

Northeast Fisheries Science Center Reference Document 09-14

Update on Harbor Porpoise Take Reduction Plan Monitoring Initiatives: Compliance and Consequential Bycatch Rates from June 2007 through May 2008; Pinger Tester Development and Enforcement from January 2008 through July of 2009

by Christopher D. Orphanides, Sara Wetmore, and Amanda Johnson

September 2009

Recent Issues in This Series

- 08-16 Assessment of 19 Northeast Groundfish Stocks through 2007: A Report of the 3rd Groundfish Assessment Review Meeting (GARM III) -- Appendixes, by Northeast Fisheries Science Center. September 2008.
- 08-17 Preparation of the Northeast Fisheries Observer Program Gillnet Data for Use in Bycatch Analyses of Protected Species, by ML Warden and CD Orphanides. August 2008.
- 08-18 A Description of the Allocation Procedure Applied to the 1994 to 2007 Commercial Landings data, by SE Wigley, P Hersey, and JE Palmer. September 2008.
- 08-19 11th Flatfish Biology Conference Program and Abstracts, Dec. 3-4, 2008, Water's Edge Resort and Spa, Westbrook, Connecticut, by Conference Steering Committee: R Mercaldo-Allen (Chair), A Calabrese, D Danila, M Dixon, A Jearld, T Munroe, Deborah Pacileo, C Powell, and S Sutherland. November 2008.
- 08-20 Estimated average annual bycatch of loggerhead sea turtles (Caretta caretta) in US Mid-Atlantic bottom otter trawl gear, 1996-2004 (2nd edition), by KT Murray. November 2008.
- 09-01 Report of the Retrospective Working Group, January 14-16, 2008, Woods Hole, Massachusetts, by CM Legault, Chair. January 2009.
- 09-02 The Northeast Data Poor Stocks Working Group Report, December 8-12, 2008 Meeting, by Northeast Data Poor Stocks Working Group. January 2009.
- 09-03 The 2008 Assessment of the Gulf of Maine Atlantic Cod (Gadus morhua) Stock, by RK Mayo, G Shepherd, L O'Brien, LA Col, and M. Traver. February 2009.
- 09-04 Mortality and serious injury determinations for baleen whale stocks along the United States eastern seaboard and adjacent Canadian maritimes, 2003-2007, by AH Glass, TVN Cole, and M Garron. March 2009.
- 09-05 North Atlantic Right Whale Sighting Survey (NARWSS) and Right Whale Sighting Advisory System (RWSAS) 2008 Results Summary, by C Khan, TVN Cole, P Duley, AH Glass, M Niemeyer, and C Christman. March 2009.
- 09-06 A Bibliography of the Long-Finned Pilot Whale, Globicephala melas, and the Short-Finned Pilot Whale, Globicephala macrorhynchus, in the North Atlantic Ocean, compiled by FW Wenzel, JR Nicolas, A Abend, and B Hayward. April 2009.
- 09-07 Determination of Conversion Factors for Vessel Comparison Studies, by HO Milliken and MJ Fogarty. April 2009.
- 09-08 The 2008 Assessment of Atlantic Halibut in the Gulf of Maine-Georges Bank Region, by LA Col and CM Legault. May 2009.
- 09-09 Proceedings from a workshop to identify future research priorities for cod tagging in the Gulf of Maine, 12 February, 2009, by S Tallack, Compiler/Editor. June 2009.
- 09-10 48th Northeast Regional Stock Assessment Workshop (48th SAW) assessment summary report, by Northeast Fisheries Science Center. July 2009.
- 09-11 *Ecosystem Assessment Report for the Northeast U.S. Continental Shelf Large Marine Ecosystem*, by the Ecosystem Status Program. July 2009.
- 09-12 Description of the 2008 Oceanographic Conditions on the Northeast U.S. Continental Shelf, by MH Taylor, T Holzwarth-Davis, C Bascuñán, and JP Manning. August 2009.
- 09-13 Northeast Fisheries Science Center Publications, Reports, Abstracts, and Web Documents for Calendar Year 2008, compiled by A Toran. August 2009.

Update on Harbor Porpoise Take Reduction Plan Monitoring Initiatives: Compliance and Consequential Bycatch Rates from June 2007 through May 2008; Pinger Tester Development and Enforcement from January 2008 through July of 2009

by Christopher D. Orphanides¹, Sara Wetmore², and Amanda Johnson³

¹ NOAA's National Marine Fisheries Serv., 28 Tarzwell Dr., Narragansett RI 02882

² NOAA's National Marine Fisheries Serv., 25 Bernard St. Jean Drive, Falmouth, MA 02536

³ NOAA's National Marine Fisheries Serv., 55 Great Republic Drive, Gloucester, MA 01930

U.S. DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration National Marine Fisheries Service Northeast Fisheries Science Center Woods Hole, Massachusetts

August 2009

Northeast Fisheries Science Center Reference Documents

This series is a secondary scientific series designed to assure the long-term documentation and to enable the timely transmission of research results by Center and/or non-Center researchers, where such results bear upon the research mission of the Center (see the outside back cover for the mission statement). These documents receive internal scientific review, and most receive copy editing. The National Marine Fisheries Service does not endorse any proprietary material, process, or product mentioned in these documents.

All documents issued in this series since April 2001, and several documents issued prior to that date, have been copublished in both paper and electronic versions. To access the electronic version of a document in this series, go to *http://www.nefsc.noaa.gov/nefsc/publications/*. The electronic version is available in PDF format to permit printing of a paper copy directly from the Internet. If you do not have Internet access, or if a desired document is one of the pre-April 2001 documents available only in the paper version, you can obtain a paper copy by contacting the senior Center author of the desired document. Refer to the title page of the document for the senior Center author's name and mailing address. If there is no Center author, or if there is corporate (*i.e.*, non-individualized) authorship, then contact the Center's Woods Hole Laboratory Library (166 Water St., Woods Hole, MA 02543-1026).

This document's publication history is as follows: manuscript submitted for review August 17, 2009; manuscript accepted through technical review August 31, 2009; manuscript accepted through policy review September 6, 2009; and final copy submitted for publication September 2, 2009. Pursuant to section 515 of Public Law 106-554 (the Information Quality Act), this information product has undergone a pre-dissemination review by the Northeast Fisheries Science Center, completed on August 31, 2009. The signed pre-dissemination review and documentation is on file at the NEFSC Editorial Office. This document may be cited as:

Orphanides CD, Wetmore S, Johnson A. 2009. Update on Harbor Porpoise Take Reduction Plan Monitoring Initiatives: Compliance and Consequential Bycatch Rates from June 2007 through May 2008; Pinger Tester Development and Enforcement from January 2008 through July of 2009. US Dept Commer, Northeast Fish Sci Cent Ref Doc. 09-14; 16 p. Available from: National Marine Fisheries Service, 166 Water Street, Woods Hole, MA 02543-1026, or online at http://www.nefsc.noaa.gov/nefsc/

Table of Contents

ABSTRACT	iv
INTRODUCTION	. 1
METHODS AND DATA	. 1
Bycatch and Compliance	. 1
Pinger Testers and Enforcement	. 2
RESULTS	. 2
Compliance	. 2
Bycatch rates	. 3
Pinger Testers	
Development of NEFOP tester	. 3
Summary of current pinger tester data	. 4
Enforcement	. 4
DISCUSSION	
REFERENCES	

Tables

Table 1.	Observed overall Harbor Porpoise Take Reduction Plan compliance by time period and	
	Management Area	. 6
Table 2.	Harbor Porpoise Take Reduction Plan management measures for large and small mesh nets	S
	in the Mid-Atlantic gillnet fishery	. 7
Table 3.	Observed compliance with Harbor Porpoise Take Reduction Plan regulations categorized b	Ŋу
	compliance infraction	. 8
Table 4.	Harbor porpoise bycatch rates in Northeast Management Areas that could trigger closures	
	under proposed Harbor Porpoise Take Reduction Plan management actions	. 9
Table 5.	Number of harbor porpoise bycatch by year, month, Management Areas, pinger use, and	
	Harbor Porpoise Take Reduction Plan compliance	10
Table 6.	Bycatch rates in current HPTRP Management Areas by pinger use compliance	11
Table 7.	Summary of pinger tester data collected since January 2008	12

Figures

Figure 1a.	Current Northeast Harbor Porpoise Take Reduction Plan Closure Areas and the management
	measures associated with them, and two newly proposed Management Areas 13
Figure 1b.	Current Mid-Atlantic Harbor Porpoise Take Reduction Plan Management Areas and a
	summary of the associated regulations, and one proposed Management Area14
Figure 1c.	Harbor Porpoise Take Reduction Plan proposed seasonal Consequence Closure Areas 15
Figure 2.	NEFOP Observed gillnet hauls and harbor porpoise bycatch from June 2007 and May 2008
	overlaid on top of existing and proposed regulatory areas shown in Figure 1 16

ABSTRACT

Harbor Porpoise Take Reduction Plan (HPTRP) compliance and bycatch rate analyses are updated for US Northwestern Atlantic gillnet fisheries data from June 2007 through May 2008. These updates stem from the recent Harbor Porpoise Take Reduction Team (HPTRT) meeting (December 17-19, 2007) and follow-up conference call (January 31, 2008). Updates are also provided for pinger tester development and HPTRP enforcement for the period from January of 2008 through July 2009. The observed compliance rate with HPTRP regulations for the Northeast and Mid-Atlantic gillnet fisheries was 62.2%. Bycatch rates in areas that would trigger closures under the proposed modifications to the HPTRP were significantly higher than the proposed target by catch rates. The combined by catch rate in times and areas that would trigger the Gulf of Maine Consequence Closure Area (CCA) was 0.067 harbor porpoise takes per metric ton landed, over twice the proposed target bycatch rate (0.031). Bycatch rates in the proposed Southern New England Management Area (MA) (0.096) were over four times the proposed target rate (0.023). Exceeding these proposed target by catch rates in two consecutive management seasons would result in closures of the corresponding CCAs. Over 97% of the incidental takes observed occurred in times and areas of existing or proposed management measures, with over 80% occurring in newly proposed times and areas. This suggests that proposed HPTRP modifications are targeted towards appropriate times and in the appropriate areas to reduce bycatch. Bycatch rates in existing MAs, in nets that had the required number of pingers, were about half that of non-pingered nets in the same times and areas. If proposed HPTRP modifications had been in place during the 2007-2008 management season, it is conservatively estimated that observed bycatch could have been reduced by 58% with full compliance. Limited pinger testing showed that 88% of pingers tested were working. Steps are also being taken to improve pinger testers and enforcement efforts.

INTRODUCTION

Regulations to limit harbor porpoise bycatch in US Northwest Atlantic commercial gillnet fisheries have been in place for over a decade. Management actions to reduce the serious injury and mortality of the Gulf of Maine/Bay of Fundy stock of harbor porpoises were first implemented through a Harbor Porpoise Take Reduction Plan (HPTRP) on January 1, 1999. The Harbor Porpoise Take Reduction Team (HPTRT) was reconvened in Philadelphia, PA from December 17-19, 2007 in response to recent harbor porpoise bycatch estimates that were above the stock's Potential Biological Removal¹ (PBR) level. On January 31, 2008 a follow-up HPTRT conference call occurred to continue discussions from the December 2007 meeting. The HPTRT aimed to develop further management actions to reduce the level of bycatch to below PBR, and if possible, to a level approaching a zero mortality and serious injury rate, known as the Zero Mortality Rate Goal (ZMRG), which is 10% of PBR. The HPTRT addressed the two-part problem of observed interactions occurring outside of existing Management Areas (MA), as well as documented non-compliance with existing management measures. The *Federal Register* notice describing the proposed modifications to the HPTRP (74 FR 36058; July 21, 2009) is available at http://www.nero.noaa.gov/nero/regs/frdoc/09/09HPTRPpr.pdf.

At the December 2007 HPTRT meeting, several key points were discussed that could influence the effectiveness of the proposed modifications to the HPTRP (NMFS 2009). These included:

- 1. Degree of compliance with HPTRP regulations;
- 2. Observed bycatch rates in the Gulf of Maine and Southern New England regions relative to the target bycatch rates proposed in the modified HPTRP, 0.031 and 0.023 harbor porpoises per metric tons (mtons) landed in two consecutive management seasons, respectively;
- 3. Development of pinger testers; and
- 4. Degree to which the HPTRP regulations are enforced.

For these four components, this manuscript aims to provide an update since the December 2007 meeting and associated subsequent research (e.g., Palka and Orphanides 2008a). HPTRP compliance and bycatch rates are supplied for the time period from June 2007 through May 2008, which updates the compliance and bycatch information previously provided from January 1, 1999 through May 31, 2007 in Palka *et al.* (2008) and Palka and Orphanides (2008a, 2008b). Compliance with existing regulations is summarized and bycatch rates are provided for existing MAs, and the existing and proposed MAs that could trigger closures of Consequence Closure Areas (CCAs) under the proposed modified HPTRP. In addition, updates for the period from January 2008 through July 2009 are provided on the development and assessment of pinger testers, and on the enforcement of the HPTRP regulations.

METHODS AND DATA

Bycatch and Compliance

Northeast Fishery Observer Program (NEFOP) data were used to calculate bycatch and compliance rates. Bycatch rates were calculated as the number of harbor porpoise takes per metric tons (mtons) of live fish landed. Dressed landed weights were converted to live weights using established

¹ PBR is defined as the maximum number of animals that may be removed from a marine mammal stock while allowing that stock to reach or maintain its optimum sustainable population. For the specifics on the harbor porpoise PBR, see the harbor porpoise stock assessment in the most recent report on the US Atlantic and Gulf of Mexico Marine Mammal Stock Assessments (Waring et al 2009) (http://www.nefsc.noaa.gov/publications/tm/tm210/index.htm)

conversion factors (Warden and Orphanides 2008), and rare missing values were imputed through medians from representative strata as in Warden and Orphanides (2008). Six out of the eight variables used in the bycatch and compliance analysis (pinger use, gear length, latitude, longitude, net length, and tie down use) contained less than 1% (<50 out of 4957) missing values. Mesh size was recorded on all but one observed haul, though for 97.18% (4817 out of 4957) of hauls it was recorded as a single value, and on 2.8% of hauls (139 of 4957) it was recorded as minimum and maximum values. When a minimum and maximum range was recorded, the average of these mesh sizes was used in the analysis. Missing twine size information on 3.81% of hauls (189 out of 4957) accounted for most of the imputed values in the dataset. Latitude and longitude was missing for 32 (0.6%) out of 4957 hauls. These locations were left unknown and therefore are not included when compliance and bycatch information was summarized by time and area. No hauls with harbor porpoise bycatch had missing or filled in values for any variables used in the analysis.

Recorded gear configurations were used to check for HPTRP compliance. Northeast sink gillnet gear was considered in compliance with pinger regulations if 90% of the required pingers were present. On a typical gillnet gear configuration with 10 nets, each 300 feet long, 11 pingers would be required (one pinger on each end of the string, and one in between each net). In this gear configuration, a string with 10 out of the 11 required pingers would be considered in compliance. In the Mid-Atlantic large mesh and small mesh regulations, tie-down spacing and number of nets per vessel were not checked because this information was not recorded on observer logs. The gear requirements that were checked include: pinger use, floatline length, net length, twine size, number of nets per string, tie-down length, and tie-down prohibition or requirement, depending on the fishery. Additionally, compliance with seasonal HPTRP closures to gillnet fishing was examined.

Pinger Testers and Enforcement

Pinger testers are hand held devices used by NEFOP observers to check if pingers are working properly. When a net is pulled from the water, observers can check to see if the pingers on the net are active by placing the pinger tester near a pinger attached to the net. The NEFOP attempted to improve existing pinger testers through contracting with an engineer, and by repairing existing pinger testers inhouse. The NEFOP also solicited quotes to develop a new pinger tester design. Pinger testers were deployed on 5 trips and the number of functioning pingers was recorded. In addition, NMFS has taken steps to improve HPTRP compliance monitoring.

RESULTS

Compliance

The overall observed compliance rate for the study period was 62.2% (Table 1). The Northeast sink gillnet fishery had very high compliance rates in the Massachusetts Bay MA (96.6%), and poorer compliance in the other management times and areas where there was observed effort (Mid-Coast, Cape Cod South, and Offshore MAs), resulting in an overall Northeast compliance rate of 66.3%. No hauls were observed in the Northeast MA or in the Cashes Ledge MA when they were closed to gillnets. The Mid-Atlantic had much poorer overall compliance rates, with an overall rate of 48.4%. For current HPTRP regulations see Table 2, and Figures 1a and 1b.

In the Northeast sink gillnet fishery, all non-compliant hauls were comprised of nets without the required number of pingers; no fishing was observed in areas closed to all gillnet fishing (Table 3). In contrast, in the Mid-Atlantic, about a quarter (25.2%) of all non-compliant hauls occurred in a closed area. Many (49.5%) of the Mid-Atlantic non-compliant hauls had smaller than regulation twine size,

and several (20.0%) that were not in compliance had longer than regulated total gear lengths and more nets than allowed (Table 3).

Bycatch rates

Bycatch rates during the 2007-2008 management season were higher than the proposed target bycatch rates in areas that could trigger closures under proposed management actions (Table 4). The proposed modifications to the HPTRP state that if the average bycatch rate from two consecutive management seasons in the Gulf of Maine CCA trigger area (composed of the Massachusetts Bay, Mid-Coast, and proposed Stellwagen Bank MAs) exceeds the target bycatch rate of 0.031 harbor porpoises/mtons landed, the result would be the seasonal closure of the Gulf of Maine CCA (Figure 1c). For the 2007-2008 management season, the combined bycatch rate (0.067 harbor porpoises/mtons landed) in these regions was over twice this target bycatch rate. It should be noted, however, that there are currently no HPTRP management measures in place in the proposed Stellwagen Bank MA.

Under the proposed modifications to the HPTRP, if the average bycatch rate for two consecutive management seasons in the proposed Southern New England MA exceeds the target bycatch rate of 0.023 harbor porpoises/mtons landed, the result would be the seasonal closure of the Cape Cod South Expansion CCA and the Eastern Cape Cod CCA (Figure 1c). For the 2007-2008 management season, the combined bycatch rate (0.096 harbor porpoise/mtons landed) in these regions was over four times the target bycatch rate (Table 4). It should be noted, however, that there are currently no HPTRP management measures in place for the proposed Southern New England MA aside from the current requirements in the Cape Cod South MA.

Twenty-nine (80.5%) of the 36 incidental takes observed between June 2007 and May 2008 occurred in times and areas of newly proposed management measures, and all of the remaining takes except for one occurred in existing MAs (Table 5, Figure 2). Among the seven bycatch events that did not occur in proposed MAs, 1 haul did not have pingers and was not required to, 3 hauls used pingers and were compliant with existing HPTRP regulations, and 3 hauls did not use pingers even though pingers were required; each of these hauls had one incidental take per haul (Table 5). In the existing HPTRP MAs, no bycatch was observed in the Cape Cod South and Offshore MAs, and 5 out of 6 bycatch events occurred in the Mid-Coast MA (Table 6). When current HPTRP MA hauls were in compliance with pinger regulations, the average bycatch rate was nearly half the rate of non-pinger compliant hauls in these same times and areas (0.019 vs. 0.038) (Table 6).

Twenty of the 29 takes that occurred in proposed MAs occurred in times and areas when pingers are not required currently, but are proposed for management under the proposed HPTRP modifications (Table 5, Figure 2). Fourteen of these 20 were observed in the proposed Southern New England MA on hauls with no pingers, and the other six were observed in the proposed Stellwagen Bank MA, of which two hauls had pingers on their nets at a level that would be compliant with proposed HPTRP modifications (Table 5). Nine of the 29 incidental takes in proposed MAs occurred in the proposed Mudhole South MA, which under the proposed measures would be closed when these takes occurred (Table 5, Figure 2). Included in the proposed Mudhole South bycatch was one haul that caught 4 harbor porpoises (the most of any haul during the study period) while using smaller than regulation twine size.

Pinger Testers

Development of NEFOP tester

Since the previous pinger tester update at the December HPTRT meeting, the NEFOP contracted with an engineering student at the University of Rhode Island to reconfigure 10 existing pinger testers that were not working optimally. NEFOP trained observers were issued the new testers and determined over the course of several months that the units that were reconfigured did not perform

well in the field. In February 2008 NEFOP started new market research to develop a new type of unit. A request for a quote to develop a new type of unit was published in June 2008. One proposal was submitted in response. After months of limited communication with the organization that submitted the proposal, in October 2008 the proposal was not accepted and it was determined that additional vendors were needed. The Northeast Regional Office (NERO) and NEFOP continued to search for possible vendors and found two additional potential vendors. In July 2009 the process to request a quote to design 32 testers was started and is currently in process.

While working on these requests for quotes, NEFOP has also been working on evaluating currently used testers. In January 2009 all testers were brought back to the NEFOP training center to evaluate their functionality. Several units that were not working well were repaired in-house and then were re-issued to observers that would be performing gillnet trips in the future. Though the testers still suffer from lack of durability and battery-life issues, six testers are currently in the field.

Summary of current pinger tester data

Since December 2007, pinger testers were deployed on 5 trips that were out of Gloucester, MA and Portland, ME. Of the 25 pingers tested, 22 (88%) were working (Table 7).

Enforcement

Enforcement is an essential component of monitoring regulatory compliance. Currently, NMFS is working to develop protocols to monitor compliance with the HPTRP requirements through coordination with NMFS' Office of Law Enforcement (OLE) and state partners. These protocols will facilitate the implementation of activities, including special operations, designed to monitor fishery management compliance through enforcement actions, and will ensure effective and efficient coordination between management and the OLE. The law enforcement operations outcomes, in conjunction with other data sources such as Fishery Observer sampling data, will provide the basis for future management decisions (e.g., consequence closure area implementation) and assist in monitoring the overall effectiveness of the HPTRP.

DISCUSSION

Overall compliance with pinger regulations in the Northeast during the 2007-2008 management season has improved from previous years (Palka and Orphanides 2008b). Compliance with regulations in the Waters off New Jersey decreased from the January to May 2007 period, though compliance rates were similar to the 2006 rates, and were greater than during the period from 2002 through 2006 (Palka and Orphanides 2008b). While it is encouraging to see increased compliance in the Northeast, where much of the bycatch occurs, the overall compliance rate is still poor. Roughly half of all observed hauls in the Mid-Atlantic during the study period were non-compliant, and more than a third were non-compliant in the Northeast.

Bycatch rates in areas that would trigger closures were far above target bycatch rates. However, the majority of bycatch occurred in areas that would be targeted in the proposed HPTRP modifications, thus suggesting that the proposed regulations are properly aimed and may provide significant decreases in bycatch if enacted. If the proposed HPTRP modifications had been in place for the 2007-2008 management season, and there was 100% compliance, we can assume that the 9 harbor porpoises incidentally caught in the proposed Mudhole South MA would not have been taken because the area would have been closed to gillnet fishing. This represents 25% of all observed takes. Also, given historically lower bycatch rates of 50-70% when pingers are used properly in the Northeast sink gillnet fishery (Palka et al. 2008), which is consistent with the rates observed during the 2007-2008

management season, we can conservatively estimate that, given 100% compliance, the number of harbor porpoises observed taken without pingers in the Northeast sink gillnet fishery would have been reduced from 23 to 12. This is another 33% decrease, for a total estimated decrease of 58% if the proposed HPTRP regulations had been in place and there was 100% compliance.

Reducing bycatch in the US Northwest Atlantic gillnet fishery is largely dependent on compliance with existing and future regulations. Enforcement of these regulations will be an integral part of monitoring the HPTRP in the coming years, as the bycatch rates will determine whether or not CCAs are enacted. In combination with enforcement, pinger testing can help improve the effectiveness of pingers by alerting fishers when their pingers are not functioning, and thus also improve and monitor compliance.

REFERENCES

- NMFS. 2009. Proposed Modifications to the Harbor Porpoise Take Reduction Plan Draft Environmental Assessment. National Marine Fisheries Service, Gloucester, MA.
- Palka DL, Rossman, MC, VanAtten, AS, and CD Orphanides. 2008. Effect of pingers on harbour porpoise bycatch in the US northeast gillnet fishery. J. Cetacean Res. Manage. 10(3):217-226.
- Palka DL, Orphanides CD. 2008a. Harbor porpoise bycatch rates that indicate compliance with pinger regulations for the Northeast gillnet fishery. US Dept Commer, Northeast Fish Sci Cent Ref Doc. 08-10; 13 p. Available from: National Marine Fisheries Service, 166 Water Street, Woods Hole, MA 02543-1026 or <u>http://www.nefsc.noaa.gov/publications/crd/crd0810/</u>
- Palka DL, Orphanides CD. 2008b. Predicted bycatch of harbor porpoises under various alternatives to reduce bycatch in the US Northeast and Mid-Atlantic gillnet fisheries. US Dep Commer, Northeast Fish Sci Cent Ref Doc. 08-14; 31 p. Available from: National Marine Fisheries Service, 166 Water Street, Woods Hole, MA 02543-1026 or http://www.nefsc.noaa.gov/publications/crd/crd0814/
- Warden ML, Orphanides CD. 2008. Preparation of the Northeast Fisheries Observer Program gillnet data for use in bycatch analyses of protected species. US Dept Commer, Northeast Fish Sci Cent Ref Doc. 08-17; 44 p. Available from: National Marine Fisheries Service, 166 Water Street, Woods Hole, MA 02543-1026 or <u>http://www.nefsc.noaa.gov/publications/crd/crd0817/</u>.
- Waring GT, Josephson E, Fairfield-Walsh CP, Maze-Foley K, editors. 2009. U.S. Atlantic and Gulf of Mexico Marine Mammal Stock Assessments -- 2008. NOAA Tech Memo NMFS NE 210; 440 p. Available from: National Marine Fisheries Service, 166 Water Street, Woods Hole, MA 02543-1026 or http://www.nefsc.noaa.gov/publications/tm/tm210/.

Time Period	Management Area	Total Observed Hauls in Non- Compliance	Total Observed Hauls	Percent Compliant Hauls
Dec 1 - May 31	Cape Cod South	47	137	65.7
Dec 1 - May 31	MassBay	3	88	96.6
Sep 15 - May 31	MidCoast	117	336	65.2
Nov 1 - May 31	Offshore	79	170	53.5
	Northeast Total	246	731	66.3
Jan 1 - Apr 30	Mudhole Large Mesh	2	2	0.0
Jan 1 - Apr 30	Mudhole Small Mesh	0	0	NA
Feb 1 - Apr 30	Southern Mid-Atlantic Large Mesh	50	89	43.8
Feb 1 - Apr 30	Southern Mid-Atlantic Small Mesh	30	75	60.0
Jan 1 - Apr 30	Waters off New Jersey Large Mesh	27	47	42.6
Jan 1 - Apr 30	Waters off New Jersey Small Mesh	6	10	40.0
	Mid-Atlantic Total	115	223	48.4
	All Areas Total	361	954	62.2

Table 1. Observed overall Harbor Porpoise Take Reduction Plan (HPTRP) compliance by time period and Management Area (MA).

Table 2. Harbor Porpoise Take Reduction Plan (HPTRP) management measures for large and small mesh nets in the Mid-Atlantic gillnet fishery.

LARGE MESH FISHERY (7 inches to 18 inches)

Floatline length:	
NJ Mudhole	<= 3,900 ft
NJ waters (excluding the Mudhole)	<= 4,800 ft
Southern Mid-Atlantic waters	<= 3,900 ft
Twine Size	>= 0.90 mm
Tie Downs	Required; spaced not more than 15 ft apart along floatline; not more than 48 inches in length
Net Number per Vessel	<= 80 nets
Net Size	<= 300 ft
Number of Nets within a Net String	
NJ Mudhole	<= 13 nets
NJ waters (excluding the Mudhole)	<= 16 nets
Southern Mid-Atlantic waters	<= 13 nets
Time/Area Closures:	
NJ waters (including the Mudhole)	Closed from Apr 1 – 20
NJ Mudhole	Closed from Feb 15 – Mar 15, April 1 -20
Southern Mid-Atlantic waters	Closed from Feb 15 – Mar 15
Gear Modification Requirements:	
NJ waters (excluding the Mudhole)	Jan 1 – Mar 30 and Apr 21 – 30
NJ Mudhole	Jan 1 – Feb 14; Mar 16 – Mar 31; and Apr 21 – 30
Southern Mid-Atlantic waters	Feb 1 – Feb 14 and Mar 16 – Apr 30

SMALL MESH FISHERY (> 5 inches to < 7 inches)

Flootling longth:	,
Floatline length:	
NJ waters (including the Mudhole)	<= 3,000 ft
Southern Mid-Atlantic waters	<= 2,118 ft
Twine Size	>= 0.81 mm
Tie Downs	Prohibited
Net Number per Vessel	<= 45 nets
Net Size	<= 300 ft
Number of Nets within a Net String	
NJ Waters (including the Mudhole)	<= 10 nets
Southern Mid-Atlantic waters	<= 7 nets
Time/Area Closures:	
NJ Mudhole	Closed from Feb 15 - Mar 15
Gear Modification Requirements:	
NJ waters (excluding Mudhole)	Jan 1 – Apr 30
NJ Mudhole	Jan 1 – Feb 14 and Mar 16 – Apr 30
Southern Mid-Atlantic waters	Feb 1 – Apr 30

Table 3. Observed compliance with Harbor Porpoise Take Reduction Plan (HPTRP) regulations categorized by compliance infraction. NA indicates possible violation categories that are not applicable. For additional details on existing gear modification requirements, see Table 2 or the HPTRP Mid-Atlantic Guide online at: <u>http://www.nero.noaa.gov/prot_res/porptrp/doc/HPTRPGuideMidAtlantic.pdf</u>. For more information on existing HPTRP regulations, view the NOAA Fisheries Service Northeast Regional Office's HPTRP website at: <u>http://www.nero.noaa.gov/prot_res/porptrp/</u>

			General Vie Catego		Specific Violation Categories							
Time Period	Management Area	Total Observed Hauls in Non- Compliance	Gear Modification	Closed Area	Multiple Violations Per Haul	Pingers Required	Gear Length	Number of Nets	Twine Size	Tie- Down Lengths	Tie-Downs Required / Prohibited	Net Length
Dec 1 - May 31	Cape Cod South	47	47	0	0	47	NA	NA	NA	NA	NA	NA
Dec 1 - May 31	Massachusetts Bay	3	3	0	0	3	NA	NA	NA	NA	NA	NA
Sep 15 - May 31	Mid-Coast	3 117	3 117	0	0		NA	NA	NA	NA	NA	NA
Nov 1 - May 31	Offshore	79	79	0	0	79	NA	NA	NA	NA	NA	NA
Jan 1 - Apr 30 Jan 1 - Apr 30	Mudhole Large Mesh Mudhole Small	2	2	0	2	NA	2	2	0	0	NA	0
Feb 1 - Apr 30	Mesh Southern Mid-	0	0	0	0	NA	0	0	0	0	0	0
Feb 1 - Apr 30	Atlantic Large Mesh Southern Mid-	50	30	20	13	NA	12	12	17	0	5	0
Jan 1 - Apr 30	Atlantic Small Mesh Waters off New	30	30	0	0	NA	0	0	30	0	0	0
·	Jersey Large Mesh Waters off New	27	18	9	9	NA	9	9	4	5	0	0
Jan 1 - Apr 30	Jersey Small Mesh	6	6	0	0	NA	0	0	6	0	0	0

Table 4. Harbor porpoise bycatch rates in Northeast Management Areas (MA) that could trigger closures under proposed Harbor Porpoise Take Reduction Plan (HPTRP) management actions. In the proposed management actions, an average bycatch rate over two consecutive years that is above 0.031 harbor porpoises/mtons landed in the Gulf of Maine Consequence Closure Area (CCA) trigger area (comprised of the Massachusetts Bay, Mid-Coast, and the proposed Stellwagen Bank MAs) would result in the seasonal closure of the Gulf of Maine CCA. An average bycatch rate over two consecutive years that is above 0.023 harbor porpoises/mtons landed in the Southern New England MA would trigger the seasonal closure of the Cape Cod South Expansion CCA and the Eastern Cape Cod CCA.

Time Period	Management Area	Hauls	Landings (mtons)	Harbor Porpoise	Bycatch Rate
Nov 1 - May 31	Massachusetts Bay (including proposed changes)	91	10.37	1	0.096
Sep 15 - May 31	Mid-Coast	336	148.65	5	0.034
Nov 1 - May 31	Proposed Stellwagen Bank MA	214	19.84	6	0.302
Sep 15 - May 31	Gulf of Maine CCA Trigger Area	641	178.86	12	0.067
Dec 1 - May 31	Proposed Southern New England MA	436	145.75	14	0.096

Table 5. Number of harbor porpoise bycatch by year, month, Mangement Areas (MA), pinger use (whether at least 90% of the required number of pingers was used), and Harbor Porpoise Take Reduction Plan (HPTRP) compliance. For the purposes of this table, pinger use (Yes/No) in the proposed Stellwagen Bank MA was put into "Yes" and "No" categories by the same 90% cutoff as other current MAs, even though pingers are not currently required there.

Year	Month	Current Management Areas	Current and Proposed Mgmt. Areas (and Mgmt. Measures)	Harbor Porpoise	Pingers Used	Compliance with Current HPTRP	HPTRP Violation Type
2007	July		None	1	No	Compliant	None
2007	Dec	Mid-Coast	Mid-Coast (Pingers)	1	Yes	Compliant	None
2008	Jan		Southern New England (Pingers)	1	No	Compliant	None
2008	Jan		Southern New England (Pingers)	1	No	Compliant	None
2008	Jan		Southern New England (Pingers)	1	No	Compliant	None
2008	Jan		Southern New England (Pingers)	1	No	Compliant	None
2008	Feb		Southern New England (Pingers)	1	No	Compliant	None
2008	Feb		Stellwagen Bank (Pingers)	1	Yes	Compliant	None
2008	Feb		Stellwagen Bank (Pingers)	1	Yes	Compliant	None
2008	Feb		Stellwagen Bank (Pingers)	1	No	Compliant	None
2008	Feb	Massachusetts Bay	Massachusetts Bay (Pingers)	1	Yes	Compliant	None
2008	Feb	Mid-Coast	Mid-Coast (Pingers)	1	Yes	Compliant	None
2008	Feb	Waters off New Jersey	Mudhole South (Closure)	4	NA	Non-Compliant	Small Twine Size
2008	Feb	Waters off New Jersey	Mudhole South (Closure)	2	NA	Compliant	None
2008	Feb	Waters off New Jersey	Mudhole South (Closure)	2	NA	Compliant	None
2008	Feb	Waters off New Jersey	Mudhole South (Closure)	1	NA	Compliant	None
2008	March		Southern New England (Pingers)	1	No	Compliant	None
2008	March		Southern New England (Pingers)	2	No	Compliant	None
2008	March		Southern New England (Pingers)	3	No	Compliant	None
2008	March		Stellwagen Bank (Pingers)	1	No	Compliant	None
2008	March		Stellwagen Bank (Pingers)	1	No	Compliant	None
2008	March		Stellwagen Bank (Pingers)	1	No	Compliant	None
2008	March	Mid-Coast	Mid-Coast (Pingers)	1	No	Non-Compliant	No Pingers Used
2008	March	Mid-Coast	Mid-Coast (Pingers)	1	No	Non-Compliant	No Pingers Used
2008	May		Southern New England (Pingers)	2	No	Compliant	None
2008	May		Southern New England (Pingers)	1	No	Compliant	None
2008	May	Mid-Coast	Mid-Coast (Pingers)	1	No	Non-Compliant	No Pingers Used

Time Period	Existing HPTRP Management Areas	Pinger Compliance	Observed Hauls	Landings (mtons)	Harbor Porpoise	Bycatch Rate
Dec 1 - May 31	Cape Cod South	No	47	12.76	0	0.000
Dec 1 - May 31	Cape Cod South	Yes	90	15.10	0	0.000
Dec 1 - May 31	MassBay	No	3	0.36	0	0.000
Dec 1 - May 31	MassBay	Yes	85	9.85	1	0.102
Sep 15 - May 31	MidCoast	No	117	34.70	3	0.086
Sep 15 - May 31	MidCoast	Yes	219	113.95	2	0.018
Nov 1 - May 31	Offshore	No	79	31.04	0	0.000
Nov 1 - May 31	Offshore	Yes	91	20.56	0	0.000
Totals	All Mgmt Areas	No	246	78.86	3	0.038
Totals	All Mgmt Areas	Yes	485	159.45	3	0.019

Table 6. Bycatch rates in current HPTRP Management Areas (MA) by pinger use compliance.

_Trip	not tested (tester malfunction)	inaudible, tested, and working	audible, tested, and working	inaudible, tested, and not working
Trip 1	4	2	1	1
Trip 2 - first haul		1	3	1
Trip 2 - second haul		1	4	1
Trip 3 (data discarded due to recording error)				
Trip 4			6	
Trip 5 - first haul			2	
Trip 5 - second haul			2	
Totals	4	4	18	3

 Table 7.
 Summary of pinger tester data collected since January 2008

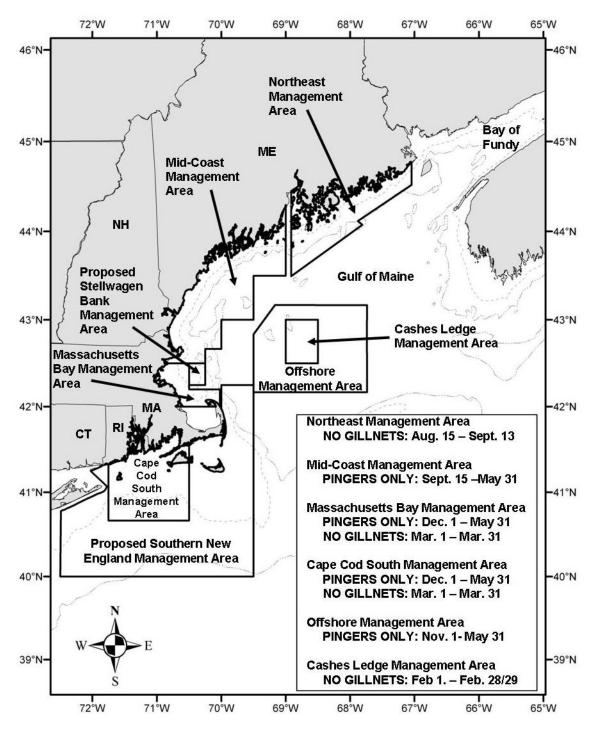


Figure 1a. Current Northeast Harbor Porpoise Take Reduction Plan (HPTRP) Closure Areas and the management measures associated with them, and two newly proposed Management Areas (MA). Note that under the proposed HPTRP modifications, part of the Massachusetts Bay Closure Area would be expanded slightly to the north, eliminating the small gap between it and the proposed Stellwagen Bank MA to the north. Under the proposed HPTRP modifications, the time period for the Massachusetts Bay MA would be lengthened to include November, which would match the time period for the adjacent proposed Stellwagen Bank MA (Nov 1 – May 31). The time period for the proposed pinger requirement in the Southern New England MA would be from Dec 1 through May 31. For more information on existing and proposed HPTRP regulations, view the NOAA Fisheries Service Northeast Regional Office's HPTRP website at: http://www.nero.noaa.gov/prot_res/porptrp/

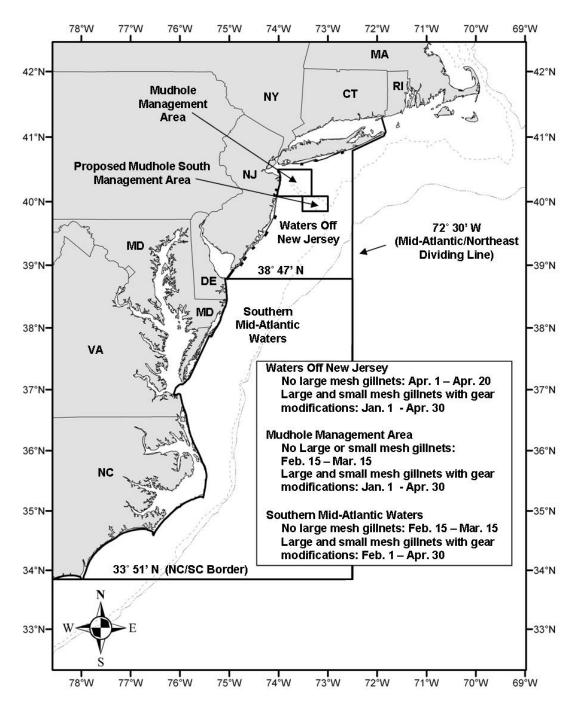


Figure 1b. Current Mid-Atlantic Harbor Porpoise Take Reduction Plan (HPTRP) Management Areas (MA) and a summary of the associated regulations, and one proposed MA. The proposed Mudhole South MA would be closed to gillnet gear from February 1 through March 15, and gear requirements would be mandatory from January 1 through April 30, except when the existing Mudhole or Waters off New Jersey closures apply. Under the proposed HPTRP modifications, the existing Mudhole Closure Area would be renamed the Mudhole North Management Area. *Under the proposed HPTRP modifications, the boundary between the Northeast and the Mid-Atlantic would be modified so that it intersects the south shore of Long Island, instead of ending at 40°40'N as is shown in the figure and in the current regulations. For more details on existing gear modification requirements, see Table 2 or the HPTRP Mid-Atlantic Guide online at:

<u>http://www.nero.noaa.gov/prot_res/porptrp/doc/HPTRPGuideMidAtlantic.pdf</u>. For more information on both existing and proposed HPTRP regulations, view the NOAA Fisheries Service Northeast Regional Office's HPTRP website at: <u>http://www.nero.noaa.gov/prot_res/porptrp/</u>

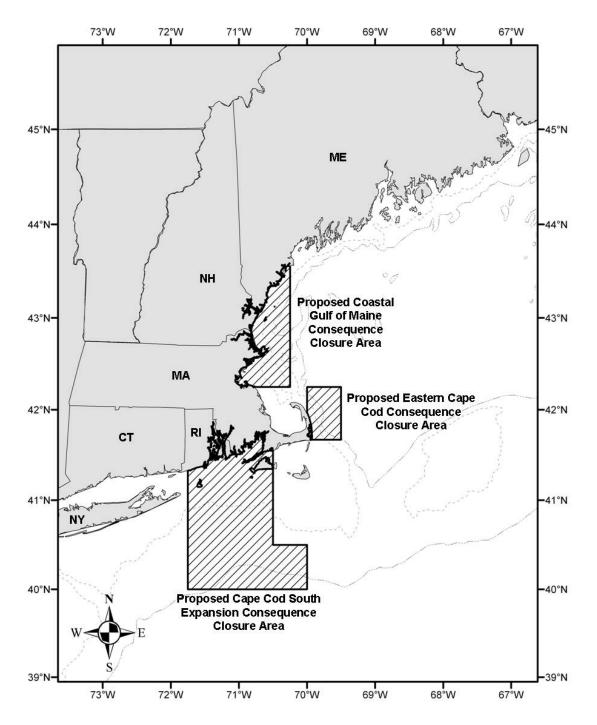


Figure 1c. Harbor Porpoise Take Reduction Plan (HPTRP) proposed seasonal Consequence Closure Areas (CCA). In the proposed management actions, an average bycatch rate over two consecutive years that is above 0.031 harbor porpoises/mtons landed in the Gulf of Maine CCA trigger area (comprised of the Massachusetts Bay, Mid-Coast, and the proposed Stellwagen Bank Management Areas (MA), see Figure 1a) would result in the closure of the Gulf of Maine Consequence Closure Area (CCA) during October and November. An average bycatch rate over two consecutive years that is above 0.023 harbor porpoises/mtons landed in the Southern New England MA would trigger the seasonal closure of the Cape Cod South Expansion CCA and the Eastern Cape Cod CCA from February through April. For more information on proposed HPTRP regulations, view the NOAA Fisheries Service Northeast Regional Office's HPTRP website at: http://www.nero.noaa.gov/prot_res/porptrp/

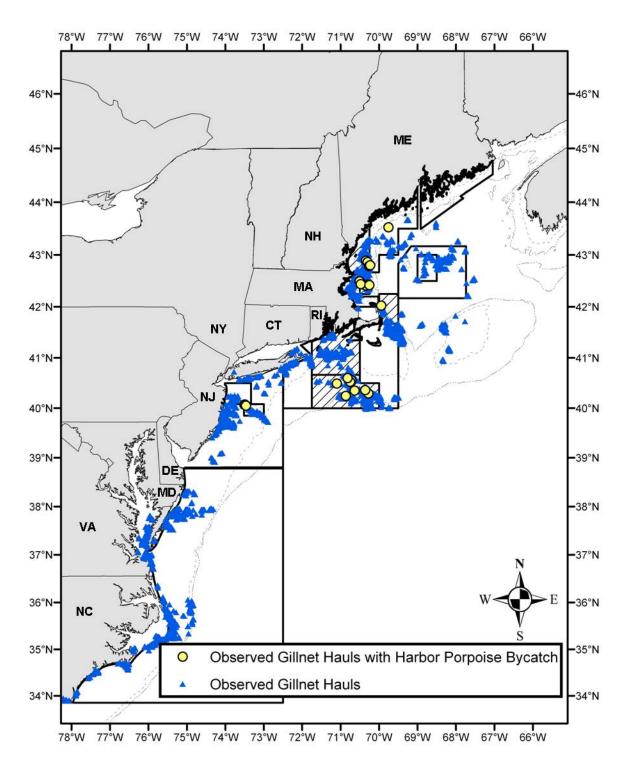


Figure 2. NEFOP Observed gillnet hauls and harbor porpoise bycatch from June 2007 and May 2008 overlaid on top of existing and proposed regulatory areas shown in Figure 1. The hatched areas depict Consequence Closure Areas (CCA) that would be triggered should the target bycatch rates be exceeded in the future.

Clearance

All manuscripts submitted for issuance as CRDs must have cleared the NEFSC's manuscript/abstract/ webpage review process. If any author is not a federal employee, he/she will be required to sign an "NEFSC Release-of-Copyright Form." If your manuscript includes material from another work which has been copyrighted, then you will need to work with the NEFSC's Editorial Office to arrange for permission to use that material by securing release signatures on the "NEFSC Use-of-Copyrighted-Work Permission Form."

For more information, NEFSC authors should see the NEFSC's online publication policy manual, "Manuscript/abstract/webpage preparation, review, and dissemination: NEFSC author's guide to policy, process, and procedure," located in the Publications/Manuscript Review section of the NEFSC intranet page.

Organization

Manuscripts must have an abstract and table of contents, and (if applicable) lists of figures and tables. As much as possible, use traditional scientific manuscript organization for sections: "Introduction," "Study Area" and/or "Experimental Apparatus," "Methods," "Results," "Discussion," "Conclusions," "Acknowledgments," and "Literature/References Cited."

Style

The CRD series is obligated to conform with the style contained in the current edition of the United States Government Printing Office Style Manual. That style manual is silent on many aspects of scientific manuscripts. The CRD series relies more on the CSE Style Manual. Manuscripts should be prepared to conform with these style manuals.

The CRD series uses the American Fisheries Society's guides to names of fishes, mollusks, and decapod

crustaceans, the Society for Marine Mammalogy's guide to names of marine mammals, the Biosciences Information Service's guide to serial title abbreviations, and the ISO's (International Standardization Organization) guide to statistical terms.

For in-text citation, use the name-date system. A special effort should be made to ensure that all necessary bibliographic information is included in the list of cited works. Personal communications must include date, full name, and full mailing address of the contact.

Preparation

Once your document has cleared the review process, the Editorial Office will contact you with publication needs – for example, revised text (if necessary) and separate digital figures and tables if they are embedded in the document. Materials may be submitted to the Editorial Office as files on zip disks or CDs, email attachments, or intranet downloads. Text files should be in Microsoft Word, tables may be in Word or Excel, and graphics files may be in a variety of formats (JPG, GIF, Excel, PowerPoint, etc.).

Production and Distribution

The Editorial Office will perform a copy-edit of the document and may request further revisions. The Editorial Office will develop the inside and outside front covers, the inside and outside back covers, and the title and bibliographic control pages of the document.

Once both the PDF (print) and Web versions of the CRD are ready, the Editorial Office will contact you to review both versions and submit corrections or changes before the document is posted online.

A number of organizations and individuals in the Northeast Region will be notified by e-mail of the availability of the document online. Research Communications Branch Northeast Fisheries Science Center National Marine Fisheries Service, NOAA 166 Water St. Woods Hole, MA 02543-1026

MEDIA MAIL

Publications and Reports of the Northeast Fisheries Science Center

The mission of NOAA's National Marine Fisheries Service (NMFS) is "stewardship of living marine resources for the benefit of the nation through their science-based conservation and management and promotion of the health of their environment." As the research arm of the NMFS's Northeast Region, the Northeast Fisheries Science Center (NEFSC) supports the NMFS mission by "conducting ecosystem-based research and assessments of living marine resources, with a focus on the Northeast Shelf, to promote the recovery and long-term sustainability of these resources and to generate social and economic opportunities and benefits from their use." Results of NEFSC research are largely reported in primary scientific media (*e.g.*, anonymously-peer-reviewed scientific journals). However, to assist itself in providing data, information, and advice to its constituents, the NEFSC occasionally releases its results in its own media. Currently, there are three such media:

NOAA Technical Memorandum NMFS-NE -- This series is issued irregularly. The series typically includes: data reports of long-term field or lab studies of important species or habitats; synthesis reports for important species or habitats; annual reports of overall assessment or monitoring programs; manuals describing program-wide surveying or experimental techniques; literature surveys of important species or habitat topics; proceedings and collected papers of scientific meetings; and indexed and/or annotated bibliographies. All issues receive internal scientific review and most issues receive technical and copy editing.

Northeast Fisheries Science Center Reference Document -- This series is issued irregularly. The series typically includes: data reports on field and lab studies; progress reports on experiments, monitoring, and assessments; background papers for, collected abstracts of, and/or summary reports of scientific meetings; and simple bibliographies. Issues receive internal scientific review and most issues receive copy editing.

Resource Survey Report (formerly *Fishermen's Report*) -- This information report is a regularly-issued, quick-turnaround report on the distribution and relative abundance of selected living marine resources as derived from each of the NEFSC's periodic research vessel surveys of the Northeast's continental shelf. This report undergoes internal review, but receives no technical or copy editing.

TO OBTAIN A COPY of a *NOAA Technical Memorandum NMFS-NE* or a *Northeast Fisheries Science Center Reference Document*, either contact the NEFSC Editorial Office (166 Water St., Woods Hole, MA 02543-1026; 508-495-2350) or consult the NEFSC webpage on "Reports and Publications" (http://www.nefsc.noaa.gov/nefsc/publications/). To access *Resource Survey Report*, consult the Ecosystem Surveys Branch webpage (http://www.nefsc.noaa.gov/femad/ecosurvey/mainpage/).

ANY USE OF TRADE OR BRAND NAMES IN ANY NEFSC PUBLICATION OR REPORT DOES NOT IMPLY ENDORSE-MENT.