

RESEARCH ARTICLE

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Factors influencing the skill of synthesized satellite wind products in the tropical Pacific

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Key Points:

- Proposed future changes to tropical Pacific in situ observations (TAO/TRITON) will place more weight of satellite surface winds
- Background wind products, which are used to fill data gaps in synthesized satellite wind products, affect the mean and long-term trends
- Ocean surface current effects must be corrected prior to synthesizing in situ absolute winds with the satellite-derived relative winds

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Abstract Given the importance of tropical Pacific winds to global climate, it is interesting to examine differences in the mean and trend among various wind products, and their implications for ocean circulation. Past analysis has revealed that despite the assimilation of observational data, there remain large differences among reanalysis products. Thus, here we examine if satellite-based synthesis products may provide more consistent estimate than reanalysis. Reanalysis product winds are, however, typically used as a background constraint in constructing the synthesis products to fill spatiotemporal gaps and to deal with satellite wind direction ambiguity. Our study identified two important factors that influence both the mean and trends from synthesized wind products. First, the choice of background wind product in synthesized satellite wind products affects the mean and long-term trends, which has implications for simulations of ocean circulation, sea level, and presumably SST. Second, we identify a clear need for developing a better understanding of, and correcting differences between in situ observations of absolute winds with the satellite-derived relative winds prior to synthesizing. This correction requires careful analysis of satellite surface winds with existing colocated in situ measurements of surface winds and currents, and will benefit from near-surface current observations of the proposed Tropical Pacific Observing System. These results also illustrate the difficulty in independently evaluating the synthesis wind products because the in situ data have been utilized at numerous steps during their development. Addressing these identified issues effectively, will require enhanced collaborations among the wind observation (both satellite and in situ), reanalysis, and synthesis communities.

1. Introduction

The primary motivation for this study is to provide timely information for the development of the Tropical Pacific Observing System (TPOS) by understanding what factors are important in determining the long-term mean and linear trend in synthesized satellite wind products. Observations of ocean surface winds are important for understanding many oceanic and atmospheric processes, including ocean circulation changes, regional changes in sea surface height and air-sea fluxes of heat, moisture momentum, etc. [e.g., McPhaden *et al.*, 1998; Timmermann *et al.*, 2010; Sen Gupta *et al.*, 2012, 2016]. In the tropical Pacific, ocean surface wind observations are critical for understanding the initiation and development of El Niño-Southern Oscillation (ENSO), a dominant mode of interannual climate variability [McPhaden *et al.*, 2006].

Changes in the Pacific Trade winds have had dramatic regional and global impacts over recent decades, associated with a rapid and unprecedented (in the relatively short observational record) strengthening since the early 1990s [e.g., England *et al.*, 2014]. This change has been related to: (i) the recent hiatus in global surface warming [England *et al.*, 2014; Kosaka and Xie, 2013]; (ii) the ongoing drought in California [McGregor *et al.*, 2014]; (iii) the rapid increase in western tropical Pacific sea level, with a trend 3 times greater than the global mean [Merrifield, 2011; Timmermann *et al.*, 2010], and (iv) a strengthening of the equatorial under current [Amaya *et al.*, 2015]. As such, high-quality observations of ocean surface winds across various times scales (e.g., from diurnal to decadal) in the tropical Pacific are critical for understanding the current state of the tropical Pacific and changes to the global climate.

Of primary importance for accurate and sustained regional observations has been the tropical moored array, for which initial deployment began in the 1984 through the Tropical Atmosphere Ocean (TAO) array [McPhaden *et al.*, 1998]. Array installation was completed in 1994, with the final configuration of the tropical Pacific Ocean network consisting of approximately 70 moored buoys [McPhaden *et al.*, 2010]. In 2000, TAO was renamed TAO/TRITON in recognition of contributions from Japan to maintain the western portion of the array with TRITON moorings [Ando *et al.*, 2005; McPhaden *et al.*, 2010]. The resultant TAO/TRITON array network has a relatively coarse grid structure spanning the tropical Pacific and provides near real-time, high temporal resolution measurements of a suite of oceanic and atmospheric parameters. These measurements have been essential for the development of ENSO theory and seasonal forecast systems [e.g., McPhaden *et al.*, 1998]. While TAO/TRITON measurements have been invaluable in these regards, the system's relatively coarse structure has not generally allowed for the direct use of its data as forcing for ocean models and has also led to questions about the way its data were assimilated to generate reanalysis products [e.g., Josey *et al.*, 2014].

TAO/TRITON is not the only platform to measure surface winds in the tropical Pacific, as many satellite sensors have been observing surface winds over the global ocean since July 1987 for wind speed and since 1991 for vector winds [Yu and Jin, 2012]. Satellites infer surface stress from scatterometer measurements of small-scale surface roughness [e.g., Chelton and Freilich, 2005]. The inferred surface stress is then translated to equivalent 10 m wind (by assuming that the atmospheric boundary layer is neutrally stratified) [e.g., Chelton and Freilich, 2005]. Satellite wind sensors have enabled the capabilities for broad-scale coverage and for estimating wind (stress) curl and divergence at scales not afforded by in situ arrays. Wind measurements from satellites exhibit considerable skill in reproducing the in situ wind observations [e.g., Mears *et al.*, 2001; Kunkee *et al.*, 2008]. However, it is important to note that the satellite-derived data represents surface wind estimates relative to the moving ocean surface [e.g., Kelly *et al.*, 2001]. This can have a significant impact on wind speeds in the tropical oceans where surface currents can be of comparable magnitude to the surface winds. The quality of satellite wind retrievals from some sensors (e.g., the Ku-band sensors) is sensitive to rain, with an increased rain rate related to decreased accuracy [e.g., Atlas *et al.*, 2011; Yu and Jin, 2012] and even spurious spatial derivatives like wind stress curl [e.g., Milliff *et al.*, 2004; O'Neill *et al.*, 2015; Kilpatrick and Xie, 2016].

A third estimate of ocean surface wind comes from atmospheric reanalysis products such as the ERA-Interim reanalysis of Dee *et al.* [2011]. Each of these reanalysis products assimilates a set of observational products, including TOA/TRITON and satellite-derived observations (input data may differ between products), into a dynamical model to provide a gridded, spatially complete product. Such reanalysis is often used as an alternative to observations for analysis or the forcing of ocean models due to the complete space-time coverage and uniform resolutions. However, despite assimilating observational data, there are some large differences between the various reanalysis products that are especially pronounced when looking at trends and means over the last few decades [Wittenberg, 2004; McGregor *et al.*, 2012; Lee *et al.*, 2013].

Given these discrepancies around long-term trends and means in reanalysis products, it is interesting to consider if synthesized satellite observations from various missions may provide a more consistent and reliable estimate. The synthesis of wind observations from different satellites involves a number of significant challenges [Atlas *et al.*, 2011; Yu and Jin, 2012]. First, an individual satellite wind sensor has limited spatio-temporal coverage. For example, a QuikSCAT-like satellite covered approximately 60% (90%) of the global ocean twice daily (daily) [Lee *et al.*, 2008]. Multiple satellites can improve the coverage, but full coverage is still not possible at the 6 hourly resolution, a time interval over which atmospheric reanalysis provide wind estimates. As such, in order to obtain the full spatial coverage, these synthesized satellite wind products require some form of background wind to fill missing data gaps where observations are not available. Background wind products are generally one of the analysis/reanalysis wind products, which given the across-product differences highlighted by Wittenberg [2004] and McGregor *et al.* [2012] raises questions over their influence in the mean and longer-term trends of these synthesized products. Reanalysis (background) wind products are also used to determine wind direction when there is directional ambiguity in vector wind retrieval from satellite scatterometers or when directional information is not available from satellites (e.g., for passive microwave radiometers). Another important issue in synthesis of satellite winds is the partial sampling of different parts of the diurnal cycle by different sun-synchronous satellites that have different local equatorial crossing times. The only exception is the short-term RapidScat measurements currently

taking place on the International Space Station (ISS-RapidScat) that was launched in July 2014. The non-sun-synchronous ISS-RapidScat provides the capability to de-alias diurnal variability by cross-calibrating measurements from different sun-synchronous satellites. However, existing synthesis efforts of satellite winds typically use hourly measurements from buoys such as those from the TAO/TRITON array for that purpose.

In this manuscript, we examine and contrast the long-term mean and linear trend in two synthesized multi-satellite observed surface wind products. In particular, we examine the two existing versions of the synthesized Cross Calibrated-Multi Sensor (CCMP) data sets [Atlas *et al.*, 2011]. Although the OAflux project also produced a synthesized wind product [Yu and Jin, 2012], it was not freely available at the time of research. The length of the CCMP products (1987 onward) makes these products useful for examining multidecadal changes. As discussed above, the primary motivation for this study is to provide useful information to facilitate the future development of the TPOS. In particular, we analyze the impacts of: (i) surface currents on the mean CCMP and TAO/TRITON bias; (ii) merging satellite observed relative winds with TAO/TRITON observed absolute winds in CCMP; and (iii) background product winds on the mean and longer-term trend of the different CCMP versions, as the two versions utilize different background wind products. Perhaps the most important aspect of this paper is our demonstration of the difficulty evaluating the realism/skill of the synthesized winds is a lack of independent in situ observations to compare against. This difficulty arises as the in situ data have been assimilated at numerous stages during the generation (i.e., producing the synthesis product background winds and directly in their generation) of the CCMP synthesized satellite wind products. This highlights the need for sensitivity experiments withholding the in situ data in reanalysis and synthesis surface winds to better understand their true value prior to any proposed observing system changes. This paper is organized as follows. Section 2 details the data sets utilized in this study, while section 3 details the methods utilized in this study. Results are presented in section 4 and a discussion and conclusions are presented in section 5.

2. Data

In this section of the manuscript, the details of all wind and ocean surface current products utilized are presented. We note that each of the presented products employs the oceanographic convention, meaning a wind blowing toward the northeast has a positive U component and a positive V component.

2.1. TAO/TRITON Winds

The TAO/TRITON array is a network of around 70 moored buoys that span most of the tropical Pacific Ocean [McPhaden *et al.*, 2010]. While TAO/TRITON data are archived at subdaily time scales, our analysis uses daily averaged zonal and meridional winds. Wind speed was calculated from this daily averaged data. The quality control flags provided were used to exclude lower-quality or suspect-quality data (i.e., only the highest and default quality data were retained). Combined, missing data, and this strict quality control resulted in an average 68% of days (~6000 out of 8766 days) being available for comparison at each location, with a minimum of ~31% in the north western Pacific and a maximum of greater than 90% in the central equatorial Pacific. Wind speed measurements have an accuracy of ± 0.3 m/s. Measurements are taken at a height of 3.5–4 m. These surface winds were adjusted to a height of 10 m assuming a neutral buoyancy and logarithmic profile following the method of Atlas *et al.* [2011], to allow for comparison with the CCMP surface winds.

2.2. Cross-Calibrated Multiplatform (CCMP) Surface Winds

Daily averaged gridded ocean surface winds, calculated from 6 hourly data, of both the CCMP version 1 [Atlas *et al.*, 2011] and version 2 [Wentz *et al.*, 2015] are utilized here. Both CCMP versions provide the ocean surface winds on a 0.25° latitude and longitude grid. Version 1 covers the period from 2 July 1987 to until the 31 December 2011, while version 2 continues through until the 30 July 2015. The surface winds are reported at 10 m. CCMP winds are created using a variational analysis method (see Atlas *et al.* [2011] for details), which combines surface winds from satellites, all ship, and buoy observations available from NCAR [Atlas *et al.*, 2011], the TAO/TRITON [McPhaden *et al.*, 2010], and PIRATA [Bourlès *et al.*, 2008] arrays, along with a background analysis/reanalysis wind product [Atlas *et al.*, 2011]. These background winds are

selected from an analysis or reanalysis product due to their complete space-time coverage, and these winds (provided at 6 hourly intervals) are utilized to provide a first guess of the estimated wind field.

As such, CCMP surface winds reflect the observations available close to the analysis time, while smoothly merging to the background analysis/reanalysis winds where observations are not available. In terms of the proportion of satellite data utilized in the CCMP analysis, *Atlas et al.* [2011] report that the approximately 25% of the global ocean was observed in a 6 h window in the late 1980s and this coverage gradually increased to its maximum of approximately 60% in 2000 at the 6 hourly resolution, which has been maintained since. We do not expect major coverage differences between version 1 and version 2 satellite coverage during the overlapping period, given that most of the satellite data used is common to both products. This suggests that in any 6 h period in the post- (pre-) 2000 period, CCMP surface winds over approximately 40% (40–80%) of the global ocean are only constrained by the background analysis/reanalysis winds at the 6 hourly resolution. If the data gaps are randomly distributed, this also implies that at any location roughly 40% of the temporally varying data are based on the background analysis/reanalysis winds at that temporal resolution.

Version 1 of CCMP uses the surface winds from ten different satellites, all ship and buoy surface wind observations, including the TAO/TRITON, PIRATA [*Bourlès et al.*, 2008], and RAMA [*McPhaden et al.*, 2009] arrays. The background winds are ECMWF operational analysis and ERA-40 reanalysis [*Atlas et al.*, 2011]. It is interesting to note that the ECMWF data switched from reanalysis [*Uppala et al.*, 2005] to analysis (sourced from ECMWF Tropical Ocean and Global Atmosphere global advanced operational surface analysis) at the end of 1998 [*Atlas et al.*, 2011], meaning that background wind source model it is not dynamically consistent in time.

Version 2 of the CCMP winds were created using the same variational analysis method and satellite winds, however, three additional satellite observation sources were incorporated in the more recent period (ASCAT Metop-A, AMSR2, and GMI) to allow for the extension of the data to 2015 (of these, only ASCAT Metop-A provides additional data prior to 2011). In addition, the satellite data utilized in the version 2 synthesis was produced using consistent processing algorithm (RSS version 7 or above) and methodology (unlike version 1), and better quality control on the in situ observations, which also included the TAO/TRITON, PIRATA, and RAMA arrays. Version 2 also updated the background winds, using the higher resolution and consistently produced winds of the continuous 0.25° ERA-Interim reanalysis [*Dee et al.*, 2011].

2.3. Background Winds

To better understand the role of background wind changes on the differences between the two CCMP products, we also analyze daily averages of the background winds separately. The latter include daily averages (calculated from 6 hourly data) of the, (i) CCMP v2 background winds, consisting of the ERA-Interim reanalysis at 0.25° resolution [*Dee et al.*, 2011]; and (ii) CCMP v1 background winds, consisting of the ERA-40 reanalysis between the period 1988 and 1998 [*Uppala et al.*, 2005] and the ECMWF operational analysis for the period between 1999 and 2011. The ECMWF winds are on a 1.125° grid, while the ERA-40 reanalysis winds are available on a 2.5° grid. Both the ECMWF and ERA-40 winds are linearly interpolated to a 0.25° grid for the following comparison with CCMP winds.

2.4. Satellite Surface Currents

Here we also utilize monthly mean surface currents of the Ocean Surface Current Analyses Real-time (OSCAR), which spans the period from October 1992 [*Bonjean and Lagerloef*, 2002]. The 1° gridded product is used. The OSCAR surface currents, which are representative of the averaged currents over the upper 30 m, are computed based on modified geostrophy and Ekman theories from sea surface height (SSH), wind, and temperature [*Bonjean and Lagerloef*, 2002]. The SSH data are derived from merged altimeter measurements. The wind products used are from QuikSCAT scatterometer during 1999–2009 and ERA-Interim before and after the QuikSCAT period. Due to the latency of the ERA-Interim product, NCEP operational analysis winds were used for latest few months. This is the only broad-scale product of estimated surface currents available that includes both the geostrophic and Ekman components. However, it is noted that (i) the estimated meridional currents do not compare very well with the TAO/TRITON meridional currents where measurements are available [e.g., *Johnson et al.*, 2007], and (ii) the average currents in the top 30 m have the potential to be quite different from the currents at the near surface of the ocean [e.g., *Cronin*

and Kessler, 2009; Wenegrat and McPhaden, 2015]. Satellite winds are relative to the near-surface currents, the measurements of which are not available on broad scales even though there are ongoing developments in remote sensing technologies to accomplish this.

3. Methods

3.1. Surface Wind Comparisons and Statistical Significance

First, we compare the mean CCMP surface wind data with the mean TAO/TRITON. When comparing the gridded CCMP data with TAO/TRITON data, we selected the CCMP grid box that encompasses a given TAO/TRITON mooring location. We note that this analysis was carried out only when data from both the TAO/TRITON and CCMP wind data were available. We then seek to understand the role of ocean surface currents in the mean differences between the CCMP products and TAO/TRITON surface winds. To this end, we adjust the CCMP surface winds, which are predominantly satellite observed relative winds, with the OSCAR ocean current estimates to obtain an estimate of absolute winds. This adjustment is done by simply adding the zonal and meridional ocean surface current estimate to the corresponding CCMP surface winds.

We also compare both versions of CCMP data on the CCMP grid, and here rather than focusing on surface winds, we focus on the ocean dynamically relevant wind stress, wind stress curl and the y derivative of wind stress curl [e.g., Kessler *et al.*, 2003]. Wind stresses were calculated from the daily surface wind data using the quadratic stress law: $(\tau_x, \tau^y) = C_d \rho_a (U, V) W$, where U, V are the zonal and meridional surface wind velocities, respectively, W is the surface wind speed, $\rho_a = 1.2 \text{ kg m}^{-3}$ is a reference atmospheric density, and $C_d = 1.5 \times 10^{-3}$ is the dimensionless drag coefficient. Wind stress curl (utilized to calculate meridional Sverdrup transport) was calculated using the equation: $\text{curl} = \delta\tau^y/\delta y - \delta\tau^x/\delta x$; and both the wind stress curl and its y derivative (utilized to calculate zonal Sverdrup transport) were calculated using centered differences. The statistical significance of differences in the mean winds and wind stresses in section 4 of this manuscript were calculated at the 95% level using a two-sample t test with the reduced degrees of freedom as described in Zwiers and Von Storch [1995].

We also compare the linear trends of the CCMP data with TAO/TRITON data, again by selecting the CCMP grid box that encompasses a given TAO/TRITON mooring location and carrying out the analysis only when data from both the TAO/TRITON and CCMP wind data were available. In addition, we carry out a linear trend comparison with both versions of the CCMP data, utilizing the data on the CCMP grid and comparing the ocean dynamically relevant wind stress and its derivatives. The significance of linear trend differences in section 4.2 of this manuscript was defined when no overlap was found between the trend 95% confidence intervals of the respective linear trends.

3.2. The Linear Shallow Water Model

To better understand the impact of differences in the linear trends of CCMP versions, we use a linear reduced-gravity Shallow Water Model (SWM). The $1\frac{1}{2}$ layer SWM is configured on the CCMP 0.25° grid for the low-latitude to midlatitude Global Ocean between 41°S and 41°N . The models upper and lower model layers are separated by an interface that represents the pycnocline and applied anomalous wind stresses drive motion in the upper layer, while the lower layer is assumed to be motionless and infinitely deep. These upper-layer dynamics are described by the linear reduced gravity form of the shallow water equations [McGregor *et al.*, 2007; Holbrook *et al.*, 2011]. The model also includes realistic continental boundaries that were calculated as the locations where the bathymetric data set of Smith and Sandwell [1997] has a depth less than the model mean thermocline (H) of 300 m and a gravity wave speed of 2.8 m s^{-1} is utilized.

4. Results

4.1. Mean Differences

We first compare the mean TAO/TRITON surface vector winds and wind speeds with both versions of the CCMP products in an attempt to better understand the differences. Figures 1a, 1d, and 1g displays the mean TAO/TRITON zonal and meridional winds and wind speed, while the difference between the CCMP v1 (CCMP v2) winds and the TAO/TRITON buoy winds is presented in Figures 1b, 1e, and 1h (Figures 1c, 1f, and 1i). Both CCMP versions display significant mean trade wind differences from the TAO-TRITON winds in some locations, with mean zonal trade winds that are generally too weak in the eastern/central equatorial

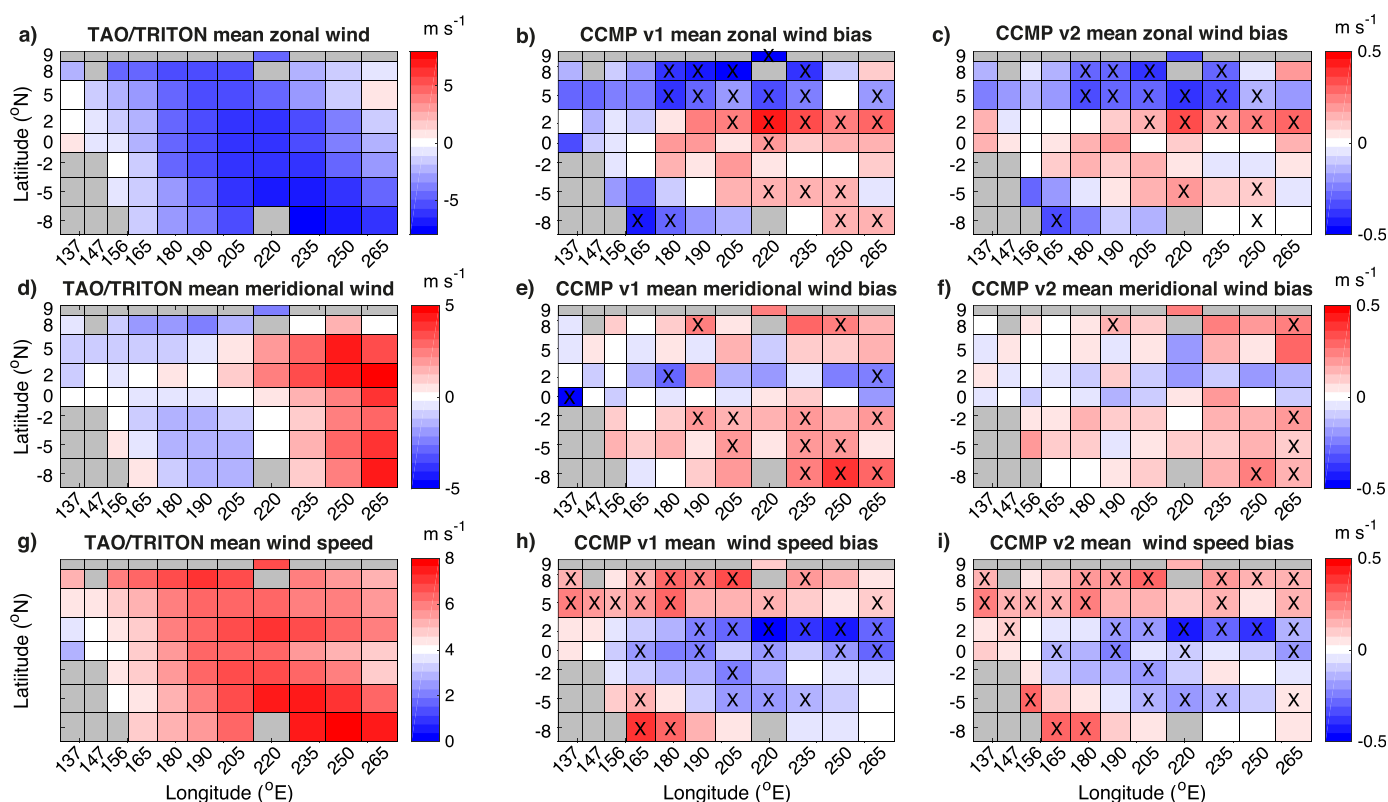


Figure 1. Time mean TAO/TRITON buoy observed (a) zonal wind, (d) meridional wind, and (g) wind speeds. The mean difference between the satellite retrieved CCMP v1 surface zonal wind, meridional wind, and wind speed (CCMP v1 minus TAO/TRITON) at each buoy location are respectively presented in Figures 1b, 1e, and 1h, while the mean difference among the satellite retrieved CCMP v2 surface zonal wind, meridional wind, and wind speed (CCMP v2 minus TAO/TRITON) at each buoy location are, respectively, presented in Figures 1c, 1f, and 1i. Locations where the TAO/TRITON and CCMP winds are significantly different (at the 95% level based on a two-sample t test using the reduced effective degrees of freedom of Zwiers and Von Storch [1995]) are marked with black crosses.

Pacific and too strong in the north, western and south-western regions of the tropical domain (spatial correlation of 0.90 between CCMP version 1 and 2 spatial biases, Figures 1b and 1c). Both versions of the CCMP products also display a northward mean meridional wind bias across much of the basin (spatial correlation of 0.80 between Figures 1e and 1f), which implies overestimated southerly winds in the eastern Pacific and underestimated northerly winds in the western Pacific relative to the TAO/TRITON winds. The CCMP wind speed biases appear to be dominated by the zonal wind biases, which is supported by the spatial correlation -0.97 between the two bias patterns (Figures 1b and 1h and Figures 1c and 1i). Despite the spatial similarity between mean differences of the two versions of the CCMP winds from TAO-TRITON winds, the RMS difference of the CCMP v2 zonal, meridional, and wind speed bias is reduced by 25%, 25%, and 11%, respectively, compared to CCMP v1 values of 0.26, 0.18, and 0.18 m s^{-1} , which suggests an improvement in the CCMP v2 bias when compared to CCMP v1.

It is clear that these CCMP – TAO/TRITON differences are relatively small compared to the mean winds. This was unsurprising as both CCMP versions are also partially constrained with TAO/TRITON observations. However, these biases suggest that there are differences in the meridional gradient of the zonal winds, which will likely impact the wind stress curl, and may have significant impacts if these data are used to force ocean model simulations [e.g., Kessler *et al.*, 2003]. Thus, it is important to understand what underlies the differences.

As satellites represent the winds relative to the moving surface ocean (relative winds), it is interesting to examine if the mean surface wind biases can be explained by the ocean surface currents [e.g., Kelly *et al.*, 2001]. Observed estimates of meridional surface currents are very small (Figure 2d) compared to the mean meridional surface wind bias (Figures 1e and 1f), so have little impact on the overall bias and its significance. The zonal surface currents (Figure 2a), however, have a similar spatial structure (spatial correlation of -0.52 and -0.47 for CCMP versions 1 and 2, respectively) and magnitude to the zonal wind bias's of CCMP v1 and

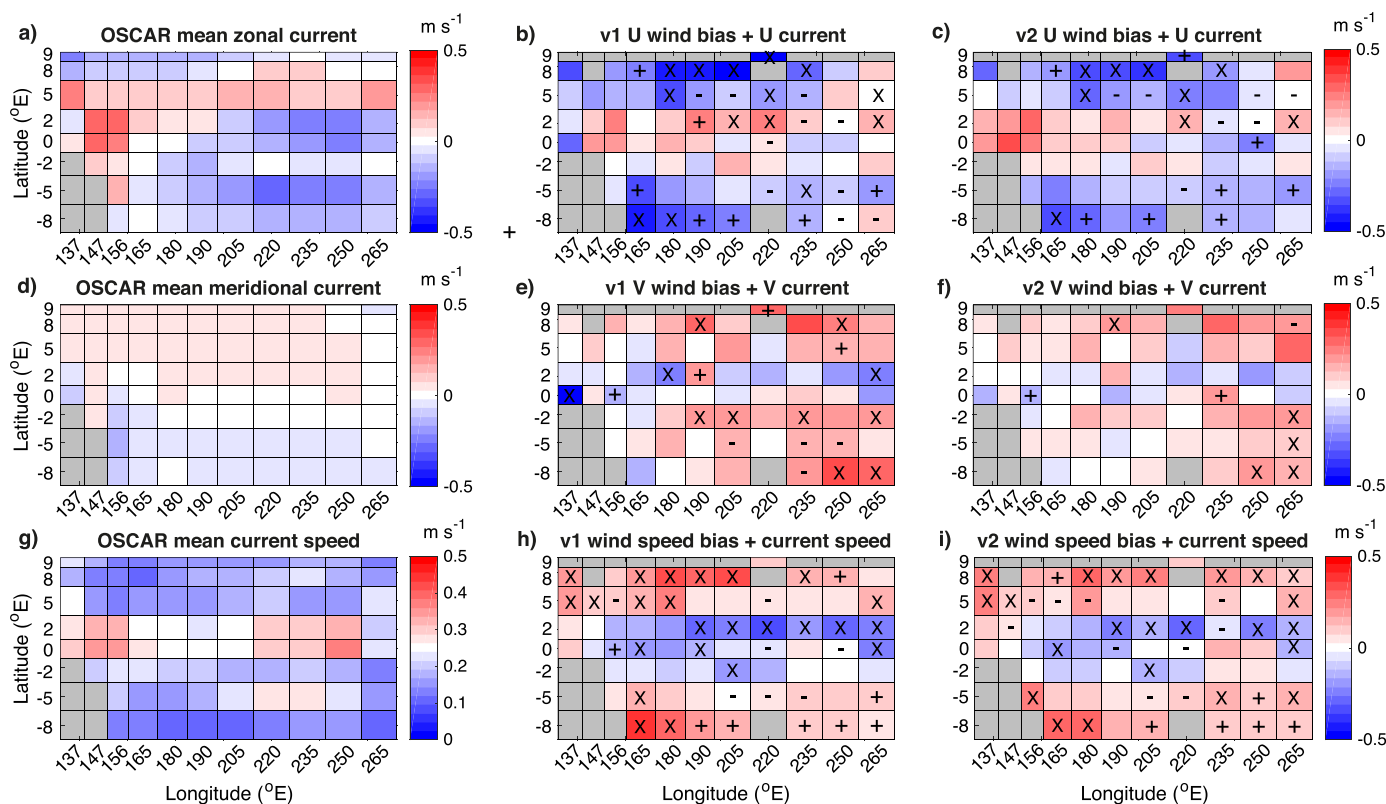


Figure 2. The mean OSCAR (a) zonal, (d) meridional, and (g) surface current speeds. (b, e, and h) The CCMP v1 bias's (compared to TAO/TRITON) when the estimated currents are taken into account, (c, f, and i) the CCMP v2 bias's (compared to TAO/TRITON) when the estimated currents are taken into account. Black crosses denote the locations that the CCMP surface winds still have a significant bias regardless of the addition of surface currents, black plus signs are those regions that now display a significant bias with the TAO/TRITON array due to the addition of these currents, while black dashes highlight the regions that the addition of surface currents has acted to remove the significance of the mean bias. Significance is calculated using a two-sample *t* test, and determined at the 95% confidence level using the reduced effective degrees of freedom of Zwiers and Von Storch [1995].

v2 (Figures 1b and 1c). By adjusting the CCMP surface winds with the OSCAR ocean current estimates to obtain an estimate of absolute winds, we find that the bias in the equatorial east and south-east have largely changed sign (from too weak to too strong) and in some locations this bias is stronger and more significant than before (Figures 2b and 2c). There is also a clear weakening of the bias along 5°, but the bias is still significant in most locations (Figures 2b and 2c). However, adding a surface current-based correction to the CCMP winds has no noticeable effect on the overall CCMP-TAO/TRITON bias (the CCMP v1 and v2 RMS difference remain unchanged at 0.26 and 0.19 m s⁻¹, respectively). Similarly, there is only a moderate reduction in the bias using corrected CCMP wind estimates (12% and 8% reduction in the RMS difference for CCMP version 1 and 2, respectively). Since OSCAR currents represent the upper 30 m averages, whether the estimates of currents at the very near surface (were they available) would make a larger difference remains to be seen. We are unable to examine whether correction with TAO/TRITON observed 10 m surface currents would result in smaller biases as there is limited locations that have this data available for extended durations. Furthermore, it is unclear how the inclusion of the TAO/TRITON measurements in the CCMP surface winds (both directly and through the background reanalysis products) impacts these biases. However, we could expect that this may act to reduce the difference between the products and this may also lead to an overcorrection in some places when adjusting absolute winds (with ocean surface currents) to relative winds.

It is also interesting to consider the differences between the two CCMP versions on the 0.25° gridded region surrounding the TAO/TRITON array locations and what role the background wind product plays in these differences. Here we focus on evaluating the zonal and meridional wind stresses and wind speed due to their importance for ocean forcing and fluxes, respectively. CCMP version 1 mean equatorial wind stresses are presented in Figures 3a, 3d, and 3g along with the version 1 and version 2 difference (version 1 minus version 2) in Figures 3b, 3e, and 3h. These differences show that the version 2 zonal wind stresses are stronger

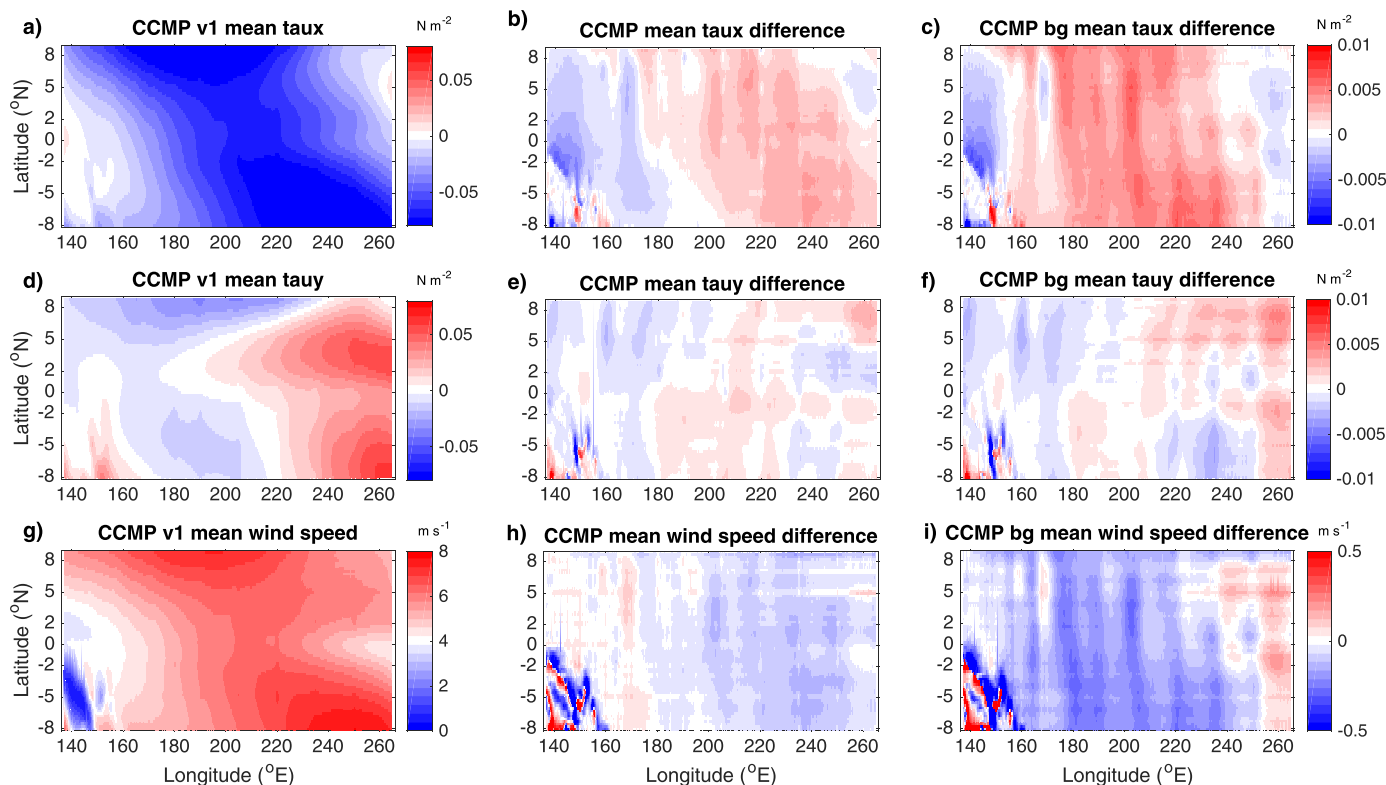


Figure 3. The time mean CCMP v1 (a) zonal wind stress, (d) meridional wind stress, and (g) wind speeds. The mean difference between the CCMP v1 and CCMP v2 (b) zonal wind stress, (e) meridional wind stress, and (h) wind speed (CCMP v1 minus CCMP v2), while the mean difference between the CCMP version background wind products (c) zonal wind stress, (f) meridional wind stress, and (i) wind speed (CCMP v1 background minus CCMP v2 background).

in the eastern/central Pacific and weaker in the western Pacific. The structure of the meridional wind stress differences show that version 2 wind stresses are more southward in the central basin and more northward to the east and west, but this difference is harder to interpret in terms of the mean. The spatial structure of the wind speed differences is largely consistent with the zonal wind stress differences. We also calculated similar differences between the background products used in each version of CCMP surface winds (Figures 3c, 3f, and 3i). The spatial agreement between the CCMP differences and the background product differences are clear with spatial correlations of 0.65, 0.75, and 0.62, for the zonal, meridional, and wind speed components, respectively. It is also noted that the RMS difference between the CCMP versions zonal stress, meridional stress, and wind speeds ($1.9\text{E}^{-3}\text{ N m}^{-3}$, $1.1\text{E}^{-3}\text{ N m}^{-3}$, and $1.1\text{E}^{-1}\text{ m s}^{-1}$, respectively) are about 30–40% smaller than the corresponding background product RMS differences. This is likely to be because the background fields are only utilized when satellite observations are lacking.

Calculating the wind stress curl, and the y derivative of wind stress curl (utilized to calculate zonal Sverdrup transport) from both CCMP versions on their regular grid also reveals distinct differences (Figures 4b and 4e). Again, these differences are largely related to the background wind product choice (Figures 4c and 4f), which is reflected by the spatial correlation of 0.79 between Figures 4b and 4c and 0.60 between Figures 4e and 4f. However, the CCMP version differences are relatively small (approximately 1/5 of the magnitude) in comparison to the mean values (Figures 4a and 4d), which means the zonal Sverdrup transport differences are subtle (Figure 5). Also, clear from the differences in CCMP wind stress curl (Figure 4) are the strong positive/negative anomalies straddling most TAO/TRITON locations (denoted by crosses) in both the mean and difference plots. These signatures are also evident in the y derivative of the wind stress curl (which is utilized to calculate zonal Sverdrup transport), which has large localized differences collocated with the TAO/TRITON locations. This is due to the inclusion of the TAO/TRITON data in the CCMP products as it is not apparent in the background wind stress curl (Figures 4c and 4f). The reason for these spurious curl anomalies may be related to the fact that the TAO/TRITON array absolute winds (measured from a fixed location) are being merged with satellite-derived relative winds (relative to a moving ocean surface). This spurious curl in the

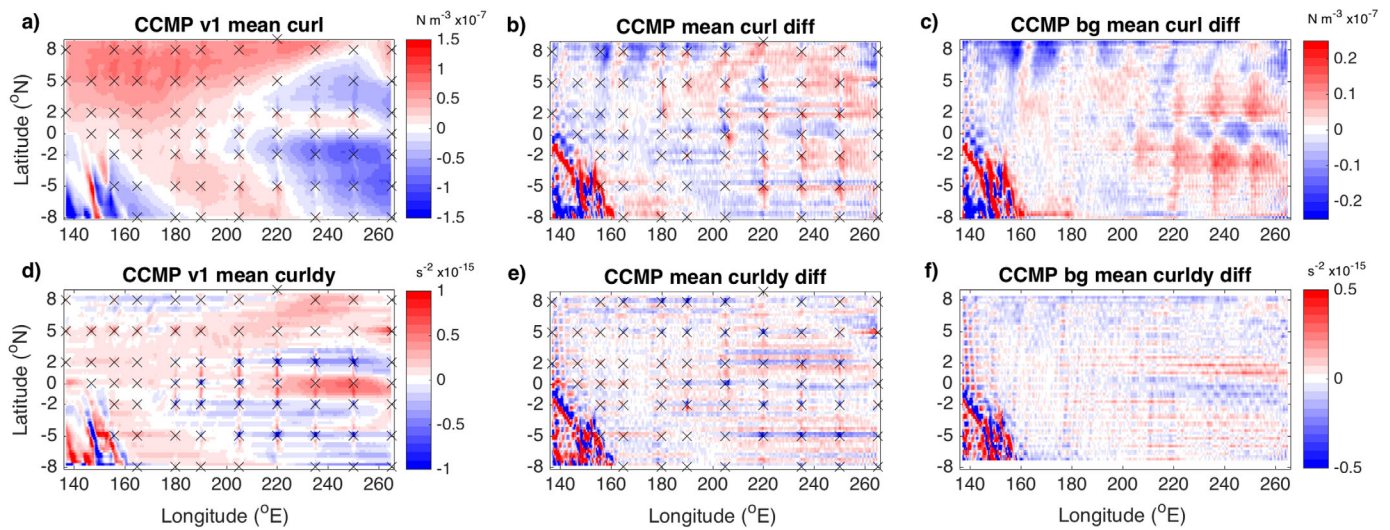


Figure 4. The time mean CCMP v1 (a) wind stress curl and (d) its y derivative. The mean difference between the CCMP v1 and CCMP v2 (b) wind stress curl and (e) its y derivative (CCMP v1 minus CCMP v2). The mean difference between the CCMP version background wind products (c) wind stress curl and (f) its y derivative (CCMP v1 background minus CCMP v2 background). Black crosses denote the locations of the TAO/TRITON moorings.

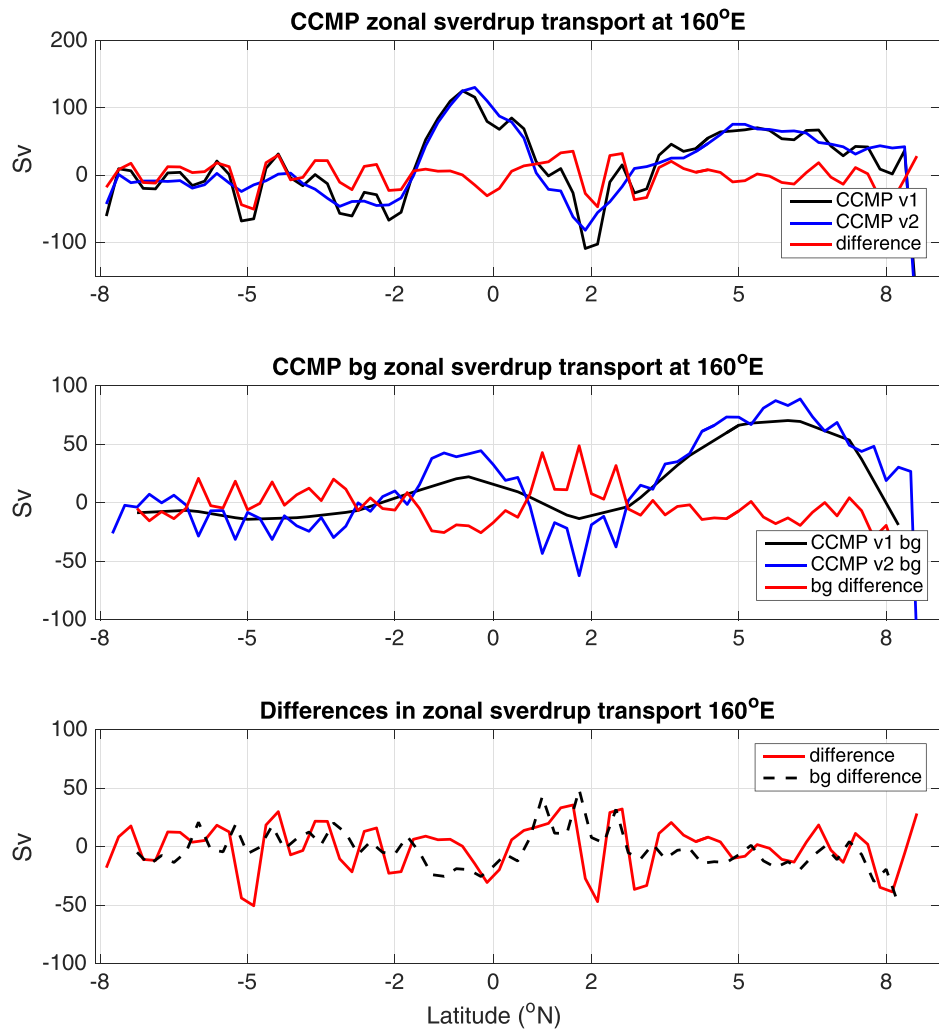


Figure 5. Mean zonal Sverdrup transports calculated along 160°E from (a) both CCMP versions, (b) both CCMP versions background wind products, and (c) the differences in both.

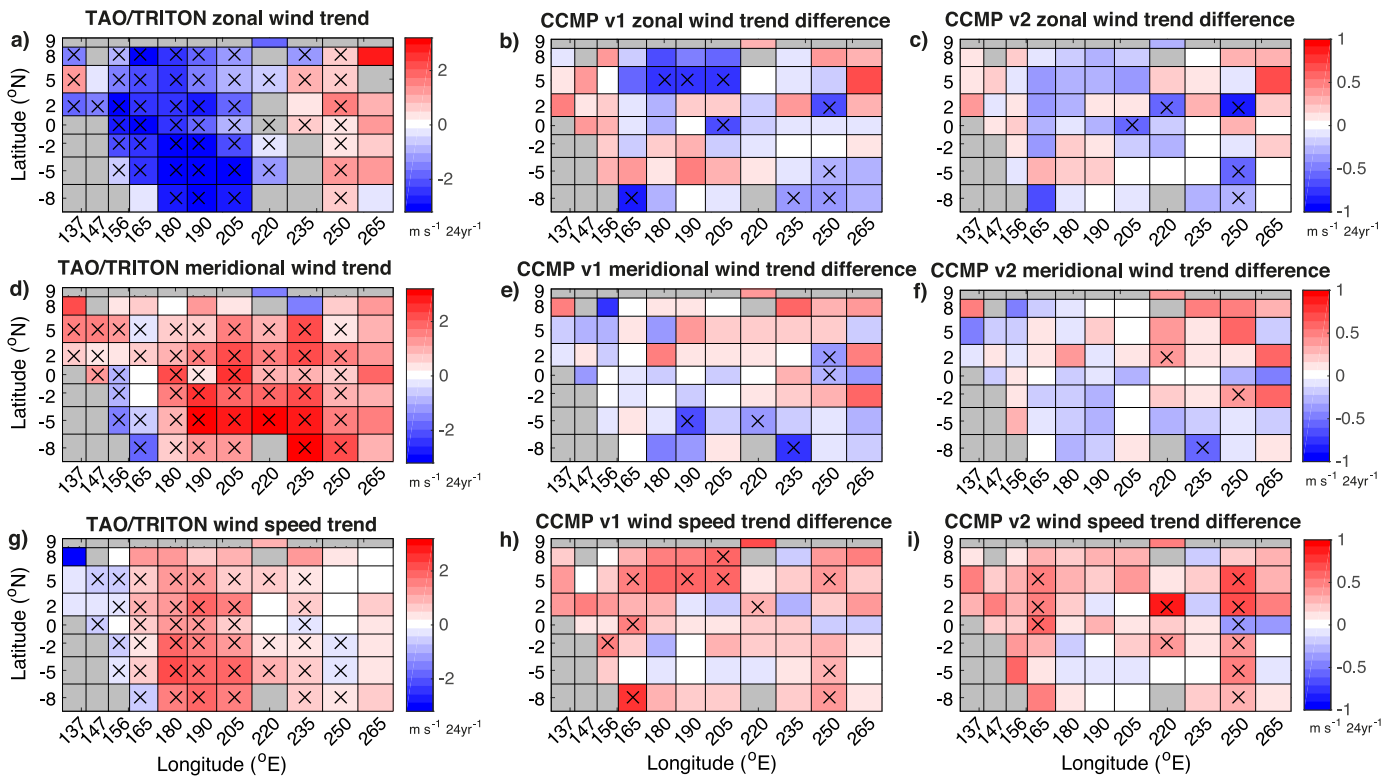


Figure 6. The long-term (1988–2011) trend in TAO/TRITON buoy (a) zonal wind, (d) meridional wind, and (g) wind speed. Statistically significant trends are identified with black crosses. The difference between the CCMP v1 and TAO/TRITON (CCMP v1 minus TAO/TRITON) (b) zonal wind, (e) meridional wind, and (h) wind speed linear trends. The difference between the CCMP v2 and TAO/TRITON (CCMP v2 minus TAO/TRITON) (c) zonal wind, (f) meridional wind, and (i) wind speed linear trends. The crosses in each of the difference plots indicate linear regression slopes that are significantly different from each other.

CCMP versions around the TOA/TRITON locations means that oceanic zonal Sverdrup transport differences (between the two CCMP versions; Figures 5a and 5c) exhibit differences due to both, the incorporation of localized absolute wind observations (i.e., TAO/TRITON data) and the differing background wind products (Figures 5b and 5c).

4.2. Long-Term Trends

Here we begin by comparing and contrasting the multidecadal trends of the CCMP and TAO/TRITON surface wind data over the overlapping period (1988–2011) at common locations. The recent Pacific Trade wind acceleration is clear when examining the linear trend of the TAO/TRITON zonal wind data (Figures 6a, 6d, and 6g). The zonal wind trend implies an increase in the easterlies by up to 3 m s^{-1} over the 24 year period in the southern and equatorial western Pacific, which at some locations is comparable in magnitude to the mean zonal winds (Figure 1a). Perhaps not surprisingly, as both CCMP versions also incorporate data from the TAO/TRITON array, the changes in zonal and meridional wind components and the surface wind speeds appear to be largely reproduced in both CCMP versions (Figure 6). As with the mean wind field, more independent information can be obtained by comparing the linear trends of the two CCMP versions in the region surrounding the TAO/TRITON locations. Here we again focus on evaluating the zonal and meridional wind stresses and wind speed due to their importance for ocean forcing and fluxes, respectively.

Again, the recent (1988–2011) trade wind acceleration is clear in the 0.25° gridded equatorial region CCMP data (Figure 7a). The meridional wind stress trend is largely in a northward direction (Figure 7d), consistent with the TAO/TRITON trend (Figure 6d). The wind speed trend largely mirrors the changes in zonal wind stress, except in the east Pacific where meridional winds appear to dominate (Figure 7g). As expected, the equatorial region displays some significant differences between CCMP versions (version 1 minus version 2). Version 2 zonal trends are smaller in the central/eastern Pacific (Figure 7b), where the mean trade winds are stronger (Figure 2b), and larger in the western where the mean trade winds are weaker. The version 2

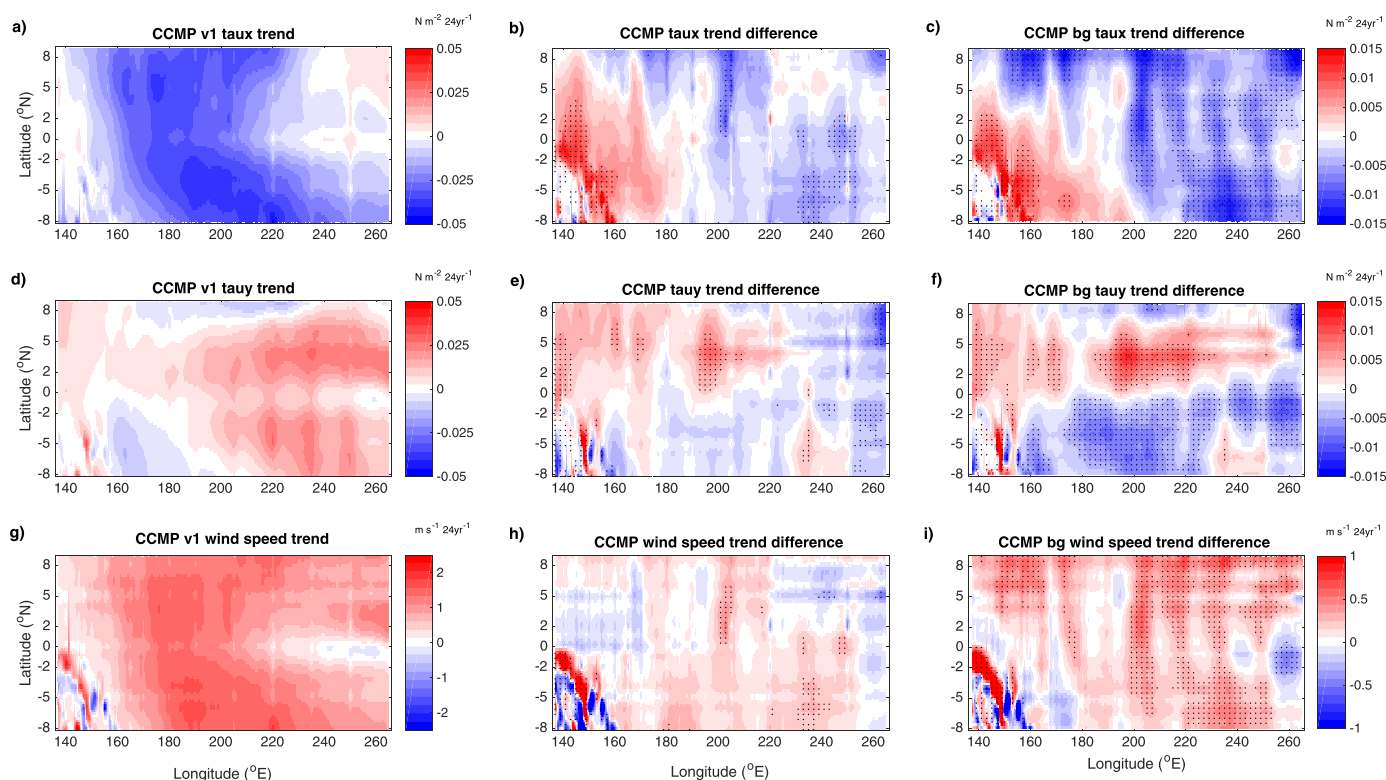


Figure 7. The longer-term (1988–2011) linear CCMP v1 linear trend of (a) zonal wind stress, (d) meridional wind stress, and (g) wind speed. The mean trend difference between CCMP v1 and CCMP v2 (b) zonal wind stress, (e) meridional wind stress, and (h) wind speed (CCMP v1 minus CCMP v2); the mean difference between the CCMP version background wind products (c) zonal wind stress, (f) meridional wind stress, and (i) wind speed (CCMP v1 background minus CCMP v2 background). Trend differences that are stippled indicate that there is no overlap between the slope confidence intervals, and thus the differences are deemed significant.

meridional wind trends are generally smaller south of the equator and larger north of the equator. In terms of wind speeds, version 2 generally has weaker wind speed trends over large parts of the domain. We also calculated the equivalent 1988–2011 trend differences from the background products used in each version of CCMP surface winds (Figures 7c, 7f, and 7i). The spatial agreement between the CCMP differences and the background product differences is strong, and is summarized by the spatial correlations of 0.89, 0.76, and 0.74, for the zonal, meridional wind stress, and wind speed components, respectively. As with the mean state differences, we again find that the RMS difference between the CCMP versions are smaller than those of the background wind products (RMS CCMP zonal stress, meridional stress, and wind speed trend differences of 0.004 N m^{-2} , 0.003 N m^{-2} , and 0.22 m s^{-1} , respectively, which are 65%, 66%, and 64% of the corresponding background products RMS trend differences).

To assess the importance of these differences for ocean dynamics, we calculate the wind stress curl, y derivative of the wind stress curl and the zonal Sverdrup transport. It is again clear that the inclusion of the TAO/TRITON data results in spurious wind stress curl anomalies in these derived quantities (Figures 8a, 8b, 8d, and 8e). Again there are large-scale similarities between CCMP version and background wind stress curl differences. However, the spurious curl anomalies introduced around the TAO/TRITON locations reduces the spatial correlation between CCMP curl and CCMP background curl differences (Figures 8b and 8c; correlation of 0.69), and consequently the CCMP curl y derivative and the CCMP background curl y derivative differences (Figures 8e and 8f; correlation of 0.44).

To better understand the impact of these wind stress curl changes, we forced a linear SWM (described in the section 3.2) with the two versions of CCMP and CCMP background product wind stress trends to better understand the oceanic impact of these differences and their cause. The CCMP v1 trend generates changes in the equatorial thermocline depth that are up to 25 m after 24 years of model integration (Figure 9a). Using the relationship between SWM thermocline depth and sea surface height (SSH) described by *Timmermann et al.* [2010], this translates to an approximate SSH rise of 7 cm over 24 years. The RMS of the CCMP

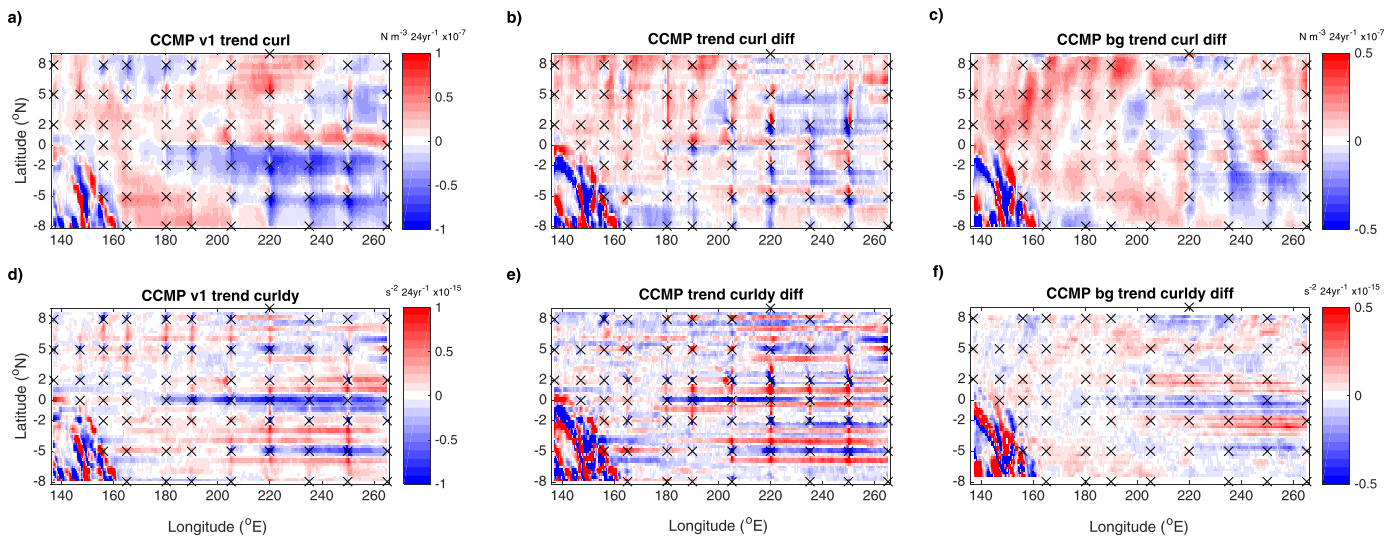


Figure 8. The longer-term (1988–2011) CCMP v1 linear trend (a) wind stress curl and (g) its y derivative. The difference between the CCMP v1 and CCMP v2 (b) wind stress curl trend and (e) its y derivative (CCMP v1 minus CCMP v2); the difference between the CCMP version background wind products linear trend (c) wind stress curl and (f) its y derivative (CCMP v1 background minus CCMP v2 background). Black crosses denote locations of the TAO/TRITON moorings.

version 1 SWM thermocline depth after 24 years is 14.2 m, while CCMP version thermocline differences are up to 5 m after 24 years, the RMS thermocline difference is 1.6 m (~11% of the magnitude). The accompanying RMS of the version 1 trend surface currents are 2.6 cm s⁻¹ zonally and 0.7 cm s⁻¹ meridionally after 24 years (Figures 9d and 9g), while the RMS version 1 and 2 surface current differences are 0.8 cm s⁻¹

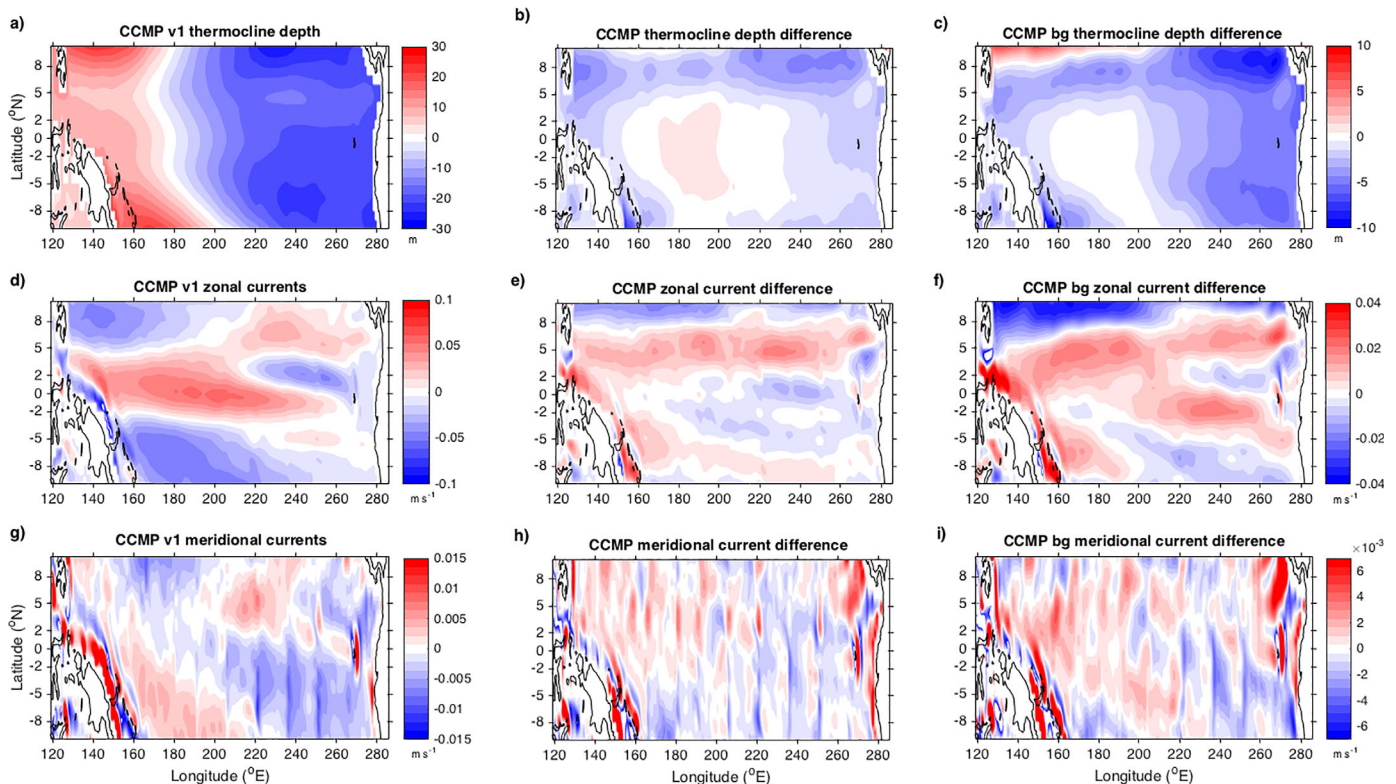


Figure 9. Shallow Water Model (a) thermocline depth, (d) zonal currents, and (g) meridional currents after the 24 year model integration forced by the CCMPv1 1988–2011 wind trend. The difference between the CCMP v1 and CCMP v2 trend forced SWM simulations (b) thermocline depth, (e) zonal, and (h) meridional currents (CCMP v1 minus CCMP v2); the difference between the SWM simulations (c) thermocline depth, (f) zonal, and (i) meridional currents differences forced with trends from the CCMP version background wind products (CCMP v1 background minus CCMP v2 background).

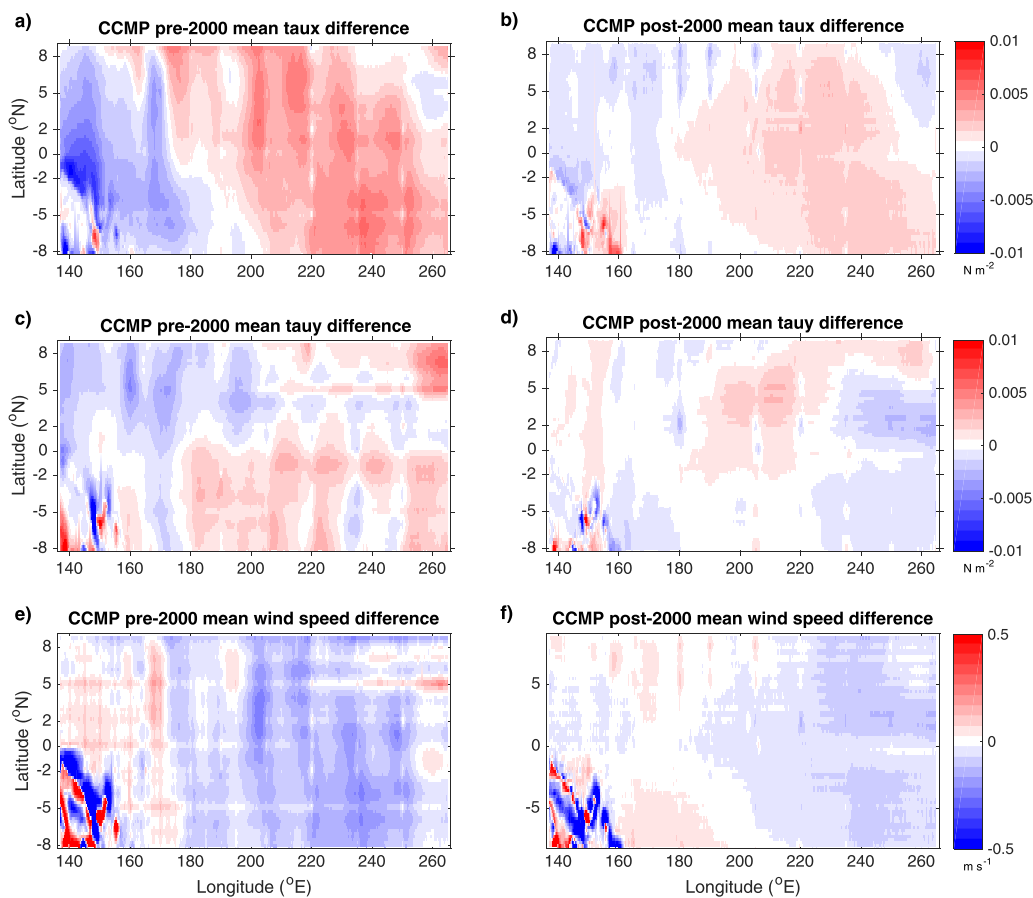


Figure 10. The mean difference between the CCMP v1 and CCMP v2 zonal and meridional wind stress (CCMP v1 minus CCMP v2) in the pre- and post-2000 periods are presented in (a), (b), (c) and (d), respectively, while the pre- and post-2000 mean wind speed differences (CCMP v1 minus CCMP v2) are, respectively, presented in (e) and (f).

zonally and 0.4 cm s^{-1} meridionally. Thus, these RMS zonal and meridional current differences are 30% and 55% of the trend magnitudes, respectively. The meridional currents highlight most clearly the impact of the artificial wind stress curl introduced around TAO/TRITON locations, which is most noticeable along 220°E but also apparent elsewhere (Figure 9h) and in the zonal currents (Figure 9e; most notably along 5°N and 220°E). In regards to the role of background state in these changes, the similarity between the CCMP and CCMP background wind forced simulation differences are clear. This similarity is underlined by spatial correlations between the CCMP and CCMP background product thermocline differences of 0.62, zonal current differences of 0.72 and meridional currents of 0.87. Again highlighting the role of the choice of background wind product in these differences.

4.3. Temporal Changes in Mean Differences

It is also interesting to note that the CCMP mean differences (Figure 3) and the CCMP trend differences (Figure 7) largely mirror each other (spatial correlation of -0.75 , -0.55 , and -0.44 between the zonal wind stress, meridional wind stress, and wind speed, respectively). This suggests that the mean difference between products may be getting smaller through the more recent period.

Calculating the mean CCMP version differences in the pre- and post-2000 periods confirms this (Figure 10) as the RMS difference for zonal wind stress, meridional wind stress, and wind speed reduces from 0.0029 N m^{-2} , 0.0017 N m^{-2} , and 0.15 m s^{-1} in the pre-2000 period to 0.0012 N m^{-2} , 0.0010 N m^{-2} , and 0.1 m s^{-1} in the post-2000 period, respectively. The mean CCMP background version differences during the pre-2000 period (Figures 11a, 11c, and 11e) reveals spatial patterns that bear many similarities to the corresponding CCMP differences (Figures 10a, 10c, and 10e). This spatial similarity is confirmed by high spatial correlations of 0.86, 0.91, and 0.81 between the CCMP and CCMP background pre-2000 mean zonal stress, meridional

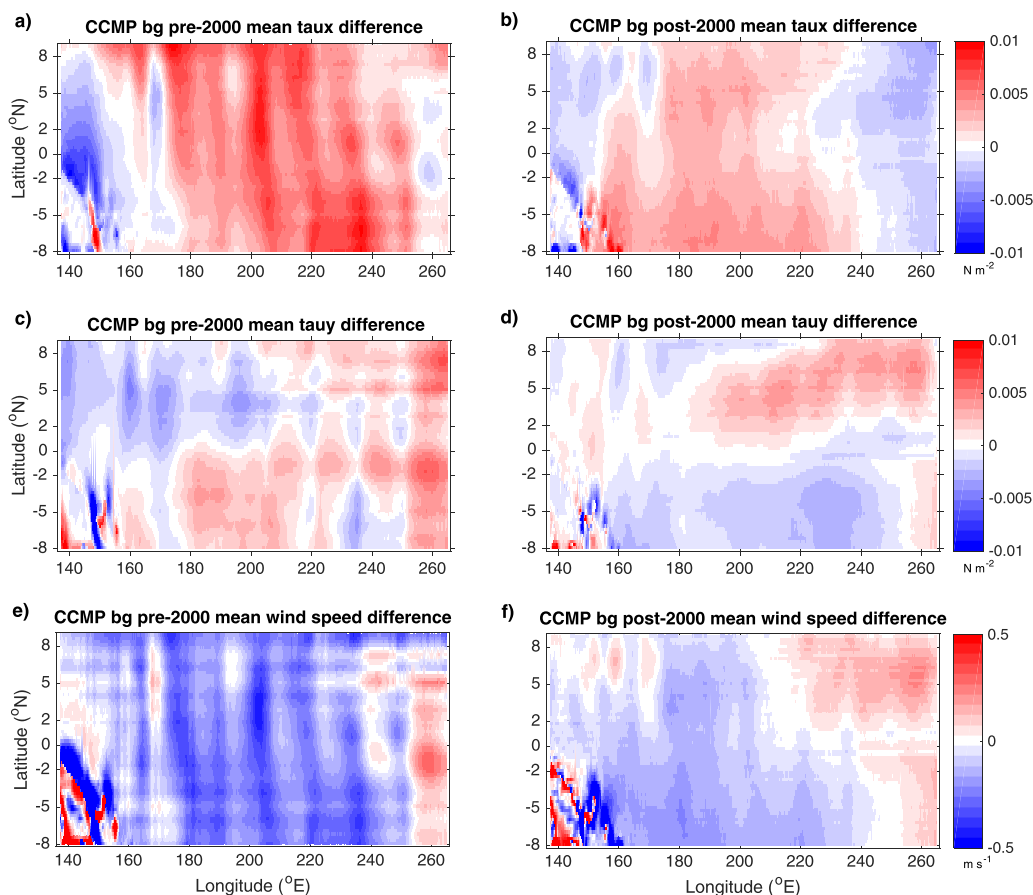


Figure 11. The background product mean difference between the CCMP v1 and CCMP v2 zonal and meridional wind stress (CCMP v1 minus CCMP v2) in the pre- and post-2000 periods are presented in (a), (b), (c) and (d), respectively, while the background product pre- and post-2000 mean wind speed differences (CCMP v1 minus CCMP v2) are, respectively, presented in (e) and (f). Note that the CCMP v1 wind stresses during the pre-2000 period are predominantly (prior to 1999) ERA40 surface winds, while the v1 winds post-2000 are ECMWF analysis.

stress, and wind speed, respectively. This suggests that much of the pre-2000 CCMP version differences are due to the choice of background wind product.

In the post-2000 period, however, the differences between the CCMP versions are smaller (Figures 10b, 10d, and 10f), as are the differences between CCMP version background winds (Figures 11b, 11d, and 11f). In fact, CCMP background RMS zonal wind stress, meridional wind stress, and wind speed difference reduces from 0.004 N m^{-2} , 0.0023 N m^{-2} , and 0.24 m s^{-1} in the pre-2000 period to 0.0023 N m^{-2} , 0.0017 N m^{-2} , and 0.15 m s^{-1} in the post-2000 period (Figure 11). Spatial correlations of 0.28, 0.58, and 0.38 between the CCMP and CCMP background post-2000 mean zonal stress, meridional stress, and wind speed, respectively, indicate that the background winds have a much smaller impact on CCMP versions post-2000. The reduced impact of background products during this most recent period is consistent with both: (i) the increased satellite coverage seen during this period [Atlas *et al.*, 2011]; and (ii) the smaller differences between background wind products during this period due to improved assimilation and parameterization schemes with the shift to ECMWF analysis. It is noted that the latter point would also benefit from the increased satellite coverage during this period as this data is also assimilated into the reanalysis products. This underlines the importance of sustaining satellite measurements of winds and suggests that if tropical Pacific satellite coverage can be maintained or increased, the impact of background state will be smaller for future trends.

5. Discussion and Conclusions

In this manuscript, we have examined two versions of synthesized multiplatform surface winds, the Cross-Calibrated Multi-Platform (CCMP) surface winds, by comparing them with each other and with observed

winds from the TAO/TRITON array. This comparison was done to help to inform the future development of the Tropical Pacific Observing System (TPOS), and better understand factors influencing the skill of synthesized satellite wind products in the tropical Pacific. Several studies have been carried out to evaluate the multisatellite synthesized surface winds and highlighted the skill of these products [Atlas *et al.*, 2011; Yu and Jin, 2012]. However, only time mean skill metrics were evaluated in these previous studies. Here we analyze the impact of: (i) surface currents on the mean CCMP and TAO/TRITON bias; (ii) merging satellite observed relative winds with TAO/TRITON observed absolute winds in CCMP; and (iii) the impact of background product winds on the mean and longer-term trend of the different CCMP versions, as the two versions of the CCMP products utilize different background wind products.

The mean differences between the satellite-derived CCMP surface winds and those observed from the TAO/TRITON array are relatively small (Figure 1). However, the spatial structure of this bias leads to changes in the meridional gradient of the zonal winds and the wind stress curl, which may have significant impacts on forced ocean model simulations forced with these data sets [e.g., Kessler *et al.*, 2003]. Thus, it is important to understand the cause of the differences. It is noted that the analysis compares buoy winds at a single location to the gridded data provided by the satellite retrieval averaged over the satellite footprints and it is unclear exactly how this mismatch in scale impacts the comparison. However, it is reasonable to expect that the scale mismatch would only cause smaller-scale, random errors as opposed to large-scale, systematic differences. The similarity between the TAO/TRITON versus CCMP differences and the estimated near-surface currents suggests that the differences are related to the fact that the moored array measures absolute winds while the satellites (the wind data largely utilized in CCMP) measures the wind relative to the moving ocean. This conclusion is consistent with previous studies [e.g., Kelly *et al.*, 2001; Yu and Jin, 2012]. Despite this, applying a correction for surface current effects using the OSCAR product (representing top-30 m average) does not reduce the overall bias between the mooring and satellite surface winds. It remains unclear whether the lack of improvement is because: (i) the mooring data has already been assimilated into CCMP; and/or (ii) of errors/differences between the OSCAR near-surface currents and the actual surface currents [e.g., Johnson *et al.*, 2007]. Also, the fact that the biases are largest under the regions high rainfall bands (e.g., the South Pacific Convergence Zone and the Intertropical Convergence Zone) raises questions about the role of the satellite wind rain contamination [e.g., Milliff *et al.*, 2004; O'Neill *et al.*, 2015; Kilpatrick and Xie, 2015] and the background wind data which is used to fill missing data gaps. Clearly, reducing inconsistencies between estimated near-surface currents and wind differences will require: (i) direct measurements of currents at the near-surface either using in situ and satellite platforms; and (ii) a better understanding and quantification of the impacts of rain on satellite winds in regions such as the ITCZ and SPCZ across different time scales (e.g., seasonal, interannual, and decadal). These results and uncertainties underline the importance of sustaining in situ measurements, in particular under the regional rainbands where removal of many moorings is currently proposed as part of TPOS.

The differences in wind stresses and surface wind speeds between the two CCMP versions on the 0.25° grid surrounding the TAO/TRITON locations, while relatively small, have spatial structures that are quantitatively similar to differences between the CCMP background wind products (Figure 3). This highlights the influence of the background wind product choice on the mean state of the synthesized multiplatform winds. This analysis also reveals spurious anomalies in the mean wind stress curl and its y derivative (used for calculation of zonal Sverdrup transport) at the location of the TAO/TRITON moorings (Figure 4). Similar features are also apparent in the analysis of the multidecadal trends (1988–2011) of CCMP winds. This suggests that the differences between in situ and satellite surface winds need to be better understood and corrected prior to merging TAO/TRITON winds with satellite observed relative winds.

We also compare the multidecadal trends (1988–2011) of the CCMP and TAO/TRITON surface wind data to better understand how accurately and consistently these trends are reproduced in the CCMP data. We find that both versions of CCMP winds exhibit trends that are not significantly different from TAO/TRITON at most mooring locations. This may in part stem from the inclusion of the TAO/TRITON winds in the multiplatform product (Figure 6). As with the mean wind field, we compare the trends of the two CCMP versions on the 0.25° grid surrounding the TAO/TRITON locations, to gather more independent information. We find statistically significant differences between the products (Figure 7). These differences have substantial impact on the oceanic response derived from a shallow water model. In particular, the simulated RMS trend

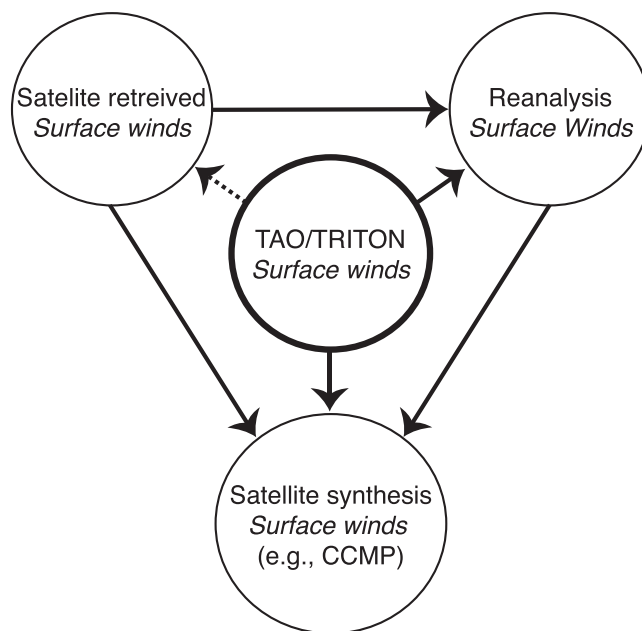


Figure 12. Schematic representation of the products that utilize TAO/TRITON in situ surface wind observations. Solid lines indicate that the TAO/TRITON data are included in some way in the final product, while dashed arrows indicate that the TAO/TRITON data are used for calibration and validation.

differences in the zonal and meridional currents are, respectively, 30% and 54% of the size of the total trend response (Figures 8 and 9), which would presumably impact SST.

The linear trend differences are largely related to the choice of background wind product. As such, careful consideration is required regarding the most appropriate reanalysis for background state winds as there is considerable differences between the different products in their representation of the mean, annual cycle, interannual variability, and longer-term trends [e.g., Wittenberg, 2004; McGregor et al., 2012]. This emphasizes the need for enhanced collaboration between the observation and reanalysis communities in improving reanalysis wind products. One important point to note here is that while the CCMP version trend differences are strongly related to differences in the choice of background

product, our results also show that the CCMP differences have a smaller magnitude than those of the background products. As such, CCMP products with differing background winds are likely to produce more consistent results when utilized to force ocean models than what might be expected by background (reanalysis) products alone.

We also note that the CCMP mean differences (Figure 3) and the CCMP trend differences (Figure 7) roughly mirror each other and show that the difference between the CCMP versions is significantly reduced during the post-2000 period, when compared to the pre-2000 period. We find that the CCMP version differences in the earlier period are largely related to background wind product choice. In the post-2000 period, however, the differences between the products are smaller and there is a much weaker spatial relationship with the background wind product. Potential causes for the differences between the two periods are: (i) the later period has higher rate of global satellite coverage, meaning that the background wind products are utilized less; and (ii) the background product for CCMP v1 was also different during this period (see data set description in section 2.2), and it displayed smaller differences with the CCMP v2 background product. The latter point is likely at least partially related to the former as satellite data are assimilated in the reanalysis. This suggests that if tropical Pacific satellite coverage can be maintained, the impact of background state will be smaller in the future. Conversely, potential future decreases in the tropical Pacific satellite coverage would increase reliance on background state products and give them a greater influence on trend estimates. Therefore, maintaining the current satellite wind measurements should form an important and vital component of TPOS as it can be used to ensure the consistency of reanalysis and synthesized wind products.

In summary, our study identified three important factors that influence the skill of synthesized wind products in terms of mean and trend estimates. The first factor is the quality of the background winds used in the synthesis. To address this issue effectively, enhanced collaborations among the wind observation (both satellite and in situ) community, reanalysis community, and synthesis community is necessary. The second factor is the need to correct either the satellite-derived relative winds or the in situ observations of absolute winds prior to synthesizing data sets, such that both are representing the wind from the same perspective. This requires a better understanding of the causes of these wind differences, including the role of ocean surface currents and rain affected satellite retrievals, both of which will be aided by future direct measurements of currents at the very near surface proposed as part of the TPOS. This correction should also lead to enhanced atmospheric reanalysis products. The third factor is that this and previous studies are limited by,

is the fact that there is no-independent in situ data available to evaluate the wind products. This limitation is because the in situ data have been assimilated in producing atmospheric reanalysis that are used as background winds for the synthesis products (e.g., CCMP and OSCAR), in addition to the use of the in situ winds in generating the CCMP synthesized satellite wind products. A schematic displaying the current uses of the TAO/TRITON array are presented in Figure 12 to illustrate these measurements are ingrained in the majority of surface wind products. This means that while we can state that the differences in CCMP and CCMP background is smaller in the post-2000 compared to the pre-2000 period, we still cannot effectively address whether this recent period is any closer to the in situ observations. Sensitivity experiments withholding in situ data in reanalysis and synthesis surface winds are necessary as a first step so that the in situ winds can be retained for independent validation of the satellite-based surface winds, which again points to the need for enhanced collaborations among the observations, reanalysis, and synthesis communities. This independent validation will allow us to better understand the strengths of current observational network and better plan the future changes, and as such should be carried out prior to any diminishment of the current observational network. The current uses of TOA/TRITON data also highlight its true value, as it is utilized as a data source for many wind products and to constrain and validate numerous others, while also providing the near-real-time surface wind data extending back multiple decades (to the arrays formation).

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