

1 Estimating Daily Average Surface Air Temperature Using Satellite Land Surface Temperature
2 and Top-of-Atmosphere Radiation Products over the Tibetan Plateau

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17 **Abstract:** The Tibetan Plateau (TP) has experienced rapid warming in recent decades. However,
18 the meteorological stations of the TP are scarce and mostly located at the eastern and southern
19 parts of the TP where the elevation is relatively low, which increases the uncertainty of regional
20 and local climate studies. Recently, the remotely sensed land surface temperature (LST) has been
21 used to estimate the surface air temperature (SAT). However, the thermal infrared based LST is
22 prone to cloud contamination, which limits the availability of the estimated SAT. This study
23 presents a novel all sky model based on the rule-based Cubist regression to estimate all sky daily
24 average SAT using LST, incident solar radiation (ISR), top-of-atmosphere (TOA) albedo and
25 outgoing longwave radiation (OLR). The model is trained using station data of the Chinese
26 Meteorological Administration (CMA) and corresponding satellite products. The output is
27 evaluated using independent station data with the bias of $-0.07\text{ }^{\circ}\text{C}$ and RMSE of $1.87\text{ }^{\circ}\text{C}$.
28 Additionally, the 25-fold cross validation shows a stable model performance (RMSE: $1.6\text{-}2.8\text{ }^{\circ}\text{C}$).
29 Moreover, the all sky Cubist model increases the availability of the estimated SAT by nearly
30 three times. We used the all sky Cubist model to estimate the daily average SAT of the TP for
31 2002-2016 at $0.05^{\circ}\times 0.05^{\circ}$. We compared our all sky Cubist model estimated daily average SAT
32 with three reanalysis datasets (i.e., GLDAS, CLDAS, and CMFD). Our model estimation shows
33 similar spatial and temporal dynamics with these existing data but outperforms them with lower
34 bias and RMSE when benchmarked against CMA station data. The estimated SAT data could be
35 very useful for regional and local climate studies over the TP. Although the model is developed
36 for the TP, the framework is generic and may be extended to other regions with proper model
37 training using local data.

38 **Key words:** Surface air temperature, land surface temperature, Tibetan Plateau, radiation, rule-
39 based Cubist regression

40 **1. Introduction**

41 The Tibetan Plateau (TP) is the world’s highest plateau in central Asia with an average
42 elevation higher than 4000 meters above sea level (ASL) (Figure 1(a)) (Yang et al., 2014). As
43 the world’s “Third Pole”, it is the origins of major rivers in Asia and regulates regional and
44 global weather patterns (Yao et al., 2018). Many previous studies reported that the Tibetan
45 Plateau, similar with other high mountainous areas, has experienced more rapid surface
46 temperature change comparing to many other parts of the world, especially after early 1950s
47 (Duan and Xiao, 2015; Pepin et al., 2015; Rangwala and Miller, 2012; Yao et al., 2018). The
48 reported warming exists for both mean, minimum, and maximum surface air temperatures (SAT),
49 leading to the decreasing diurnal temperature range of the TP (Duan and Xiao, 2015; Li et al.,
50 2005; Liu et al., 2009; Liu and Chen, 2000; Yang and Ren, 2017). As a consequence of the SAT
51 change, the TP has shown remarkable changes of its cryosphere, hydrological cycles, and
52 ecosystems. For example, Shen et al. (2015a) reported that the snow cover of the TP has reduced
53 by 5.7% during 1997-2012; Yang et al. (2014) demonstrated that the central TP experiences
54 more convective precipitation and more surface runoff while the southern and eastern regions
55 experience reduction in both precipitation and surface run off in recent decades; multiple studies
56 observed that the vegetation activity shows strong response to surface temperature change over
57 the TP (Cong et al., 2017; Shen et al., 2015b; Shen et al., 2016).

58 ----- Insert Figure 1 here -----

59 However, previous studies heavily rely on SAT data measured by unevenly distributed
60 meteorological stations (Figure 1(a)). Figure 1(b) shows the elevation distribution of the CMA
61 stations and the elevation distribution of the radar-based digital elevation model (DEM) of the

62 entire TP. Over 70% of the CMA stations are located at relatively low elevation (< 4,000 meters
63 ASL) and the eastern part of the TP, while almost no operational CMA stations are placed
64 beyond 5,000 meters ASL. The sparse and biased station samples may increase the uncertainty of
65 local and regional climate analysis and corresponding impact studies (Pepin et al., 2015; Rao et
66 al., 2018; Yao et al., 2018). Alternatively, previous studies also use several spatially complete
67 SAT datasets which are produced through either data interpolation or data assimilation, such as,
68 Global Land Data Assimilation System (GLDAS) data, Chinese Meteorological Forcing Data
69 (CMFD), Chinese Land Data Assimilation System (CLDAS), and Climate Research Unit (CRU)
70 high resolution climate dataset. These datasets provide important information for the regions
71 with no station measurements. However, the spatial resolutions of these datasets (except CLDAS)
72 are very coarse ($0.25^\circ \sim 0.5^\circ$), which may cause large uncertainty in applications, especially for
73 the region of the TP with such complex terrain (An et al., 2018). Additionally, these datasets,
74 developed at global or national scales, have not been comprehensively validated for the TP and
75 usually have large uncertainty associated with the methods or land surface models used during
76 their production. To address the spatial resolution issue for the TP, Ding et al. (2018a) developed
77 a downscaling framework using DEM to produce high resolution ($0.01^\circ \times 0.01^\circ$) SAT dataset of
78 the TP (Ding et al., 2018b).

79 Meanwhile, remotely sensed land surface temperature (LST) has been widely used to
80 study regional and global climate change due to its strong correlation with SAT and its global
81 coverage from multiple satellite missions (Good et al., 2017; Pepin et al., 2016). Using monthly
82 LST data of Moderate Resolution Imaging Spectroradiometer (MODIS), Qin et al. (2009)
83 reported that the warming rate of the TP has shown notable dependency on the elevation during
84 2000-2006. Despite the strong correlation between LST and SAT, they are two distinct variables

85 with different physical definitions. To overcome this limitation, researchers have developed
86 various methods to estimate SAT using LST of various sensors, such as, MODIS (Huang et al.,
87 2017; Lu et al., 2018; Zhang et al., 2016), Spinning Enhanced Visible and Infrared Imager
88 (SEVIRI) (Good, 2015), and Advanced Very High Resolution Radiometer (AVHRR) (Prince et
89 al., 1998). Most of these methods are based on linear regression using LST and other auxiliary
90 input, such as, land cover, surface roughness, day length, and evapotranspiration (Good, 2015;
91 Huang et al., 2017; Meyer et al., 2016; Noi et al., 2017; Zhang et al., 2016). Recently, studies
92 have also explored different machine learning (ML) models (e.g., support vector machine,
93 artificial neural network, random forest, Cubist regression, etc.) to estimate SAT using LST
94 (Meyer et al., 2016; Noi et al., 2017; Zhang et al., 2016). Generally, the ML models perform
95 better than linear regression models because ML models can better capture the complex
96 relationship between LST and SAT. Besides ML models, spatiotemporal interpolation methods,
97 such as, geographically weighted regression, hierarchical Bayesian model, and kriging regression,
98 have also been used to generate high resolution SAT using LST and other auxiliary inputs (Chen
99 et al., 2014; Li et al., 2018; Lu et al., 2018).

100 Despite the recent progress, the LST-based SAT estimation still suffers a major limitation
101 caused by the cloud contamination. Since most of current LST data are derived from thermal
102 infrared data, the LST data are unavailable when cloud exists during satellite overpassing time.
103 The cloud contamination has strong impacts on the availability and the quality of the SAT
104 estimated using existing methods. Noi et al. (2017) reported that using four instantaneous
105 MODIS LSTs (i.e., daytime and nighttime LSTs of both Terra MODIS and Aqua MODIS
106 products) can accurately estimate daily average SAT with the root-mean-squared-error (RMSE)
107 less than 2 K. However, the RMSE of the estimated SAT increases (larger than 3 K) when cloud

108 contamination occurs (Noi et al., 2017). To account for cloud contamination, Zhang et al.
109 (2016)'s framework estimate the daily average SAT by dynamically integrating available
110 MODIS LSTs based on their quality. Although Zhang et al. (2016)'s method can increase the
111 availability of the estimated SAT, it still requires at least one high quality clear sky LST and the
112 estimated SAT has different levels of uncertainty due to the changing availability and quality of
113 MODIS LSTs (ranging from 1.5 to 3.5 K) (Zhang et al., 2016). To address the cloud
114 contamination issue, Zhu et al. (2017) developed a parameterization scheme to estimate all sky
115 instantaneous daytime SAT using MODIS atmospheric profile products (i.e., MOD06_L2,
116 MOD07_L2). The parameterization scheme is developed based on clear sky near surface air
117 temperature, surface pressure, and land surface temperature average from MODIS products (Zhu
118 et al., 2017). For cloudy sky conditions, Zhu et al. (2017) extends the linear regression between
119 clear sky SAT and LST to the cloud sky MODIS data. However, the relationship between SAT
120 and LST can be quite different between clear sky and cloudy sky conditions, hence leading to
121 large data uncertainty for cloudy sky condition using Zhu et al. (2017)'s method. Zhang et al.
122 (2008) reported that the annual average cloud coverage of the TP ranges from 40% - 60% during
123 1971-2004. The frequent cloud contamination can have serious implications on the quality and
124 availability of the estimated SAT using existing methods.

125 The main objective of this study is to develop a method that can produce daily average
126 SAT of the TP with relatively high resolution (i.e., $0.05^\circ \times 0.05^\circ$) that are not or less prone to
127 frequent cloud contamination. Different from existing studies, we propose a ML model to
128 estimate daily average SAT using all available LSTs and remotely sensed radiation variables at
129 both the surface and top-of-atmosphere (TOA) levels. These radiation variables are available for
130 both clear sky and cloudy sky conditions and contain important information about surface energy

131 exchange. Theoretically, the surface energy exchange regulates SAT and its difference with LST.
132 Thus, including these radiation variables may help capturing the physical process of surface heat
133 exchange thus improving the model performance. In this study, we choose the rule-based Cubist
134 regressing (hereafter referred as Cubist) as our base model since previous studies all reported that
135 the Cubist has the best performance on estimating SAT using LSTs over different regions
136 including the TP (Noi et al., 2017; Zhang et al., 2016). To robustly estimate the all sky SAT, we
137 also compare two different strategies using 1) one generic model for both clear sky and cloudy
138 sky conditions or 2) two separate models for clear sky and cloudy sky conditions separately. To
139 the best of our knowledge, this study is the first study using machine learning models to estimate
140 daily average SAT under all sky condition with remotely sensed products. The estimated all sky
141 SAT dataset can be very important for climate analysis and relevant impact studies for the TP.
142 The structure of this manuscript is organized as follow: section 2 describes the data and
143 necessary data processing used in this study; section 3 summarizes the overall research method,
144 Cubist regression model, and the evaluation strategies of this study; model training and
145 validation results are reported in section 4, while section 5 describe the results of cross
146 comparison with existing datasets; section 6 discusses the advantages and limitations of this
147 study while the conclusion is presented in section 7.

148 **2. Data**

149 The data used in this study include 1) the station measured SAT for model training and
150 evaluation, 2) the SAT of various reanalysis/forcing datasets for cross comparison, and 3) the
151 remotely sensed variables as the model inputs (i.e., elevation, LST, surface variables and
152 radiation variables). Table 1 presents the basic summary of the data used in this study. Each
153 category of the data (i.e., station data, remotely sensed data, and reanalysis/forcing data) is

154 further described in the corresponding subsections with the details that are meaningful to this
155 study. If readers are interested in more details, they should refer to the relevant references listed
156 in Table 1.

157 ----- Insert Table 1 here -----

158 **2.1 Station data**

159 In this study, the station measured SAT data are used for both model training and
160 evaluation (Table 1(a)). The main source of the daily average SAT used in this study is 135
161 meteorological stations of the TP managed by the Chinese Meteorological Administration
162 (CMA). The data between 2002 and 2015 were downloaded from the CMA's National
163 Meteorological Information Center (NMIC) (<http://data.cma.cn>). Additionally, we also collected
164 daily average SAT of 10 individual experiment stations managed by different research groups of
165 the Institute of Tibetan Plateau Research (ITP) to independently evaluate the Cubist model
166 performance. Different from CMA stations, ITP stations have various length of data records
167 since most of these stations are not operational meteorological stations. Moreover, three out of
168 10 ITP stations are located in regions with elevation above 5,000 meters ASL, which are used to
169 evaluate Cubist model performance over high elevation regions. The location of the CMA
170 stations and ITP stations is presented in Figure 1(a).

171 **2.2 Remotely sensed data**

172 The remotely sensed data used in this study are listed in Table 1(b). The Global Multi-
173 resolution Terrain Elevation Data 2010 (GMTED2010) was downloaded from the United States
174 Geological Survey (USGS, https://topotools.cr.usgs.gov/gmted_viewer/). It is produced by
175 combining multiple high quality DEM datasets from various international institutions. The

176 GMTED2010 data, with an original resolution of 7.5 arc-seconds, were resampled to $0.05^{\circ} \times 0.05^{\circ}$
177 by simple averaging to match with the resolution of other remotely sensed data.

178 In this study, we use MODIS daily composite LST data in a $0.05^{\circ} \times 0.05^{\circ}$ grid (i.e.,
179 MOD11C1 and MYD11C1), which were downloaded from NASA Land Process Distributed
180 Active Archive Center (i.e., LP DAAC, <https://lpdaac.usgs.gov/>) (Wan et al., 2015a, b). These
181 products are generated by aggregating MODIS Level 2 LST products (i.e., MOD11_L2 and
182 MYD11_L2) with strict quality control. Each product (MOD11C1 and MYD11C1) contains both
183 daytime and nighttime LSTs from different satellite viewing time. Previous studies have proven
184 that combining all four LST values can improve the accuracy of the estimated SAT (Noi et al.,
185 2017; Zhang et al., 2016).

186 In this study, we also use three remotely sensed radiation products, including Global
187 LAnd Surface Satellite (GLASS) incident solar radiation (ISR) at the surface, University of
188 Maryland's (UMD) TOA outgoing longwave radiation (OLR), and Beijing Normal University's
189 (BNU) TOA albedo (TOAALB). The GLASS ISR data are derived from multiple satellites' data,
190 including AVHRR, MODIS and available geostationary satellites' data (Zhang et al., 2014). The
191 OLR data are produced using AVHRR and MODIS thermal infrared data based on linear
192 regression models derived from radiative transfer model (RTM) simulations (Zhou et al.,
193 submitted). The TOAALB data are also produced using AVHRR and MODIS data with linear
194 models derived from RTM simulations (Song et al., 2018). All radiation products are daily data
195 with the same spatial resolution of $0.05^{\circ} \times 0.05^{\circ}$ for all sky conditions.

196 The surface variables used in this study include MODIS surface albedo (SFCALB),
197 Normalized Difference Vegetation Index (NDVI) and Normalized Difference Snow Index

198 (NDSI). The MODIS surface albedo product (MCD43C1) provides daily surface albedo in a
199 $0.05^{\circ} \times 0.05^{\circ}$ grid (Schaaf and Wang, 2015). Although MCD43C1 is a daily product, it estimates
200 daily albedo using MODIS data within a 16-day moving window. Therefore, it might not reflect
201 the real surface information of a specific day especially over regions with rapid surface dynamics.
202 The MODIS NDVI data include MOD13C1 and MYD13C1, which are aggregated 16-day
203 products in the same $0.05^{\circ} \times 0.05^{\circ}$ grid derived using Terra and Aqua MODIS data respectively
204 (Didan, 2015a, b). Both MCD43C1 and MOD13C1/MYD13C1 data were downloaded from
205 NASA LP DAAC. Furthermore, the daily MODIS NDSI data (i.e., MOD10C1 and MYD10C1)
206 were acquired from National Snow and Ice Data Center (NSIDC, <https://nsidc.org/>) (Hall and
207 Riggs, 2015a, b) in the same $0.05^{\circ} \times 0.05^{\circ}$ grid.

208 Since LST has strong correlation with SAT, we use the all available LSTs (i.e., four
209 instantaneous MODIS) to better capture the diurnal cycle of the surface temperature. Because the
210 difference between LST and SAT is related with surface heat exchange, we propose to include
211 radiation variables (i.e., ISR, OLR, and TOAALB) to reflect the crucial process that may
212 improve the accuracy of estimated SAT. Since surface conditions can also affect the difference
213 between LST and SAT, the surface variables are also used as candidate inputs for the model.
214 However, the radiation variables are available for all sky conditions, while the surface variables
215 are only available for clear sky conditions. Thus, including radiation variables would likely
216 increase the data availability of the estimated SAT.

217 **2.3 Reanalysis/forcing data**

218 In this study, the SAT data of three reanalysis/meteorological forcing datasets (see
219 Table 1(a)) are also used to assess the performance of the Cubist model estimated SAT of the TP.

220 NASA GLDAS produces reanalysis datasets regularly using multiple land surface models at
221 different spatial resolutions (Rodell et al., 2004). We downloaded the SAT data from the
222 GLDAS NOAH reanalysis dataset via NASA's Goddard Earth Sciences Data and Information
223 Services Center (GES DISC). The spatial and temporal resolution of this dataset is $0.25^{\circ} \times 0.25^{\circ}$
224 and 3-hourly respectively with the complete coverage over the global land area except the
225 Antarctic since 2000. In addition, we also downloaded the SAT data of the CMA's CLDAS
226 reanalysis data. The CLDAS data are produced hourly in a $0.0625^{\circ} \times 0.0625^{\circ}$ grid since 2008 (Shi
227 et al., 2011; Xie et al., 2011). Lastly, the CMFD SAT data were downloaded from the ITP's
228 Third Pole Environment Database (TPE). The CMFD dataset contains 3-hourly SAT in a
229 $0.1^{\circ} \times 0.1^{\circ}$ grid from 1979 to 2016, which is generated by dynamically adjusting the bias of
230 GLDAS reanalysis SAT data to match CMA station observations via spline interpolation (Chen
231 et al., 2011; Yang et al., 2010).

232 **2.4 Data processing**

233 As mentioned earlier, the GMTED2010 elevation data were aggregated to the $0.05^{\circ} \times 0.05^{\circ}$
234 grid which is the same with all other remotely sensed datasets. To train and evaluate the model,
235 we extracted all remotely sensed data for all CMA and ITP stations aforementioned (Table 1(a))
236 via nearest neighborhood method. These extracted remotely sensed data were then paired with
237 the corresponding station SAT data. The station-satellite data pairs were labeled as either clear
238 sky or cloudy sky observations based on the quality flags of the satellite data. Considering
239 different satellite data may have different cloud masks in their quality flags, we only marked the
240 data as clear sky observations when all satellite data's quality flags were cloud free; otherwise, the
241 data were labeled as cloudy observations.

242 Since MODIS LSTs are only available under the cloud free condition, the missing values
243 were replaced using a temporal moving window (i.e., \pm five days) method. When there is at least
244 one clear sky LST within this 11-day time period, the clear sky LST value which is temporally
245 closest to the target date is used to replace the missing value. The purpose of this step is not to
246 accurately predict LST under the cloudy conditions, but rather to provide a first guess of LST
247 that can be used by the Cubist model to estimate SAT. This step could be replaced by more
248 complex spatio-temporal gap filling of LST data, but it is out of the scope of this study. The
249 sensitivity of the data availability and model performance on the moving window size will be
250 discussed later.

251 To generate daily NDVI for each grid, 16-day MODIS NDVI data (i.e., MOD13C1 and
252 MYD13C1) were firstly merged into one NDVI time series with corresponding date information
253 for each grid. The merged NDVI time series were then filtered using Savitzkey-Golay method to
254 further remove possible cloud contamination (Chen et al., 2004). The filtering process is to
255 remove the suspiciously low NDVI values caused by unfiltered cloud to increase the confidence
256 of clear sky observations. Finally, the filtered time series were interpolated into daily time series
257 based on the double sigmoid model. For all reanalysis and meteorological forcing datasets, we
258 aggregated their sub-daily SAT values to daily average SAT by averaging all estimations within
259 the same day for each grid but leave them as their native spatial resolutions.

260 **3. Method**

261 The overall design of this study is presented in Figure 2. First, all station-satellite data
262 pairs were extracted and processed as described in section 2 for model training and evaluation.
263 Only part of the CMA station-satellite data (2004-2013) were used for model training, while the

264 rest of the CMA station-satellite data and the ITP station-satellite data were kept for independent
265 model evaluation. The Cubist model was trained using the leave-one-station-out (LOSO) strategy
266 to determine the parameters of the final model. We use LOSO to reduce the risk of overfitting by
267 mimicking the process of estimating SAT for unknown regions with no station data (Meyer et al.,
268 2016). After the model parameters were determined using LOSO, we compared two different
269 modeling strategies to estimate daily average SAT under all sky conditions (i.e., a universal all
270 sky model *v.s.* two separate models for clear and cloudy sky separately). The model strategy with
271 the best accuracy evaluated using station data was chosen as the final model. Lastly, the final
272 model was evaluated by comparing with independent station data, the 25-fold cross validation,
273 and cross comparing with the reanalysis/forcing data. The basis of the Cubist model and the
274 model training/evaluation methods are further described in the following subsections.

275 ----- Insert Figure 2. here -----

276 **3.1 The Cubist model**

277 The Cubist model is a rule-based regression method developed by (Quinlan, 1993a,
278 1993b, 1992). The Cubist model does not give one final model like other machine learning
279 methods, but it generates a set of rules and multi-variate predictive models associated with the
280 rules based on the independent variables used. Once the rules and rule-associated models are
281 determined, a specific set of independent variables will correspond to predictive models based on
282 rules that best suits this set of independent variables. It is originally developed as a commercial
283 software with limited documentation comparing to other popular machine learning methods. It
284 has been adapted by researchers using open source statistical language R and become a popular
285 model in different disciplines (Kuhn et al., 2018; Kuhn and Johnson, 2013). Despite the lack of

308 M5 model tree using same inputs and processes. This process repeats multiple times which is
309 predefined to create the final model and each individual M5 model is considered a committee
310 (Figure 4). The final prediction of the Cubist model is calculated by averaging corresponding
311 predictions of each committee.

312 ----- Insert Figure 4 here -----

313 **3.2 Model training and validation**

314 To build and evaluate the proposed model, the station-satellite data pairs were separated
315 into two sets: 1) the training set and 2) the validation set. The training set contains CMA station-
316 satellite data pairs from 2004 to 2013, while the validation set includes all ITP station-satellite
317 data pairs and the ones of CMA of 2002, 2003, 2014, and 2015. As mentioned earlier, we
318 compare two different strategies to estimate SAT of all sky conditions (strategy I: a universal all
319 sky model; strategy II: two models for clear/cloudy sky conditions separately). Table 2 lists all
320 candidate models for both strategies using different combinations of variables listed in Table
321 1(b), including, elevation, LSTs, radiation variables, and surface variables. Since surface
322 variables are only available for clear sky conditions, only Strategy II's clear sky models include
323 them (i.e., NDVI, NDSI, and SFCALB) as model inputs. In all models listed in Table 2, we use
324 all four instantaneous MODSI LST (or gap-filled LST) within the same day as part of the model
325 input. This is motivated by the assumption that using four instantaneous LST values may better
326 capture the diurnal cycle of surface temperature change (Zhang et al., 2016).

327 ----- Insert Table 2 here -----

328 In the Cubist model, two parameters need to be determined through training, i.e., the
329 number of committees and the number of neighbors. During the training process, we used the

330 LOSO strategy to select model parameters to avoid the overfitting issue as mentioned earlier
331 (Meyer et al., 2016). Firstly, the training data were grouped by stations. For each iteration, a
332 series of Cubist models were fitted using different combinations of model parameters using data
333 of all stations except one which was randomly chosen. Then, the models were evaluated using
334 the data of the left-out station. After each station has been used as the left-out station to evaluate
335 different model parameters, the final model parameters were selected based on the model
336 performance across all iterations.

337 To evaluate the final Cubist model, we first used the validation dataset of CMA stations
338 of the year 2002, 2003, 2014 and 2015 to assess the model performance when it is applied to data
339 of different years. Additionally, the model was also evaluated using independent data of 10 ITP
340 stations. Furthermore, we carried out a 25-fold cross validation experiment to examine the
341 robustness of our model. In this experiment, all CMA station-satellite data pairs were randomly
342 divided into 25 folds by station ID. During each iteration, a Cubist model was fitted using 24
343 folds of data with the same parameters previously determined by the LOSO. This model was
344 then evaluated using the left-out fold of data. This process was repeated 25 times until all 25
345 folds of data have been used to independently evaluate a Cubist model. This cross validation
346 process is used to examine the sensitivity of the Cubist model on the training datasets.

347 Lastly, we applied the final Cubist model to the entire TP for the year of 2014. The
348 estimated SAT of the TP was cross compared with three reanalysis/forcing datasets listed in
349 Table 1(a). The main purpose of the cross comparison is to evaluate the spatial and temporal (i.e.,
350 seasonal) pattern of the estimated SAT. Additionally, we also compared the accuracy of our
351 Cubist estimation and the existing datasets using CMA station data as the reference since all
352 datasets have their own uncertainty.

353 **4. Evaluation of Cubist Model Performance**

354 **4.1 Model strategy comparison**

355 The statistics of all candidate models listed in Table 2 are presented in Table 3. For clear
356 sky models, the full model (i.e., CLR-5) has the best performance with the lowest RMSE and the
357 highest R^2 . The clear sky model without surface variables (i.e., CLR-4) also achieves comparable
358 performance with the full model (CLR-5). However, when LSTs are replaced by TOA radiations
359 (i.e., CLR-0 v.s. CLR-1, CLR-2 v.s. CLR-3), the performance of the models without LSTs are
360 worse than the models with LSTs. The clear sky models indicate that LSTs have strong impacts
361 on the Cubist model performance while radiation variables can be good supplemental variables
362 to improve the model performance. Figure 5 demonstrates the density scatter plots of all Cubist
363 models for the estimated daily average SATs against the CMA station measurements.

364 ----- Insert Table 3 here -----

365 For cloudy sky models, the model with the temporally gap-filled LSTs and radiation
366 variables (i.e., CLD-4) has the best performance with lowest RMSE. However, the model
367 performance deteriorates when either gap-filled LSTs or radiation variables are dropped out from
368 the model (i.e., CLD-0, CLD-1). Nonetheless, the model with only gap-filled LSTs (CLD-0) still
369 outperforms the model with only radiation variables (CLD-1), which is similar with clear sky
370 models. Moreover, the best cloudy sky model (CLD-4) performs slightly worse than the
371 corresponding clear sky model (CLR-4) which may be caused by the uncertainty of gap-filled
372 LSTs.

373 ----- Insert Figure 5 here -----

395 For all sky models, when the samples are separated into clear/cloudy conditions, the
396 estimation of all sky models can achieve similar or even better accuracy with the estimation of
397 the corresponding clear/cloudy sky models. For example, the statistics of ALL-4 for clear sky
398 and cloudy sky observations are similar with the statistics of the corresponding clear sky model
399 (CLR-4; RMSE: 1.634 °C v.s. 1.643 °C; Bias: -0.069 °C v.s. -0.116 °C; R^2 : 0.967 v.s. 0.967) and
400 cloudy sky model (CLD-4; RMSE: 1.922 °C v.s. 1.917 °C; Bias: -0.058 °C v.s. -0.025 °C; R^2 :
401 0.954 v.s. 0.955). In general, the all sky model with gap-filled LSTs and radiation variables (i.e.,
402 ALL-4) shows the best overall performance with satisfactory accuracy and the capability of
403 overcoming cloud contamination issue. Therefore, we only evaluate the ALL-4 model in the
404 remaining part of this study.

405 ----- Insert Figure 6 here -----

406 **4.2 Independent validation with ITP station data**

407 To further validate the all sky model independently, the data of 10 ITP stations of varying
408 time periods were used in this study. Out of these 10 stations, three of them are located at
409 elevation higher than 5,000 ASL. Figure 7 presents the validation results using these ITP station
410 data. The estimated daily average SAT show good agreement with the station measurements with
411 nearly zero bias. However, the RMSE is slightly larger than the ones of model training and
412 validation results using the CMA station data (RMSE: 2.18°C v.s. 1.84°C). Furthermore, the
413 accuracy of estimated SAT for stations with elevation higher than 5,000 meters ASL is slightly
414 worse than other ITP stations (RMSE: 2.29°C v.s. 2.05°C). This result is possible considering
415 that the training data do not contain any stations above 5,000 meters ASL. This lack of

416 representation could increase the uncertainty of the estimated SATs over high elevation regions
417 (Zhang et al., 2016).

418 ----- Insert Figure 7 here -----

419 **4.3 Model sensitivity analysis**

420 Figure 8 shows the RMSE and R^2 of the final LOSO result of each individual CMA
421 stations during the model training process. In general, the final Cubist model performs well for
422 most stations with RMSE lower than 2 °C and R^2 higher than 0.95 (Figure 8 (a, d)). However,
423 there are some stations with relatively large uncertainty. These stations appear to be located at
424 the regions with relatively complex terrain. Figure 8(b) shows that stations above 4,000 meters
425 ASL may show larger RMSE during this LOSO analysis. However, Figure 8(c) demonstrates
426 that the Cubist model estimation of nearly 80% of the CMA stations has RMSE less than 2.1°C.
427 This result shows comparable or slightly better performance than previous studies' clear sky only
428 models. Overall, the LOSO result suggests that the Cubist model trained with limited amount of
429 station data may be applied to other regions of the Plateau with acceptable accuracy for all sky
430 conditions. During the LOSO analysis, the results of CMA stations located at complex terrain
431 show relatively large RMSE (Figure 8a). This is likely caused by the spatial scale difference
432 between satellite data ($0.05^\circ \times 0.05^\circ$) and station point measurements. The microclimate regime of
433 complex terrain could increase the difference between station SAT (point measurement) and
434 estimated SAT (area average estimation).

435 ----- Insert Figure 8 here -----

436 Similar with the LOSO analysis, the 25-fold cross validation experiment was also
437 designed to show the robustness of the proposed Cubist model. Figure 9 exhibits the RMSE of

438 all 25 models trained with slightly different set of training data. In general, most models in the
439 25-fold cross validation experiment has low RMSE ($1.6^{\circ}\text{C} - 2.2^{\circ}\text{C}$). However, some models (i.e.,
440 model No. 2, 9, 19) show relatively large RMSEs ($>2.5^{\circ}\text{C}$). The potential cause of the poor
441 performance for these models is described in the discussion section.

442 ----- Insert Figure 9 here -----

443 **5. Cross comparison with reanalysis/forcing data**

444 In addition to evaluate the proposed model with station measurements, we also compared
445 the Cubist estimation of the TP with three reanalysis/meteorological forcing datasets listed in
446 Table 1(a). Figure 10 compares the spatial pattern of the monthly mean SAT of our Cubist
447 model estimation (Figure 10 (a-d)) with GLDAS (Figure 10 (e-h)), CLDAS (Figure 10 (i-l)), and
448 CMFD (Figure 10 (m-p)) for January, April, July, and October 2014. Overall, all four datasets
449 show very similar spatial and temporal SAT gradients across the entire TP. Generally, the SAT is
450 higher at the regions with low altitudes (i.e., the northern and southeast parts of the TP) while the
451 high elevation regions (e.g., the western and central areas of the TP) have lower temperature.
452 Additionally, all datasets show the same seasonal SAT dynamics.

453 Despite the consistency, there are still notable differences among these datasets. Even
454 though GLDAS may capture the overall spatial pattern of the SAT, it does not have the same
455 level of spatial details as the Cubist estimation because GLDAS's resolution is very coarse (0.25°
456 v.s. 0.05°). The lack of the spatial details can be troublesome because parts of the TP have very
457 complex terrain. It is not suitable to use such coarse resolution to represent the climate and
458 ecosystem processes of those regions. Additionally, the CLDAS SAT appears to have larger
459 spatial gradients, especially for April and July 2014.

482 In the Cubist model, we used the temporally gap-filled MODIS LSTs, within an 11-day
483 moving window, to replace the missing value of LSTs caused by cloud. This process improved
484 the data availability by more than three times (see Table 3 & 4). However, the impact of the
485 temporal window size on the performance of the Cubist model estimation needs to be examined.
486 Table 5 presents the model performance and the data availability for both model training and
487 validation against different window sizes (ranging from 0 to ± 5 days). The model inputs are
488 fixed as LSTs, radiation variables, geolocations, elevations, day of year (i.e., ALL-4). The model
489 using temporal window size of 0 day is equivalent to the clear sky model CLR-4. The increasing
490 temporal window size significantly increases the data availability, while the RMSE only
491 increases slightly ($\sim 0.2^\circ\text{C}$) with the increasing moving window size. The temporal moving
492 window of ± 5 days yields almost 100% data availability for these CMA stations with the
493 satisfactory accuracy and precision, which notably outperforms existing forcing data. However,
494 we are aware that this sensitivity test was only conducted for the limited regions with CMA
495 stations. The results may not be the same for the entire TP. It is very challenging to repeat the
496 same analysis for the entire TP because there are no true SAT data of the entire TP to help us
497 understand the impact of the moving window size on the performance of our model. In the future,
498 this moving window process can be replaced with other more advanced spatio-temporal
499 interpolation methods or substituted with microwave based all sky LST products to provide the
500 better first guess of LSTs for cloudy sky conditions (e.g., Wang et al., 2019; Zhang et al., 2019;
501 Zhou et al., 2017).

502 In addition, we define clear sky condition very conservatively – all four instantaneous
503 MODIS LST and daily satellite radiation data need to be cloud free. This is because the purpose
504 of this study is to estimate daily average SAT. This may lead to larger variations of the model

505 performance for cloudy sky conditions. As concluded by Zhang et al. (2016), the number of
506 high-quality cloud free instantaneous MODIS LST within one day can have notable impact on
507 the final model uncertainty.

508 ----- Insert Table 5 here -----

509 During the 25-fold cross validation, some Cubist models trained using a random subset of
510 the CMA station data show large uncertainty when they were applied to independent station data.
511 To better understand potential error sources, we examined four Cubist models with largest
512 RMSEs in the 25-fold cross validation experiment (i.e., model 2, 9, 19, 25). Figure 11 presents
513 the density scatter plots of validation results, the comparison of data distributions of the training
514 and validation data, and the quantile-quantile (Q-Q) plots between the training and validation
515 datasets. For most of these cases (except model 2), the data distributions of training and
516 validation data are notably different. For example, Fold-19 and Fold-25 all show that the
517 distributions of their validation data are shifted rightwards from the distributions of their training
518 data; Fold-9 exhibits a double-peak distribution of its validation data which is different from the
519 near normal distribution of its training data. The Q-Q plots also confirm these differences among
520 training/validation data's distribution. This common characteristic of these three cases underpins
521 the assumption of machine learning models. Machine learning models are designed to predict
522 unknown situations by learning from existing data/observations. The underlying assumption of
523 most machine learning models is that the training data should represent the overall data
524 distribution reasonably well. If this assumption is invalid, like in our case, the performance of the
525 prediction/estimation can be notably affected. Therefore, it is very important to ensure the
526 representativeness of the data during model training process. Nevertheless, when we examine the
527 distributions of the training data for all four cases, it is quite assuring to see that they share

528 almost the same distribution despite the difference of their training data. This implies that when
529 the amount of training data is large enough the sample distribution may be very close to the real
530 data distribution. However, it is always the best practice to examine and increase, if possible, the
531 representativeness of the training data to ensure the trained machine learning model is not biased
532 from the beginning.

533 ----- Insert Figure 11 here -----

534 **7. Conclusion**

535 This study demonstrates that combining LSTs and radiation variables at both the surface
536 and TOA levels can produce daily average SAT data under all sky conditions with high accuracy.
537 With a reasonably defined temporal moving window to fill the gap of missing LST caused by
538 cloud contamination, the all sky Cubist model can largely increase the data availability of
539 estimated daily average SAT over the Tibetan Plateau. The model has been validated using
540 spatially and temporally independent station data and cross validation with nearly zero bias and
541 reasonable RMSEs (1.8-2.2 °C). When cross compared with the existing reanalysis/forcing
542 datasets, the Cubist model estimated SAT can represent the spatial and temporal dynamics of the
543 surface temperature of the TP and retain important spatial details. When all datasets were
544 benchmarked against the CMA station data, the Cubist model show better performance with no
545 notable bias and much smaller RMSEs. However, the 25-fold cross-validation practice suggests
546 that the representativeness of the training dataset is of great importance to produce a high-quality
547 machine learning model with no built-in bias.

548 With the all sky Cubist model, we generated a $0.05^\circ \times 0.05^\circ$ daily average surface air
549 temperature dataset for the entire Tibetan Plateau for 2002-2016. The resulting dataset is of great

550 value to study recent climate warming and corresponding impacts over the entire Tibetan Plateau.
551 However, as mentioned earlier, the training data are only from the finite CMA stations.
552 Therefore, users should be aware of the potential larger uncertainty for the regions of which the
553 weather/climate patterns might not be represented by the CMA station data, such as, the regions
554 with very high elevations (e.g., above 6,000 meters ASL) or with complex topography. Although
555 the model is developed for the Tibetan Plateau, the framework of this model could be extended
556 to other regions since the underlining mechanism should be similar. However, when the
557 framework is applied to other areas, the model should be properly retrained using the data of the
558 target area to ensure that the model are built correctly with representative training data.

559 Despite the improved accuracy and data availability of the daily average SAT dataset,
560 there are still uncertainties require further investigations to improve the resulted data. First, all
561 input satellite data have different level of uncertainties which can be propagated into this
562 empirical-based estimation. Therefore, it will be beneficial to understand the sensitivity of the
563 estimated SAT regarding to the uncertainty of each individual input variables. Secondly, due to
564 the complex terrain of the TP, there are grids with large elevation gradients. It can be very
565 challenging to assess the accuracy of these regions. Although we have conducted independent
566 validation using 10 non-CMA stations (including three stations above 5000 meters), it is still
567 necessary to use more independent data of the regions with very high elevations and complex
568 topography, if available, to comprehensively evaluate the quality of the estimated SAT data. The
569 independent evaluation would further provide better characterization of the data quality for the
570 Tibetan Plateau, which is essential for users who need this dataset. Moreover, there are growing
571 demands of grid-level uncertainty assessment to improve the confidence of local and regional
572 applications using various climate datasets. Thus, it is of the best interest to provide the

573 uncertainty value associated with each grid for the estimated SAT data using advanced statistical
574 methods, such as, Markov Chain Monte Carlo (MCMC), bootstrapping etc. The estimation
575 uncertainty should account for both input data uncertainty and model uncertainty. The measure
576 of estimation uncertainty will allow users to better understand the strength and weakness of the
577 estimation for their own applications. Lastly, we are planning to extend this model using
578 AVHRR data to generate long term SAT climate data records of the TP (since 1982) to enable
579 climate applications for the last four decades.

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774 **Tables**

775 **Table 1(a).** The summary of observational and reanalysis/forcing surface air temperature data
 776 used in this study.

Dataset	Data Type	Resolution (Spatial/temporal)	Data Source	Reference
CMA	Station data	- / Daily	NMIC	-
ITP	Station data	- / Daily	TPE	Yao et al. (2012)
CMFD	Reanalysis data	0.10° / 3-hourly	TPE	Chen et al. (2011)
CLDAS	Reanalysis data	0.0625° / hourly	NMIC	Shi et al. (2011)
GLDAS	Reanalysis data	0.25° / 3-hourly	NASA GES DISC	Rodell et al. (2004)

777

778 **Table 1(b).** The summary of the remotely sensed data used in this study.

Variable	Dataset(s)	Variable Category	Resolution (Spatial/temporal)	Data Source	References
Elevation	GMTED2010	Geo-location	7. " / Static	USGS	Danielson & Gesch (2011)
Land surface temperature (LST)	MOD11C1, MYD11C1	Clear sky only	0.05°/ Daily	NASA LP DAAC	Wan et al. (2015a, b)
Incident solar radiation (ISR)	GLASS05B01	All sky	0.05°/ Daily	UMD	Zhang et al. (2014)
Outgoing longwave radiation (OLR)	AVHOLR	All sky	0.05°/ Daily	UMD	Zhou et al. (Submitted)
Top-of-atmosphere albedo (TOAALB)	AVHALB	All sky	0.05°/ Daily	BNU	Song et al. (2018)
Land surface albedo (SFCALB)	MCD43C1	Clear sky only	0.05°/ Daily	NASA LP DAAC	Schaaf & Wang (2015)
Normalized Difference Vegetation Index (NDVI)	MOD13C1, MYD13C1	Clear sky only	0.05°/ 16-day	NASA LP DAAC	Didan (2015a, b)
Normalized Difference Snow Index (NDSI)	MOD10C1, MYD10C1	Clear sky only	0.05°/ Daily	NSIDC	Hall & Riggs (2015a, b)

779 **Table 2.** The summary of variables used in different cubist models.

Model Type	#	Geolocation & Elevation	Day of Year	LST	Radiation Variables	Surface Variables
Clear Sky (CLR)	0	Yes	Yes	Yes	-	-
	1	Yes	Yes	-	Yes	-
	2	Yes	Yes	Yes	-	Yes
	3	Yes	Yes	-	Yes	Yes
	4	Yes	Yes	Yes	Yes	-
	5	Yes	Yes	Yes	Yes	Yes
Cloudy Sky (CLD)	0	Yes	Yes	Gap-filled	-	-
	1	Yes	Yes	-	Yes	-
	4	Yes	Yes	Gap-filled	Yes	-
All Sky (ALL)	0	Yes	Yes	Gap-filled	-	-
	1	Yes	Yes	-	Yes	-
	4	Yes	Yes	Gap-filled	Yes	-

780 **Table 3.** The comparison of cubist model training statistics for different models listed in Table 2.

Model Type	Model Number	Bias (°C)	RMSE (°C)	R²	Data Count
Clear Sky Model (CLR)	0	-0.134	1.373	0.978	102,457
	1	-0.027	1.937	0.955	102,457
	2	-0.133	1.344	0.979	102,457
	3	-0.039	1.864	0.958	102,457
	4	-0.111	1.291	0.980	102,457
	5	-0.108	1.265	0.981	102,457
Cloudy Sky Model (CLD)	0	-0.091	1.618	0.969	268,938
	1	-0.018	2.058	0.949	268,938
	4	-0.096	1.484	0.974	268,938
	0	-0.104	1.549	0.972	371,395
All Sky Model (ALL)	1	-0.021	2.048	0.951	371,395
	4	-0.106	1.434	0.976	371,395

781

782 **Table 4.** The comparison of statistics for validation results for different cubist models listed in
783 Table 2. In this table, the validation for all sky models is further separated for clear sky and
784 cloudy sky data.

Model Type	Model Number	Data Type	Bias (°C)	RMSE (°C)	R²	Data Count
Clear Sky Model (CLR)	0	-	-0.141	1.638	0.967	40,528
	1	-	-0.085	2.373	0.931	40,528
	2	-	-0.144	1.637	0.967	40,528
	3	-	-0.096	2.372	0.932	40,528
	4	-	-0.116	1.643	0.967	40,528
	5	-	-0.113	1.631	0.967	40,528
Cloudy Sky Model (CLD)	0	-	-0.027	1.983	0.951	109,713
	1	-	0.021	2.489	0.924	109,713
	4	-	-0.025	1.917	0.955	109,713
All Sky Model (ALL)	0	All	-0.067	1.884	0.957	150,241
		Clear	0.028	1.647	0.967	40,528
		Cloudy	-0.106	1.986	0.952	109,713
	1	All	-0.024	2.460	0.927	150,241
		Clear	0.097	2.362	0.932	40,528
		Cloudy	-0.080	2.496	0.924	109,713
	4	All	-0.059	1.837	0.959	150,241
		Clear	-0.069	1.634	0.967	40,528
		Cloudy	-0.058	1.922	0.954	109,713

785

786 **Table 5.** The statistics of all-sky Cubist model training/validation using different window size
787 for temporal gap-filling for MODIS LSTs. The model with the window size of 0 day is the full
788 clear-sky model (i.e., CLR-5 in Table 2).

Window Size	Training Samples			Validation Samples		
	Data Availability	Bias (°C)	RMSE (°C)	Data Availability	Bias (°C)	RMSE (°C)
0 day	27.55%	-0.108	1.265	26.88%	-0.113	1.631
±1 days	78.13%	-0.089	1.387	77.04%	-0.096	1.759
±2 days	87.64%	-0.102	1.401	86.41%	-0.024	1.734
±3 days	95.62%	-0.091	1.395	94.40%	-0.067	1.801
±4 days	97.48%	-0.104	1.423	96.38%	-0.027	1.782
± days	99.87%	-0.106	1.434	99.65%	-0.059	1.837

789

790 **List of figures**

791 **Figure 1.** (a) The elevation map of the Tibetan Plateau and the location of the China
792 Meteorological Administration (CMA) stations (black triangles) and the Institute of Tibetan
793 Plateau Research (ITP) stations (red pentagram) within the Tibetan Plateau; (b) the elevation
794 distributions of the CMA stations (blue line) and the GMTED DEM for the entire TP (red line).

795 **Figure 2.** The overall flowchart of the model training and evaluation strategies of this study.

796 **Figure 3.** The schematic of the non-committee Cubist regression model.

797 **Figure 4.** The structure of the rule based Cubist regression model with committees.

798 **Figure 5.** The density scatter plots of all 12 Cubist models listed in Table 2 for training results:
799 (a) CLR-0, (b) CLR-1, (c) CLR-2, (d) CLR-3, (e) CLR-4, (f) CLR-5, (g) CLD-0, (h) CLD-1, (i)
800 CLD4, (j) ALL-0, (k) ALL-1, (l)ALL-4.

801 **Figure 6.** The density scatter plots of the validation results for the best model in each category (a)
802 CLR-5, (b) CLD-4, (c) clear sky observations of ALL-4, (d) cloudy sky observations of ALL-4,
803 and (e) all sky observations of ALL-4.

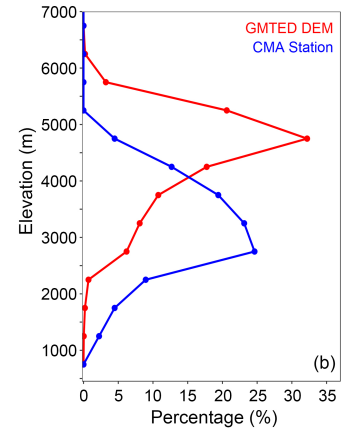
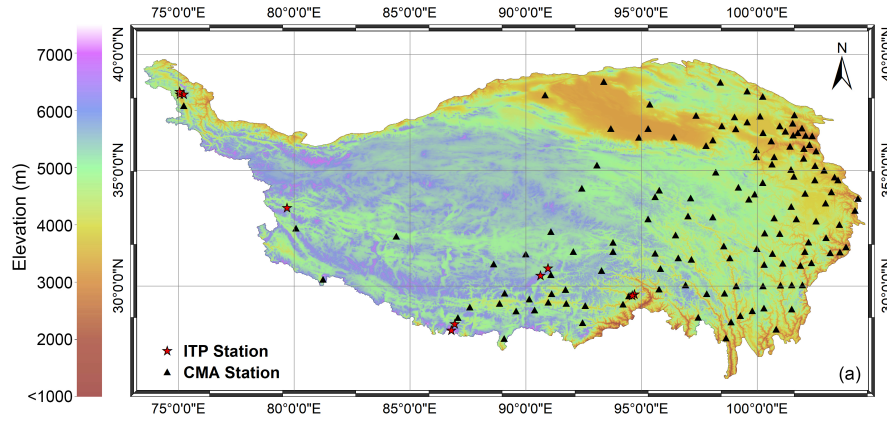
804 **Figure 7.** The density scatter plot of the independent validation for the final Cubist model using
805 data of 10 Institute of Tibetan Plateau Research (ITP) stations.

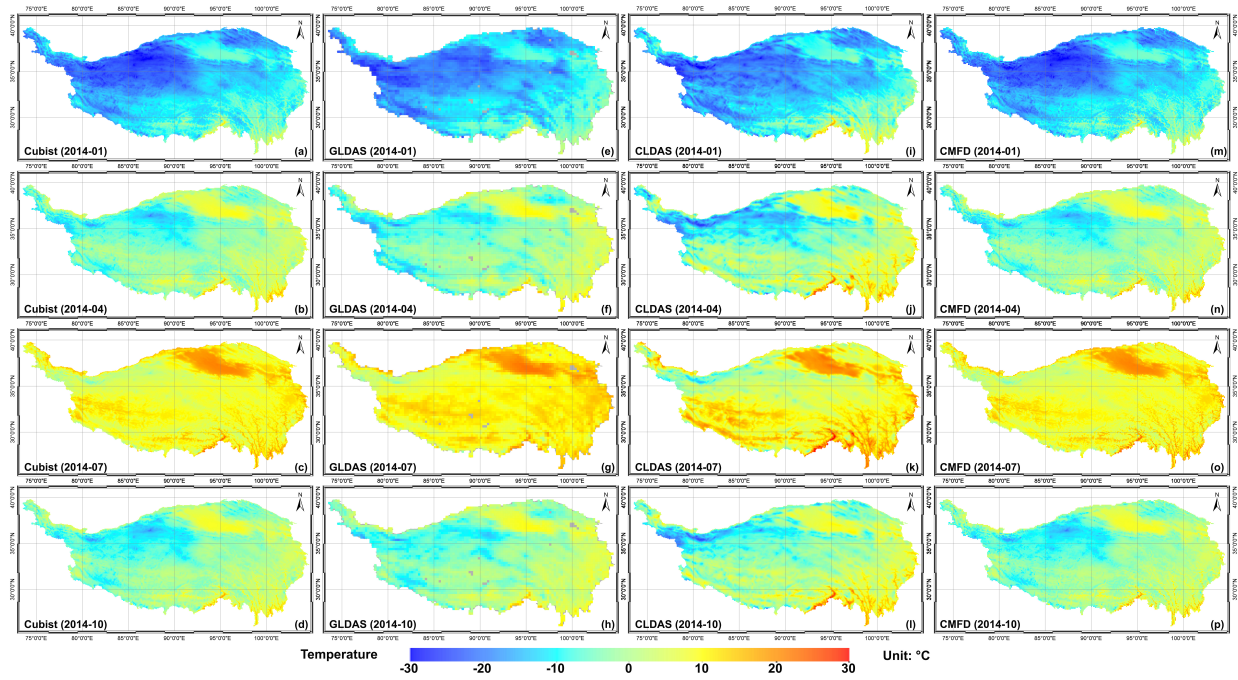
806 **Figure 8.** The results of the leave-one-station-out (LOSO) experiment: (a) the spatial distribution
807 of the RMSE of all CMA stations; (b) the scatter plot between the RMSE and the elevation of all
808 CMA stations; (c) the histogram of the RMSE of all CMA stations, where the solid blue vertical
809 line indicates the median value and the two dashed vertical lines refer to the 25% and 75%
810 quantiles respectively; (d) the spatial distribution of the R^2 of all CMA stations. The background
811 colors of (a) and (d) are the elevation of the GMTED2010 data.

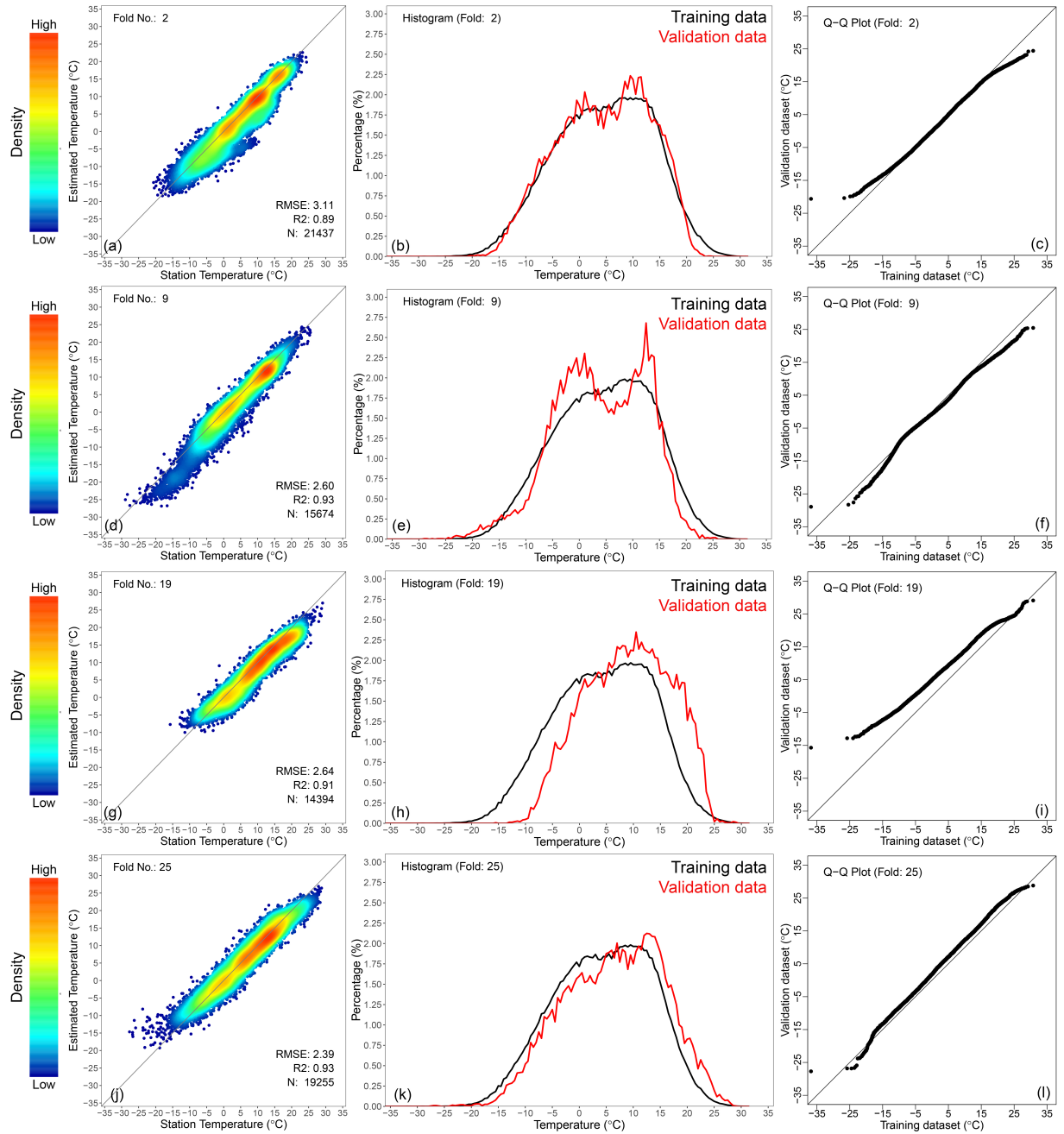
812 **Figure 9.** The RMSE of the 25-fold cross validation experiment using all CMA station data.

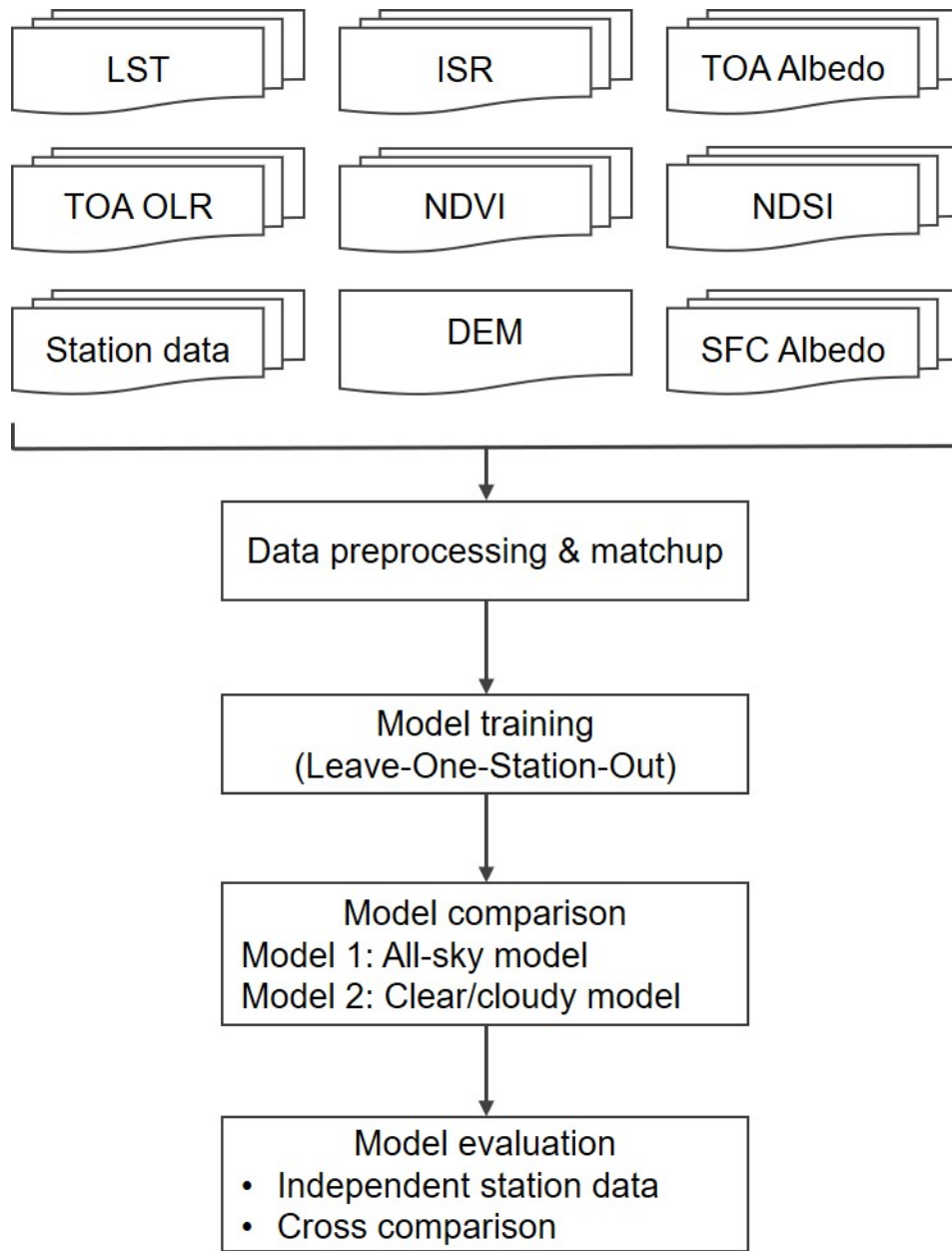
813 **Figure 10.** The spatial and temporal patterns of (a-d) the Cubist model estimated surface air
814 temperature (SAT), (e-h) the GLDAS SAT, (i-l) the CLDAS SAT, and (m-p) the CMFD SAT
815 data of January, April, July and October 2014.

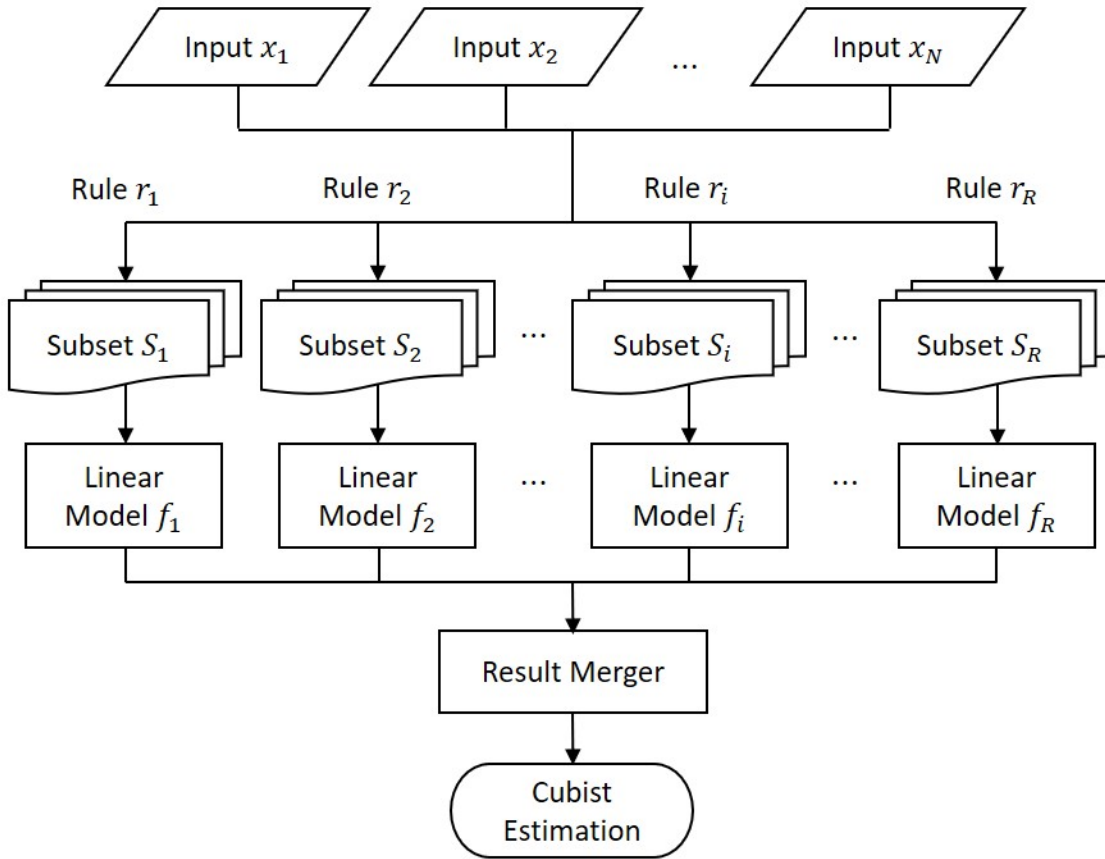
816 **Figure 11.** First column (a, d, g, j): the density scatter plots of four Cubist models with largest
817 RMSEs in Figure 9 (i.e., No. 2, 9, 19, 25); second column (b, e, h, k): the comparisons of the
818 data distributions of SATs from the training and validation datasets of each model; third column
819 (c, f, i, l): the quantile-quantile (Q-Q) plots of SATs between the training and validation datasets
820 of each model.

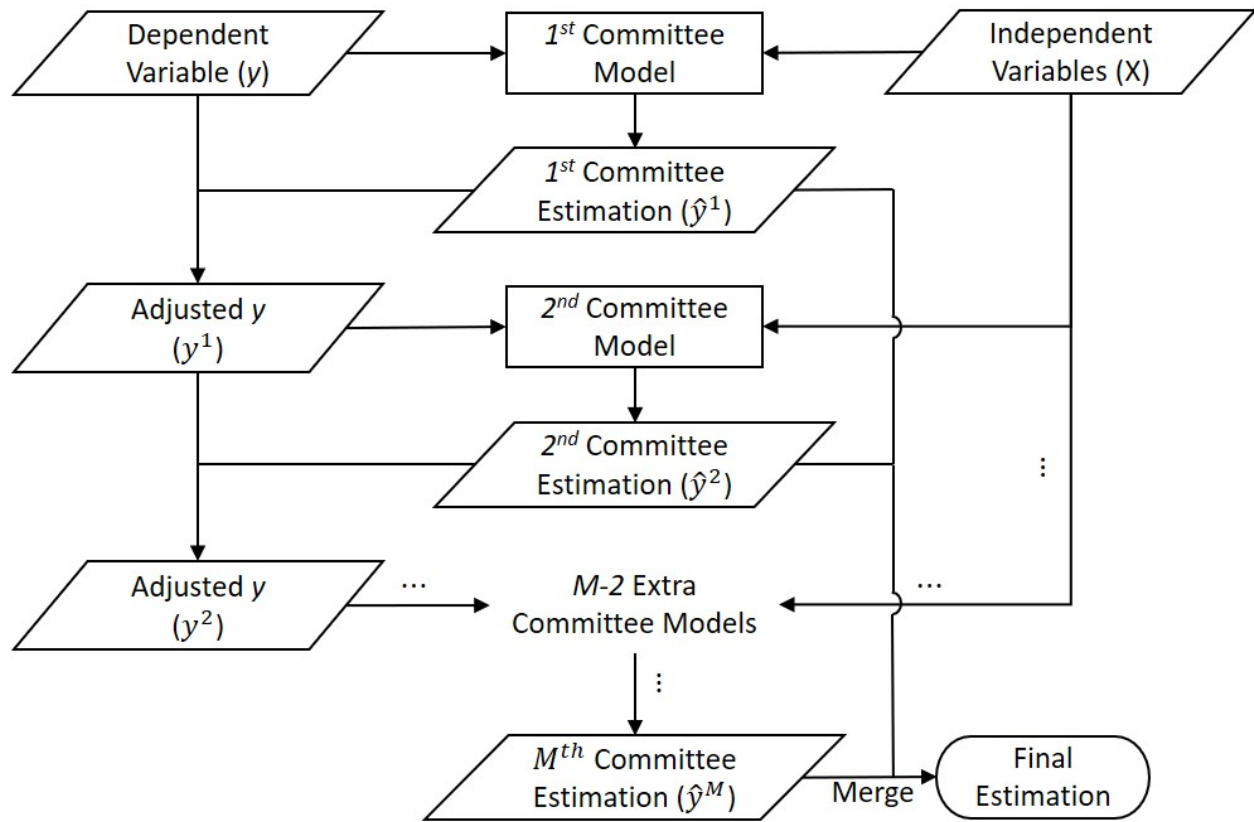


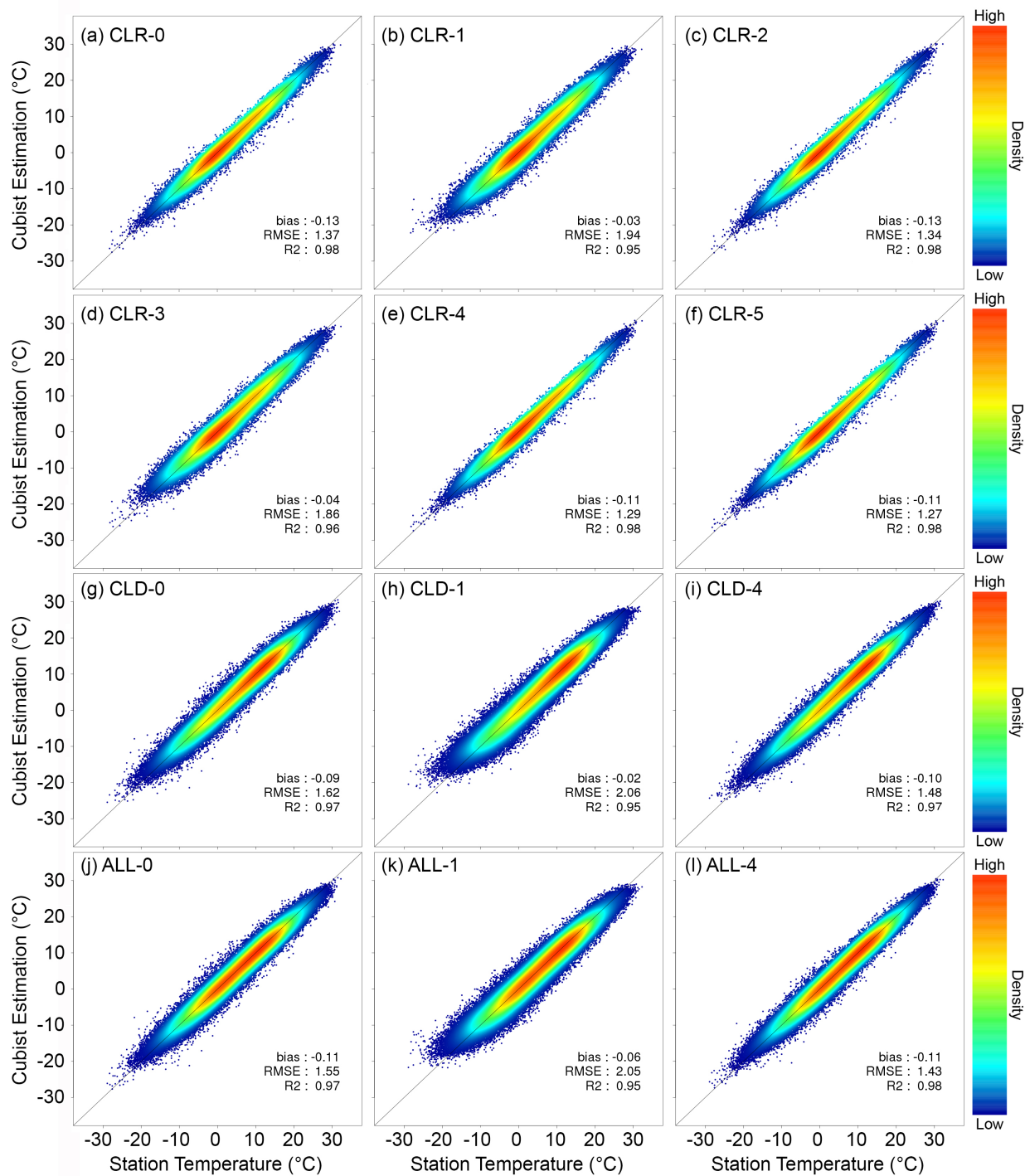


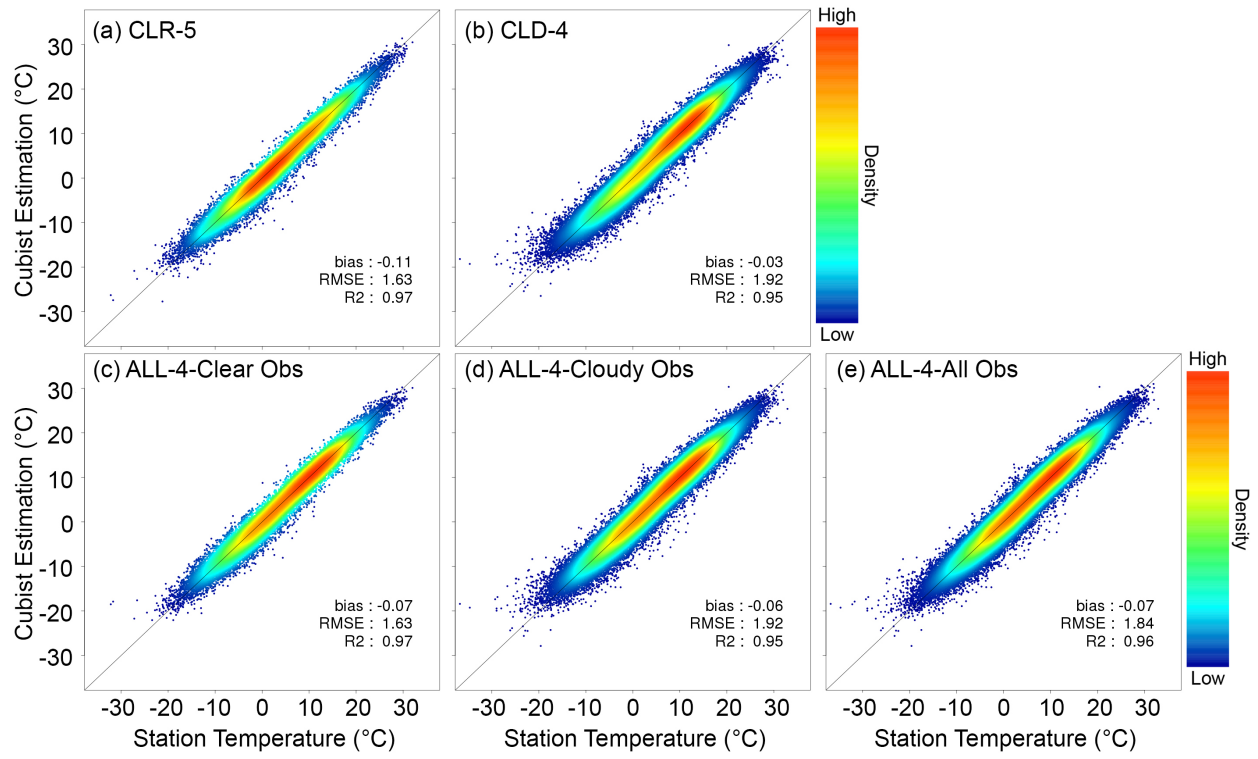


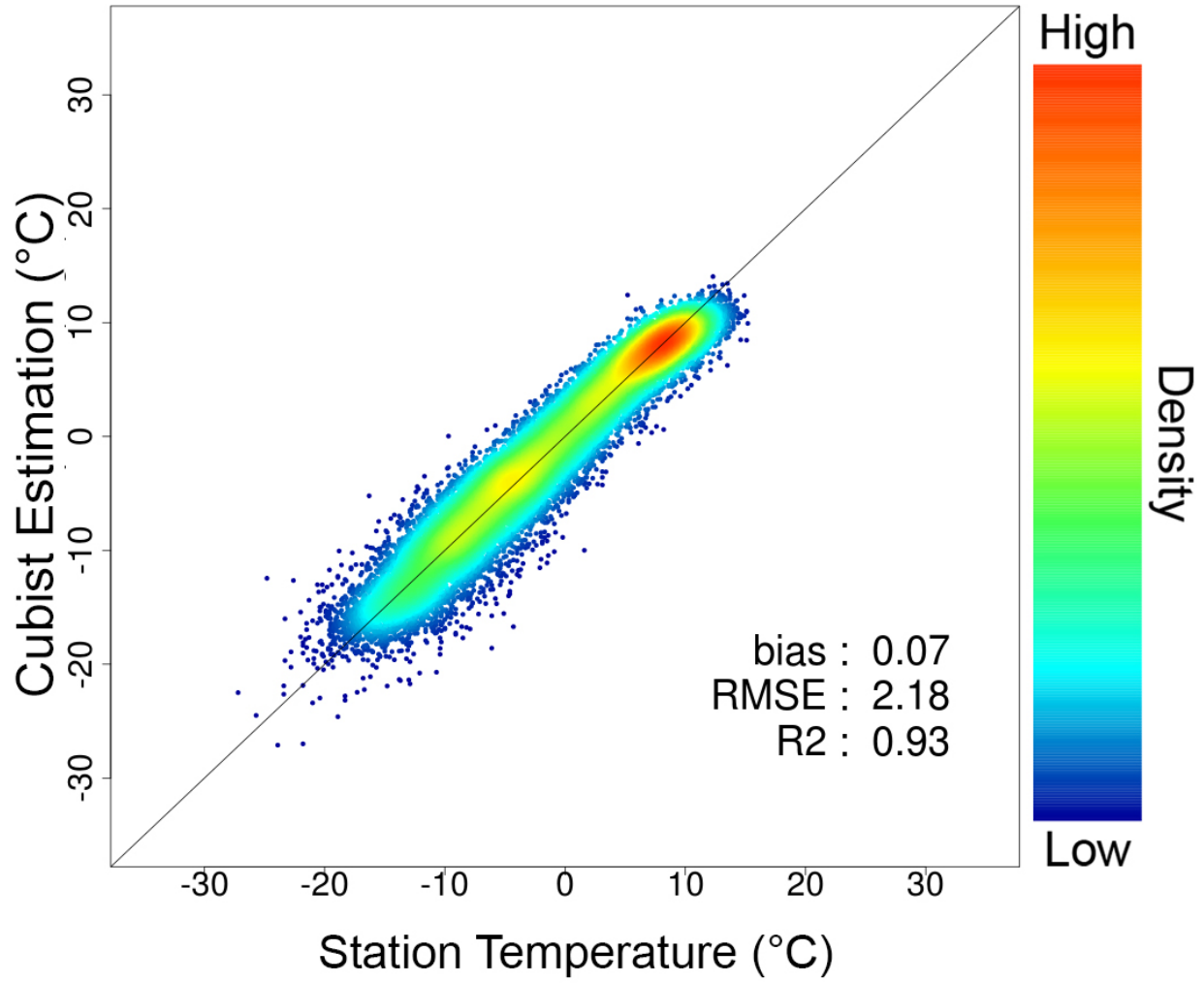


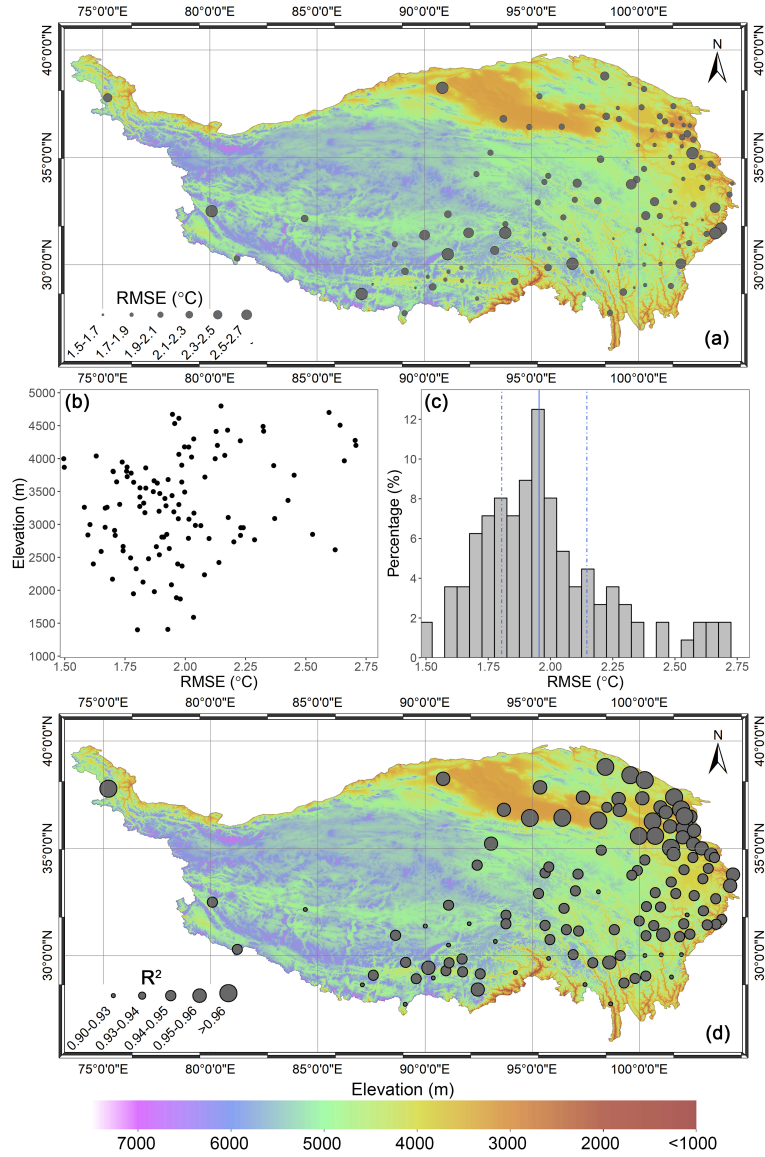


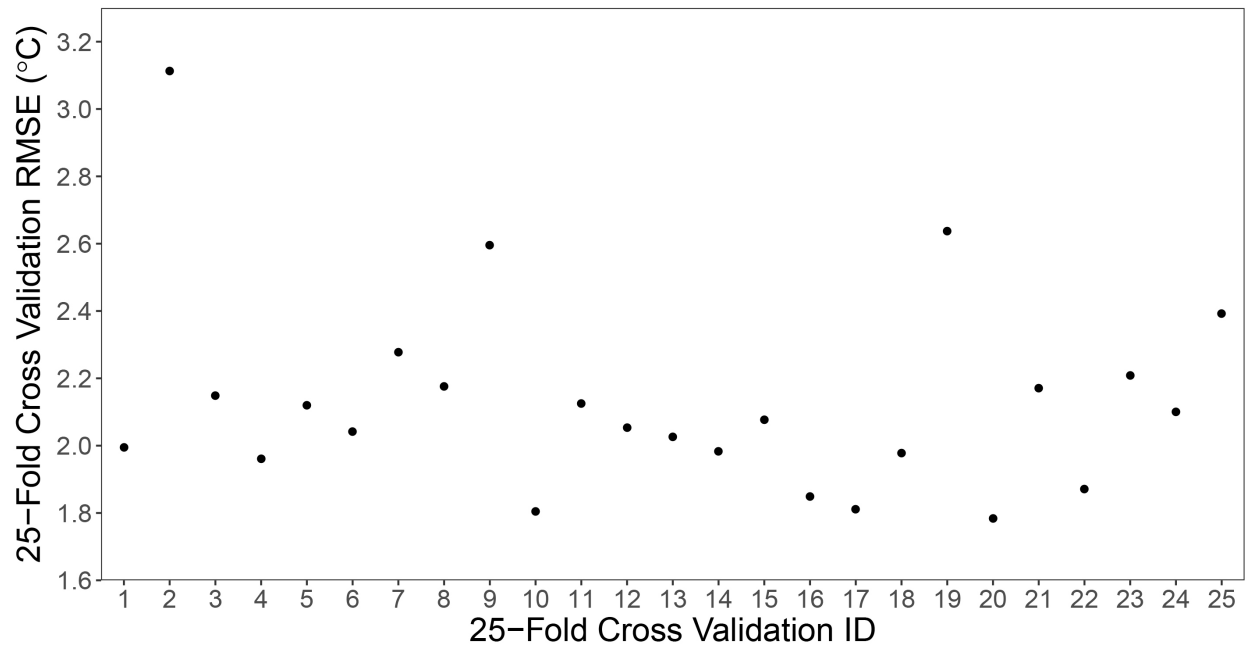












A Cubist model is developed to estimate daily average SAT for all-sky conditions;

TOA radiation products improve the accuracy of estimated temperature;

A $0.05^\circ \times 0.05^\circ$ daily temperature data (2002-2016) is created over the Tibetan Plateau;