

1 **Satellite-Observed Warm-Core Structure in Relation to Tropical Cyclone Intensity Change**

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32 **Abstract**

33  
34 Using a 13-year dataset of Atmospheric Infrared Sounder (AIRS) retrieved temperature  
35 profiles including 5019 AIRS overpasses in 1061 tropical storm through category-2 tropical  
36 cyclones (TCs) in global basins during 2002-2014, this study examines the relationship between  
37 the warm-core structure and TC intensity change with a focus on rapid intensification (RI). The  
38 AIRS TC overpasses are classified into RI, slowly intensifying (SI), neutral (N), and weakening  
39 (W) categories. The effect of the warm-core structure upon TC intensification is entangled with  
40 that upon TC intensity. It is necessary to exclude the weakening category in order to single out the  
41 relationship between TC intensification and warm-core structure from a statistical method. The  
42 composite warm-core maximum temperature anomaly is the strongest in RI storms (~7 K),  
43 followed by W (~6 K), SI (~5 K) and N (~ 4K) storms. RI storms have the highest equivalent  
44 potential temperature ( $\theta_e$ ) and CAPE in the eye among all intensity change categories. The warm-  
45 core structure of RI storms is asymmetric relative to shear, with the higher temperature anomaly  
46 and convective available potential energy (CAPE) located in the down-shear quadrant. When only  
47 considering samples with intensification rates  $\geq 0$ , a significant and positive correlation is found  
48 between the warm-core strength and TC intensification rate. The warm-core height is also  
49 positively correlated with the TC intensification rate at a high confidence level. The AIRS-  
50 derived warm-core temperature anomaly greater than 4 K and weighted warm-core height higher  
51 than 450 hPa are the necessary conditions for RI.

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56 **1. Introduction**

57 The prediction of tropical cyclone (TC) intensity change, especially rapidly intensification  
58 (RI), has proven to be a challenging problem, although the intensity guidance of TCs has  
59 improved substantially over the last several decades (DeMaria et al., 2014) due to more accurate  
60 numerical models and more satellite observations over the open ocean. It is well accepted that RI  
61 is more likely to occur under favorable environmental conditions, including a warm ocean surface  
62 and mixed layer, low environmental vertical wind shear, and high relative humidity, conditional  
63 instability, large scale upper-level divergence, and low-level convergence, etc. (Merrill 1988;  
64 Kaplan & DeMaria, 2003; Wang & Wu, 2004; Kaplan et al., 2010). However, the false-alarm  
65 ratio of forecasting algorithms using only environmental predictors remains undesirably high,  
66 especially during slowly intensifying events (Kaplan et al. 2010; Shu et al. 2012). Hendricks et al.  
67 (2010) found that similarly favorable environmental conditions are often present in both RI and  
68 slowly intensifying cases, suggesting that environmental factors alone are not sufficient for  
69 accurate forecast of RI, and the internal dynamic and thermodynamic processes may play a more  
70 important role in RI.

71 Convective and precipitation processes within the inner core region of TCs are well  
72 recognized to be important for RI. Recent studies have found that hot towers occurring within the  
73 inner core region were related to the intensification of TCs (Hendricks et al., 2004; Kelley et al.,  
74 2004, 2005; Montgomery et al., 2006; Houze et al., 2009; Jiang, 2012). For example, using 6  
75 years of the well-observed over flights of TCs by the TRMM precipitation radar, Kelley et al.  
76 (2004) argued that the chance of TC RI increased when one or more tall precipitation cells existed  
77 in the eyewall. Increased precipitation coverage has also been linked to RI using large satellite  
78 observational datasets. Cecil and Zipser (1999) found that TC intensity change in the 24-h future

79 shown a positive correlation with the spatial coverage of at least moderate rain rates using 85-  
80 GHz brightness temperatures. Jiang (2012) found that several parameters relating to inner-core  
81 convection were more intense in RI storms than non-RI storms. It was further determined that RI  
82 requires a minimum threshold for inner-core raining area and volumetric rain that is appreciably  
83 higher than non-RI storms (Jiang & Ramirez, 2013).

84 More recently it has been demonstrated based on satellite observations that a high degree of  
85 axisymmetry of precipitation, convective, and thermodynamic parameters is associated with the  
86 subsequent RI (Kieper & Jiang 2012; Zagrodnik & Jiang 2014; Alvey et al. 2015; Tao & Jiang  
87 2015; Zawislak et al. 2016; Tao et al. 2017; Xu et al. 2017; Shimada et al. 2017; Fischer et al.  
88 2018; Jiang et al. 2018). This is consistent with idealized numerical modeling results that  
89 suggested that the TC vortex intensified as a symmetric response to the azimuthally averaged  
90 latent heat release within convection (Nolan & Grasso, 2003; Nolan et al., 2007).

91 Besides the abovementioned inner core convective and precipitation parameters, the warm-  
92 core structure has also been linked with the TC intensification (Stern & Zhang, 2013; Stern et al.,  
93 2015; Lin & Tian, 2019). Many numerical case studies have demonstrated that the development  
94 and evolution of warm-core strength and height are associated with subsequent RI. Zhang & Chen  
95 (2012) found that higher-level warm cores can induce greater drops in the sea level pressure than  
96 lower-level ones due to the more amplification effects of the higher-level warming based on the  
97 hydrostatic balance using a 72-h cloud-permitting numerical simulation of Hurricane Wilma  
98 (2005). Similar results were found by Hirschberg & Fritsch (1993) and Chen & Zhang (2013).  
99 Analyses upon the successful simulations also disclosed that the formation of the upper level  
100 warm core coincided with the onset of RI for Hurricane Wilma (2005, Zhang & Chen 2012). Kieu  
101 et al. (2014) argued that a middle-to-upper tropospheric temperature perturbation was a necessary

102 constraint to the onset of TC RI in their idealized Hurricane Weather Research and Forecast  
103 (HWRF) model simulations. Through an idealized experiment of a TC in a radiative convective  
104 equilibrium with an SST of 31°C, Ohno & Satoh (2015) found that the inner-core maximum  
105 temperature anomaly occurred at 9 km during most of the intensification period, while a  
106 secondary upper-level warm core only developed once the TC reached near-major hurricane  
107 strength. More recently, in their numerical simulations of Hurricane Edouard (2016), Munsell et  
108 al. (2018) found that at Edouard's peak intensity the maximum inner-core temperature anomaly  
109 occurred between 4 and 8 km. In addition, the evolution of the inner-core perturbation  
110 temperatures indicated that weak to moderate warming (~4 K) began to occur in the low to mid-  
111 levels 24-48 hours prior to RI, and this warming significantly strengthened and deepened 24 hours  
112 after RI has begun. They also argued that the height and amplitude of the maximum temperature  
113 anomaly is not a necessary condition for RI onset in the ensemble experiment. Therefore, based  
114 on these numerical studies, it is still an open question on whether and how the warm-core  
115 structure is associated with RI.

116 On the other hand, little research has been done statistically on the relationship between the  
117 TC warm-core structure and intensity change using observational approaches. The ability of  
118 satellite sounder-based temperature retrievals to resolve the TC warm-core structure has been  
119 questioned by Stern & Nolan (2012) due to the cold anomaly problem in below-10-km levels by  
120 Advanced Microwave Sounding Unit (AMSU) data as shown in Knaff et al. (2004). Nevertheless,  
121 to avoid the uncertainties of AMSU retrievals in the lower level, Lin and Qian (2019) examined  
122 the relationships between AMSU-based temperature anomaly in the upper troposphere and lower  
123 stratosphere and TC intensity and RI. They found that the upper-level warm core strength  
124 increases with TC intensity and hurricanes are associated with warm core above eyewall cloud top

125 extending into the stratosphere. They also found that RI storms are associated with strong  
126 warming rate above eyewall cloud top extending into the stratosphere, indicating that  
127 stratospheric downdrafts might be involved in RI. Recently, using aircraft dropsonde-derived  
128 temperature profiles in hurricanes, Wang & Jiang (2019) evaluated the accuracy of temperature  
129 retrievals from combined Atmospheric Infrared Sounder (AIRS) and AMSU observations in TCs.  
130 They found that the AIRS+AMSU product can resolve the TC warm-core structure well,  
131 comparable to the dropsonde observations, although the AMSU-A alone retrievals fail to do so.  
132 They demonstrated that the bias of the AIRS+AMSU good and best quality retrievals relative to  
133 dropsonde data is within 1–2 K on average for multiple TCs during September 2014.

134 Using a 11-year database of AIRS+AMSU retrieved temperature profiles for TCs in the  
135 western north Pacific basin, Gao et al. (2017) found a negative correlation between the warm-core  
136 strength and 24-h intensity change, whereas no relationship was found between the warm-core  
137 height and intensity change. Gao et al.'s (2017) study was mainly focused on different warm-core  
138 structures for various TC intensities. Their results on the relationship between the warm-core  
139 structure and TC intensity are consistent with Wang & Jiang's (2019) results from AIRS data for  
140 global TCs. However, the negative correlation between the warm-core strength and 24-h intensity  
141 change found by Gao et al. (2017) is contradictory to modeling studies mentioned above which  
142 showed that a strengthened warming in the eye is associated with RI (Zhang & Chen 2012; Chen  
143 & Zhang 2013; Munsell et al. 2018). Therefore, this study will extend Gao et al.'s (2017) study  
144 into all global basins and seek to reconcile these contradictory results by re-investigating the  
145 relationship between the warm-core structure and TC intensity change. We will focus on the  
146 comparison of AIRS+AMSU-derived warm-core strength and height for four different TC  
147 intensity change categories including RI, slowly intensifying (SI), neutral (N), and weakening (W)

148 using a 13-year global database of AIRS+AMSU-derived temperature profiles. Section 2 provides  
149 a description of the data and methods applied in this study. The warm core structures of TCs and  
150 their relationship with intensity change are presented in Section 3. Conclusions are summarized in  
151 Section 4.

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## 153 **2. Data and methodology**

### 154 *2.1. The AIRS+AMSU dataset*

155 In May 2002, the Aqua satellite carrying the AIRS and AMSU as well as other sensors was  
156 successfully launched into sun-synchronous orbit from a 705-km altitude (Aumann et al. 2003;  
157 Chahine et al. 2006). The AIRS instrument is of 2378 infrared channels, capable of providing the  
158 atmospheric temperature retrievals with a vertical resolution of ~1 km. However, contaminations  
159 of infrared-based temperature retrievals due to cloud and rain must be corrected. The  
160 AIRS+AMSU (AIRS for short) level 2 product used an advanced cloud-clearing technique  
161 (Chahine et al. 2001; Susskind et al. 2003; Moustafa et al. 2006) that employs microwave  
162 observations from AMSU along with the AIRS observations to remove cloud contaminations and  
163 retrieve temperature and humidity profiles. This study uses the standard AIRS version 6 level 2  
164 temperature and humidity products during August 2002 to December 2014 with a horizontal  
165 resolution of 45 km, same as in Wang & Jiang (2019). The AIRS overpasses are in about 1650-  
166 km swath width, and available twice daily. The AIRS dataset used in this study only contains the  
167 temperature retrievals with best or good quality at 12 pressure levels from 1000 to 100 hPa.  
168 Specifically, best-quality data individually meet the designed accuracy requirements (i.e., absolute  
169 accuracy of 1 K in 1-km thick layers in the troposphere) and good-quality data meet the accuracy  
170 requirements only when temporally and/or spatially averaged. For more details about the AIRS

171 dataset and the verification of AIRS temperature retrieval against aircraft-deployed dropsonde  
172 data in TCs, please see Wang & Jiang (2019).

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## 174 *2.2. Selection of AIRS overpasses in TCs and classification of TC intensity change categories*

175 Using the 6-hourly TC best-track data obtained from the National Hurricane Center (NHC)  
176 for northern Atlantic (ATL) and eastern and central Pacific (EPA) basins and from the Joint  
177 Typhoon Warning Center (JTWC) for northwestern Pacific (NWP), northern Indian Ocean (NIO),  
178 southern Indian Ocean (SIO), and South Pacific (SPA) basins, the TC maximum sustained wind  
179 intensity ( $V_{max}$ ) and storm center location are linearly interpolated to match the observational  
180 time of AIRS. Since not all TCs can be well observed by the AIRS with its swath width of ~1650  
181 km, here we only select the AIRS overpasses which can capture the TC center. In order to  
182 eliminate the impacts of TC intensity and other factors on the warm core strength that are not due  
183 to TC intensity change, especially RI, several criteria are applied to the selection of AIRS  
184 overpasses. By following Zagrodnik & Jiang (2014), the following criteria are applied: mean  
185 SST > 26 °C, mean environmental vertical wind shear < 16 m s<sup>-1</sup>, the storm center is within  $\pm 30^\circ$   
186 latitude, and the intensity of the storm at the time of the overpass is between tropical storm and  
187 category-2 hurricane. The AIRS level-2 standard products also provide total precipitable water  
188 (TPW), cloud fraction, and sea surface temperature (SST) retrievals. The mean SST, cloud  
189 fraction, and TPW are calculated by averaging all values within 500 km radius from the TC center  
190 for AIRS TC overpasses. The mean environmental vertical wind shear, averaged between 200–  
191 850 hPa and 500–750 km radius from the TC center (Zagrodnik & Jiang 2014), is derived from  
192 the European Centre for Medium-Range Weather Forecasts (ECMWF) interim (Dee et al. 2011)  
193 reanalysis data. In addition, only those overpasses with available temperature profiles with good



194 or best quality at all levels between 1000 and 150 hPa are used in this study.

195 The final dataset consists of a total of 5019 AIRS TC overpasses from 1061 TCs in global  
196 basins between August 2002 to December 2014. We stratify the overpasses into four TC intensity  
197 change categories, including RI, slowly intensifying (SI), neutral (N), and weakening (W), by  
198 following the method of Jiang (2012) and Jiang & Ramirez (2013). The 24-h intensity change is  
199 defined as the difference in  $V_{max}$  at the time of the overpass and 24 h in the future. RI was first  
200 defined by Kaplan & DeMaria (2003) using the 95th percentile of the cumulative distribution  
201 functions of the 24-h intensity change derived from historical best track data. For all TC  
202 overpasses used in this study, the 95<sup>th</sup> percentile of the 24-h intensity change is 30 kt (1 kt = 0.51  
203 m/s). Therefore, RI is defined as the 24-h intensity change  $\geq 30$  kt. Table 1 lists the definition of  
204 each intensity change category and number of AIRS overpasses over each basin under each  
205 intensity change category during 2002–2014. Among these samples, there are 0 RI, 6 SI, 45 N,  
206 and 64 W cases that made landfall in the next 24 hours. Fig. 1 shows the geographic distribution  
207 of storm centers of the 5019 AIRS overpasses for different intensity change categories. Compared  
208 to other intensity change categories, the locations of RI TCs are generally confined within  $\pm 20^\circ$   
209 latitude.

210 To study the TC warm core, we need to calculate the temperature anomaly first. The  
211 temperature anomaly is the difference between the observed temperature in TCs and a reference  
212 environmental temperature profile. By following previous studies (Stern & Nolan 2012, Durden  
213 2013, Stern & Zhang 2016, Munsell et al. 2018, Wang & Jiang 2019), in this study the reference  
214 profile is calculated for each AIRS TC overpass by taking the average temperature profile within  
215 900–1400 km from the storm center.

216  
217 **3. Results and discussions**

218 *3.1. Composite axisymmetric warm-core structure for different intensity change categories*

219 Fig. 2 shows the radial-height composites of azimuthally averaged AIRS retrieved  
220 temperature anomalies in TCs for different intensity change categories. All the composites  
221 through this study are at the onset stage of different intensity change categories. In general, the  
222 height of warm core is located around 300–400 hPa for all TCs. This is consistent with Gao et al.  
223 (2017) and Wang & Jiang (2019). The maximum warm-core temperature anomaly is the strongest  
224 for TCs in the RI group (~7 K), followed by TCs in the weakening (~6 K), SI (~5 K), and neutral  
225 (~4K) group in decreasing order. According to previous studies (Durden 2013; Gao et al. 2017;  
226 Wang & Jiang 2019), there is a strong positive correlation between TC intensity and the  
227 maximum temperature anomaly. As seen in Table 2, the mean TC intensity  $V_{max}$  for weakening  
228 storms is the highest (64 kt), followed by RI (57 kt), SI (45 kt), and N (41 kt). Yet the warm-core  
229 strength is higher for RI storms than weakening storms, which indicates that not only higher TC  
230 intensity but also higher intensification rate is associated with stronger warm-core. In order to  
231 isolate the effect of TC size upon intensification from that upon TC general life cycle (i.e. TC  
232 intensity), Carrasco et al. (2014) restricted their analysis to only intensifying and steady state  
233 storms. A similar perspective can be applied to interpret Fig. 2's result here. By excluding the  
234 weakening category, a clear positive relationship between warm-core strength and 24 h future  
235 intensification rate can be seen from Fig. 2.

236 Previous studies have shown the importance of equivalent potential temperature ( $\theta_e$ ) in  
237 forecasting TC intensity change (Sikora 1976; Petty & Hobgood 2000).  $\theta_e$  can be viewed as a  
238 measure of convective available potential energy (CAPE) at a particular time. High values of  $\theta_e$   
239 in the lower atmosphere Sikora 1976

240  $\theta_e$  is calculated using AIRS-retrieved temperature and humidity profiles through a

241 method suggested by Bolton (1980). Much higher  $\theta_e$  values in the eye of a TC were found by  
242 aircraft observations (Hawkins & Imbembo 1976) as well as by numerical calculations (Emanuel  
243 1999). This is consistent with our results in Fig. 2 in which higher  $\theta_e$  values are located within 30-  
244 50 km from the storm center.  $\theta_e$  is contributed by both temperature and humidity fields. It  
245 decreases with height first to the critical level, then increases with height. The critical height near  
246 the TC center is about 600 hPa (Fig. 2). Below the critical height, the contribution of humidity to  
247  $\theta_e$  dominates. The decreasing water vapor amount with height between surface and the critical  
248 height causes the  $\theta_e$  decreasing although the temperature is slightly increasing with height. For RI  
249 storms,  $\theta_e$  below 600 hPa decreases with height at the fastest rate (Fig. 2). Above the critical  
250 height,  $\theta_e$  rapidly increases with height, especially in the eye region, for all TC intensity change  
251 categories. However, it increases fastest in RI storms (Fig. 2a), followed by W (Fig. 2d), SI (Fig.  
252 2b), and N storms (Fig. 2c). According to the shear-relative CAPE showed in Fig. 3, it is found  
253 that CAPE for RI storms is much higher than other categories. High CAPE and  $\theta_e$  were found in  
254 the eye region in the onset of RI and in the early stage of RI through observations (Sitkowski &  
255 Barnes 2009; Barnes & Fuentes 2010) and numerical simulations (Miyamoto & Takemi 2013;  
256 Wang & Wang 2014). It was argued that high CAPE in the eye can promote convective activities  
257 in the eyewall regions, therefore triggering RI (Wang & Wang 2014).

258 Among different intensity change categories, RI storms have the highest cloud fraction, which  
259 decreases radially from over 0.9 in the inner-core region to around 0.8 at 300 km from the storm  
260 center (Fig. 2a). The high cloud fraction in RI storms might be linked with a strengthened  
261 convective activity induced by the high CAPE in the inner core area (Wang & Wang 2014). SI  
262 storms have the second highest cloud fraction, which decrease radially from over 0.9 in the inner  
263 core to a little above 0.7 at 300 km radius (Fig. 2b). For weakening storms, the cloud fraction is

264 around 0.9 in the inner core and decreases to less than 0.7 at 300 km radius (Fig. 2c). Neutral  
265 storms have the lowest cloud fraction, which is 0.8 in the inner core (Fig. 2d). When averaging  
266 within 500-km from the storm center, the cloud fraction is the highest for RI (0.61), followed by  
267 SI (0.58), and N/W (0.53) storms (Table 2). Table 2 also suggests that the TC intensification rate  
268 increases with increasing SST and TPW and decreasing environmental vertical wind shear. Wang  
269 & Jiang (2019, see their table 5) found that the TC intensity increases with increasing SST and  
270 cloud fraction and decreasing shear, but TPW values are similar among different TC intensity  
271 stages.

### 272 *3.2. Composite asymmetric warm-core structure relative to shear*

273 Fig. 4 displays the shear-relative composite temperature anomaly and  $\theta_e$  averaged vertically  
274 from 200 to 600 hPa for four TC intensity change categories. Similar to Fig. 2, the strongest  
275 inner-core warm-core strength is seen in RI storms, followed by weakening, SI, and neutral  
276 storms in a decreasing order. RI storms also have the highest composite  $\theta_e$  value (349 K) in the  
277 eye, followed by weakening (348 K), SI (347 K), and neutral (345 K) storms. Interestingly, the  
278 warm-core structure is asymmetric relative to the environmental vertical wind shear direction in  
279 RI storms (Fig. 4a), while it is more symmetric for other intensity change categories (Fig. 4b-d).  
280 For RI storms, CAPE showed larger values down-shear quadrant than up-shear quadrant within  
281 the radius of 100 km (Fig. 3a, b), which is similar to the results in some previous studies  
282 (Molinari & Vollaro 2010; Nguyen et al. 2010; Molinari et al. 2012). CAPE distribution of SI and  
283 neutral storms (Fig. 3b, c) are more symmetric than RI storms (Fig. 3a). In weakening cases, the  
284 CAPE is larger in up-shear quadrant than in down-shear quadrant (Fig. 3d). However, the  
285 differences of CAPE between down-shear and up-shear quadrant in RI storms is much higher than  
286 in weakening storms. The high CAPE is favorable to the persistent convection arises in down-

287 shear quadrant in RI storms, which may contribute to the asymmetric warm-core structure showed  
288 in Fig. 4a.

### 289 *3.3. Relationship between warm-core structure and TC intensification rate*

290 To further examine the relationships between the warm-core strength and TC intensity  
291 change, Fig. 5 presents scatter plots of the maximum temperature anomaly within the 30 km of  
292 the TC center versus 24-h TC intensity change for TCs in all global basins (Fig. 5a) and  
293 individual basins (Fig. 5b-f) except for the NIO basin due to the small sample size in this basin  
294 (table 3). When considering the whole range of intensity change rate between -80 kt and 80 kt per  
295 24 h, there is clearly a negative correlation between the intensity change rate and warm-core  
296 strength, exactly as shown and concluded by Gao et al. (2017). However, a careful scrutiny of Fig.  
297 5 reveals that the negative correlation is mainly driven by the weakening category, same as in Gao  
298 et al.'s (2017) Fig. 5a. There are many samples with high initial intensity in the weakening  
299 category, especially in Gao et al.'s (2017) study since unlike this study, they included major  
300 hurricanes in their sample. Gao et al. (2017) realized the impact of TC intensity and concluded  
301 that the negative correlation between the intensity change rate and warm-core strength was mainly  
302 driven the negative correlation between TC initial intensity and future intensity change.

303 As mentioned above, to isolate the effect of a parameter upon TC intensification rate from  
304 that upon TC intensity, it is necessary to exclude the weakening category and consider only those  
305 samples with intensification rates  $\geq 0$  as in a few other TC intensification studies (Carrasco et al.  
306 2014; Xu & Wang 2015). As seen in Section 2, weakening cases have the highest percentage of  
307 making landfall in the next 24 hours. After excluding the weakening category and part of the  
308 samples in the neutral category with intensification rate  $< 0$ , the correlation changes from  
309 significantly negative with a correlation coefficient  $R=-0.29$  to significantly positive with  $R=0.23$

310 for TCs in all basins (Fig. 5a). The positive correlation is the highest in SIO and EPA basins  
311 ( $R=0.31$ ), followed by ATL ( $R=0.21$ ), SPA ( $R=0.20$ ), and NWP ( $R=0.19$ ). All correlations in Fig.  
312 5 have a significant level of at least 98% except for the SPA basin due to a small sample size with  
313 intensification rates  $\geq 0$  in this basin (table 3). By excluding weakening cases, Fig. 7 suggests that  
314 the warm-core strength is positively correlated with TC intensification rate, which is consistent  
315 with previous case studies through observational (Sitkowski & Barnes 2009; Barnes & Fuentes  
316 2010) and numerical (Zhang & Chen 2012; Chen & Zhang 2013; Munsell et al. 2018) methods.

317 By including both intensifying and weakening samples, Gao et al. (2017) found no  
318 significant relationship between TC intensity change and the warm-core height. Fig. 6 here is to  
319 re-investigate the relationship. Same as in Wang & Jiang (2019), we calculated a weighted warm-  
320 core height by using the definition given by Equation (1) of Ohno et al. (2016). This equation was  
321 applied to the temperature anomaly within 30 km of the storm center using the sample in Table 3.  
322 Similar as in Gao et al. (2017), no significant correlations are seen between TC intensity change  
323 and the weighted warm-core height when looking at the whole intensification rate range including  
324 both weakening and intensifying cases (Fig. 6, results in black colors). However, a significant  
325 negative correlation is seen between the intensification rate and the pressure level of the weighted  
326 warm-core height when considering only those samples with intensification rates  $\geq 0$  (Fig. 6,  
327 results in pink colors). This negative correlation means that the higher the warm-core height is,  
328 the larger the TC intensification rate. The correlation coefficients for samples with intensification  
329 rates  $\geq 0$  range between 0.15 and 0.26 for different basins, with the highest correlation in EPA.  
330 The significance level of these correlation coefficients is at least 91%. Fig. 6's results are  
331 consistent with those numerical simulations showing that higher-level warm core can induce  
332 deeper sea level pressure drops therefore greater intensification rates (Zhang & Chen 2012; Chen

333 & Zhang 2013).

334 Statistical distributions of the warm-core temperature anomaly and weighted warm-core  
335 height within 30 km of the storm center are shown in the box and whisker plots of Fig. 7. The  
336 median temperature anomaly and warm-core height increase as the TC intensification rate  
337 increases from N to SI to RI. However, the trend is reversed from W to N. As found above in Figs.  
338 5-6, the effect of warm-core strength and height upon the TC intensification is entangled with that  
339 upon TC intensity. For the purpose of physical understanding, the effect can be successfully  
340 isolated by excluding weakening cases. For the purpose for improving RI prediction, the problem  
341 is not that simple since we won't know if the storm is in weakening stage or intensifying stage at  
342 the first place. A stronger and/or higher warm-core could be either associated with a stronger  
343 storm intensity or higher future intensification rate.

344 However, it is interesting to see from Fig. 7 that there are different minimum thresholds of  
345 the warm-core temperature anomaly and height for different intensity change categories.  
346 Statistically RI never happened when the AIRS-derived temperature anomaly within 30 km of the  
347 storm center is less than 4 K. It never happened either when the AIRS-derived weighted warm-  
348 core height is lower than 450 hPa. These thresholds can be considered as necessary conditions for  
349 RI. For other intensity change categories, the minimum thresholds of the temperature anomaly  
350 and warm-core height are much lower, therefore they are much easier to satisfy than those for RI.  
351 For example, necessary conditions for SI are the temperature anomaly  $\geq 1$  K and the weighted  
352 warm-core height higher than 850 hPa. Similar thresholds as in SI are seen for W and N storms.  
353 Therefore, the best suggestion from Fig. 7 for the purpose of improving RI forecasts is the  
354 necessary conditions in terms of the minimum threshold of the warm-core temperature anomaly  
355 and height.

356

#### 357 **4. Summary and Conclusions**

358 This study investigates the relationship between the warm-core structure and TC intensity  
359 change using 13-year AIRS+AMSU retrieved temperature profiles. The dataset includes 5019  
360 AIRS overpasses in 1061 TCs in global basins during 2002-2014. These overpasses are  
361 constrained with storm intensity between tropical storm and category-2 hurricane and under  
362 minimal favorable environmental conditions. They are classified into RI, slowly intensifying (SI),  
363 neutral (N), and weakening (W) categories based on the difference between 24 h future intensity  
364 and the initial intensity at the time of the overpass. The main findings of this study are as follow:

365 (1) The effect of the warm-core structure upon TC intensification is entangled with that  
366 upon TC intensity. It is necessary to exclude the weakening category in order to single out the  
367 relationship between TC intensification and warm-core structure from a statistical method.

368 (2) The composite warm-core temperature anomaly is the strongest in RI storms (~7 K),  
369 followed by W (~6 K), SI (~5 K) and N (~4K) storms. RI storms also have the highest CAPE in  
370 the eye among all intensity change categories. The average cloud fraction, SST, and TPW within  
371 500-km of the storm center are positively correlated with TC intensification rate, while the  
372 environmental vertical wind shear is negatively correlated with TC intensification rate.

373 (3) The warm-core structure of RI storms is asymmetric relative to shear, while it is more  
374 symmetric for other intensity change categories. For RI storms, the temperature anomaly and  
375 CAPE in the inner core are larger in the down shear quadrant.

376 (4) When considering only those samples with intensification rates  $\geq 0$ , a significant and  
377 positive correlation is found between the warm-core strength and TC intensification rate. The  
378 warm-core height is also positively correlated with the TC intensification rate at a high



379 confidence level. This is against the results of Gao et al. (2017), but consistent with many other  
380 observational (Sitkowski & Barnes 2009; Barnes & Fuentes 2010) and numerical (Zhang & Chen  
381 2012; Chen & Zhang 2013; Munsell et al. 2018) studies.

382 (5) Different from other intensity change categories including the weakening group, the  
383 necessary conditions for RI are: a) the AIRS-derived temperature anomaly within 30 km of the  
384 storm center must be greater than 4 K, and b) the AIRS-derived weighted warm-core height must  
385 be higher than 450 hPa. This is the most important finding of this study, which can shed light on  
386 improving the practical RI forecasts.

387

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389

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617 Figure Captions:

- 618 Fig. 1: The geographic distribution of storm center covered by the 5019 selected AIRS overpasses  
619 during 2002-1014. Colors represent different intensity change categories.  
620
- 621 Fig. 2: Radial-height composites of azimuthally averaged AIRS-retrieved temperature anomaly  
622 (K, color-shaded) and equivalent potential temperatures (K, grey contour) for different  
623 intensity change categories: (a) RI, (b) SI, (c) N, and (d) W. The white curve indicates  
624 cloud fraction.  
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- 626 Fig. 3: The shear-relative temperature anomaly (K, color-shaded) and equivalent potential  
627 temperature (K, contour) averaged vertically from 200 to 600 hPa for different intensity  
628 change categories: (a) RI, (b) SI, (c) N, and (d) W. The shear vector is pointing to the right  
629 side of each panel.  
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- 631 Fig. 4: The shear-relative CAPE ( $\text{J kg}^{-1}$ ) for different intensity change categories: (a) RI, (b) SI, (c)  
632 N, and (d) W. The shear vector is pointing to the right side of each panel. The shear  
633 vector is pointing to the right side of each panel.  
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- 635 Fig. 5: Scatter plots of the maximum temperature anomaly (TA, K) within the 30 km of the TC  
636 center versus 24-h TC intensity change (kt) for TCs in (a) all basins, (b) ATL, (c) EPA, (d)

637 NWP, (e) SIO, and (f) SPA basins. Dots in different colors represent different intensity  
 638 change categories. Correlation coefficients, P values of the statistical significance, and  
 639 linear regression fitting lines for all samples (with both positive and negative  
 640 intensification rates) are shown in black, and for samples with intensification rates  $\geq 0$  are  
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 643 Fig. 6: Same as Fig. 5 but for the weighted height (hPa) of maximum temperature anomaly within  
 644 the 30 km of the TC center versus 24-h TC intensity change (kt).

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 646 Fig. 7: Box and whisker plots of (a) the maximum temperature anomaly (TA, K) and (b) the  
 647 weighted height (hPa) of maximum temperature anomaly within the 30 km of the TC  
 648 center for different intensity change categories. The top of the box represents the 75%  
 649 percentile, the center line the median, and the bottom of the box the 25% percentile. The  
 650 whiskers extend to minimum and maximum of the range and outliers are plotted  
 651 individually with circles.

652  
 653 Table 1: The number of AIRS overpasses for each intensity change category over each basin  
 654 during 2002-2014.

Category	Definition (kt)	ATL	EPA	NWP	NIO	SIO	SPA	Total
RI		59	65	182	14	87	57	464
SI		228	186	549	64	346	137	1510
N		321	440	602	137	617	176	2293
W		79	208	213	33	168	51	752
Total		687	899	1546	248	1218	421	5019

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 657 Table 2: Mean values of  $V_{\max}$ , environmental vertical wind shear, SST, cloud fraction, TPW, and  
 658 CAPE for different TC intensity change categories.

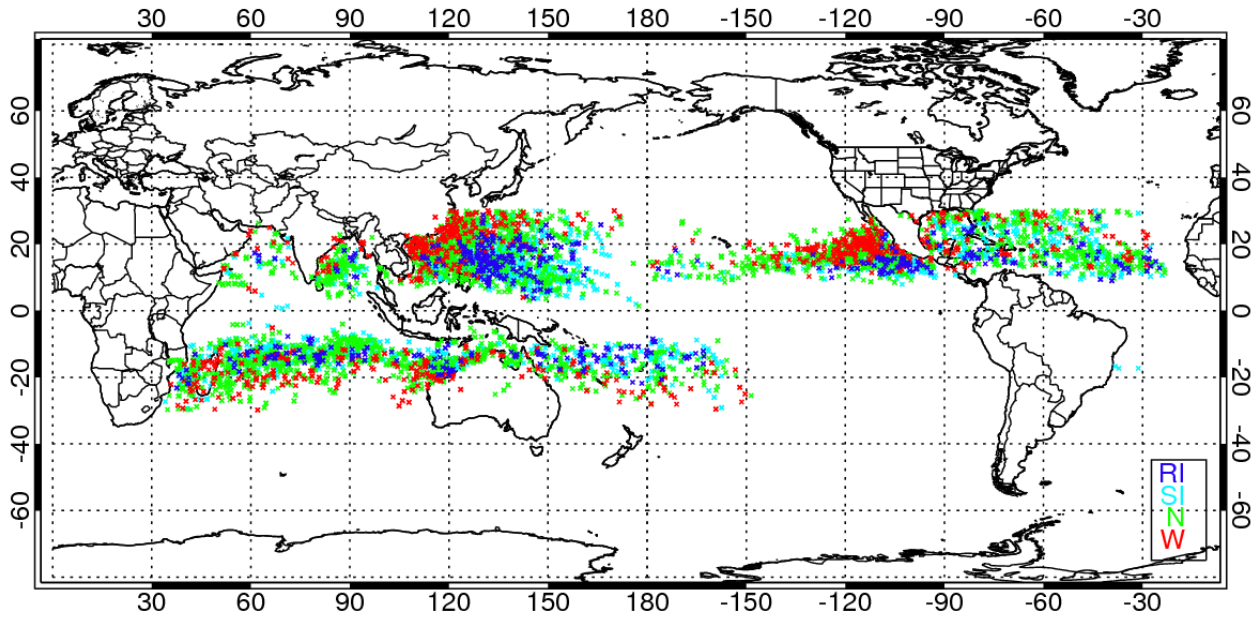
Category	$V_{\max}$ (kt)	Shear ( $\text{ms}^{-1}$ )	SST ( $^{\circ}\text{C}$ )	Cloud fraction (%)	TPW (mm)	CAPE ( $\text{J Kg}^{-1}$ )
RI	57	5.59	28.66	61	52	2084
SI	45	6.51	28.43	58	52	1677
N	41	8.02	27.64	53	50	1521
W	64	8.23	26.91	53	49	1804

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 661 Table 3: Number of AIRS profiles within 30 km of the storm center over each basin for each TC  
 662 intensity change category.

Basin	RI	SI	N	W	Total
ATL	9	73	170	43	323
EPA	7	25	196	85	281

NWP	27	139	253	141	545
NIO	1	18	52	10	59
SIO	10	70	281	77	321
SPA	3	18	67	30	118
<b>Total</b>	<b>57</b>	<b>343</b>	<b>1019</b>	<b>386</b>	<b>1804</b>

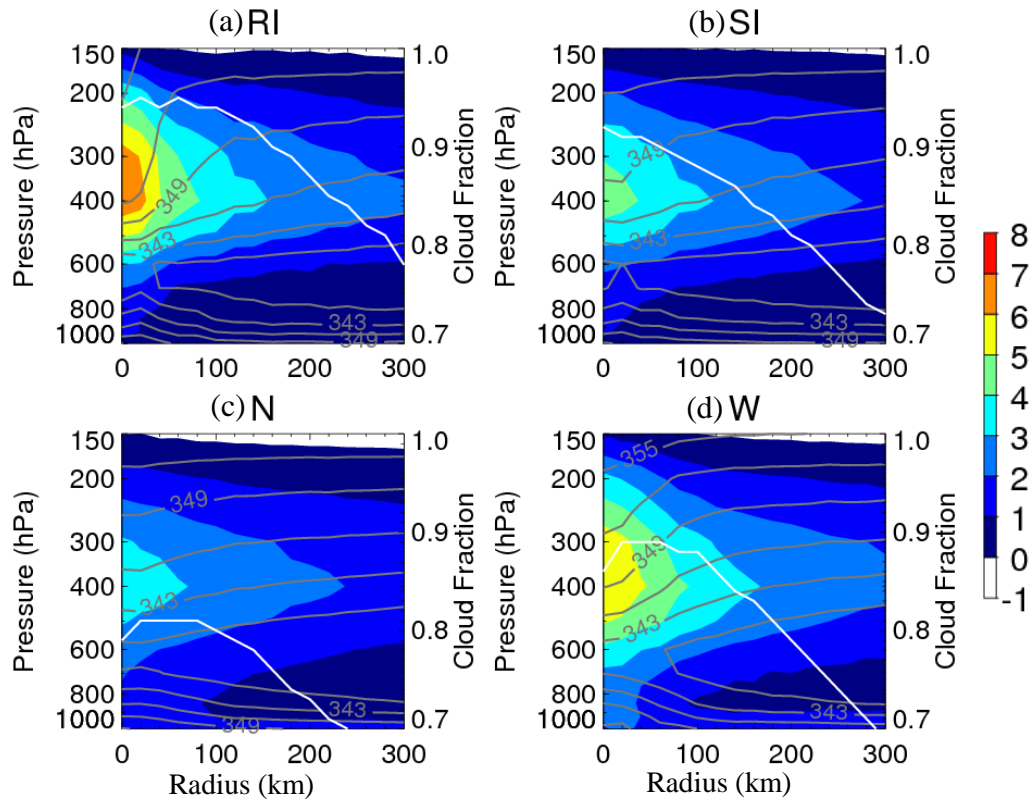
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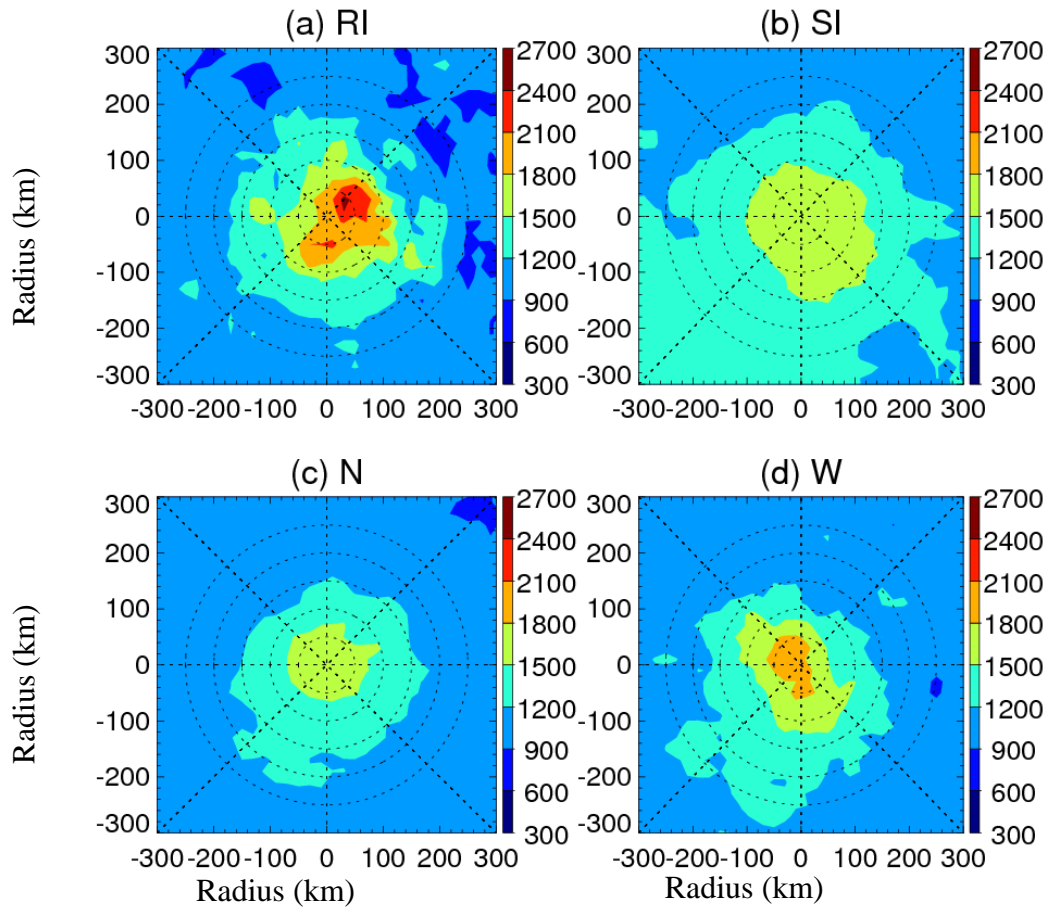
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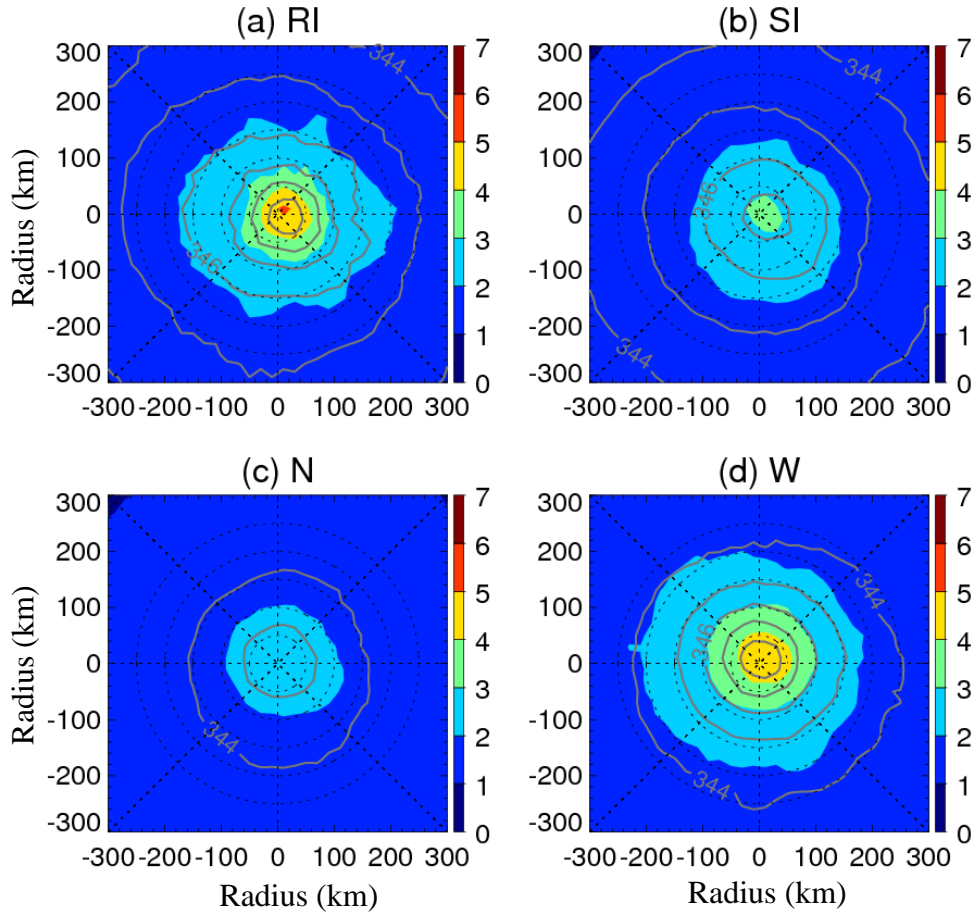
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Fig. 2: Radial-height composites of azimuthally averaged AIRS-retrieved temperature anomaly (K, color-shaded) and equivalent potential temperatures (K, grey contour) for different intensity change categories: (a) RI, (b) SI, (c) N, and (d) W. The white curve indicates cloud fraction.



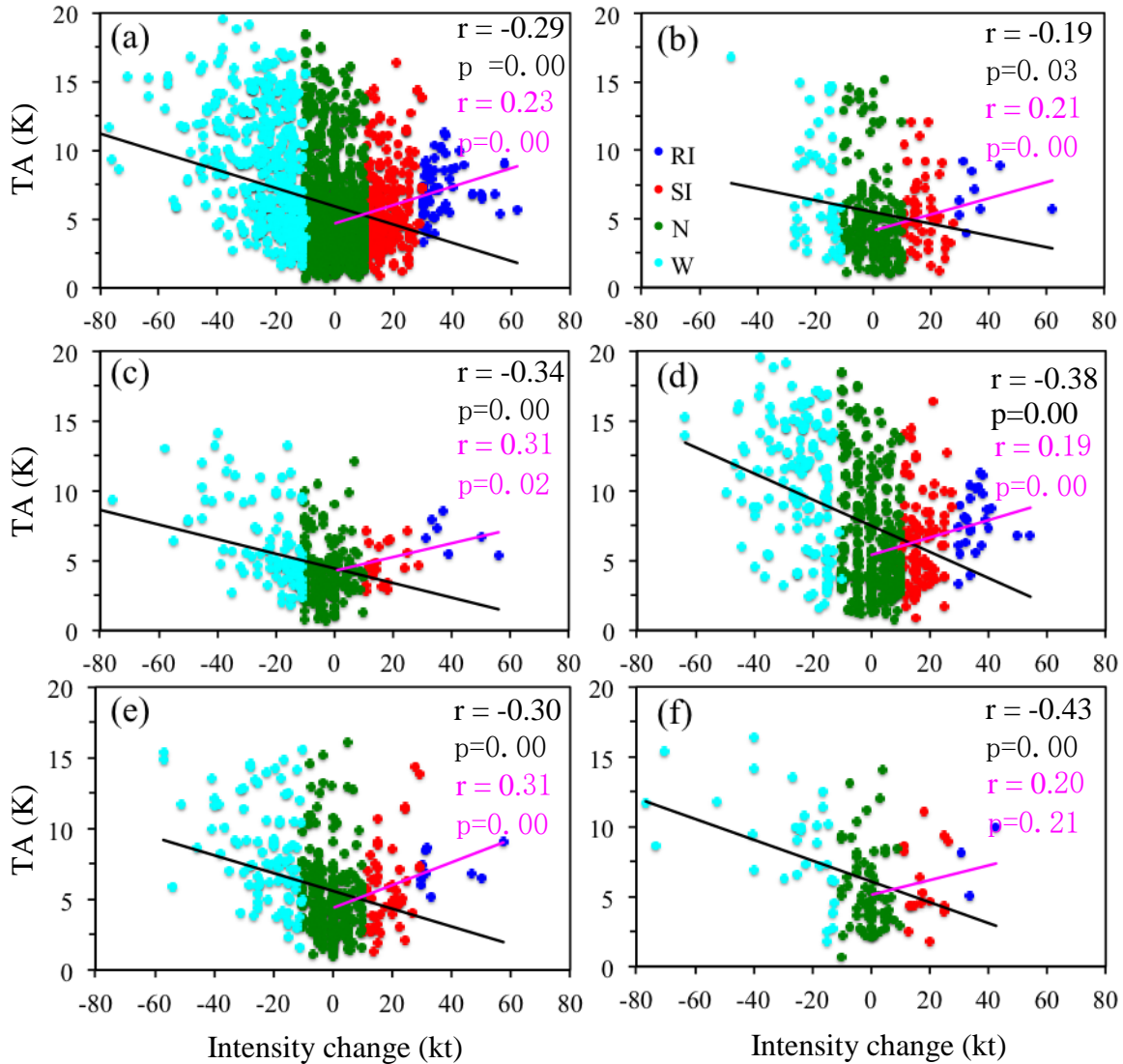
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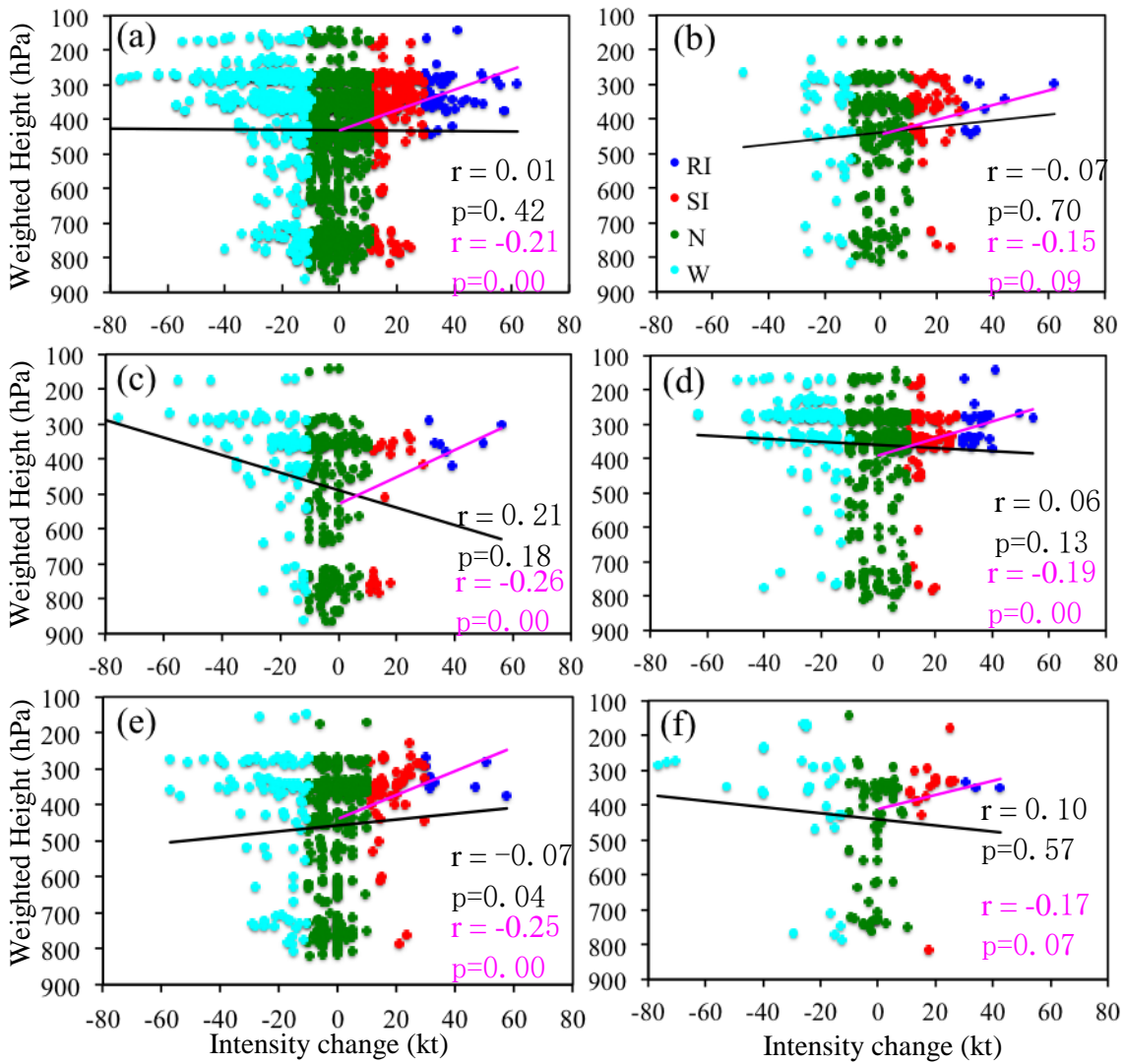
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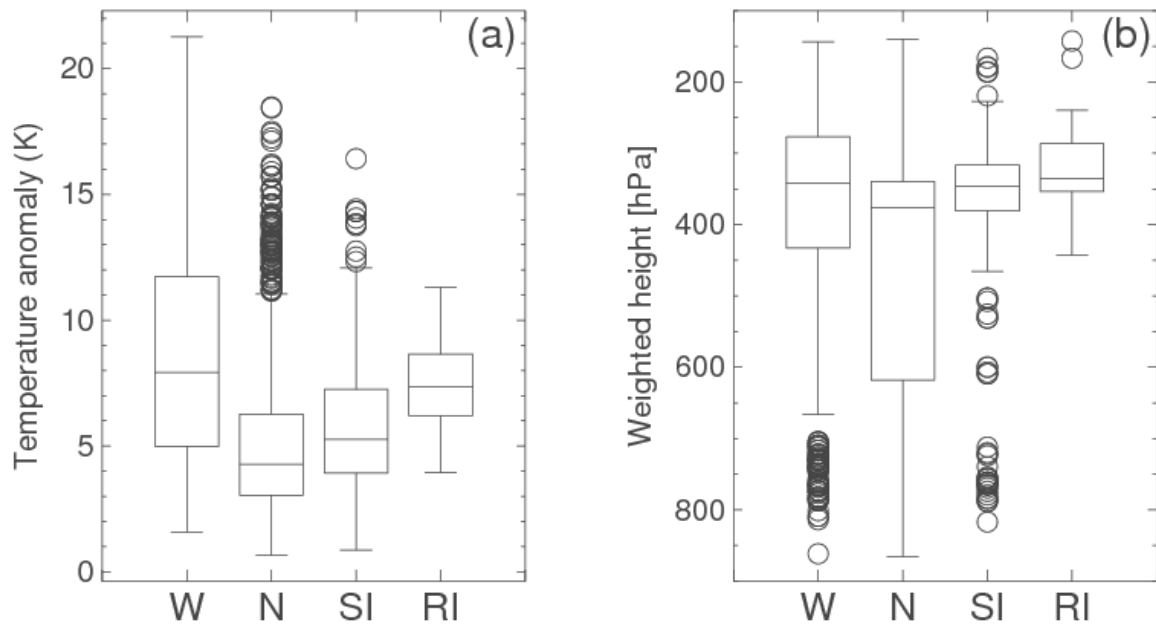
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Fig. 5: Scatter plots of the maximum temperature anomaly (TA, K) within the 30 km of the TC center versus 24-h TC intensity change (kt) for TCs in (a) all basins, (b) ATL, (c) EPA, (d) NWP, (e) SIO, and (f) SPA basins. Dots in different colors represent different intensity change categories. Correlation coefficients, P values of the statistical significance, and linear regression fitting lines for all samples (with both positive and negative intensification rates) are shown in black, and for samples with intensification rates  $\geq 0$  are in pink.



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