

1 **Evidence suggests potential transformation of the Pacific Arctic Ecosystem is underway**

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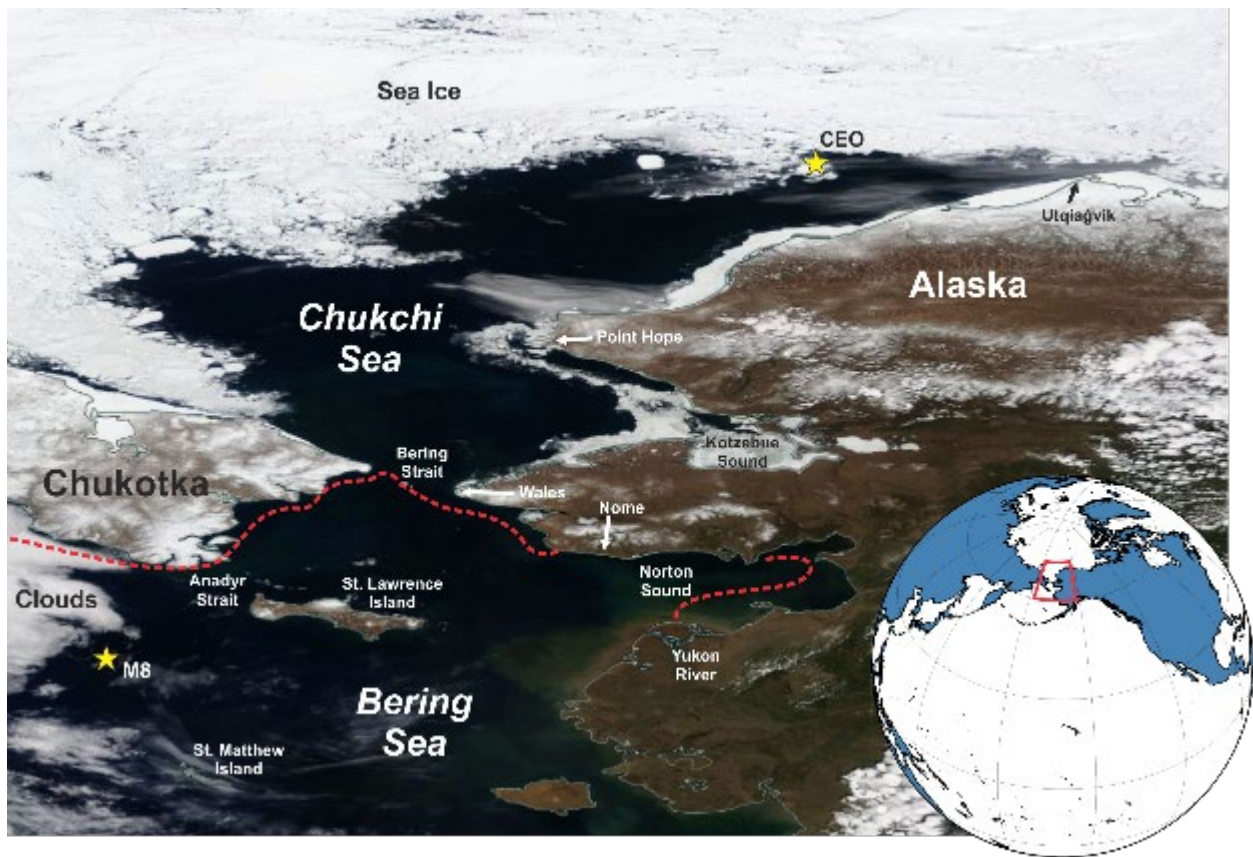
32 Abstract

33 The highly productive northern Bering and Chukchi marine shelf ecosystem has long been
34 dominated by strong seasonality in sea ice and water temperatures. Extremely warm conditions
35 from 2017 into 2019 - including loss of ice cover across portions of the region in all three winters
36 - were a marked change even from other recent warm years. Biological indicators suggest this
37 state change could alter ecosystem structure and function. Here we report observations of key
38 physical drivers, biological responses, and consequences for humans, including subsistence
39 hunting, commercial fishing, and industrial shipping. We consider whether observed state
40 changes are indicative of future norms, whether an ecosystem transformation is already
41 underway, and if so, whether shifts are synchronously functional and system-wide, or reveal a
42 slower cascade of changes from the physical environment through the food web to human
43 society. Understanding of this observed process of ecosystem reorganization may shed light on
44 transformations occurring elsewhere.

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47 The Pacific Arctic, composed of the Chukchi and northern Bering seas (Figure 1), is one
48 of the world's most productive ocean ecosystems (1), characterized by high benthic biomass
49 resulting from persistent, nutrient rich flow through the Bering Strait (2) that fuels high primary
50 production (3). In summer and fall, the region is home to millions of nesting and migratory
51 seabirds, with hotspots of foraging activity shared with marine mammals (4), supporting coastal
52 Indigenous communities. The delivery of nutrients together with the extent and timing of sea ice
53 (5) are dominant environmental factors structuring this ecosystem. Freeze-up in fall and winter
54 eliminates large expanses of open water, causing whales, Pacific walrus (*Odobenus rosmarus*
55 *divergens*), many seals, and seabirds to migrate southwards into the Bering Sea and beyond (6).
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59 *Figure 1. Sea ice changes in recent years. True-color MODIS satellite image showing northern*
60 *Bering and Chukchi sea ice conditions on 2 June 2017. Red dotted lines denote the 1980-2010*
61 *ice edge climatology for June 2nd. Yellow stars denote locations of oceanographic moorings M8*
62 *and CEO. Inset locates the study region. Image from NASA Worldview.*

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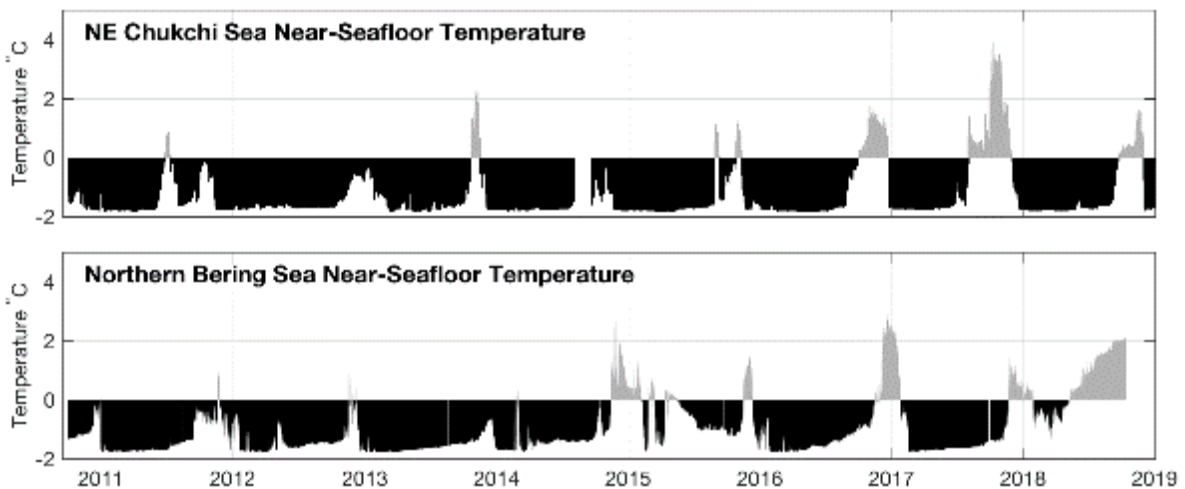
64 In spring, the return of sunlight heralds snow melt, growth of sea ice algae, and a
65 phytoplankton bloom that typically exceeds the consumption capabilities of pelagic consumers,
66 resulting in carbon falling to the seabed, fueling rich benthic communities (7,8). Solar radiation
67 and melting sea ice help stratify the upper water column, impeding the ability of winds to mix
68 surface and subsurface waters. In summer, low-salinity surface waters near the pack ice remain
69 cool relative to the shelf waters warmed by insolation. The Bering Sea cold pool, near-bottom
70 shelf waters cooler than 2°C south of Bering Strait, has long served as a thermal barrier to
71 northward migration of subarctic groundfish (9), which are major stocks for the southeastern
72 Bering Sea’s \$2 billion fishery and account for about half the seafood landings in the United
73 States (10,11).

74

75 Recent Changes in the Pacific Arctic Marine Ecosystem

76 Declining sea ice in this century has reduced surface albedo in spring and summer,
77 accelerating oceanic heat uptake and causing earlier and more rapid sea ice melt (12). The pack
78 ice and marginal ice zone has retreated north beyond the Chukchi shelf in recent summers, while
79 warmer shelf waters delay sea ice formation in fall. Simultaneously, the northward flow of water
80 through Bering Strait has increased, as has its temperature (2), so that it now delivers more heat,
81 freshwater, nutrients, and biota northwards into the Arctic (13). Near-bottom water temperatures
82 exceed 0°C for a larger portion of the year (Figure 2).

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86 *Figure 2. Near-bottom water temperatures. Previously, temperatures in important seafloor*
87 *habitats remained below 0 °C for most of the year. In recent years, an increasing number of*
88 *months exhibited temperatures well above 0 °C. Mooring locations are indicated on Figure 1.*

89

90 Ramifications of these physical changes have included more salmon in the Chukchi and
91 Beaufort seas (14,15), walrus hauling out on shore in northwestern Alaska in late summer instead
92 of on sea ice (16), an increase in the frequency and seasonal duration of killer whale (*Orcinus*
93 *orca*) presence in the Chukchi Sea (17), an increase in planktivorous seabirds in the Chukchi Sea
94 (18), and a northward shift in the distribution of other seabird species (19,20). For the Indigenous
95 peoples of the region, spring marine mammal hunting opportunities dependent on the presence of
96 sea ice have decreased and shifted in time (21), although the lack of sea ice has allowed
97 additional whaling to occur in fall and early winter in the northern Bering Sea (22).

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99 And Then Came 2017

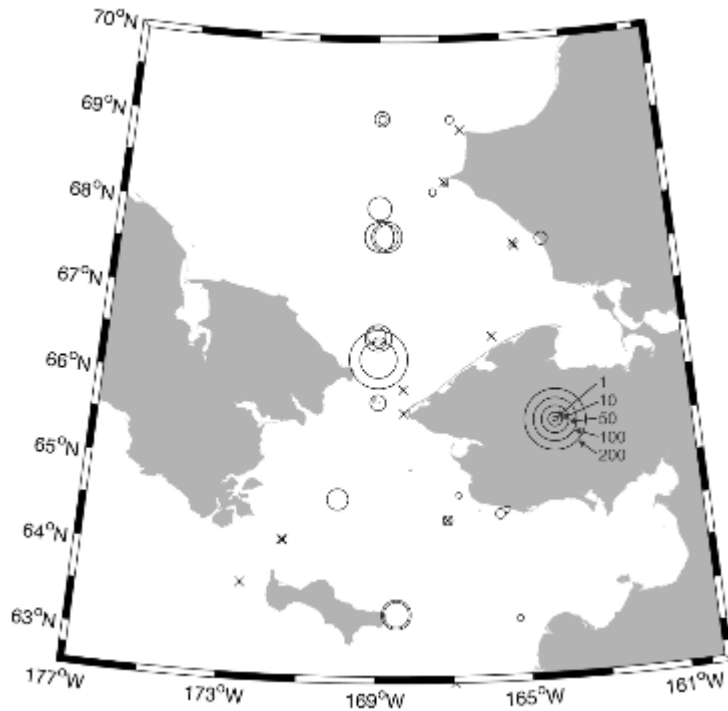
100 In 2017, physical conditions in the Pacific Arctic marine shelf ecosystem of the Chukchi
101 and northern Bering seas described above showed signs of a sudden and dramatic shift relative to
102 historical means and even to other recent unusually warm years. In turn, these physical changes
103 seemingly precipitated several significant ecological shifts, with consequences for the region's
104 residents. Based on published and unpublished data from the authors, many changes persisted in
105 2018 and even into 2019, suggesting that 2017 was not a passing oddity of brief consequence to
106 social-ecological systems, but a sign of what is to come.

107 In early January 2017, the sea ice edge had barely progressed south of Bering Strait and
108 for the entire winter its extent remained at least 2×10^5 km² below the long-term average. In June,
109 ship-based observations found near-bottom ocean temperatures in Bering Strait of nearly 4 °C,
110 over 3°C and four standard deviations warmer than the 1991-2016 June mean (2). Indeed, by
111 June, the eastern Chukchi shelf was already mostly sea ice-free (Figure 1). In early December
112 2017, the ice edge was over 1000 km north of its climatological mean position near St. Lawrence
113 Island. There was no sea ice in the Bering Strait in February 2018 and southerly winds forced a
114 large ice retreat again in February 2019 (23). Waters in Norton Sound exceeded 10°C before the
115 end of June 2018 and the cold pool was again minimal by late summer.

116 Reduced ice cover and warmer seas likely impacted primary production by influencing
117 thermal, light, and stratification conditions. In spring of 2018, in the southern Bering Sea, the
118 bloom was delayed due to a lack of freshwater input from melting sea ice, and chlorophyll
119 concentrations were an order of magnitude lower than usual; however in the northern Bering Sea
120 the ice-associated bloom was early and extensive (24). In addition, the detection of domoic acid
121 in shipboard water samples (Figure 3) and saxitoxin in a few stranded and harvested walrus
122 from Bering Strait villages led to concern about harmful algal blooms and food safety from
123 Indigenous residents, though analytical challenges make the impact difficult to determine (25).

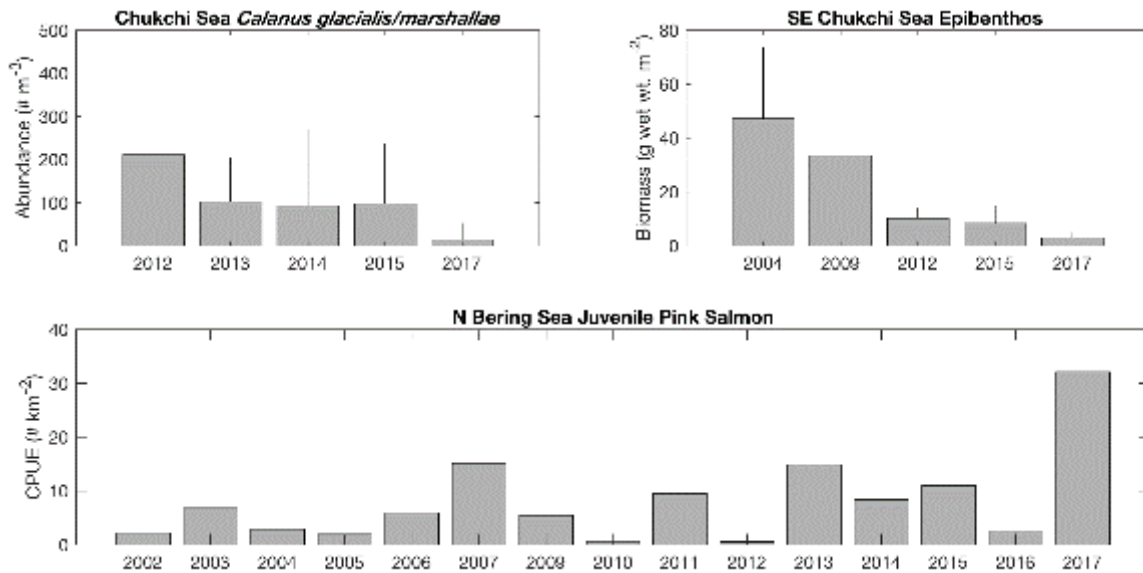
124 Changes in species distributions had already been observed this century, but not to the
125 extent observed in 2017. The copepods *Calanus glacialis/marshallae* in 2017 were found to be
126 remarkably low in abundance relative to 2012-2015 (Figure 4). Multispecies epibenthic biomass
127 in the southern Chukchi Sea also exhibited a pronounced decline relative to comparable
128 collections in 2004, 2009, 2012, and 2015 (Figure 4). In contrast, acoustic-trawl surveys indicate
129 that age-0 Arctic cod abundance was dramatically higher in the Chukchi Sea in 2017 compared
130 with previous surveys: backscatter in the northern Chukchi Sea (67 N to 71.5 N) was 5.6 times
131 greater than in 2013, and 16.3 times greater than in 2012 (Figure 5), but the fish had low energy
132 content. Juvenile pink salmon (*Oncorhynchus gorbuscha*) catch per unit effort in surface trawl
133 surveys in the northern Bering Sea was two times greater during 2017 than previous
134 years (Figure 4). Juvenile pink salmon return as adults the following year, and the adult pink
135 salmon return to Norton Sound was much stronger than expected during 2018 (27). Adult
136 walleye pollock (*Gadus chalcogramma*), Pacific cod (*Gadus macrocephalus*), and northern rock
137 sole (*Lepidopsetta polyxystra*) biomass in bottom trawl surveys increased in the northeastern
138 Bering Sea during 2017, likely due to northward movement of these fishes in the absence of the
139 Bering Sea cold pool (28).

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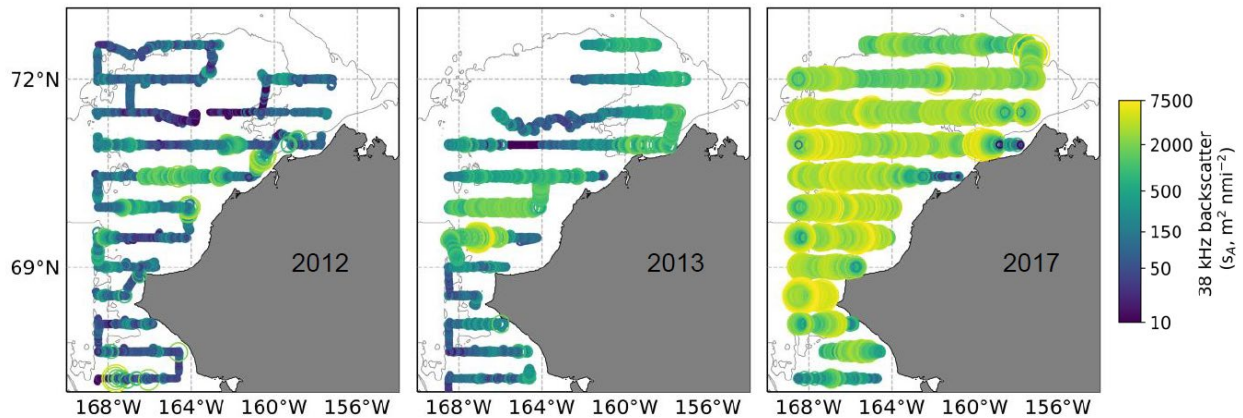
Figure 3. Seawater concentrations of domoic acid, June 2017. NTD = no toxin detected.



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Figure 4. Biological changes in recent years. Observations show declines of *Calanus glacialis/marshallae* abundance (upper left) and epibenthic biomass (upper right) in 2017

149 relative to prior years, and an increase in juvenile pink salmon catch per unit effort (CPUE)
150 (bottom). The graphs in upper left and upper right show simple means and standard deviation
151 error bars.
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155 *Figure 5. Arctic cod abundance change. Acoustic surveys indicate that the abundance of age-0*
156 *Arctic cod increased substantially in 2017 relative to 2012 and 2013. Trawl sampling indicated*
157 *that Arctic cod dominated acoustic backscatter in this area in 2012 and 2013 (26). This was also*
158 *the case in 2017: Arctic cod accounted for 95.4% of fish captured in 33 midwater trawl hauls.*
159

160 In offshore waters, total seabirds declined from 2012-2017 in the southern and northern
161 Bering Sea, but densities were above the long term mean in the Chukchi Sea during most of that
162 period. The increase in the Chukchi Sea in 2015-2017 was primarily due to short-tailed
163 shearwaters (*Ardenna tenuirostris*), which feed primarily on euphausiids, and less pronounced
164 increases in piscivorous black-legged kittiwakes (*Rissa tridactyla*) and murre (*Uria* spp). In
165 contrast, planktivorous auklets (*Aethia* sp.) had low densities in the Chukchi Sea in 2017 and
166 2018 but increased in the northern Bering Sea those years (24). Reproductive success was low
167 for seabirds in the Bering Sea in 2017-2018, and there were mixed-species die offs there and in
168 the Chukchi Sea (24,29;), with dead birds emaciated. Notably, numbers of murre and kittiwakes
169 attending the large Chukchi Sea colony continued to increase (30) at a rate suggesting
170 immigration of piscivorous nesting birds.

171 In the spring of 2017, bowhead whales, including females with calves, were seen near
172 Utqiagvik, Alaska, a month earlier than usual and the Utqiagvik whale hunt recorded the earliest

173 known landing, on 13 April. Four bowhead whales equipped with satellite transmitters all
174 wintered (2017/18) in the Chukchi instead of their usual wintering area south of Anadyr Strait in
175 the Bering Sea (31) and a bowhead was recorded singing near Utqiagvik on 11 January 2018,
176 something never recorded before at that time of year. In 2018/19, the bowheads were again north
177 of Anadyr Strait in winter. Spotted seal (*Phoca largha*) pups in the spring of 2018 were found in
178 poorer condition (less fat and lower mass/length) than in recent years, and almost no ribbon seals
179 (*Histriophoca fasciata*) were seen during those same surveys, raising the specter of a failure in
180 the 2018 year class. In the spring and summer of 2018 and 2019, more than 280 bearded
181 (*Erignathus barbatus*), ringed (*Pusa hispida*), spotted, and unidentified seal carcasses, primarily
182 young and many emaciated, were reported from beaches mostly of the northern Bering and
183 southern Chukchi seas, nearly five times the annual average from 2014-2017, prompting the
184 National Oceanic and Atmospheric Administration to declare an “unusual mortality event” (32).

185

186 Anomaly or Transformation?

187 Changes in sea ice extent, water temperature, currents, zooplankton abundance, animal
188 distribution and health, hunting success, and other aspects of the ecosystem are noteworthy in
189 themselves, but such large-scale changes could conceivably occur without altering basic
190 relationships among ecosystem components. The investigation of specific mechanisms
191 underlying these changes were not part of the cited studies, however it is known from other
192 areas, including the southern Bering Sea, that the spring sea-ice break-up spurs a productive
193 phytoplankton bloom, and its timing together with ocean temperatures determines phytoplankton
194 species composition, carbon export to the benthos, and food quality for zooplankton (24).

195 Changes towards lower-lipid zooplankton reduces over-winter survival of fishes such as salmon
196 and Arctic cod (33), even if they increase numerically in summer due to favorable thermal and
197 oceanographic conditions. Lower zooplankton food quality and increased competition from
198 predatory fish moving north from the Bering Sea might explain seabird and seal mortality.

199 The ecosystem-wide changes seen in 2017-2019 have the potential to fundamentally
200 reconfigure the Pacific Arctic marine food web. An altered physical environment characterized
201 by warmer waters and a longer open-water season is allowing subarctic species to establish
202 themselves in the Chukchi Sea; seasonally for now, but possibly year-round in the future.

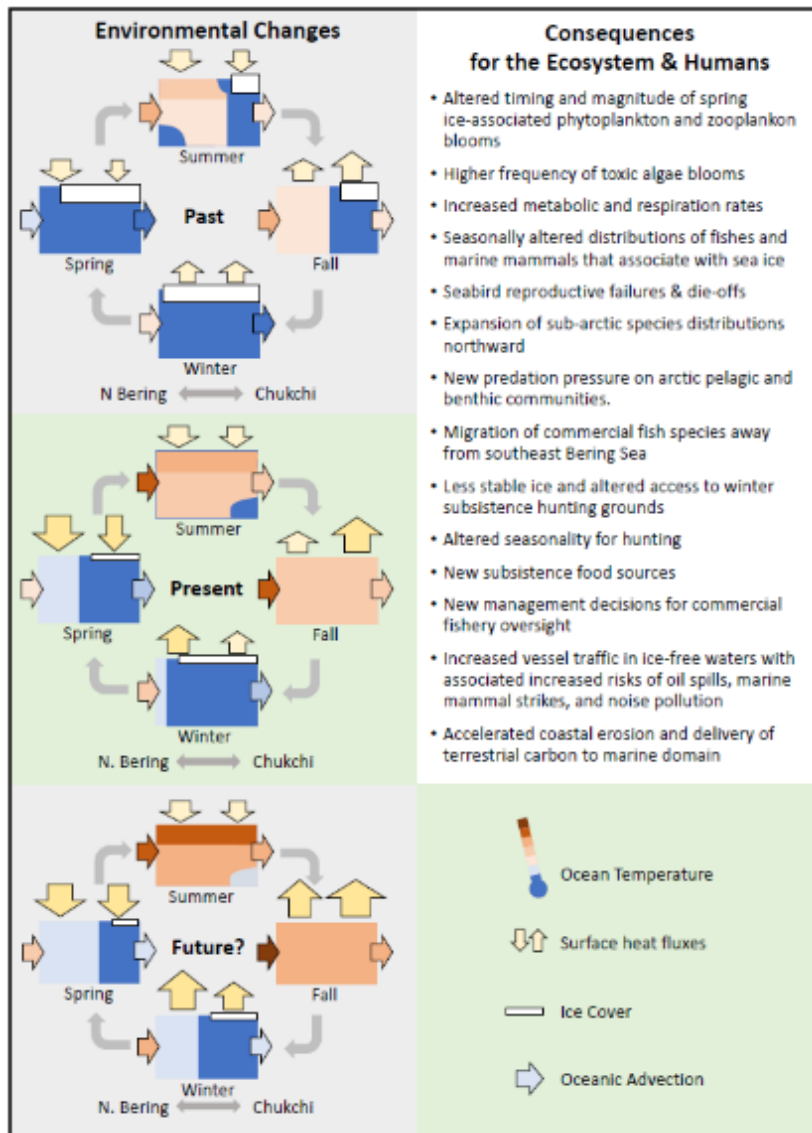
203 Subarctic invaders such as walleye pollock and Pacific cod could fundamentally transform

204 interactions among pelagic species, benthic invertebrates, groundfish, seabirds, and marine
205 mammals by exerting strong predation pressure on forage fishes and benthic crab, worm, and
206 shrimp communities (10). Predation pressure from these fishes adds top-down stresses to the
207 bottom-up changes associated with altered temperature and primary and secondary productivity.
208 Indigenous hunters may begin to find familiar species of fishes and marine mammals at unusual
209 times of year or unfamiliar species during customary hunting and fishing periods (21).

210 An interdisciplinary look at the Pacific Arctic marine ecosystem as it changes may
211 provide a rare opportunity to track ecosystem transformation in detail as it unfolds, rather than
212 reconstructing details after the fact. The transformation of an ecosystem may reflect a cascade of
213 sequential changes that take place over multiple years rather than a single shift or tipping point
214 (e.g., 34), though changes to individual ecosystem components may be sudden and dramatic. For
215 example, because of positive feedbacks in the climate system (e.g., 12) it is possible that 2017
216 marked the crossing of a threshold that precludes return to the system state common just a
217 decade ago. We find that a closely coupled synergy between bottom-up and top-down factors
218 (e.g., 35) appear to best characterize this system's transition, and the interactions among these
219 multiple stressors have important implications for understanding any subsequent reorganization.

220 The result would be the transformation of an Arctic marine ecosystem into one
221 characterized by subarctic conditions, subarctic species, and subarctic interactions (Figure 6).
222 The Chukchi Sea may soon resemble the east-central Bering Sea shelf in condition, structure,
223 and function, with annual sea ice, warmer bottom water temperatures, and ecosystem
224 productivity derived from forage fishes and pelagic zooplankton rather than the benthos.
225 Changes in the historically strong benthic-pelagic coupling have already been observed in the
226 southeastern Chukchi Sea, where overall epibenthic biomass declined by an order of magnitude
227 from 2004 to 2017; the fact that the most abundant taxa were consistent over time may hint at
228 overall changes in ecosystem productivity or pathways rather than specific habitat changes
229 (36,37). Yet this transformation is more complex than an ecosystem migrating north. For
230 example, the Chukchi Sea would likely retain some characteristics distinguishing it from the
231 Bering Sea shelf, due to higher latitude and downstream location relative to the Bering Strait
232 nutrient supply. How these competing features will combine to create a new state of the Pacific
233 Arctic ecosystem remains to be seen.

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236

237 *Figure 6. Environmental changes and related consequences. Observed and potential future*
 238 *changes in the physical environment (left panels) in the Northern Bering and Chukchi shelf*
 239 *systems (i.e., bottom-up forcing), along with observed and anticipated consequences for the*
 240 *biological and human components of the ecosystem (right panel).*

241

242 In addition to its regional significance, the pattern of change underway in the Pacific
 243 Arctic may eventually shed light on the progression of ecosystem transformation more generally
 244 (38), which manifests as large-scale alterations in the connections and interactions among
 245 species and among physical and biological processes. Overpeck et al. (39) suggested the

246 possibility of such a transformation resulting from the removal of perennial ice in the Arctic,
247 though they focused on “before” and “after” states of the system without describing the
248 transformation in between. The pioneering work of Gunderson and Holling (40) recognized that
249 transformation and reorganization are less predictable and less well understood than a simple
250 shift from stability to instability.

251

252 What To Expect Next?

253 The expectation is for the sea ice season to further shorten and sea ice coverage to
254 diminish (41). Waters will become warmer and stay warm longer into fall and winter. How
255 quickly these changes propagate through and persist in the system, and what additional sudden
256 shifts may occur, are hard to predict. It is likely, however, that there will be differences in the
257 temporal and spatial scales over which physics and biology change (42). Physical conditions that
258 were once anomalous may become normal. The biological response will follow but may not
259 carry over across years until species and behaviors that thrive in the new conditions are able to
260 persist. Hunters and fishers will adjust to some degree but may find it necessary to switch the
261 timing or targets of their efforts (43).

262 Specific trajectories of these changes and their implications for the Pacific Arctic
263 ecosystem, including Indigenous coastal communities, are still unclear. To stay with or ahead of
264 these system transformations rather than reacting to a new state some years from now, some
265 critical unknowns, especially regarding ecosystem relationships, require further attention and
266 continued monitoring at multiple scales. As sea ice retreats earlier, will some species cling to
267 existing fixed habitats (e.g., depositional zones) and remain largely in place, while others follow
268 shifting habitats (such as the ice edge)? Will subarctic species be able to flourish and persist in
269 the Chukchi Sea year-round, transforming the ecosystem into a locus of groundfish or pelagic
270 predator abundance? Will increased industrial activity such as shipping combine with climate-
271 driven ecosystem changes in ways that amplify the consequences of either alone (44)? How can
272 coastal communities adjust and adapt quickly enough to retain cultural and nutritional security
273 (45)?

274 Even in this age of information overload, it is how remarkable how scarce (and thus how
275 valuable) the available data are for making statistically robust comparisons of today’s conditions
276 versus yesterday’s. For example, quantifying changes in primary and secondary productivity

277 cannot immediately follow the spring retreat of sea ice because previously the ice itself
278 precluded ship-based measurements at locations and times now ice-free. Across the study region,
279 even 15 years of annually collected data is an unusually long time series, and for biological
280 parameters most of these data are confined to summer months. Hence, it is important to learn to
281 distinguish surprises from completely new observations.

282 A cascade of effects through an ecosystem may include tipping points governed by
283 positive feedbacks for individual components, making recovery to the previous structure and
284 function ever less likely (e.g., 34,46). Top-down changes such as increased predation may result
285 from bottom-up changes such as the removal of thermal barriers to range expansion of predators.
286 The experience to date in the Pacific Arctic by itself will not resolve these questions, but it does
287 suggest that, with regard to cascades versus tipping points or top-down versus bottom-up
288 controls (e.g., 47), ecosystem transformation may be a complex matter of “both and” rather than
289 a simple dichotomy of “either/or.”

290 These questions are more than a curiosity (48). The well-being of coastal communities
291 and the management of human activities in the region, including potential commercial fisheries,
292 depend on reliable information and insight into what is likely to happen next. In Alaska waters,
293 industrial and research activities are planned in ways to reduce interference with Alaska Native
294 subsistence harvests, and conscientious vessel operators communicate with communities and
295 adjust their plans to avoid areas where hunters are active (e.g., 49). Growing uncertainty about
296 the timing of animal migrations and optimal harvest conditions increases the likelihood of
297 conflict and concerns about food security. Coastal communities are likely to face difficult
298 choices between capitalizing on increased economic opportunity and limiting industrial
299 interference with subsistence activities.

300 The profound shift in ecosystem state and conditions suggest a new framework is needed
301 to replace the paradigm that served well in recent decades. The Pacific Arctic marine ecosystem
302 transformation is not an isolated case. Social-ecological systems worldwide are facing similar
303 pressures from changing physical conditions, with implications that are increasingly uncertain as
304 transformation propagates through the food web and to human outcomes (50). Long-term and
305 multi-scale data are necessary to detect, examine, and respond to such changes. A better
306 understanding of the nature of system transformation will help humans detect transformations

307 earlier, perhaps in time for more effective response or adaptation, even if prevention may no
308 longer be possible.

309

310 Data Availability

311 All data collected as a part of the North Pacific Research Board's Arctic Integrated Ecosystem
312 Research Program (Arctic IERP) are being curated and preserved. Because the research is
313 actively ongoing, the data are under program embargo through July 2021. At that time, all Arctic
314 IERP data will be publicly released with a CC-0 license from the Research Workspace DataONE
315 Member Node, and this paper will be cited in the DOI for those data, to create a formal link. In
316 the interim, please contact the authors for access to Arctic IERP data.

317

318 In Figure 1, we acknowledge the use of imagery from the NASA Worldview application
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320 Information System (EOSDIS). Ice-edge marking is from Maslanik, J. and J. Stroeve. 1999.
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324

325 2015 epifauna data are available at <https://doi.org/10.25921/b2g4-bs86>.

326

327 Other data are available on request, pending curation and archiving as part of ongoing studies.

328

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332

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363

364 Author Contribution Statement

365 HPH, SLD, FKW, EF, CL, and KS developed the idea and contributed to writing and editing the
366 paper. MB, PB, JJC, ADR, DD, JCG, KI, DGK, KK, RL, LQ, PS, DS, and CW wrote sections of
367 the paper and contributed to editing of the manuscript. All authors provided data and reviewed
368 the final manuscript and approved it for submission and publication.

369

370 Competing Interests

371 The authors declare no competing interests.

372

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