- 1 Exploring MERRA-2 global meteorological and aerosol reanalyses for improved SST retrieval
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- 11 Keywords:
- 12 Sea surface temperature; dust; aerosol correction; MERRA; VIIRS

14 Abstract

 This study explores the use of Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA-2) global meteorological and aerosol reanalysis for improving the simulation of satellite sensor infrared brightness temperatures (BTs), and the retrieval of sea surface temperature (SST) in the NOAA Advanced Clear Sky Processor for Ocean (ACSPO) system, with a particular focus on ACSPO long-term reprocessing efforts. Using MERRA-2 upper-air pressure, temperature, and humidity profiles, rather than the currently used NCEP Global Forecast System (GFS) real-time data, as input into the Community Radiative Transfer Model (CRTM), reduces the global clear-sky observation-minus-model (O–M) BT biases in the infrared bands centered at 3.7, 8.6, 11, and 12 µm of the Visible Infrared Imaging Radiometer Suite onboard Suomi-NPP. The improvements are largely due to more accurate water vapor (total amount and/or vertical distribution) at low latitudes in MERRA-2, which brings the modeled BTs closer to observations. Additional stand-alone simulations, performed using RTTOV model and MERRA-2 aerosol profiles, further reduce the ACSPO global O–M BT biases and the dependence of O–M BT biases on the dust aerosol optical depth. The potential skill of MERRA-2 aerosol reanalysis for reducing dust-caused regional biases in the ACSPO global regression SST product is also demonstrated. Preliminary results suggest that MERRA-2 is a viable alternative to NCEP GFS for ACSPO reprocessing efforts. 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31

 1. Introduction 32

 Sea surface temperature (SST) is routinely retrieved from brightness temperatures (BTs) measured by a number of passive infrared (IR) spaceborne sensors in the atmospheric window regions. SST retrieval typically uses multi-channel or non-linear SST (MC/NLSST) regression algorithms to account for the atmospheric attenuation by water vapor. Customarily, split-window bands centered at 11 and 12 μ m are used, often in conjunction with the more transparent shortwave band centered at 3.7 µm (e.g., Prabhakara et al., 1974; McMillin, 1975; McMillin and Crosby, 1984). Other window bands (e.g., centered at 8.6 µm) may also be included, when At NOAA, the Advanced Clear-Sky Processor for Ocean (ACSPO) enterprise system is employed to produce SSTs from several polar-orbiting and geostationary sensors. As part of its processing, ACSPO calculates the expected top-of-atmosphere (TOA) clear-sky sensor BTs using the Community Radiative Transfer Model (CRTM), in conjunction with first-guess SST (currently, Canadian Meteorological Center (CMC) daily L4 analysis; Brasnett and Surcel-Colan, 2016) and atmospheric profiles of pressure, temperature, water vapor, and ozone (currently, from the National Centers for Environmental Prediction Global Forecast System, NCEP GFS) (e.g., Liang et al., 2009). The observation-minus-model (O–M) BT biases are used to monitor the SST bands of different sensors for stability and cross-platform consistency, validate the CRTM and its inputs, improve ACSPO clear-sky mask, and explore physical SST retrieval. Currently, no aerosol absorption or scattering is included in the CRTM employed in ACSPO, which may be one of several factors contributing to the persistent cold O–M biases of several tenths of a Kelvin, depending on sensors and bands, as observed in the NOAA Monitoring of IR Clear-sky Radiances over Ocean for SST (MICROS) system (Liang and Ignatov, 2011). 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 available.

 biases over the North Atlantic, Arabian Sea, and West Pacific (Diaz et al., 2001). Through radiative transfer simulations, Merchant et al. (2006) derived a Saharan dust index from the BT differences in the four IR bands used for SST retrieval. The SDI has shown some skill to account for the effects of dust amount and vertical profile on the SST bias, thus improving the SST retrieval over the North Atlantic. Note that the SDI can only be derived from nighttime data, due to solar contamination in the 3.7 µm band (Good et al., 2012; Le Borgne et al., 2013), and its application with daytime data requires additional efforts. 78 79 80 81 82 83 84

 Previous simulations of dust effects on BTs and SSTs were limited by the use of idealized configurations of dust concentrations and vertical placement. Thanks to recent advances in aerosol observations and modeling, several weather prediction centers have developed global aerosol reanalyses, in conjunction with conventional meteorological fields, such as the Modern- Era Retrospective analysis for Research and Applications version 2 (MERRA-2) (Buchard et al., 2017; Gelaro et al., 2017). These newly available data have the potential for improving satellite BT simulations for a globally representative range of meteorological and aerosol conditions. This study explores the utility of MERRA-2 global meteorological reanalysis, instead of NCEP GFS, for improving the simulation of sensor BTs under aerosol-free conditions, for the use in ACSPO reprocessing efforts. The effect of MERRA-2 meteorological profiles on ACSPO global O–M BT biases is presented based on global nighttime data from the Visible and Infrared Imaging Radiometer Suite (VIIRS) onboard the Suomi-NPP satellite. In addition, we preliminarily evaluate the skill of MERRA-2 aerosol reanalysis to bring modeled BTs closer to observations. Dust aerosol attenuations of VIIRS BTs based on stand-alone RTTOV model simulations are used to correct the sensor BTs, and subsequently, the ACSPO global regression SST. This strategy differs from past studies in that the aerosol correction based on radiative 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

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 transfer simulations is applied directly to sensor BTs, thereby minimizing possible changes in the SST equations and potentially enabling aerosol correction for both daytime and nighttime SSTs. 101 102

 2. Approach 103

 MERRA-2 consists of a collection of land, atmosphere, and aerosol products for the modern 104

 satellite era generated by the NASA Goddard Earth Observing System version 5 data 105

 assimilation system (GEOS-5), which assimilates meteorological observations and bias-corrected 106

 AOD observations from satellites and ground stations (Gelaro et al., 2017). The MERRA-2 107

 meteorological fields are available at 42 pressure levels from 1000 to 0.1 hPa every 3 hours at 108

 $0.5^{\circ}\times0.625^{\circ}$ resolution. The MERRA-2 aerosol fields are provided at 72 model layers, and 109

 converted to the same pressure levels as the meteorological fields. Compared to MERRA-2, the 110

 NCEP GFS meteorological data are available at 26 pressure levels from 1000 to 10 hPa every 6 111

hours at $1^{\circ}\times1^{\circ}$ resolution. The pressure, temperature and humidity profiles from MERRA-2 and 112

 GFS are used as input into two separate simulations using ACSPO (v2.50) to calculate the VIIRS 113

BTs in the 3.7, 8.6, 11, and 12 µm bands. Both simulations use the CMC L4 as the first-guess 114

 SST. Results shown in this study are based on simulations using two weeks' global nighttime 115

 data between 18–31 January 2018. 116

 Currently, the CRTM in ACSPO is not capable of incorporating external information of aerosol 117

 optical properties and vertical profiles in BT simulations. Hence, the RTTOV model (v12.1) is 118

 used to evaluate the potential of MERRA-2 aerosol reanalysis for improving sensor BT 119

 simulations and SST retrieval under dusty conditions. RTTOV has been used in previous studies 120

 to simulate the BT responses to aerosol loadings for a number of sensors (Merchant et al., 2006; 121

 Good et al., 2012; Le Borgne et al., 2013). Here two RTTOV experiments are conducted for the 122

 VIIRS clear-sky pixels under aerosol-free and dust-affected conditions, respectively. During the 123

 study period of 18–31 January 2018, large amounts of dust aerosol are blown off the deserts of West Africa to the Atlantic Ocean, and off Arabian Peninsula to the Red Sea, Persian Gulf, and Arabian Sea. The difference between the two RTTOV simulations is calculated as the dust- induced BT changes, which are used for aerosol correction to sensor BTs. To simulate the dust effect on sensor BTs, RTTOV requires profiles of dust absorption and scattering coefficients and backscatter parameters. These profiles are generated in three steps: 1) the MERRA-2 dust mixing ratios at five size bins are multiplied by the extinction coefficients at 0.55 µm (which are provided by the GEOS-5 model) and added to generate the profile of visible (0.55 μ m) AOD; 2) The visible AOD is converted to IR AOD at 10 μ m, as AOD_{10 μ m} = 0.4×AOD_{0.55 μ m. The ratio of} IR to visible AOD ranged from 0.2 to 0.6, and was found to decrease with the distance away from the source area, as the coarse mode particles are preferentially removed during atmospheric transport (Pierangelo et al., 2004). A ratio of 0.4 is used in this study for the mid-range transport to Cape Verde islands, where dust causes strong attenuation of sensor BTs. 3) The extinction AOD at 10 µm is further separated to the absorption and scattering AODs at VIIRS IR bands, based on Mie-computed dust absorption and scattering properties (Xi and Sokolik, 2012). The assumption of dust particles as spheres in Mie calculations has negligible effects in the IR wavelengths (Yang et al., 2007). The Mie calculations use refractive index and size distribution from the Optical Properties of Aerosols and Clouds (OPAC) database (Hess et al., 1998). The aerosol backscatter parameter is then calculated from the Mie scattering phase function. 3. Results While implementing CRTM in ACSPO, Liang et al. (2009) made various improvements to minimize the global O–M BT biases, which are measured by mean/median biases and conventional/robust standard deviations (SD/RSD). Conventional statistics are useful for 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146

 the improvements in the O–M median biases and RSDs are consistent in all bands and for all days during the study period, after replacing GFS with MERRA-2 data. 170 171

 To better understand the likely underlying causes of the O–M bias improvements, Figure 3a shows a highly linear relationship between the changes in the O–M biases (at the 3.7 μ m band) and the difference in total precipitable water vapor (TPWV) between MERRA-2 and GFS. Note that for clarity, the O–M biases are binned by the water vapor difference. As expected, smaller column water vapor amounts in MERRA-2 result in a warmer bias, and larger water vapor amounts in a colder bias. Interestingly, the fit line in Fig. 3a does not go through the origin, suggesting that different vertical distributions of water vapor in MERRA-2 and GFS may also contribute to the simulated BT differences. Fig. 3b shows that the reduction in O–M biases is most prominent in the tropical region near the equator, where IR absorption by water vapor is strongest and the water vapor profile more critically affects the simulated BTs. This is consistent with the larger amount of TPWV in MERRA-2 at low- and mid-latitudes, as shown in Fig. 3c. Figure 3d further reveals that the TPWV difference is larger at the Western Hemisphere. However, the TPWV difference between MERRA-2 and GFS near the equator is not as strong as at mid-latitudes (e.g., 30° S), which implies that at the equator, the difference in water vapor vertical distribution may also contribute to the peak in the simulated BT difference using MERRA-2 vs. GFS fields. Therefore, the improvements in the O–M bias statistics are likely due to the fact that MERRA-2 captures the global distribution of water vapor, both geographical and vertical, better than NCEP GFS. Such differences are probably better seen and captured in the absorption bands, but our analyses suggest that they are large enough, to cause statistically significant improvements even in the window bands employed for SST retrieval. 3.2. Effect of using RTTOV vs. CRTM on O–M BT biases in aerosol-free conditions 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192

 In ACSPO, CRTM simulations are performed over the GFS or MERRA-2 grids, and the simulated atmospheric radiances and transmittances are then bilinearly interpolated to VIIRS pixels. In contrast, the RTTOV simulations are performed directly on the sensor pixel level, which are performed here in a stand-alone mode (i.e., outside ACSPO framework). Table 1 shows that in the RTTOV simulations using MERRA-2 data, the global O–M biases under aerosol-free conditions are significantly reduced, and become much closer to zero, especially in the two longwave bands, to -0.087 K at 11 µm, and -0.096 K at 12 µm. The bias also decreases at 8.6 µm, but less dramatically, to -0.391 K. The inconsistency between the 8.6 and 11-12 µm bands has been noted and reported to the RTTOV developers' team. However, as of the time of this writing, the possible causes of this inconsistency remain unclear. In the most transparent 3.7 µm band, the O–M bias even turns slightly positive, leaving no room for the remaining unaccounted physical factors discussed above (all of which are expected to result in negative O– M bias). Interestingly, the RSDs are consistently larger in RTTOV simulations, possibly due to increased noises in pixel-level simulations. Recall that the objective of RTTOV experiments here was to simulate the dust effects on VIIRS BTs for the use in testing the aerosol correction on SST retrieval. Comparison of the relative performance of CRTM and RTTOV is not straightforward with the current experiments, due to the implementation differences. 3.3. Effect of dust aerosols on the O–M BT biases To simulate the effect of dust on sensor BTs, the MERRA-2 aerosol profiles are first interpolated onto the VIIRS clear-sky pixels. Figure 4a shows a global map of MERRA-2 total column dust AOD (0.55 µm) on January 23, 2018. Massive dust outflow can be seen from the deserts of West Africa and the Middle East to the North Atlantic and Arabian Sea, respectively. A relatively weak dust plume is also seen over the Pacific Ocean, which may be transported from the 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215

 Figure 5 shows the O–M biases (calculated with CRTM and MERRA-2) as a function of dust AOD, in the original VIIRS O–M biases, and after correcting for the dust aerosol effect (calculated as the difference between two RTTOV runs, with and without dust). After aerosol correction, the dependence is greatly reduced (i.e., smaller slopes) in all bands, suggesting a statistically significant skill in the MERRA-2 aerosol reanalysis to correct for dust-induced effects on the sensor BTs. The improvement in dependency is however not complete, with all bands under-corrected. This could possibly be remedied by tuning the scaling factor of MERRA- 2 visible AOD to IR AODs (a value of 0.4 is used in this study) in order to maximize the dust correction. The aerosol correction is also not uniform across the different bands, with the 11 µm band having the largest residual aerosol dependency. One possible cause might be the inaccurate spectral optical properties from the Mie calculations, which uses globally invariant dust refractive index and particle size distribution. More work is needed to better understand the cause of the incomplete and non-uniform aerosol correction in the top-of-atmosphere BTs. 3.4. Effect of aerosol correction on SST Two SST products are currently generated in ACSPO: one using global regression (GR; Petrenko et al., 2014) and the other using piecewise regression (PWR; Petrenko et al., 2016) algorithms, respectively. The ACSPO GR SST is calculated using a single set of regression coefficients, trained on a global dataset of matchups, while the PWR derives different regression coefficients in several segments of the SST retrieval domain, which is defined by the SST equation regressors (Petrenko et al., 2016). The GR SST is known to be subject to significant regional and seasonal biases (Merchant et al., 2009), whereas the PWR SST was designed to empirically reduce all such regional and local biases, regardless of their physical causes (e.g., due to residual cloud, globally non-uniform distributions of water vapor and temperature profiles, 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260

 angular dependencies in SST algorithms, etc.), and thus minimize the need for bias corrections in their assimilation into L4 analyses such as CMC. Our visual analyses in the NOAA SST Quality Monitor system (SQUAM;<www.star.nesdis.noaa.gov/sod/sst/squam>/; Dash et al., 2010) suggest that the PWR SST indeed significantly reduces regional biases, including over aerosol-affected areas, associated with Saharan, East Asia, and Saudi Arabian dust outbreaks. In this study, we estimate the skill of MERRA-2 aerosol reanalysis to reduce aerosol-induced biases in the GR SST, and compare it with the current skill provided by the empirical PWR SST. Aerosol-corrected GR SST is re-computed from aerosol-corrected VIIRS BTs, using the same ACSPO GR equation and current operational regression coefficients. (Ideally, the regression coefficients should have been recalculated for "aerosol-free" BTs, to minimize the global satellite minus in situ biases). Therefore, some biases in the aerosol-corrected GR SST may be expected, but the objective is to compare the AOD dependencies in the aerosol-corrected vs. current non-corrected ACSPO GR SSTs, and the empirically-corrected PWR SST. The remaining global biases can be easily removed, if needed, by retraining the GR regression coefficients against the aerosol-corrected BTs. Figure 6 (top panel) shows that the biases of all three SST products follow near-Gaussian distributions. The ACSPO GR SST has a median bias of -0.008 K and RSD of 0.242 K, compared to the bias of 0.074 K and RSD of 0.240 K for the aerosol-corrected GR SST. Note that non-zero biases in both GR SSTs are expected, due to the use of the operational ACSPO coefficients, not specifically optimized for the simulation period. Likewise, a slight degradation of the bias in the aerosol-corrected SST is also possible, because the regression is not retrained on aerosol-free BTs. 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282

 MERRA-2 meteorological and aerosol reanalyses for improving the monitoring of global O–M 305

BT biases and SST retrieval at four IR bands (centered at 3.7, 8.6, 11 and 12 μ m, respectively) of SNPP VIIRS. Based on two weeks' global nighttime data (18-31 January 2018), we showed that the global O–M BT median biases are reduced, in all SST bands, likely due to more accurate water vapor (i.e., total amount and/or vertical placement) in MERRA-2, compared to the NCEP GFS real-time data currently used in ACSPO. The global RSDs for the O–M biases are also reduced, in all VIIRS SST bands. 306 307 308 309 310 311

 Furthermore, accounting for the unwanted dust signal in sensor BTs using stand-alone RTTOV 312

 simulations, further reduces the global O–M BT median biases, RSDs, and dependencies on dust 313

 AOD. Using aerosol-corrected VIIRS BTs in the ACSPO global regression equation leads to 314

 reduced RSD in the aerosol-corrected SST, compared to the non-corrected global regression SST. 315

 More importantly, the aerosol correction greatly reduces the dependence of SST bias on the dust 316

 AOD, and does it even more effectively than the current ACSPO piecewise regression SST. Our 317

 findings suggest that MERRA-2 meteorological fields are a viable alternative to NCEP GFS real-318

 time data for ACSPO reprocessing. Also, the MERRA-2 aerosol reanalysis demonstrates some 319

 potential skill for reducing dust-related BT and SST biases, but this requires more work and 320

 analyses, before it can be directly explored and fully implemented in the ACSPO system. 321

322 Acknowledgements

 We thank M. Bosilovich, R. A. Lucchesi and J. V. Ardizzone for assistance with MERRA-2, and 323

 J. Hocking for help with RTTOV. MERRA-2 is obtained online from 324

 [https://goldsmr5.sci.gsfc.nasa.gov/data/MERRA2/](https://goldsmr5.sci.gsfc.nasa.gov/data/MERRA2). RTTOV source code is obtained from 325

 EUMETSAT NWP SAF ([https://www.nwpsaf.eu/site/software/rttov/](https://www.nwpsaf.eu/site/software/rttov)). This manuscript benefits 326

 from discussions with X. Liang and B. Petrenko. We also thank two anonymous reviewers for 327

 their comments and suggestions. The views, opinions, and findings contained in this paper are 328

 those of the authors and should not be construed as an official NOAA or US Government 329

 position, policy, or decision. The authors declare no conflicts of interest. 330

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- 439

440 Table 1. Global statistics of the nighttime O-M BT biases in SNPP VIIRS SST bands M12 and

	$M12/3.7 \mu m$		$M14/8.6 \mu m$		$M15/11 \mu m$		$M16/12 \mu m$	
	Median	RSD	Median	RSD	Median	RSD	Median	RSD
CRTM+GFS	-0.145	0.308	-0.714	0.458	-0.575	0.485	-0.728	0.583
CRTM+MERRA2	-0.114	0.305	-0.626	0.441	-0.464	0.464	-0.599	0.551
RTTOV+MERRA2	$+0.003$	0.370	-0.391	0.589	-0.087	0.626	-0.096	0.779

441 M14-16, based on aerosol-free simulations for 18-31 January 2018.

445 Figure 1. Global histograms of nighttime O-M BT biases in the SNPP VIIRS band M12 (3.7 µm)

based on three experiments for the study period of 18-31 January 2018. The corresponding 446

median biases and RSDs for all four SST bands (M12, and M14-16) are summarized in Table 1. 447

 Figure 2. Time series of the global nighttime O-M BT median biases (top) and RSDs (bottom) in the four SNPP VIIRS IR SST bands based on ACSPO CRTM simulations using GFS (triangles; broken lines) and MERRA-2 (squares; solid lines) profiles. Each symbol represents daily global statistics and the horizontal lines represent averages over the study period. 449 450 451 452

Figure 3. ACSPO/CRTM simulated BT difference in the SNPP VIIRS band M12 (3.7 μ m) using MERRA-2 versus GFS meteorological profiles, as a function of the difference in column total precipitable water vapor (TPWV) between MERRA-2 and GFS (a); Same as (a), but as a function of latitude (b); The TPWV difference between MERRA-2 and GFS as a function of latitude (c) and longitude (d). All results are derived from the study period of 18-31 January 2018. 454 455 456 457 458 459

464 Figure 4. MERRA-2 total column dust AOD at 0.55 µm on 23 January 2018 (a); Vertical height

 (H_m) associated with the maximum dust AOD (b); Density plot of dust AOD and H_m (c); 465

RTTOV simulated increments in the nighttime BT due to dust in the 3.7 μ m band (d). 466

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468 Figure 5. ACSPO O–M BT biases as a function of dust AOD for global nighttime SNPP VIIRS data from 18-31 January 2018, based on CRTM simulations using MERRA-2 profiles, without (blue) and with (red) dust aerosol correction. 469 470

472 Figure 6. Global histograms of ACSPO SST biases with respect to the CMC L4 (top); Changes in the SST median biases as a function of dust AOD (bottom). Three SST products are considered: ACSPO global regression SST (GR SST), GR SST derived from aerosol-corrected 473 474

475 VIIRS BTs, and ACSPO piecewise regression SST (PWR SST), all based on global nighttime 476 data of SNPP VIIRS from 18-31 January 2018.

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478 Figure 7. Time series of the RSDs of three SST products shown in Figure 6.

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