- 1 Exploring MERRA-2 global meteorological and aerosol reanalyses for improved SST retrieval
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- 3 Xin Xi<sup>1,2</sup>, Alexander Ignatov<sup>1</sup>, Xinjia Zhou<sup>1,2</sup>
- <sup>4</sup> <sup>1</sup>NOAA STAR, NCWCP, College Park, MD 20740, USA
- <sup>5</sup> <sup>2</sup>CSU CIRA, Fort Collins, CO 80523, USA
- 6
- 7 Corresponding author:
- 8 Xin Xi (xin.xi30@gmail.com)
- 9 5830 University Research Court
- 10 College Park, MD 20740

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14 Abstract

15 This study explores the use of Modern-Era Retrospective analysis for Research and 16 Applications version 2 (MERRA-2) global meteorological and aerosol reanalysis for improving 17 the simulation of satellite sensor infrared brightness temperatures (BTs), and the retrieval of sea 18 surface temperature (SST) in the NOAA Advanced Clear Sky Processor for Ocean (ACSPO) 19 system, with a particular focus on ACSPO long-term reprocessing efforts. Using MERRA-2 20 upper-air pressure, temperature, and humidity profiles, rather than the currently used NCEP 21 Global Forecast System (GFS) real-time data, as input into the Community Radiative Transfer 22 Model (CRTM), reduces the global clear-sky observation-minus-model (O–M) BT biases in the 23 infrared bands centered at 3.7, 8.6, 11, and 12 µm of the Visible Infrared Imaging Radiometer 24 Suite onboard Suomi-NPP. The improvements are largely due to more accurate water vapor 25 (total amount and/or vertical distribution) at low latitudes in MERRA-2, which brings the 26 modeled BTs closer to observations. Additional stand-alone simulations, performed using 27 RTTOV model and MERRA-2 aerosol profiles, further reduce the ACSPO global O–M BT 28 biases and the dependence of O–M BT biases on the dust aerosol optical depth. The potential 29 skill of MERRA-2 aerosol reanalysis for reducing dust-caused regional biases in the ACSPO 30 global regression SST product is also demonstrated. Preliminary results suggest that MERRA-2 31 is a viable alternative to NCEP GFS for ACSPO reprocessing efforts.

32 1. Introduction

33 Sea surface temperature (SST) is routinely retrieved from brightness temperatures (BTs) 34 measured by a number of passive infrared (IR) spaceborne sensors in the atmospheric window 35 regions. SST retrieval typically uses multi-channel or non-linear SST (MC/NLSST) regression 36 algorithms to account for the atmospheric attenuation by water vapor. Customarily, split-window 37 bands centered at 11 and 12 µm are used, often in conjunction with the more transparent 38 shortwave band centered at 3.7 µm (e.g., Prabhakara et al., 1974; McMillin, 1975; McMillin and 39 Crosby, 1984). Other window bands (e.g., centered at 8.6 µm) may also be included, when 40 available. 41 At NOAA, the Advanced Clear-Sky Processor for Ocean (ACSPO) enterprise system is 42 employed to produce SSTs from several polar-orbiting and geostationary sensors. As part of its 43 processing, ACSPO calculates the expected top-of-atmosphere (TOA) clear-sky sensor BTs 44 using the Community Radiative Transfer Model (CRTM), in conjunction with first-guess SST 45 (currently, Canadian Meteorological Center (CMC) daily L4 analysis; Brasnett and Surcel-Colan, 46 2016) and atmospheric profiles of pressure, temperature, water vapor, and ozone (currently, from 47 the National Centers for Environmental Prediction Global Forecast System, NCEP GFS) (e.g., 48 Liang et al., 2009). The observation-minus-model (O–M) BT biases are used to monitor the SST 49 bands of different sensors for stability and cross-platform consistency, validate the CRTM and its 50 inputs, improve ACSPO clear-sky mask, and explore physical SST retrieval. Currently, no 51 aerosol absorption or scattering is included in the CRTM employed in ACSPO, which may be 52 one of several factors contributing to the persistent cold O–M biases of several tenths of a Kelvin, 53 depending on sensors and bands, as observed in the NOAA Monitoring of IR Clear-sky 54 Radiances over Ocean for SST (MICROS) system (Liang and Ignatov, 2011).

| 55 | Several sensitivity studies have been conducted in the past, towards more accurate modeling of      |
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| 56 | sensor BTs in ACSPO. Liang et al. (2009) tuned the CRTM and optimized in-pixel CRTM                 |
| 57 | calculations for satellite sensors with resolutions from 1-4 km, using low-resolution first-guess   |
| 58 | CMC SST (0.2°) and GFS profiles (1°). Saha et al. (2012) compared several SST L4 analyses as        |
| 59 | input into CRTM and selected the CMC product, which best captured the spatial variability in        |
| 60 | satellite derived SSTs and was most stable in time. Liang et al. (2017) examined the sensitivity    |
| 61 | of modeled BTs to the use of European Center for Medium-Range Weather Forecasts (ECMWF)             |
| 62 | profiles, instead of NCEP GFS, and found that ECMWF data reduced the global O-M BT biases.          |
| 63 | Both ECMWF and GFS are real-time data, whereas for the ACSPO reanalysis (e.g., Ignatov et           |
| 64 | al., 2016), long-term uniform data sets are needed, which preferably include aerosol first-guess    |
| 65 | fields to explore including aerosol in BT simulations, and aerosol corrections to SST.              |
| 66 | Following the El Chichon (1982) and Mt. Pinatubo (1991) eruptions, additions to the                 |
| 67 | MC/NLSST formulations have been explored to correct for the effect of sulfate aerosols, whose       |
| 68 | absorption spectra differ from those of water vapor (Griggs, 1985; Reynolds, 1993; Merchant et      |
| 69 | al., 1999). In the presence of IR-absorbing aerosols, the deviations of satellite BTs from SST are  |
| 70 | approximately linearly related to two factors: the slant path IR aerosol optical depth (AOD), and   |
| 71 | the temperature contrast between the aerosol layer and sea surface (Griggs, 1985). While the        |
| 72 | slant path AOD at visible wavelengths may predict, to some degree, the effect of volcanic           |
| 73 | aerosols on the BTs and derived SST, due to the relatively well known and nearly fixed vertical     |
| 74 | distribution in the stratosphere, it may be less effective for predicting the BT and SST biases due |
| 75 | to tropospheric aerosols, because of the highly variable optical properties and vertical            |
| 76 | distributions (May et al., 1992; Nalli and Stowe, 2002). In particular, wind-blown dust from the    |
| 77 | deserts of Africa, Arabian Peninsula, and Asia is often responsible for persistent seasonal SST     |

biases over the North Atlantic, Arabian Sea, and West Pacific (Diaz et al., 2001). Through
radiative transfer simulations, Merchant et al. (2006) derived a Saharan dust index from the BT
differences in the four IR bands used for SST retrieval. The SDI has shown some skill to account
for the effects of dust amount and vertical profile on the SST bias, thus improving the SST
retrieval over the North Atlantic. Note that the SDI can only be derived from nighttime data, due
to solar contamination in the 3.7 µm band (Good et al., 2012; Le Borgne et al., 2013), and its
application with daytime data requires additional efforts.

85 Previous simulations of dust effects on BTs and SSTs were limited by the use of idealized 86 configurations of dust concentrations and vertical placement. Thanks to recent advances in 87 aerosol observations and modeling, several weather prediction centers have developed global 88 aerosol reanalyses, in conjunction with conventional meteorological fields, such as the Modern-89 Era Retrospective analysis for Research and Applications version 2 (MERRA-2) (Buchard et al., 90 2017; Gelaro et al., 2017). These newly available data have the potential for improving satellite 91 BT simulations for a globally representative range of meteorological and aerosol conditions. 92 This study explores the utility of MERRA-2 global meteorological reanalysis, instead of NCEP 93 GFS, for improving the simulation of sensor BTs under aerosol-free conditions, for the use in 94 ACSPO reprocessing efforts. The effect of MERRA-2 meteorological profiles on ACSPO global 95 O–M BT biases is presented based on global nighttime data from the Visible and Infrared 96 Imaging Radiometer Suite (VIIRS) onboard the Suomi-NPP satellite. In addition, we 97 preliminarily evaluate the skill of MERRA-2 aerosol reanalysis to bring modeled BTs closer to 98 observations. Dust aerosol attenuations of VIIRS BTs based on stand-alone RTTOV model 99 simulations are used to correct the sensor BTs, and subsequently, the ACSPO global regression 100 SST. This strategy differs from past studies in that the aerosol correction based on radiative

transfer simulations is applied directly to sensor BTs, thereby minimizing possible changes in the
 SST equations and potentially enabling aerosol correction for both daytime and nighttime SSTs.

103 2. Approach

104 MERRA-2 consists of a collection of land, atmosphere, and aerosol products for the modern

satellite era generated by the NASA Goddard Earth Observing System version 5 data

106 assimilation system (GEOS-5), which assimilates meteorological observations and bias-corrected

107 AOD observations from satellites and ground stations (Gelaro et al., 2017). The MERRA-2

108 meteorological fields are available at 42 pressure levels from 1000 to 0.1 hPa every 3 hours at

 $109 \quad 0.5^{\circ} \times 0.625^{\circ}$  resolution. The MERRA-2 aerosol fields are provided at 72 model layers, and

110 converted to the same pressure levels as the meteorological fields. Compared to MERRA-2, the

111 NCEP GFS meteorological data are available at 26 pressure levels from 1000 to 10 hPa every 6

hours at  $1^{\circ} \times 1^{\circ}$  resolution. The pressure, temperature and humidity profiles from MERRA-2 and

113 GFS are used as input into two separate simulations using ACSPO (v2.50) to calculate the VIIRS

114 BTs in the 3.7, 8.6, 11, and 12 μm bands. Both simulations use the CMC L4 as the first-guess

115 SST. Results shown in this study are based on simulations using two weeks' global nighttime

116 data between 18–31 January 2018.

117 Currently, the CRTM in ACSPO is not capable of incorporating external information of aerosol

118 optical properties and vertical profiles in BT simulations. Hence, the RTTOV model (v12.1) is

119 used to evaluate the potential of MERRA-2 aerosol reanalysis for improving sensor BT

120 simulations and SST retrieval under dusty conditions. RTTOV has been used in previous studies

121 to simulate the BT responses to aerosol loadings for a number of sensors (Merchant et al., 2006;

122 Good et al., 2012; Le Borgne et al., 2013). Here two RTTOV experiments are conducted for the

123 VIIRS clear-sky pixels under aerosol-free and dust-affected conditions, respectively. During the

124 study period of 18–31 January 2018, large amounts of dust aerosol are blown off the deserts of 125 West Africa to the Atlantic Ocean, and off Arabian Peninsula to the Red Sea, Persian Gulf, and 126 Arabian Sea. The difference between the two RTTOV simulations is calculated as the dust-127 induced BT changes, which are used for aerosol correction to sensor BTs. To simulate the dust 128 effect on sensor BTs, RTTOV requires profiles of dust absorption and scattering coefficients and 129 backscatter parameters. These profiles are generated in three steps: 1) the MERRA-2 dust mixing 130 ratios at five size bins are multiplied by the extinction coefficients at 0.55 µm (which are 131 provided by the GEOS-5 model) and added to generate the profile of visible (0.55  $\mu$ m) AOD; 2) 132 The visible AOD is converted to IR AOD at 10  $\mu$ m, as AOD<sub>10µm</sub> = 0.4×AOD<sub>0.55µm</sub>. The ratio of 133 IR to visible AOD ranged from 0.2 to 0.6, and was found to decrease with the distance away 134 from the source area, as the coarse mode particles are preferentially removed during atmospheric 135 transport (Pierangelo et al., 2004). A ratio of 0.4 is used in this study for the mid-range transport 136 to Cape Verde islands, where dust causes strong attenuation of sensor BTs. 3) The extinction 137 AOD at 10 µm is further separated to the absorption and scattering AODs at VIIRS IR bands, 138 based on Mie-computed dust absorption and scattering properties (Xi and Sokolik, 2012). The 139 assumption of dust particles as spheres in Mie calculations has negligible effects in the IR 140 wavelengths (Yang et al., 2007). The Mie calculations use refractive index and size distribution 141 from the Optical Properties of Aerosols and Clouds (OPAC) database (Hess et al., 1998). The 142 aerosol backscatter parameter is then calculated from the Mie scattering phase function. 143 3. Results 144 While implementing CRTM in ACSPO, Liang et al. (2009) made various improvements to 145 minimize the global O-M BT biases, which are measured by mean/median biases and

146 conventional/robust standard deviations (SD/RSD). Conventional statistics are useful for

| 147 | evaluating the overall performance of ACSPO products, but may be sensitive to outliers in               |
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| 148 | satellite data (due to e.g., residual cloud or sensor malfunction). In contrast, the robust statistics  |
| 149 | are less affected by outliers, and may be better suited to evaluate the performance of the forward      |
| 150 | model or retrieval method (Merchant et al., 1999; Liang and Ignatov, 2011). If the O-M BT               |
| 151 | biases have near Gaussian distributions (see Fig. 1), the conventional and robust statistics are        |
| 152 | close to each other. In this study, only the robust statistics will be discussed. The results will be   |
| 153 | shown based on the entire simulation period, unless noted otherwise.                                    |
| 154 | 3.1. Effects of MERRA-2 vs. GFS on O-M BT biases in aerosol-free conditions                             |
| 155 | Table 1 shows that using GFS profiles in ACSPO CRTM yields global O-M median biases of -                |
| 156 | 0.145, -0.714, -0.575 and -0.728 K in the VIIRS bands centered at 3.7, 8.6, 11 and 12 $\mu m$ ,         |
| 157 | respectively. Possible causes for the cold O-M biases in all bands are either increased "M" (due        |
| 158 | to e.g., insufficient amount of water vapor and/or its inaccurate placement in the atmosphere,          |
| 159 | missing aerosols in GFS, or the use of foundation CMC L4, rather than the cooler skin SST, as           |
| 160 | input to the forward model), or decreased "O" (due to e.g., residual cloud in VIIRS observations)       |
| 161 | (Liang and Ignatov, 2011). The fact that the magnitude of the O–M bias is closer to zero in the         |
| 162 | most transparent 3.7 $\mu$ m band and much larger in the less transparent longwave bands, suggests a    |
| 163 | possible "dry bias" in the GFS atmospheric profiles (or its not fully accurate vertical distribution,   |
| 164 | e.g., placing it lower in the atmosphere, closer to the warm sea surface).                              |
| 165 | Replacing GFS with MERRA-2 as input to ACSPO reduces the O-M biases and brings them                     |
| 166 | closer to zero, in all SST bands. As shown in Table 1, the bias reduction is smallest at 3.7 $\mu m$ (- |
| 167 | 0.031K). The improvement becomes progressively larger in the more absorbing bands at 8.6, 11,           |
| 168 | and 12 $\mu m$ (-0.088, -0.111, and -0.129 K, respectively). The RSDs are also reduced (by -0.043, -    |
| 169 | 0.124, -0.141, and -0.190 K respectively, in root-mean-square sense). Figure 2 further shows that       |
|     |   |

the improvements in the O–M median biases and RSDs are consistent in all bands and for all
days during the study period, after replacing GFS with MERRA-2 data.

172 To better understand the likely underlying causes of the O–M bias improvements, Figure 3a 173 shows a highly linear relationship between the changes in the O–M biases (at the  $3.7 \mu m$  band) 174 and the difference in total precipitable water vapor (TPWV) between MERRA-2 and GFS. Note 175 that for clarity, the O–M biases are binned by the water vapor difference. As expected, smaller 176 column water vapor amounts in MERRA-2 result in a warmer bias, and larger water vapor 177 amounts in a colder bias. Interestingly, the fit line in Fig. 3a does not go through the origin, 178 suggesting that different vertical distributions of water vapor in MERRA-2 and GFS may also 179 contribute to the simulated BT differences. Fig. 3b shows that the reduction in O–M biases is 180 most prominent in the tropical region near the equator, where IR absorption by water vapor is 181 strongest and the water vapor profile more critically affects the simulated BTs. This is consistent 182 with the larger amount of TPWV in MERRA-2 at low- and mid-latitudes, as shown in Fig. 3c. 183 Figure 3d further reveals that the TPWV difference is larger at the Western Hemisphere. 184 However, the TPWV difference between MERRA-2 and GFS near the equator is not as strong as 185 at mid-latitudes (e.g., 30°S), which implies that at the equator, the difference in water vapor 186 vertical distribution may also contribute to the peak in the simulated BT difference using 187 MERRA-2 vs. GFS fields. Therefore, the improvements in the O–M bias statistics are likely due 188 to the fact that MERRA-2 captures the global distribution of water vapor, both geographical and 189 vertical, better than NCEP GFS. Such differences are probably better seen and captured in the 190 absorption bands, but our analyses suggest that they are large enough, to cause statistically 191 significant improvements even in the window bands employed for SST retrieval. 192 3.2. Effect of using RTTOV vs. CRTM on O–M BT biases in aerosol-free conditions

193 In ACSPO, CRTM simulations are performed over the GFS or MERRA-2 grids, and the 194 simulated atmospheric radiances and transmittances are then bilinearly interpolated to VIIRS 195 pixels. In contrast, the RTTOV simulations are performed directly on the sensor pixel level, 196 which are performed here in a stand-alone mode (i.e., outside ACSPO framework). Table 1 197 shows that in the RTTOV simulations using MERRA-2 data, the global O–M biases under 198 aerosol-free conditions are significantly reduced, and become much closer to zero, especially in 199 the two longwave bands, to -0.087 K at 11 µm, and -0.096 K at 12 µm. The bias also decreases at 200 8.6  $\mu$ m, but less dramatically, to -0.391 K. The inconsistency between the 8.6 and 11-12  $\mu$ m 201 bands has been noted and reported to the RTTOV developers' team. However, as of the time of 202 this writing, the possible causes of this inconsistency remain unclear. In the most transparent 3.7 203 µm band, the O–M bias even turns slightly positive, leaving no room for the remaining 204 unaccounted physical factors discussed above (all of which are expected to result in negative O-205 M bias). Interestingly, the RSDs are consistently larger in RTTOV simulations, possibly due to 206 increased noises in pixel-level simulations. Recall that the objective of RTTOV experiments here 207 was to simulate the dust effects on VIIRS BTs for the use in testing the aerosol correction on 208 SST retrieval. Comparison of the relative performance of CRTM and RTTOV is not 209 straightforward with the current experiments, due to the implementation differences. 210 3.3. Effect of dust aerosols on the O–M BT biases 211 To simulate the effect of dust on sensor BTs, the MERRA-2 aerosol profiles are first interpolated 212 onto the VIIRS clear-sky pixels. Figure 4a shows a global map of MERRA-2 total column dust 213 AOD (0.55  $\mu$ m) on January 23, 2018. Massive dust outflow can be seen from the deserts of West 214 Africa and the Middle East to the North Atlantic and Arabian Sea, respectively. A relatively 215 weak dust plume is also seen over the Pacific Ocean, which may be transported from the

| 216 | Taklimakan and Gobi Deserts of East Asia. Although Asian dust is associated with lower AODs,        |
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| 217 | the plume is lifted to higher altitudes (i.e., above 6 km) compared to African dust, as seen from   |
| 218 | the map of vertical height where dust AOD reaches maximum, $H_m$ (Fig. 4b).                         |
| 219 | Figure 4a and 4b also show that the low dust AODs (<0.02) are associated with highly variable       |
| 220 | $H_m$ , ranging from close to the surface to the upper troposphere (>8 km). The density plot in     |
| 221 | Figure 4c reveals that these low AOD values cover the majority (>80%) of ocean regions. In          |
| 222 | contrast, the large AOD pixels, mostly located at the North Atlantic, fall within much tighter      |
| 223 | vertical heights below 4 km. Given that no aerosol height information is currently being            |
| 224 | assimilated in MERRA-2, the dust vertical profile may be uncertain, especially for the low          |
| 225 | AODs, which could be model noises. Therefore, the dust-affected RTTOV simulation is                 |
| 226 | performed only for the pixels with a column AOD larger than 0.02 (0.55 $\mu$ m), in order to reduce |
| 227 | computational cost and minimize the influence of the data noises from MERRA-2.                      |
| 228 | The dust-induced BT changes in VIIRS IR bands are calculated as the differences between the         |
| 229 | dust-affected and aerosol-free RTTOV experiments. An example of the BT change in the 3.7 $\mu$ m    |
| 230 | band is shown in Fig. 4d. The global average BT reduction due to dust is -0.07 K in this band.      |
| 231 | However, the BT reductions are not uniform in space, reaching -0.9 K over the North Atlantic        |
| 232 | and some parts of the Arabian Sea, due to the attenuation by strong dust load. In comparison, the   |
| 233 | BT changes are smaller over the Pacific, where Asian dust is greatly reduced after long range       |
| 234 | transport from inland sources (although it's lifted to higher altitudes and therefore potentially   |
| 235 | may cause more cooling in the BTs). There are also small BT reductions over the Black and           |
| 236 | Caspian Seas, which may be affected by dust transport from the deserts of Central Asia (Xi and      |
| 237 | Sokolik, 2015; Xi and Sokolik, 2016).   |

238 Figure 5 shows the O–M biases (calculated with CRTM and MERRA-2) as a function of dust 239 AOD, in the original VIIRS O–M biases, and after correcting for the dust aerosol effect 240 (calculated as the difference between two RTTOV runs, with and without dust). After aerosol 241 correction, the dependence is greatly reduced (i.e., smaller slopes) in all bands, suggesting a 242 statistically significant skill in the MERRA-2 aerosol reanalysis to correct for dust-induced 243 effects on the sensor BTs. The improvement in dependency is however not complete, with all 244 bands under-corrected. This could possibly be remedied by tuning the scaling factor of MERRA-245 2 visible AOD to IR AODs (a value of 0.4 is used in this study) in order to maximize the dust 246 correction. The aerosol correction is also not uniform across the different bands, with the 11  $\mu$ m 247 band having the largest residual aerosol dependency. One possible cause might be the inaccurate 248 spectral optical properties from the Mie calculations, which uses globally invariant dust 249 refractive index and particle size distribution. More work is needed to better understand the 250 cause of the incomplete and non-uniform aerosol correction in the top-of-atmosphere BTs. 251 3.4. Effect of aerosol correction on SST 252 Two SST products are currently generated in ACSPO: one using global regression (GR; 253 Petrenko et al., 2014) and the other using piecewise regression (PWR; Petrenko et al., 2016) 254 algorithms, respectively. The ACSPO GR SST is calculated using a single set of regression 255 coefficients, trained on a global dataset of matchups, while the PWR derives different regression 256 coefficients in several segments of the SST retrieval domain, which is defined by the SST 257 equation regressors (Petrenko et al., 2016). The GR SST is known to be subject to significant 258 regional and seasonal biases (Merchant et al., 2009), whereas the PWR SST was designed to 259 empirically reduce all such regional and local biases, regardless of their physical causes (e.g., 260 due to residual cloud, globally non-uniform distributions of water vapor and temperature profiles,

261 angular dependencies in SST algorithms, etc.), and thus minimize the need for bias corrections in 262 their assimilation into L4 analyses such as CMC. Our visual analyses in the NOAA SST Quality 263 Monitor system (SQUAM; www.star.nesdis.noaa.gov/sod/sst/squam/; Dash et al., 2010) suggest 264 that the PWR SST indeed significantly reduces regional biases, including over aerosol-affected 265 areas, associated with Saharan, East Asia, and Saudi Arabian dust outbreaks. In this study, we 266 estimate the skill of MERRA-2 aerosol reanalysis to reduce aerosol-induced biases in the GR 267 SST, and compare it with the current skill provided by the empirical PWR SST. 268 Aerosol-corrected GR SST is re-computed from aerosol-corrected VIIRS BTs, using the same 269 ACSPO GR equation and current operational regression coefficients. (Ideally, the regression 270 coefficients should have been recalculated for "aerosol-free" BTs, to minimize the global 271 satellite minus in situ biases). Therefore, some biases in the aerosol-corrected GR SST may be 272 expected, but the objective is to compare the AOD dependencies in the aerosol-corrected vs. 273 current non-corrected ACSPO GR SSTs, and the empirically-corrected PWR SST. The 274 remaining global biases can be easily removed, if needed, by retraining the GR regression 275 coefficients against the aerosol-corrected BTs. 276 Figure 6 (top panel) shows that the biases of all three SST products follow near-Gaussian 277 distributions. The ACSPO GR SST has a median bias of -0.008 K and RSD of 0.242 K, 278 compared to the bias of 0.074 K and RSD of 0.240 K for the aerosol-corrected GR SST. Note 279 that non-zero biases in both GR SSTs are expected, due to the use of the operational ACSPO 280 coefficients, not specifically optimized for the simulation period. Likewise, a slight degradation 281 of the bias in the aerosol-corrected SST is also possible, because the regression is not retrained 282 on aerosol-free BTs.

| 283 | In contrast, the RSD in aerosol-corrected GR SST improve by -0.03 K, in root-mean-square           |
|-----|--|
| 284 | sense. As shown in Fig. 7, the RSD of aerosol-corrected SST may deteriorate on certain days        |
| 285 | (such as the less dusty period of 30-31 January 2018), but over the two-week period, is reduced    |
| 286 | compared to the non-corrected SST. This result suggests some potential skill of the MERRA-2        |
| 287 | aerosol reanalysis to correct the sensor BTs and SSTs. Considering the fact that all current       |
| 288 | aerosol analyses, including MERRA-2, are based on assimilation of AOD at visible wavelengths,      |
| 289 | and a lack of any direct assimilation of aerosol vertical distribution information which strongly  |
| 290 | affects the IR BTs and SSTs, we consider this a strong evidence of the utility of MERRA-2          |
| 291 | aerosol reanalysis to predict the dust effects in the SST IR bands.                                |
| 292 | It is also interesting to compare the aerosol-corrected GR SST with the PWR SST, which has a       |
| 293 | bias of 0.036 K and RSD of 0.204 K, respectively. As expected, the RSD of PWR SST is               |
| 294 | significantly smaller than for both GR SSTs, prior to aerosol correction and after it, because the |
| 295 | PWR attempts to reduce all biases in the SST product, including those caused by aerosols.          |
| 296 | Another informative and relevant comparison of the skill of the physical aerosol-corrected versus  |
| 297 | empirical PWR SSTs, is the dependencies of the corresponding global mean biases on dust AOD,       |
| 298 | as shown in Fig. 6b. Although the PWR significantly reduces both magnitudes of the median          |
| 299 | biases and their dependencies on dust AOD, the aerosol-corrected GR SST provides the least         |
| 300 | dependency for both first and second moments of the distributions shown in Fig. 6b and 7. This     |
| 301 | suggests that applying the PWR regression, on the top of the aerosol-corrected GR SST, may         |
| 302 | further improve the accuracy of ACSPO SST products.  |
| 303 | 4. Conclusions   |
| 304 | To facilitate the NOAA ACSPO SST reprocessing from a number of sensors, we explored the            |

305 MERRA-2 meteorological and aerosol reanalyses for improving the monitoring of global O–M

306 BT biases and SST retrieval at four IR bands (centered at 3.7, 8.6, 11 and 12 μm, respectively) of 307 SNPP VIIRS. Based on two weeks' global nighttime data (18-31 January 2018), we showed that 308 the global O–M BT median biases are reduced, in all SST bands, likely due to more accurate 309 water vapor (i.e., total amount and/or vertical placement) in MERRA-2, compared to the NCEP 310 GFS real-time data currently used in ACSPO. The global RSDs for the O–M biases are also 311 reduced, in all VIIRS SST bands.

312 Furthermore, accounting for the unwanted dust signal in sensor BTs using stand-alone RTTOV

313 simulations, further reduces the global O-M BT median biases, RSDs, and dependencies on dust

AOD. Using aerosol-corrected VIIRS BTs in the ACSPO global regression equation leads to

315 reduced RSD in the aerosol-corrected SST, compared to the non-corrected global regression SST.

316 More importantly, the aerosol correction greatly reduces the dependence of SST bias on the dust

AOD, and does it even more effectively than the current ACSPO piecewise regression SST. Our

318 findings suggest that MERRA-2 meteorological fields are a viable alternative to NCEP GFS real-

time data for ACSPO reprocessing. Also, the MERRA-2 aerosol reanalysis demonstrates some

320 potential skill for reducing dust-related BT and SST biases, but this requires more work and

analyses, before it can be directly explored and fully implemented in the ACSPO system.

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440 Table 1. Global statistics of the nighttime O-M BT biases in SNPP VIIRS SST bands M12 and

|              | M12/3.7 μm |       | M14/8.6 µm |       | M15/11 μm |       | M16/12 μm |       |
|--------------|------------|-------|------------|-------|-----------|-------|-----------|-------|
|              | Median     | RSD   | Median     | RSD   | Median    | RSD   | Median    | RSD   |
| CRTM+GFS     | -0.145     | 0.308 | -0.714     | 0.458 | -0.575    | 0.485 | -0.728    | 0.583 |
| CRTM+MERRA2  | -0.114     | 0.305 | -0.626     | 0.441 | -0.464    | 0.464 | -0.599    | 0.551 |
| RTTOV+MERRA2 | +0.003     | 0.370 | -0.391     | 0.589 | -0.087    | 0.626 | -0.096    | 0.779 |

441 M14-16, based on aerosol-free simulations for 18-31 January 2018.



445 Figure 1. Global histograms of nighttime O-M BT biases in the SNPP VIIRS band M12 (3.7 μm)

based on three experiments for the study period of 18-31 January 2018. The corresponding

447 median biases and RSDs for all four SST bands (M12, and M14-16) are summarized in Table 1.



Figure 2. Time series of the global nighttime O-M BT median biases (top) and RSDs (bottom) in
the four SNPP VIIRS IR SST bands based on ACSPO CRTM simulations using GFS (triangles;
broken lines) and MERRA-2 (squares; solid lines) profiles. Each symbol represents daily global
statistics and the horizontal lines represent averages over the study period.



Figure 3. ACSPO/CRTM simulated BT difference in the SNPP VIIRS band M12 (3.7 μm) using
MERRA-2 versus GFS meteorological profiles, as a function of the difference in column total
precipitable water vapor (TPWV) between MERRA-2 and GFS (a); Same as (a), but as a
function of latitude (b); The TPWV difference between MERRA-2 and GFS as a function of
latitude (c) and longitude (d). All results are derived from the study period of 18-31 January
2018.



464 Figure 4. MERRA-2 total column dust AOD at 0.55 μm on 23 January 2018 (a); Vertical height

465  $(H_m)$  associated with the maximum dust AOD (b); Density plot of dust AOD and  $H_m$  (c);

466 RTTOV simulated increments in the nighttime BT due to dust in the 3.7 μm band (d).

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468 Figure 5. ACSPO O–M BT biases as a function of dust AOD for global nighttime SNPP VIIRS
469 data from 18-31 January 2018, based on CRTM simulations using MERRA-2 profiles, without
470 (blue) and with (red) dust aerosol correction.



472 Figure 6. Global histograms of ACSPO SST biases with respect to the CMC L4 (top); Changes
473 in the SST median biases as a function of dust AOD (bottom). Three SST products are
474 considered: ACSPO global regression SST (GR SST), GR SST derived from aerosol-corrected

VIIRS BTs, and ACSPO piecewise regression SST (PWR SST), all based on global nighttime
data of SNPP VIIRS from 18-31 January 2018.



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478 Figure 7. Time series of the RSDs of three SST products shown in Figure 6.

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