

1 Towards Neural Earth System Modelling by integrating Artificial
2 Intelligence in Earth System Science

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20 **Abstract**

21 Earth System Models (ESMs) are our main tools to quantify the physical state of the Earth
22 and to predict how it might change in the future under ongoing anthropogenic forcing. In recent
23 years, however, artificial intelligence (AI) methods have been increasingly used to augment or even
24 replace classical ESM tasks, raising hopes that AI could solve some of the grand challenges of climate
25 science. In this perspective, we survey the recent achievements and limitations of both process-based
26 models and AI in Earth system and climate research and propose a methodological transformation,
27 in which deep neural networks and ESMs are dismantled as individual approaches and reassembled
28 as learning, self-validating, and interpretable Earth system model-network hybrids. Following this
29 path, we coin the term "Neural Earth System Modelling". We examine the concurrent potential and

30 pitfalls of Neural Earth System Modelling and discuss the open question whether AI can infuse ESMs
31 or, ultimately, even render them obsolete.

32 For decades, scientists have utilized mathematical equations to describe geophysical and climate pro-
33 cesses and to construct deterministic computer simulations that allow for the analysis of such processes.
34 Until recently, process-based models had been considered irreplaceable tools that helped to understand
35 the complex interactions in the coupled Earth system and that provided the only tools to predict the
36 Earth system's response to anthropogenic climate change.

37 Earth system models (ESMs)¹ combine process-based models of the different sub-systems of the Earth
38 system into an integrated numerical model that yields for a given state of the coupled system at time t
39 a prediction of the system state for time $t + 1$. The individual model components, or modules, describe
40 sub-systems including the atmosphere, the oceans, the carbon and other biogeochemical cycles, radiation
41 processes, as well as land surface and vegetation processes and marine ecosystems. These modules are
42 then combined by a dynamic coupler to obtain a consistent state of the full system for each time step.

43 The inclusion of a vastly increasing number of processes, together with continuously rising spatial
44 resolution, have led to the development of comprehensive ESMs to analyse and predict the state of the
45 Earth system. From the first assessment report of the Intergovernmental Panel on Climate Change
46 (IPCC) in 1990 to the fifth phase of the Climate Model Intercomparison Project (CMIP5)² and the
47 associated fifth IPCC assessment report in 2014, the spatial resolution has increased from around 500
48 km to up to 70 km. In accordance, the CMIP results show that the models have, over the course of
49 two decades, greatly improved in their accuracy to reproduce crucial characteristics of the Earth system,
50 such as the evolution of the global mean temperatures (GMT) since the beginning of instrumental data
51 in the second half of the 19th century, or the average present-day spatial distribution of temperature or
52 precipitation^{3,4}.

53 The provocative thought that ESMs might lose their fundamental importance in the advent of novel
54 artificial intelligence (AI) tools has sparked both a gold-rush feeling and caution in the scientific commu-
55 nities. On the one hand, deep neural networks have been developed that complement and aim to match
56 the skill of process-based models in various applications, ranging from numerical weather prediction to
57 climate research. On the other hand, most neural networks are trained for isolated applications under
58 simplified conditions and lack true process knowledge. Regardless, the daily increasing data streams
59 from Earth system observation (ESO), increasing computational resources, and the availability and ac-
60 cessibility of powerful AI tools, particularly in machine learning (ML), have led to numerous innovative
61 developments that aim to resolve persistent shortcomings of current Earth system models.

62 In the following, we survey the current state, recent achievements, and recognised limitations of
63 both process-based modelling and AI in Earth and climate research. Based on this survey, we draw a
64 perspective on an imminent and profound methodological transformation, hereafter named Neural Earth
65 System Modelling (NESYM), that aims for a deep and interpretable integration of AI into Earth system
66 modelling. We discuss emerging challenges of this perspective and highlight the necessity of new trans-
67 disciplinary collaborations between the involved communities.

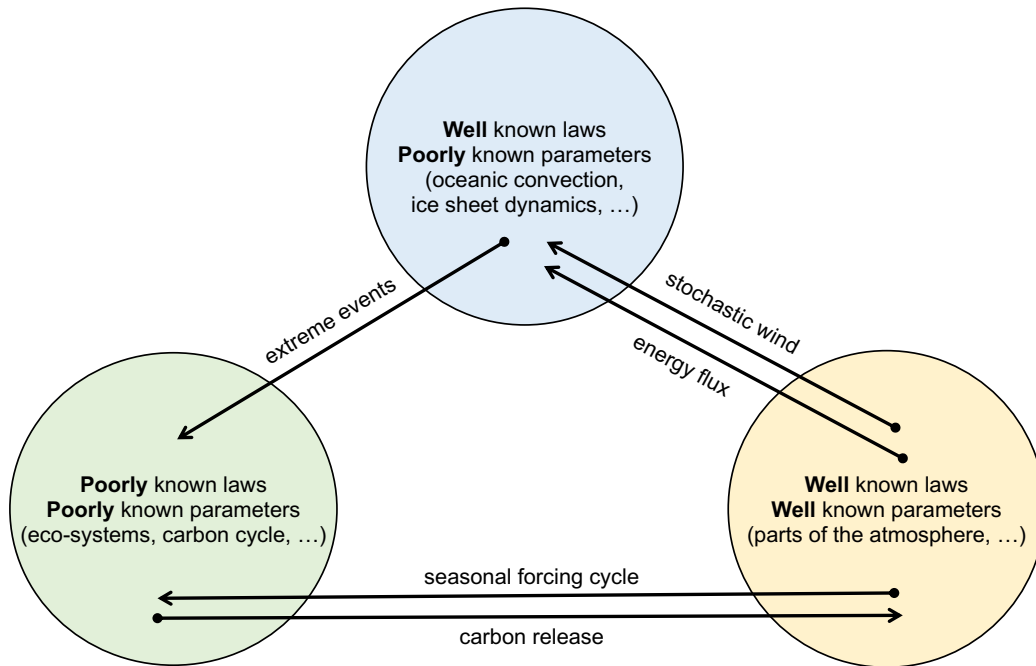


Figure 1: Symbolic representation of Earth system components in terms of knowledge clusters. Arrows indicate exemplary exchange of information between the clusters in terms of geophysical processes and coupling mechanisms. ML can take over different tasks depending on the cluster application, e.g., data exploration and analysis in case of poor process knowledge (green cluster), ESM enhancement by improving insufficient parameterizations and other simplifications in process-based models (blue cluster), or emulation and acceleration of well-understood process-based simulations (orange cluster). Similarly, ML can be applied on coupling mechanisms and interaction processes (arrows), utilizing adjacent clusters as training data pools.

68 Overview on Earth System Modelling and Earth System Obser- 69 vations

70 For some parts of the Earth system, the primitive physical equations of motion are known explicitly, such
71 as the Navier-Stokes equations that describe the fluid dynamics of the atmosphere and oceans (Fig. 1). In
72 practise, it is impossible to numerically resolve all relevant scales of the dynamics and approximations have
73 to be made. For example, the fluid dynamical equations for the atmosphere and oceans are integrated on
74 discrete spatial grids, and all processes that operate below the grid resolution have to be parameterised
75 to assure a closed description of the system. Since the multi-scale nature of the dynamics of geophysical
76 fluids implies that the subgrid-scale processes interact with the larger scales that are resolved by the
77 model, (stochastic) parameterization of subgrid-scale processes is a highly non-trivial, yet unavoidable,
78 part of climate modelling⁵⁻⁷.

79 For other parts of the Earth system, primitive equations of motion, such as the Navier-Stokes equations
80 for atmospheric motion, do not exist. Essentially, this is due to the complexity of the Earth system, where

81 many phenomena that emerge at a macroscopic level are not easily deducible from microscopic scales
82 that may or may not be well-understood. A typical example is given by ecosystems and the physiological
83 processes governing the vegetation that covers vast parts of the land surface, as well as their interactions
84 with the atmosphere, the carbon and other geochemical cycles. Also for these cases, approximations in
85 terms of parameterizations of potentially crucial processes have to be made.

86 Regardless of the specific process, such parameterizations induce free parameters in ESMs, for which
87 suitable values have to be found empirically. The size of state-of-the-art ESMs mostly prohibits systematic
88 calibration methods such as, e.g., the ones based on Bayesian inference, and the models are therefore often
89 tuned manually. The quality of the calibration as well as the overall accuracy of the model can only be
90 assessed with respect to relatively sparse observations of the last 170 years, at most, and there is no way
91 to assess the models' skill in predicting future climate conditions⁸. Although necessary, parameterizations
92 can cause biases or structural model errors. The example of the discretized spatial grid suggests that the
93 higher the spatial resolution of an ESM, the smaller the potential errors. Likewise, it is expected that the
94 models' representation of the Earth system will become more accurate the more processes are resolved
95 explicitly.

96 Despite the tremendous success of ESMs, persistent problems and uncertainties remain:

97 (1) A crucial quantity for the evaluation of ESMs is the equilibrium climate sensitivity (ECS), defined
98 as the amount of equilibrium GMT increase that results from an instantaneous doubling of atmospheric
99 carbon dioxide⁹. There remains a large ECS range in current ESM. From CMIP5 to CMIP6, the likely
100 range of ECS has widened from 2.1–4.7°C to 1.8–5.6°C^{10,11}. Reducing these uncertainties, and hence
101 the uncertainties of future climate projections, is one of the key challenges in the development of ESMs.

102 (2) Both theoretical considerations and paleoclimate data suggest that several sub-systems of the
103 Earth system can abruptly change their state in response to gradual changes in forcing^{12,13}. There is
104 concern that current ESMs will not be capable of predicting future abrupt climate changes, because
105 the instrumental era of less than two centuries has not experienced comparable transitions, and model
106 validation against paleoclimate data evidencing such events remains impossible due to the length of the
107 relevant time scales¹⁴. In an extensive search, many relatively abrupt transitions have been identified in
108 future projections of CMIP5 models¹⁵, but due to the nature of these rare, high-risk events, the accuracy
109 of ESM in predicting them remains untested.

110 (3) Current ESMs are not yet suitable for assessing the efficacy or the environmental impact of carbon
111 dioxide removal techniques, which are considered key mitigation options in pathways realizing the Paris
112 Agreement¹⁶. Further, ESMs still insufficiently represent key environmental processes such as the carbon
113 cycle, water and nutrient availability, or interactions between land use and climate. This can impact
114 the usefulness of land-based mitigation options that rely on actions such as biomass energy with carbon
115 capture and storage or nature-based climate solutions^{17,18}.

116 (4) The distributions of time series encoding Earth system dynamics typically exhibit heavy tails.
117 Extreme events such as heat waves and droughts, but also extreme precipitation events and associated
118 floods, have always caused tremendous socio-economic damages. With ongoing anthropogenic climate
119 change, such events are projected to become even more severe, and the attribution of extremes poses an-

120 other outstanding challenge of Earth system science¹⁹. While current ESMs are very skillful in predicting
121 average values of climatic quantities, there remains room for improvement in representing extremes.

122 In addition to the possible solutions to these fundamental challenges, improvements of the overall
123 accuracy of ESMs can be expected from more extensive and more systematic integration of the process-
124 based numerical models with observational data. Earth system observations (ESOs) are central to ESMs,
125 serving a multitude of purposes. ESOs are used to evaluate and compare process-based model perfor-
126 mance, to generate model parameters and initial model states, or as boundary forcing of ESMs^{20,21}.
127 ESOs are also used to directly influence the model output by either tuning or nudging parameters that
128 describe unmodeled processes, or by the more sophisticated methods of data assimilation that alter the
129 model's state variables to bring the model output in better agreement with the observations²². Gradient-
130 based optimization, as in four-dimensional variational (4DVar) schemes, is the current state of the art
131 for efficiency and accuracy, but currently requires time consuming design and implementation of ad-
132 joint calculation routines tailored to each model. Ensemble-based Kalman filter (EnKF) schemes are
133 gradient-free but produce unphysical outputs and rely on strong statistical assumptions that are often
134 unsatisfied, leading to biases and overconfident predictions²³. The main problems of contemporary ESM
135 data assimilation are 1) nonlinear dynamics and non-Gaussian error budgets in combination with the
136 high dimensionality of many ESM components²⁴⁻²⁶, and 2) constraining the governing processes over the
137 different spatio-temporal scales found in coupled systems^{27,28}.

138 ESOs cover a wide range of spatio-temporal scales and types, ranging from a couple of centimeters to
139 tens of thousands of kilometers, and from seconds and decades to millennia. The types of observations
140 range from in-situ measurements of irregular times and spaces to global satellite-based data fields. Yet,
141 the available observational data pool still contains large gaps in time and space that prevent building
142 a holistic observation-driven picture of the coupled Earth system, which result from insufficient data
143 resolution, too short observation time periods, and largely unobserved compartments of Earth systems
144 like, for instance, abyssal oceans. The combination of these complex characteristics render Earth system
145 observations both challenging and particularly interesting for AI applications.

146 **From Machine Learning-based Data Exploration Towards Learn-** 147 **ing Physics**

148 In contrast to other research branches²⁹⁻³², the usage of ML in Earth and climate sciences is still in its
149 infancy. While current ML applications are mostly found in explorative studies and are still far away from
150 operational usage, profound impact on research as well as on the supercomputing industry is expected³³.
151 A key observation is that ML concepts from computer vision and automated image analysis can be
152 isomorphically transferred to ESO imagery and time series^{34,35}. Pioneering studies demonstrated the
153 feasibility of ML for remote sensing data analysis, classification tasks, and parameter inversion already in
154 the 1990s³⁶⁻³⁹, and climate-model emulation in the early 2000s⁴⁰. The figurative Cambrian explosion of
155 AI techniques in Earth and climate sciences, however, only began over the last five years and will rapidly
156 continue throughout the coming decades.

157 ML has been applied across various spatial and temporal scales, ranging from short-term regional
158 weather prediction to Earth-spanning climate phenomena. Significant progress has been made in de-
159 veloping purely data-driven weather prediction networks, aiming to explore alternative approaches to
160 process-based model forecasts^{41–43}, or to emulate and accelerate computationally demanding compo-
161 nents of weather forecasting systems like the parameterization of gravity wave drag⁴⁴ and the simulation
162 of cloud processes⁴⁵. However, current global data-driven ML weather forecasts operate on much lower
163 resolution than state-of-the-art process-based models⁴⁶ and the lack of available training data likely pre-
164 vents a closure of this gap in the near future⁴⁷. Yet, ML for emulation and acceleration tasks could play
165 an even more important role in this context (orange knowledge cluster in Fig. 1), particularly during the
166 advent of exascale computing⁴⁸ and resolving related computational challenges and bottlenecks⁴⁹. ML
167 contributed to the pressing need to improve the predictability of natural hazards, for instance, by uncover-
168 ing global extreme-rainfall teleconnections⁵⁰, or by improving long-term forecasts of the El Niño Southern
169 Oscillation (ENSO)^{51,52}. ML-based image filling techniques were utilized to reconstruct missing climate
170 information, allowing to correct previous global temperature records⁵³. Furthermore, ML was applied to
171 analyze climate data sets, e.g., to extract specific forced signals from natural climate variability^{54,55} or to
172 predict clustered weather patterns⁵⁶. In these applications, the ML tools function as highly specialized
173 agents that help to uncover and categorize patterns in an automated way, which is particularly useful
174 for observable processes that are only poorly described through physical laws or parameterizations (green
175 knowledge cluster in Fig. 1). A key methodological advantage of ML in comparison to covariance-based
176 spatial analysis lies in the possibility to map nonlinear processes^{57,58}. At the same time, such trained
177 neural networks lack actual physical process knowledge, as they solely function through identifying and
178 generalizing statistical relations by minimizing pre-defined loss measures for a specific task⁵⁹. Conse-
179 quently, research on ML in Earth and climate science differs fundamentally from the previously described
180 efforts of advancing ESMs in terms of methodological development and applicability.

181 Concepts of utilizing ML not only for physics-blind data analyses, but also as surrogates and method-
182 ological extensions for ESMs have only recently started to shape⁶⁰. Scientists started pursuing the aim
183 that ML methods learn aspects of Earth and climate physics, or at least plausibly relate cause and effect.
184 The combination of ML with process-based modelling is the essential distinction from the previous ESO
185 data exploration (blue knowledge cluster in Fig. 1). Lifting ML from purely diagnosis-driven usage to-
186 wards the prediction of geophysical processes will also be crucial for aiding climate change research and
187 the development of mitigation strategies⁶¹.

188 Following this reasoning, ML methods can be trained with process-based model data to inherit a
189 specific geophysical causation or even emulate and accelerate entire forward simulations. For instance,
190 ML has been used in combination with ESMs and ESOs to invert space-borne oceanic magnetic field
191 observations to determine the global ocean heat content⁶². Similarly, a neural network has been trained
192 with a continental hydrology model to recover high-resolution terrestrial water storage from satellite
193 gravimetry⁶³. ML plays an important role for upscaling unevenly distributed carbon flux measurements
194 to improve global carbon monitoring systems⁶⁴. The eddy covariance technique was combined with ML
195 to measure the net ecosystem exchange of CO₂ between ecosystems and the atmosphere, offering a unique

196 opportunity to study ecosystem responses to climate change⁶⁵. ML has shown success in representing
197 subgrid-scale processes and other parameterizations of ESMs, given that sufficient training data were
198 available. As such, neural networks were applied to approximate turbulent processes in ocean models⁶⁶
199 and atmospheric subgrid processes in climate models⁶⁷. Here, substantial computational savings could
200 be achieved^{44,45}, freeing resources that in turn could be used to improve the model simulations, e.g., by
201 raising ensemble sizes or improve the numerical model’s resolution. Several studies highlight the potential
202 for ML-based parameterization schemes^{68–72}, helping step-by-step to gradually remove numerically and
203 human-induced simplifications and other biases of ESMs⁷³. Nevertheless, most ML parameterization
204 schemes are still applied under idealized conditions, e.g., coarse model resolution, simplified physics, or
205 reduced prognostic model variables. Transferring and testing these achievements on more complex ESM
206 configurations remains an ongoing and open challenge⁷⁴.

207 While some well-trained ML tools and simple hybrids have shown higher predictive power than tra-
208 ditional process-based models, only the surface of new possibilities, but also of new scientific challenges,
209 has been scratched. So far, ML, ESMs, and ESO have largely been independent tools. Yet, we have
210 reached the understanding that applications of physics-aware ML and model-network hybrids pose huge
211 benefits by filling up niches where purely process-based models persistently lack reliability⁷⁵.

212 **The Fusion of Process-based Models and Artificial Intelligence**

213 While the idea of hybrids of process-based and ML models is not new⁷⁶, the understanding of how ML
214 can enhance process-based modelling has evolved based on the recent advances. The long-term goal
215 will be to consistently integrate the recently discovered advantages of ML into the already decade-long
216 source of process knowledge in Earth system science (Fig. 2). However, this evolution does not come
217 without methodological caveats, which need to be investigated carefully. For the sake of comparability,
218 we distinguish between weakly coupled NESYM hybrids, i.e., an ESM or AI technique benefits from
219 information from the respective other, and strongly coupled NESYM hybrids, i.e., fully coupled model-
220 network combinations that dynamically exchange information between each other.

221 The emergent development of weak hybrids is predominantly driven by the aim to resolve the pre-
222 viously described ESM limitations, particularly unresolved and especially sub-grid scale processes (left
223 branch of Fig. 2). Neural networks can emulate such processes after careful training with simulation
224 data from a high-resolution model that resolves the processes of interest, or with relevant ESO data.
225 The next methodological milestone will be the integration of such trained neural networks into ESMs for
226 operational usage. First tests have indicated that the choice of the AI technique, e.g., neural networks
227 versus random forests, seems to be crucial for the implementation of learning parameterization schemes,
228 as they can significantly deteriorate the ESM’s numerical stability⁷⁷. Thus, it is not only important to
229 identify how neural networks can be trained to resolve ESM limitations, but also how such ML-based
230 schemes can be stabilized in the model physics context and how their effect on the process-based simula-
231 tion can be evaluated and interpreted⁷⁸. The limitations of ML-based parameterization approaches can
232 vary widely for different problems or utilized models and, consequently, should be considered for each

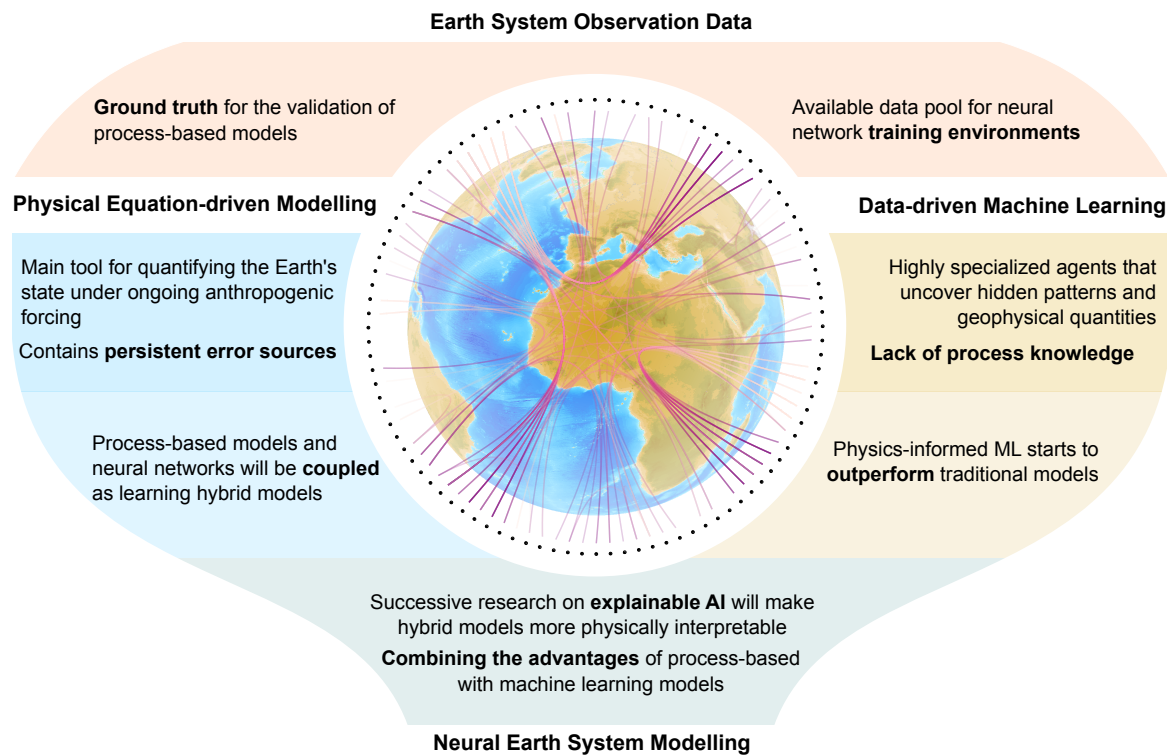


Figure 2: Successive stages of the fusion process of Earth system models and artificial intelligence towards Neural Earth System Modelling (NESYM). The left and right branches visualize the current efforts and goals for building weakly coupled hybrids (blue and yellow), which converge towards strongly coupled hybrids with the support from explainable AI (green). Details on weak and strong hybrids are provided in the main text.

233 learning task individually⁷⁹. Nevertheless, several ideas have been proposed to stabilize ML parame-
234 terizations, e.g., by enforcing physical consistency through customized loss functions in neural networks
235 and specific network architectures^{71,80}, or by optimizing the considered high-resolution model training
236 data⁷². In addition, an ESM blueprint has been proposed, in which learning parameterizations can
237 be targeted through searching an optimal fit of statistical measures between ESMs, observations, and
238 high-resolution simulations⁸¹. While this is not strictly applying ML, the approach is well posed for
239 exploring parameterizations suitable for smooth climate solutions, avoiding the problems of the EnKF
240 techniques. In such a context, further efforts have been made to enhance an ESM not with ML directly,
241 but in combination with a data assimilation system²². For instance, emulating a Kalman filter scheme
242 with ML has been investigated^{82,83}, an ML-based estimation of atmospheric forcing uncertainties used
243 as error covariance information in data assimilation has been proposed⁸⁴, ML for nudged hindcasts⁷⁴, as
244 well as further types of Kalman-network hybrids^{85,86}. Despite the shown potential for combining data
245 assimilation and ML, it should be highlighted that many current challenges of data assimilation need to
246 be solved for respective ML approaches as well, such as robust quantification of model and observation
247 uncertainties and the optimal use of sparse observations⁸⁷.

248 In the second class of weak hybrids, the model and AI tasks are transposed, such that the information
249 flow is directed from the model towards the AI tool (right branch of Fig. 2). Here, neural networks are
250 trained directly with model state variables, their trajectories, or with more abstract information like sea-
251 sonal signals, interannual cycles, or coupling mechanisms (knowledge cluster connections in Fig. 1). The
252 goal of the ML application might not only be model emulation, but also inverting non-linear geophysical
253 processes⁶², learning geophysical causation⁸⁸, or predicting extreme events^{89,90}. In addition to these
254 inference and generalization tasks, a key question in this sub-discipline is whether a neural network can
255 learn to outperform the utilized process-based trainer model in terms of physical consistency or predictive
256 power. ESOs play a vital role in this context, as they can serve as additional training constraints for a
257 neural network training, allowing it to build independent self-evaluation measures⁶³.

258 The given examples generally work well for validation and prediction scenarios within the given
259 training data distribution. Out-of-distribution samples, in contrast, pose a huge challenge for supervised
260 learning, which renders the “learning from the past” principle possibly ill-posed for prediction tasks in
261 NESYM. Because of the both naturally and anthropogenically induced non-stationarity of the climate
262 and Earth system, it will be very challenging – and in many cases impossible – for purely data-driven
263 AI methods to perform accurate climate projections on their own. Nevertheless, some hope for purely
264 data-driven AI approaches may remain for problems for which it can be convincingly argued that, for
265 instance, the data distributions for colder-climate training conditions and warmer-climate projections
266 overlap. But in practise it will be hard to guarantee that the unseen domains of the data distributions
267 corresponding to a warmer climate are not relevant for a given process under study. Moreover, in specific
268 cases the scales of the processes under study may to a first approximation be separable from the scales
269 relevant in the context of anthropogenic climate change, but guaranteeing this in practice will again be
270 very difficult.

271 Overcoming the overall limitations posed by the non-stationarity of the climate system requires a

272 deeper holistic integration in terms of strongly coupled hybrids and the consideration of further, less con-
273 strained training techniques like unsupervised training⁹¹ and generative AI methods^{69,92,93}. For example,
274 problems of pure AI methods with non-stationary training data can be attenuated by combining them
275 with physical equations describing the changing energy-balance of the Earth system due to anthropogenic
276 greenhouse-gas emissions⁹⁴. A key distinction of strongly coupled hybrids is that the ML component can
277 be further improved by continued training. As such, the dynamic exchange of information does not
278 only mean that the ML part is repeatedly called after being trained for usage in a weak hybrid, but
279 can further evolve based on the current model state, newly available observations, etc. In addition, first
280 steps towards physics-informed AI have been made by ML-based and data-driven discovery of physical
281 equations⁹⁵ and by the implementation of neural partial differential equations^{96,97} into the context of
282 climate modelling⁹⁸.

283 Continuous maturing of the methodological fusion process will allow building hybrids of neural net-
284 works, ESMs and ESOs that dynamically exchange information. ESMs will soon utilize output from
285 supervised and unsupervised neural networks to optimize their physical consistency and, in turn, feed
286 back improved information content to the ML component. ESOs form another core element and function
287 as constraining ground truth of the AI-infused process prediction. Similar to the adversarial game of
288 generative networks⁹⁹, or coupling mechanisms in an ESM¹⁰⁰, also strongly coupled NESYM hybrids
289 will require innovative interfaces that control the exchange of information that are, so far, not avail-
290 able. As the methodical range of weak and strong hybrids is too large to be summarized through a
291 single overarching definition, we formulate key characteristics and define goals of Neural Earth System
292 Modelling:

- 293 (1) Hybrids can reproduce and predict out-of-distribution samples and extreme events,
- 294 (2) hybrids perform constrained and consistent simulations that obey physical conservation laws de-
295 spite potential shortcomings of the hybrids' individual components,
- 296 (3) hybrids include integrated adaptive measures for self-validation and self-correction, and
- 297 (4) NESYM allows replicability and interpretability.

298 While most studies implemented neural networks for ML in this context, the term Neural Earth System
299 Modelling includes all AI techniques that help to achieve these goals. The ultimately goal of NESYM is
300 to help scientists improving the current forecast limits of geophysical processes and to contribute towards
301 understanding the Earth's susceptible state in a changing climate. Consequently, not only the fusion of
302 ESM and AI will be in the research focus, but also AI interpretability and resolving the common notion
303 of a black box.

304 Peering into the Black Box

305 ML has emerged as a set of methods based on the combination of statistics, applied mathematics and
306 computer science, but it comes with a unique set of hurdles. Peering into the black box and explaining
307 the decision making process of the ML method, termed explainable AI (XAI), is critical to the use of
308 ML tools. Especially in the physical sciences, adaptation of ML suffers from a lack of interpretability,

309 particularly supervised ML. In contrast and in addition to XAI stands the call for interpretable AI
310 (IAI), i.e., building specifically interpretable ML models from the beginning on, instead of explaining ML
311 predictions through post-process diagnostics¹⁰¹.

312 Ensuring that what is ‘learned’ by the machine is physically tractable or causal, and not due to
313 trivial coincidences^{102,103}, is important before ML tools are used, e.g., in an ESM setting targeted at
314 decision making. Thus, interpretability and explainability provides the user with trust in the ML output,
315 improving its transparency. This is critical for ML use in the policy-relevant area of climate science as
316 society is making it increasingly clear that understanding the source of AI predictive skill is of crucial
317 importance^{104,105}. By analyzing the decision making process, climate scientists will be able to better
318 incorporate their own physical knowledge into the ML method, ultimately leading to greater confidence
319 in predictions. Perhaps least appreciated in geoscientific applications thus far is the use of IAI and XAI
320 to discover new science^{103,106} and assist in theoretic advances¹⁰⁷. For example, when a ML model is
321 capable of making skillful predictions, XAI allows us to ask “what did it learn?”. In this way, ML models
322 can act as investigative tools for discovery.

323 The power of XAI for climate, ocean and weather applications has very recently been demonstrated^{106,108–110}.
324 Tools for developing XAI models are referred to as Additive Feature Attribution (AFA)¹¹¹. For exam-
325 ple, neural networks coupled with the XAI attribution method known as layerwise relevance propagation
326 (LRP)^{112,113} have revealed modes of variability within the climate system, sources of predictability across
327 a range of timescales, and indicator patterns of climate change^{55,106}. There is also evidence that XAI
328 methods can be used to evaluate climate models against observations, identifying the most important
329 climate model biases for the specific prediction task¹¹⁴. However, these methods are in their infancy and
330 there is vast room for advancements in their application, making it explicitly appropriate to employ them
331 within the physical sciences^{103,110}. In the context of the above, we emphasize, however, that IAI and
332 XAI approaches should go side-by-side with well-posed physical research hypotheses. Also in this regard,
333 we again highlight the importance of combining recent methods from AI with domain-specific physical
334 understanding and the state of the art in process-based modelling.

335 Unsupervised ML, can be intuitively IAI through the design of experiments. For example, applying
336 clustering on closed model budgets of momentum ensures all relevant physics are represented, and can be
337 interpreted in terms of the statistically dominant balances between terms¹¹⁵. Similarly, ‘equation driven’
338 ML can be used to determine the salient terms given an array of mathematical operations, and suggest
339 interpretable sub-gridscale parameterisation developments on this basis^{66,95}. In this manner, dominant
340 physical mechanisms or equation terms can be determined, generating new knowledge in physics and
341 beyond^{91,115,116}. Knowledge of dominant regimes can subsequently be used to engineer features for
342 a well posed XAI application where the source of predictive ML skill is transparent¹¹⁰. Adversarial
343 learning has been an effective tool for generating super-resolution fields of atmospheric variables in climate
344 models⁹³. Furthermore, unsupervised ML approaches have been proposed for discovering and quantifying
345 causal interdependencies and dynamical links inside a system, such as the Earth’s climate^{88,117}. Another
346 example of an ML application that can be termed IAI is equation discovery, for example using Relevance
347 Vector Machines (RVM), which has been applied for ocean eddy parameterizations⁹⁵. It is also of note

348 that a revolution of analysis tools has been called for to evaluate climate models, and ML is poised to be
349 part of this change^{60,118,119}.

350 Given the importance of both explainability and interpretability for improving ML generalization
351 and scientific discovery, promoting collaborations between climate and AI scientists can help to develop
352 methods that are tailored to the field's needs. This is not just an interesting exercise - it is essential
353 for the proper use of AI for NESYM development and use. Earth and climate scientists can aid the
354 development of consistent benchmarks that allow evaluating both stand-alone ML and hybrids in terms
355 of geophysical consistency¹²⁰. However, help of the AI community is needed to resolve other recently
356 highlighted ML pitfalls. For example, identifying and avoiding shortcut learning¹²¹ in hybrid models,
357 developing ESM concepts of adversarial examples and deep learning artifacts¹²², and developing AFA¹²³
358 tools appropriate for physical applications such as within XAI¹¹⁰. Only through combined efforts and
359 continuous development of both ESM and AI can Neural Earth System Modelling emerge.

360 **Concluding remarks**

361 Our perspective should not only be seen as the outline of a promising scientific pathway to achieve a
362 better understanding of the Earth's present and future state, but also as an answer to the recent support
363 call from the AI community¹²⁴. Based on the current state of applying AI to Earth system and climate
364 sciences, further exploration of the full potential and, equally, the limits of AI in this field is important.
365 Yet, this line of research is a high-risk venture with many potential pitfalls and dead ends. At this point,
366 there is no guarantee that AI will be the missing key to overcome the grand challenges of Earth and
367 climate sciences, some of which were described at the beginning of this perspective. In its current stage,
368 it also seems unlikely that AI alone can solve the climate prediction problem. In the coming years, AI will
369 necessarily need to rely on clear, physically meaningful research hypotheses, the geophysical determinism
370 of process-based modelling, and on careful human evaluation against domain-specific knowledge. Along
371 such lines, we believe that lasting progress beyond the current hype in applying AI to Earth system
372 science will be possible. However, once we find solutions to the foreseeable limitations described above
373 and can build interpretable and geophysically consistent AI tools, this next evolutionary step will seem
374 much more likely.

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386 **Authors' contributions**

387 CI conceived the paper and organized the collaboration. All authors contributed to writing and revising
388 all chapters of this manuscript. In particular, NB and CI drafted the ESM overview, JSW and JS
389 drafted the ESO and DA overview, CI and CK drafted the chapter 'From Machine Learning-based Data
390 Exploration Towards Learning Physics', CI and JSW and NB drafted the chapter 'The Fusion of Process-
391 based Models and Artificial Intelligence', MS and EAB and CI drafted the chapter 'Peering into the Black
392 Box'.

393 **Competing Interest**

394 The authors declare no competing interest.

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