is unknown, aerosol optical and chemical properties likely play a substantial role.

We can also relate our results for the "Mixed" and "Brown" clusters to prior studies. In our study, we observe a general worsening in the agreement between instrument pairs (with the exception of the SP2/PAX-870) relative to the "Black" cluster, which could be implicitly driven by aerosol optical properties. The increasing trend from "Black" to "Mixed" to "Brown" in Figure 4 is consistent with that in  $AAE_{avg}$  (Figure 2b). Similar results have been also observed in Cross et al. (2010) and Slowik et al. (2007), in which the agreement among BC instruments was worsened with the addition of organic coatings to the BC. In addition to measurement uncertainties, Reid et al. (1998) attributed discrepancies between BC instruments to highly-variable MAC, which could be affected by the presence of BrC (especially at the wavelengths of 532 nm and 550 nm that were used in that study). Hence, the presence of BrC appears to be an important source of variability in the EF<sub>BC</sub> ratios, but an exhaustive comparison between our results and other studies

investigating aerosols that may fall into the "Mixed" or "Brown" clusters is outside the scope of this work.

# 4. Conclusions and implications

- 706 During the FIREX campaign, we conducted BC measurements using a suite of instruments,
- enabling an inter-comparison study for BB aerosols under a relatively wide range of fire-related
- parameters (MCE, SSA, OC/EC ratio, and AAE). To normalize data for different fires, time-
- integrated  $EF_{BC}$  for each fire were calculated for each of the five instruments. Based on the  $EF_{BC}$
- results of 55 fires, the EC, rBC, and filter-based eBC were, on average, 4.7, 1.3, and 2.7 times
- 711 higher, respectively, than corresponding in situ eBC, which was selected as the reference
- instrument; the majority of these differences do not appear to be attributable to measurement
- 713 uncertainty alone (Figure S11).
- 714 To further interpret our data, we utilized a PCA/K-means clustering approach, which resulted in
- three clusters. The cluster that was the most optically similar to "pure" BC (mean SSA = 0.31
- and AAE = 1.44) exhibited the greatest agreement between instruments (Figure 4); comparing
- our results to prior work indicated that our comparisons of EC, rBC, and filter-based eBC to in
- 718 situ eBC were relatively similar to what others have observed previously (Figure 5). As the BB
- aerosols became more reflective (i.e., as SSA increased) and browner (i.e., as AAE increased),
- the agreement between the instruments worsened (Figure 4).
- 721 Consequently, we have several suggestions regarding data collection and data interpretation that
- will facilitate future comparisons of BC data collected by different instruments and from
- 723 different fires:

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#### 724 Data Collection

- Optical and chemical properties appear to play a role in measurements of both eBC and EC for BB aerosol, but this does not seem to apply to rBC based on our data. Regardless, we recommend reporting values of SSA, AAE, and OC/EC ratio (or equivalent, e.g., OA/rBC ratio) when reporting EF<sub>BC</sub> when possible or estimating those parameters from MCE as in Figure 1 and Figure S9.
- Correction schemes for filter-based methods may require further evaluation to determine their applicability to BB aerosols.
- Heating samples to reduce the influence of coating materials prior to sampling may provide estimates of EF<sub>BC</sub> for BB with the lowest uncertainty (as long as the charring of the coating material is minimal).

#### Data Interpretation

- Care should be taken when merging EF<sub>BC</sub> data sets generated using different measurement techniques to distinguish between natural variability and instrument differences.
  - o The relative standard deviation for a given cluster and given BC instrument ranges from roughly 30-80% (based on Table 3). Moreover, the relative decrease of EF<sub>BC</sub> from "Black" to the "Brown" for each instrument spans the ranges from roughly 40% to 80% (also based on Table 3). While these numbers appear to be somewhat large,

- they represent natural variability, or simply, differences in emissions between different fires. When interpreting data, we consider this a "true" uncertainty.
  - O The challenge arises for instrument differences, which we consider a "false" uncertainty. For example, the median  $EF_{BC}$  ratios range from roughly 0.5 to 3.5 (Figure 4). While this range falls within the range of natural variability, the data we present here represents 55 fires. Because emission inventories are typically based on averaging field observations (e.g., Akagi et al., (2011)), developing such an inventory by combining eBC, EC, and rBC data collected for different fires may artificially inflate the uncertainty associated with  $EF_{BC}$ . This may become especially important as "historic" EC-based  $EF_{BC}$  are combined with more recent rBC-based  $EF_{BC}$ .
  - Agreement between instruments is best for the "Black" cluster, which is likely to be the most similar (chemically and optically) to "pure" BC (with limited contribution from OC). This "Black" cluster may be representative of some smoke plumes in the real world. However, most ambient BB smoke plumes are likely more similar to the "Mixed" or "Brown" clusters. This will become especially problematic when the smoke undergoes photochemical aging and/or mixing with other air masses, so BC measurements from the same fire may vary with smoke age (e.g., Akagi et al., (2012); Yokelson et al., (2009)).
  - An empirical absorption EF, which does not require the assignment of MAC, may work best in some cases for light absorption instruments (e.g., Selimovic et al., (2018) and references therein).

# 763 Data availability

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Raw data are freely available from https://esrl.noaa.gov/csd/project/firex.

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