1	The genetic composition of wild recruits in a recovering lake trout population
2	in Lake Michigan
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## 24 Abstract

Strain performance evaluations are vital for developing successful fishery management and restoration strategies. Here, we utilized genotypes from 36 microsatellites to investigate hatchery strain contribution to collections of naturally produced lake trout sampled across Lake Michigan. Strain composition varied by area, with recoveries of Seneca Lake strain exceeding expectations based on stocking records in northern Lake Michigan but performing similarly to other strains in southern Lake Michigan. Interstrain hybrids were present at moderate frequencies similar to expectations based on simulations suggesting that strains are interbreeding randomly. We hypothesize that the superior performance of the Seneca Lake strain in northern Lake Michigan is partially due to adaptive advantages that facilitate increased survival in areas with high mortality from sea lamprey predation such as northern Lake Michigan. However, when this selective pressures is lessened, the Seneca Lake strain performs similarly to other strains. Our study demonstrates that strain performance can vary across small spatial scales and illustrates the importance of conducting thorough strain evaluations to inform management and conservation. 

## 47 Introduction

Attempting to rebuild collapsed or extirpated fish populations through stocking of 48 49 hatchery-reared individuals is a common practice, but the short- and long-term success of this approach is highly variable (George et al. 2009). Genetic analysis represents a powerful tool for 50 51 monitoring the success of stocking programs and can provide important information to inform future propagation practices (Fraser 2008; Miller and Kapuscinski 2003). For example, genetic 52 data can be used to assess strain contributions in systems where more than one strain was stocked 53 (Miller et al. 2009), estimate introgression between wild and hatchery strains (Seamons et al. 54 2012), and understand potential fitness consequences when multiple strains are crossed (Huff et 55 al. 2011). Genetic studies have provided important insights on the success of propagation 56 programs; however, these types of analyses are still relatively rare compared to the massive 57 inputs of propagated organisms released into the wild (Halverson 2008). Here, we utilize genetic 58 tools to investigate strain-specific recruitment dynamics and introgression in lake trout from 59 60 Lake Michigan, a heavily propagated species that has shown signs of population recovery. Lake trout (Salvelinus namavcush) recovery efforts in the Laurentian Great Lakes are a 61 prime example of the use of hatchery propagation to rebuild collapsed fisheries and represent one 62 of the largest re-stocking efforts in the world. In Lake Michigan, as in most other Great Lakes, 63 lake trout historically were the apex predator and supported large commercial and recreational 64 fisheries (Holey et al. 1995; Wells and McLain 1973). Predation by invasive sea lamprey 65 (Petromyzon marinus) and overfishing largely led to lake trout extirpation in Lake Michigan by 66 the mid-1950s (Hansen 1999; Smith 1968; Wells and McLain 1973). In the late 1950s and 60s, 67

68 management steps were taken to rebuild populations by the expansion of sea lamprey control,

69 increased fishery regulation, and repopulation from stocking hatchery-reared fish (Heinrich et al.

2003; Holey et al. 1995; Wells and McLain 1973). Nine genetic strains of lake trout have been 70 stocked into Lake Michigan since 1959 (Fig. 1). Most of these strains were derived from the lean 71 (i.e. shallow water) form of lake trout and were captured in Lake Superior (Marquette, Apostle 72 Islands, and Isle Royale) or Lake Huron (Parry/Big Sound). However, two strains (Green Lake 73 and Lewis Lake) were derived from lean lake trout that were captured in Lake Michigan and 74 75 stocked in smaller inland lakes (Jenny Lake/Lewis Lake, WY; Green Lake, WI) before lake trout were extirpated from Lake Michigan. Descendants of these fish were then captured from these 76 smaller inland lakes to produce hatchery brood stock from remnant Lake Michigan genetic 77 78 strains (Krueger et al. 1983; Page et al. 2004). Supplementation of the Green Lake broodstock in the 1980s also involved capturing feral fish uniquely fin-clipped from southern Lake Michigan 79 as a gamete source (Kincaid et al. 1993). Additionally, the Seneca Lake strain was derived from 80 lean lake trout native to Seneca Lake, NY, a finger lake in the Lake Ontario drainage, and the 81 Klondike Reef strain was derived from the humper (i.e. deep water) ecomorphotype found in 82 83 Lake Superior (see Muir et al. 2014 for context on lake trout ecomorphotypes). The strain composition of lake trout stocked into Lake Michigan has varied substantially over the last half 84 century. From 1962-1990, most lake trout stocked in Lake Michigan were derived from the 85 86 Marquette strain. Stocking in the 1990s and early 2000s consisted of primarily Lewis Lake and Apostle Islands strains. More recently, stocking has consisted primarily of Seneca Lake, Lewis 87 88 Lake, Parry Sound, and Klondike strains (Kornis et al. 2019b) (Fig. 1). 89 Early stocking efforts were able to rebuild populations, as hatchery-reared fish survived

well and aggregated for spawning, but there was little evidence of natural reproduction (Holey et
al. 1995). In Lake Michigan this lack of successful natural reproduction was likely due to a
number of factors acting simultaneously but mostly centered around insufficient numbers of

stocked fish and resulting spawning stock, inappropriate stocking locations, and poor survival of 93 eggs and fry (Bronte et al. 2003; Hansen 1999). Modifications of stocking practices informed by 94 these early efforts were made in the 1980s and included stocking fish on historically important 95 offshore reefs instead of only nearshore sites, building up overall population densities, and 96 introducing additional strains to promote genetic and ecological diversity (Bronte et al. 2008; 97 98 Dexter et al. 2011; Holey et al. 1995). These modifications established spawning aggregations at some key sites (Bronte et al. 2007). However, natural reproduction of stocked fish remained rare, 99 potentially due to predation on lake trout fry by invasive alewife (Alosa pseudoharengus) 100 101 (Krueger et al. 2014; Krueger et al. 1995; Madenjian et al. 2008) and/or from thiamine deficiency attributed to alewife consumed by adult lake trout that results in poor survival of eggs 102 and larvae (Brown et al. 2005). High mortality of adult lake trout from sea lamprey and/or 103 104 fishing has also limited establishment of self-sustaining populations in some areas (Bronte et al. 2007; Kornis et al. 2019b). Since 2002, abundances of alewife in Lake Michigan have steadily 105 decreased (Madenjian et al. 2018), and Hanson et al. (2013) reported that by 2005 stocked lake 106 trout had begun to successfully reproduce in Lake Michigan, with wild lake trout comprising an 107 average of 30% of the sport fishery by 2018 driven by wild recruitment in southern Lake 108 109 Michigan (Kornis et al. 2019a), and similar proportions in fishery independent surveys (LMLTWG 2019). 110

Understanding the relative contributions of different genetic strains to emerging natural reproduction of lake trout in Lake Michigan is vital for shaping future management and restoration efforts. Fortunately, strains from Lake Superior, Lake Huron, Lake Michigan, and Seneca Lake are genetically distinct, making genetic assignment of wild fish of unknown genetic origin feasible (Page et al. 2004). There is also evidence of differential survival among strains, which may be related to adaptive differences (McKee et al. 2004; Rogers et al. 2019; Scribner et
al. 2018). For example, the Seneca Lake strain, which co-evolved with sea lamprey, appears to
exhibit lower rates of sea lamprey-induced mortality compared to other strains (Bergstedt et al.
2003; Bronte et al. 2007; Schneider et al. 1996), while strains of Lake Michigan origin (Lewis
Lake and Green Lake) appeared to have higher survival relative to Seneca Lake strain in
southern Lake Michigan with low abundance of sea lamprey (Kornis et al. 2019b).

The overall goal of our study was to use genetic techniques to investigate the strain 122 composition of wild lake trout produced in Lake Michigan. Our specific objectives were to: 1) 123 124 identify a subset of microsatellite markers that reliably delineate strain of origin for the lake trout stocked into Lake Michigan; 2) determine if the genetic markers and reference data are capable 125 of diagnosing the genetic heritage of interstrain crosses and wild caught lake trout; (3) analyze 126 127 naturally reproduced lake trout of unknown origin captured in Lake Michigan to determine the relative contribution of different genetic strains; and (4) compare observed strain contributions 128 with a composite estimate of expected strain compositions reconstructed from stocking records, 129 age, survival, movement, and fecundity data to investigate differential reproductive success 130 among strains and (5) determine if interstrain mating was random. 131

#### **Materials and methods**

#### 133 Sample collection

We obtained lake trout tissue samples from eight hatchery strains (hereafter referred to as reference strains) and from 847 naturally produced lake trout captured throughout Lake Michigan (Tables 1,2). Tissue samples were fin clips preserved in > 95% ethanol or dried in scale envelopes. Hatchery reference strain samples were collected by the U.S. Fish and Wildlife Service from 1999-2015 (Table 1). The genetic structure of seven of the eight reference strains

included in the current study was evaluated by Page et al. (2004); the only strain not analyzed in 139 Page et al. (2004) was Klondike Reef humper, which was not stocked until 2010. No samples 140 from the ninth strain stocked in Lake Michigan, Clearwater Lake, were obtained as this stock 141 was used infrequently and only before 1980. Naturally produced lake trout (Table 2) were 142 collected from surveys conducted by the U.S. Fish and Wildlife Service, Chippewa Ottawa 143 144 Resource Authority, Little Traverse Bay Band of Odawa Indians, Grand Traverse Band of Ottawa and Chippewa Indians, and the Wisconsin, Michigan, Illinois, and Indiana Departments 145 of Natural Resources. Additional specimens were collected from the sport fishery by the U.S. 146 147 Fish and Wildlife Services' coded-wire tagging and recovery program (Bronte et al. 2012). Lake trout caught in these surveys were classified as naturally produced if they did not have a coded 148 wire tag or visible fin clip, as all hatchery-reared lake trout were marked/tagged prior to 149 stocking. This classification is supported by work showing that the vast majority of Lake 150 Michigan lake trout that lack a fin clip or coded wire tag are indeed of wild origin, as opposed to 151 152 erroneously unclipped hatchery fish or migrants from Lake Huron (Landsman et al. 2017). Metadata collected on naturally produced lake trout included date and location of capture, total 153 length (mm), weight (g), sex, maturity status, and age as estimated from otolith cross sections as 154 155 described by Campana et al. (2008). The vast majority of naturally produced fish had survived early juvenile life-stages and > 95% of fish longer than 300 mm. 156

157 Naturally produced fish included in this study were sampled from across Lake Michigan, 158 with the most fish sampled in the north and southwest areas of the lake. We constructed seven 159 geographic strata based on available samples to facilitate spatial estimates of strain contributions 160 (Table 2). These strata were drawn based on management district boundaries, with the exception 161 of the Southern Refuge stratum, which was included as its own stratum due to high sampling effort in the area and evidence that this area may be the source for many of the naturally
reproduced lake trout in Lake Michigan (Kornis et al. 2019b; Patterson et al. 2016). It is
important to note that numbers of fish captured in each stratum are a function of sampling effort
and do not necessarily correlate with lake trout abundances in these strata. We did not conduct
temporal analyses across years or seasons as sample sizes were insufficient for these
comparisons.

#### 168 Laboratory analysis and quality control

Microsatellite genotyping of lake trout was conducted using methods similar to Ruzich et 169 170 al. (2019). DNA was extracted with the Promega Wizard® Genomic DNA purification kit (Promega Corp., Madison, Wisconsin), following a 96-well configuration. Purified genomic 171 DNA was quantified using a Nanodrop® ND-100 spectrophotometer (Nanodrop Technologies, 172 Wilmington, Delaware), and normalized to a final concentration of 20 ng/ $\mu$ L. PCR amplification 173 was conducted to amplify 49 microsatellite DNA loci developed for lake trout as well as other 174 salmonids (see Table S1 for multiplex information). An ABI 3730 DNA Analyzer (Life 175 Technologies, Carlsbad, California) was used to separate PCR amplicons and determine allele 176 sizes. Allele sizes were visually verified using Genemapper® software V4 (Life Technologies, 177 178 Carlsbad, California) and allele calls were collated into a collection of multi-locus data for each individual. Approximately 15% fish were double scored as a quality control check. 179 180 After genotyping, we removed loci with > 30% missing data, loci that produced peaks 181 that were difficult to score due to stutter banding, loci that were monomorphic, and loci that deviated from Hardy-Weinberg or linkage disequilibrium in more than half of the eight reference 182 183 strains. Deviations from Hardy-Weinberg or linkage disequilibrium were assessed with exact

tests conducted in Genepop V4 (Rousset 2008) (alpha = 0.05). We also removed individuals with

185 > 50% missing data and individuals that showed evidence of contamination (> 2 alleles at > 2
186 loci).

## 187 Statistical analysis of reference strains

We calculated basic summary statistics for the reference strain dataset to investigate 188 variation in genetic diversity across loci and strains. Observed and expected heterozygosity ( $H_0$ , 189 190  $H_{\rm E}$ ) were calculated in GenAlEx (Peakall and Smouse 2012), number of alleles (A) and allelic richness ( $A_R$ ) were calculated in FSTAT (Goudet 1995), and locus-specific  $F_{ST}$  and  $F_{IS}$  (Weir and 191 Cockerham 1984) were calculated in Genepop. We also used Genepop to calculate pairwise  $F_{ST}$ 192 193 values for each population pair. Finally, we constructed a neighbor-joining dendrogram based on Nei's D<sub>A</sub> distance (Nei et al. 1983) in POPTREE2 (Takezaki et al. 2010) to visualize genetic 194 relationships among populations. Support for each node was assessed with 1,000 bootstrap 195 replicates. 196

We used the Bayesian MCMC approach implemented in STRUCTURE 2.3.4 (Pritchard 197 et al. 2000) to infer the number of major genetic clusters in our data. This program groups 198 individuals into K genetic clusters by minimizing overall deviations from Hardy-Weinberg and 199 linkage equilibrium within clusters. STRUCTURE was run on K-values from 1-10, ten runs were 200 201 conducted for each K-value, and each run consisted of a burn-in period of 10,000 iterations followed by 100,000 iterations. We selected the "admixture model" and "use location as prior" 202 203 options in STRUCTURE as suggested by Hubisz et al. (2009), who found that the "use location 204 as prior" option facilitated detection of population structure at lower levels of divergence. The most likely value of K was evaluated based on likelihood values as well as with the  $\Delta K$  method 205 206 (Evanno et al. 2005), and the results were summarized with Structure Harvester (Earl and

vonHoldt 2012). We also used the program CLUMPAK (Kopelman et al. 2015) to assess

208 convergence among runs and generate consensus data for each K-value.

#### 209 Assignment of unknown individuals to strain of origin

A primary objective of the current study was to determine if our reference dataset had 210 sufficient power for assigning individuals of unknown origin to their natal genetic strain and 211 212 classifying individuals as either pure or interstrain crosses (i.e., hybrids). To assess our assignment power, we simulated 1,000 individuals from all possible pure and F1 crosses of our 213 214 reference strains with the program HYBRIDLAB (Nielsen et al. 2006). For this analysis, we 215 combined the Isle Royale, Apostle Islands, and Marquette collections into a single Lake Superior strain because they are genetically similar (see Results). We then assessed our ability to assign 216 simulated individuals to their strain of origin by calculating posterior probabilities of assignment 217 in STRUCTURE. STRUCTURE was run at K=6 (see Results) with the reference strains 218 classified as known individuals (POPFLAG=1) and the simulated individuals classified as 219 220 unknowns (POPFLAG=0), and run parameters were the same as above. HYBRIDLAB does not simulate missing data, but < 3% of genotypes were missing from individuals on average 221 indicating that simulated results should be comparable to results from empirical data. 222 223 After exploratory analysis using a range of cutoffs and comparing results to simulated (i.e. known proportion) samples, we determined that the highest assignment accuracies were 224 225 achieved with a cutoff of 0.7. That is, if an individual had an assignment probability (i.e. q-226 value) > 0.7 for a given strain, that individual was classified as pure, and if an individual had an assignment probability < 0.7, it was classified as a hybrid. All individuals with assignment 227 228 probabilities < 0.7 were classified as F1 hybrids between the top two contributing strains. A 229 probability cutoff of 0.7 was shown to produce high assignment accuracy for F1 hybrids between populations with similar  $F_{ST}$  values as those in our study and using a similar numbers of markers as we genotyped (Vaha and Primmer 2006) providing further evidence that our approach should be robust.

233 We then compared the mixture estimates for simulated crosses derived from

234 STRUCTURE to mixture estimates derived from the program ONCOR

235 (http://www.montana.edu/kalinowski/Software.htm). ONCOR outperformed STRUCTURE for

236 pure crosses, but substantially underperformed STRUCTURE for hybrid crosses (see results).

237 We proceeded with assignment using STRUCTURE since a high proportion of the fish in our

study were classified as putative hybrids, and high error assigning hybrid crosses would lead to

high error in our mixture estimates. This large discrepancy between STRUCTURE and ONCOR

indicates that the mixture calculation algorithm employed in ONCOR and similar programs may

not be well suited for estimating mixture proportions when hybrids are present. Unfortunately,

the STRUCTURE approach does not facilitate the estimation of confidence intervals. However,

243 we do discuss how strain-specific error rates may influence estimates in the results section.

After conducting assignment tests and choosing an approach for mixture analysis, we 244 assigned naturally produced individuals to their strain of origin using the same methods. It is 245 246 important to note that since natural reproduction of lake trout has likely been occurring for < 1generation in Lake Michigan (Hanson et al. 2013), we assumed all hybrids in the population are 247 248 F1 hybrids for this analysis. If advanced generation hybrids were present, they may be assigned 249 as pure, but we believe this is highly unlikely as there were only four fish in our dataset over the age of 20 and these could have represented individuals where fin clips to denote hatchery origin 250 251 had regrown. To determine the observed proportional contribution for each strain among the 252 samples collected, we needed to account for both pure and hybrid contributions. We therefore

assigned hybrids 50% of the weight of pure individuals. For example, for a mixture containing 30 pure Seneca Lake fish, 20 Seneca Lake x Lewis Lake hybrids, and 10 pure Lewis Lake fish, the proportion of Seneca ancestry is calculated as (30+(0.5\*20))/60 = 66%.

We derived 95% confidence intervals for proportional estimates using the prop.test 256 function in R and the estimated assignment accuracy for each strain derived from simulated 257 258 individuals. The prop.test function produces a confidence interval that incorporates sample size but does not take into account assignment accuracy for each strain. Therefore, we expanded these 259 confidence intervals based on assignment accuracy. For example, if the original confidence 260 261 interval calculated with prop.test was 0.5-0.6 and the assignment accuracy to a given strain was 0.9, the adjusted confidence interval would be 0.45-0.66. This approach is conservative and takes 262 into account both sampling error and assignment accuracy. For estimates that included both pure 263 264 and hybrid crosses, assignment accuracy was the average assignment accuracy of all crosses included in the estimate. 265

#### 266 Calculating expected strain and cross contributions

Deriving the expected strain proportions based on stocking levels is vital for investigating 267 strain-specific differences in survival and reproductive success. We sought to compare expected 268 269 proportions with empirical observations to determine strain contributions to natural reproduction 270 relative to stocking levels. Our general approach for deriving strata-specific expected strain 271 proportions was to use a composite method to reconstruct the strain proportions of the spawners 272 that produced the lake trout that we sampled. This analysis required data on stocking rates, age composition, fecundity, and movement patterns. In general, the expected proportions of each 273 274 strain were relatively similar to the stocking rates of that strain during the brood year the parents 275 of most naturally produced fish were produced (generally the early 2000s). However, other

factors such as movement and age-specific fecundity make it inappropriate to simply use the 276 stocking and age data alone. It is also important to note that these expected proportions do not 277 incorporate any direct measures of survival of stocked strains or recruitment across strains or 278 strata, as data on these metrics is sparse. As such, they do not reflect the actual strain 279 composition of spawners, but rather our best estimate of what the strain composition should have 280 281 been given stocking records and other metrics. Differences in survival or recruitment among strains and strata are not incorporated into these estimates thus any differences in observed and 282 expected strain proportions of naturally produced fish may be a result of these two metrics, 283 284 among others (see discussion).

To calculate estimates of expected strain proportions, we first derived the cohort (i.e., 285 year class) of all naturally produced lake trout in our study using the year of capture minus the 286 otolith age, and then calculated cohort proportions for each stratum. We then used spawner 287 survey data collected according to Schneeberger et al. (1998) to calculate the age composition of 288 spawners for each cohort and stratum. Only ages 6-20 were included in this analysis because six 289 is the age when most lake trout reach sexual maturity in Lake Michigan (Madenjian et al. 1998) 290 and very few spawners were older than 20. Spawner age compositions were corrected with a 291 292 fecundity multiplier (Table S2) because older fish (at least up to 20 years old) are expected to produce more offspring than younger fish (e.g., Peck 1988). Fecundity multipliers were 293 calculated from predicted mean total length of lake trout at each age in each strata using the 294 295 relationship between fecundity and total length presented by Fitzsimons and O'Gorman (1996) for lake trout from Lake Ontario. Predicted mean lengths-at-age were determined from von 296 Bertalanffy growth models fit to age/length data from spawner surveys, and fecundity multipliers 297 298 were expressed relative to expected fecundity at the minimum age of maturity (age 8 for

Southern Refuge, age 6 for all other locations) (See Supplementary File 1 for additional details). 299 Next, we obtained stocking proportions by year and stratum from available stocking data 300 (USFWS and GLFC 2017). We then weighted these stocking proportions based on the cohort 301 composition of the naturally produced fish and the age composition and estimated relative 302 fecundity of spawners in each stratum to obtain a stratum-specific expected stocking proportion. 303 304 Finally, we corrected for movement of lake trout spawners among strata by multiplying stocking proportions by a movement matrix (Table S3). Movement data were derived from coded-wire 305 tagged lake trout, which provided data on both stocking and recovery location (M. Kornis and C. 306 307 Bronte, unpublished data). Movement was expressed as the percentage of lake trout spawners recovered in each stratum that were expected to originate from other strata. See Supplementary 308 File 2 for details. 309

We also calculated the expected proportion of each cross type (i.e. pure versus hybrids) 310 under random mating to investigate whether hybrids or certain cross types were over or 311 underrepresented in samples of naturally produced fish. Expected proportions of each cross type 312 in each strata were calculated using either observed strain proportions based on genetic data or 313 expected strain proportions based on stocking data. The hypothetical spawning population used 314 315 to estimate these proportions was assumed to contain only pure strain individuals, a reasonable assumption given that meaningful natural reproduction of lake trout in Lake Michigan is a 316 317 relatively recent development. Proportions were calculated as follows: the proportion of pure 318 strain individuals was calculated as the strain of those individuals in the current generation squared and the proportion of interstrain hybrids was calculated as two times the proportion of 319 320 strain one times the proportion of strain two. For example, if the proportion of the Seneca strain 321 in a given strata was 0.7 and the proportion of the Green Lake strain was 0.3 the expected

proportion of pure Seneca strain fish is  $0.7^2 = 0.49$  and the proportion of Seneca x Green Lake hybrids is 2 \* 0.7 \* 0.3 = 0.42.

324 **Results** 

### 325 *Laboratory analysis and quality control*

We attempted to genotype 49 microsatellite DNA loci and retained 36 that produced high 326 quality data (Table S4). Of the 49 original loci, we removed four that were monomorphic in our 327 dataset, three that had > 30% missing data, two that were difficult to score (i.e. produced 328 ambiguous allele peaks), two that were out of Hardy-Weinberg equilibrium, and two that were in 329 linkage disequilibrium with another locus (Table S4). The loci that we retained had an average of 330 13 alleles in our dataset (range: 2 to 38), an average  $H_0$  of 0.56 (range 0.00 to 0.93), and an 331 average  $F_{ST}$  of 0.04 across strain comparisons (range 0.00 to 0.18). We genotyped 1.485 332 individuals at the 36 loci that we retained and removed 34 individuals with > 50% missing data, 333 42 putatively contaminated individuals, and one individual that was likely a migrant from Lake 334 Huron (see below), resulting in a final dataset of 1,409 individuals (genotype data in Table S5). 335 *Reference strain analysis* 336

Genetic structure among reference strains was relatively high, with pairwise  $F_{ST}$  values 337 averaging 0.04 (Table 3). In general, population structure was partitioned by lake of origin, with 338 the largest differentiation in the dataset observed between Seneca Lake and all other populations 339 (Fig. 2, Table 3). Three of the four populations in Lake Superior (Marquette, Apostle Islands, 340 Isle Royale) were genetically similar ( $F_{ST} < 0.01$ ), and the Klondike Reef strain also grouped 341 with these populations according to the neighbor-joining dendrogram but was more divergent 342 343 (Fig. 2). Green Lake and Lewis Lake, which were both derived from Lake Michigan, displayed an  $F_{ST}$  of 0.04, indicating that they are diverged even though they are derived from a similar 344

345 geographic area. Genetic diversity was relatively similar among strains, with  $H_0$  ranging from 346 0.55 to 0.57. However, the three lean populations from Lake Superior did contain slightly more 347 alleles (average A = 9.79 and effective A = 5.29 for Superior leans, 7.61 and 4.27 respectively for 348 the rest of the dataset).

Results from STRUCTURE analysis were largely congruent with patterns of population 349 350 structure suggested by  $F_{ST}$  values and the neighbor-joining dendrogram (Fig. 3). However, we 351 were able to observe some potential population admixture that was not apparent with the other analyses. Analysis of multiple K-values revealed that the largest  $\Delta K$  occurred at K=2 followed 352 353 by K=4 and K=6 (Table S6). However, the likelihood of each K increased substantially until K=6, where it began to plateau (Table S6). K=6 displayed low variance among runs and was also 354 the first K where the Parry Sound population formed its own cluster. For these reasons, we chose 355 to visualize our data at K=6 and use this K for assignment of unknown individuals (see below). 356 The genetic clusters at K=6 generally correspond to Seneca Lake, Parry Sound, Lewis Lake, 357 Green Lake, Klondike Reef and Lake Superior lean (includes Marquette, Apostle Islands, and 358 Isle Royale) (Fig. 2). The Seneca Lake, Parry Sound, Lewis Lake, Green Lake, Apostle Islands 359 and Isle Royale reference strains displayed little admixture, with the vast majority of individuals 360 361 in each strain appearing pure. However, we did observe substantial admixture in the Klondike Reef strain, where ~20% of individuals were genetically similar to the Lake Superior lean cluster 362 363 and 80% formed a genetically distinct cluster. We also observed apparent admixture from the 364 Lewis Lake strain in the Marquette sample, which suggests that these strains may have introgressed in the hatchery at some point. 365

366 Assignment of accuracy of simulated individuals

We constructed five reporting groups for assignment based on STRUCTURE analysis 367 and preliminary assignment tests: Seneca Lake, Parry Sound, Lewis Lake, Green Lake, and 368 Superior (Table 1). We originally included a separate reporting group for Klondike Reef, but low 369 assignment accuracy (63% correct for pure simulations) prompted us to combine Klondike Reef 370 with the rest of the Lake Superior populations to form a single reporting group. Correct 371 assignment of pure crosses was 91% on average and correct assignment of F1 interstrain hybrids 372 was 83% on average (Table 4). Misclassification of cross type (i.e. pure or hybrid) was rare, with 373 zero simulated pure individuals identified as putative hybrids, and < 5% of simulated hybrid 374 375 individuals identified as pure. Pure and hybrid crosses from Seneca Lake and Parry Sound had the highest assignment accuracies (> 95% for pure crosses, 91% for Seneca x Parry Sound hybrid 376 cross), while strains from Lake Superior had lower accuracy (75% for pure cross, ~80% for 377 hybrid crosses between Superior and other strains). The lower assignment accuracy for the Lake 378 Superior strain was largely caused by misassignment of simulated individuals to the Lewis Lake 379 380 reporting group, an expected result given the admixture observed in the STRUCTURE analysis. Fortunately, most naturally reproduced lake trout with Lake Superior ancestry found in Lake 381 Michigan are likely to be derived from the genetically pure Apostle Island strain, as the 382 383 Marquette strain was not stocked at high numbers after 1990. This means that our realized assignment accuracy for Lake Superior strains is likely higher than the 75% derived with 384 simulations. 385

Assignment accuracy of mixture proportions was higher for pure strains using ONCOR but much higher for hybrid crosses with STRUCTURE (Table 4). ONCOR performed poorly for all hybrid crosses except Seneca x Parry, with substantial mis-assignment to the Superior group across many different crosses. Accuracy of mixture proportions was generally high with

STRUCTURE, with the lowest accuracy to the Superior pure strain (87%). The lowest accuracy 390 to hybrid classes with STRUCTURE was 44% (expected 50%) for the Green Lake strain in two 391 crosses. Although we were unable to construct confidence intervals from the STRUCTURE 392 analysis, data from mixtures of simulated individuals does provide information on potential 393 sources of error. Superior strain fish are misclassified most frequently, with pure Superior 394 395 individuals being classified as Lewis Lake 7% of the time and Green Lake 3%. Mis-assignment in the hybrid crosses often consisted of individuals from Green Lake or Lewis Lake strains being 396 assigned to Lake Superior. These results indicate that estimates for the Lake Superior strain 397 398 could be slightly higher or lower than reported depending on the number of hybrids found in the mixture. However, we are highly confident that our estimates are within a few percent of the 399 correct proportions given the relatively low error rates in general with the STRUCTURE 400 analysis. 401

### 402 *Strain composition of naturally produced lake trout*

All but one of the 848 naturally produced lake trout that we analyzed were assigned to a strain that has been stocked into Lake Michigan. The single exception was a five-year-old fish which assigned as a Parry Sound x Superior hybrid and was likely a migrant from Lake Huron based on stocking history of the Parry Sound strain in Lake Huron. We subsequently removed this sample from the dataset. Metadata for all naturally produced lake trout is in Table S7.

The Seneca Lake strain was the most frequent genetic origin of wild fish captured in Lake Michigan and was found at proportions > 50% for six of seven spatial strata (Fig 4, Table 5). The sole exception was the Grand Traverse Bay stratum, where the Seneca Lake strain was encountered at 26% compared to 52% for the Lewis Lake strain. Although there were few clear spatial differences in strain composition in strata outside of Grand Traverse Bay, the proportion of the Green Lake strain did appear to increase from North to South. Additionally, the proportion of the Superior strain appeared to be higher on the west side of the lake. The proportion of pure and hybrid crosses was extremely similar on average (52% pure, 48% hybrid), with six of seven strata displaying proportions of pure crosses between 39% and 60% (Table S8). Interestingly, the north stratum displayed the highest percentage of pure crosses (75%), with most of these (60% of total sample) represented by the Seneca Lake strain.

419 Comparison between observed and expected strain contributions

The expected stocking proportions that we derived largely reflected stocking proportions 420 421 in the early 2000s, as this is the period when the fish that produced the natural recruits we sampled were likely stocked. In this time period, the four major genetic strains that we 422 423 investigated (Seneca Lake, Lewis Lake, Green Lake, and Lake Superior), were stocked at similar rates ranging from 19% overall for Seneca Lake to 32% overall for Lake Superior (Table 5). 424 However, these proportions varied among spatial strata, with Lewis Lake and Superior stocked at 425 higher numbers in the northern part of Lake Michigan and Green Lake and Seneca Lake stocked 426 more in the South. 427

Comparisons of strain proportions derived from genetic data to expected proportions calculated using the composite method described above revealed that the Seneca Lake strain was found at higher proportions than expected, but that this pattern varied among strata (Fig. 5, Table 5). Seneca Lake outperformed expectations based on stocking for all strata and was overrepresented by 38% on average (range 12% to 66%, Table 5). Contrastingly, the Superior and Green Lake strains performed the poorest on average, and underperformed expectations by ~15% (range underperform by 46% to overperform by 6%). The Lewis Lake strain was intermediate, underperforming expectations by 9% on average (range underperform by 21% to
overperform by 3%.)

Strain performance was highly variable by area, with the largest differences in 437 performance among strains observed in the North stratum and the smallest differences observed 438 in the Southern Refuge and Grand Traverse Bay strata (Fig. 5, Table 5). For example, in the 439 440 North stratum, the only highly successful strain appeared to be Seneca Lake, whereas in the Southern Refuge and Grand Traverse Bay strata, most strains performed similarly to 441 expectations based on stocking. This spatial variation is well illustrated by the Lake Superior 442 443 strain, which performed poorly in the North stratum but exceeded expectations by 5% on average in the Southwest and Southern Refuge strata. Of the four strains, only Green Lake failed to 444 exceed stocking expectations in two or more strata, indicating that this strain may perform poorly 445 regardless of where it is stocked. 446

When composite estimate of expected strain compositions reconstructed from stocking 447 records (i.e. expected proportions in Table 5) were used to estimate the proportion of each cross 448 type that should be observed based on random mating, these estimates differed substantially from 449 what we observed in our genetic data (Fig. 6, Table S8). However, when random mating 450 451 simulations were conducted based on observed genetic proportions (i.e. observed proportions in Table 5), differences in the frequency of each cross type between observed and expected data 452 were minimal. The large differences between stocking proportions and observed genetic 453 454 proportions for each cross type (Fig. 6a) is likely a result of differential survival among strains. However, the fact that there are few differences between observed and expected proportions of 455 each cross type based on genetic data (Fig. 6b) suggests that there is no substantial fitness 456

difference between pure and hybrid cross types, that is, there is no strong evidence of eitherhybrid inferiority or hybrid vigor.

## 459 **Discussion**

460 *Genetic differentiation of reference strains and accuracy of strain assignment* 

The patterns of genetic differentiation among strains that we documented were similar to 461 those observed in past studies using allozymes and microsatellites (Marsden et al. 1989; Page et 462 al. 2004; Page et al. 2003). For example, Page et al. (2004) genotyped seven of the eight strains 463 that were analyzed in the current study with a panel of nine microsatellites and also found that 464 Seneca Lake and Parry Sound strains were highly diverged, with less divergence among strains 465 derived from Lake Superior and remnant Lake Michigan populations. Additionally, our results 466 467 and those of Page et al. (2004) indicated that Lewis Lake and Green Lake broodstock, which were both derived from Lake Michigan lake trout, have diverged substantially during captivity 468 and release into other systems. It is possible that some of this differentiation may be due to 469 470 genetic drift caused by moderate population bottlenecks as postulated by Page et al. (2004). However, the complex histories of these strains make it difficult to determine why they are 471 differentiated from each other. While genetic diversity of the strains analyzed here appears to be 472 adequate, with no major differences in genetic diversity among strains, some of these strains 473 appear to have been substantially modified through domestication and hatchery practices, 474 highlighting the importance of propagation strategies that maintain genetic integrity (e.g. Waters 475 et al. 2015). 476

The only strain that we analyzed that was not analyzed by Page et al. (2004) was the
Klondike Reef strain; we found that approximately 20% of fish in this strain were genetically
similar to Lake Superior lean collections and 80% represented a distinct genetic cluster, probably

composed of Klondike Reef humpers. This pattern was also observed by Salvesen (2015), who 480 conducted assignment tests and found approximately 20% misassignment of this strain to Lake 481 Superior lean populations. It is possible that the Klondike Reef broodstock may contain 482 approximately 20% lean lake trout and 80% humper lake trout, but genetic data are not 483 diagnostic for ecotype (Perreault-Payette et al. 2017). Finally, we documented a small amount of 484 485 potential admixture between the Marquette and Lewis Lake strains, which was also observed using assignment tests by Page et al. (2003). This admixture is likely the result of complex 486 487 genetic history, as the Marquette strain is the oldest lake trout broodstock used in the Great 488 Lakes, was derived from several sources in Lake Superior, and has intermittently been mixed with others (Page et al. 2004). For example, in the 1960s lake trout from the Apostle Islands and 489 Green Lake hatchery strain were added to the Marquette broodstock (Krueger et al. 1983). 490 We were able to assign fish of unknown origin to reference strains with relatively high 491 accuracy despite the small amounts of genetic admixture described above. Strain assignment of 492 lake trout in the Great Lakes has been conducted for over 30 years, first with allozymes 493 (Marsden et al. 1989) and more recently with panels of 4 to 15 microsatellites (DeKoning et al. 494 2006; Page et al. 2003; Roseman et al. 2009; Scribner et al. 2018). These previous studies have 495 496 generally been able to assign individuals to pure crosses with relatively high accuracy, but assignment of interstrain crosses has been difficult. Recently, Scribner et al. (2018) used 497 genotypes from 15 microsatellites and a modification of the Rannala and Mountain (1997) 498 499 assignment algorithm developed by Gaggiotti et al. (2004) to estimate assortative mating probabilities among strains. While this approach provides important information on assortative 500 501 mating, it is still not possible to calculate the frequency of a given cross type to determine if 502 certain cross types are found more or less frequently than expected. Fortunately, the increase in

assignment power facilitated by genotyping 36 microsatellites compared to 15 allowed us to
assign individuals to cross type with relatively high accuracy, representing a significant advance
for studies of lake trout strain composition. However, assignment accuracy for certain crosses
was still somewhat low (< 80% for three comparisons), and accurate assignment past F1 crosses</li>
is likely impossible with the current methods; these assignment accuracies could be increased
using genomic tools (Allendorf et al. 2010), which are currently being developed by K. Scribner
at Michigan State University.

### 510 *Relative performance of reference strains*

Strain assignment of naturally produced lake trout captured throughout Lake Michigan 511 indicated that the Seneca Lake strain was overrepresented in most geographic strata, whereas the 512 other strains were underrepresented on average with some variation among strata. Here, we focus 513 on the Seneca strain as it was most consistently overrepresented (see below), but it is also 514 important to note that the Green Lake strain performed poorly in every strata where it was 515 stocked in at least moderate numbers. It is possible that the long history of domestication in this 516 strain led to inbreeding depression or domestication selection, making it maladapted to the wild 517 compared to other strains (reviewed in Christie et al. 2014). Performance of the Lewis Lake and 518 519 Lake Superior strains was more variable, with the Lewis Lake strain performing similar to or exceeding expectations in the Traverse Bay and Southern Refuge strata and the Superior strain 520 performing similar to or exceeding expectations in the Southwest and Southern Refuge strata. 521 522 This spatial variation in strain performance provides important information for managers that can potentially be used to inform stocking with the goal of maximizing post-release survival and 523 524 increase the probability of producing wild recruits.

Although we generally interpreted our results based on point estimates for stock 525 compositions, understanding and interpreting uncertainty in our data is also important. The two 526 major sources of uncertainty in our data are uncertainty due to finite and sometimes relatively 527 small sample sizes (e.g. as low as 77 fish in the East strata) and uncertainty due to variation in 528 assignment accuracy across strains (as low as 75% accuracy for the pure Superior strain). Our 529 530 approach for constructing confidence intervals integrated both of these sources of uncertainty, and uncertainty due to sample sizes was much more influential than uncertainty due to 531 assignment accuracy. Specifically, weighting for assignment accuracy increased the size of 532 533 confidence intervals by a maximum of about 0.15, while variation in sample sizes changed the size of confidence intervals much more substantially. Fortunately, differences between observed 534 and expected strain proportions are generally very large across our dataset, making our 535 inferences highly robust despite relatively wide confidence intervals. 536

The Seneca Lake strain was overrepresented based on expectations from stocking in the 537 northern and, to a lesser degree, southern areas of the lake, but the proportional contribution of 538 the Seneca Lake strain was similar to expectations in the Southern Refuge and Grand Traverse 539 Bay. Previous analyses using genetic assignment to estimate strain composition of naturally 540 541 produced lake trout in Lakes Ontario, Huron, and Michigan have generally found that the observed contributions of the Seneca Lake strain are higher than expected (DeKoning et al. 542 543 2006; Marsden et al. 1989; Page et al. 2003; Roseman et al. 2009; Scribner et al. 2018). In Lake 544 Michigan, both Page et al. (2003), who conducted genetic analysis on young-of-the-year samples from Little Traverse Bay (northeastern Lake Michigan), and DeKoning et al. (2006), who 545 546 analyzed fry and egg samples from southern Lake Michigan, also documented higher than 547 expected proportions of Seneca Lake individuals among naturally produced recruits. However,

relative survival results based on coded wire tag (CWT) recoveries of adults in Lake Michigan 548 do not necessarily reflect the same trends (Bronte et al. 2007; Kornis et al. 2019b; McKee et al. 549 2004). Earlier CWT studies in Lake Michigan (Bronte et al. 2007) and Lake Huron (Eshenroder 550 et al. 1995) found greater survival of Seneca Lake strain compared to Lewis Lake strain. By 551 contrast, a more recent analysis of CWT returns from 1993-2003 year-classes found that the 552 553 Seneca Lake strain had lower relative survival compared to Lewis Lake and Green Lake strains when stocked in southern Lake Michigan (Kornis et al. 2019b). Findings from our study appear 554 more similar to recent results from CWT data than from genetic analysis of eggs and fry. 555 556 However, it is difficult to decipher whether differences between studies are the result of samples being collected in different time periods or at different life stages. Nevertheless, our study 557 illustrates the importance of conducting spatially representative sampling when evaluating strain 558 559 performance, as our results suggest that dynamics that lead to differences in strain representation are multifaceted and spatially variable (see discussion of Seneca strain dynamics below). 560 561 Overrepresentation of Seneca Lake strain may stem from a combination of movement of wild fish after recruitment and characteristics of the strain that facilitate survival in challenging 562 environments. The Seneca Lake strain was most overrepresented in northern Lake Michigan, 563 564 where lake trout experience high mortality from predation by sea lamprey as well as exploitation by commercial and, to a lesser extent, recreational fisheries (Kornis et al. 2019b). These sources 565 566 of mortality have resulted in a truncated age distribution in northern Lake Michigan, with fewer 567 sexually mature fish compared to other areas (Bronte et al. 2007; Kornis et al. 2019b) and, as a result, little evidence of natural reproduction has been observed (Kornis et al. 2019a; LMLTWG 568 569 2019). In contrast, lake trout in southern Lake Michigan, in particular the Southern Refuge, are 570 not as exposed to sea lamprey predation or fishing mortality and hence have higher densities of

older, mature fish that substantially contribute to natural reproduction in Lake Michigan (Bronte
et al. 2007; Kornis et al. 2019b). The Seneca Lake strain is stocked heavily in southern Lake
Michigan and especially on the Southern Refuge, therefore, many of the sexually mature fish in
this area are Seneca Lake strain. We hypothesize that a partial explanation for the high
proportion of naturally produced Seneca Lake strain fish in many parts of Lake Michigan,
especially the north, is that these fish were produced in southern Lake Michigan and then
migrated to other parts of the lake.

Our estimates of expected proportions accounted for movement of spawners (stocked 578 579 fish) among strata but were not weighted for potential reproductive output. That is, estimated proportions assumed of equal potential for reproduction in all strata. Although wild reproduction 580 has been observed on other reefs in Illinois (Patterson et al. 2016) and is likely occurring at 581 several locations around the lake, it is likely there are recruitment hotspots given the habitat 582 requirements for lake trout reproduction (e.g., Marsden et al. 1995) and the fact that wild lake 583 trout reproduction in Lake Michigan is a relatively recent development. Our results, although not 584 conclusive, are consistent with what would be expected if disproportionately high levels of 585 recruitment occurred on the Southern Refuge with dispersal to other strata thereafter. The 586 587 Southern Refuge is rich in spawning habitat, protected from exploitation, has a robust age structure of parental stock, and a high abundance of spawners (Dawson et al. 1997; Kornis et al. 588 589 2019b). The same can be said for Julian's Reef and other reefs in Illinois (Patterson et al. 2016), 590 but spawner populations are likely largest on the Southern Refuge due to more habitat, higher stocking levels, and lower total mortality. Movement of stocked fish from the Southern Refuge 591 592 to all strata was high, with the exception of northern Lake Michigan and Grand Traverse Bay 593 (Supplementary File 2). However, even a low rate of immigration of wild fish from southern

Lake Michigan to the north could still explain overrepresentation of Seneca strain in this area as most stocked fish recovered in the north stratum originated there, and recruitment in this area is likely near zero as suggested by survey data (Kornis et al. 2019b).

Another possible explanation for the higher frequency of the Seneca Lake strain in 597 northern Lake Michigan is unique and heritable characteristics that may make them more 598 599 successful in the northern part of the lake (Bronte et al. 2007). The Seneca Lake strain appears to occupy cooler and deeper water than other strains, likely reducing their encounter rate with sea 600 lamprey (Schneider et al. 1996). Others have noted that Seneca Lake strain have a relatively fast 601 602 growth rate compared to other strains and linked this to bathythermal distribution, a heritable trait (Elrod et al. 1996; Royce 1951), although this did not appear to translate into differences of 603 age-at-maturity (Elrod et al. 1996). We hypothesize that the high frequency of the Seneca Lake 604 strain in northern Lake Michigan is likely a result of both the movement patterns described 605 above as well as the life history characteristics of this strain that facilitate increased survival. 606 Our results suggest that strain performance can vary substantially across relatively small 607 spatial scales potentially due to heritable genetic differences among strains. Heritable genetic 608 differences in traits such as run timing (Prince et al. 2017), temperature tolerance (Philipp and 609 610 Whitt 1991), and spawning habitat preferences (Jennings et al. 1996) have been frequently documented in fish. Additionally, management agencies often stock multiple genetic strains of 611 612 fish with the goal of providing robust fisheries and increased fishing opportunities (Bartron et al. 613 2004; Miller et al. 2009). However, while strain evaluations often find substantial differences in behavior or survival among strains (Van Offelen et al. 1993), these studies are relatively rare and 614 615 are often isolated to small geographic areas. Our study illustrates the importance of conducting 616 thorough strain evaluations to gain a more complete picture of strain performance; we suggest

that management agencies undertake these types of studies more frequently to improve stockingefficiency and promote robust fisheries.

619 Frequency of interstrain hybrids

The expected proportions of each cross type based on stocking data were substantially 620 different than observed proportions but observed and expected proportions were generally 621 622 similar when expected proportions were calculated based on genetic data. We hypothesize that the large differences in expected cross type frequencies calculated from stocking data are largely 623 a function of differences in strain survival and movement patterns (discussed above). 624 625 Additionally, we hypothesize that the relatively small differences between observed and expected proportions based on genetic data indicate that the strains are generally breeding 626 randomly, and that there is no substantial fitness advantage or disadvantage for F1 hybrids. It is 627 notable that the frequency of crosses including Seneca Lake are also similar to expectations, 628 especially in the southern area of the lake. Seneca Lake fish likely occupy different depths (see 629 above), yet this does not seem to facilitate any reproductive isolation from the other strains, 630 which suggests similar spawning habitat and behavior. 631

Our results differ slightly from those of Scribner et al. (2018), who documented departures from random mating in early generations of lake trout natural reproduction in Lake Huron and hypothesized that F1 crosses could have lower fitness. However, in Scribner et al. (2018) mating appeared to become more random in subsequent generations suggesting that interstrain crosses are common and relatively fit in Lake Huron. Both our study and Scribner et al. (2018) indicate that interstrain hybridization is common in lake trout inhabiting the Great Lakes; if random mating in these populations continues, the populations will likely resemble a hybrid swarm (i.e. a population composed mostly of hybrid individuals and multi-generationbackcrosses) in only a few generations.

Although the term hybrid swarm often has a negative connotation, the fitness impacts of 641 hybridization are still unclear. For example, Johansen-Morris and Latta (2006) examined the 642 fitness consequences of hybridization in a plant species and found evidence of both hybrid vigor 643 644 (improved fitness of hybrids due to dominance effects) and hybrid breakdown (disruption of coadapted gene complexes causing reductions in fitness). Hybridization can reverse adaptive 645 differentiation by facilitating gene flow between locally adapted populations (Abbott et al. 2013; 646 647 Baillie et al. 2016), for example locally adapted Seneca Lake and remnant Lake Michigan strains. However, hybridization can also create unique genetic combinations that are more fit for 648 their current environment than either of the two parental populations (Comeault and Matute 649 2018). It is important to note that all hybrids in this study are assumed to be F1 hybrids, but 650 many F2+ generation hybrids will likely be present in the future. The fitness of advanced 651 652 generation backcrosses has been shown to decrease quickly when fish of different species hybridize (e.g., Muhlfeld et al. 2009), but the fitness consequences for moderately diverged 653 populations such as the lake trout strains in our study are less clear. This uncertainty highlights 654 655 the importance of conducting future monitoring to ensure that interstrain hybrids (especially advanced-generation backcrosses) are not leading to a reduction in population viability of lake 656 657 trout in Lake Michigan.

#### 658 *Management implications and conclusions*

We suggest that future stocking efforts for lean lake trout in Lake Michigan primarily utilize the Seneca Lake and Lewis Lake strains. Mortality from sea lamprey predation is relatively high in the northern part of Lake Michigan, and the Seneca Lake strain appears to

possess unique characteristics that allow it to be more successful than other strains in this 662 environment. However, the Seneca Lake strain's performance was similar to other strains in 663 areas where sea lamprey predation is lower, which suggests that the performance advantage of 664 the Seneca Lake strain is decreased in the absence of sea lamprey. Movement of wild fish post-665 recruitment may also contribute to the high amount of wild fish with Seneca Lake heritage, 666 667 especially if the Southern Refuge is a nexus for recruitment, in which case the observed differences in performance may not be solely related to fitness. The Lewis Lake strain is the last 668 available strain derived from remnant Lake Michigan lake trout (the Green Lake strain has been 669 670 discontinued) and likely still contains locally adapted alleles despite its history of feralization in Lewis Lake, WY and domestication in the hatchery system. Additionally, the Lewis Lake strain 671 generally performed well outside of the northern area of Lake Michigan including the Southern 672 Refuge, where it performed similarly to expectations based on stocking. Our stocking 673 suggestions, which promote genetic diversity without requiring the expensive maintenance of 674 many potentially redundant hatchery strains, are generally consistent with other recent 675 recommendations (e.g., Kornis et al. 2019b) and with current management plans (Dexter et al. 676 2011). 677

There is also discussion about continuing to stock the Klondike Reef humper strain in Lake Michigan and introducing it into Lake Ontario (S. Lapan, N.Y. Department of Environmental Conservation, personal communication). This strain was introduced to the Lake Michigan propagation program in 2011 and is now being recruited into assessment fisheries and will potentially be recruiting into the parental stock soon. Thus, we were not able to evaluate its potential to contribute to natural lake trout recruitment. Humper lake trout naturally occur in Lake Superior where they are typically restricted to deep offshore shoals or reefs (e.g., "humps";

Rahrer 1965), which suggests they may have restricted movement relative to lean morphs. 685 Humpers also consume more Mysis, benthic fish (Peck 1975; Rogers et al. 2019; Sierszen et al. 686 2014; Vinson et al. in press; Zimmerman et al. 2007) and terrestrial invertebrates (Sitar et al. 687 2020) than lean lake trout. Changes in the Great Lakes food web, such as the decline in the 688 pelagic alewife (Alosa pseudoharengus) and the increase in the benthic round goby (Neogobius 689 690 *melanostomus*) (Madenjian et al. 2018), are already reflected in diets of lake trout (e.g., Happel et al. 2017; Kornis et al. 2020; Luo et al. 2019) and some other salmonines (Leonhardt et al. 691 2020), and may further support the Klondike Reef strain as an appropriate choice for restoration 692 693 efforts. However, it will be important to assess the ultimate risk of Klondike Reef humpers interbreeding with lean lake trout, which could have fitness consequences if hybrid offspring are 694 maladapted to both shallow and deepwater environments. We speculate that the genetic risks of 695 stocking the Klondike strain are relatively low given evidence that lake trout ecotypes often 696 naturally coexist in other systems. We suggest routine genetic monitoring confirming the genetic 697 purity of humper strain broodstock and continued genetic monitoring of natural recruits to 698 confirm that humper-lean hybrids are rare. 699

In conclusion, we found that strain performance of lake trout varied substantially across 700 701 Lake Michigan and hypothesize that these differences are largely due to adaptive differences among strains and post-recruitment movement. Additionally, we found no evidence for 702 703 reproductive isolation among strains and no evidence for lower or higher fitness of interstrain 704 crosses, which suggests that mating among lake trout strains stocked into Lake Michigan is essentially random. Our study provided a more nuanced understanding of strain performance 705 706 across the whole lake and suggested adequate performance of several strains, compared to 707 previous genetic studies in Lake Michigan, which focused on smaller geographic scales and

suggested that the Seneca Lake strain was superior to all other strains. Additionally, the
increased power of our genetic marker panel compared to panels used in previous genetic studies
improved strain assignment accuracy and facilitated assignment of interstrain crosses for the first
time in this system. Our study demonstrates the utility of thorough strain evaluations for
informing conservation and management and provides a roadmap for future researchers
conducting strain evaluations in other taxa.

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# 1028 Figure legends

1029 Figure 1. Stocking history of lake trout strains in Lake Michigan. The Apostle Island, Isle Royale, and Marquette strains are derived from the Lake Superior lean (i.e. shallow water) 1030 ecomorphotype, the Klondike strain is derived from the Lake Superior humper (i.e. deeper water) 1031 ecomorphotype and is the only strain not derived from the lean ecomorphotype, Clearwater Lake 1032 1033 is derived from Clearwater Lake, Manitoba, Canada (Latitude: 54.0438, Longitude: -101.1262), Green Lake and Lewis Lake are derived from remnant Lake Michigan lake trout, Parry Sound is 1034 derived from Lake Huron, and Seneca Lake is derived from Seneca Lake, NY. Lake trout 1035 1036 stocked at different sizes were standardized to yearling equivalents (i.e. the size of average yearling lake trout) to facilitate comparisons see (Elrod et al. 1988). Data were available from 1037 (USFWS and GLFC 2017). 1038

1040 Figure 2. Neighbor-joining dendrogram of reference strains based on Nei's D<sub>A</sub> genetic distance.

1041 Bootstrap support for each node is shown. See Table 1 for collection information.

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Figure 4. Estimates of contributions of reporting groups (Table 1) for hatchery strains to
naturally produced lake trout for seven geographic strata in Lake Michigan. Sample sizes are
below each pie. Colors represent reference strains. See Table 5 for proportion data and
confidence intervals. See the Methods section for information on the composition of each
reporting group. Statistical districts used to form strata are visualized in Fig. 1 of Kornis et al.
(2019b).

1058

Figure 5. Heatmap of observed versus expected strain proportions for seven geographic strata in
Lake Michigan (see Fig. 4 for map of strata). Observed proportions were calculated from genetic
data and expected proportions were calculated from stocking data (see Table 5). Positive values
indicate a given strain is overrepresented in the genetic data.

1064	Figure 6. Heatmaps of observed versus expected proportions of pure and hybrid crosses
1065	assuming random mating for seven geographic strata in Lake Michigan. Observed proportions
1066	for both panels (a) and (b) were the proportions of each cross type observed in the genetic data.
1067	See Table S8 for proportions and confidence intervals. Expected proportions for panel (a) were
1068	calculated based on stocking data (i.e. composite estimate of expected strain compositions
1069	reconstructed from stocking records) and expected proportions for panel (b) were calculated
1070	based on overall genetic proportions observed in each stratum (see Table 5). Positive values
1071	indicate a given cross type is overrepresented in the genetic data. See Table S6 for proportion
1072	data.
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1074	Figure S1. Age composition of naturally produced lake trout analyzed. Ages were estimated with
1075	otoliths.
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1077	Fig. S2. Year classes of naturally produced lake trout analyzed.
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### **Tables**

Table 1. Information on eight lake trout reference strains. Seneca Lake is a finger lake that drains into Lake Ontario through the Oswego River, and Lewis Lake and Green Lake contain lake trout that are descended from wild fish captured from Lake Michigan before lake trout were extirpated from this system. The other strains were all collected from within the Great Lakes (either lakes Huron or Superior. Reporting groups were determined using STRUCTURE analysis (Fig. 2) and assignment tests with simulated individuals (Table 3). N is the number of individuals successfully genotyped. Other abbreviations are observed heterozygosity  $(H_0)$ , expected heterozygosity ( $H_E$ ), number of alleles (A), and allelic richness ( $A_R$ ). Latitude and longitude

1094 represent the source of each strain.

Lake/Drainage	Reporting Group	Latitude	Longitude	Ν	$H_0$	$H_{\rm E}$	A	$A_{R}$
Ontario	Seneca Lake	42.680223	-76.915079	81	0.57	0.57	7.44	6.62
Huron	Parry Sound	45.350004	-80.055935	41	0.55	0.54	6.94	6.86
Michigan	Lewis Lake	44.304252	-110.63071	97	0.56	0.56	8.03	7.16
Michigan	Green Lake	43.830280	-88.966445	71	0.56	0.56	7.72	7.05
Superior	Superior	47.340482	-85.801163	61	0.55	0.56	7.94	7.33
Superior	Superior	46.747705	-87.226454	72	0.55	0.58	9.83	8.74
Superior	Superior	46.898999	-90.663642	77	0.56	0.57	10.36	8.79
Superior	Superior	47.821319	-89.162644	62	0.57	0.59	9.19	8.34
	Ontario Huron Michigan Michigan Superior Superior Superior Superior	Date: DrainingReporting GroupOntarioSeneca LakeHuronParry SoundMichiganLewis LakeMichiganGreen LakeSuperiorSuperiorSuperiorSuperiorSuperiorSuperiorSuperiorSuperiorSuperiorSuperiorSuperiorSuperiorSuperiorSuperior	DateReporting GroupLatitudeOntarioSeneca Lake42.680223HuronParry Sound45.350004MichiganLewis Lake44.304252MichiganGreen Lake43.830280SuperiorSuperior47.340482SuperiorSuperior46.747705SuperiorSuperior46.898999SuperiorSuperior47.821319	Date         Reporting Group         Lanuac         Longitude           Ontario         Seneca Lake         42.680223         -76.915079           Huron         Parry Sound         45.350004         -80.055935           Michigan         Lewis Lake         44.304252         -110.63071           Michigan         Green Lake         43.830280         -88.966445           Superior         Superior         47.340482         -85.801163           Superior         Superior         46.747705         -87.226454           Superior         Superior         46.898999         -90.663642           Superior         Superior         47.821319         -89.162644	Data Contario         Seneca Lake         42.680223         -76.915079         81           Huron         Parry Sound         45.350004         -80.055935         41           Michigan         Lewis Lake         44.304252         -110.63071         97           Michigan         Green Lake         43.830280         -88.966445         71           Superior         Superior         46.747705         -87.226454         72           Superior         Superior         46.898999         -90.663642         77           Superior         Superior         47.821319         -89.162644         62	Data Data DataReporting GroupLatitudeLongitudeNN3OntarioSeneca Lake42.680223-76.915079810.57HuronParry Sound45.350004-80.055935410.55MichiganLewis Lake44.304252-110.63071970.56MichiganGreen Lake43.830280-88.966445710.56SuperiorSuperior47.340482-85.801163610.55SuperiorSuperior46.747705-87.226454720.55SuperiorSuperior47.821319-89.162644620.57	Lake/DrainageReporting GroupLatitudeLongitude $11$ $116$ $11E$ OntarioSeneca Lake $42.680223$ $-76.915079$ $81$ $0.57$ $0.57$ HuronParry Sound $45.350004$ $-80.055935$ $41$ $0.55$ $0.54$ MichiganLewis Lake $44.304252$ $-110.63071$ $97$ $0.56$ $0.56$ MichiganGreen Lake $43.830280$ $-88.966445$ $71$ $0.56$ $0.56$ SuperiorSuperior $47.340482$ $-85.801163$ $61$ $0.55$ $0.56$ SuperiorSuperior $46.747705$ $-87.226454$ $72$ $0.55$ $0.58$ SuperiorSuperior $46.898999$ $-90.663642$ $77$ $0.56$ $0.57$ SuperiorSuperior $47.821319$ $-89.162644$ $62$ $0.57$ $0.59$	Lake/DrainageReporting GroupLatitudeLongitude $N$ $N_6$ $N_E$ $A$ OntarioSeneca Lake $42.680223$ $-76.915079$ $81$ $0.57$ $0.57$ $7.44$ HuronParry Sound $45.350004$ $-80.055935$ $41$ $0.55$ $0.54$ $6.94$ MichiganLewis Lake $44.304252$ $-110.63071$ $97$ $0.56$ $0.56$ $8.03$ MichiganGreen Lake $43.830280$ $-88.966445$ $71$ $0.56$ $0.56$ $7.72$ SuperiorSuperior $47.340482$ $-85.801163$ $61$ $0.55$ $0.56$ $7.94$ SuperiorSuperior $46.747705$ $-87.226454$ $72$ $0.55$ $0.58$ $9.83$ SuperiorSuperior $47.821319$ $-89.162644$ $62$ $0.57$ $0.59$ $9.19$

1102	Table 2. Sample information for naturally produced lake trout genotyped in this study. Districts
1103	are statistical harvest districts for Lake Michigan and are visualized in Fig. 1 of Kornis et al.
1104	(2019b). See Fig. 4 for a map of strata and Table S7 for metadata on each fish including date and
1105	location of capture, length, age, weight (when available), and sex (when available). The single
1106	fish assigned to a strain not stocked in Lake Michigan (Parry Sound x Superior hybrid) is not
1107	included in this table (see Results). Traverse Bay refers Grand Traverse Bay.

Strata	Districts included	N total	2009-2011	2012	2013	2014	2015
North	MM-1, MM-2, MM-3	216	9	20	66	54	67
Traverse Bay	MM-4	85	0	46	37	2	0
Northwest	WM-3, WM-4	102	0	27	14	32	29
East	MM-5, MM-6, MM-7, MM-8	77	0	0	2	0	75
Southwest	WM-5, WM-6	91	0	5	9	21	56
Southern Refuge	WM-5, MM-6, MM-7	116	0	0	0	0	116
Illinois/Indiana	ILL, IND	160	0	0	0	0	160
Total		847	9	98	94	109	503

1109 Table 3. Pairwise  $F_{ST}$  values for reference strains calculated using 36 microsatellites. Bold values

1110 are significantly different from zero (P < 0.01).

Population	Seneca Lake	Parry Sound	Lewis Lake	Green Lake	Klondike Reef	Marquette	Apostle Islands
Parry Sound	0.055						
Lewis Lake	0.058	0.046					
Green Lake	0.059	0.058	0.040				
Klondike Reef	0.075	0.083	0.049	0.063			
Marquette	0.048	0.043	0.018	0.026	0.033		
Apostle Islands	0.064	0.055	0.029	0.035	0.034	0.006	
Isle Royale	0.059	0.050	0.028	0.033	0.038	0.008	0.009

1115	Table 4. Assignment accuracy for five pure and ten hybrid cross types representing all possible
1116	combinations among reporting groups. For each cross type, 1,000 individuals were simulated and
1117	assigned to reference strains using STRUCTURE (see methods). N correct is the number of
1118	individuals correctly assigned out of 1,000. Classified pure is the number of individuals
1119	classified as a pure cross (i.e., not a hybrid) out of 1,000. Values in bold are cross types with
1120	correct assignment < 0.8. We also calculated mixture proportions ("mixture" columns) using
1121	STRUCTURE with the approach outlined in the methods section and ONCOR. The expected
1122	values are 100% for pure cross types and 50% for each strain in hybrid cross types. The
1123	Klondike strain was removed from this analysis because it produced low assignment accuracy
1124	(63% correct with STRUCTURE analysis), with high misassignment to the Superior reporting
1125	group. The Klondike strain was combined with other Superior strains for the analysis of
1126	unknown individuals. See Table 1 for information on strains.

Cross type	N correct	% correct	Classified pure	Mixture STRUCTURE	Mixture ONCOR	
Pure						
Seneca	977	98%	977	99%	100%	
Parry	969	97%	969	98%	100%	
Lewis	942	94%	942	97%	100%	
Green	912	91%	912	96%	100%	
Superior	749	75%	749	87%	100%	
Hybrid						
Seneca x Parry	912	91%	30	49%, 48%	49%, 48%	
Seneca x Lewis	882	88%	26	49%, 47%	44%, 41%	
Seneca x Green	854	85%	29	49%, 45%	48%, 31%	
Seneca x Superior	833	83%	31	48%, 45%	29%, 71%	
Parry x Lewis	834	83%	38	47%, 46%	38%, 51%	
Parry x Green	806	81%	14	46%, 44%	40%, 29%	
Parry x Superior	803	80%	43	46%, 45%	32%, 68%	
Lewis x Green	779	78%	36	46%, 44%	38%, 35%	
Lewis x Superior	790	79%	143	50%, 46%	24%, 76%	
Green x Superior	808	81%	78	45%, 49%	28%, 72%	

Table 5. Observed (obs) and expected (exp) proportions of four genetic strains in seven
geographic strata across Lake Michigan. Observed proportions are the genetic proportions of
each stock calculated from STRUCTURE analysis and expected proportions were calculated
from stocking data. 95% confidence intervals for genetic (i.e. observed) estimates are below
point estimates. See Table 2 and Fig. 3 for more information on strata and Table 1 for more
information on strains.

Strata	Seneca obs	Seneca exp	Lewis obs	Lewis exp	Green obs	Green exp	Superior obs	Superior exp
North	0.69 0.55-0.83	0.03	0.14 0.08-0.22	0.34	0.01 0.00-0.04	0.01	0.16 0.09-0.26	0.62
Traverse Bay	0.26 0.15-0.41	0.14	0.52 0.35-0.72	0.51	0.04 0.01-0.12	0.02	0.18 0.08-0.33	0.33
Northwest	0.53 0.38-0.70	0.19	0.19 0.10-0.32	0.22	0.04 0.01-0.12	0.22	0.24 0.13-0.4	0.38
East	0.69 0.51-0.87	0.20	0.12 0.05-0.25	0.23	0.06 0.02-0.18	0.20	0.13 0.05-0.28	0.36
Southwest	0.55 0.39-0.72	0.26	0.07 0.02-0.16	0.17	0.10 0.04-0.21	0.36	0.27 0.15-0.46	0.21
Southern Refuge	0.58 0.43-0.74	0.37	0.09 0.04-0.18	0.06	0.16 0.09-0.29	0.43	0.17 0.09-0.31	0.14
Illinois/Indiana	0.72 0.57-0.87	0.17	0.06 0.03-0.13	0.27	0.12 0.06-0.21	0.35	0.10 0.05-0.19	0.20

1134

1135 Table S1. Multiplexing and primer information for microsatellite loci genotyped in this study.

1136

1137 Table S2. Fecundity relative to the minimum age of maturity (Age 8 for Southern Refuge, Age 6

1138 for all others).

1139

1140 Table S3. Movement matrix used in expected strain proportion calculations.

1141

1142 Table S4. Summary statistics for microsatellite loci genotyped in this study.

Table S5. Genotype data from all individuals in the study in GenAlEx format. Individuals
denoted with "UNK" were naturally reproduced fish of unknown origin. Metadata for these fish
are found in Table S4.

1147

1148Table S6. Structure Harvester results. The K used for all analyses (K=6) is highlighted.

1149

Table S7. Metadata for each naturally reproduced fish analyzed in the study. Fish with two
strains separated with an "\_" are putative hybrids. Year class is catch year – otolith age.

1152

Table S8. Observed and expected proportions of cross types (i.e. pure and hybrid crosses) for seven geographic strata in Lake Michigan. Observed genetic data is the proportion of each type inferred directly from the genetic data, expected stocking is the proportion of each cross type expected under random mating given the stocking proportions calculated in Table 5, and expected is the proportion of each cross type expected under random mating given the genetic proportions calculated in Table 5. 95% confidences intervals are included in parentheses for observed genetic data.