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# **RESEARCH ARTICLE**

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#### **Key Points:**

- Infrared Atmospheric Sounding Interferometer NH<sub>3</sub> columns agree well with those derived from boundary layer, in situ measurements with no significant biases at the pixel scale
- Validation in a hotspot region shows best agreement at narrow spatiotemporal scales on the order of the pixel size and mean transport time
- Additional accurate, airborne-based NH<sub>3</sub> data sets are critically needed for improved validations across a range of environments

#### **Supporting Information:**

Supporting Information may be found in the online version of this article.

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# Validation of IASI Satellite Ammonia Observations at the Pixel Scale Using In Situ Vertical Profiles

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**Abstract** Satellite ammonia ( $NH_3$ ) observations provide unprecedented insights into  $NH_3$  emissions, spatiotemporal variabilities and trends, but validation with in situ measurements remains lacking. Here, total columns from the Infrared Atmospheric Sounding Interferometer (IASI) were intercompared to boundary layer NH<sub>3</sub> profiles derived from aircraft- and surface-based measurements primarily in Colorado, USA, in the summer of 2014. IASI-NH<sub>3</sub> version 3 near real-time data set compared well to in situ derived columns (windows  $\pm 15$  km around centroid,  $\pm 1$  h around overpass time) with a correlation of 0.58, a slope of 0.78  $\pm$  0.14 and an intercept of 2.1  $\times$  10<sup>15</sup> $\pm$ 1.5  $\times$  10<sup>15</sup> molecules cm<sup>-2</sup>. Agreement degrades at larger spatiotemporal windows, consistent with the short atmospheric lifetime of NH<sub>3</sub>. We also examined IASI version 3R data, which relies on temperature retrievals from the ERA Reanalysis, and a third product generated using aircraft-measured temperature profiles. The overall agreement improves slightly for both cases, and neither is biased within their combined measurement errors. Thus, spatiotemporal averaging of IASI over large windows can be used to reduce retrieval noise. Nonetheless, sampling artifacts of airborne NH<sub>3</sub> instruments result in significant uncertainties of the in situ-derived columns. For example, large validation differences exist between ascent and descent profiles, and the assumptions of the free tropospheric NH<sub>3</sub> profiles used above the aircraft ceiling significantly impact the validation. Because short-lived species like NH<sub>3</sub> largely reside within the boundary layer with complex vertical structures, more comprehensive validation is needed across a wide range of environments. More accurate and widespread in situ NH<sub>3</sub> data sets are therefore required for improved validations of satellite products.

**Plain Language Summary** Ammonia is an important species in the atmosphere that contributes to PM<sub>2.5</sub> formation, but it is challenging to measure. The major source of ammonia is agricultural activities. Improving our estimate of ammonia emissions requires widespread and frequent measurements such as those from satellite. To date, satellite-based ammonia measurements have not been extensively validated, particularly on the scale of individual measurements. We have compared satellite



ammonia measurements with those from ground-based and aircraft measurements and show that satellite measurements are accurate at the scale of an individual pixel. However, we also show that it is important to consider the spatial and temporal differences between the measurement scales (satellite vs. ground- and aircraft-based) in regions where ammonia is concentrated and large sources exist. Improved validations will require advances in airborne ammonia measurement technologies, particularly for the relatively low levels of ammonia that exist above the boundary layer or away from strong sources. Finally, additional airborne-based measurements are needed to compare to satellite-based measurements in other regions and seasons to extend these conclusions to a global scale.

# 1. Introduction

Gas-phase ammonia (NH<sub>3</sub>) is a ubiquitous base in the atmosphere and an important component of the nitrogen cycle. Atmospheric NH<sub>3</sub> reacts with sulfuric acid and nitric acid to form ammoniated (NH<sub>4</sub><sup>+</sup>) aerosol particles, reducing visibility and causing adverse effects on human health (Mensink & Deutsch, 2008; Ostro et al., 2015; Wang et al., 2006). Ammoniated aerosols also affect the climate by scattering solar radiation, resulting in a negative radiative forcing (IPCC, 2013). Excess NH<sub>3</sub> deposited to ecosystems can cause soil acidification, water eutrophication and loss of biodiversity (Galloway et al., 2004). Research suggests that NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> have become important contributors to the dry and wet deposition of reactive nitrogen (N<sub>r</sub>) in most regions of the United States due to reductions in nitrogen oxides (NO<sub>x</sub>) emissions (Li et al., 2016).

Agricultural activities such as fertilizer application and livestock waste management contribute to over 80% of total NH<sub>3</sub> emissions globally (Bouwman et al., 1997; Paulot et al., 2014). Other anthropogenic sources of NH<sub>3</sub> include chemical production, residential waste, and vehicle emissions (Behera et al., 2013; Sun et al., 2017; Van Damme et al., 2018). Global NH<sub>3</sub> emissions are expected to increase in the forthcoming decades due to growing food demands (Erisman et al., 2008; Lamarque et al., 2011). NH<sub>3</sub> is unregulated in many countries, but active efforts are being made to quantify NH<sub>3</sub> emissions and understand their trends on regional to global scales (Paulot et al., 2014; Van Damme et al., 2018). There remain significant uncertainties in bottom-up NH<sub>3</sub> emission inventories as they require representative measurements to scale from a small subset of sources to the entire global budget (Beusen et al., 2008; Golston et al., 2020; Zhang et al., 2018). On the other hand, top-down approaches that depend on inverse modeling of  $NH_4^+$  wet deposition data require widespread observations, accurate vertical profiles, and estimates of chemical and deposition lifetimes (Paulot et al., 2014; Zhu et al., 2013). However, current major monitoring networks such as the Ammonia Monitoring Network (AMoN) in the United States and the Nationwide Nitrogen Deposition Monitoring Network (NNDMN) in China lack the spatial coverage and temporal resolution needed to fully resolve the variabilities of NH<sub>3</sub>, thereby introducing uncertainties in top-down estimates of NH<sub>3</sub> emissions (National Atmospheric Deposition Program, 2019; Xu et al., 2015).

In the past decade, advances in remote sensing techniques have provided unprecedented global coverage and medium-term time series for studying NH<sub>3</sub> on a broader scale than achievable by ground-based measurements. Since the early 2000s, several satellites have been launched into space with infrared sounders to measure atmospheric constituents. Examples of satellite instruments that can measure NH<sub>3</sub> are the Tropospheric Emission Spectrometer (TES) (Shephard et al., 2011), Infrared Atmospheric Sounding Interferometer (IASI) (Clarisse et al., 2009; Van Damme et al., 2017; Whitburn et al., 2016), Cross-track Infrared Sounder (CrIS) (Shephard & Cady-Pereira, 2015), Atmospheric Infrared Sounder (AIRS) (Warner et al., 2016), and Greenhouse Gases Observing Satellite (GOSAT) (Someya et al., 2020). Launched in 2004 and having ended its mission in 2018, TES provided the first satellite-based NH<sub>3</sub> product, though it only performed limited measurements in its later years (Rasmussen, 2018). IASI provides Level-2 (L2) NH<sub>3</sub> products dating back to 2008 with much broader spatial coverage than TES. AIRS has the longest data record of NH<sub>3</sub> on a single satellite between 2002 and 2016 (Warner et al., 2017). CrIS and GOSAT have also provided NH<sub>3</sub> products, but with limited availability at this time (Dammers et al., 2019; Someya et al., 2020).

Despite the increasing use of satellite  $NH_3$  products for inventory assessments, nitrogen deposition, and aerosol chemistry, validation of satellite  $NH_3$  measurements, especially against independent in situ measurements, remains limited (Dammers et al., 2019; Van Damme et al., 2018; Zhang et al., 2018). Although satellite data averaged over a large domain or an extended period can be used to study regional and global



characteristics of NH<sub>3</sub>, validations of individual satellite pixels help further understand the capabilities and limitations of satellite observations. Meanwhile, analyses of satellite NH<sub>3</sub> on fine temporal (e.g., daily and weekly) and local scales, such as studying fertilizer emissions, require validation for increased confidence (Fortems-Cheiney et al., 2016; Van Damme et al., 2018). Previously, Van Damme, Clarisse, et al. (2015) used an averaged GEOS-Chem model profile to convert IASI NH3 columns into surface concentrations and found fair agreements between IASI and ground-based observations on monthly scales, and moderate correlations with hourly airborne data, but the fixed profile shapes used for the conversion introduced certain biases in IASI surface concentration estimations. Dammers et al. (2016) validated IASI using column measurements from ground-based high-resolution Fourier-transform infrared spectroscopy (FTIR) at nine locations worldwide with spatial and temporal windows of 25 km and 90 min, respectively. Correlations of ~0.8 were found where NH<sub>3</sub> levels were high, though the study removed outliers during wintertime. In addition, the FTIR measurements themselves have not been validated by in situ profiles and instead rely upon model a priori and limited surface observations (Dammers et al., 2015). Similarly, a comparison between CrIS and FTIR was conducted by Dammers et al. (2017), where an overall correlation of 0.8 was observed, but the agreements for individual sites varied largely. Using a different method, Sun et al. (2015) conducted a validation of TES NH<sub>3</sub> with collocated aircraft and mobile lab measurements in California at the pixel scale and found the agreement to be within 10% for selected dates. Furthermore, the spatial variability of NH<sub>3</sub> columns within a satellite pixel (IASI: ~12 km in diameter) has been assessed over Colorado using structure functions analyses (Follette-Cook et al., 2015) of mobile NH<sub>3</sub> column observations conducted on fine spatial scales (few tens of meters; Kille et al., 2017). During the time of the study, 50% of the variability in  $NH_3$  columns was found within approximately 1.6 km, and 90% of the variability within 6 km (Kille et al., 2017). The high variability of atmospheric NH<sub>3</sub> poses a fundamental sampling challenge to satellite validation on the pixel scale and illustrates the need for a multiplatform sampling strategy for best results.

To expand the scope and robustness of satellite  $NH_3$  validations, we compare the IASI  $NH_3$  at the pixel scale with a combination of aircraft-based profiles and an assortment of other in situ fixed and mobile column surface observations taken during the summer of 2014 in Colorado, USA, and provide some insights on the winter of 2013 in California, USA. The general approach used here can be readily applied to other satellite  $NH_3$  products, provided that  $NH_3$  vertical profiles are collocated with the satellite pixels within a temporal window that is consistent with wind and atmospheric transport.

# 2. Data and Methods

# 2.1. IASI Observations

IASI is an infrared sounder onboard the polar-orbiting MetOp-A/B/C satellites, which were respectively launched in 2006, 2012, and 2018 (Clerbaux et al., 2009). It is sensitive to NH<sub>3</sub> absorption features mainly between 800 and 1,200 cm<sup>-1</sup> (Clarisse et al., 2010; Coheur et al., 2009). IASI provides twice-daily measurements of NH<sub>3</sub> with overpass times of 0930/2130 local solar time (LST). IASI has a swath of 2,400 km and a pixel size of 12 km in diameter at nadir. The first IASI NH<sub>3</sub> product was retrieved using lookup tables (LUTs) based on simulations from a forward radiative transfer model. A hyperspectral range index (HRI) was calculated from each observation and converted to NH<sub>3</sub> columns using the LUTs (Van Damme et al., 2014). A subsequent version (ANNI-NH3-v1) improved the retrieval of NH<sub>3</sub> by using an artificial neural network for IASI (ANNI) to transform the calculated HRI into column densities (Whitburn et al., 2016). Version 2 of the ANNI product further improved the algorithm by introducing separate neural networks for land and sea scenes, and simplified input parameters. With the release of this version, an additional product was made available using meteorological inputs from the European Centre for Medium-Range Weather Forecasts (ECMWF) Re-Analysis (ERA)-Interim data set for better interannual consistency, as opposed to using meteorological retrievals from IASI itself to calculate columns (Van Damme et al., 2017).

In this work, we analyze version 3 of the ANNI-NH3 product from IASI MetOp-A/B. This version, processed using the retrieval framework outlined in Franco et al. (2018), features a slightly increased measurement sensitivity due to small changes in the neural network architecture and training and improved postfiltering. In addition, several debiasing procedures have been introduced to correct for the gradual increase of  $CO_2$  columns over the entire IASI time-period and for IASI instrument calibrations (Van Damme et al., 2020). Despite the significant number of changes from version 2 to version 3, the changes to the retrieved columns



are modest in the mean. Analyses using 10-yr averaged global distribution ( $0.25^{\circ} \times 0.25^{\circ}$  grid) of columns above  $4 \times 10^{15}$  molecules cm<sup>-2</sup> from the MetOp-A morning overpasses showed that agreement was within 20% for 80% of the data. As infrared retrievals are known to be quite sensitive to auxiliary input data, we evaluate the following products: (1) The near real-time product, retrieved using meteorological data from the European Organization for the Exploitation of Meteorological Satellites (EUMETSAT) with cloud coverage <25%, (2) the reanalysis product, retrieved using meteorological data from ERA5/ECMWF with cloud coverage <10%, and (3) a dedicated product retrieved using collocated in situ temperature profiles from aircraft, sonde, and mobile measurements (other meteorological data such as skin temperature are from EU-METSAT) with cloud coverage <25%. The cloud coverage flag in the reanalysis product is stricter (10%) than the near real-time product in order to provide a higher quality data set (e.g., for long-term trends), whereas the near real-time product defaults to 25% (Van Damme et al., 2017). While nighttime observations also offer the possibility to measure NH<sub>3</sub> (e.g., Van Damme, Erisman, et al., 2015), we only include the morning observations over land surfaces as IASI has better sensitivity to NH<sub>3</sub> under these conditions. In addition, there were no aircraft profiles available near the nighttime IASI overpass time (~2130 LST).

We note that the ANNI-NH3 products do not generate averaging kernels (AVKs) because the current retrieval method is not based on optimal estimation (i.e., the retrieval is unconstrained). We refer to Whitburn et al. (2016) and Van Damme et al. (2017) for a comprehensive discussion on the advantages and disadvantage of the neural network retrieval approach for NH<sub>3</sub>. In short, one advantage of the current approach is that the reported NH<sub>3</sub> column values are directly comparable to models and observations as the retrieval includes an assumption about the NH<sub>3</sub> vertical distribution. The product does not exhibit biases over areas where the sensitivity is low (and for which an optimal estimation retrieval would return a value close to its a priori). The disadvantage is that the uncertainty in the measurements can be very large. As a compromise, the retrieval employs a postfilter that removes those measurements where the information content is close to zero. The upshot is that the measurements that pass the postfilter are meant to be used at face value, together with the derived uncertainty; and it is in this way that we perform the validation here. This also reflects how the users typically work with the product. Evaluating the sensitivity of thermal infrared sounders to the boundary layer is both out of the scope of this work and largely duplicates with previous studies (Bauduin, et al., 2014; Clarisse et al., 2010; Whitburn et al., 2016). Thus, only the unconstrained columns were used to provide an overview of the agreement between IASI and in situ measurements.

#### 2.2. In Situ Measurements

The Deriving Information on Surface conditions from Column and Vertically Resolved Observations Relevant to Air Quality (DISCOVER-AQ) field experiment was a multiyear campaign led by the National Aeronautics and Space Administration (NASA) (Crawford & Pickering, 2014). Its mission was to validate collocated satellite observations of atmospheric pollutants over four regions in the United States (Baltimore/ Washington, California, Houston, and Colorado). Airborne NH<sub>3</sub> measurements were only available in the California and Colorado campaigns. The California campaign occurred between January 16 and February 7, 2013 over the San Joaquin Valley. In the following year, the experiment was continued in Colorado from July 16 to August 16, along with a complimentary National Science Foundation (NSF) Front Range Air Pollution and Photochemistry Experiment (FRAPPÉ) that had additional platforms and NH<sub>3</sub> measurements (Flocke et al., 2020). The DISCOVER-AQ flight patterns were specifically designed for satellite validations as the P-3B aircraft made repeated upward and downward spirals. Vertical profiles were performed at designated locations where there were collocated ground monitoring sites managed by the Colorado Department of Public Health and Environment and the National Oceanic and Atmospheric Administration (NOAA). A typical P-3B spiral roughly spanned 5 km in diameter, which is particularly advantageous for validations of short-lived species such as NH<sub>3</sub> that exhibit strong spatial gradients near source regions.

A unique aspect of the 2014 Colorado campaign compared to past field experiments was the comprehensive suite of  $NH_3$  measurements on multiple platforms: two aircraft, a tall tower, and four mobile laboratories. The NASA P-3B aircraft measured  $NH_3$  with a proton transfer reaction time-of-flight mass spectrometer (PTR-MS) (Müller et al., 2014). It is emphasized that  $NH_3$  data were only a side product of the PTR-MS measurements during DISCOVER-AQ. The instrument was primarily measuring volatile organic compounds (VOCs) and thus not optimized for detecting  $NH_3$  (i.e., a high instrumental background resulting in



#### Table 1

Summary of In Situ NH3 Measurements During DISCOVER-AQ

| Distance                | -             | Thursday   | Deferrer endersord                      |
|-------------------------|---------------|--|---|
| Platiorm                | Instrument    | Typical uncertainty  | Referenced work                         |
| California (2013)       |               |  |   |
| NASA P-3B aircraft      | PTR-MS        | ±35%   | (Müller et al., 2014)                   |
| NASA P-3B aircraft      | CRDS          | $\pm(35\% + 1.7 \text{ ppbv}) + 0.2 \text{ ppbv}^{a}$      | (Schiferl et al., 2016)                 |
| Princeton mobile        | Open-path QCL | $\pm 20\%$   | (Miller et al., 2015)                   |
| Colorado (2014)         |               |  |   |
| NASA P-3B aircraft      | PTR-MS        | ±35%   | Müller et al. 2014                      |
| NSF/NCAR C-130 aircraft | QC-TILDAS     | $\pm(22\% + 0.305 \text{ ppbv}) + 0.058 \text{ ppbv}^{a}$  | Ellis et al. 2010; Schiferl et al. 2016 |
| Aerodyne mobile         | QC-TILDAS     | $\pm(22\% + 0.305 \text{ ppbv}) + 0.058 \text{ ppbv}^{a}$  | Ellis et al. 2010; Schiferl et al. 2016 |
| Princeton mobile        | Open-path QCL | $\pm 10\% + 0.2 \text{ ppbv}^{a}$                          | Miller et al. 2014; Tao et al. 2015     |
| CU/NCAR mobile          | SOF           | $\pm 4.4\% + 1 \times 10^{15}$ molecules cm <sup>-2a</sup> | Kille et al. 2017                       |
| NOAA CSD mobile         | CRDS          | $\pm 20\% + 1 \text{ ppbv}^{a}$                            | Eilerman et al. 2016                    |
| NOAA BAO tower          | QC-TILDAS     | $\pm 20\% + 0.5 \text{ ppbv}^{a}$                          | Tevlin et al. 2017                      |

*Note.* Uncertainties are presented as reported in archived field data catalog and/or related publications.

Abbreviations: BAO = Boulder Atmospheric Observatory; CRDS = cavity ring down spectrometer; CU = University of Colorado; NCAR = National Center for Atmospheric Research; NSF = National Science Foundation; PTR-MS = proton transfer reaction time-of-flight mass spectrometer; QC-TILDAS = quantum cascade tunable infrared laser direct/differential absorption spectroscopy; QCL = quantum cascade laser; SOF = Solar Occultation Flux.

<sup>a</sup>Total uncertainty + limit of detection. In some cases, total uncertainty is reported as a combination of a relative amount plus an absolute amount (% + X ppbv).

a worse detection limit and slower time response due to a  $\sim 2$  m long inlet line, compared to an optimized NH<sub>3</sub> measurement). The NSF/NCAR C-130 aircraft measured NH<sub>3</sub> with a closed-path, quantum cascade laser-based instrument using a fast-response inertial inlet to minimize sampling artifacts (QC-TILDAS, Aerodyne Research, Inc.) (Schiferl et al., 2016). A moving carriage on the Boulder Atmospheric Observatory's (BAO) 300 m tall tower in Erie, Colorado was equipped with a closed-path, quantum cascade laser-based NH<sub>3</sub> instrument (QC-TILDAS, Aerodyne Research, Inc.) and made profile measurements every hour (Tevlin et al., 2017). The mobile laboratories included in situ measurements from an open-path, quantum cascade laser-based instrument onboard the Princeton Atmospheric Chemistry Experiment, a cavity ring down instrument (Picarro G2103) onboard the NOAA Chemical Sciences Division (CSD) van, and a closed-path, quantum cascade laser-based instrument (QC-TILDAS) on the Aerodyne Mobile Laboratory (Eilerman et al., 2016; Herndon et al., 2005; Tao et al., 2015). These three mobile laboratories conducted stationary and moving intercomparisons and showed agreement to within 10% on average (Golston et al., 2020). Column NH<sub>3</sub> abundances were measured by the University of Colorado Solar Occultation Flux (CU SOF) instrument onboard the CU/NCAR mobile laboratory (Kille et al., 2017). CU SOF couples a digital fast solar tracker (Baidar et al., 2016; Volkamer et al., 2019) to a fast scanning FTIR to measure the vertical column integral of NH<sub>3</sub> and other gases above the mobile platform directly in the open atmosphere (Kille et al., 2017). Table 1 summarizes the performances of the NH<sub>3</sub> instruments during the California and Colorado campaigns.

In addition to the NH<sub>3</sub> measurements, temperature and pressure measurements were taken from the P-3B aircraft and specially launched radiosondes (iMet-1 & Vaisala RS92) timed with aircraft flights. These data allowed for the construction of in situ derived NH<sub>3</sub> columns and for the generation of the third IASI NH<sub>3</sub> product with in situ temperature measurements as input. For the determination of atmospheric mixed layer height (MLH) used in the construction of in situ NH<sub>3</sub> profiles, we examined P-3B aircraft profiles of other short-lived species with sources in this region including nitrogen dioxide (NO<sub>2</sub>) (Weinheimer et al., 1994), ethane (C<sub>2</sub>H<sub>6</sub>) from gas-oil activities (Yacovitch et al., 2014), and the number concentration of particles (CN) with diameters >10 nm from the LARGE instrument (Beyersdorf et al., 2016). Additional MLH measurements were incorporated from the High Spectral Resolution LIDAR 2 (HSRL2) instrument onboard the NASA B200 aircraft (Scarino et al., 2014). Table 2 lists the dates on which each platform measuring NH<sub>3</sub> was operational during these campaigns.









**Figure 1.** Overview of the validation algorithm. The flowchart is repeated for each valid IASI pixel. If no in situ data are found within the spatiotemporal window, the pixel will be skipped, and the next available pixel will be scanned for collocation. The four scenarios in Step 2 are described in Section 2.3.2 and illustrated with an example in Figure 3. Step 3 is discussed in Section 2.3.3. IASI, Infrared Atmospheric Sounding Interferometer.

# 2.3. Validation Algorithm

### 2.3.1. Spatiotemporal Filtering

The general framework of the validation algorithm used in this study is shown in Figure 1. For each valid IASI observation, we applied a spatiotemporal window centered on the pixel's center location and overpass time to filter for DISCOVER-AQ data within the designated window. For example, if an in situ measurement was made within a certain spatial distance (e.g.,  $\pm 15$  km) from the center of the IASI pixel, and the time difference relative to the IASI overpass time was within the temporal window (e.g., ±1 h), the measurement was considered valid for comparison. This applied to all in situ NH3 measurements and other relevant data (e.g., B200). One exception was the radiosondes, where we used the most temporally relevant launch even if the instrument was located outside the window, especially when using smaller spatial windows. The other exception was the P-3B aircraft profiles, where all the data were included in a vertical profile if the geographical center (mean latitude and longitude) of the aircraft spiral fell inside the spatial window but parts of the spiral itself were outside the window.

We determined the optimal size of the spatiotemporal window based on wind speeds in the boundary layer. To calculate typical boundary layer wind speeds, we used wind data measured at 300 m on the BAO tower located in Erie, CO (40.050°N, 105.004°W) from 06:00–12:00 local time during DISCOVER-AQ to bracket the IASI morning overpass times. Next, in situ NH<sub>3</sub> measurements located within the spatiotemporal window were aggregated into one data set. Each collocation between IASI and in situ measurements required at least one aircraft profile available so that an NH<sub>3</sub> vertical profile could be constructed. Figure 2 shows an example of

collocation between IASI and in situ measurements on July 27, 2014. The location of the IASI pixel centroid, denoted as a "+", is positioned in the center with its footprint boundary outlined as a solid white ellipse. The spatial window (in this case,  $\pm 15$  km) is plotted as a dotted white circle. For demonstration purposes only, the  $\pm 3$  h temporal window is chosen in this case to show as many different types of in situ measurements as possible, but the vast majority of this study focuses on a  $\pm 1$  h temporal window as will be discussed later. The route of each in situ platform is marked with a different color. In this example, in situ NH<sub>3</sub> measurements were available from P-3B, C-130, Princeton mobile lab, and BAO tower.

# 2.3.2. Reconciling Uncertainties in NH<sub>3</sub> Profiles

The combined in situ data set was then used to calculate the integrated column density of NH<sub>3</sub>. Two issues remained, however, in the construction of the in situ-derived columns. First, because the aircraft ceiling on most spirals was limited to  $\sim$ 5 km above sea level, it was necessary to assume NH<sub>3</sub> concentrations in the middle and upper troposphere. Though NH<sub>3</sub> is expected to accumulate mostly in the boundary layer because of its short-lifetime on the order of hours to days (Dentener & Crutzen, 1994; Seinfeld & Pandis, 2016), NH<sub>3</sub> has been detected in the middle and upper troposphere previously (Whitburn et al., 2016). Höpfner et al. (2016) for instance measured NH<sub>3</sub> levels in the upper troposphere to be tens of parts per trillion by volume (1 pptv =  $10^{-12}$  mol/mol) in the outflow of an active monsoon system. However, the free tropospheric NH<sub>3</sub> background was below the detection limit (<3-5 pptv). More recently, Höpfner et al. (2019) found that NH<sub>3</sub> mixing ratios in the upper troposphere could reach up to 1 ppbv in source regions during the Asian monsoon season, but the majority of upper tropospheric profiles showed relatively low NH<sub>3</sub> (<0.2 ppbv). Other studies that measured NH3 profiles showed sharp decreases of NH3 mixing ratio over altitude (Nowak et al., 2010), and low NH<sub>3</sub> (<1 ppbv) at these higher altitudes (Hoell et al., 1980; Ziereis & Arnold, 1986). Based on this evidence, it is reasonable to assume that NH<sub>3</sub> mixing ratios in the upper troposphere are negligible compared to the lower troposphere. Second, a problem remained on how to deal with NH<sub>3</sub> mixing ratios measured by the aircraft beyond the MLH. Previously, Sun et al. (2015) found that airborne  $NH_3$ sensors (i.e., Picarro CRDS and PTR-MS on the P-3B aircraft) in DISCOVER-AQ California exhibited sam-





**Figure 2.** Example of collocated IASI and in situ measurements on July 27, 2014 at 17:34:09 UTC. The left panel shows a two-dimensional view from the top and the right panel visualizes the movements of the in situ platforms in a three-dimensional view. The center of the IASI pixel is denoted as "+". The solid ellipse marks the dotted circle represents the  $\pm 15$  km spatial window. Note that the  $\pm 3$  h temporal window in this case is only used to show how the choice of temporal windows impacts the availability of in situ data. Colored lines show the routes of the in situ platforms: red = P-3B, yellow = C-130, green = Princeton mobile lab, blue dot = BAO tower. The 2D figure is overlaid on Google Maps (Google, Inc.) for better visualization of the geographical location and topography. Note that IASI pixels are circles of 12 km diameter at nadir, but elliptical otherwise as in this case. BAO, Boulder Atmospheric Observatory; IASI, Infrared Atmospheric Sounding Interferometer; UTC, Coordinated universal time.

pling artifacts above the MLH due to long and variable instrument response times. Because DISCOVER-AQ California and Colorado were in agricultural source regions, a strong gradient existed from very high levels within the boundary layer to nominally clean free tropospheric levels. Such strong gradients can be problematic for accurately quantifying NH<sub>3</sub> with an instrument or inlet that has a time response slower than the rapid changes in ambient mixing ratios (Fehsenfeld et al., 2002; Von Bobrutzki et al., 2010).

Given these issues on the column construction from the aircraft data, we accounted for the lack of a full tropospheric profile and sampling artifacts by creating four possible scenarios that bracketed the likely NH<sub>3</sub> distributions above the MLH in the troposphere. Figure 3 shows case studies used to address the vertical profile in the free troposphere: (1) integrating  $NH_3$  only up to the MLH, assuming negligible  $NH_3$ above. Physically, this corresponds to the assumption that  $NH_3$  is contained within the boundary layer and dominates the column measurement based on its short lifetime. It also assumes that sampling biases of instruments are negligible in and around the strong gradient of the mixed layer. (2) Integrating  $NH_3$  up to the maximum aircraft altitude, assuming negligible NH<sub>3</sub> at altitudes higher than the aircraft ceiling. This assumes that aircraft NH<sub>3</sub> measurements above the MLH are valid and real signals, but no extrapolation is done beyond the aircraft range. (3) Integrating  $NH_3$  up to the tropopause with linear interpolation of decreasing mixing ratios from the maximum aircraft altitude to zero at the tropopause estimated from sonde temperature profiles. In the real atmosphere, NH<sub>3</sub> is removed from the atmosphere by reacting with nitric and sulfuric acids, and through dry deposition in the gas phase and wet deposition as  $NH_4^+$  by cloud scavenging (Mensink & Deutsch, 2008; Mizak et al., 2005; Nemitz et al., 2001). The linear interpolation represents a simplistic mode of decay of NH<sub>3</sub> mixing ratios over altitude via the removal pathways. (4) Integrating NH<sub>3</sub> up to the tropopause, while assuming constant NH<sub>3</sub> mixing ratio from the maximum aircraft altitude to the tropopause. The last scenario features a well-mixed free troposphere in which NH<sub>3</sub> is distributed uniformly in the vertical direction. In all four scenarios, we assumed NH<sub>3</sub> was negligible beyond the tropopause based on previous studies (Höpfner et al., 2016, 2019). These case studies of the free tropospheric NH<sub>3</sub> distribution helped to bracket the magnitude and importance of the full NH<sub>3</sub> vertical profile that was





**Figure 3.** The four assumed NH<sub>3</sub> vertical profiles in this work. Profile 1 (blue): integration up to MLH with zero NH<sub>3</sub> above; Profile 2 (orange): integration up to maximum aircraft altitude and zero NH<sub>3</sub> above; Profile 3 (red): integration up to tropopause with linear interpolation of NH<sub>3</sub> beyond aircraft altitudes to a value of zero at the tropopause; Profile 4 (green): integration up to tropopause assuming constant NH<sub>3</sub> beyond aircraft altitude. The median profile from each assumption is shown with the interpolated sections above the aircraft altitude plotted as dashed lines. In-situ NH<sub>3</sub> mixing ratios at 1 Hz are shown in gray. The thin blue line indicates the ground altitude at the IASI pixel center. The thin black line denotes the corresponding MLH in this case. Altitude MSL stands for altitude above mean sea level. IASI, Infrared Atmospheric Sounding Interferometer; MLH, mixed layer height.

not captured by the aircraft or caused by potential sampling problems in the in situ measurements.

Knowing the representative MLH for each collocation was necessary for the integration of NH<sub>3</sub> profile in the first case. We estimated the MLH using three methods to minimize potential errors and mismatches in some cases due to geographical elevation differences within the window: (1) using the altitude of the first inversion of the temperature profile from the nearest timed sonde launch (which may not necessarily be in the exact spatiotemporal window), (2) using aerosol backscatter data from the B200 aircraft within the specified spatiotemporal window, and (3) derived from the vertical profiles of other short-lived atmospheric tracers (NO<sub>2</sub>,  $C_2H_6$  and CN > 10 nm) measured by P-3B. The final MLH was calculated as the average of all the available methods for each collocation.

# 2.3.3. Vertical Integration

The available in situ NH<sub>3</sub> measurements at 1 Hz were vertically binned every 100 m to reduce noise, and the median value in each layer was used to build the final vertical profiles. Median values were chosen because in situ measurements on or near the ground immediately downwind of sources (e.g., feedlots) would skew the mean to be unrepresentative of the overall layer. When there was an altitude mismatch between the lowest in situ bin and the ground at the pixel's center location (i.e., in- situ altitude > IASI centroid altitude), the in situ NH<sub>3</sub> mixing ratio was extrapolated from the lowest bin to the IASI centroid ground level. An additional requirement that there must be at least two bins between the ground and MLH was applied to reduce uncertainties due to interpolation. In cases where the IASI centroid ground altitude was higher than in situ locations, only measurements higher than the IASI ground altitude were included. Using the ideal gas law, the column NH<sub>3</sub> was integrated from gas density over altitude:

$$\omega_{\rm NH_3} = \int \frac{C_{\rm NH_3} P_{\rm air}}{k T_{\rm air}} dz$$

where  $\omega_{\rm NH_3}$  is the column density of NH<sub>3</sub> in the same unit as IASI (molecules cm<sup>-2</sup>),  $C_{\rm NH_3}$  is the mixing ratio of NH<sub>3</sub> in air (ppbv),  $P_{\rm air}$  is the air pressure (Pa), k is the Boltzmann's constant (1.38 × 10<sup>-23</sup> J K<sup>-1</sup>),  $T_{\rm air}$  is the air temperature (K), and z is the altitude (m). The units here represent those typically reported in the data sets, and conversions are not explicitly shown in the equation.

The in situ temperature profiles used for generating the third alternative IASI-NH<sub>3</sub> product described in Section 2.1 were aggregated from the in situ platforms in Section 2.2 and filtered using the spatiotemporal window of interest. Temperature measurements from radiosondes were needed to fill in the gaps of aircraft temperature measurements (e.g., near the ground, above the ceiling), yet in many cases a sonde could not be found within the window. Therefore, we always used the nearest sonde in time to construct the temperature profile, whether or not it was located within the specified spatial window. In California, due to strong yet shallow inversions in winter, there were often large discrepancies between the sonde temperatures and those measured by the aircraft, especially near the surface. To reduce errors due to spatial separation, we used P-3B aircraft temperatures where applicable and sonde measurements outside the aircraft altitude range for California. In Colorado in summer, the horizontal gradients of temperature were less pronounced, and temperature profiles measured by P-3B and a given sonde usually matched up very well. Therefore, temperature data from the two platforms were integrated together, while we further combined them with ground measurements of temperature (e.g., mobile labs) if available. The merged temperatures were grouped in bins of 100 m, and the mean value in each bin was used to make the final temperature profile.





Figure 4. Data contribution from each in situ platform in DISCOVER-AQ Colorado by (a) sum of total measurement time (1,996 h) and (b) number of times collocated with IASI. Statistics shown here are for all nine spatiotemporal windows tested in this study (1,426 total matches, including overlapping cases among different windows). IASI, Infrared Atmospheric Sounding Interferometer.

#### 2.3.4. Data Coverage

We quantify contributions from each in situ platform to the validation data set using two approaches: (1) by the sum of total measurement time, and (2) by the number of cases when the platforms were collocated with IASI. Some measurements were counted more than once if they were found to be collocated with IASI in multiple windows that we tested. Figure 4 shows that the P-3B aircraft that carried the PTR-MS instrument was the largest contributor to the in situ data set in Colorado, because we required each IASI-in situ collocation to have an aircraft profile from P-3B (the C-130 aircraft, on the other hand, did not perform vertical profiles at fixed locations). The Princeton mobile lab and the BAO tower were operational on most days and became the next major contributors. Although each in situ NH<sub>3</sub> instrument has a different uncertainty estimate (Table 1), we applied a 35% error (i.e., typical PTR-MS uncertainty) to the entire in situ data set to approximate the overall instrument uncertainty. Because of potential systematic biases in the in situ measurements, we did not use a weighted average of errors from different measurements within a given profile layer. Instrument biases were thought to be the limiting factors of the uncertainty in all the in situ measurements of NH<sub>3</sub> mixing ratio, and unlike random errors, these would not be reduced through averaging.

# 3. Results and Discussion

#### 3.1. Comparison Between Different In-Situ Profiles

The average wind speed at 300 m measured from the BAO tower during the Colorado campaign was  $3.5 \pm 2.2 \text{ m s}^{-1}$  (median:  $3.1 \text{ m s}^{-1}$ ). The mean value is equivalent to a spatial window of approximately 15 km in diameter on an hourly scale. While we recognize that wind conditions may vary slightly among different regimes, a spatial window of  $\pm 15 \text{ km}$  around the IASI centroids and a temporal window  $\pm 60 \text{ min}$  around the overpass times were internally consistent with one another and were used to account for the transport of NH<sub>3</sub> under typical atmospheric conditions during this period.

Within the  $\pm 15$  km and  $\pm 60$  min spatiotemporal window, the four different scenarios of NH<sub>3</sub> profiles were quantitatively evaluated and compared based on the data in Colorado, given the larger suite of measurements in this campaign. In this section, we only show the comparison between in situ and the reanalysis IASI product, but the overall conclusions hold for the other two IASI products as well. The IASI reanalysis product versus the four in situ profiles are plotted in Figure 5 with an orthogonal linear regression fit (lsqfitma, https://www.mbari.org/index-of-downloadable-files/) that minimizes the perpendicular distances to the fitted line from the abscissa and ordinate variables simultaneously. We did not force the intercept through zero because the IASI instrument has a detection limit (Van Damme et al., 2014), and therefore





**Figure 5.** Reanalysis IASI product versus in situ integrated columns from the four profiles for the  $\pm 15$  km and  $\pm 60$  min window in Colorado. Profile 1: integration up to MLH; Profile 2: integration up to maximum aircraft altitude; Profile 3: integration up to tropopause assuming a linearly decreasing NH<sub>3</sub> mixing ratio beyond aircraft altitude; Profile 4: integration up to tropopause assuming constant NH<sub>3</sub> beyond aircraft altitude. Error bars indicate the uncertainties of columns. Red line shows the best fit using orthogonal regression. Dashed line represents the 1:1 slope. MLH, mixed layer height.

a nonzero intercept is more reasonable and realistic in representing the sensitivity of IASI toward in situ data. Profile 1 leads to the lowest column abundances among the four profiles because all the  $NH_3$  measurements above the MLH are excluded. The column abundances increase sequentially from profile 1 to 4 as the contributions from the free troposphere increase. In theory, the MLH assumption (profile 1) would be the closest representation of vertical distributions of  $NH_3$  in the real atmosphere based on its short lifetime, emissions at the surface, and negligible amounts measured elsewhere in the free troposphere. The correla-





**Figure 6.** IASI-NH<sub>3</sub> products (a) near real-time, (b) reanalysis, (c) in situ derived versus the in situ NH<sub>3</sub> columns in Colorado based on the  $\pm 15$  km and  $\pm 60$  min window and MLH assumption. The intracomparison between the reanalysis and in situ derived IASI products is shown in (d). Red line shows the best fit using orthogonal regression. Dashed line represents the 1:1 slope. IASI, Infrared Atmospheric Sounding Interferometer; MLH, mixed layer height.

tion coefficient and slope between IASI and in situ data in Colorado indeed show a sequential degradation from profile 1 to 4 as extra NH<sub>3</sub> is added into the integration. Profile 1 shows the overall best agreement between IASI and in situ, and this is consistent with the expectation that most NH<sub>3</sub> is accumulated within the boundary layer. We note that profile 2, which treats all aircraft data as valid, shows a similar correlation (though with a factor of two differences in the slope). However, there are potential caveats associated with using the airborne measurements above the MLH given the sampling artifacts, which will be discussed in





Figure 7. EUMETSAT L2 (dark blue), ERA5 (red) and in situ (light blue) temperature profiles used in the retrieval of the near real-time, reanalysis and in situ derived IASI products. (a) Mean temperature profile in this study. (b) A single observation on August 3, 2014 from IASI MetOp-A (39.867°N, 105.205°W). IASI, Infrared Atmospheric Sounding Interferometer.

detail in Section 3.5. All the correlations shown are tested to be statistically significant (p < 0.05, same for all the analyses onward).

While we calculated the MLH using three different approaches, there were many cases in which only one or two of the approaches was available, especially when using smaller spatiotemporal windows. For example, only 19 of the 63 cases shown in Figure 5 had valid MLH information from all three approaches simultaneously. The means and standard deviations of MLH in these 19 cases are as follows: B200:  $(2.3 \pm 0.31)$  km; P-3B tracers:  $(2.8 \pm 0.36)$  km; sonde:  $(2.7 \pm 0.24)$  km. These variabilities can partially be attributed to the spatial and temporal separation between the instruments, thus the reason we chose to use the average of the available approaches as the representative MLH.

#### 3.2. Comparison Between Different IASI Products

The three IASI products described in Section 2.1 were compared with vertically integrated in situ columns under the MLH assumption (profile 1) within the  $\pm 15$  km and  $\pm 60$  min window in Colorado (Figure 6). The near real-time product yielded a correlation coefficient of 0.58, an intercept of  $(2.1 \pm 1.5) \times 10^{15}$  molecules cm<sup>-2</sup> and a slope of  $0.78 \pm 0.14$ . The reanalysis product showed no real changes in the correlation coefficient (0.57) and intercept ( $[1.3 \pm 1.9] \times 10^{15}$  molecules cm<sup>-2</sup>), but a slope closer to unity ( $1.0 \pm 0.19$ ) was observed. The in situ derived IASI product showed a similar correlation (0.54), slope ( $1.1 \pm 0.22$ ) and intercept ( $[1.7 \pm 2.2] \times 10^{15}$  molecules cm<sup>-2</sup>). All the correlations are statistically significant. The intercepts for the three products are nearly indistinguishable from zero, particularly when compared to column amounts > $10^{16}$  molecules cm<sup>-2</sup>, suggesting that there is no significant absolute bias between the IASI and in situ data sets.

Accurate temperature measurements are needed for  $NH_3$  retrievals, especially in the lower layers of the atmosphere where errors in the temperature profile can affect the retrieved  $NH_3$  columns significantly. The reanalysis product relies on gridded ECMWF meteorological data, and our results showed the robustness of this implementation, which allows for long-term consistent time series of IASI. The in situ derived IASI product shows a slightly deviated slope from unity, but the fact that this product matched well with the reanalysis product (Figure 6d) suggests that the retrievals are still internally coherent. The deviation may be





**Figure 8.** Histograms showing the remainders between IASI and in situ NH<sub>3</sub> columns in Colorado ( $\pm 15$  km,  $\pm 60$  min window, MLH assumption) for (a) near real-time, (b) reanalysis and (c) in situ derived products. The fitted Gaussian distribution is plotted as a black curve.  $\mu$  and  $\sigma$  denote the mean and standard deviation of the fitted Gaussian function, respectively. IASI, Infrared Atmospheric Sounding Interferometer; MLH, mixed layer height.

attributed to the fact that the in situ temperature profiles largely consisted of sonde data, and sonde measurements were not always representative of the IASI pixel in space and time. Our results are also consistent with previous findings that the historic version 2.1 near real-time product (before September 30, 2014 and the release of the IASI L2 version 6.0.5 by EUMETSAT) has a low bias against the reanalysis product due to an overestimation of the thermal contrasts between the surface and the lower tropospheric air (Van Damme et al., 2017). The differences in temperature inputs are further illustrated in Figure 7, where we plot the mean temperature profile used in the retrieval of each IASI product (left panel) and an example of a single observation (right panel). The different profile shapes and thermal contrast values explain why the retrieved column abundances vary. The largest thermal contrast (TC, shown as inset) between the surface air temperature and skin temperature (i.e., soil temperature) is found in the near real-time product, confirming the reason why the retrieved columns are biased low against the other two products.

While linear regression provides a straightforward picture of how two data sets relate with each other, the fitted slopes and intercepts can be driven by outliers on either side. We additionally calculated histograms to check for potential differences that may exist between the IASI and in situ measurements. The remainders obtained from subtracting in situ columns from corresponding IASI columns are illustrated in Figure 8 (same scenarios as Figure 6: IASI products vs. the in situ MLH assumption for the  $\pm 15$  km and  $\pm 60$  min window in Colorado). The Freedman-Diaconis rule was used to calculate the appropriate bin width and number of bins for each distribution (Freedman & Diaconis, 1981). A Gaussian function was used to fit the remainders for a smoother interpretation of distribution. The histograms show that the remainders were clustered around zero for all three products, with the near real-time product having the smallest mean. This again indicates that the IASI products do not appear to have large systematic biases. Therefore, averaging IASI observations under different scenarios (low/moderate/high NH<sub>3</sub>) likely will yield improved detection limits as any random measurement noise becomes averaged out.

#### 3.3. Comparison Between Colorado and California

The DISCOVER-AQ California campaign was conducted over the San Joaquin Valley, the most productive agricultural region in the United States, with Kern County and Tulare County ranking top in total value of production as of 2017 (CDFA, 2018). Previous studies have found significantly high NH<sub>3</sub> abundances in the San Joaquin Valley (Clarisse et al., 2010; Makar et al., 2009), making it a suitable area to evaluate the sensitivity of IASI toward surface NH<sub>3</sub>. However, using the same criteria as for Colorado, only a limited number of collocated cases (N = 3, 9, 4 for near real-time, reanalysis and in situ derived products) were found in California. Part of the reason was that only the MetOp-A satellite was available during that time, whereas both MetOp-A and B were operational during the Colorado campaign. The main factor, however, was that the California campaign happened during winter in a valley area, where high cloud coverage,



| Orthogonal Regression                              | Statistics Between t | he Near Real-Time                       | IASI Product and    | the In Situ MLHAss                   | sumption in Colora   | do for All the Spati | otemporal Windows    | Tested, Including O  | verlapping Points   |
|--|----------------------|---|---------------------|--------------------------------------|----------------------|----------------------|----------------------|----------------------|---------------------|
| Temporal window                                    |                      | 20 min                                  |                     |                                      | 60 min               |                      |                      | 180 min              |                     |
| Spatial window                                     | Within pixel         | 15 km                                   | 45 km               | Within pixel                         | 15 km                | 45 km                | Within pixel         | 15 km                | 45 km               |
| Slope  | $0.93 \pm 0.17$      | $0.76 \pm 0.17$                         | $0.09 \pm 0.03$     | $2.1 \pm 0.72$                       | $0.78 \pm 0.14$      | $0.85 \pm 0.13$      | $2.3 \pm 0.50$       | $2.7 \pm 0.66$       | $0.42 \pm 0.06$     |
| Intercept  | $8.0e14 \pm 2.4e15$  | $1.2e15 \pm 2.7e15$                     | 7.5e15 ± 6.4e14     | $-4.7e15 \pm 5.1e15$                 | $2.1e15 \pm 1.5e15$  | $1.3e15 \pm 1.0e15$  | $-3.9e15 \pm 3.2e15$ | -7.9e15 ± 4.4e15     | $4.2e15 \pm 5.1e14$ |
| Correlation<br>coefficient                         | 0.84                 | 0.68                                    | 0.22                | 0.50                                 | 0.58                 | 0.38                 | 0.64                 | 0.41                 | 0.38                |
| Number of<br>datapoints                            | 12                   | 22                                      | 152                 | 25                                   | 60                   | 244                  | 32                   | 81                   | 259                 |
| IASI mean  | $1.1e16 \pm 9.7e15$  | $1.1e16 \pm 9.2e15$                     | 8.4e15 ± 6.9e15     | 9.2e15 ± 7.6e15                      | 8.8e15 ± 7.1e15      | 7.1e15 ± 6.4e15      | 9.4e15 ± 7.8e15      | 9.2e15 ± 8.0e15      | $6.7e15 \pm 5.8e15$ |
| In-situ mean                                       | $1.1e16 \pm 1.0e16$  | $1.3e16 \pm 1.1e16$                     | $1.0e16 \pm 1.9e16$ | $6.6e15 \pm 5.1e15$                  | $8.5e15 \pm 8.2e15$  | $6.8e15 \pm 6.8e15$  | 5.7e15 ± 4.4e15      | $6.3e15 \pm 5.0e15$  | $5.9e15 \pm 8.4e15$ |
| % difference                                       | 0.60                 | -15                                     | -18                 | 39                                   | 3.1                  | 4.0                  | 66                   | 46                   | 13                  |
| Notes. "Within pixel" 1<br>The last rouv shows the | means that the in si | itu measurements :<br>se hetween the me | must be located wi  | thin the actual IASI<br>situ columns | I pixel (~12 km in 0 | diameter). Standar   | d deviations are sho | wn for slopes, inter | cepts and means.    |

low thermal contrast and strong but shallow temperature inversions were frequently present. These conditions pose a challenge for accurate satellite NH<sub>3</sub> retrievals from an infrared sounder. For demonstration purposes, a test was conducted from January 16 to February 7, 2013 to retrieve NH<sub>3</sub> columns for all the IASI MetOp-A pixels within the DISCOVER-AQ California domain. Using the near real-time product as an example, only 22% of pixels produced valid columns after filtering for cloud (<25%), accounting for the availability of skin temperature and applying postfiltering, a process that was used to remove erroneous retrievals (Van Damme et al., 2017). In contrast, a similar test between July 17 and August 10, 2014 over the DISCOVER-AQ Colorado domain showed that 51% of the MetOp-A and B pixels remained after the quality control process. The limited sample size makes it extremely difficult to draw any conclusions on the agreement between IASI and in situ measurements in California. Given this, the scope of this study is limited to Colorado. Table S2 lists the orthogonal regression results between the IASI reanalysis product and the in situ MLH assumption in California for all the spatiotemporal windows we tested. The California example highlights the fact that validation results in one area may not apply to another area, especially where the conditions are dramatically different for satellite observations. Similar to the results in Colorado, the reanalysis product in California yielded more datapoints because of the increased availability of temperature profiles.

# 3.4. Comparison Between Spatiotemporal Windows

As described in Section 3.1, we used the  $\pm 15$  km spatial window in conjunction with the  $\pm 60$  min temporal window to account for the transport of NH<sub>3</sub> based on the typical boundary layer wind speed of  $3.5 \pm 2.2 \text{ m s}^{-1}$ . We also evaluated the sensitivity of agreement between IASI and in situ data using other combinations of spatiotemporal windows up to a factor of three apart in space and time. Table 3 lists the orthogonal regression results between IASI and the in situ MLH assumption under the nine different spatiotemporal windows tested. The near real-time IASI product is shown here as an example. For statistics of the other two IASI products, we refer to Table S3 and S4. The correlations in all nine windows are tested to be statistically significant. Aside from the  $\pm 15$  km and  $\pm 60$  min window that has been adopted throughout the analysis, the  $\pm 15$  km and  $\pm 20$  min window also showed similar performance. The "within pixel" and  $\pm 20$  min window even outperforms the original window in terms of slope and correlation, which is reasonable since we expect that most NH<sub>3</sub> emitted will not drift far from their source in such a short time frame. However, it should be noted that the  $\pm 15$  km and  $\pm 60$  min window has a much larger sample size than the two windows above, providing higher statistical power. From a statistical perspective, none of the remaining windows are comparable to the three windows discussed in terms of overall agreement.

# 3.5. Ascent and Descent Aircraft Profiles

An earlier study on the validation of TES  $NH_3$  suggested that the two airborne  $NH_3$  sensors (PTR-MS and CRDS) in DISCOVER-AQ California exhibited hysteresis during sampling (Sun et al., 2015). In Sun et al. (2015), the measured  $NH_3$  mixing ratios showed long tails of decay when exiting the boundary layer into the free troposphere due to the sensors' relatively long response times. On the other hand, the sensors detected almost no  $NH_3$  signals when descending from the free troposphere until reaching the boundary layer, likely affected by an extended portion of outgassing in clean conditions prior to the descent. The Colorado campaign only had PTR-MS onboard the P-3B aircraft, but here we also examined the differences between the ascent and descent profiles. We remind that the PTR-MS had limitations in detection limit and response time because it was not optimized for measuring  $NH_3$  at the time of DISCOVER-AQ.



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**Figure 10.** IASI-NH<sub>3</sub> products versus the in situ NH<sub>3</sub> columns in Colorado ( $\pm$ 15 km and  $\pm$ 60 min window and MLH assumption) with ascent (orange) and descent (green) aircraft profiles analyzed separately (same data replotted from Figure 6). IASI, Infrared Atmospheric Sounding Interferometer; MLH, mixed layer height.

As an example of the hysteresis in sampling, we selected two pairs of locations relatively close to each other where aircraft profiling was conducted to minimize the influence of  $NH_3$  gradients across the transect. Figure 9a shows the time series on July 23, 2014, of  $NH_3$  for an ascent profile at NREL (Golden), a high-altitude leg near 5.5 km MSL for 22 km (2.5 min) and then a descent spiral profile near the BAO tower (near Erie). The second pair of profiles consisted of an ascent at Denver (La Casa), another high-altitude segment at 5.5 km MSL for 26 km (3 min), followed by a descent at Chatfield Park south of Denver. MLH was estimated from the P-3B aircraft temperature profiles and found to be similar between these locations (difference within 100 m). The geographical locations of the four sites are shown in Figure 9b.

Figures 9c and 9d show the vertical profiles of  $NH_3$  for the near-adjacent ascent and descent pairs. For comparison, Figures 9e and 9f show the same pairs of profiles for  $C_2H_6$ , a petrochemical tracer with a ~2-month lifetime that has sources located in the same general areas as  $NH_3$  emissions in northeast Colorado and has no sampling issues (Kille et al., 2019). The dashed line marks the average boundary layer height averaged between each pair. For the first set of profiles (Figure 9c), the descent had an average  $NH_3$  mixing ratio of 2.2 ppbv while the ascent averaged over two times higher at 4.6 ppbv. We hypothesize these differences were mainly due to instrument sampling issues because the corresponding  $C_2H_6$  profiles (Figure 9e) showed no noticeable differences between the two sites, particularly the relative shapes of the profiles around the MLH. This sampling hysteresis for  $NH_3$  is consistent with those reported by Sun et al. (2015). We investigated several other profiles and found similar patterns, especially when there were large enhancements of  $NH_3$ (>5 ppbv) near the ground, suggesting that PTR-MS was subject to artifacts because the instrument was not optimized for sampling and detecting  $NH_3$ .

For the second pair (Figure 9d), the average  $NH_3$  during descent again was lower than the ascent, but there was a difference in air mass between these two sites. Figure 9f shows that  $C_2H_6$  had different boundary layer profiles in the two locations, though were indistinguishable at and above it. Nonetheless, the relative shapes of the  $NH_3$  and  $C_2H_6$  profiles are dramatically different, with  $C_2H_6$  showing an abrupt and large decrease above the MLH while  $NH_3$  shows a more gradual decrease around the MLH. The more gradual transition

**Figure 9.** Vertical profiles of  $1 \text{ Hz NH}_3$  and  $C_2H_6$  measurements from the P-3B aircraft in Colorado on July 23, 2014. Panel (a) shows the time series of NH<sub>3</sub> measured by the PTR-MS. The two pairs of adjacent ascent and descent profiles selected for comparison are highlighted by different colors. The thin dashed line and gray dotted vertical lines show the altitude change during the flight and breaks in the time series, respectively. Panel (b) shows the geographical locations where the aircraft profiles were made. Panels (c) and (d) illustrate the NH<sub>3</sub> profiles during the ascents and descents. Dashed lines mark the MLH averaged across each ascent and descent pair. Panels (e) and (f) are the corresponding  $C_2H_6$  profiles measured simultaneously by a TILDAS instrument. MLH, mixed layer height; PTR-MS, proton transfer reaction time-of-flight mass spectrometer.





**Figure 11.** (a) A case study of CU SOF within the  $\pm 15$  km and  $\pm 60$  min window moving across the IASI pixel centroid on July 28, 2014. The route of CU SOF is colored by the measured column abundances. The center of the IASI pixel is denoted as "+". The solid ellipse marks the boundary of the IASI pixel. The dotted circle represents the  $\pm 15$  km spatial window. The figure is overlaid on Google Maps. (b) Time series of CU SOF measurements in this case, with the CU SOF median and IASI reanalysis column, together with their uncertainties (see text in Section 3.6), and the IASI overpass time shown. (c) CU SOF medians versus collocated IASI reanalysis columns based on the  $\pm 15$  km and  $\pm 60$  min window. Red line shows the best fit using orthogonal regression. Dashed line represents the 1:1 slope. CU SOF, University of Colorado Solar Occultation Flux; IASI, Infrared Atmospheric Sounding Interferometer.

of  $NH_3$  is again likely related to sampling biases, though real air mass differences certainly play some role at least in the boundary layer.

While we have demonstrated that IASI is comparable to in situ-derived profiles in general, the differences between ascent and descent profiles due to sampling issues require more examination. We show in Figure 10 the comparison of the three IASI products against ascents and descents separately, and key differences are readily observed. For example, the ascent profiles yield higher columns than corresponding IASI columns, consistent with the above observations of instrument artifacts from outgassing. Likewise, descent profiles are generally lower due to surface adsorption upon rapid increases in NH<sub>3</sub> concentrations as one descends. As a result, the descent clusters have higher slopes than the ascent clusters. Descents tend to have much higher correlations than ascents (0.62–0.68 vs. 0.43–0.46) as well as slopes closer to unity, but their intercepts also tend to be larger. Because sampling biases occur after large concentration changes regardless of the direction (net adsorption vs. net outgassing), it is unclear which of these profiles is more representative of the actual distribution. Therefore, we have opted to aggregate all PTR-MS measurements together regardless of the flight direction. Our findings suggest that attention needs to be paid to the discrepancies between ascent and descent profiles as a metric of in situ instrument inlet/sampling performance. Future airborne campaigns targeting NH<sub>3</sub> concentrations should employ sensors with highly quantified instrument responses in-flight, during upward and downward flight profiles in the same location, and with high precision and low bias in order to obtain more accurate NH<sub>3</sub> vertical profiles.

#### 3.6. Comparison Between IASI and CU SOF

Aside from in situ NH<sub>3</sub> mixing ratios, ground-based mobile CU SOF measurements of NH<sub>3</sub> total columns were also available during the DISCOVER-AQ/FRAPPÉ Colorado campaign (Kille et al., 2017). The CU SOF NH<sub>3</sub> columns depend only weakly on a priori parameters and have a small total uncertainty (accuracy: 4%, and not less than  $0.7 \times 10^{15}$  molecules cm<sup>-2</sup>; Kille et al., 2017, 2019). For this comparison, we removed the requirement that each collocation must have an aircraft profile and focused only on the CU SOF measurements within the ±15 km and ±60 min window and where the CU SOF had at least partial overlap with the IASI pixel. Figures 11a shows a case study of the mobile CU SOF passing through an IASI pixel on July 28, 2014. The IASI reanalysis column and uncertainty in this case is (9.6 ± 2.2) × 10<sup>15</sup> molecules cm<sup>-2</sup>, whereas the CU SOF median and standard deviation are (10 ± 1.9) × 10<sup>15</sup> molecules cm<sup>-2</sup>, with individual values as low as (6.0 ± 0.7) × 10<sup>15</sup> and up to (21 ± 1) × 10<sup>15</sup> molecules cm<sup>-2</sup> observed (Figure 11b). Because



the CU SOF was not fixed in space and time as it constantly moved on the road, it clearly demonstrated the high intrapixel variability of NH<sub>3</sub> vertical column densities. A total of 26 cases with orthogonal regression between IASI reanalysis columns and CU SOF medians yielded a slope of unity (0.91  $\pm$  0.26), an intercept nearly indistinguishable from zero ([ $-3.4 \pm 3.1$ ]  $\times 10^{15}$  molecules cm<sup>-2</sup>), and a correlation coefficient of 0.56 (Figure 11c). A single pixel satellite measurement inherently integrates the true NH<sub>3</sub> column gradients, and Kille et al. (2017) showed 90% variability in NH<sub>3</sub> column occurs on spatial scales shorter than 6 km in Northeastern Colorado. The CU SOF column data are unique, in that they integrate over boundary layer height, and do not depend on the NH<sub>3</sub> profile shape. The high column variability seen is consistent also with the aircraft and mobile data and highlights the intrinsic challenges of validating a short-lived primary species such as NH<sub>3</sub> that has strong spatial gradients, especially in hotspot regions like Northeastern Colorado. This fundamental sampling challenge of satellite validation is particularly severe, but not unique to NH<sub>3</sub>, and has been observed for other gases (Ortega et al., 2015).

# 4. Implications

In the past decade, satellite NH<sub>3</sub> measurements have been used to study the trends and distributions of NH<sub>3</sub> owing to their long data record and global coverage (Dammers et al., 2019; Pinder et al., 2011; Van Damme et al., 2018; Warner et al., 2016). Although research has attempted to validate satellite NH<sub>3</sub> using surface concentrations and ground-based stationary FTIR measurements, the accuracy of satellite measurements on pixel scales—which are important for studying emission sources and their variabilities—has not been thoroughly validated. In this work, we compared IASI NH<sub>3</sub> with collocated in situ-derived profiles and mobile SOF columns from a suite of aircraft, tower, mobile laboratory, and sonde measurements from the NASA DISCOVER-AQ and NSF FRAPPÉ field experiments. We validated version 3 of the IASI NH<sub>3</sub> data set with three types of temperature profile: the near real-time product from EUMETSAT, the ERA5/ECMWF reanalysis product, and one using in situ temperature profiles from the DISCOVER-AQ/FRAPPÉ campaign.

IASI correlates well with in situ NH<sub>3</sub> integrated up to the MLH within windows of  $\pm 15$  km from the IASI centroid and  $\pm 60$  min of the overpass time. The choice of MLH is an important factor in this study, which determines the altitude ceiling to which in situ data are integrated. For cases with significant NH<sub>3</sub> sampling biases in the free troposphere, it is recommended that the MLH information be derived from as many different approaches as possible, as some variabilities will exist among the instruments within a certain spatiotemporal window. The three IASI products show similar performances, with the reanalysis product showing the overall best agreement (slope =  $1.0 \pm 0.19$ , intercept =  $(1.3 \pm 1.9) \times 10^{15}$  molecules cm<sup>-2</sup>, r = 0.57). Because IASI has no significant biases compared to the in situ profiles, IASI columns can be spatiotemporally averaged for improved signal to noise ratios where appropriate. The IASI retrieval depends on accurate temperature inputs, especially in the boundary layer where most NH<sub>3</sub> resides. The near real-time product showed a slight bias in the slope due to an overestimation of the thermal contrast relative to the reanalysis product. Our IASI results are demonstrated for summer in Colorado USA but may not be representative of other locations and times. More validations are needed, especially in cases with strong inversions and low thermal contrast such as in valleys and in winter. Large columns ( $>5 \times 10^{16}$  molecules cm<sup>-2</sup>) could also not be validated with the current set of in-situ measurements. Note that no AVK information was generated from IASI retrievals or applied to the in situ data, and therefore this study only presents a comparison between the unconstrained IASI columns and in situ integrated columns. A weighting function is being considered for future IASI versions that can be used to account for the variabilities of vertical sensitivity.

This study is the first validation of IASI NH<sub>3</sub> at the single pixel scale using vertically integrated in situ measurements. Nonetheless, as with TES single pixel validation results, sampling artifacts of airborne NH<sub>3</sub> instruments remain a significant barrier for improved validations. Not only are there fewer measurements of free tropospheric NH<sub>3</sub> from airborne science field campaigns compared to other in situ trace gases, but NH<sub>3</sub> measurements with high accuracy and fast response are critically needed for the mapping of NH<sub>3</sub> vertical profiles. This is particularly important for validating in source regions where elevated concentrations in the boundary layer may influence the corresponding free tropospheric values due to sampling biases of existing instruments. Besides, vertical profiles of NH<sub>3</sub> in the boundary layer themselves have complex structures due to emissions, partitioning into aerosol phases, and temperature and relative humidity changes. Until unbiased, accurate in situ aircraft NH<sub>3</sub> profiles above the boundary layer become available, a choice of



profile with all  $NH_3$  in the mixed layer height should be used for validation as it represents a more realistic distribution of  $NH_3$  in the troposphere. More boundary layer  $NH_3$  profiles will improve the development of retrieval algorithms for all infrared sounders, and this can be achieved with recent advances in  $NH_3$  measurements techniques and the increased availability of airborne research campaigns (Miller et al., 2014; Pollack et al., 2019).

Vertical profiles of  $NH_3$  in the free troposphere are also a high priority, as the integrated  $NH_3$  concentrations in the free troposphere may be comparable to the overall column abundance in low and moderate  $NH_3$  locations. Compact spirals like those made in DISCOVER-AQ are critical for the validation of short-lived species such as  $NH_3$  so that horizontal gradients are not manifested as vertical gradients during altitude changes. In addition, repeated upward and downward transects over the same air mass will provide a measure of confidence in any potential instrument sampling biases. While our study focuses on the measurements from IASI, these generalized conclusions hold for all infrared sounders that are sensitive to boundary layer temperature profiles and thermal contrast. Overall, more robust data sets are needed for validating satellite  $NH_3$  measurements, particularly at the pixel scale as satellite  $NH_3$  data sets are applied toward higher-resolution emission inventories and atmospheric composition analyses.

# Data Availability Statement

All the data sets used in this work are publicly available and archived at the following websites: DISCOV-ER-AQ/FRAPPÉ: https://www-air.larc.nasa.gov/missions/discover-aq/discover-aq.html. IASI version 3 and 3R: https://iasi.aeris-data.fr/NH3/.

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