

1 **Evaluating land surface phenology from the Advanced Himawari Imager using**
2 **observations from MODIS and the Phenological Eyes Network**

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34 Abstract

35 The Advanced Himawari Imager (AHI) onboard the recently launched next generation geostationary
36 satellite, Himawari-8, provides an opportunity to improve Land Surface Phenology (LSP) detections over
37 the Asia-Pacific region. In this paper, we detected four phenological transition dates (PTDs) using the
38 three-day Two-band Enhanced Vegetation Index (EVI2) time series from AHI based on the Hybrid
39 Piecewise Logistic Model-Land Surface Phenology Detection (HPLM-LSPD) algorithm. The four PTDs
40 are Start of Spring (SOS), End of Spring (EOS), Start of Fall (SOF) and End of Fall (SOF). We evaluated
41 the four AHI-derived PTDs against those detected using eight-day EVI2 time series from the Moderate
42 Resolution Imaging Spectroradiometer (MODIS) onboard the polar-orbiting satellite Terra, and three-day
43 Green Chromatic Coordinate (GCC) time series from the Phenological Eyes Network (PEN) at six sites in
44 central and northern Japan. The evaluation was performed by conducting regression analyses, and
45 calculating root mean square difference (RMSD) and bias between satellite- and PEN-derived PTDs. First,
46 the difference in the spatial variations of SOS and EOF timing between naturally vegetated areas, and
47 urban areas and croplands indicates the anthropogenic footprints on LSP. Second, the RMSD of either
48 AHI PTDs or MODIS PTDs against PEN PTDs were higher in the fall (i.e., SOF and EOF) than those in
49 spring (i.e., SOS and EOS). Third, the later EOS and earlier SOF derived from satellite EVI2 relative to
50 those derived from PEN GCC might be caused by the difference in the sensitivity of GCC and EVI2 to
51 the increases in leaf area index (LAI) over high-LAI canopies. Fourth, the higher temporal resolution of
52 AHI EVI2 only helped reduce the RMSD during spring compared to the RMSD for MODIS. In contrast,
53 the RMSD for AHI PTDs and MODIS PTDs were comparable in fall. Finally, the between-sensor
54 correlation in the spatiotemporal variability of the four PTDs was higher for SOS and EOF than those for
55 EOS and SOF.

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58 **Key words:** Geostationary satellites, AHI, MODIS, Land surface phenology, Phenological Eyes Network.

59

60 1. Introduction

61 Land surface phenology (LSP) refers to the seasonal changes in remotely sensed greenness over
62 vegetated land surfaces (de Beurs & Henebry 2005). LSP exerts a strong control over many important
63 ecosystem processes such as carbon, water and nutrients cycling (Richardson et al. 2013), and is a
64 sensitive indicator of both climatic and anthropogenic changes (Zhang et al. 2004b; de Beurs & Henebry
65 2008; Richardson et al. 2013). Therefore, LSP has been widely detected from polar-orbiting satellites
66 such as the Advanced Very High Resolution Radiometer (AVHRR) and the Moderate Resolution Imaging
67 Spectroradiometer (MODIS), at regional and global scales based on the seasonal dynamics of greenness
68 indices such as Normalized Difference Vegetation Index (NDVI) and Enhanced vegetation index (EVI)
69 (de Beurs & Henebry 2005; Ganguly et al. 2010; Zhang et al. 2018a). Although polar-orbiting satellites
70 are able to provide daily observations with global coverage, frequent cloudy conditions can obstruct the
71 accurate characterizations of LSP, particularly in cloud-prone regions (Zhang et al. 2006, 2017; Fensholt
72 et al. 2007). For example, less than 10% of AVHRR observations over North America were cloud-free for
73 any given month during 1982-2016 (Zhang, 2015), and MODIS observations in more than 27% of the
74 Earth's land surface could be consecutively affected by clouds for more than 16 days during vegetation
75 growing seasons (Zhang et al., 2006). Prolonged cloudy conditions during vegetation growing seasons
76 could significantly reduce the accuracy of LSP detections (Zhang et al., 2009).

77 Geostationary satellites offer sub-hourly observations, which allow much higher chances to
78 obtain cloud-free observations (Fensholt et al. 2007; Guan et al. 2014; Yan et al. 2016a). The advantage
79 of geostationary satellites has been demonstrated by comparing the data quality of NDVI composites

80 between MODIS and the geostationary Spinning Enhanced Visible and Infrared Imager (SEVIRI) during
81 the rainy season across the cloud-prone West Africa (Fensholt et al., 2007). The results show that cloud-
82 free SEVIRI NDVI composites throughout the 2004 growing season accounted for 87% of the pixels in
83 West Africa while cloudy MODIS NDVI composites occurred at least once in 96% of the pixels in the
84 same region. Further, the LSP detections from SEVIRI and MODIS data were also inter-compared over
85 the cloud-prone Congo Basin (Yan et al., 2016) and results show that SEVIRI is able to reveal more
86 widespread seasonality of tropical rainforests than MODIS does. Currently, only a handful of studies have
87 investigated LSP detections from geostationary satellites and compared them with LSP detections from
88 polar-orbiting satellites (Sobrino et al. 2013; Guan et al. 2014; Yan et al. 2016a). However, such studies
89 were only conducted in Africa while the performance of LSP detections from geostationary satellites is
90 unknown in other continents. Moreover, there is a lack of quantitative comparisons between LSP detected
91 using observations from geostationary and polar-orbiting satellites with ground-based observations as an
92 independent reference.

93 Evaluating the uncertainties in LSP detections is challenging because LSP is different from
94 ground-based phenological observation in scale. Previous studies on LSP evaluations have mainly used *in*
95 *situ* phenological observations (Zhang et al. 2006; Soudani et al. 2008; Ganguly et al. 2010; Liang et al.
96 2011; Klosterman et al. 2014; Delbart et al. 2015; Rodriguez-Galiano et al. 2015) and gross primary
97 productivity measured using the eddy covariance technique (Sakamoto et al. 2010; Gonsamo et al. 2012;
98 Lu et al. 2018). However, the reference data used in these assessments are not intrinsically comparable
99 with satellite-derived LSP. The emergence of observation networks, such as the Phenological Eyes
100 Network (PEN) (<http://www.pheno-eye.org/>) and the PhenoCam Network
101 (<https://phenocam.sr.unh.edu/webcam/>) that employ tower-mounted camera to automatically collect
102 time-lapse photography, greatly enriches the availability of ground-based phenological observations
103 (Nasahara & Nagai 2015; Brown et al. 2016; Nagai et al. 2016b, 2018; Richardson et al. 2018a). These
104 observations have been shown to be a robust tool to evaluate the LSP derived from satellite remote
105 sensing (Klosterman et al. 2014; Richardson et al. 2018b). The PhenoCam Network mainly provides
106 observations in the United States (Richardson et al., 2009a), while PEN mainly covers Japan with the
107 recent extension to the Arctic and the Tropics (Nagai et al. 2018). The PEN was established in 2003 to
108 provide long-term, continuous, and consistent ground-based phenological observations (Nasahara &
109 Nagai 2015; Nagai et al. 2016b). It employs cameras mounted at different positions to provide a
110 comprehensive picture of phenological changes in the ecosystem (Nagai et al. 2018). The time-lapse
111 images collected by PEN have been used in a wide range of scientific studies including: 1) exploring the
112 phenological changes at ground level in understudied ecosystems such as evergreen forests and tropical
113 rainforests (Nagai et al. 2013, 2016a; Kobayashi et al. 2018), 2) evaluating the quality of satellite-derived
114 LSP (Motohka et al. 2009), and 3) modeling ecosystem productivity (Nagai et al. 2010).

115 The recently launched next generation geostationary satellite, Himawari-8, provides an
116 opportunity to quantitatively evaluate LSP detections from geostationary satellites in the Asia-Pacific
117 region. This study presents the results from the first attempt to detect the phenological transition dates
118 from the Advanced Himawari Imager (AHI) onboard the Himawari-8 geostationary satellite over central
119 and northern Japan, and the evaluation results of the detected phenological transition dates. The
120 evaluation was performed by comparing the detected phenological transition dates from AHI against
121 those detected from MODIS and PEN at six selected sites during 2015 and 2016.

122

123 2. Methods

124 2.1 AHI EVI2 time series

125 Himawari-8 was launched on October 7, 2014 and is positioned over the Equator at 140.7°E (Yu
 126 and Wu 2016). The AHI onboard Himawari-8 delivers a full disk scan covering the Asia-Pacific region
 127 every ten minutes with a nadir resolution of 500 – 1000m in the visible/near-infrared spectrum (Yu and
 128 Wu 2016). We obtained the spectral radiance of AHI band 3 (0.64 μm, nadir resolution 500 m) and band
 129 4 (0.86 μm, nadir resolution 1000 m) at a 20-minute interval during Tokyo local time 8:00am-4:00pm
 130 from May, 2015 to November, 2016 with a spatial coverage of central and northern Japan from the
 131 HimawariCloud dissemination service (Yu and Wu 2016). The band 3 radiance data were first resampled
 132 to match those of band 4 spatially. The radiance data were then converted to Top-of-Atmosphere (TOA)
 133 reflectance for calculating 20-minute EVI2 when solar zenith angle was less than 60° (Yan et al. 2016a;
 134 Yan et al. 2016b). Similar to EVI, EVI2 has the capability of reducing background noise and has
 135 enhanced sensitivity over dense vegetation canopies. In addition, EVI2 has been shown to have
 136 advantages over commonly used NDVI in LSP detections (Peng et al. 2017; Zhang et al. 2018b) and
 137 EVI2 has been widely used for LSP detections across a wide range of ecosystems globally (Liu et al.
 138 2017; Yan et al. 2016a; Yan et al. 2016b; Zhang 2015; Zhang et al. 2017). EVI2 was calculated using
 139 equation (1)(Jiang et al. 2008):

$$140 \quad \quad \quad EVI2 = G \frac{NIR - R}{NIR + cR + 1}$$

141 (1)

142 where NIR and R refer to the reflectance from AHI band 4 and band 3, respectively. EVI2 is originally
 143 developed for MODIS with G and c being 2.5 and 2.4 in equation (1), respectively. The original values of
 144 G and c have been adopted to calculate EVI2 from other sensors such as AVHRR (Zhang 2015) and the
 145 Visible Infrared Imaging Radiometer Suite (VIIRS) (Zhang et al. 2018a) for LSP monitoring. LSP
 146 generated using the EVI2 from AVHRR and VIIRS has been shown to have strong agreements with those
 147 derived from independent sources such as eddy covariance measurements (Zhang 2015) and PhenoCam
 148 Imagery (Zhang et al. 2018a). We therefore believe it is appropriate for us to use the original values of G
 149 (2.5) and c (2.4) in this study.

150 The angular effects in AHI EVI2 were further minimized. To do this, each 20-minute EVI2 was
 151 converted to an EVI2 obtained under a reference sun-satellite geometry using equation (2) (Tian et al.
 152 2010):

$$153 \quad \quad \quad EVI2(\theta_{REF}, \delta_{REF}, \phi_{REF}) = EVI2(\theta_t, \delta_t, \phi_t) \frac{(1 + C_0 FS_{REF} + C_1 FR_{REF})}{(1 + C_0 FS_t + C_1 FR_t)}$$

154 (2)

155 where $EVI2(\theta_{REF}, \delta_{REF}, \phi_{REF})$ is the angularly-corrected EVI2 under the reference sun-satellite
 156 geometry ($\theta_{REF} = 45^\circ, \delta_{REF} = 45^\circ, \phi_{REF} = 90^\circ$); θ is the solar zenith angle, δ is the satellite zenith
 157 angle; ϕ is the sun-satellite relative azimuth angle; $EVI2(\theta_t, \delta_t, \phi_t)$ is the original EVI2 obtained under
 158 the sun-satellite geometry ($\theta_t, \delta_t, \phi_t$) at time t ; FS and FR represent the kernel functions that model the
 159 variations in EVI2 due to changes in sun-satellite geometry. C_0 and C_1 are kernel weights that were
 160 determined as -0.08 and 0.02, respectively, for AHI EVI2 based on the method proposed in a previous
 161 study (Tian et al. 2010). Finally, a 3-day EVI2 time series was obtained by calculating the 90th percentile
 162 of all the 20-minute angularly-corrected EVI2 within each 3-day period during 2015-2016. Since AHI
 163 pixel size varies with the view zenith angle, we resampled EVI2 time series to 0.02° (~2000m) grid cells
 164 using a nearest neighbor method.

165 2.2 MODIS EVI2 time series

167 To compare with AHI LSP detections, we also generated EVI2 time series using the 8-day 500m
 168 MODIS surface reflectance product (MOD09A1) downloaded using the MODIS Land Products Global
 169 Subsetting and Visualization Tool (ORNL-DAAC 2018). The MODIS EVI2 time series was only

170 generated at six selected PEN sites in Japan, locations and land cover of which are described in detail in
171 Section 2.4. Specifically, we first calculated EVI2 for each 500m pixel within a five-by-five pixel window
172 centering at a given PEN site. Then for each 8-day period, we aggregated EVI2 by averaging EVI2 values
173 from pixels with good quality within the five-by-five pixel window (based on the quality assurance data
174 in the MOD09A1 product). A fill value was used if good quality pixels were less than half of the pixels
175 within the window. Thus, the MODIS EVI2 was spatially comparable to AHI EVI2.

176

177 2.3 Land surface temperature and snow cover time series from MODIS observations

178 Satellite observations can be affected by snow during winter in mid- and high- latitude regions,
179 which has significant impacts on LSP detections (Zhang et al., 2004). To determine winter period and
180 remove snow-affected observations, we obtained land surface temperature (LST) and snow presence data
181 in Japan during 2015-2016. Specifically, we downloaded the 8-day 1km MODIS land surface temperature
182 (LST) product (MOD11A2) from NASA Earthdata (<https://earthdata.nasa.gov/>) and the MODIS 8-day
183 500m snow cover product (MOD10A2) derived from the Normalized Difference Snow Index from the
184 National Snow and Ice Data Center (<https://nsidc.org/data/mod10a2>) (Riggs et al. 1994). The MODIS
185 LST and snow data were resampled to a spatial resolution of 0.02° to match that of AHI EVI2. Further, a
186 3-day LST and snow time series were generated based on the interpolation method developed in Zhang
187 (2015) to match the temporal resolution of the AHI EVI2 time series.

188

189 2.4 Green chromatic coordinate time series from the Phenological Eyes Network

190 We obtained hourly digital photographs from six sites of the PEN during 2015-2016. The
191 geographic distribution and land cover of the selected sites is shown in Figure 1 and 2, respectively. The
192 coordinates and dominant land cover of the selected sites are presented in supplemental Table S1. Among
193 the six selected sites, the Mountain Tsukuba site (MTK) and the Takayama flux site (TKY) are located in
194 mountainous areas, where the photographs were frequently filled with snow and dense fog. To address
195 this issue, we downloaded the hourly photographs between 8:00 and 17:00 local standard time for each
196 day during 2015-2016. In contrast, for the other four sites, we only downloaded the photograph taken at
197 noon as the daily observation.

198 We extracted greenness time series from digital photographs using the R package “Phenopix”
199 (Filippa et al. 2016). First, we manually delineated the Region of Interest (ROI) for each site to only
200 include the vegetated portion of a photograph in the analyses. The delineated ROIs for the selected PEN
201 site are shown in Figure 2. We delineated a single ROI for each site except for the Mase flux site (MSE),
202 where we delineated three ROIs: rice field in the foreground (ROI1), rice field in the upper left corner
203 (ROI2), and trees in the background (ROI3) as outlined in Figure 2(d), respectively. This is because the
204 seasonal greenness variation at the MSE site differed substantially among the three ROIs based on an
205 initial visual assessment. For example, the harvest of the rice field in ROI2 was completed earlier than
206 that of the rice field in ROI1 in both 2015 and 2016. Whereas the vegetation canopy in the three ROIs
207 was fully exposed to the satellite’s field of view, the rice field in the foreground occupied the dominant
208 portion of the photograph. Therefore, if we only delineated a single ROI for the MSE site, the differences
209 in the seasonal greenness variation among the three ROIs would be eliminated and the phenology in this
210 highly heterogeneous landscape could not be characterized accurately.

211 We then calculated the Green Chromatic Coordinate (GCC) for each pixel to represent the
212 vegetation greenness within the delineated ROIs based on equation (3) (Sonnentag et al. 2012):

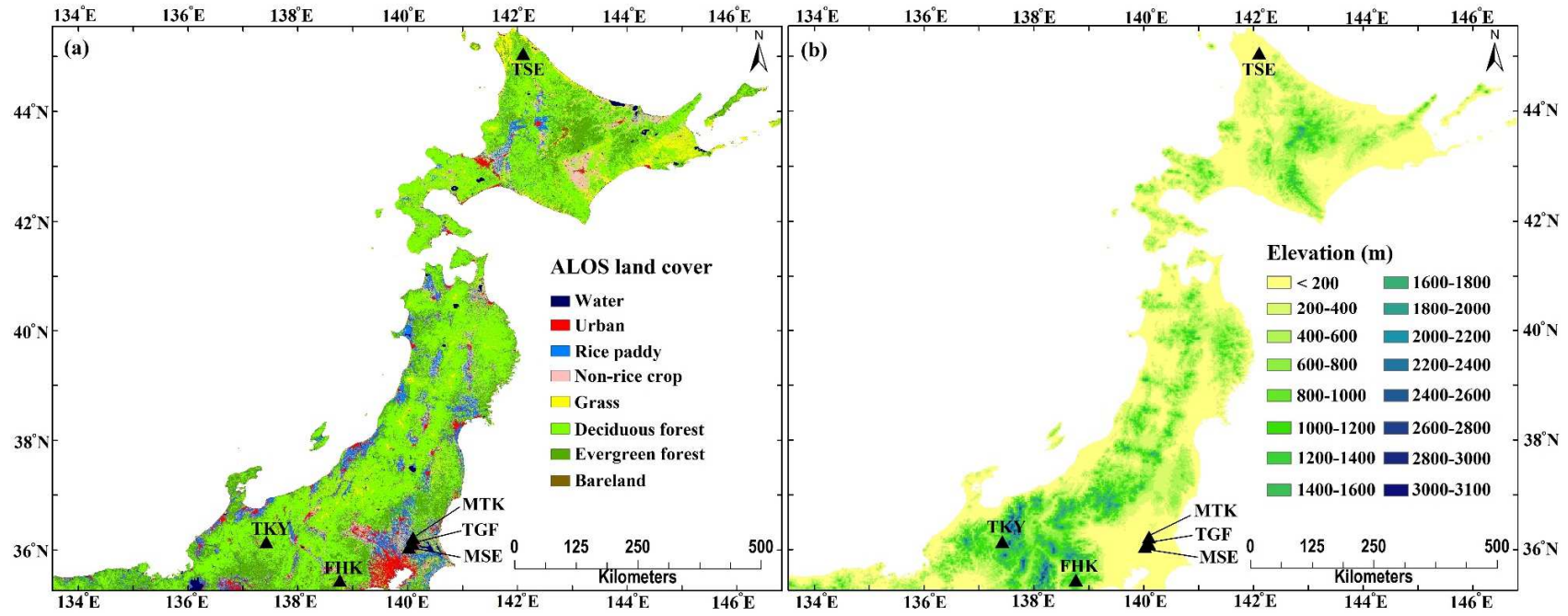
$$213 \quad GCC = \frac{G}{R + G + B} \quad (3)$$

214 where R, G and B represent the digital number from the red, green and blue channels, respectively.

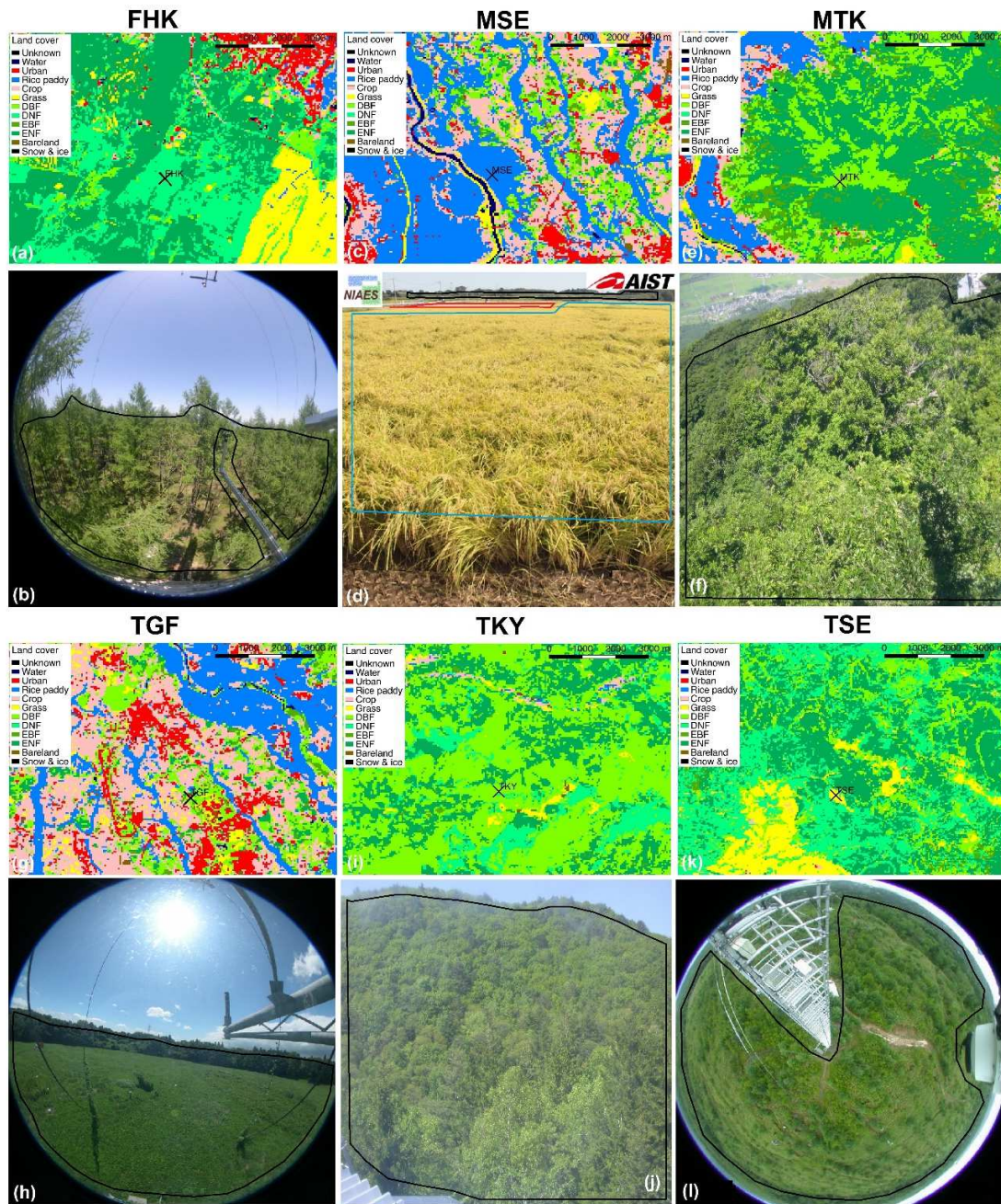
215 The GCC for a ROI was further calculated by averaging the values over all the pixels enclosed by
216 the ROI, which was then used to generate the time series of three-day GCC for each ROI during 2015-
217 2016. Specifically, if hourly photographs were used to calculate GCC (i.e., MTK and TKY), the three-day
218 GCC was determined using the 90th percentile of all the hourly GCC in a three-day period (Sonnentag et
219 al. 2012; Toomey et al. 2015). In contrast, the maximum GCC from a given three-day period was
220 determined as the three-day GCC if daily photographs were used (i.e., FHK, MSE, TGF and TSE). For
221 each three-day period, we also generated a snow label by visual interpretation of the digital photographs.
222 A label of “snow-present”

223 was denoted only if snow was present in all of the three days otherwise the three-day period was labelled as “snow-free”. We also generated a
224 snow label for each eight-day period to match the temporal resolution of the aggregated MODIS EVI2 time series.

225



227 **Figure 1.** The spatial variations in land cover and elevation across central and northern Japan. Black triangles represent the locations of the six
228 selected PEN sites. The land cover map of Japan is derived from data obtained by the Advanced Land Observing Satellite (ALOS), the original
229 data of which is provided by JAXA: http://www.eorc.jaxa.jp/ALOS/en/lulc/lulc_index.htm (©JAXA).



230

231 **Figure 2.** Land cover maps (first and third rows) and delineated ROI(s) (second and fourth rows) for
 232 selected PEN site. The land cover map is derived from data obtained by the Advanced Land Observing
 233 Satellite (ALOS), the original data of which is provided by JAXA:
 234 http://www.eorc.jaxa.jp/ALOS/en/lulc/lulc_index.htm (©JAXA). Solid black lines outline the delineated
 235 ROIs and the black cross on the land cover map represents the location of corresponding PEN site.

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239 2.5 Detection of phenological transition dates from AHI, MODIS and PEN data

240 We used the Hybrid Piecewise Logistic Model-Land Surface Phenology Detection (HPLM-LSPD)
241 algorithm (Zhang 2015; Zhang et al. 2003) to detect the four phenological transition dates (PTDs
242 hereafter) from three-day PEN GCC, eight-day MODIS EVI2, and three-day AHI EVI2 time series,
243 respectively. The four PTDs are Start of Spring (SOS), End of Spring (EOS), Start of Fall (SOF) and End
244 of Fall (EOF) (Zhang et al. 2018a). SOS refers to the onset of rapid greenness increase in early spring
245 whereas EOS represents the timing when greenness starts to reach a maximum level or in other words, the
246 end of greenup phase (Zhang et al. 2003). In contrast, SOF corresponds to the timing when greenness
247 starts to undergo gradual decreases whereas EOF represents the timing when plant canopy reaches the
248 dormancy status or in other words, the greenness minimum (Zhang et al. 2003; Liu et al. 2017).

249 The following is a brief description of how HPLM-LSPD was used to detect PTDs in this study
250 and a general term of vegetation index (VI) was used to refer to PEN GCC, MODIS EVI2, and AHI EVI2
251 in the following description. For each AHI and MODIS pixel or PEN ROI, we applied the HPLM-LSPD
252 algorithm in four steps (Zhang 2015). (1) We first determined the background VI as the mean value of the
253 five largest good quality VI values during the dormancy period that was defined as the time period when
254 LST was lower than 5°C. (2) We then smoothed the VI time series by filling the gaps due to missing or
255 low-quality observations. The irregular VI values were then smoothed using the Savitzky-Golay filter
256 (Chen et al. 2004) and a local median filter. (3) We further fitted logistic curves to the smoothed VI time
257 series to reconstruct the VI temporal trajectory. (4) Finally, we calculated the rate of change in curvature
258 from the reconstructed VI temporal trajectory. The four PTDs correspond to the local extreme values of
259 the rate of change in the curvature of the reconstructed VI trajectory (Zhang et al. 2003).

260 Note that since LST and the information of snow presence/absence serve as important ancillary
261 data in detecting PTDs using HPLM-LSPD algorithm, the MODIS LST and the snow presence/absence
262 information derived from PEN imagery (described in detail in Section 2.4) were employed in the
263 detection of PTDs from VI time series of AHI, MODIS and PEN at the six study sites. We did not use
264 satellite-derived snow presence/absence information because we believe the PEN imagery can provide
265 sufficiently accurate snow presence/absence information given the relatively fine spatial scale of our
266 study (~2km). This is also to make sure that any differences in the PTDs derived from different sensors
267 are results of the differences in VI rather than those in the ancillary data. In contrast, the MODIS snow
268 cover and LST were used in detecting PTDs from AHI data at locations other than the six sites.

269 For a given PEN site, if more than one ROI was delineated on the digital photographs, the
270 HPLM-LSPD algorithm was applied for each ROI separately, and the mean value of each PTD was
271 calculated for that site. Note that one additional step was taken to determine GCC-derived EOF for the
272 two rice field ROIs delineated for the MSE site in 2016. Specifically, after the rice fields were harvested,
273 green sprouts from the remaining rice stubbles were seen for a very brief period before the fields were
274 plowed (supplemental Figure S1). We therefore replaced the EOF determined using HPLM-LSPD with
275 the plowing day.

276

277 2.6 Characterizing the spatial patterns of phenological transition dates

278 We obtained land cover and elevation data to facilitate the characterization of the spatial
279 variations in PTDs among different types of land cover, and across elevation and latitudinal gradients.
280 Specifically, we downloaded the land cover product derived from observations acquired by the Advanced
281 Visible and Near Infrared Radiometer type 2 (AVNIR-2) onboard the Advanced Land Observing Satellite
282 (ALOS) (Hashimoto et al. 2014). The ALOS land cover product has a 10m spatial resolution and can be
283 accessed via: https://www.eorc.jaxa.jp/ALOS/en/lulc/lulc_index.htm. We chose the ALOS land cover
284 product because it has 'rice paddy' as an individual land cover class, which is a very important land cover

285 in central and northern Japan, and has different plough/harvest schedules from other crops. In addition to
286 rice paddy, we also focused on the following five types of land cover: urban, non-rice crop, grass,
287 deciduous forest and evergreen forest. We obtained the elevation data for our study area by downloading
288 the GTOPO30 digital elevation model from the U.S. Geological Survey EarthExplorer
289 (<https://earthexplorer.usgs.gov/>). The GTOPO30 digital elevation model has a spatial resolution of 30
290 arc-second. We then resampled the land cover and elevation data to 0.02 degree using a nearest neighbor
291 method to match the spatial resolution of detected PTDs. We further divided our study area into low (0-
292 200m), medium (200-500m) and high (500-3100m) elevation zones, so that each zone has one third of the
293 total 0.02° grid cells in our study area. In order to examine the PTD changes across latitudes, we divided
294 our study area into low (35°N-38.5°N), medium (38.5°N-42°N) and high (42°N-45.5°N) latitudinal zones.
295 Finally, we examined the relationship between land cover and PTD outliers. For example, the outliers in
296 SOS were determined based on the following two steps. We first sorted the 0.02° grid cells in our study
297 area in ascending order based on their average SOS timing during 2015-2016. We then determined the
298 grid cells that fell below the 10th percentile or lay above the 90th percentile of the SOS timing as outliers.
299 Then, for any given land cover, we generated a measure of its tendency in being SOS outliers by dividing
300 the number of grid cells from this land cover and classified as SOS outliers to the total number of 0.02°
301 grid cells of this land cover in the study area. We also repeated this analysis for the other three PTDs.

302

303 2.7 Evaluation of AHI phenological transition dates against those from MODIS and PEN

304 We evaluated the PTDs detected from AHI EVI2 by comparing them with those detected from
305 MODIS EVI2 and PEN GCC time series. Taking SOS that was derived from PEN (SOS_{PEN}) and AHI data
306 (SOS_{AHI}) as an example of the evaluation analyses, we first calculated the Root Mean Square Difference
307 (RMSD) and Bias between SOS_{PEN} and SOS_{AHI} across the six sites in 2015 and 2016. We then conducted
308 linear regression analyses by using SOS_{AHI} as the dependent variable and SOS_{PEN} as the independent
309 variable to retrieve the R-square (R^2) and statistical significance (p value). These analyses were repeated
310 for the other three PTDs (EOS, SOF, and EOF), and between MODIS and PEN PTDs. In addition, the
311 linear regression analyses were also conducted between PTDs detected from AHI and MODIS data with a
312 given PTD from AHI and MODIS as the independent and dependent variable, respectively.

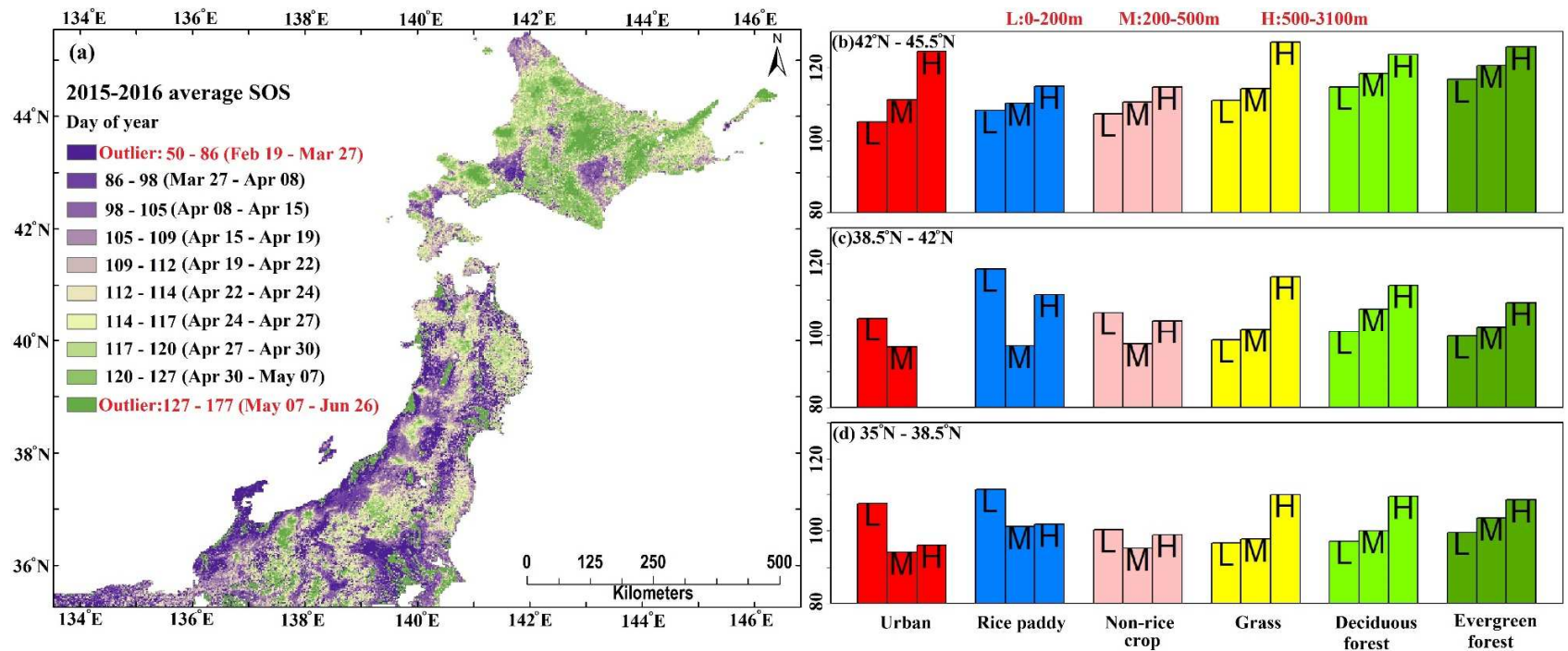
313

314 3. Results

315 3.1 Spatial patterns of the phenological transition dates detected from AHI EVI2 time series

316 Figures 3-6 present the general spatial patterns of the four PTDs along with their variations
317 among different types of land cover, and across elevation and latitudinal gradients. The average timing of
318 SOS during 2015-2016 primarily fell between late March and early May (Figure 3a). The direction of
319 changes in SOS timing across elevation and latitudinal gradients varies with land cover. Specifically, SOS
320 timing of grass, deciduous and evergreen forests exhibited delays with increases in either elevation or
321 latitude (Figure 3b-3d). For urban, rice paddy and non-rice crop, however, delays in SOS timing
322 associated with increasing elevation only occurred in the high latitude zone (Figure 3b). Meanwhile,
323 delays in SOS timing associated with increases in latitude were only found for non-rice crop, rice paddy
324 in the high elevation zone, and urban areas in the medium and high elevation zones (Figure 3b-3d). The
325 average timing of EOS during 2015-2016 predominately fell in the period from mid-May to mid-July
326 (Figure 4a). In contrast to SOS timing, EOS timing exhibited advances with increasing elevation,
327 especially in the low and medium latitude zones (Figure 4c and 4d). In addition, for a given type of land
328 cover within a given elevation zone, EOS timing did not exhibit consistent changes across latitudes except
329 the delayed EOS of deciduous forest in the medium elevation zone. The average timing of SOF during
330 2015-2016 primarily fell between mid-August and early October (Figure 5a). There were no distinct

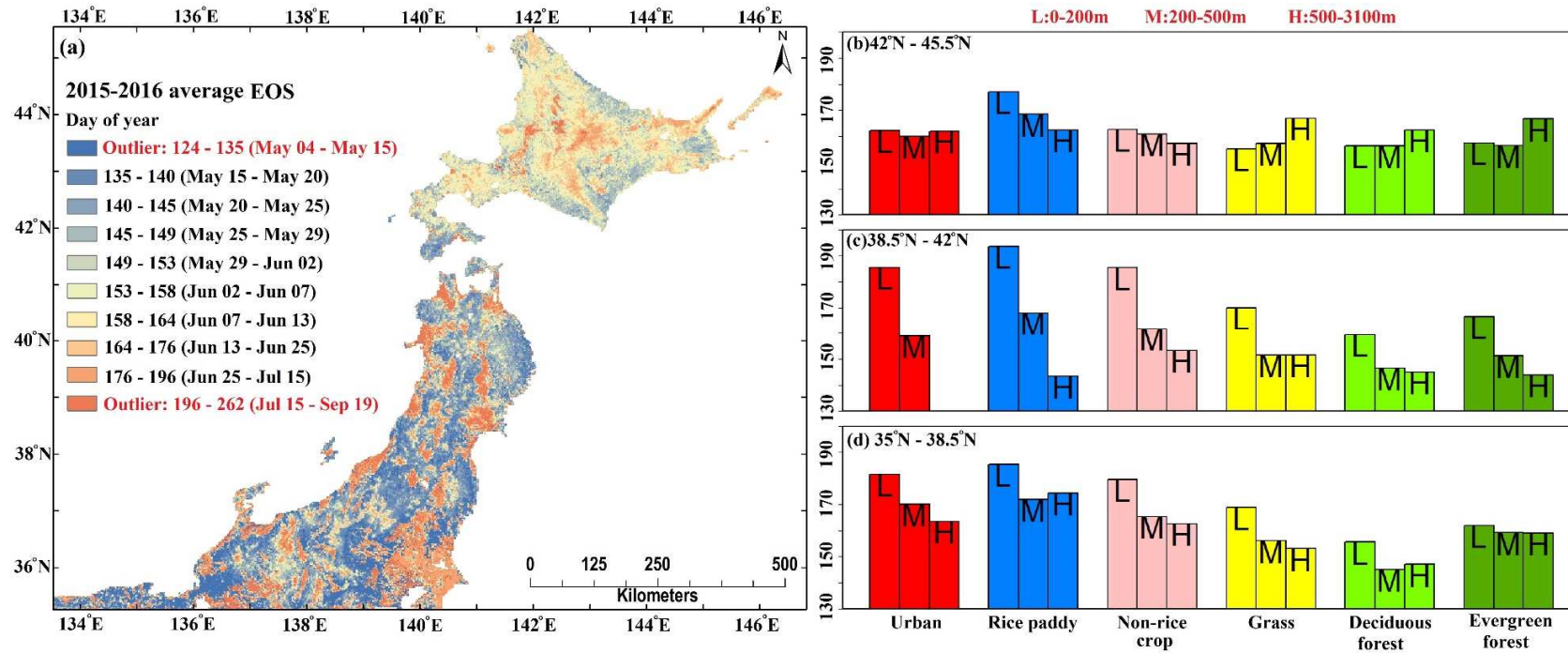
331 changes in SOF timing across elevation gradients except for rice paddy and non-rice crop in the low
332 latitude zone (Figure 5d), and urban area in the high latitude zone (Figure 5b). In addition, for a given
333 type of land cover within a given elevation zone, SOF timing in the high latitude zone tended to be much
334 earlier than those in the low and medium latitude zones. The average timing of EOF during 2015-2016
335 predominately fell in the period from early November to late December (Figure 6a). In contrast to SOS
336 timing, the EOF timing of grass, deciduous and evergreen forests exhibited advances with increases in
337 either elevation or latitude (Figure 6b-6d). For urban, rice paddy and non-rice crop, changes in EOF
338 timing across latitudinal gradients were more distinct than those across elevation gradients. Specifically,
339 advances in EOF timing with increasing latitudes were found for all six types of land cover in almost all
340 elevation zones while consistent changes in EOF timing across elevation gradients were only found in
341 urban area in the low latitude zone, and non-rice crop in the high latitude zone. Figure 7 presents the
342 variations in the percentage of 0.02° grid cells from a given land cover class being outliers for each of the
343 four PTDs. Urban and rice paddy were the two land cover classes that had the highest percentage of grid
344 cells being outliers for SOS, EOS and EOF (Figure 7a, 7b and 7d). Evergreen forest and urban had the
345 highest percentage of grid cells being outliers for SOF (Figure 7c). In contrast, grass and deciduous forest
346 had the lowest percentage of grid cells being outliers for all of the four PTDs.



347

348 **Figure 3.** Spatial pattern of the average SOS detected in 2015 and 2016 (a), and the variations in the average SOS among different types of land
 349 cover, and across latitudinal and elevation gradients (b-c). In Panel (a), each color bar in the legend represents 10% of the total number of 0.02°
 350 grid cells in the study area. Panel (b), (c) and (d) represents grid cells located in the high (42°N-45.5°N), medium (38.5°N-42°N) and low (35°N-
 351 38.5°N) latitude zones, respectively. Each bar represents the mean value of SOS for grid cells from a given type of land cover within a given
 352 elevation zone. The standard deviation associated with each bar is presented in supplemental Table S2. The label ‘L’ and ‘M’ and ‘H’ represents
 353 the low (0-200m), medium (200-500m) and high (500-3100m) elevation zones, respectively.

354



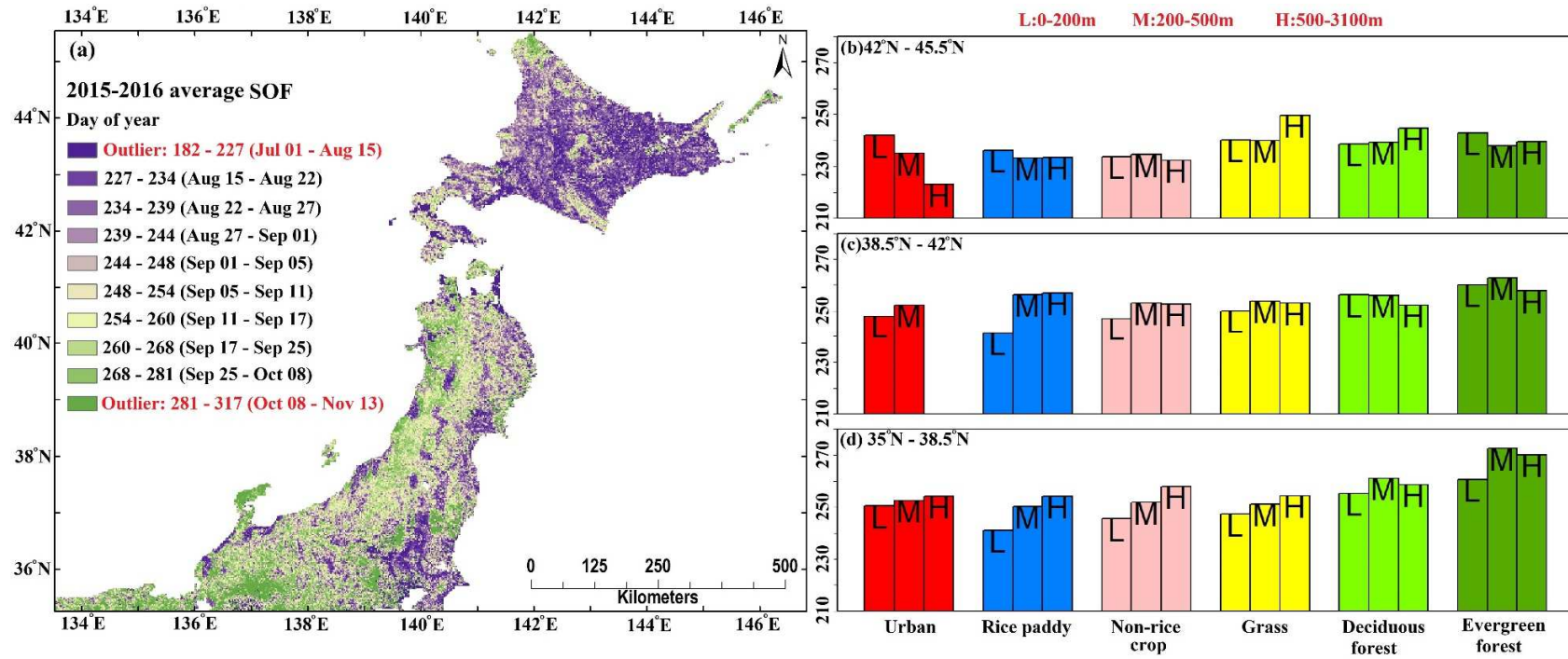
355

356 **Figure 4.** Spatial pattern of the average EOS detected in 2015 and 2016 (a), and the variations in the average EOS among different types of land
 357 cover, and across latitudinal and elevation gradients (b-c). In Panel (a), each color bar in the legend represents 10% of the total number of 0.02°
 358 grid cells in the study area. Panel (b), (c) and (d) represents grid cells located in the high (42°N-45.5°N), medium (38.5°N-42°N) and low (35°N-
 359 38.5°N) latitude zones, respectively. Each bar represents the mean value of EOS for grid cells from a given type of land cover within a given
 360 elevation zone. The standard deviation associated with each bar is presented in supplemental Table S3. The label ‘L’ and ‘M’ and ‘H’ represents
 361 the low (0-200m), medium (200-500m) and high (500-3100m) elevation zones, respectively.

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365

366 **Figure 5.** Spatial pattern of the average SOF detected in 2015 and 2016 (a), and the variations in the average SOF among different types of land
 367 cover, and across latitudinal and elevation gradients (b-c). In Panel (a), each color bar in the legend represents 10% of the total number of 0.02°
 368 grid cells in the study area. Panel (b), (c) and (d) represents grid cells located in the high (42°N-45.5°N), medium (38.5°N-42°N) and low (35°N-
 369 38.5°N) latitude zones, respectively. Each bar represents the mean value of SOF for grid cells from a given type of land cover within a given
 370 elevation zone. The standard deviation associated with each bar is presented in supplemental Table S4. The label ‘L’ and ‘M’ and ‘H’ represents
 371 the low (0-200m), medium (200-500m) and high (500-3100m) elevation zones, respectively.

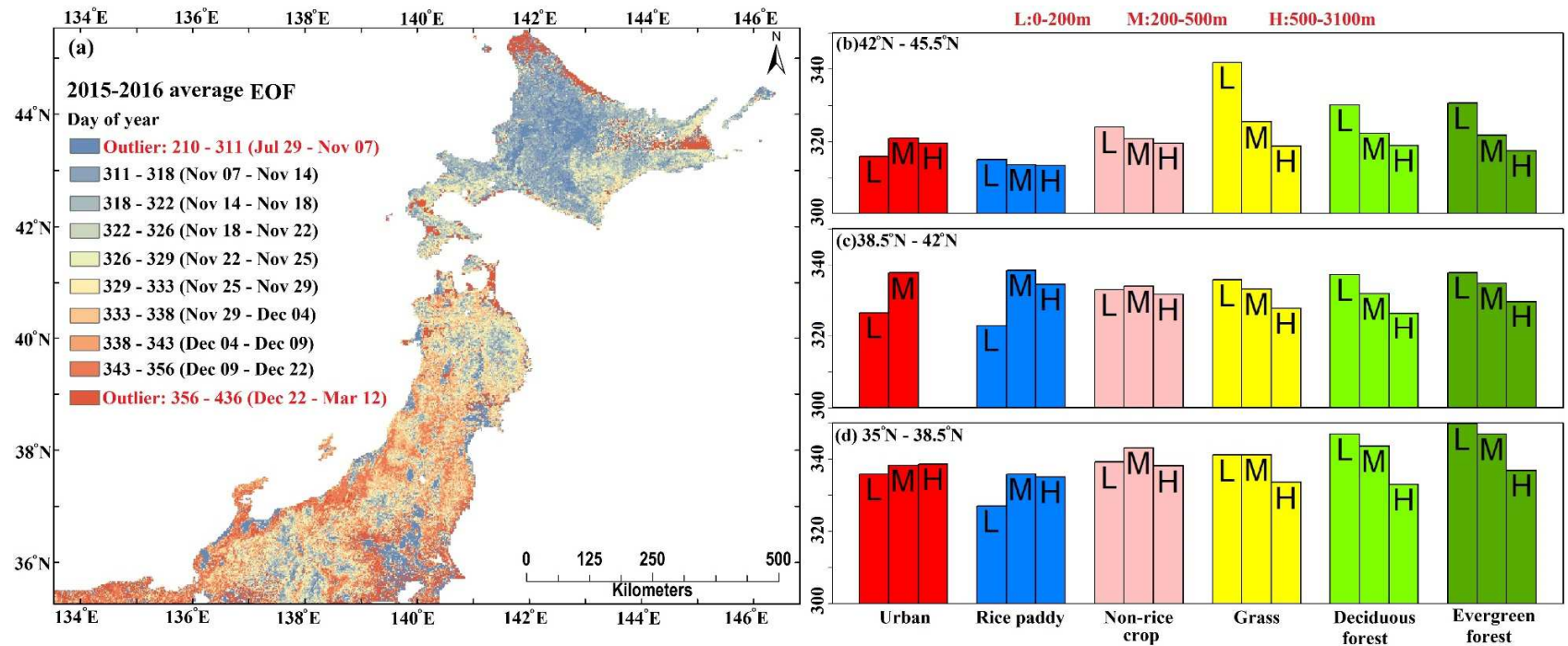
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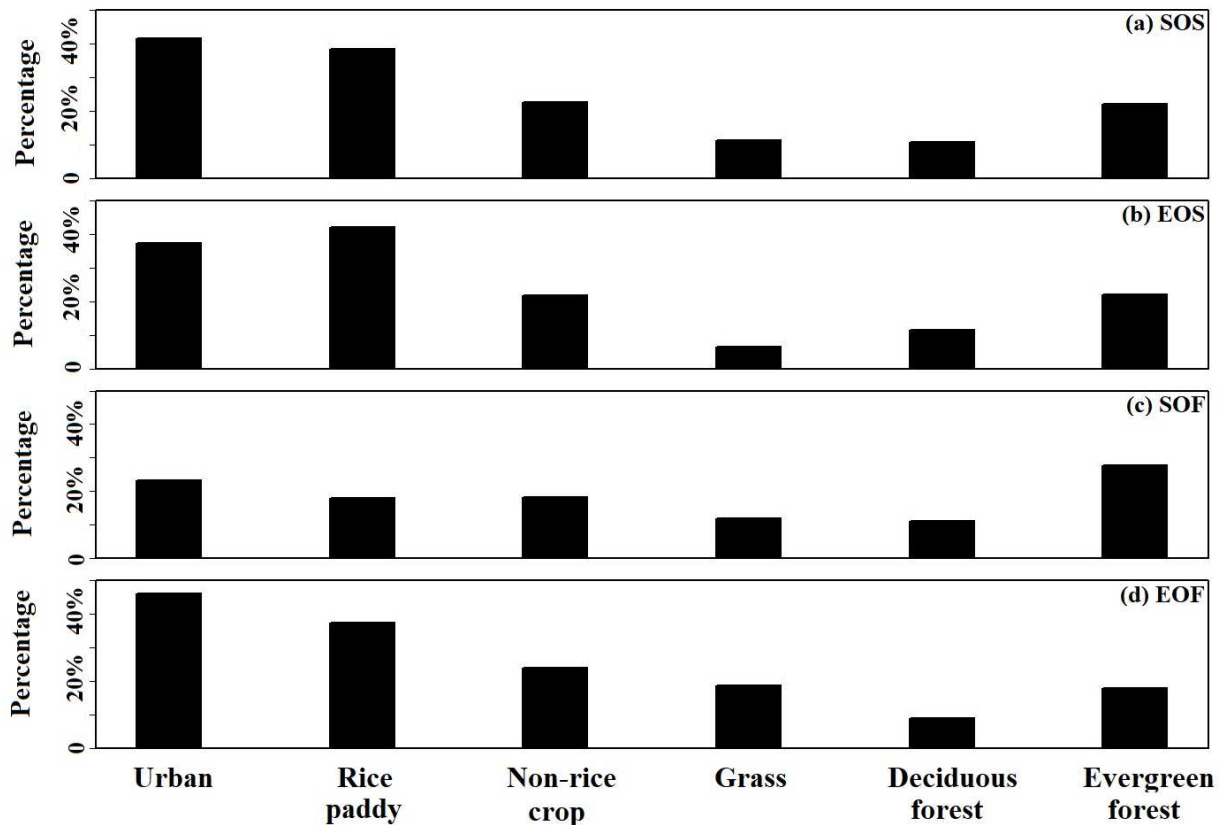
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377

378 **Figure 6.** Spatial pattern of the average EOF detected in 2015 and 2016 (a), and the variations in the average EOF among different types of land
 379 cover, and across latitudinal and elevation gradients (b-c). In Panel (a), each color bar in the legend represents 10% of the total number of 0.02°
 380 grid cells in the study area. Panel (b), (c) and (d) represents grid cells located in the high (42°N-45.5°N), medium (38.5°N-42°N) and low (35°N-
 381 38.5°N) latitude zones, respectively. Each bar represents the mean value of EOF for grid cells from a given type of land cover within a given
 382 elevation zone. The standard deviation associated with each bar is presented in supplemental Table S5. The label ‘L’ and ‘M’ and ‘H’ represents
 383 the low (0-200m), medium (200-500m) and high (500-3100m) elevation zones, respectively.



384

385 **Figure 7.** Variations in the percentage of the 0.02° grid cells from a given land cover class being outliers
 386 for SOS (a), EOS (b), SOF (c) and EOF (d).

387

388 **3.2 Differences in the greenness trajectories and detected phenological transition dates from AHI, MODIS**
 389 **and PEN data**

390 Figure 8 presents the original and reconstructed time series of PEN GCC, MODIS EVI2, and AHI
 391 EVI2 during 2015 and 2016 at the six PEN sites. Their temporal patterns were generally similar albeit the
 392 distinct differences between the original GCC and EVI2 time series. For example, at the three forest sites
 393 in central Japan (i.e., FHK, MTK and TKY), the original GCC time series showed substantial decreases
 394 during summer whereas the original MODIS and AHI EVI2 time series maintained a relatively stable
 395 plateau (Figure 8a, 8c and 8e). In addition, the short-term disturbance that was picked up by the GCC
 396 time series at MSE (i.e., the abrupt GCC decrease in ROI1 during late 2016) and TGF (i.e., the rapid
 397 decrease and recovery of greenness in the middle of 2015 and 2016) were not visible in both the MODIS
 398 and AHI EVI2 time series. Note that the GCC time series from the other two ROIs at MSE can be found
 399 in supplemental Figure S2.

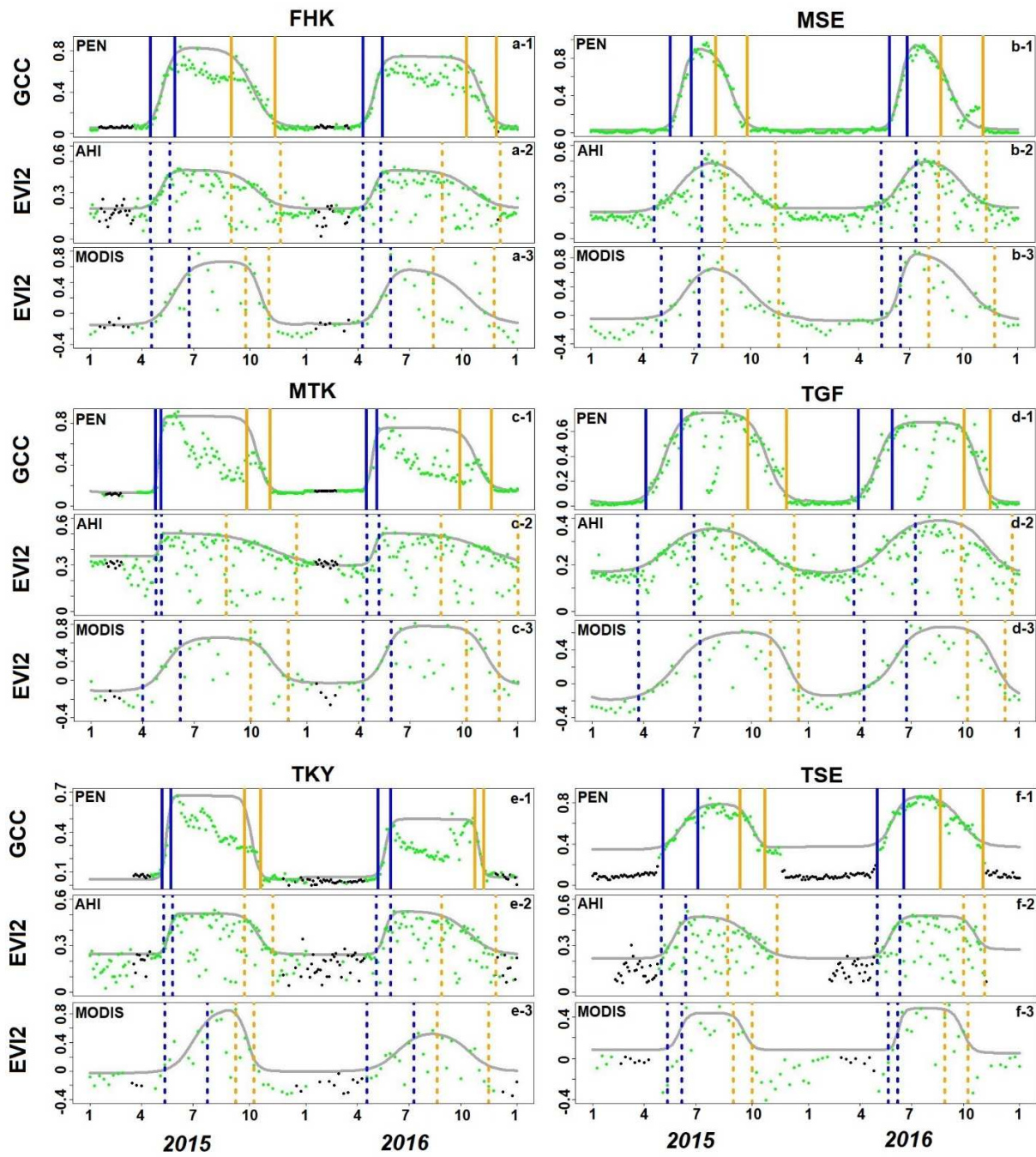
400 Table 1 summarizes the bias and RMSD between the PTDs derived from PEN and satellite data.
 401 Specifically, SOS_{AHI} occurred as long as 16 days before SOS_{PEN} at TGF in 2015 whereas the longest
 402 advance of SOS_{MODIS} relative to SOS_{PEN} was 22 days at MTK in 2015. Both SOS_{AHI} and SOS_{MODIS}
 403 showed the longest delay relative to SOS_{PEN} at MSE in 2016 with the delay being 21 and 27 days,
 404 respectively. The longest advance of satellite-derived EOS relative to that derived from PEN occurred at
 405 TSE in 2015 with EOS_{AHI} and EOS_{MODIS} occurring 23 and 26 days before EOS_{PEN} , respectively. Relative

406 to EOS_{PEN} , the longest delay in satellite-derived EOS was 38 days for AHI at TGF in 2016, and 68 days
407 for MODIS at TKY in 2015. The difference in SOF ranged from SOF_{AHI} and SOF_{MODIS} occurring 57 and
408 59 days in advance of SOF_{PEN} , respectively, at TKY in 2016 to 39 and 38 days behind SOF_{PEN} at TSE in
409 2016 and at TGF in 2015, respectively. EOF_{AHI} consistently occurred after EOF_{PEN} during 2015 and 2016
410 across the six sites with a minimum and maximum delay of four days at TSE in 2015 and 45 days at MTK
411 in 2015, respectively. In contrast, the difference between EOF_{MODIS} and EOF_{PEN} ranged from EOF_{MODIS}
412 occurring 23 days before EOF_{PEN} at TSE in both 2015 and 2016 to EOF_{MODIS} occurring 35 days after
413 EOF_{PEN} at MSE in 2015.

414 In Table 1, the direction of the overall bias of satellite-derived PTDs relative to those derived
415 from PEN imagery were consistent between AHI and MODIS, except for SOS. For AHI, the overall bias
416 ranged from SOF occurring 15 days before PEN-derived SOF to EOF occurring 22 days behind PEN-
417 derived EOF. For MODIS, the overall bias ranged from SOF occurring 4 days before PEN-derived SOF
418 to EOS occurring 23 days behind PEN-derived EOS.

419 The RMSD between satellite-derived and PEN-derived PTDs (Table 1) were lower in spring (i.e.,
420 SOS and EOS) than that during fall (i.e., SOF and EOF). In addition, the RMSD between PTDs derived
421 from AHI and PEN were smaller than those between PTDs from MODIS and PEN for SOS and EOS (i.e.,
422 during the green-up phase). In contrast, the RMSD between PTDs derived from AHI and PEN were
423 comparable to those between PTDs from MODIS and PEN for SOF and EOF (i.e., during the senescence
424 phase).

425 Figure 9 displays the correlation between the spatiotemporal variability in PTDs derived from
426 each two of PEN, MODIS, and AHI during 2015 and 2016 across the six sites. Except SOF, the PEN and
427 AHI PTDs were significantly correlated ($p < 0.05$) with the highest R^2 of 0.75 between PEN and AHI-
428 derived SOS (Figure 9a-9d). In contrast, significant correlations were only found between PEN and
429 MODIS SOS and EOF with an R^2 of 0.46 and 0.41, respectively (Figure 9e-9h). Similarly, for AHI and
430 MODIS PTDs, significant correlations were only found between SOS and EOF with an R^2 of 0.59 and
431 0.57, respectively (Figure 9i-9l). A complete summary of the regression statistics can be found in
432 supplemental Table S6.



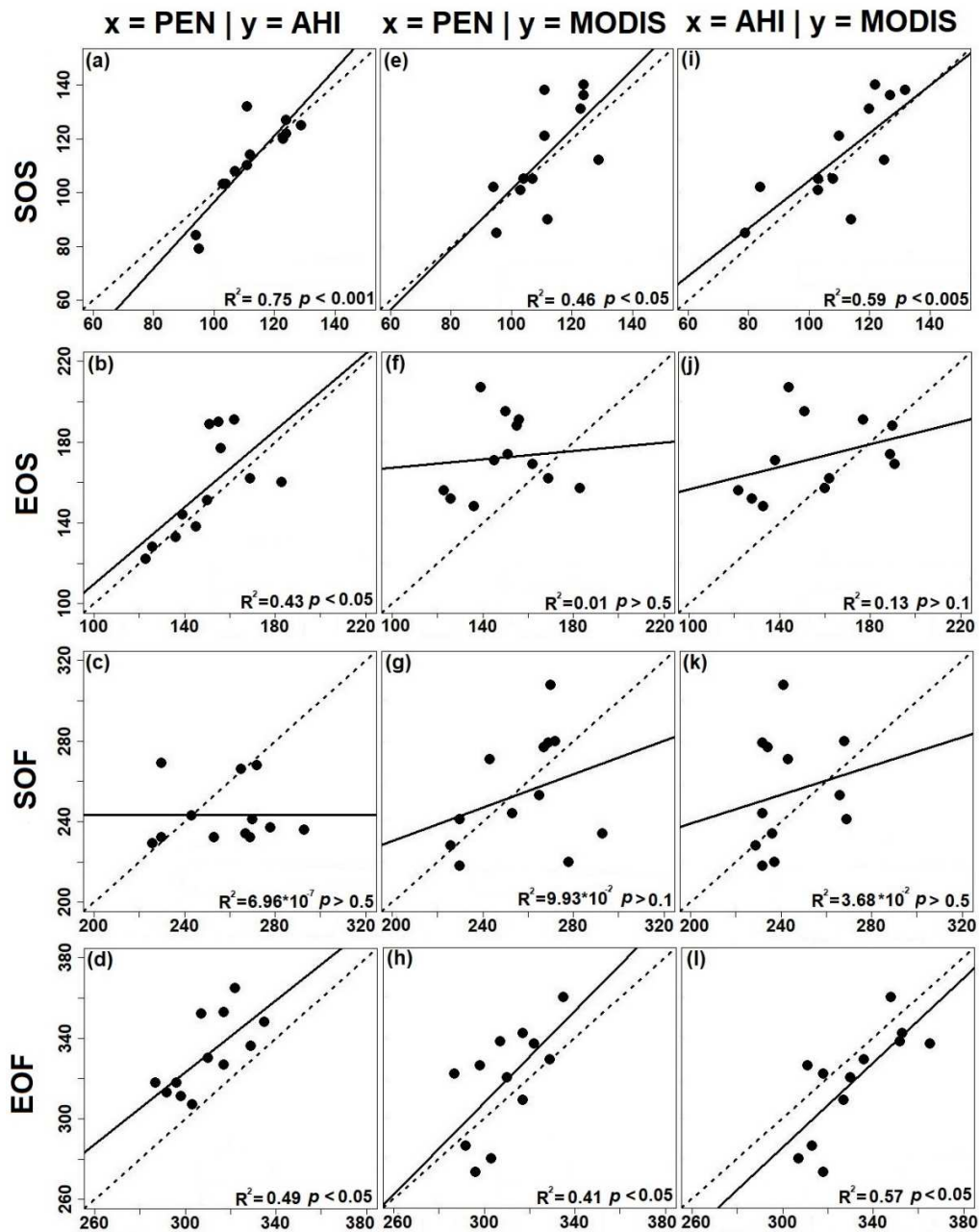
433

434 **Figure 8.** Comparison of greenness trajectories and PTDs derived from EVI2 with those from GCC at the
 435 six sites during 2015-2016. Solid green and black circles represent the original snow-free and snow-
 436 affected EVI2/GCC, respectively. The grey solid lines represent the reconstructed greenness trajectories.
 437 The blue dashed lines represent the detected SOS and EOS whereas orange dashed lines represent SOF
 438 and EOF.

439 Table 1. A comparison of the four PTDs detected from GCC with those derived from EVI2 time series (e.g., $\Delta\text{SOS}_{\text{AHI}} = \text{SOS}_{\text{AHI}} - \text{SOS}_{\text{PEN}}$ and
 440 $\Delta\text{SOS}_{\text{MOD}} = \text{SOS}_{\text{MODIS}} - \text{SOS}_{\text{PEN}}$). Positive (negative) bias indicates a PTD derived from satellite data is later (earlier) than that derived from PEN
 441 imagery. All the numbers have been rounded to the nearest integer.

		SOS_{PEN}	$\Delta\text{SOS}_{\text{AHI}}$	$\Delta\text{SOS}_{\text{MOD}}$	EOS_{PEN}	$\Delta\text{EOS}_{\text{AHI}}$	$\Delta\text{EOS}_{\text{MOD}}$	SOF_{PEN}	$\Delta\text{SOF}_{\text{AHI}}$	$\Delta\text{SOF}_{\text{MOD}}$	EOF_{PEN}	$\Delta\text{EOF}_{\text{AHI}}$	$\Delta\text{EOF}_{\text{MOD}}$
FHK	2015	104	-1	1	145	-7	26	243	0	28	317	10	-8
	2016	103	0	-2	136	-3	12	278	-41	-58	329	7	0
MSE	2015	111	-1	10	155	35	33	226	3	2	287	31	35
	2016	111	21	27	162	29	7	230	2	-12	298	13	28
MTK	2015	112	2	-22	123	-1	33	269	-37	10	307	45	31
	2016	107	1	-2	126	2	26	267	-33	10	322	43	15
TGF	2015	95	-16	-10	156	21	35	270	-29	38	335	13	25
	2016	94	-10	8	151	38	23	272	-4	8	317	36	25
TKY	2015	124	3	12	139	5	68	265	1	-12	292	21	-6
	2016	129	-4	-17	150	1	45	293	-57	-59	310	20	10
TSE	2015	123	-3	8	183	-23	-26	253	-21	-9	296	22	-23
	2016	124	-2	16	169	-7	-7	230	39	11	303	4	-23
RMSD			8	14		20	33		29	29		26	22
Overall Bias			-1	2		8	23		-15	-4		22	9

442



443

444 **Figure 9.** Regressions of PTDs detected from PEN and satellite data during 2015 and 2016 across the 12
 445 sites. The dotted line represents the 1:1 line while the solid line represents the fitted regression line.

446

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449

450 **4. Discussion**

451 **4.1 The anthropogenic footprints on the spatial variations in SOS and EOF in central and northern Japan**

452 The results from the analyses on the spatial variations in SOS and EOF (Figures 3, 6 and 7)
453 highlight the anthropogenic footprints in the study area. In the mid-latitude region of the northern
454 hemisphere, temperature plays one of the most important role in mediating the timing of SOS and
455 EOF(Zhang et al. 2004a). In central and northern Japan, the spatial variations of SOS and EOF timing in
456 grass, deciduous and evergreen forests were consistent with temperature changes across gradients in
457 either latitude or elevation, which is in agreement with findings from multiple studies (Zhang et al. 2004a;
458 Xie et al. 2017; An et al. 2018). In urban areas and croplands, however, the spatial variations of SOS and
459 EOF timing were only consistent with changes in temperature across gradients in latitude and elevation in
460 certain parts of the study area. This can be explained by that while the SOS and EOF of grass, deciduous
461 and evergreen forests are predominately mediated by temperature, the “urban heat island” effect
462 associated with altered surface albedo and increased aerosols can affect the temperature regime (Krehbiel
463 et al. 2017), and management practices are likely more important than temperature in mediating SOS and
464 EOF timing in croplands of the study area (Suepa et al. 2016). Numerous previous studies have also
465 reported the significant impacts from anthropogenic activities such as urbanization and irrigation on SOS
466 and EOF of urban areas (Zhang et al. 2004b; Buyantuyev & Wu 2012; Walker et al. 2015; Krehbiel et al.
467 2017) and croplands (Sakamoto et al. 2006; Suepa et al. 2016), respectively. The changes in the
468 percentage of grid cells being outliers for SOS and EOF in Figure 7 also demonstrates the impacts from
469 anthropogenic activities. Specifically, urban areas and croplands had higher percentage of grid cells being
470 outliers for SOS and EOF than those from grass, deciduous and evergreen forests. In addition, although
471 grass in the study area tended to have very low percentage of grid cells being SOS and EOF outliers,
472 some very noticeable EOF outliers occurred in the grass-dominated coastal areas of northern Japan
473 (Figure 6a). By examining a high-resolution Google Earth image of this area, we speculated that these
474 grass-dominated areas were managed for livestock grazing purposes (supplemental Figure S3). Therefore,
475 the very late EOF timing in these areas likely resulted from the management practices favorable for
476 longer growing seasons.

477 In contrast to SOS and EOF, no distinct differences were found between the naturally vegetated
478 areas (i.e., grass, deciduous and evergreen forests), and urban and croplands in terms of the spatial
479 variations in EOS and SOF across the gradients in latitude and elevation (Figures 4 and 5). Furthermore,
480 the spatial variations in EOS and SOF were much more complicated than those in SOS and EOF. One
481 likely explanation is that, the spatial variations in other environmental factors such as water availability
482 also affect those in EOS and SOF. Since few previous studies have focused on the mediating factors of
483 variations in EOS and SOF across spatial gradients, this subject needs thorough examinations in future
484 studies.

485

486 **4.2 Impacts of atmospheric effects and land surface disturbances on the reconstruction of greenness** 487 **trajectories**

488 Substantial decreases in GCC during summer were evident at the two mountainous sites MTK
489 and TKY (Figure 8). By reviewing the photograph archives, it was found that the GCC decrease owed to
490 the atmospheric effects such as dense fog or high humidity accumulation on camera lens (supplemental
491 Figure S4-S5). These atmospheric effects also affected the time series of AHI and MODIS EVI2 resulting
492 in the low EVI2 values. However, unlike the continuously low PEN GCC, the AHI EVI2 time series were
493 able to maintain a relatively stable plateau during the same time period. This is because the GCC time
494 series was generated from hourly observations while the AHI EVI2 time series was generated from

495 observations at a 20-minute interval. Therefore, AHI EVI2 had higher chances of acquiring observations
496 free of significant atmospheric impacts (supplemental Figure S4-S5). In contrast, no prolonged cloudy or
497 foggy conditions were identified at FHK, where the GCC decrease during summer was likely related to
498 the reduced contrast between reflectance from green and red wavelengths (Elmore et al. 2012). At MSE
499 and TGF, abrupt changes occurred in the GCC time series. The abrupt GCC changes at MSE was due to
500 the removal of green sprouts from rice stubbles in late October of 2016 (supplemental Figure S1) whereas
501 the quick decrease and recovery in GCC in the middle of 2015 and 2016 at TGF were due to the removal
502 of grasses and the rapid regrowth afterwards (supplemental Figure S6). These land surface disturbances
503 were mainly restricted to the region close to MSE and TGF, which only accounted for a small portion of
504 the corresponding MODIS and AHI pixels. As a result, the local abrupt greenness changes had little
505 influences on MODIS and AHI EVI2 time series.

506 Although noisy data appeared in the original time series of PEN GCC, MODIS EVI2, and AHI
507 EVI2, the HPLM-LSPD algorithm is able to reconstruct the greenness trajectories and detect the
508 phenological transitions. This is due to the fact that the HPLM-LSPD algorithm reconstructs a greenness
509 trajectory by following the upper boundary of the greenness time series (Zhang 2015), which would
510 automatically filter out the low values typically associated with atmospheric effects and land surface
511 disturbances. In addition, it has been demonstrated that reconstruction of greenness trajectory using the
512 HPLM-LSPD algorithm is reliable as long as there is one good quality observation every eight days
513 (Zhang et al. 2009). Snow cover and land surface temperature data are important ancillary data in PTD
514 detection using the HPLM-LSPD algorithm (Zhang 2015). Although we used MODIS snow and land
515 surface temperature products to facilitate the detection of PTDs from AHI EVI2 time series, it is also
516 possible to generate these two types of ancillary data from AHI observations. Specifically, the
517 Normalized Difference Snow Index, which is used to generate the MODIS snow product (Riggs et al.
518 1994), can also be derived from AHI Band 2 (0.51 μm) and 5 (1.61 μm). In addition, a land surface
519 temperature retrieval algorithm using AHI data has also been developed in a recent study (Choi & Suh
520 2018).

521

522 **4.3** The impacts of the difference between phenological changes in spring and fall on LSP monitoring
523 using PEN and satellite data.

524 The RMSD between PTDs derived from PEN and satellite data during 2015-2016 across the six
525 study sites reveals an interesting contrast between spring and fall phenology. For example, the RMSD for
526 AHI increased from 8 (SOS) and 20 (EOS) days in spring to 26 (EOF) and 29 (SOF) days in fall. The
527 RMSD for MODIS-derived PTDs had similar variations, which ranged from 14 (SOS) and 33 (EOS) days
528 in spring to 22 (EOF) and 29 (SOF) days in fall. This is consistent with the findings from previous studies
529 in which PTDs derived from digital cameras are used as the reference to evaluate their counterparts
530 derived from sensors such as Landsat TM and ETM+ (Melaas et al. 2016), MODIS (Hufkens et al. 2012;
531 Klosterman et al. 2014) and VIIRS (Zhang et al. 2018a). The increases in the RMSD between PEN and
532 satellite-derived PTDs from spring to fall can be attributed to the mismatch in the scale of observation
533 coupled with the increased between-canopy variability in phenological changes from spring to fall.
534 Specifically, compared to the green-up phase in spring, there is greater between-canopy difference in the
535 rate of change in leaf coloration during fall (Melaas et al. 2016). Therefore, given the low between-
536 canopy variability in spring, the phenological changes within the small areas observed by the camera can
537 be representative of those in the bigger ground areas related to a satellite pixel. In contrast, the higher
538 between-canopy variability in fall renders greater difference between the phenological changes observed
539 by the camera and the satellite thus leading to higher RMSD.

540 It is worth noting that the direction of bias in EOS, SOF and EOF relative to those derived from
541 PEN were consistent between AHI and MODIS. Specifically, both AHI-derived and MODIS-derived

542 EOS were later than PEN-derived EOS by an average of 8 and 23 days, respectively. This is likely due to
543 the different sensitivity of GCC and EVI2 to changes in leaf area index (LAI) over canopies with high
544 LAI. EOS represents the timing when plant canopy reaches maturity during late spring. Results from a
545 previous study on the relationship between GCC and LAI at a temperate deciduous forest site indicate that
546 peak GCC occurs about two weeks in advance of maximum LAI (Keenan et al. 2014). This is similar to
547 the behavior of NDVI, which also has been found to becoming saturated when LAI reaches a certain
548 threshold (Huete et al. 2002; Jiang et al. 2008). In contrast, EVI2 was developed to have enhanced
549 sensitivity over high-LAI canopies (Jiang et al. 2008). For our study sites, it is possible that when canopy
550 LAI reached a certain threshold, GCC became saturated whereas satellite-derived EVI2 was still sensitive
551 to the increasing LAI, which resulted in a later EOS relative to that derived from GCC. This different
552 sensitivity of GCC and EVI2 to LAI dynamics over high-LAI canopies can also be used to explain the
553 earlier SOF derived from EVI2 than that derived from GCC. Specifically, SOF refers to the start of leaf
554 senescence. Therefore, it is possible that while EVI2 was sensitive to the decreases in LAI, GCC stayed
555 being saturated until LAI dropped below a certain threshold, which led to that SOF derived from AHI and
556 MODIS EVI2 occurred earlier than the SOF derived from GCC. The positive bias of satellite-derived
557 EOF relative to the PEN-derived EOF can be explained by that the high between-canopy variability in
558 leaf senescence coupled with the larger ground area associated with a satellite pixel resulted in a slower
559 leaf senescence process than that observed by cameras thus resulting in a delayed EOF relative to that
560 from PEN.

561 The RMSD for AHI-derived PTDs was no higher than 60% of the RMSD of MODIS-derived
562 PTDs in spring whereas the RMSD for AHI-derived PTDs was equivalent to or even slightly higher than
563 of MODIS-derived PTDs during fall. In other words, PTDs derived from three-day AHI EVI2 time series
564 exhibited improvements over those derived from eight-day MODIS EVI2 time series only during spring,
565 which we believe related to the differences between the limiting factors of LSP detection in spring and
566 fall. Specifically, the six PEN sites included in this study are located in temperate ecosystems with strong
567 deciduousness, the greenup phase of which tends to unfold rapidly therefore generating a strong signal of
568 greenness increases (Hufkens et al. 2012; Melaas et al. 2016; Zhang et al. 2018a). The steep slopes of the
569 greenness trajectories during spring at the three forest sites FHK, MTK and TKY, and at the cropland site
570 MSE in Figure 8 serve as great examples of this rapid greenness increase. As a result, the higher temporal
571 resolution of the AHI EVI2 time series help better characterize this rapid greenness increase, thus led to
572 lower RMSD. In contrast, the greenness decrease in fall tends to be gradual, and more importantly, it is
573 dominated by colors of red, yellow and brown instead of green (Zhang et al. 2018a). Given the slow leaf
574 coloration in fall, it is likely that the temporal resolution of EVI2 time series is no longer the dominant
575 limiting factor. Therefore, since the same vegetation index, EVI2, was used in the detection of PTDs from
576 AHI and MODIS, it is not surprising that there was comparable RMSD for AHI- and MODIS-derived
577 PTDs in fall.

578 Figure 9 presents the between-sensor comparison of the correlation in the spatiotemporal
579 variability of the four PTDs across the six sites between 2015 and 2016. For each of the three between-
580 sensor comparisons, the correlations were relatively strong for SOS and EOF whereas the correlations for
581 the two mid-season PTDs (i.e., EOS and SOF) were very weak except between AHI- and PEN-derived
582 EOS. We did not find completely consistent results from previous studies. For example, Klosterman et al.
583 (2014) quantifies the correlations between the same four PTDs derived from PhenoCam and MODIS data
584 based on different curve-fitting methods across 13 temperate deciduous sites in the United States (a total
585 of 81 site-years of data). Results show that the R^2 for EOS is very similar to that of SOS and EOF while
586 SOF has the lowest R^2 among the four PTDs, which ranges from 0.11 to 0.32. Zhang et al. (2018) also
587 quantifies the correlations between the same four PTDs derived from PhenoCam and VIIRS data at 82
588 sites from the United States (~160 site-years of data). Results show that the R^2 is no less than 0.78 for all
589 the four PTDs. We therefore speculate the low R^2 for EOS and SOF in our study might be caused by the

590 low number of available samples. This is a limitation of our study and more samples from PEN and
591 satellite data are needed to evaluate SOF and EOS more thoroughly in future studies.

592

593 **5. Conclusion**

594 In this study, we present the first LSP detection results from AHI EVI2 and compare them against
595 those derived from MODIS EVI2 and PEN GCC at six sites in central and northern Japan during 2015-
596 2016. The difference in the spatial variations of SOS and EOF timing between naturally vegetated areas,
597 and urban areas and croplands indicates the anthropogenic footprints on LSP. The spatial variations of
598 EOS and SOF timing are relatively complicated and the mediating factors need to be examined in future
599 studies.

600 Our results also highlight the advantage of using high-frequency observations from AHI to obtain reliable
601 greenness trajectories in the regions where unfavorable atmospheric conditions can prevail for a
602 prolonged period of time. This indicates that AHI holds great potential to achieve improved LSP
603 detections in other cloud-prone ecosystems in the Asia-Pacific region such as the tropical forests in
604 Southeast Asia. However, our results also show that while the high frequency observations from AHI
605 helped reduce the uncertainty in PTD detections during spring, they did not provide an overall
606 improvement during the leaf senescence phase. This is because leaf phenology is mediated by changes in
607 multiple leaf traits such as LAI, leaf chlorophyll and water content, leaf biomass and photosynthetic rates.
608 Previous studies have shown that there are larger divergence in the changes of these leaf traits during the
609 leaf senescence phase than during the green-up phase (Keenan et al. 2014; Lu et al. 2018). Since
610 greenness indices mainly track changes in LAI and leaf chlorophyll content, they cannot provide a full
611 picture of phenological changes during the leaf senescence phase, even with the increased observation
612 frequency. Therefore, there is a need for using multiple remote sensing perspectives to characterize
613 phenological changes during the leaf senescence phase instead of solely relying on greenness indices.
614 Fortunately, several recently launched and upcoming satellite-borne instruments have the capability to
615 provide insights into leaf senescence phenology that are complementary to those offered by greenness
616 indices. For example, results from Lu et al. (2018) have demonstrated that solar-induced chlorophyll
617 fluorescence (SIF) can better track changes in leaf photosynthesis during the senescence phase than GCC
618 and NDVI in a temperate forest. Therefore, the high frequency SIF measurements from NASA's
619 Geostationary Carbon Observatory (scheduled for launch in the early 2020s) (Moore et al. 2018) and
620 ESA's Tropospheric Monitoring Instrument (TROPOMI, launched in October 2017) (Köehler et al. 2018)
621 are very promising in improving the monitoring of leaf senescence phenology at continental and global
622 scales. In addition, the full-range (400-2500nm) hyperspectral measurements from the Hyperspectral
623 Imager Suite (HISUI), and the Lidar data from the Global Ecosystem Dynamics Investigation (GEDI)
624 onboard the International Space Station can also provide new insights into changes in leaf water content
625 and biomass during the leaf senescence phase at the global scale (Stavros et al. 2017).

626

627 It is important to note that there is a wide variety of ecosystems within AHI's observation area
628 ranging from drylands such as those in northwestern China and Australia to the tropical forests in
629 Southeast Asia. Previous studies have demonstrated that it can be very challenging to have accurate LSP
630 detections using satellite data in ecosystems such as drylands (Broich et al. 2014) and tropical forests
631 (Guan et al. 2014; Yan et al. 2016b). Since we only focused on six sites in the temperate ecosystems of
632 central and northern Japan, the evaluation results presented in this paper cannot provide a comprehensive
633 picture of the applicability of AHI data in LSP detections, which needs to be examined using reference
634 data (e.g., *in situ* phenological observations or time-lapse images from ground observation networks)
635 from diverse types of ecosystems in future studies.

636

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963 **Supplemental information for “Evaluating land surface phenology**
964 **retrieved from the Advanced Himawari Imager using in-situ**
965 **observations from the Phenological Eyes Network”**

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993 **Supplementary table**

994 Table S1. Geographic coordinate and land cover of the study sites.

Site	Latitude/Longitude	Land cover
Fuji-Hokuroku (FHK)	35.44°N / 138.76°E	Deciduous needleleaf forest
Mase flux site (MSE)	36.05°N / 140.03°E	Rice paddy
Mt. Tsukuba (MTK)	36.23°N / 140.10°E	Mixed forest
TERC grass field (TGF)	36.11°N / 140.10°E	Grass
Takayama flux site (TKY)	36.14°N / 137.42°E	Deciduous broadleaf forest
Teshio CC-LaG site (TSE)	45.01°N / 142.11°E	Deciduous needle-leaf plantation and dwarf bamboo

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1016 Table S2. The standard deviation in the detected SOS across latitudinal and elevation gradients

	High Latitude: 42°N – 45.5°N					
Elevation (m)	Urban	Rice paddy	Non-rice crop	Grass	Deciduous forest	Evergreen forest
0 - 200	13	14	9	8	8	8
200 - 500	8	9	8	8	7	7
500 – 3100	8	5	6	11	8	8
	Medium Latitude: 38.5°N – 42°N					
0 - 200	28	30	24	18	15	19
200 - 500	18	14	14	14	12	17
500 – 3100		5	11	8	7	13
	Low Latitude: 35°N – 38.5°N					
0 - 200	31	30	24	21	17	19
200 - 500	23	21	19	16	14	19
500 – 3100	20	18	17	12	13	19

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1033 Table S3. The standard deviation in the detected EOS across latitudinal and elevation gradients

	High Latitude: 42°N – 45.5°N					
Elevation (m)	Urban	Rice paddy	Non-rice crop	Grass	Deciduous forest	Evergreen forest
0 - 200	20	20	15	11	9	10
200 - 500	17	17	11	8	8	7
500 – 3100	8	9	4	11	9	10
	Medium Latitude: 38.5°N – 42°N					
0 - 200	25	16	24	23	23	25
200 - 500	20	21	20	14	12	18
500 – 3100		1	10	15	7	8
	Low Latitude: 35°N – 38.5°N					
0 - 200	27	22	23	26	24	27
200 - 500	27	28	26	21	20	34
500 – 3100	26	29	26	17	17	34

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1050 Table S4. The standard deviation in the detected SOF across latitudinal and elevation gradients

	High Latitude: 42°N – 45.5°N					
Elevation (m)	Urban	Rice paddy	Non-rice crop	Grass	Deciduous forest	Evergreen forest
0 - 200	20	10	11	15	13	17
200 - 500	10	8	12	14	11	13
500 – 3100	11	6	8	14	12	14
	Medium Latitude: 38.5°N – 42°N					
0 - 200	20	14	16	16	16	18
200 - 500	12	15	13	13	14	17
500 – 3100		9	12	12	12	13
	Low Latitude: 35°N – 38.5°N					
0 - 200	24	17	20	19	20	22
200 - 500	22	20	19	15	19	23
500 – 3100	16	20	17	15	17	22

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1067 Table S5. The standard deviation in the detected EOF across latitudinal and elevation gradients

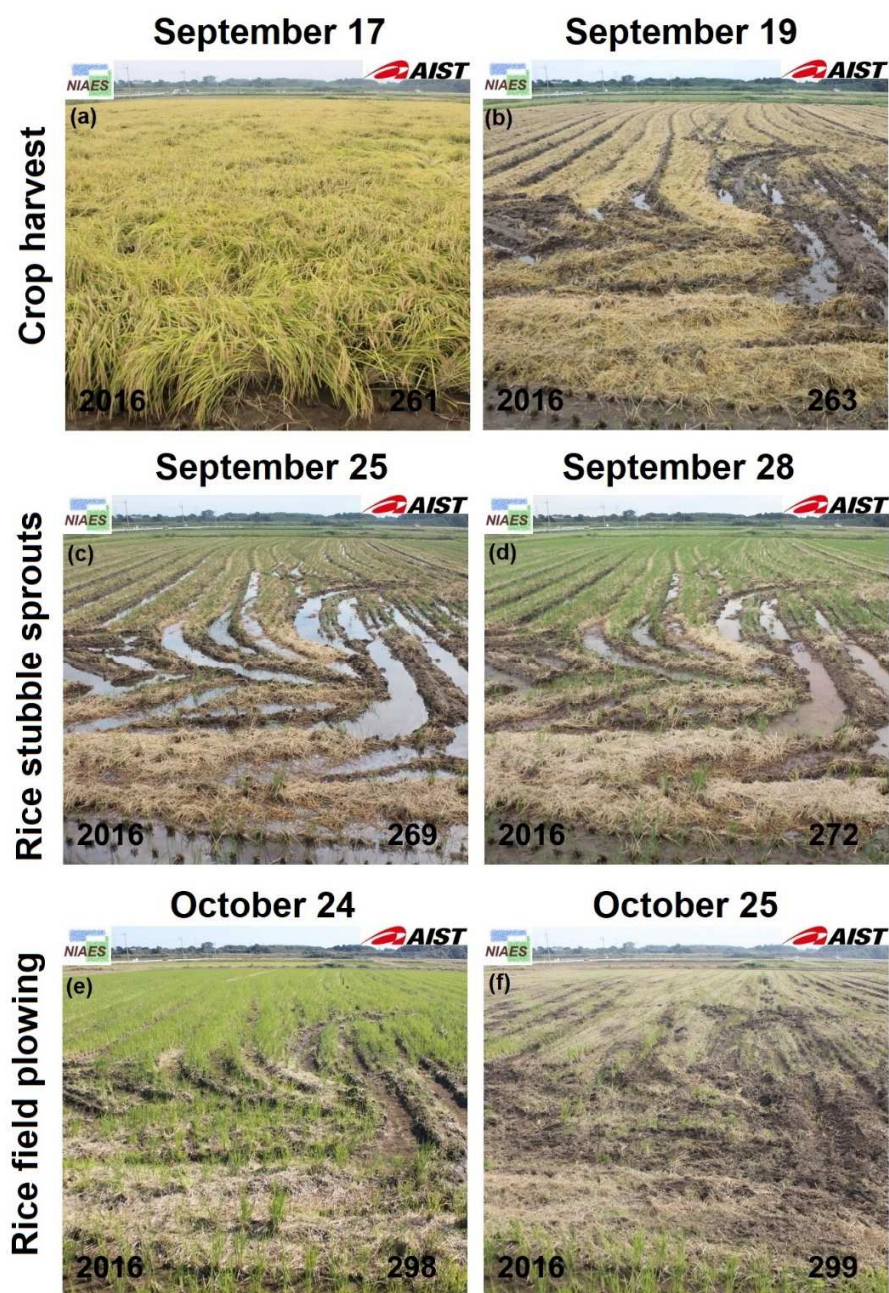
	High Latitude: 42°N – 45.5°N					
Elevation (m)	Urban	Rice paddy	Non-rice crop	Grass	Deciduous forest	Evergreen forest
0 - 200	20	17	17	33	23	27
200 - 500	16	7	12	18	14	15
500 – 3100	8	4	5	15	12	14
	Medium Latitude: 38.5°N – 42°N					
0 - 200	27	22	23	18	17	17
200 - 500	14	11	10	10	10	11
500 – 3100		4	7	7	8	9
	Low Latitude: 35°N – 38.5°N					
0 - 200	47	34	34	30	21	25
200 - 500	27	21	20	16	14	24
500 – 3100	17	17	16	14	12	19

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1084 Table S6. Summary of the regression statistics.

	x=PEN, y=AHI				x=PEN, y=MODIS				x=AHI, y=MODIS			
	R ²	Intercept	Slope	<i>p</i>	R ²	Intercept	Slope	<i>p</i>	R ²	Intercept	Slope	<i>p</i>
SOS	0.75	-26.46	1.23	<0.001	0.46	-9.71	1.11	<0.05	0.59	16.21	0.88	<0.005
EOS	0.43	15.05	0.95	<0.05	0.01	156.84	0.10	>0.5	0.13	128.94	0.28	>0.5
SOF	7E-8	243.10	5E-3	>0.5	1E-3	146.99	0.42	>0.5	4E-3	167.72	0.36	>0.5
EOF	0.49	55.52	0.89	<0.05	0.41	-34.00	1.14	<0.05	0.57	-30.04	1.05	<0.005

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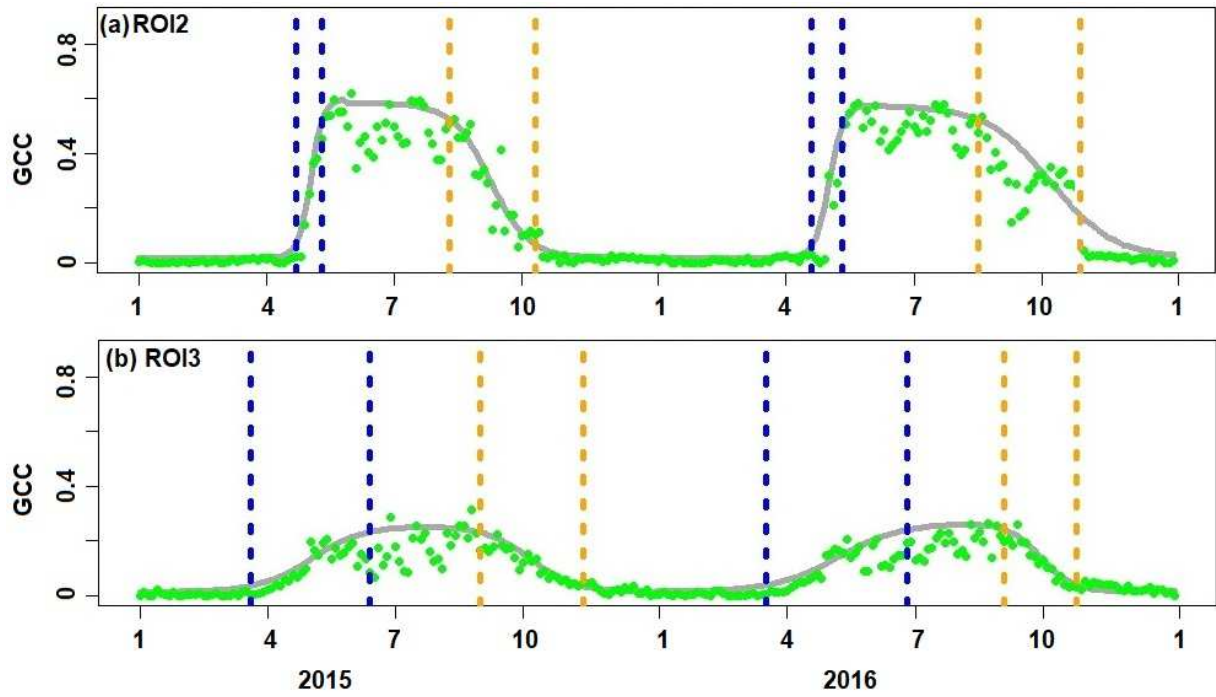
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1110 **Figure S1.** The abrupt changes in crop cover between September 17 and October 25, 2016 at the MSE
1111 site. The top, middle and bottom panels showing images of crop harvest, emergence of rice stubble
1112 sprouts and the removal of stubble sprouts by plowing, respectively.

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1117 **Figure S2.** Phenological detection results for ROI2 and ROI3 at the MSE site. Solid green circles
 1118 represent the original snow-free GCC, respectively. The grey solid lines represent the reconstructed
 1119 greenness trajectories. The blue dashed lines represent the detected SOS and EOS whereas orange dashed
 1120 lines represent SOF and EOF.

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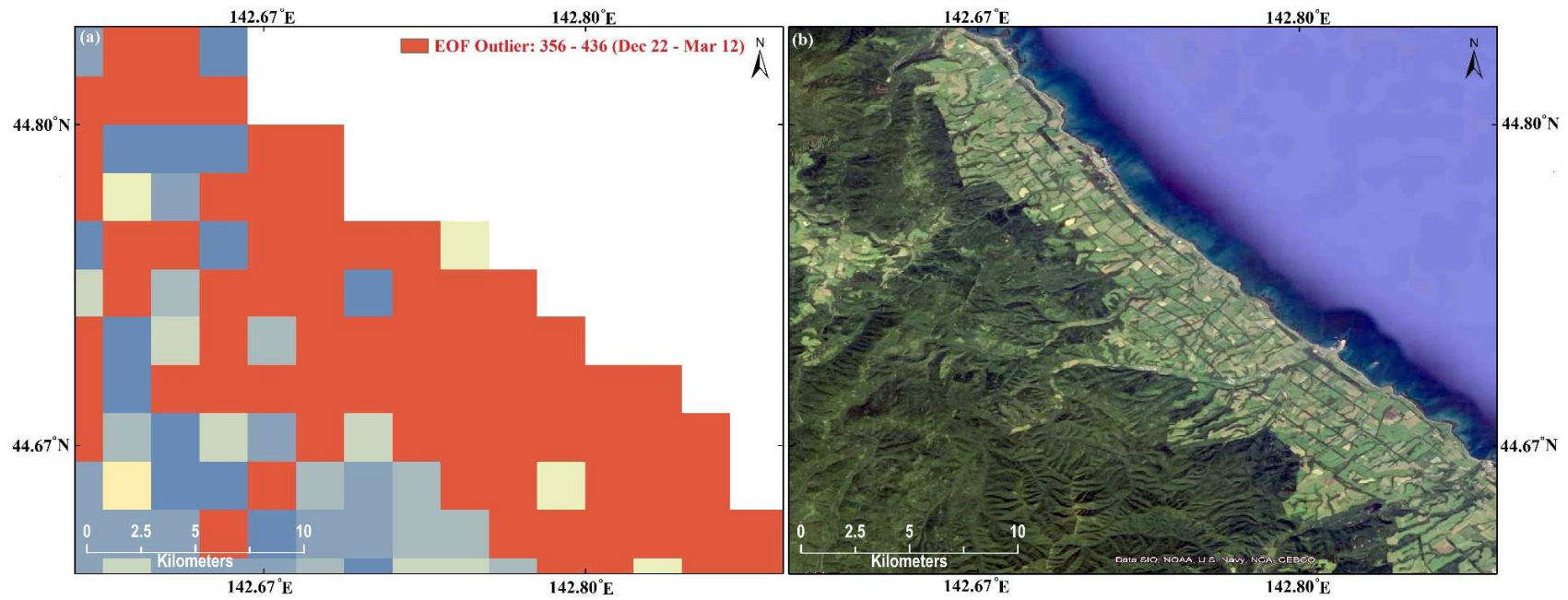
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1134 **Figure S3.** The spatial pattern of EOF outliers (a) and a high-resolution Google Earth image (b) in the coastal areas of northern Japan. The
 1135 acquisition date of the Google Earth image is 12/30/2016.

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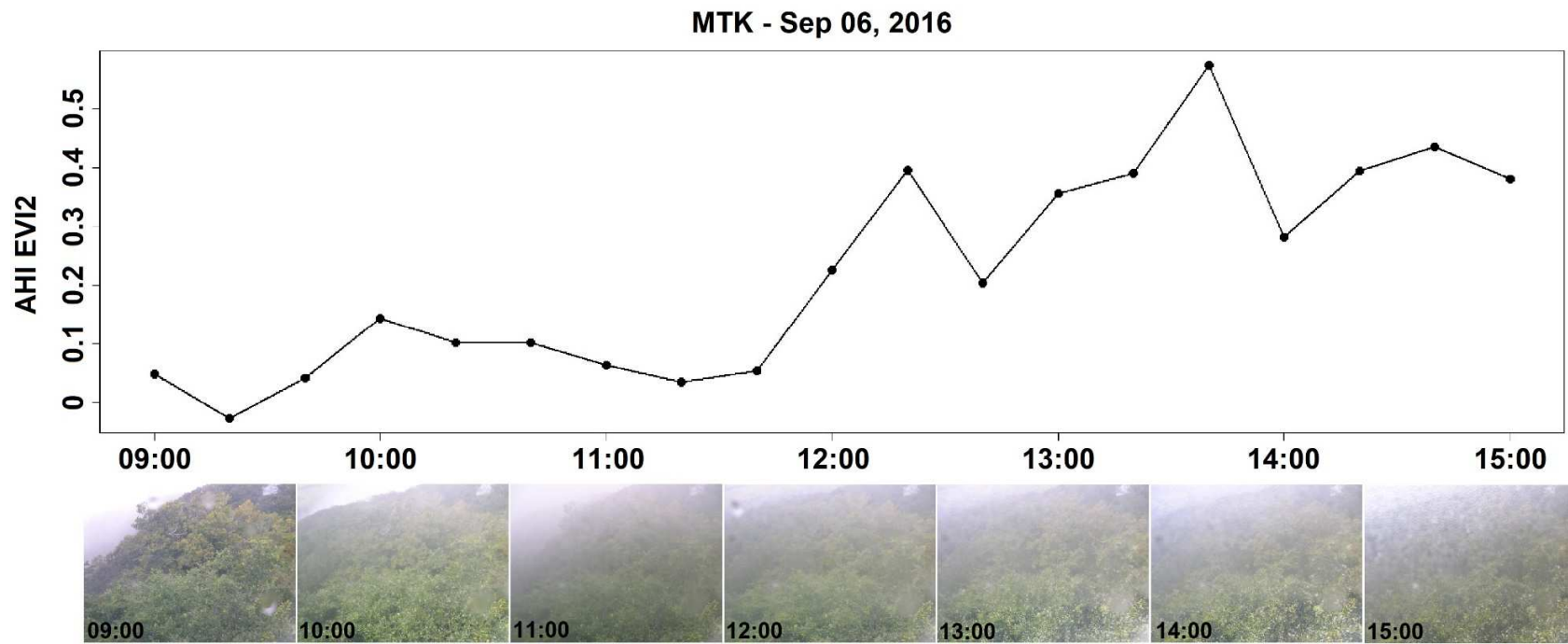
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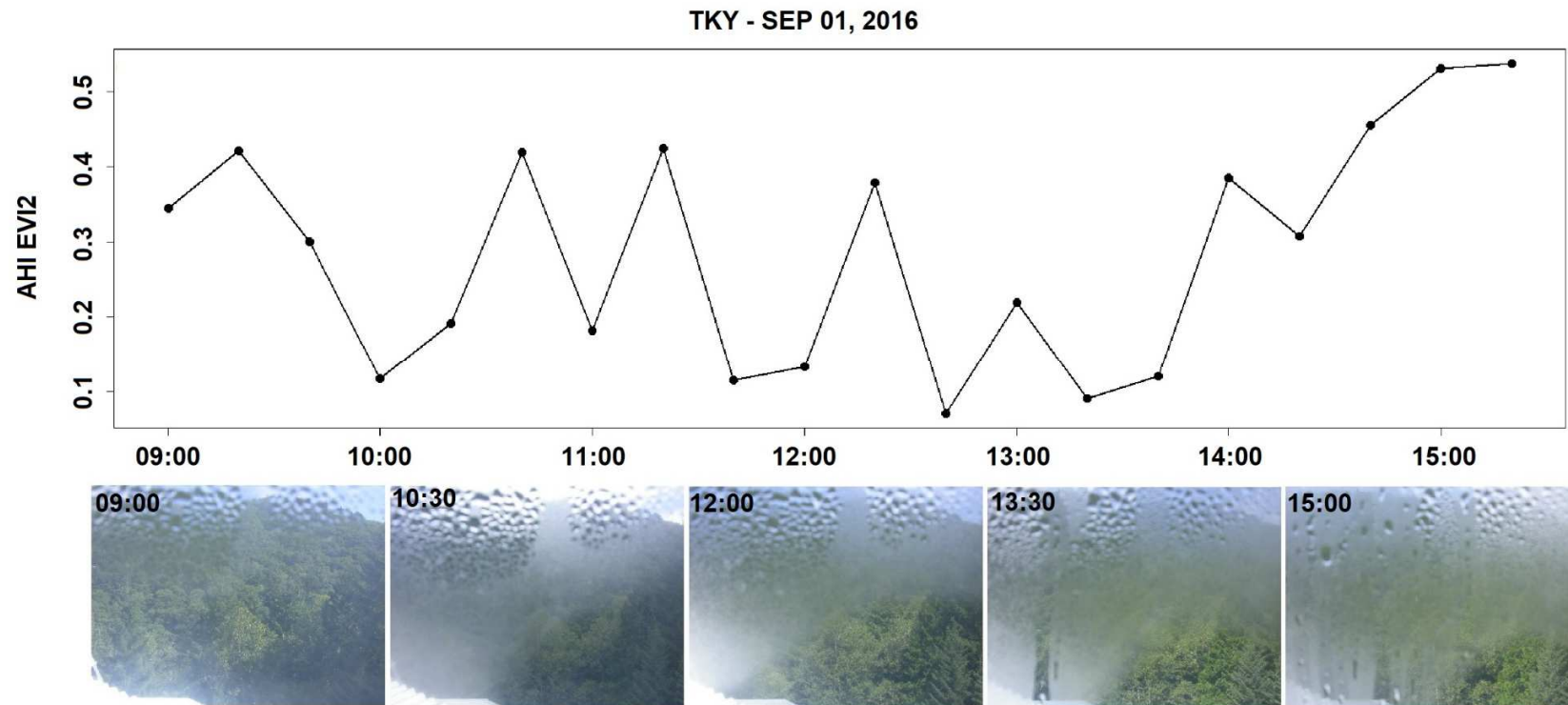
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1145 **Figure S4.** The 20min EVI2 (top row) and hourly photographs (bottom row) between 09:00 and 15:00 at the MTK site on September 06, 2016.

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1148 **Figure S5.** The 20min EVI2 (top row) and 90min photographs (bottom row) between 09:00 and 15:00 at the TKY site on September 01, 2016.

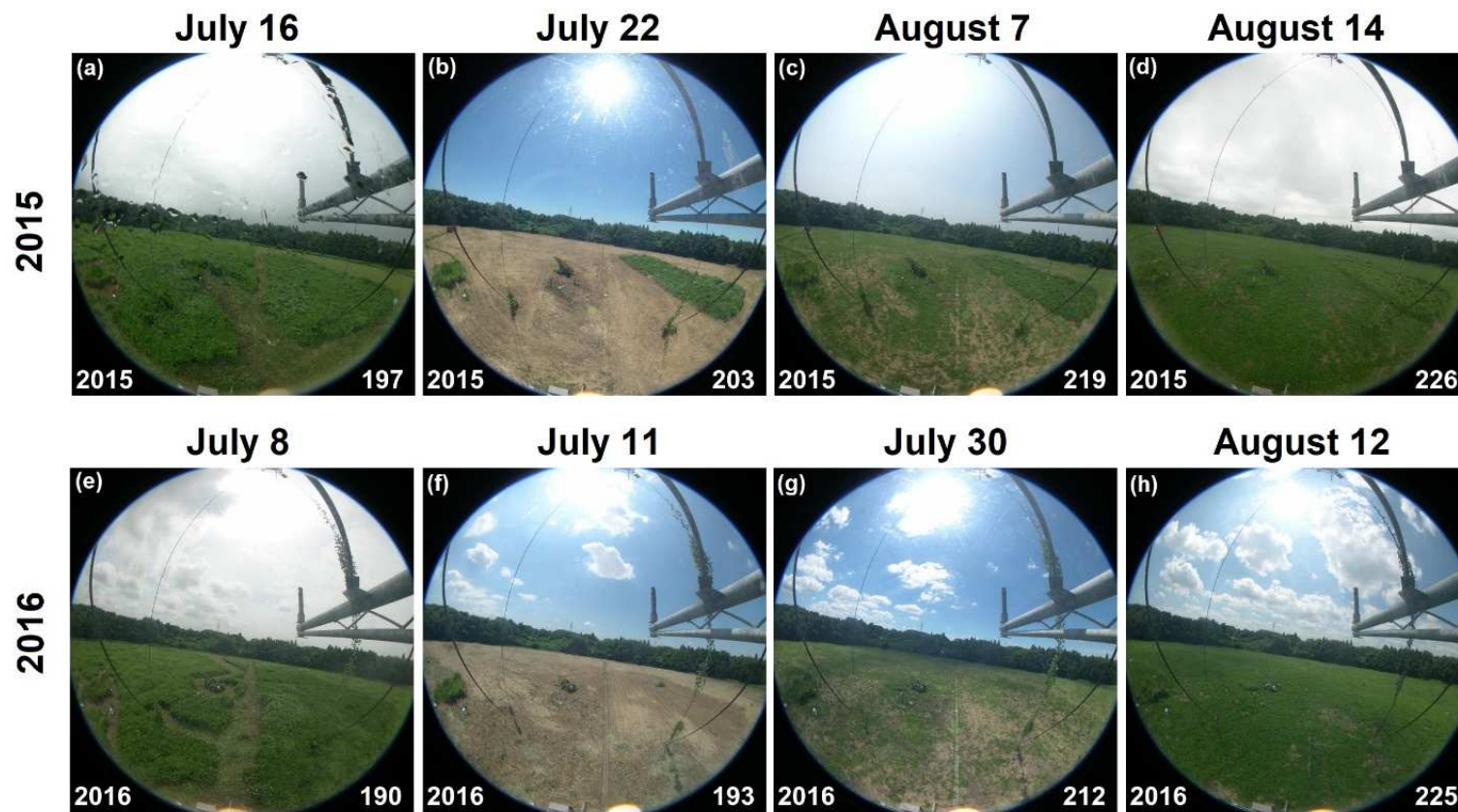
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 1155 **Figure S6.** The rapid harvest and regrowth of grass during summer at the TGF site in 2015 and 2016. Day of year is reported at the lower
 1156 right corner of each panel.

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