

1     **Title:** A field test investigating the influence of brood stock origin and ploidy on the  
2     susceptibility of *Crassostrea virginica* to “triploid mortality” in the Chesapeake Bay

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13

14    **Abstract**

15    Mass mortalities of cultured triploid *Crassostrea virginica* in late spring, or “triploid mortality,” have  
16    been reported on farms in the Chesapeake Bay since 2012. Typical causes, such as disease or poor  
17    husbandry, were not responsible, and mortalities occurred without clear signs of biological or physical  
18    stressors. Previous comparisons of the effects of genetic origin on triploid mortality have been  
19    uncontrolled, initiating this investigation of the effect of brood stock source and ploidy on triploid  
20    mortality. Four triploid and four diploid crosses, produced by crossing different combinations of brood  
21    stock of Virginia, Louisiana, and Maine origin in early 2015, were tested at four commercial oyster farms  
22    in Virginia throughout 2016. From February to November, oysters from all crosses and sites were  
23    regularly sampled, and growth (shell height), condition (meat weight), and pathology were monitored,  
24    as were environmental conditions. Compared to diploids made from Virginia brood stock, diploids with

25 Maine genetic origin had high mortality and Virginia-Maine diploid hybrids exhibited mid-parent  
26 heterosis. A triploid mortality event occurred in late spring at only one site and only affected the  
27 triploid crosses. Evidence for substantial disease pressure from *Haplosporidium nelsoni* or *Perkinsus*  
28 or of especially stressful environmental conditions based on temperature, salinity, pH, and  
29 dissolved oxygen was absent during the triploid mortality event. At 18 months, shell height was similar  
30 in the diploids and triploids with the most similar genetic origin. Triploids maintained meat weight  
31 through the summer, while meat weight in diploids dropped sharply. Triploids may be especially  
32 susceptible to late spring mortality events in the Chesapeake Bay, which justifies their classification as  
33 "triploid mortality," and warrants further investigation on traits in triploids that may affect  
34 susceptibility.

35 **Keywords:** oyster; polyploid; 3n; summer mortality; gametogenesis

36

### 37 **1. Introduction**

38 Over the last two decades, the once prolific harvests for the eastern oyster, *Crassostrea*  
39 *virginica*, have been partially restored in the Chesapeake Bay from hatchery-based aquaculture. Pivotal  
40 to the rise in hatchery-based aquaculture has been selective breeding, which has made disease resistant  
41 and genetically improved oysters available (Dégremont et al., 2015; Frank-Lawale et al., 2014; Ragone  
42 Calvo et al., 2003). Production of *C. virginica* from aquaculture in the state of Virginia has grown rapidly  
43 in the last fifteen years. Seed production increased from 20 million to 264 million (13-fold) from 2005 to  
44 2016, while the number of cultured oysters sold increased 40-fold (Hudson, 2017; Murray and  
45 Oesterling, 2006). Virginia now leads the East Coast of United States in aquaculture production of  
46 eastern oysters (Hudson, 2018).

47 An important genetic improvement in hatchery-based aquaculture in Virginia was the  
48 commercialization of triploid oysters. Over the last ten years, oyster aquaculture in Virginia has been

49 characterized by a near exclusive use of mated triploid oysters, which are triploids produced by crossing  
50 tetraploid oysters to diploid oysters. Triploid oysters made up 80 to 95% of oyster crops on Virginia  
51 farms between 2009-2017, and in 2015 and 2016, 94% of seed sold from Virginia hatcheries were  
52 triploid (Hudson, 2018, 2017; Hudson and Murray, 2016, 2015, 2014; Murray and Hudson, 2013, 2012,  
53 2011; Murray and Oesterling, 2010, 2009). The Virginia industry reports a preference for triploids  
54 because they grow faster than diploids and maintain high meat quality during the spawning season  
55 (Hudson, 2018). These advantages obtain from the reduced fecundity of triploid oysters, which alters  
56 the energy allocation in favor of somatic growth (Purdom, 1972; Stanley et al., 1981). Lack of spawning  
57 also improves market condition (Allen and Downing, 1986).

58 The apparent advantages of growing triploid oysters in the Chesapeake Bay may come with at  
59 least one disadvantage, for example, so called “triploid mortality” (Guévérou et al., 2019). Since at least  
60 2012, a number of oyster farms in the Chesapeake Bay have experienced mortality episodes, in which  
61 substantial mortalities (> 20% of the crop) occur within a matter of weeks in the late spring, typically  
62 between May and July (Guévérou et al., 2019). An acute incidence of triploid mortality in 2014 led to  
63 losses as high as 50-80% on some farms (K. Hudson, pers. comm., Guévérou et al. 2019). Farmers  
64 reported that oysters growing fastest and close to market size were susceptible. No pathogen was  
65 identified in association with these mortalities, nor have any unusual environmental conditions been  
66 consistently associated with the events, which made these mortalities unusual for oyster farmers in the  
67 Chesapeake Bay (Guévérou et al., 2019). Reports of acute triploid mortality from several farms in  
68 Virginia have also been received in 2019, ranging from 30% to 70% loss of crop (K. Hudson, pers.  
69 comm.). Unusual mortality episodes involving triploids have also been reported from oyster farms in  
70 Maryland, as well as Alabama and Louisiana since 2016 (Wadsworth et al., 2019).

71 Often, mass mortality events of oysters involve a pathogen, such as a protozoan parasite (e.g.  
72 *Perkinsus* spp., *Haplospiridium* spp., *Bonamia* spp.), bacterium (e.g. *Vibrio* spp.), or virus (e.g. OsHV-1).

73 Of most recent importance in terms of global oyster aquaculture are mortalities caused from a certain  
74 genotype of Ostreid herpesvirus type I (OsHV-1), OsHV-1 μVar (Segarra et al., 2010). The μVar genotype  
75 was first identified following widespread mortalities on farms in France in the summer of 2008 and is  
76 now associated with mortalities during summer in other western European countries (Peeler et al.,  
77 2012), New Zealand (Keeling et al., 2014), and Australia (Paul-Pont, 2014). The severe economic impact  
78 of these mass mortalities has made resistance to OsHV-1 μVar a focus of applied research, including  
79 breeding research, which has demonstrated high potential for increased resistance through selection  
80 (Camara et al., 2017; Dégremont et al., 2015; Kube et al., 2018).

81 Mass mortalities of oysters have often occurred without an attributable pathogen or specific  
82 environmental stressor. Similar to *triploid* mortalities in Virginia, many cases of the long-reported  
83 *summer* mortalities of *Crassostrea gigas* involve only adult oysters and are not ascribed to a specific  
84 pathogen or environmental condition (Cotter et al., 2010; Glude, 1975; Koganezawa, 1975; Maurer and  
85 Comps, 1986; Samain and McCombie, 2008; Wendling and Wegner, 2013). Since the 1940s, possibly  
86 even 1912 (Takeuchi et al., 1960), episodes of mortalities of cultured *C. gigas* ranging from 10% up to  
87 70% have been reported in summer in Japan (Koganezawa, 1975; Ventilla, 1984). Similar mortalities  
88 have been reported on the West Coast of the United States starting in the 1950s (Cheney, 2000; Glude,  
89 1975; Perdue et al., 1981) and France in the 1970s (Gouletquer et al., 1998; Parache, 1989; Samain and  
90 McCombie, 2008). The conclusion in Koganezawa (1975) that summer mortalities were due to a  
91 physiological “disorder” induced by a suite of environmental conditions has been supported from follow  
92 up research on another continent (e.g. Samain and McCombie, 2008).

93 In Virginia, nearly all the farms affected by triploid mortality in 2014 were on the Chesapeake  
94 Bay-side of Eastern Shore of Virginia, and many farmers in the area were growing the “Northern cross”  
95 (Guévelou et al., 2019). The Northern cross was produced by mating tetraploids selectively bred from  
96 mid-Atlantic stocks with a proprietary line of diploids developed from New England stocks. Thus, a

97 hypothesis was developed that genes passed to the triploid by the “northern diploid” may contribute to  
98 late spring mortality in triploids. The initial investigation into triploid mortality in the Chesapeake Bay by  
99 Guévelou et al. (2019) included a version of this “Northern cross,” but the opportunistic nature of the  
100 field trial meant certain variables, like genetic provenance of the tested groups, could not be controlled.

101 This study is intended to be a more controlled complement to Guévelou et al. (2019) and shares  
102 many of the same objectives: testing whether genetic origin affects triploid mortality, measuring the  
103 survival of diploids during a triploid mortality event, examining the relationship between size and  
104 mortality, and investigating associations between triploid mortality and environmental conditions.

105 Unique to this study are tetraploid brood stock of varying genetic origin. Considering tetraploids  
106 contribute two thirds of the chromosomes to the triploid genome, the genetics of the tetraploid parent  
107 may be especially influential of the triploid phenotype. Four genetically distinct diploid and triploid  
108 crosses were produced and deployed in a field test from February 2016 to November 2016. Triploid  
109 crosses were designed to produce a range of genotypes – origins in Virginia, Louisiana, and Maine – that  
110 would putatively affect susceptibility to triploid mortality. The diploid crosses comprised those of  
111 Virginia origin, which were produced for comparison with the triploids, as well as those of Maine origin,  
112 which were produced to further examine the performance of New England derived genotypes in the  
113 Chesapeake Bay. Special care was taken to mimic growing conditions in which previous triploid  
114 mortality events had been observed, specifically, early season spawns that yielded market sized adults  
115 at the cusp of the triploid mortality window 16-18 months after spawning (May – June).

116

117 **2. Methods**

118 **2.1 Brood stock**

119 Two lines of diploid and two lines of tetraploid *C. virginica* were used to produce diploid and  
120 triploid crosses (Figure 1). The diploid brood stock consisted of the Virginia Institute of Marine Science

121 (VIMS) DEBY line (Ragone Calvo et al., 2003) and a proprietary commercial line from Mook SeaFarms,  
122 Walpole, Maine. The tetraploid brood stock consisted of VIMS GEN and VIMS VBOY. The GEN line has  
123 been the principal source of tetraploids for the vast majority of commercial triploid production in the  
124 Chesapeake and has been propagated by the Aquaculture Genetics and Breeding Technology Center  
125 (ABC) since 2003. The VBOY line originated from the creation of a tetraploid line for the Oyster  
126 Research Lab of Louisiana State University (LSU). VBOY was made as a hybrid between a diploid line  
127 (OBOY, developed by Jerome LaPeyre, LSU) from Louisiana and the GEN line developed in Virginia.  
128 VBOY has also been held by ABC since 2013.

129 **2.2 Crosses**

130 All crosses were spawned simultaneously at the VIMS research hatchery in Gloucester Point, VA  
131 in February of 2015. Male tetraploids from the Virginia GEN line (chromosome set contribution: VV) and  
132 VBOY line with some Louisiana origin (chromosome set contribution: LL) were crossed to female diploids  
133 from the ABC DEBY line (chromosome set contribution: V) or the Mook line from Maine (chromosome  
134 set contribution: M) in a 2x2 matrix to produce triploid crosses (VVV, VVM, LLV, LLM). Eggs from the  
135 same V and M female diploids were also crossed to V and M male diploids in a 2x2 matrix to produce a  
136 diploid control group to gauge triploid mortality (VV), reciprocal Virginia-Maine hybrids (VM, MV), and  
137 Maine diploids (MM).

138 All crosses were conducted via strip spawning (Allen and Bushek, 1992). For each of the two  
139 diploid brood stocks (V & M), five to eight females were stripped and eggs were pooled. Each pool was  
140 then split into four aliquots. One aliquot of the egg pool from either V or M was allocated for each of  
141 the sperm sources (2N – V and M; 4N – VV and LL) (Figure 1). For each sperm source, five males were  
142 used, so each aliquot of diploid eggs was further subdivided five ways so that an individual male was  
143 fertilizing one fifth of the eggs from each egg source. After each subdivided batch of eggs had been  
144 allowed to complete fertilization, they were re-pooled into the eight major crosses depicted in Figure 1.

145 The early (February) spawn in 2015 was necessary to emulate the commercial experience of farms that  
146 had seen triploid mortality in near market size oysters in late spring.

147 **2.3 Larvae and Seed**

148       Larvae were reared at two hatcheries to ensure success in producing pediveliger larvae: the  
149 VIMS research hatchery (Gloucester Point, VA, USA) and a commercial hatchery, Oyster Seed Holdings  
150 (Gwynn's Island, VA, USA). Half of the embryos from each cross were transferred directly into tanks at  
151 the VIMS hatchery, and half were transported in a 50-milliliter centrifuge tube to the commercial  
152 hatchery, 50 kilometers away. Pediveliger larvae were successfully produced for all crosses at both  
153 hatcheries. Competent pediveligers were collected from each cross from both hatcheries were set on  
154 finely crushed oyster shell at the VIMS hatchery.

155       Several times throughout the spring, seed were graded to ensure fast growth to promote the  
156 earliest possible deployment to the field, which emulates the commercial process that gave rise to  
157 triploid mortality. By June of 2015, when enough seed were larger than 19 mm, 10-15 haphazardly  
158 selected individuals from each cross were measured for shell height (maximum dimension from the  
159 hinge to the bill), and a few thousand seed from each cross were deployed to four experimental field  
160 sites. At all sites, seed were reared as a bulk deployment in the intertidal zone in bags nested within  
161 single tier cages.

162 **2.4 Sites and Experimental Deployment**

163       All four experimental sites were commercial oyster farms. Three farms were on the bayside of  
164 the Eastern Shore of Virginia and one was on the western side of the Chesapeake Bay. For the three  
165 eastern sites – Nandua Creek (ND), Pungoteague (PG), Occohannock Creek (OC) – triploid mortality had  
166 been observed. For the western site – Rappahannock River (RR) – no such mortalities have been  
167 observed (Figure 2). Deployment of the experiment took place between February 29 and March 3 of  
168 2016, in which oysters from all eight crosses were split into replicate bags at each site. From each cross

169 at each site, 450 oysters were haphazardly selected and equally split into 3 bags (150 x 3). At RR, ND,  
170 and OC, oysters were reared in single-tier cages in the subtidal zone, and each bag was randomly  
171 assigned a slot within the cages. Bags and cages were held in their assigned position throughout the  
172 experiment. For PG, oysters were reared in triple-tier cages in the subtidal zone. Bags and cages were  
173 rotated during the trial, but cages remained in the same area during the experiment.

174 **2.5 Ploidy Verification**

175 Oysters were sampled twice to verify ploidy via flow cytometry (FCM) (Allen and Bushek, 1992).  
176 All FCM measurements were made with a Sysmex-Partex Cyflow Space flow cytometer (Partec GmbH,  
177 Münster, Germany) using DAPI as a stain. The first sample occurred in April of 2015 when 25 two-  
178 month-old seed from each cross were haphazardly selected. The second ploidy verification was  
179 repeated at deployment (February/March 2016) by sampling 15 haphazardly selected individuals of each  
180 cross from each site.

181 **2.6 Site Visits and Sampling**

182 All sites were visited throughout the spring, summer, and fall of 2016. Sites were visited within  
183 a week of each other monthly except in May and June when they were visited twice a month. Live  
184 oysters were sampled, without replacement, at experimental deployment and during all site visits in the  
185 spring and summer of 2016 (April-August). For all sampling times after deployment, oysters were  
186 randomly sampled. Random sampling consisted of ordering oysters in piles of 10, selecting a pile with a  
187 random number generator, ordering the oysters from the pile, and then selecting the individual oysters  
188 with a random number generator. Five oysters from each bag were selected in April, July, and August.  
189 In May and June, five oysters were selected from each bag of diploids, and seven were selected from  
190 each bag of triploids. Shell height and meat weight were measured for all live samples. Meat weight  
191 was measured after shucking oysters, removing the somatic tissue from the shell, and letting the meat  
192 drain on a mesh net for a few minutes.

193      **2.7 Mortality**

194      Mortality within bags was assessed during each site visit. Live oysters and empty shells were  
195      counted from each bag and empty shells removed. Oysters that failed to seal their shell commissure  
196      were deemed moribund and were also counted and removed. For the first mortality assessment in  
197      April, the percent cumulative mortality per bag was calculated with the following equation:

198      
$$\text{Cumulative mortality} = \frac{\text{Live}}{\text{Deployed}}$$

199      Where Live is the number of live oysters counted and Deployed is the sum of dead, live, and moribund  
200      oysters. For all subsequent sampling times, cumulative mortality per bag was calculated with the  
201      following equation:

202      
$$\text{Cumulative mortality}_t = \frac{\text{Live}_t}{\text{Live}_{t-1} - \text{Samples Removed}_{t-1}} \times \text{Cumulative mortality}_{t-1}$$

203      where t indicates sampling time and Samples Removed are the number of live oysters sampled without  
204      replacement. The number deployed, assessed during counts in April, was uniform for most replicates,  
205      ranging from 146 to 155 oysters per bag. Two bags out of the 96 deployed had 171 and 198 oysters  
206      instead of 150. Mean cumulative mortality for each cross was calculated by averaging the cumulative  
207      mortalities among the three bags. Shell height was measured for empty shells and moribund oysters.

208      Mid-parent heterosis was the phenotypic difference between the mean of the parental crosses  
209      and the mean of the hybrids, defined as heterosis by Falconer (1981), and was measured for mortality  
210      between the Virginia and Maine diploids and the Virginia-Maine hybrids with the following equation:

211      
$$H = \frac{(VV + MM)}{2} - \frac{(VM + MV)}{2}$$

212      where VV, MM, VM, and MV refer to the mortality of the corresponding crosses.

213

214      **2.8 Histology and Pathology**

215 Live samples were preserved for histology. For all live samples, the excised sample (slab)  
216 consisted of a 4 mm section of tissue cut perpendicular to the anterior –posterior axis, slightly ventral of  
217 the labial palps. Slabs were fixed in Davidson's solution for 48 hours, then stored in 70% ethanol.  
218 Some fixed samples were selected *post-hoc* for pathology based on mortality results and were  
219 processed for histology by standard methods used at the VIMS Shellfish Pathology Laboratory (Carnegie  
220 and Burreson, 2011).

221 Infections were described by prevalence (percent of infected individuals) and weighted  
222 prevalence, which is based on the intensities of the infections. Intensities of *Haplosporidium nelsoni*  
223 infections were rated according to Carnegie and Burreson (2011), and intensities of *Perkinsus marinus*  
224 infections were rated according to Mann et al. (2014). Weighted prevalence (WP) was calculated with  
225 the following equation:

$$226 WP = \frac{5(\text{Heavy}) + 4(\text{Moderate to Heavy}) + 3(\text{Moderate}) + 2(\text{Light to Moderate}) + 1(\text{Rare or Light}) + 0(\text{Absent})}{\text{Number of samples}}$$

227 where Heavy, Moderate to Heavy, Moderate, Light to Moderate, and Rare or Light represent the  
228 number of oysters with these qualitative ratings of infection intensity. All pathology, via visual  
229 examination of histology slides, was completed by the VIMS Shellfish Pathology Laboratory.

## 230 **2.9 Environmental Conditions**

231 Temperature and salinity were monitored at each field site during the experiment by HOBO®  
232 conductivity logger (Onset Computer Corporation, Bourne, MA, USA) attached to a cage in the field trial  
233 at each site from January 1 to August 10, 2016. Some mechanical failure of the loggers produced gaps in  
234 the data. Calibration readings for conductivity were taken with a portable conductivity meter during  
235 each site visit, and conductivity data were converted to salinity in parts per thousand (ppt) using  
236 HOBOware Conductivity Assistant (Onset Computer Corporation, Bourne, MA, USA). For RR, salinity

237 data was taken from daily recordings at a nearby VIMS research hatchery on Locklies Creek (VA, USA).

238 Average daily values were calculated for temperature and salinity at each site.

239                   Conductivity, dissolved oxygen concentration, pH, chlorophyll *a* concentration, and turbidity

240 were monitored at ND and PG during the time triploid mortality was expected (May-July).

241 Measurements were taken in 15-minute intervals using the 6600 V2-4 Multi-Parameter Water Quality

242 Sonde (YSI Inc. / Xylem Inc., Yellow Springs, OH), between May 10 and July 12. In cases where data from

243 the conductivity logger and sonde were available at ND or PG, sonde data was reported.

244

## 245 **2.10 Statistical Analysis**

246                   Mortality was analyzed using a mixed effects logistic regression. To compare “triploid

247 mortality,” the following model was used for mortality from deployment to the end of spring (February

248 29-June 28):

$$249 \text{logit}(\pi_i) = \log\left(\frac{\pi_i}{1-\pi_i}\right) = \mu + \alpha_i + \beta_j + \alpha_i\beta_j + \gamma_{k(i,j)} + \varepsilon_{ijk}$$

250                   where survival is a binary response variable (live or dead),  $\mu$  is the mean probability of survival,

251  $\alpha$  is the effect of cross ( $i$  is VV, VVV, VVM, LLV, and LLM),  $\beta$  is the effect of site ( $j$  is RR, ND, PG, and OC),

252  $\alpha\beta$  is the interaction of cross and site,  $\gamma$  is the effect of bag nested within cross and site, and  $\varepsilon_{ijk}$  is the

253 residual error. The mortality of the Virginia diploids, Virginia-Maine hybrids, and Maine diploids was

254 analyzed with the same model except the data were from deployment to the end of the field trial

255 (February 29-November 16) and  $i$  was VV, VM, MV, and MM. For both models, cross and site were

256 fixed effects and bag was a random effect. Pair-wise differences were examined post-hoc using Tukey’s

257 Honest Significant Difference (HSD) test. Mixed effects logistic regression was performed using the

258 lme4 package in R (Bates et al., 2015).

259                   Shell height in August of 2016 was analyzed using a two-way ANOVA:

$$260 Y_{ijk} = \mu + \alpha_i + \beta_j + \alpha_i\beta_j + \varepsilon_{ijk}$$

261 where  $Y_{ijk}$  is shell height of individual oysters in August 2016,  $\mu$  is the overall mean,  $\alpha$  is the effect of  
262 cross ( $i$  is VV, VM, MV, MM, VVV, VVM, LLV, and LLM),  $\beta$  is the effect of site ( $j$  is RR, ND, PG, and OC),  $\alpha\beta$   
263 is the interaction of cross and site, and  $\varepsilon_{ijk}$  is the residual error. Pair-wise differences were examined  
264 post-hoc using Tukey's HSD test. To determine if there was a difference in size among surviving triploids  
265 and triploids that died during the mortality event, shell heights of live triploids and dead triploids were  
266 analyzed using a nested ANOVA:

$$267 \quad Y_{ijkl} = \mu + \alpha_i + \beta_j + \gamma_{k(j)} + \alpha_i\beta_j + \varepsilon_{ijkl}$$

268 where  $Y_{ijkl}$  is height of shells of live and dead triploids measured on June 6, 2016 at ND,  $\mu$  is the overall  
269 mean,  $\alpha$  is the effect of status ( $i$  is live or dead),  $\beta$  is the effect of cross ( $j$  is VVV, VVM, LLV, LLM),  $\gamma$  is the  
270 effect of bag nested within cross ( $k$  is replicate 1, 2, 3),  $\alpha_i\beta_j$  is the interaction between status and cross,  
271 and  $\varepsilon_{ijkl}$  is the residual error.

272 Shell height data were visually assessed for normality and homogeneity of variance among  
273 factors, and residuals from models were visually examined for normality and homoscedasticity. For all  
274 tests, significance level = 0.05. All statistical analyses were performed in R (R Core Team, 2019).

275 **3. Results**

276 **3.1 Ploidy**

277 From flow cytometry, most samples from triploid crosses were verified triploid, and all samples  
278 from diploid crosses were verified diploid. In April of 2015, all oysters from triploid crosses were verified  
279 triploid (n=25) except VVM, where 24 of 25 were triploid and the other was diploid. In February/March  
280 2016, all triploids were verified triploid (n=15) except for LLM at PG and LLM at RR, where 14 of 15 were  
281 triploid and the other was diploid.

282 **3.2 Mortality**

283 Mean cumulative mortality for crosses at all sites ranged from 6% to 76% by the end of the field  
284 trial (November 2016) (Figure 3). At all sites, MM had the highest and VV had the lowest cumulative

285 mortality. A large increase in cumulative mortality was observed in triploid crosses at ND between April  
286 14 and June 7. During this time, cumulative mortality increased from 0% in all triploid crosses to 27% in  
287 VVV, 22% in VVM, and 17% in LLV (Figure 3). Increase in mortality for LLM was only 8%.

288 A significant interaction existed between site and cross during the window “triploid mortality”  
289 was expected (Table 1). At RR, PG, and OC, all crosses had a low probability of mortality (< 0.15) (Figure  
290 4). At ND, the probability of mortality was 0.01 for VV, 0.29 for VVV, 0.27 for VVM, 0.20 for LLV, and  
291 0.14 for LLM. The probability of mortality was significantly different between VV and the triploid crosses  
292 and was statistically indistinguishable among the triploid crosses (Figure 4).

293 There was a significant interaction between site and cross among the Virginia and Maine  
294 diploids (Table 1). Estimates of probability of mortality in Virginia and Maine diploids shared a similar  
295 pattern among sites (Figure 5). The VV cross always had the lowest probability of mortality (< 0.1) and  
296 was significantly different from other crosses within a site, with the only exception that VV and VM were  
297 statistically indistinguishable at OC. The hybrids (VM and MV) had a statistically indistinguishable  
298 probability of mortality within each site, and the probabilities for the hybrids ranged from 0.13 to 0.31.  
299 Maine diploids (MM) had the highest probability of mortality estimates, ranging from 0.46 to 0.76,  
300 which were significantly different from the other crosses within each site (Figure 5).

301 The Virginia-Maine hybrids exhibited mid-parent heterosis. In terms of cumulative mortality,  
302 heterosis accounted for 16% lower mortality at RR, 11% at ND, 5% at PG, and 20% at OC. Heterosis in  
303 probability of mortality accounted for 0.16 lower probability at RR, 0.11 at ND, 0.05 at PG, and 0.21 at  
304 OC.

305

### 306 **3.3 Pathology**

307 All triploids sampled on May 24 at ND were processed for histological analysis because of the  
308 spike in mortality in VVV, VVM, and LLV in late spring. Infection prevalence was low for *H. nelsoni* ( $\leq$

309 10%) in all three crosses and infection intensity in all but one oyster was light, with one light-to-  
310 moderate from an LLM individual (Table 2). No infections of *P. marinus* or *Haplosporidium costale* were  
311 detected, and no evidence of bacterial infections was observed in the cross section. Prevalence of  
312 chlamydia ranged from 5% to 29% among crosses. Prevalence of hemocytosis was low ( $\leq 10\%$ ) with light  
313 intensity (Table 2).

314 Samples of MM from ND and RR were processed for histological analysis because of high  
315 mortality. MM individuals were selected from August, a period immediately preceding the largest  
316 increase in cumulative mortality at these sites. No infections of *H. nelson* or *H. costale* were detected,  
317 however, infections of *P. marinus* were common, with incidences of 66% from RR and 60% from ND. For  
318 RR, intensities ranged from rare (90% of infections) to moderate-heavy (10% of infections). For ND,  
319 intensities ranged from rare to heavy, with moderate infections most common (44%).

320 **3.4 Live vs. Dead Triploids at ND: Shell Height**

321 Data for shell height of live and dead triploids collected on June 7, 2016 at ND were normally  
322 distributed and met the assumptions of homogeneity of variance among factors. Residuals from the  
323 ANOVA were normally distributed and homoscedastic. The number of dead oysters in a bag ranged  
324 from 3 to 26 (Table 3). The interaction of status (live or dead) and cross was significant ( $p < 0.05$ ). For  
325 only the LLV cross, median shell length of dead oysters (91 mm) differed by more than 5 mm than that in  
326 live oysters (83 mm) (Figure 6).

327 **3.5 Shell Height**

328 Mean shell height of each cross ranged from 22 mm to 27 mm prior to field deployment in June  
329 2015. In February/March at the start of the experiment, mean shell height of crosses at all sites ranged  
330 from 44 mm to 82 mm (Figure 7). VVV had the greatest mean shell height at RR with  $82 \text{ mm} \pm 2$   
331 (standard error) and ND with  $79 \text{ mm} \pm 2$ , LLV had the greatest at PG with  $63 \text{ mm} \pm 3$ , and LLM had the

332 greatest at OC with 74 mm  $\pm$  4. At all sites in February/March 2016, MM had the lowest mean shell  
333 height (RR: 60 mm  $\pm$  2), (ND: 57 mm  $\pm$  2), (PG: 44 mm  $\pm$  1), (OC: 58 mm  $\pm$  2).

334 In August of 2016, mean shell height among crosses at each site ranged from 61 mm to 91 mm,  
335 with LLM at ND having the greatest mean shell height and MM at PG having the lowest mean shell  
336 height (Figure 7). Shell height data for August 2016 was normally distributed and met the assumption of  
337 homogeneity of variance. The ANOVA revealed cross ( $F=16.9$ ,  $p< 0.05$ ) and site ( $F=41.3$ ,  $p< 0.05$ ) were  
338 significant, but the interaction was not significant ( $F=1.5$ ,  $p=0.07$ ) (Table 4). Least square means for shell  
339 height of each cross and each site were determined from the ANOVA (Table 4). Overall, LLM had the  
340 greatest mean shell height (84 mm), and MM had the smallest mean shell height (68 mm). For sites,  
341 oysters at RR had the greatest mean shell height (84 mm) and oysters at PG had the smallest mean shell  
342 height (71 mm).

343 Results from Tukey's HSD test for comparisons for RR minus other sites were 2 mm, 13 mm, and  
344 6 mm for ND, PG, and OC, respectively. The 95% confidence intervals that did not contain zero were for  
345 RR vs. PG and RR vs. OC (Table 4).

346 **3.6 Meat Weight**

347 Overall, mean meat weight ranged from 1.1 g (MM, PG, March) to 12.4 g (LLM, ND, June)  
348 throughout the experiment (Figure 8). Variation in the range of meat weight was about the same and  
349 highest at RR (9.1 g) and ND (9.5 g), followed by OC (7.2 g), and lowest at PG (4.9 g).

350 In general, the difference in mean meat weight between diploids and triploids was greater in  
351 summer than spring (Figure 8). In early May, mean meat weight of VVV was greater than VV by 27% at  
352 RR, 15% at ND, 20% at OC, and 6% at PG. Mean meat weight substantially decreased in most of the  
353 diploid crosses from early May to August. Among all diploid crosses, mean meat weight decreased by  
354 29% at RR, 36% at ND, 37% at OC, and 33% at PG. During the same time, mean meat weight among all  
355 triploid crosses at RR, ND, OC, and PG changed by +8%, -12%, +1%, and +27%, respectively. By August,

356 the mean meat weight of VVV was greater than of VV by 51% at RR, 80% at ND, 53% at OC and 24% at  
357 PG.

358 **3.7 Environmental Conditions**

359 Average daily temperatures ranged from -1.7 °C during winter to 33.4 °C in the summer. No  
360 major differences were observed in average daily temperature among the experimental sites from the  
361 available data (Figure 9). Some comparisons among sites were not possible due to gaps in the data  
362 (Figure 9). During the period of the spike in mortality at ND (May 10-June 27), average daily  
363 temperatures were within 1°C at all sites (Table 5).

364 The mean of average daily salinities was within 1 ppt at ND, PG, and OC (Figure 10). Average  
365 daily salinities ranged from 16.7 ppt to 19.5 ppt at ND, 16.3 ppt to 19.7 ppt at PG, and 16.4 ppt to 21.3  
366 ppt at OC. Average daily salinity was lower for RR, ranging from 11.4 ppt to 16.3 ppt. A large decrease  
367 in salinity from 16.3 ppt to 12.6 ppt was measured July 15 to July 16, caused by a rainfall event.

368 Dissolved oxygen concentration, pH, chlorophyll *a*, and turbidity monitored from May 10 to July  
369 12 at ND and PG are reported in Appendix Figures A.1, A.2, A.3, and A.4. ND had the lowest average  
370 daily concentration of dissolved oxygen in May but was never lower than 6 mg/L. Average daily pH  
371 ranged from 7.7 to 8.3 at both sites and was lowest at ND on May 31 (7.7) and lowest at PG on July 4  
372 (7.7). The range of average daily concentration (mg/L) of chlorophyll *a* was higher at ND (2.3 to 8.0)  
373 than PG (1.0 to 3.2). Turbidity, in Nephelometric Turbidity Units, was higher on average at ND (5.8) than  
374 PG (1.1) for the time both had measurements (May 10 to June 27), although the maximum turbidity was  
375 greater at PG (115.2) than ND (75.3).

376 **4. Discussion**

377 **4.1 Triploid Mortality**

378 The primary objective in this study was to investigate the cause of the recurring mortality events  
379 of triploid *C. virginica*, or triploid mortality, in the lower Chesapeake Bay. Triploid mortality observed in

380 this project was expected to match reports from farmers in previous years (K. Hudson, pers. comm.,  
381 Guévérou et al., 2019). Most reports indicated mortalities would occur in near market sized oysters in  
382 late spring (May-June), many (>20%) would die within a matter of weeks, and then relatively little  
383 mortality would occur throughout the summer.

384 A mortality event fitting the description of triploid mortality was observed only at Nandua Creek  
385 (ND). Between March and the end of June at ND, mortality ranged from 15 to 29% in the triploid  
386 crosses. There was a small increase in cumulative mortality in these crosses for the subsequent four  
387 months of the trial (< 10%). This mortality event was mild compared to previous reports from some  
388 farmers in 2014 (Guévérou et al., 2019) and 2019 (K. Hudson, pers. comm.), however the timing and  
389 brief period of the event suggest it is a signature example of triploid mortality.

390 The onset of the mortality event at ND occurred between May 10 to May 24, when the mean of  
391 the average daily temperature was approximately 20°C, and ended between June 7 and June 27, when  
392 the mean of the average daily temperature was approximately 27°C. Temperature and salinity,  
393 however, were similar between ND and the other nearby Eastern Shore sites throughout the field trial.  
394 DO concentration and pH were similar between ND and PG, but triploid mortality obtained only at ND.  
395 As Guévérou et al. (2019) suggested, environmental conditions implicated in summer mortality of *C.*  
396 *gigas*, such as quantity of food available and stressors from the sediment (Samain and McCombie,  
397 2008), are likely worthy candidates of investigation for future study into the etiology of triploid  
398 mortality.

399 The results from this trial demonstrate that triploid mortality in the Chesapeake Bay involves  
400 more than a specific cross or brood stock source. The severity of triploid mortality was measured as the  
401 probability of mortality between February/March to the end of June. Despite their heterogeneous  
402 genetic lineage, the severity of triploid mortality among VVV, VVM, LLV, and LLM was statistically  
403 indistinguishable at the only site with substantial mortality in the spring (>20%). Guévérou et al. (2019)

404 also measured mortality among different triploid crosses in late spring in the Chesapeake Bay, however  
405 the triploids differed only by the source of diploid brood stock. Guévérou et al. (2019) reported triploids  
406 made from a Maine diploid, or “Northern cross,” tended to have the lowest mortality across sites and  
407 triploids made from DEBY tended to have the highest. The test of genetic lineage in Guévérou et al.  
408 (2019), however, was not ideal because the crosses were not controlled, the seed were obtained from  
409 multiple commercial hatcheries, and the seed varied in age. Through simultaneous, controlled crosses,  
410 the present study found that neither a genetically distinct source of diploid brood stock (V or M) nor  
411 genetically distinct source of tetraploid brood stock (VV or LL) significantly affected the severity of  
412 triploid mortality.

413 Like Guévérou et al. (2019), the present study found no support for the hypothesis that genes  
414 passed by the “Northern diploid” are a cause of triploid mortality. Some of the other findings of  
415 Guévérou et al. (2019) are similar to this study: observed mortality on commercial sites on the bay-side  
416 of the Eastern Shore that matched previous reports of triploid mortality, found no relationship between  
417 mortalities and infections from *H. nelsoni* or *P. marinus*, and found, within crosses, the largest oysters  
418 were not especially susceptible to mortality in late spring.

419 The current study controlled for many of the factors that confounded the “rapid institutional  
420 response” reported by Guévérou et al. (2019). Still, the study presented here had its own design  
421 limitations. Each cross in the current study was produced by a single mass spawn. Replication of these  
422 spawns with multiple independent crosses would have provided more precise estimation of a brood  
423 stock effect (e.g. Dégremont et al. 2012). Regarding genetic effects, future research on triploid mortality  
424 should shift the focus from mass spawn studies to estimating variance in groups of siblings, or families,  
425 in order to possibly uncover a genetic basis for susceptibility to triploid mortality. High heritability for  
426 survival related to summer mortality has been observed in *C. gigas* (Dégremont et al., 2007).

427 Is “triploid mortality” truly *triploid* mortality? There has been scant data for mortality rates in  
428 diploids during a triploid mortality event. Results from Guévérou et al. (2019) were ambiguous: between  
429 the two sites with the signature triploid mortality pattern, Nassawadox Creek, diploids and triploids had  
430 similar mortality at Nassawadox Creek, while diploids had much lower mortality than triploids at  
431 Pungoteague Creek. In this study, where only one site had the signature triploid mortality, only the  
432 triploids were affected. The VV cross had less than 2% mortality during the triploid mortality event at  
433 ND. Clearly, the conditions causing the triploid mortality event at ND did not cause a similar mortality  
434 event in the diploid control group. From these results, we hypothesize that conditions inducing triploid  
435 mortality events in the Chesapeake Bay do not cause mass mortality in diploids and suggest classifying  
436 the events as “triploid mortality” is justified. Additional data from controlled field tests involving a  
437 greater variety of sites and diploids, and in which triploid mortality events occur, are required to further  
438 evaluate this hypothesis.

439 High mortality in triploid oysters on farms in the Southeastern United States have invited  
440 comparisons with triploid mortality in the Chesapeake Bay. In 2016, oyster farmers in Alabama and  
441 Louisiana observed unexpectedly high mortality in triploid stocks (Wadsworth et al., 2019). The  
442 mortality was investigated in a follow-up study by Wadsworth et al. (2019) in which the survival of  
443 diploids and triploids was tracked at commercial sites. Wadsworth et al. (2019) found high mortality at  
444 several of the sites and higher cumulative mortality in the triploids than diploids at most sites.

445 It is not clear if mortalities reported in Wadsworth et al. (2019) represent triploid mortality as it  
446 has been defined in the Chesapeake Bay. A major difference is that Wadsworth et al. (2019) recorded  
447 well-known stressors around the time of mortality events. Sudden drops in salinity, periods of very low  
448 salinity (< 5 ppt) and high temperature (> 30°C), and strong disease pressure from *P. marinus* were  
449 associated with mortality in Wadsworth et al. (2019a) , all of which have been absent in the recent  
450 investigations in triploid mortality in the lower Chesapeake Bay. Instead of classic “triploid mortality” as

451 defined in the Chesapeake Bay, differential mortality in Wadsworth et al. (2019) could have been due to  
452 the diploids having higher resistance to stress from low salinity and *P. marinus*.

453 Susceptibility to triploid mortality, like susceptibility to mortality owing to pathogens such as  
454 OsHV-1 μvar (Azéma et al., 2016; Petton et al., 2015), *Vibrio aestuarianus* (Azéma et al., 2016), and  
455 *Roseovarius crassostreae* (Bricelj et al., 1992; Ford and Borrero, 2001), likely varies based on ontogeny.  
456 Reports from farmers have suggested that while market or near-market oysters die during triploid  
457 mortality events, juvenile oysters or seed have low mortality. For this reason, only oysters older than  
458 one year were used during the field trials in Guévelou et al. (2019) and the current study. The factors  
459 involved in this ontogeny-based susceptibility are not clear, however one explanation is that  
460 susceptibility is positively related with reproductive effort. Reproductive effort, which has been linked  
461 to vulnerability to bacterial infections (De Decker et al., 2011; Wendling and Wegner, 2013) and  
462 “summer mortality” in *C. gigas* (e.g. Koganezawa, 1975; Samain and McCombie, 2008), is expected to  
463 increase with age in oysters (Bayne, 2017). Although triploid oysters are considered reproductively  
464 sterile, they may expend considerable reproductive effort during gametogenesis (Allen and Downing,  
465 1990; Jouaux et al., 2010).

466 The closest analogy for triploid mortality in the Chesapeake Bay may be “summer mortality”  
467 observed in diploid *C. gigas*. Summer mortality episodes have regularly occurred in Japan (Koganezawa,  
468 1975; Takeuchi et al., 1960; Ventilla, 1984), Western Europe (Cotter et al., 2010; Gouletquer et al.,  
469 1998; Parache, 1989; Samain and McCombie, 2008; Watermann et al., 2008), and the west coast of the  
470 US (Cheney, 2000; Glude, 1975; Perdue et al., 1981), often resulting in high crop losses (> 50%) of adult  
471 diploid *C. gigas* during the summer months. Like triploid mortality, many cases occur within a period of  
472 several weeks (e.g. Cheney 2000, Ropert et al. 2008, Cotter et al. 2010), and are not typically explained  
473 by extreme environmental conditions or intense disease pressure (Cheney, 2000; Glude, 1975;  
474 Koganezawa, 1975; Ropert et al., 2008).

475           The important overlap between summer mortality and triploid mortality may be in their  
476   generalized etiology. Summer mortality was originally suspected to be due to a “physiological disorder”  
477   that arose when warm temperatures and eutrophic conditions led to extensive gonad formation  
478   (Koganezawa, 1975), and additional research has repeatedly associated physiological processes of  
479   gametogenesis and spawning with summer mortality (Cotter et al., 2010; Perdue et al., 1981; Samain et  
480   al., 2007; Samain and McCombie, 2008). Physiological dysfunction related to gametogenesis and  
481   spawning may also be causing triploid mortality. Triploid mortality consistently occurs in late spring,  
482   when the physiology of oysters in the Chesapeake Bay is expected to be strongly influenced by  
483   gametogenesis and spawning. In oysters, it has been well documented that gametogenesis and  
484   spawning are also strongly influenced by environmental conditions (Delaporte et al., 2006; Dutertre et  
485   al., 2010, 2009; Liu et al., 2010) that can explain the strong site and inter-annual effects of summer  
486   mortality (Glude, 1975; Ropert et al., 2008) and triploid mortality.

487           A connection between gametogenesis and mortality in triploid *C. virginica* has been recently  
488   examined. Guévelou et al. (2019) raised the question of whether “the degree of triploid gametogenesis  
489   and reproduction” effects mortality, and Wadsworth et al. (2019) examined gonad development as a  
490   potential factor to explain mortality in triploids. Both found no evidence for an association between  
491   gonad development in triploids and mortality, however they both primarily classified gonad  
492   development using methods developed for diploid oysters. Triploid oysters have much different gonad  
493   development than diploids (Allen and Downing, 1990; Barber and Mann, 1991; Guévelou et al., 2019;  
494   Jouaux et al., 2010; Lee, 1988), and thus precision to detect differences may be lacking in a classification  
495   system developed for diploids. Further study in triploid mortality would benefit from a more specific  
496   classification system for gonad development in triploid *C. virginica*, which may reach the precision  
497   needed to detect a relationship between gametogenesis and susceptibility.

498

499     **4.2 Mortality in Virginia and Maine Diploids**

500         The control for triploid mortality in this study was the diploid DEBY (VV) cross. Diploids with a  
501         Maine genetic origin (VM, MV, and MM) were produced as an experiment. The VV cross exhibited low  
502         mortality while the Virginia-Maine hybrids and Maine diploids had significantly higher mortality. MM  
503         had especially high mortality and the most cumulative mortality at all sites by a wide margin (>20%).

504         In general, mortality in diploids with a Maine genetic origin was gradual and most of the  
505         mortality occurred in late summer. Gradual mortality over the summer is not unusual for oysters in the  
506         Chesapeake Bay, in part because disease pressure from *P. marinus* is expected to increase over summer  
507         and near a maximum by late summer (Burreson and Ragone Calvo, 1996). Diploids with Maine genetic  
508         origin were expected to be susceptible to *P. marinus* and have general unsuitability to warm estuarine  
509         conditions of the Chesapeake Bay. The Maine brood stock used in this study had been selected in a  
510         region with higher salinity, lower temperatures, and less *P. marinus* pressure than the sites in this study  
511         (e.g. Proestou et al., 2016). Many of the MM diploids (60 to 66%) were infected with *P. marinus* when  
512         examined in August, suggesting *P. marinus* may have been responsible for at least some of the mortality  
513         in the diploids with Maine genetic origin.

514         For mortality among the diploid constructs, Virginia-Maine reciprocal hybrids were statistically  
515         indistinguishable within sites. Differences between reciprocal hybrids have been observed in shellfish in  
516         the larval stage and may often be attributable to variance in egg quality (Cruz and Ibarra, 1997; Deng et  
517         al., 2005). Some studies, however, have also observed reciprocal effects in adults or juveniles, such as  
518         yield in *C. gigas* (Hedgecock and Davis, 2007), growth in the disk abalone *Haliotis discus hannai* (Deng et  
519         al., 2010), and growth and survival in the Atlantic deep-sea scallop *Placopecten magellanicus* (Wang and  
520         Côté, 2012).

521         The hybrids exhibited heterosis for mortality at all sites. Heterosis was defined by the deviation  
522         of the mean of the reciprocals from the mean of their parental populations (Falconer, 1981), often

523 referred to as mid-parent heterosis. Heterosis was for higher survival, in the direction of the selectively  
524 bred VV line, or DEBY line. DEBY has been selected for resistance to the major diseases in the  
525 Chesapeake Bay since about 1987, or about 14 generations (Ragone Calvo et al., 2003). Our hypothesis  
526 is that disease resistance from the DEBY contributes more than just an additive effect, perhaps by  
527 improving the overall health of the hybrid beyond fending off disease, *per se*. Callam et al. (2013)  
528 showed that triploids made from disease resistant tetraploids resulted in nearly completely resistant  
529 progeny no matter the source of the diploid parent, be it wild or selectively bred, also suggesting effects  
530 other than the additivity of disease resistance.

531

#### 532 **4.2 Growth & Condition**

533 Shell height was a minor source of variation among crosses in this field trial and was similar in  
534 diploids and triploids. The variation can be attributed largely to the MM cross, the slowest growing cross  
535 by a wide margin. The most direct comparison between triploid and diploids was afforded by VVV  
536 versus VV crosses because of the similarity of pedigree between the tetraploid and diploid parents that  
537 comprised the triploid: mean shell height of VVV was only 3 mm greater than that of VV by August 2016  
538 (18 months post-spawn).

539 Triploid *Crassostrea* spp. generally seem to grow substantially faster than their diploid  
540 counterparts based on shell height (Dégremont et al., 2012; Matthiessen and Davis, 1992; Nell and  
541 Perkins, 2005; Qin et al., 2019), however, several studies, including the current one, observed only a  
542 modest difference (Barber and Mann, 1991; Callam et al., 2016; Hand et al., 1998; Stone et al., 2013).  
543 Some authors have suggested the growth advantage in triploid oysters depends on the favorability of  
544 the growing conditions (Davis, 1994; Guo et al., 2009). Callam et al. (2016) suggested stress from low  
545 salinity inhibited triploids from growing faster than diploids at a low salinity site and drew a parallel with  
546 results from Davis (1994), which found *C. gigas* triploids only performed better than diploids at more

547 productive sites. This explanation, however, does not account for the small difference in shell height  
548 between triploids and diploids from selectively bred lines at the more mesohaline site in Callam et al.  
549 (2016), and contrasts with findings from Ibarra et al. (2017). Ibarra et al. (2017) found mated triploid *C.*  
550 *gigas* grew substantially faster than diploids at two tropical sites, characterized by low productivity and  
551 high temperatures, but not at a more productive temperate site. In this study, although PG seemed to  
552 be a less favorable growing site, there was only a small difference (> 10 mm) in mean shell height  
553 between VVV and VV at all sites by August.

554 Meat weight provided a clearer distinction between diploids and triploids in this field trial. Meat  
555 weight fluctuates during the year due to physiological changes in the oyster, such as, gametogenesis,  
556 spawning, and rebuilding of biochemical reserves (Loosanoff, 1942; Thompson et al., 1996). Mean meat  
557 weight decreased sharply in the diploids between May and August, which is likely attributable to  
558 spawning, which in *C. virginica* generally occurs between May and September in the Chesapeake Bay  
559 (Kennedy and Krantz, 1982). For triploids, mean meat weight was stable during this time, which  
560 demonstrates triploid *C. virginica* maintain high meat quality during the spawning season similar to  
561 previous findings in *C. gigas* (Allen and Downing 1986, Allen, 1988).

562 Despite the maintenance of body weight in triploids, spawning activity cannot be ruled out.  
563 Significant variation in fecundity of triploids has been observed (Allen and Downing, 1990; Jouaux et al.,  
564 2010), with some triploids producing significant amounts of gametes and spawning concurrently with  
565 diploids, while other triploids are virtually sterile. Allen and Downing (1990, 1986) found triploid *C.*  
566 *gigas* to have stable mean meat weight during the spawning interval for diploids, despite histological  
567 evidence that some triploids spawned. Normand et al. (2008) also inferred that triploid *C. gigas*  
568 spawned within the same time interval as diploids based on histological evidence. In their study, dry  
569 meat weight decreased substantially in triploids in parallel with diploids. Histological assessment is  
570 required to more accurately estimate the gonad development and spawning activity in the triploids.

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572  
573

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586

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828 Table 1. Results from Type III Wald tests from the mixed effects logistic regression models to compare  
829 "triploid mortality" and mortality among diploids made from Virginia and Maine brood stock. df:  
830 degrees of freedom, Chisq: Chi-squared test statistic, p: p value at  $\alpha=0.05$ .  
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<u>Model</u>	<u>Factor</u>	<u>Chisq</u>	<u>df</u>	<u>p</u>
Triploid Mortality	Cross	15.5	4	< 0.05
	Site	9.1	3	< 0.05
	Cross x Site	33.9	12	< 0.05
Virginia and Maine Diploids	Cross	174.6	3	< 0.05
	Site	2.6	3	0.45
	Cross x Site	35.3	9	< 0.05

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Table 2. Prevalence (P %) and weighted prevalence (WP) of infections of *Haplosporidium nelsoni*, *Perkinsus marinus*, *Haplosporidium costale*, nematopsis, chlamydia, and hemocytosis determined via histology for *Crassostrea virginica* sampled on May 24 and August 10 at Nandua Creek (ND) and August 8 at Rappahannock River (RR). Only prevalence was measured for nematopsis and chlamydia. n: number of observations. Abbreviations for crosses are found in Figure 1: L=Louisiana, M=Maine, V=Virginia.

			<i>H. nelsoni</i>		<i>P. marinus</i>		<i>H. costale</i>		Nematopsis	Chlamydia	Hemocytosis	
Date	Site	Cross	n	P (%)	WP	P (%)	WP	P (%)	P (%)	P (%)	P (%)	WP
May	ND	VVV	21	0	0	0	0	0	5	14	5	0.1
	ND	VVM	21	5	0.05	0	0	0	0	5	10	0.2
	ND	LLV	21	5	0.05	0	0	0	0	29	5	0.1
	ND	LLM	21	10	0.1	0	0	0	0	24	10	0.2
Aug	RR	MM	15	0	0	66	0.9	0	0	0	13	0.3
	ND	MM	15	0	0	60	1.5	0	7	13	20	0.4

Table 3. Number of dead oysters per bag from each cross and results from the ANOVA for shell height (mm) of live and dead triploid *Crassostrea virginica* sampled from Nandua Creek on June 7, 2016. Seven live oysters were sampled from each bag. df: degrees of freedom, SS: sums of squares, MS: mean sums of squares. Abbreviations for crosses are found in Figure 1: L=Louisiana, M=Maine, V=Virginia.

Dead Oysters					
<u>Cross</u>	<u>Bag 1</u>	<u>Bag 2</u>	<u>Bag 3</u>		
VVV	17	23	26		
VVM	24	14	9		
LLV	8	7	13		
LLM	8	7	3		

ANOVA					
<u>Factor</u>	<u>df</u>	<u>SS</u>	<u>MS</u>	<u>F</u>	<u>p</u>
Status	1	34	34	0.31	0.6
Cross	3	2625	875	7.85	< 0.05
Bag <sub>Cross</sub>	2	166	83	0.75	0.5
Status x Cross	3	1120	373	3.35	< 0.05
Residuals	233	25977	111		

Table 4. Results from the ANOVA for shell height (mm) in August of 2016 for *Crassostrea virginica* crosses and sites, least square means from the ANOVA, and Tukey's Honest Significant Difference (HSD) test for VVV and VV among all other crosses and RR among all other sites. Results from Tukey's HSD test are reported with 95% family-wise confidence intervals in parentheses. df: degrees of freedom, SS: sums of squares, MS: mean sum of squares,  $\bar{x}$ : sample mean, ci: 95% confidence interval. Abbreviations for crosses are found in Figure 1: L=Louisiana, M=Maine, V=Virginia. Sites are RR=Rappahannock River, ND=Nandua Creek, PG=Pungoteague, and OC=Occahannock Creek.

Shell Height: August 2016								
ANOVA								
<u>Factor</u>	<u>df</u>	<u>SS</u>	<u>MS</u>	<u>F</u>	<u>p</u>			
Cross	7	11100	1586	16.9	< 0.05			
Site	3	11610	3870	41.3	< 0.05			
Cross x Site	21	2981	142	1.5	0.07			
Residuals	449	42069	94					
Least Square Means: (Cross)								
	<u><math>\bar{x}</math></u>	<u>ci</u>						
LLM	84	82, 87						
VVV	82	80, 85						
LLV	82	79, 84						
VVM	80	78, 83						
VV	79	76, 81						
MV	77	74, 79						
VM	75	72, 77						
MM	68	66, 71						
Multiple Comparisons of Means: Tukey Contrasts (Cross)								
	VVV	VVM	LLV	LLM	VV	VM	MV	MM
VVV	--	2 (-3, 7)	0 (-5, 5)	-2 (-7, 3)	4 (-2, 9)	7 (2, 13)	5 (0, 10)	14 (9, 20)
VV	--	-2 (-7, 3)	-3 (-9, 2)	-6 (-11, 0)	--	4 (-2, 9)	2 (-4, 7)	10 (5, 16)
Least Square Means: (Site)								
	<u><math>\bar{x}</math></u>	<u>ci</u>						
RR	84	82, 85						
ND	82	80, 84						
OC	77	76, 79						
PG	71	69, 73						
Multiple Comparisons of Means: Tukey Contrasts (Site)								
	RR	ND	PG	OC				
RR	--	2 (-1, 5)	13 (10, 16)	6 (3, 10)				

Table 5. Mean of average daily temperature values (°C) at all sites during the mortality event at ND (May 10-June 27). Means are reported within sampling visits to ND: from May 10 to May 24, from May 24 to June 7, and from June 7 to June 27. \*No data were available between May 24 and June 5 for RR. Sites are Rappahannock River (RR), Nandua Creek (ND), Pungoteague (PG), and Occohannock Creek (OC).

	May 10-May 24	May 24-June 7	June 7-June 27
RR	19.9*	--*	26.8
ND	19.6*	25.4*	26.5
PG	19.0*	25.4*	26.0
OC	19.3*	25.1*	26.3

Figure 1. The spawning design for *Crassostrea virginica* produced by crossing diploid oysters (2N) from Virginia (V) and Maine (M) in a 2x2 matrix, resulting in four diploid crosses (VV, VM, MV, and MM), as well as crossing the same female diploid oysters to male tetraploid oysters (4N) with Virginia (VV) and partial Louisiana (LL) origin to create four triploid crosses (VVV, VVM, LLV, and LLM).

		<u>2N</u>		<u>4N</u>	
		V	M	V	L
♀	♂	VV	MV	VVV	LLV
		VM	MM	VVM	LLM

Figure 2. Map of commercial farms where the eight crosses of *Crassostrea virginica* were reared from June 2015 to November 2016. Map produced using ArcGIS® software by Esri. 1: Rappahannock River (RR); 2: Occohannock Creek (OC); 3: Nandua Creek (ND); 4: Pungoteague (PG).

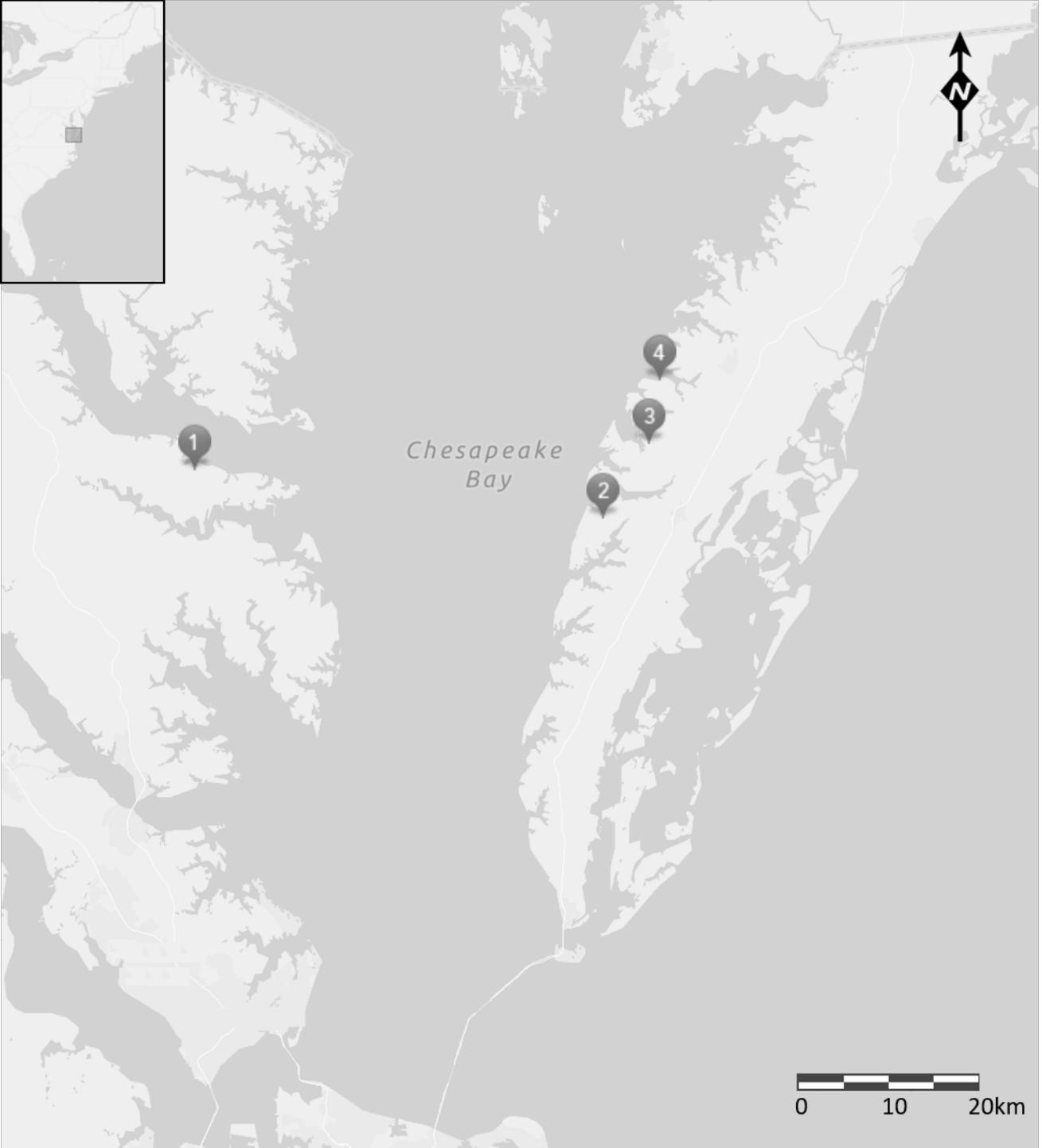


Figure 3. Mean cumulative mortality (%) in four triploid crosses (black) and four diploid crosses (white) of *Crassostrea virginica* at four sites in the Chesapeake Bay from April to November of 2016. Figure insets are closer views of cumulative mortality from early May to early June. Error bars represent  $\pm$  standard error. RR: Rappahannock River; ND: Nandua Creek; PG: Pungoteague; OC: Occohannock Creek. Abbreviations for crosses are found in Figure 1: L=Louisiana, M=Maine, V=Virginia.

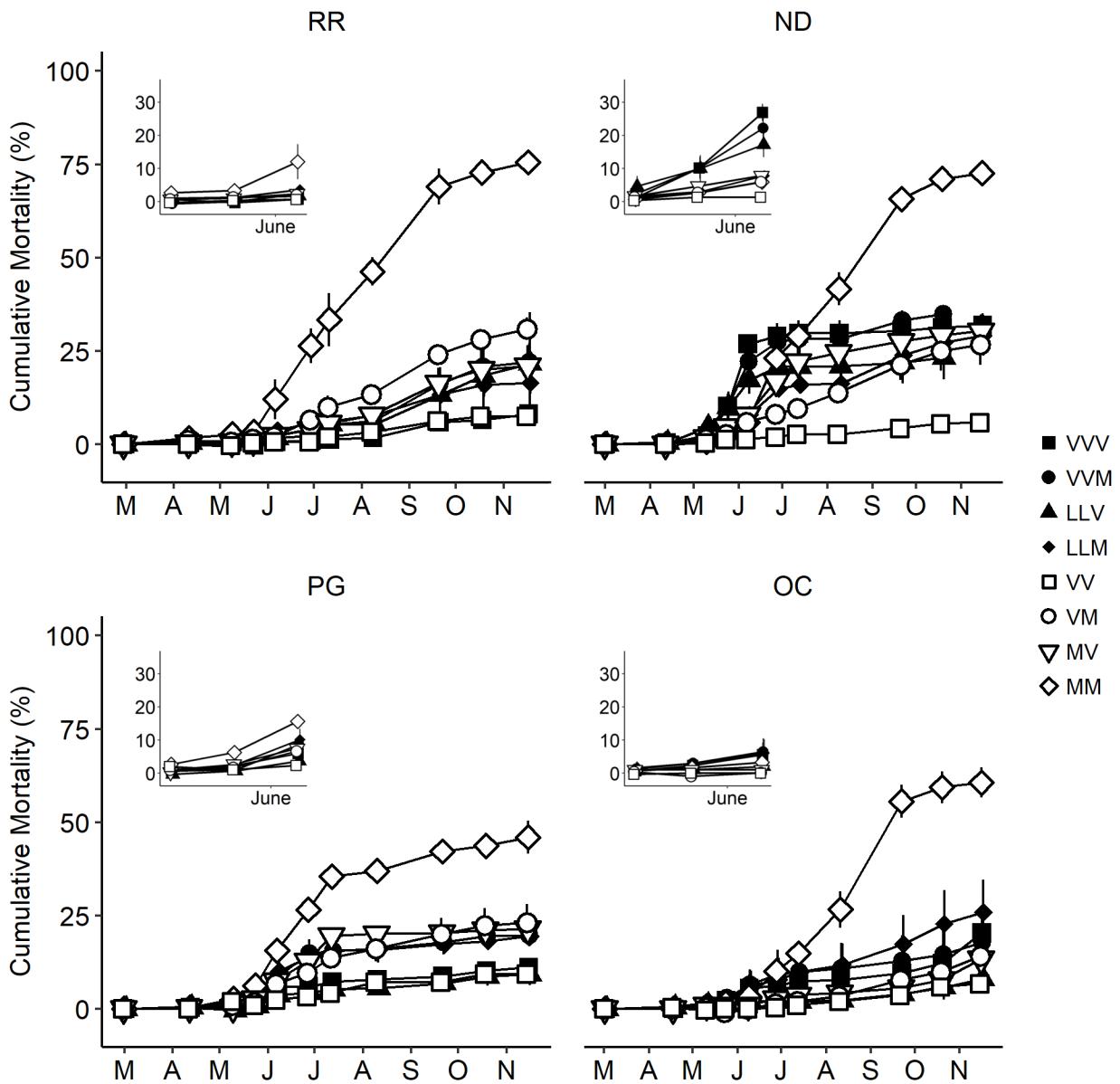


Figure 4: Probability of mortality estimate, 95% confidence intervals, and pair-wise comparisons using Tukey's Honest Significant Differences test from the mixed effect logistic regression for mortality of a diploid cross (white) and triploid crosses (black) of *Crassostrea virginica* at four sites in the Chesapeake Bay from February through June of 2016. Sites are Rappahannock River (RR), Nandua Creek (ND), Pungoteague (PG), and Occohannock Creek (OC). Pair-wise comparisons were only made within sites. Crosses that share a letter are not significantly different at  $\alpha = 0.05$ . Abbreviations for crosses are found in Figure 1: L=Louisiana, M=Maine, V=Virginia.

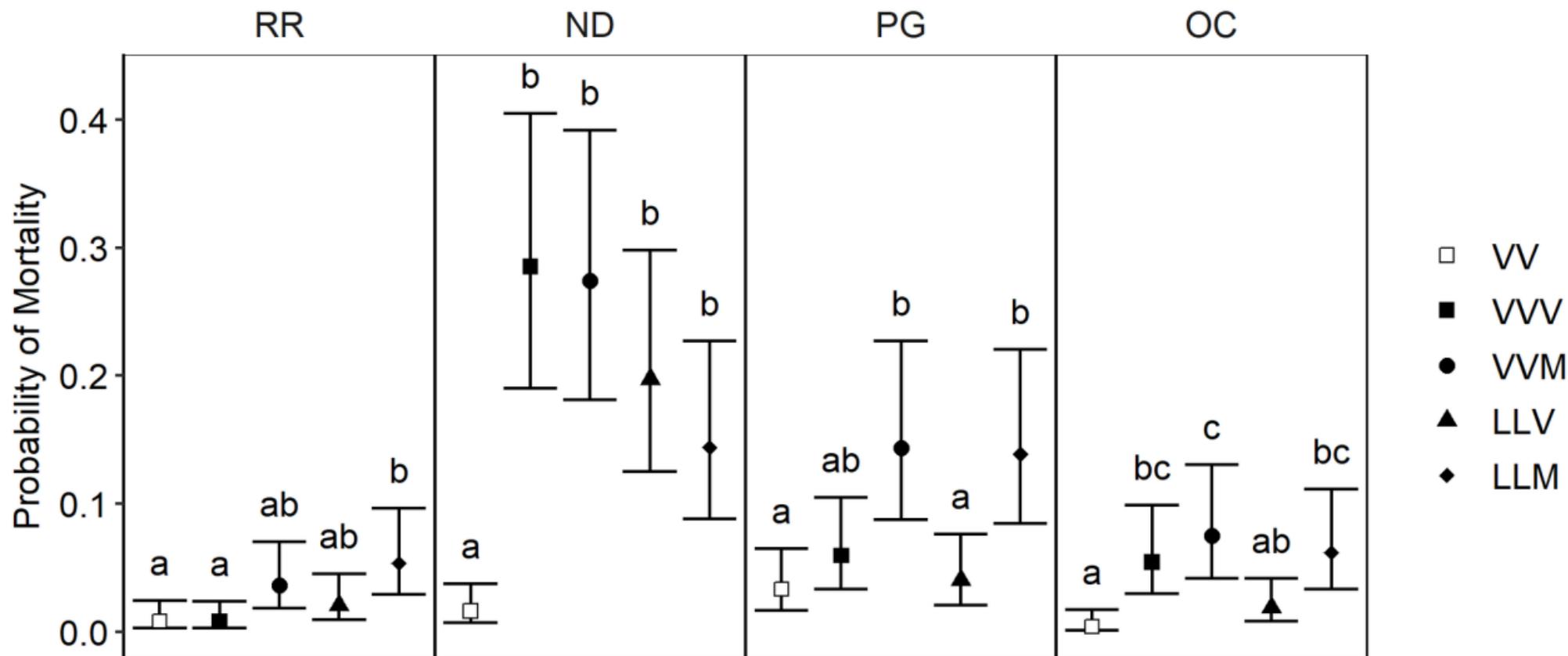


Figure 5: Probability of mortality estimates, 95% confidence intervals, and pair-wise comparisons using Tukey's Honest Significant Differences test from the mixed effect logistic regression for mortality of diploid crosses of *Crassostrea virginica* at four sites in the Chesapeake Bay from February to November of 2016. Sites are Rappahannock River (RR), Nandua Creek (ND), Pungoteague (PG), and Occohannock Creek (OC). Pair-wise comparisons were only made within sites. Crosses that share a letter are not significantly different at  $\alpha = 0.05$ . Abbreviations for crosses are found in Figure 1: M=Maine, V=Virginia.

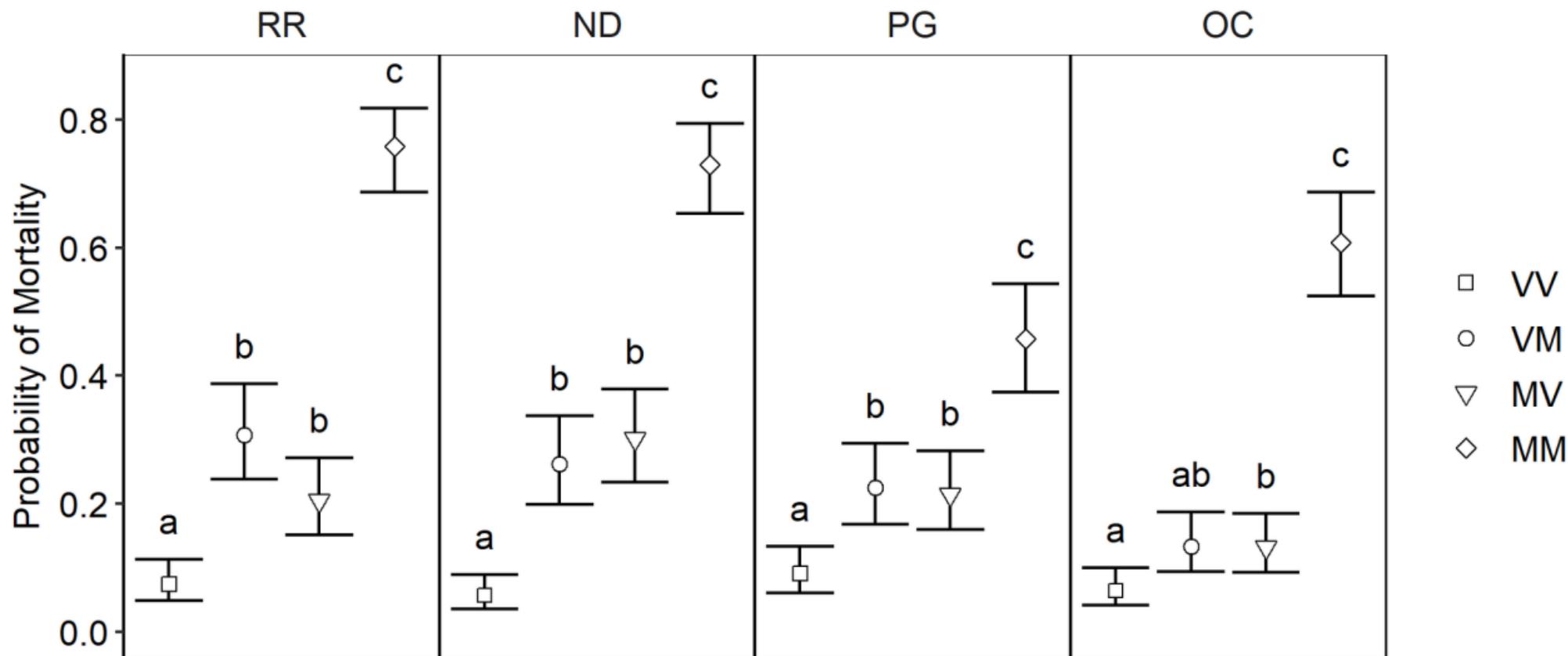


Figure 6. Shell length (mm) of live and dead *Crassostrea virginica* of four triploid crosses (VVV, VVM, LLV, LLM) sampled from Nandua Creek on June 7, 2016. Abbreviations for crosses are found in Figure 1: L=Louisiana, M=Maine, V=Virginia.

Length (mm)

110

90

70

VVV

VVM

LLV

LLM

Live  
Dead

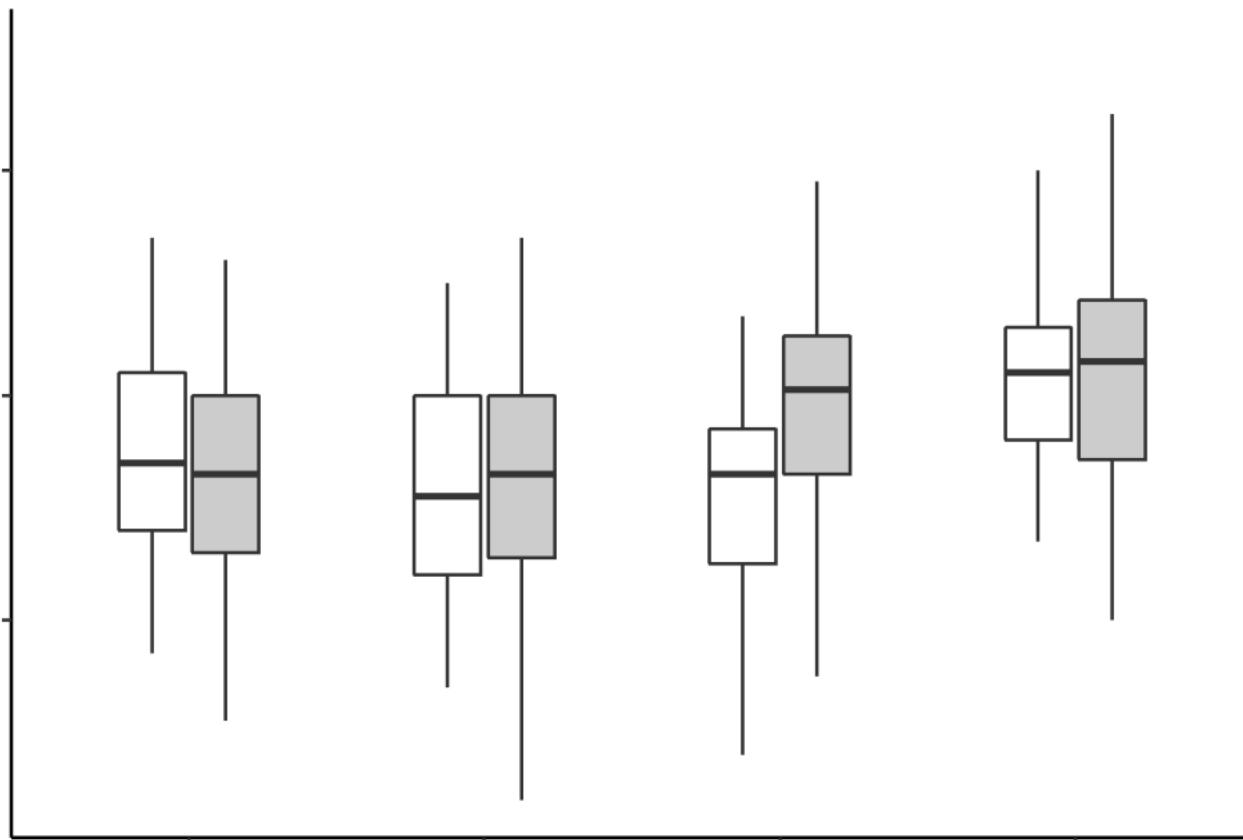


Figure 7. Mean shell height (mm) for four triploid crosses (black) and four diploid crosses (white) of *Crassostrea virginica* at each site at the start of the experiment in February/March 2016 and at the end of the experiment in August 2016. RR: Rappahannock River; ND: Nandua Creek; PG: Pungoteague; OC: Occohannock Creek. Abbreviations for crosses are found in Figure 1: L=Louisiana, M=Maine, V=Virginia.

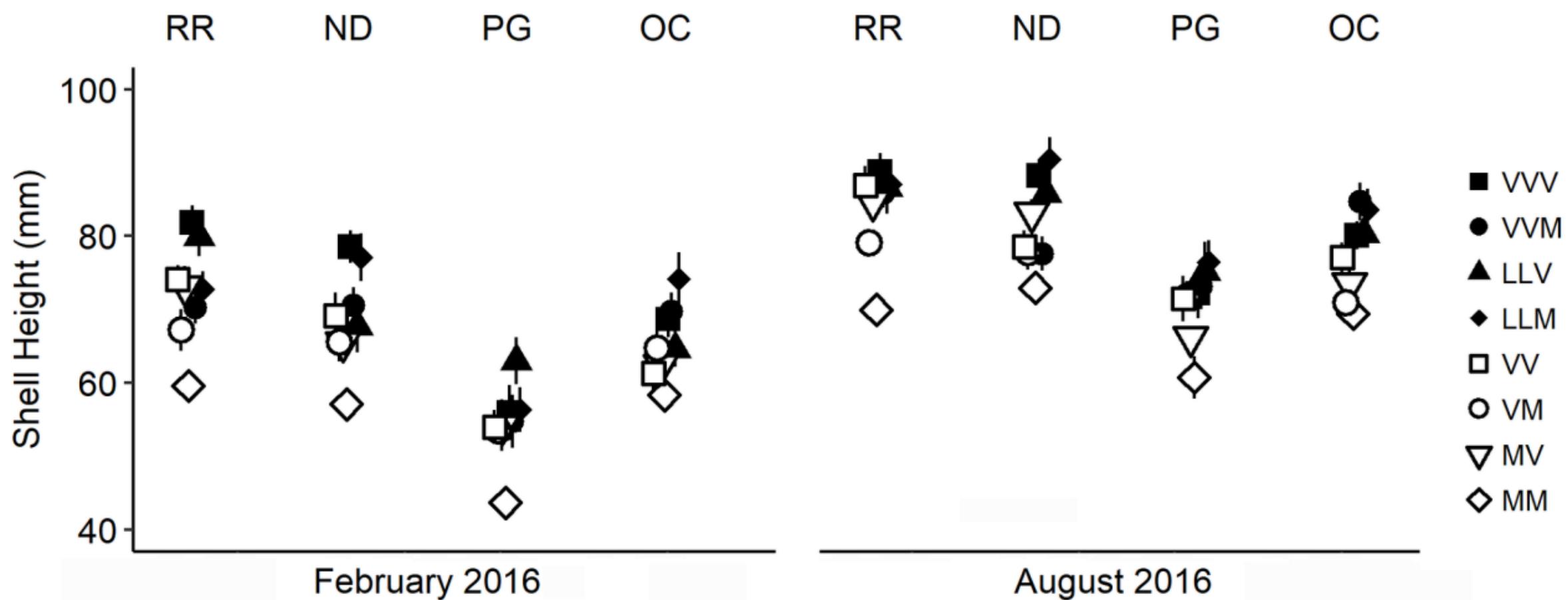


Figure 8. Mean meat weight (g) for four triploid (black) and four diploid (white) crosses of *Crassostrea virginica* reared at four sites from February-August of 2016. Error bars represent  $\pm$  standard error. RR: Rappahannock River; ND: Nandua Creek; PG: Pungoteague; OC: Occohannock Creek. Abbreviations for crosses are found in Figure 1: L=Louisiana, M=Maine, V=Virginia.

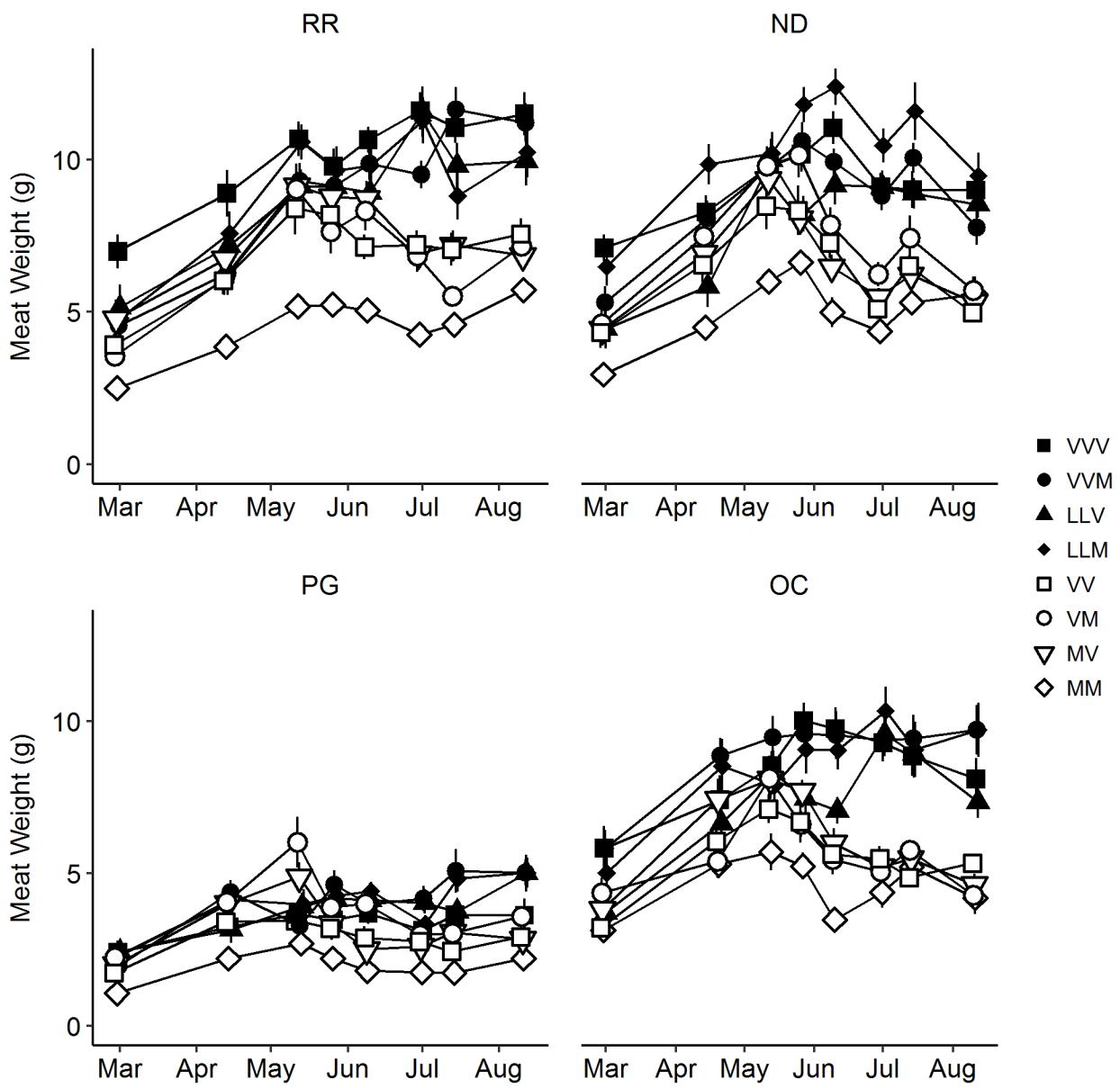


Figure 9. Average daily temperature (°C) from January 1 to August 10 of 2016 at each of the four sites where crosses of *Crassostrea virginica* were reared from June 2015 to August 2016. RR: Rappahannock River; ND: Nandua Creek; PG: Pungoteague; OC: Occohannock Creek.

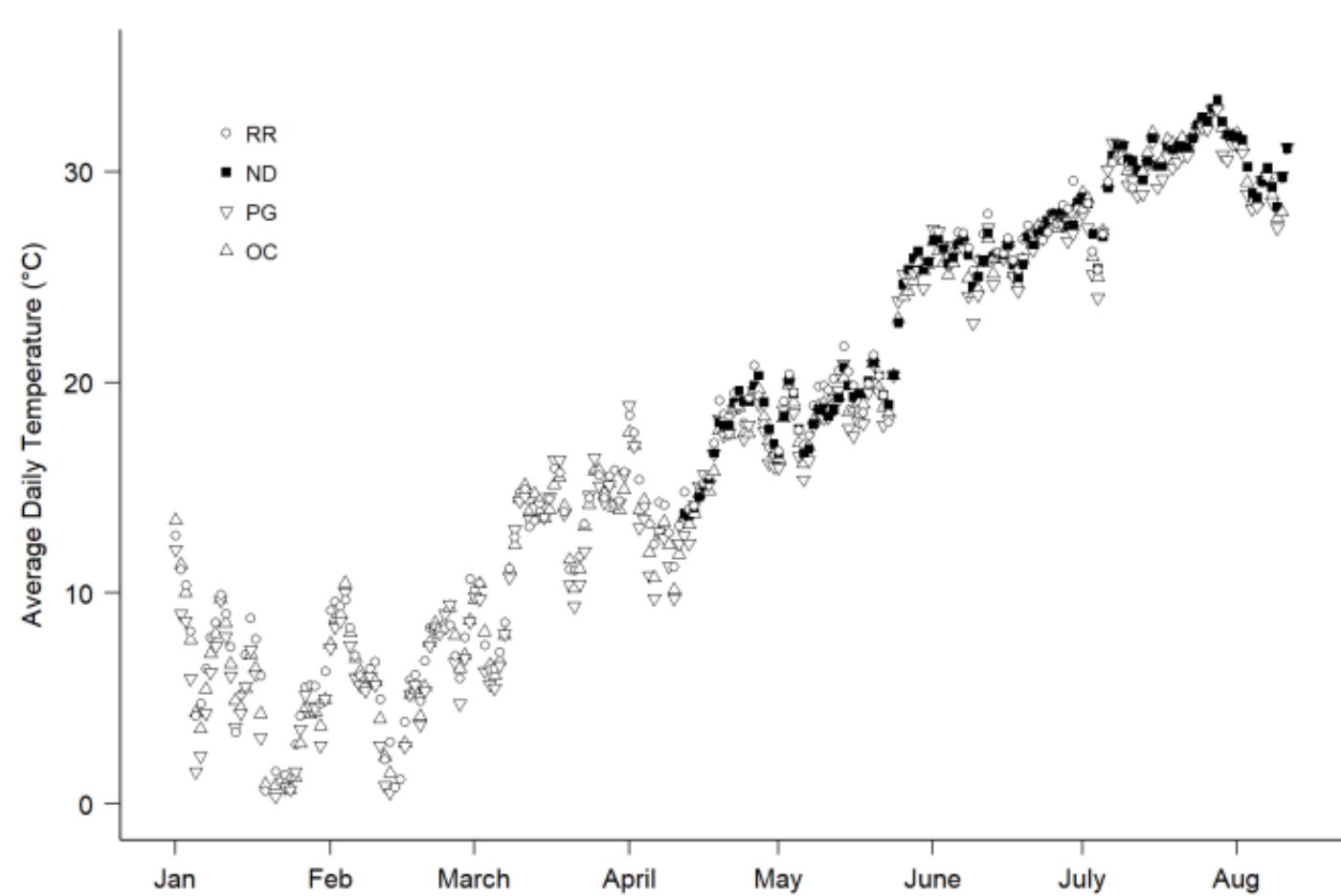


Figure 10. Average daily salinity (ppt) from May 10 to August 10, 2016 at each of the four sites where crosses of *Crassostrea virginica* were reared from June 2015-August 2016. RR: Rappahannock River; ND: Nandua Creek; PG: Pungoteague; OC: Occohannock Creek.

