



The Disconnect Between Knowledge and Perceptions: A Study of Fishermen's Local Ecological Knowledge and Their Perception of the State of Fisheries and How These Are Managed in the Dominican Republic

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Accepted: 18 January 2022

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Abstract

Understanding what fishers know about the ecology of the fish they catch, and how they perceive the state and management of their fisheries can guide efforts towards more sustainable fishing practices. We tested relationships between fishers' local ecological knowledge (LEK) and their perceptions of their fisheries and of marine protected areas in the Dominican Republic. A qualitative-quantitative methodological sequence using data from interviews with 152 multi-species fishers revealed variable, but generally high levels of LEK, particularly of habitat use and predator–prey interactions. The majority reported negative perceptions of the state of their fishery and were aware of local management actions. Contrary to study expectations, we found that fishers' LEK, measured by Cultural Consensus Analysis, did not significantly co-vary with their perceptions of the state of fisheries or with their awareness of, and support for, marine protected areas. These results highlight the need to identify and understand barriers to information flow and communication in local fisheries' social/political networks.

Keywords Fishers · Local ecological knowledge · Coastal fisheries · Cultural consensus · Perceptions · Dominican Republic

Introduction

The widespread failure to sustain fisheries has been attributed to simultaneous effects of overfishing and natural disturbances on fish habitats (Hughes, 1994; Pandolfi et al.,

2003). Others also cite overlooked social factors surrounding fisheries (Mascia, 2004; McGoodwin, 1990). These pressures are increasing with a growing dependence on coastal resources (Salas et al., 2007) and mounting uncertainty around subsistence strategies (Hilborn & Walters, 2013). In the tropics, a scarcity of scientific data on fish populations and fishing practices renders the current state of fisheries and their management uncertain and fishers' knowledge and perceptions on the state of the fisheries can expand the available data enabling the implementation of strategies to sustain fisheries and conserve ecosystems.

Fishers in coastal communities possess a wealth of local ecological knowledge (LEK) (Johannes et al., 2000). The study of LEK may integrate diverse forms of information including scientific knowledge, beliefs, and lived experiences (Berkes, 1999). These are understandings held by a given group of people regarding local ecosystems (Olsson & Folke, 2001) that are passed from generation to generation influencing the nature, timing, and location of fishing practices (Johannes & Hviding, 2000). The study of traditional ecological knowledge emphasizes attributes of history

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and cultural continuity, but we consider LEK to also include knowledge related to the exploration and development of new fishing practices as fishers adapt to changing conditions and exploit new opportunities. Our specific focus is knowledge of the ecology of harvested species.

Historically, fishers' knowledge was often ignored (Johannes et al., 2000) and rarely integrated into fisheries science (Hind, 2015). The scientific community regarded LEK as less precise and differing from Western scientific knowledge used in fisheries management (Raymond et al., 2010). By ignoring fishers' views, fishery managers risked "missing the boat" (Johannes et al., 2000). Today, LEK serves as a powerful tool to understand coastal communities as social-ecological systems (Salpeteur et al., 2017) to complement scientific research (Bender et al., 2014; Paterson, 2010; Turner et al., 2015), and inform management (Charlotte et al., 2021; Haggan et al., 2007; Sjöstrom et al., 2021). Other studies addressed the benefits of LEK for conservation and marine protected areas (MPAs) (Gerhardinger et al., 2009; Lundquist & Granek, 2005), the importance of fisher's perceptions (Carothers et al., 2014), and understanding levels of agreement amongst fishers (Figus et al., 2017), as well as how participatory approaches are important for fisheries management (Johannes, 1991; Sánchez-Jiménez et al., 2019). The benefits of using LEK go beyond understanding the social and social-ecological challenges that small-scale fishing communities face; in some cases, LEK is more cost-effective to obtain (Aswani & Lauer, 2006; Macusi et al., 2017) than scientific data, and also increases trust among stakeholders and managers (Wilson, 2003).

Although the study of LEK is expanding (Hind, 2015) and its value increasingly recognized (Sutherland et al., 2014), its quantification and interpretation remain a challenge. To describe variation (intra- or intercultural) in knowledge, some scientists have employed cultural consensus analysis (CCA), based on the cultural consensus model (CCM) (Romney et al., 1987). Treating culture as a cognitive phenomenon consisting of learned and shared information and behavior, CCA provides a robust and replicable way to test patterns of shared knowledge (Romney et al., 1987; Weller, 2007). CCM assumes that knowledge is transmitted socially and intra-culturally distributed to varying degrees in a population based on social, individual, and stochastic factors (Borgatti & Halgin, 2011; Romney et al., 1996). Thus, fishers can be assumed to share knowledge based on 1) their common experiences of harvesting and observing local resources, and 2) intracultural and intergenerational communication, both formally (e.g., apprenticeships and socialization as members of a fishing culture) and informally (while fishing) (García-Quijano, 2009).

Knowledge and perceptions gained through cognitive and social networks (Olsson et al., 2004; Turner et al., 2014) may also help to explain fishers' behaviors and

their decision-making. Perceptions reflect people's understanding of the social and physical world around them and their expectations in their society (Uddin & Foissal, 2007). Perceptions — together with beliefs — refer to position-limited information about the state of things or what processes are happening. The understanding of different perceptions and values can both improve management efforts and help solve existing social-environmental conflicts. These values are linked to fishers' understanding of ecosystem services and their support for protecting species habitats (García-Quijano & Valdez-Pizzini, 2015).

Study Area

We studied local fishers in Samaná Bay, on the northeast coast of the Dominican Republic (D.R.) (Fig. 1), which supports one of the most important fisheries of the D.R. (Herrera et al., 2011; Ministerio de Economía, 2019). Small-scale artisanal fisheries here, like many tropical coastal fisheries, are decentralized and fishers live in small communities along the coastline that rely on coastal resources for both income and food security. Historically, many fishers alternated their livelihood between farming and fishing, but increasing reliance on coastal resources has intensified pressure on fisheries (Partelow et al., 2020). During the mid-1990s, this region experienced an expansion of the fisheries sector, with the adoption of different types of gear and the targeting of multiple species (Herrera et al., 2011). Local fishers' concerns about declining fisheries were documented during this period of expansion (McCann, 1994) and continue to the present day (Eastwood et al., 2017).

In response to overfishing, the national government established MPAs and fishing regulations in the region. The MPAs are managed by the Ministry of the Environment and Natural resources and the National Office for Protected Areas (Herrera et al., 2011; Ministerio de Medio Ambiente y Recursos Naturales, 2013). In Samaná, the National Park of Los Haitises was established in 1976, but enforcement of park regulations was implemented only in the 1990s (McCann, 1994).

Objectives and Hypothesis

We studied the LEK of fishers in Samaná Bay and how they perceive the state of their fisheries. Specifically, we report on the connections between fishers' LEK and their knowledge and perceptions regarding MPAs, the state of the fishery, and the factors that affect the fisheries. Our goal was to address the following areas:

- (1) The nature and content of fishers' knowledge about multiple important fishery species;

Table 1 Survey questions used to record fishers' LEK on the species fished and their perceptions of factors affecting their fisheries

| Local Ecological Knowledge (LEK) | |
|--|---|
| 1. How would you describe the habitat where this species is fished? | |
| 2. What are the depths or depth ranges where you find this species? | |
| 3. During what time of the year do you catch this species? | |
| 4. During what time of the year would you say this species reproduces ? | |
| 5. Who are the predators of this species? | |
| Perceptions | (Further breakdown) (Possible Responses) |
| 1. Do you know of any MPAs in this area? | (Knowledge) (Yes/No) |
| 2. Do you agree with the establishment of the MPA? | (Attitude) (Yes/No) |
| 3. What is the State of the Fisheries where you fish? | (Perception) (Good/In between/bad) |
| 4. What is the Factor that is affecting the fisheries the most where you fish? | (Perception) (Descriptive variables) |

- (2) How LEK varies intra-culturally among fishers;
- (3) How fishers vary in their knowledge and perceptions concerning the establishment of MPAs, the changes in their fishery, and factors affecting their fishery;
- (4) Whether fishers' LEK is linked to their perceptions of the state of their fishery and of how the fishery is managed.

At the start of each interview, anonymity and privacy statements were explained, and the respondents learned about the purpose of the study. Each respondent received a copy of the informed consent form. Permission was usually obtained verbally (in accord with the University of Rhode Island Institutional Research Board). Each fisher was interviewed separately so that their responses would be independent.

Methodology and Research design

Field Interviews

We visited 10 different communities recommended by local scientists and fishers as key fishery-dependent communities in the region (Fig. 1). We conducted structured interviews with 152 fishers during summer of 2011. In each community, fishers were approached as they were encountered at the docks and landing stations. The sample of local fishers was enhanced using snowball sampling (Bernard, 2006): once an interview was completed, the fishers were thanked and asked if they knew of other fishers to interview.

Measuring Local ecological knowledge and Marine Protected Area knowledge

Elicitation and Coding

We asked fishers about the ecology of species they commonly harvested (Table 1) and most fishers volunteered responses for several species (mean = 4.5 species per fisher), in total 66 species of fish (Appendix A and B) and invertebrates (Appendix C) from multiple habitats. We selected eight key species for detailed analysis (Table 2) because they were of high value economically and/or for food security. The fishers' responses were tabulated separately for each

Table 2 The eight species of fish harvested in Samaná Bay that were analyzed using the CCA, and methods used to capture them

| Species Name | English Common Name | Spanish Common Name (in Samaná) | Capture Method |
|---------------------------------|---------------------|---------------------------------|------------------------------|
| <i>Lutjanus campechanus</i> | red snapper | Chillo, Colorado, Pargo | Line and spear fishing |
| <i>Carangoides bartholomaei</i> | yellow jack | Jurelete | Line and spear fishing |
| <i>Ocyurus chrysurus</i> | yellowtail snapper | Colirubia | Line and spear fishing |
| <i>Scomberomorus maculatus</i> | kingfish mackerel | Carite, Guatapanal | Line fishing |
| <i>Haemulon plumieri</i> | white grunt | Bocayate | Line and spear fishing |
| <i>Coryphaena hippurus</i> | mahi mahi | Dorado | Line fishing |
| <i>Panulirus argus</i> | spiny lobster | Langosta | Traps, spear fishing |
| <i>Penaeus spp.</i> | shrimp | Camarón | Gill net fishing, other nets |

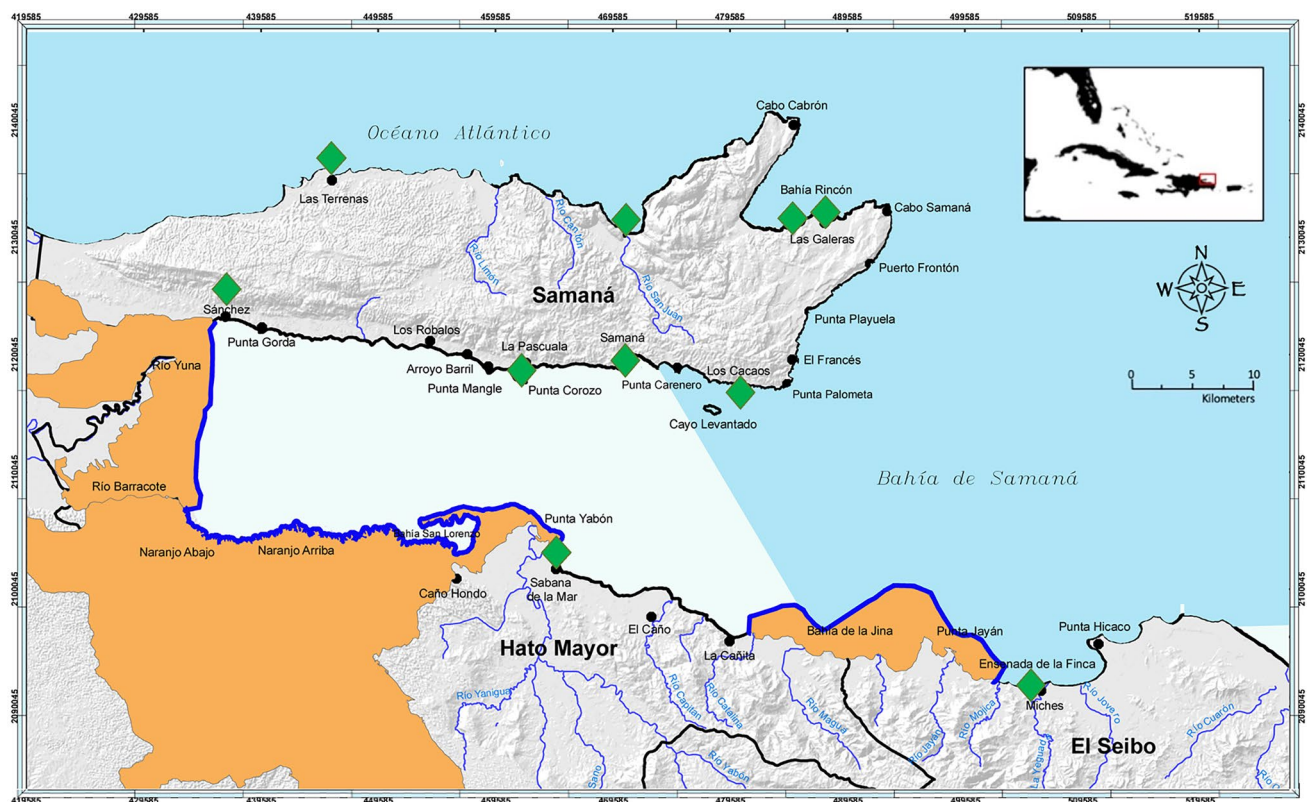
Table 3 Summary of CCA model output. Demographic information on the fishers represented in the groups found to have measured evidence of shared knowledge, the coding scheme used for the analysis and the cultural consensus analysis results indicating the data's fitness to the model

| | <i>red snapper</i> | <i>yellowtail snapper</i> | <i>lobster</i> | <i>shrimp</i> | <i>Total Combined</i> |
|----------------------------------|--------------------|---------------------------|----------------|---------------|-----------------------|
| Fishers | 76 | 53 | 34 | 21 | 116 |
| Average age | 47 | 51 | 38 | 43 | 45 |
| Average no. of yrs. fishing | 26 | 33 | 18 | 26 | 26 |
| CCM coding level of Specificity | Low | Low | Low | Low | |
| No. of negative competence score | 1 | 4 | 0 | 0 | 6 |
| Average competency | 0.572 | 0.507 | 0.605 | 0.684 | 0.592 |
| Range in competence | 0.07–0.88 | 0.013–0.96 | 0.10–0.99 | 0.25–0.97 | |
| Eigenvalue ratio | 2.752 | 2.798 | 3.715 | 2.81 | 3 |

species, so sample sizes for the eight key species varied depending on the number of fishers who offered information on that species (Table 3). We also asked fishers about their knowledge and perceptions of the establishment of MPAs, the changes in their fishery, and factors affecting their fishery (Table 1). The MPAs in this region are associated to protected national parks (Fig. 1), as well as seasonal whale visits inside the bay.

Fishers used their own words when answering questions about LEK, enhancing access to their cultural insider

perspectives (e.g., Goodenough, 1970) as expert fishers, rather than selected pre-determined answers, with the tradeoff that coding became necessary for standardizing the responses for CCA. The research team discussed the ecological validity of alternative coding schemes for each question and arrived at a consensus for each (Appendix D, Table D1). “I do not know” answers to the LEK questions were assigned a random answer drawn from the set of responses given by the other fishers, which simulates a guess by the respondent. This approach is consistent with the CCM assumption that

**Fig 1** Map of the Northeast coast of the D.R. Diamond markers indicating the 10 communities visited in 2011: Samaná, Sánchez, Los Cacaos, Las Galeras, Las Terrenas, Miches, Sabana de la Mar, Los

Gratinices, Villa Clara and Rincón. The colors representing: ocean (blue), estuarine zone (white), protected national park (orange, and indigo outline), physical landscape with rivers (grey).

less knowledgeable individuals will give a wide range of answers, whereas knowledgeable individuals will converge around “correct” answers (García-Quijano, 2009; Weller, 2007).

Assessing Local Ecological Knowledge – Cultural Consensus Analysis

We coded the categorical LEK responses numerically and analyzed them using *ANTHROPAC 6.46* software (Borgatti, 1996). We used CCA to assess the degree to which fishers shared a common pool of LEK and to quantify variation in LEK among fishers (Romney et al., 1986; Weller, 2007). For analytical purposes, we considered the ecology of each harvested species as a separate cultural domain (García-Quijano, 2009; Weller, 2007). A cultural domain is an area of conceptualization, knowledge, or belief that is culturally shared as a coherent field of thought by a group of people (Dressler et al., 2018; Weller & Romney, 1988). The CCA assumes that: 1) respondents collectively share a cultural model regarding the cultural domain under examination, even if they vary in their individual competences, and 2) more knowledgeable individuals will agree more with each other than less knowledgeable individuals (Romney et al., 1986; Weller, 2007). For each harvested species, we used the ratio of the largest eigenvalue (the principal vector) and the second largest eigenvalue to test whether the data met these assumptions (ibid.). We deemed eigenvalue ratios above 2.75:1 as providing sufficient evidence of a shared cultural model (see Lacy & Snodgrass, 2016) and indicative of conditional independence between factor 1 and 2 (Borgatti, 1996).

CCA uses factor analysis to estimate the culturally correct response to each question asked based on the frequency of shared answers. The factor loading score for each respondent (hereafter their competence score) quantifies how closely their answers converge on the culturally correct set of answers and so is considered an indicator of their LEK (Romney et al., 1986; Weller, 2007).

Relationship Between LEK and Perceptions About Fishery Management

For each harvested species, we coded fishers’ answers to questions about their knowledge of MPAs and management into simple categories (Table 1). Responses about knowledge of MPA’s and agreement with their establishment were given binary (yes/no) codes, which reflects fishers’ understanding of these management initiatives and of whether their values and beliefs result in support (e.g. Stoffle & Minnis, 2007). Responses to questions about the perceived status of the fishery were also simplified to three categories for analysis (positive, neutral, and negative, Table 1). Responses about

factors affecting the fisheries were coded into 10 categories corresponding to negative fishing practices, regulations and enforcement, weather related impacts, or negative impacts caused by an invasive species.

For each harvested fish species, we tested statistically whether the fishers’ coded responses to questions about MPAs, factors affecting the fisheries, and changes in the fisheries were related to their LEK (competence score). For each question (Table 1), the coded responses were treated as a categorical independent variable (e.g., knowledge of MPAs = yes versus no) and we tested the null hypothesis that mean competence scores were identical among groups using a t-test for binary categories (yes versus no) or one-way ANOVAs for questions with multiple responses (e.g., positive, negative, neutral).

Results

Patterns in Fishers’ LEK

We found sufficient evidence of a single shared cultural model for four of the eight key species: red snapper, yellow snapper, lobster, and shrimp (Table 4). Lack of fit to the CCM for the remaining four key species (yellow jack, kingfish mackerel, white grunt, and mahi mahi) led us to exclude these groups from further analysis. A total of 132 fishers targeted the four species that fit the CCM, and 116 fishers reported LEK for more than one of those species. The fishers in these four groups had an average age of 45 (range = 38 – 51) and averaged 26 years of fishing experience (range = 18 – 33).

Competence scores for individual fishers (our proxy for an individual’s LEK) ranged from 0 to 1 and average competence scores differed among the four key species analyzed. Fishers targeting shrimp (0.68) and lobster (0.61) had higher average scores than those targeting red snapper (0.57) and yellowtail snapper (0.51), suggesting that knowledge of these two invertebrates was more culturally cohesive than knowledge of red snapper and yellowtail snapper (Table 3). This may be because LEK was reported for many ecologically similar finfish (58 species), but far fewer relatively ecologically distinct invertebrates (8 species) (Table A1).

Based on the level of agreement in response to LEK questions (weighted frequency, Table 4) fishers’ level of knowledge was consistently high when asked about habitat use. This was true for all four key species analyzed (red snapper = 59/76, yellowtail snapper = 51/53, lobster = 33/34, shrimp = 21/21). For three of the four groups there was also clear consensus about their major predators (red snapper = 71/76, yellowtail snapper = 49/53, shrimp = 20/21). Lobsters were an exception because although there was good consensus on habitat use (33/34), the second highest level

Table 4 Culturally correct responses based on the local ecological knowledge responses for the four species. The response categories with the two highest agreement (level) are indicated for each species. The level of agreement is the weighted frequencies, number of fishers responding similarly (n) relative to the total number of fishers (N)

| Species | Habitat | Depth | Time of the year caught | Time of the year reproduction | Predators |
|------------------------------------|---|---|--|---|--|
| <i>red snapper</i> (N = 76) | Rock bottom with sand, deep channel, corals, mud and soft corals | Wide range from 8–20 m, to 66 m deep | All Year around | Months from Apr–Dec / Always | barracuda, sharks, kingfish mackerel, yellow jack, barracuda, groupers, manta ray |
| <i>yellowtail snapper</i> (N = 53) | 2 nd Wtd.Freq. 66 Rock bottom, coral, <i>Acropora palmata</i> , soft corals, channels, sand and mud | 15–34 m deep | All year around / Months mentioned March–Nov | Cold months: Jan–May / lent | 1 st Wtd.Freq. 72 Mix of sharks and fish like barracuda, kingfish mackerel, red snapper, manta ray |
| <i>lobster</i> (N = 34) | 1 st Wtd.Freq. 50 Rocks, caves, corals, <i>Acropora palmata</i> , seagrass and octocorals | From shallow to great depths / 0.5–10 m deep | Hot months, from June–Aug | Summer: July– September and May with a thunder | 2 nd Wtd.Freq. 48 groupers, barracuda, sharks, pufferfish, eels and lionfish |
| <i>shrimp</i> (N = 21) | 1 st Wtd.Freq. 33 Soft bottom: mud | From 0–33 m deep/ changes: 2–4 m (AM) and 24 m (PM) | May–August, May is rain season | 2 nd Wtd.Freq. 29 Warm months, April–Aug / May is the month of the shrimp | yellow jack, barracuda, yellow drum, lady fish, atl. croaker, banana grunt, sea bass and rainbow runner |
| | 2 nd Wtd. Freq. 18 | | | | 1 st Wtd.Freq. 19 |

of agreement was about lobster reproduction (29/34) rather than predators (27/34) (Table 4).

Fishers' Perceptions — Knowledge of Marine Protected Areas and Agreement with Their Establishment

The majority of the fishers who presented evidence of a shared cultural model in the CCA ($n = 132$) indicated knowing about the MPAs (65%). Independent of their prior knowledge of MPAs, most fishers were supportive of MPAs in the area (76%) or had no response (35%), and relatively few disagreed with their establishment (21%). Non-support for MPAs was slightly higher for the red snapper and the yellowtail snapper fishers than those responding about lobster and shrimp (Table 5). This may be related to MPAs imposing a direct geographical constraint on traditional red and yellowtail snapper fishing practices, in contrast to known and well-established closures related to lobster fisheries, as well as easier access to shrimp fishing outside of MPAs. The fishers' perceptions on why MPAs had been established also varied. The most frequent response was no knowledge of why they had been established (28%). Most fishers who stated a reason for MPAs mentioned the protection of fish, nursery habitats, mammals, mangroves and forestland, and historical sites (19%). In the absence of specific knowledge of the purpose of the MPAs, some fishers made the connection between the importance of the area for tourism and for the protection of the Samaná Bay whale sanctuary. Others stated that the MPAs were established to benefit people, but that they were not beneficiaries themselves (Table 7).

Perceptions of Factors Affecting Fisheries and Their Management

Fishers described multiple factors that they believed were influencing their fishery (Fig. 2), but most (69%) mentioned factors related to fishing activity. The use of gill/seine nets was most frequently mentioned as having a negative effect on the fishery (35% of respondents), and trawling (15%) and compressors/diving (7%) were also described as harmful. Fishers explained that gill/seine nets and trawling devices catch fish indiscriminately, targeting juveniles. Trawling was said to damage seabed habitats upon which fish depend. Other fishers (6%) viewed indiscriminate fishing as a problem, without linking it to any one method, whereas others (6%) mentioned an increase in the number of fishers as a problem. Governance factors of concern were the over-regulation of fishing (11%), which affected red snapper, yellowtail snapper, and lobster fishers, or the lack of effective fisheries regulation (2%), which affected red snapper, lobster, and shrimp fishers. Factors unrelated to fishing activity were mentioned less

Table 5 Knowledge of Marine Protected Areas and agreement with their establishment for the 4 groups with shared LEK. Note that a fisher counted within one species group can also fish other species listed, the majority fished more than one species (88%)

| | <i>red snapper</i> | <i>yellowtail snapper</i> | <i>lobster</i> | <i>shrimp</i> | <i>Total Combined</i> |
|--|--------------------|---------------------------|----------------|---------------|-----------------------|
| Fishers | 76 | 53 | 34 | 21 | 132* |
| Fishers that have knowledge of MPAs ‘YES’ | 53 (70%) | 35 (66%) | 18 (53%) | 13 (62%) | 86 |
| Agreement with the establishment of MPAs ‘NO’ | 49 (64%) | 30 (57%) | 15 (44%) | 12 (57%) | 76 |
| Do not agree with the establishment of MPAs | 13 (17%) | 11 (21%) | 5 (15%) | 2 (10%) | 21 |
| No response | 14 (18%) | 12 (23%) | 14 | 7 (33%) | 35 |

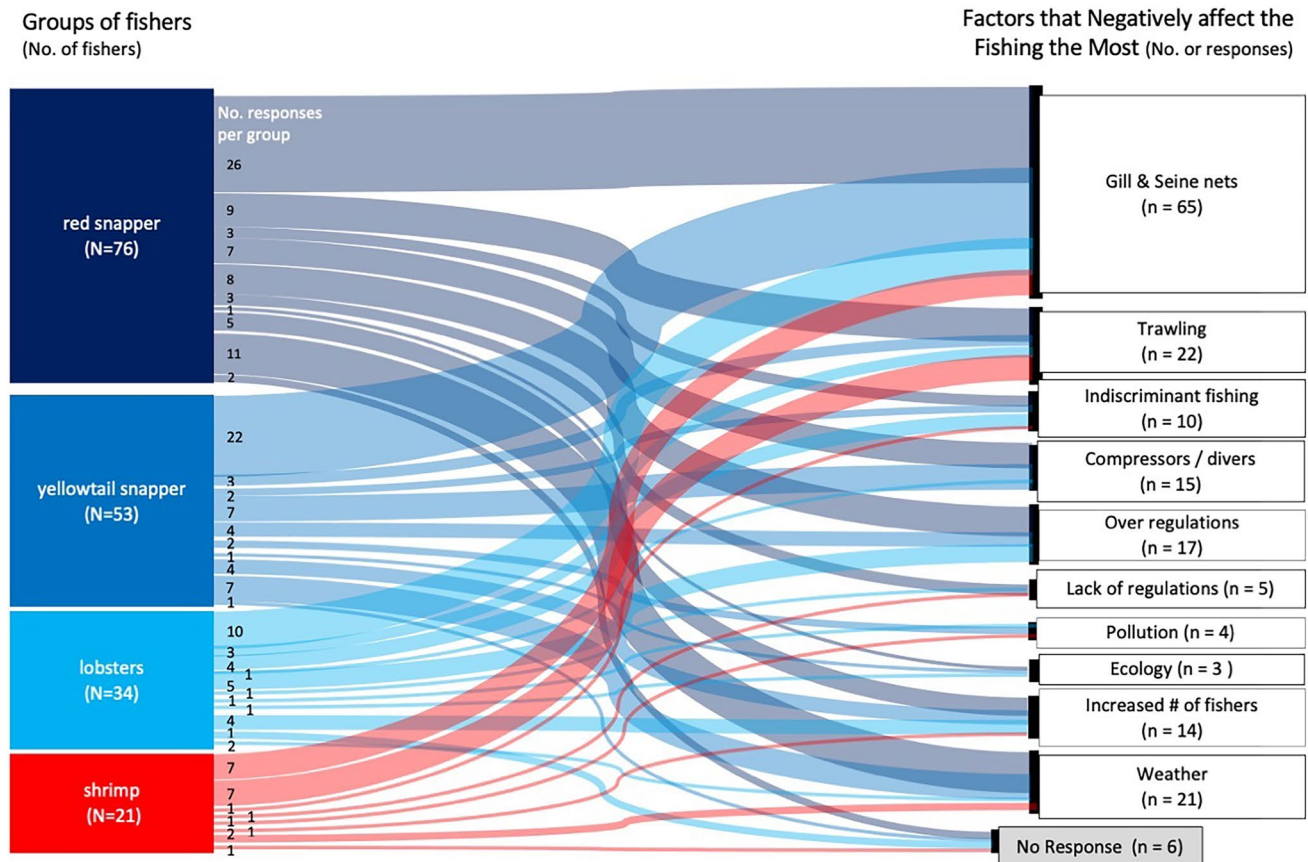
*The total number of fishers analyzed with the CCA 132, of which 116 fished more than one species

infrequently and included the weather (10%), pollution (3%), and ecological changes resulting from the presence of invasive lionfish (1%) (Fig. 2).

Perceptions of the State of the Fisheries

The fishers’ responses on the state of the fisheries varied across the groups, but all groups tended to describe the past state as “abundant,” being able to fish “close by,” and taking

less time. Hence, in relation to the state of the fisheries in the past, the fishers generally stated negative views on the current state of their fisheries. The percentage of fishers reporting negative responses ranged from 76% by the yellowtail snapper fishers to 52% by the shrimp fishers (Fig. 3). Shrimp fishers had the highest percentage of responses that the state of their fisheries was positive (19%), followed by red snapper fishers (7%). Others responded that the state of the fisheries was in-between (23 – 35%) or chose not to respond (Fig. 3).

**Fig 2** Perceptions of the factors that are affecting fishing the most (right) represented by the four groups with shared local ecological knowledge (left). The main factors are the use of destructive nets and bottom trawling.

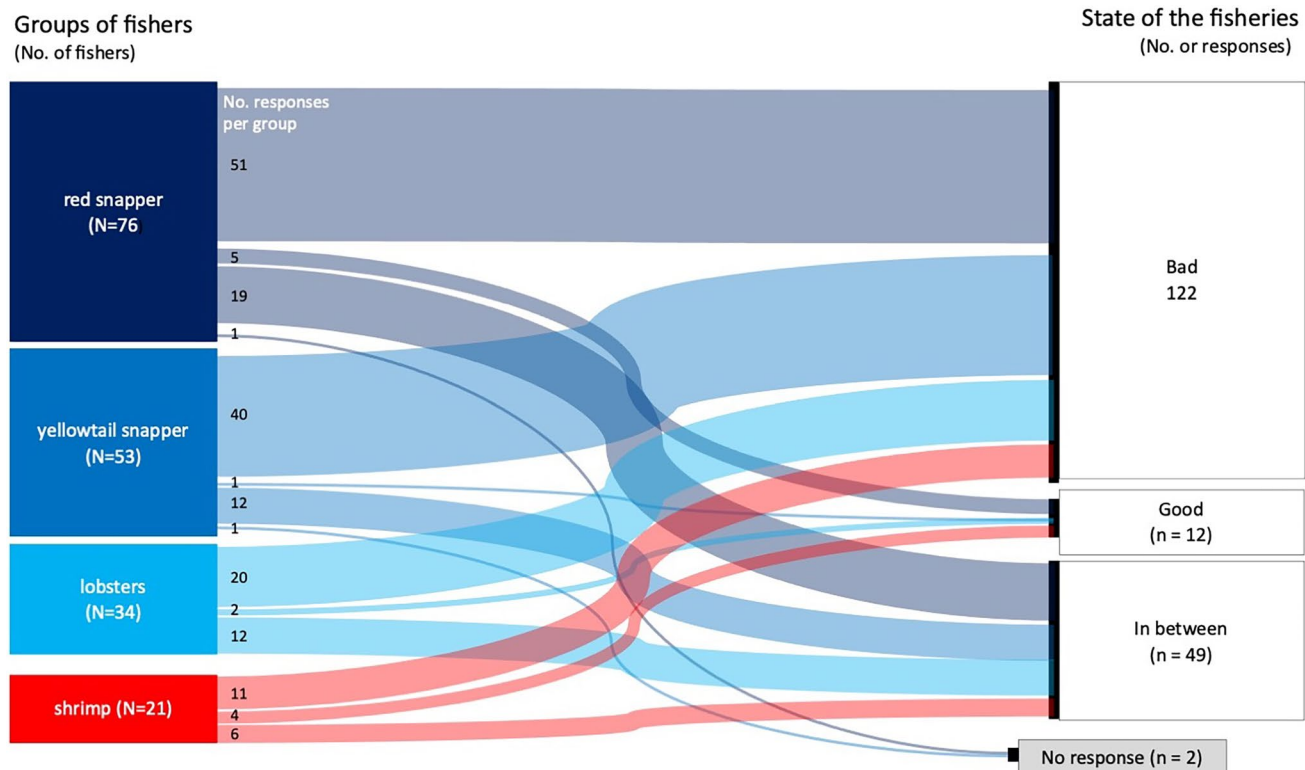


Fig 3 Fishers' perceptions of the state of the fisheries (right) for the four groups that fit the CCM (left). The majority of the fishers responded that the state of the fisheries is "bad"

Relations Between LEK and Perceptions About Fishery Management

In general, fishers' responses to questions about their knowledge of MPAs, agreement with MPAs, perceptions of the

state of the fisheries, or the factors affecting their fisheries were not related to their competence score (Table 6, Table 8, Figs. 4, 5). Fishers who knew of MPAs showed no tendency to differ in competence scores from those who were unaware of MPAs (Table 6). Similarly, fishers who supported

Table 6 Results of t-tests assessing whether fishers' perceptions and knowledge of management were associated with their LEK (mean competence score), and whether they agree, or not, with Marine Protected Areas' establishment

| <i>Fished Species</i> | <i>Response</i> | <i>N</i> | <i>Mean competence</i> | <i>SE Mean</i> | <i>t</i> | <i>df</i> | <i>p-value</i> |
|------------------------------------|-----------------|----------|------------------------|----------------|----------|-----------|----------------|
| red snapper (N = 76) | Knows | 54 | 0.578 | 0.028 | -0.360 | 35 | 0.723 |
| | Does not know | 22 | 0.558 | 0.049 | | | |
| yellowtail snapper (N = 53) | Knows | 33 | 0.530 | 0.047 | 0.690 | 47 | 0.494 |
| | Does not know | 16 | 0.588 | 0.072 | | | |
| lobster (N = 34) | Knows | 18 | 0.575 | 0.072 | 0.72 | 32 | 0.476 |
| | Does not know | 16 | 0.640 | 0.050 | | | |
| shrimp (N = 21) | Knows | 13 | 0.622 | 0.074 | 1.44 | 19 | 0.165 |
| | Does not know | 8 | 0.786 | 0.080 | | | |
| <i>Fished Species</i> | <i>Response</i> | <i>N</i> | <i>Mean competence</i> | <i>SE Mean</i> | <i>t</i> | <i>df</i> | <i>p-value</i> |
| red snapper (N = 76) | Agrees | 58 | 0.565 | 0.028 | 0.510 | 74 | 0.610 |
| | Does not agree | 18 | 0.595 | 0.049 | | | |
| yellowtail snapper (N = 53) | Agrees | 34 | 0.534 | 0.045 | 0.55 | 47 | 0.586 |
| | Does not agree | 15 | 0.582 | 0.082 | | | |
| lobster (N = 34) | Agrees | 19 | 0.567 | 0.068 | 0.960 | 32 | 0.343 |
| | Does not agree | 15 | 0.654 | 0.053 | | | |
| shrimp (N = 21) | Agrees | 16 | 0.663 | 0.067 | 0.67 | 19 | 0.512 |
| | Does not agree | 5 | 0.753 | 0.110 | | | |

Table 7 General fishers' understanding of the reasons why Marine Protected Areas are being established. Numbers (#) represent the number of fishers (out of n = 152) that responded presenting one reason or another

| | # | Reason Explained |
|---|----|---|
| <i>Fishers view on not being affected by the establishment of the MPA, N=55 (36%)</i> | 10 | The MPA is a nursery, it is where we go to catch bait fish |
| | 4 | MPAs favor fish productivity |
| | 3 | There are restrictions. Fishers are kept from using their boats to take my family to see whales |
| | 3 | The presence of whales favors tourism in our area, we grew up with the whales |
| | 2 | Enforcement is present to protect natural resources |
| | 1 | Our only problem here are the seine fishers |
| | 1 | I am not affected because rules are never enforced |
| <i>Fishers views on being negatively affected, N=39 (26%)</i> | 1 | I am allowed to see the whales on my boat |
| | 7 | Regulations do not allow us to go to certain areas, or we need permission in order to go |
| | 5 | Farmers were excluded from the Park. 'Roots' production was high in the National Park |
| | 4 | Regulations do not allow us to use our boats to see the whales |
| | 4 | Affected because the whales in the Sanctuary scare fish, or whales get in the way of divers |
| | 4 | No reason explained |
| | 2 | When the weather was unfavorable, the 'Ensenada' was a sheltered place to go fish |
| | 1 | I used to live there |
| | 1 | Recognizes that the forests were being destroyed |
| | 1 | Disagrees because only a few people in power benefit from the MPA |
| | 1 | In January whales release "green dots" that causes one to itch |

MPAs were similar in mean competence to those who did not support MPAs (Table 6). There was variation among harvested species in how fishers perceived the state of the fishery, e.g., shrimp was generally perceived as being in a “positive” or “neutral” state while red snapper was considered by most to be in a “negative” state, but fishers who

perceived their fishery to be negative had similar competence scores to those who perceived their fishery as positive (Fig. 4, Table 8). Finally, there were no detectable differences in LEK (mean competence) among fishers who reported differing perceptions on the key factors affecting their fishery (Fig. 5, Table 8).

Table 8 Perceptions categorical testing of fishers' responses. Their perceptions do not relate to their LEK (competence score)

| <i>Fished Species</i> | Perception Variable | T-value | F-Stat | p-value |
|---------------------------|---------------------|---------|--------|---------|
| red snapper (N=76) | Know MPA | -0.36 | | 0.712 |
| | Agree MPA | 0.51 | | 0.610 |
| | State Fisheries | | 0.087 | 0.917 |
| | Factors Affecting | | 1.356 | 0.226 |
| yellowtail snapper (N=53) | Know MPA | 0.69 | | 0.494 |
| | Agree MPA | 0.55 | | 0.586 |
| | State Fisheries | | 1.083 | 0.347 |
| | Factors Affecting | | 1.459 | 0.209 |
| lobster (N=34) | Know MPA | 0.72 | | 0.476 |
| | Agree MPA | 0.96 | | 0.343 |
| | State Fisheries | | 2.477 | 0.100 |
| | Factors Affecting | | 1.933 | 0.093 |
| shrimp (N=21) | Know MPA | 1.44 | | 0.165 |
| | Agree MPA | 0.67 | | 0.512 |
| | State Fisheries | | 0.541 | 0.592 |
| | Factors Affecting | | 0.763 | 0.627 |

*t-test used for perceptions with binary response

ANOVA used for perceptions with 3 or more response categories

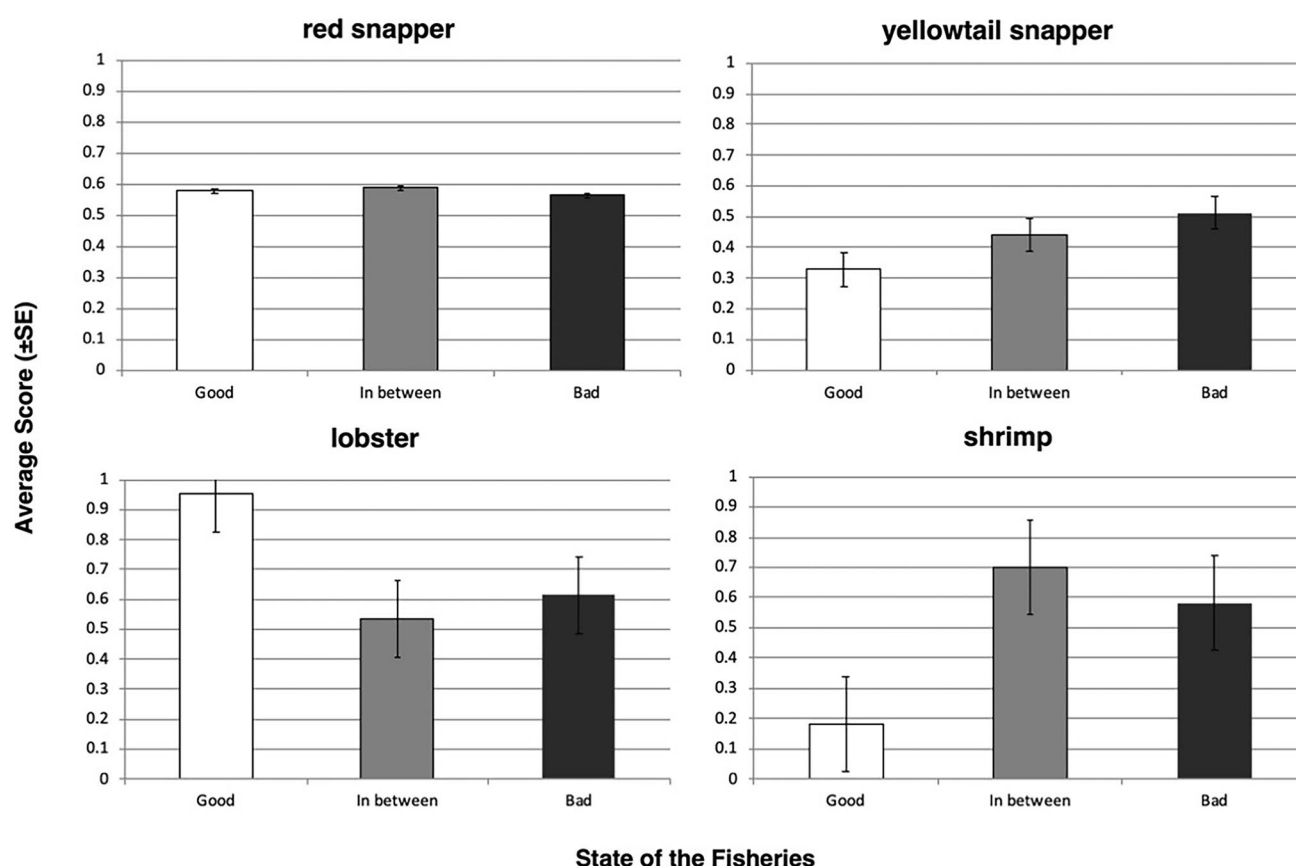


Fig 4 Mean competence scores of fishers with differing perceptions of the state of the fisheries. There was no systematic pattern for higher competence to be associated with a negative or positive perception.

Discussion

Local Ecological Knowledge of Fishers

For the four important harvested species with evidence of a shared cultural model of LEK, we found the highest levels of consensus in responses about species' habitat and predators. LEK of target species matched to its habitat is of obvious practical value for successful fishing in a multispecies, small-scale fishery (García-Quijano, 2009; Silvano & Begossi, 2012). Many Samaná fishers were visibly excited when discussing predators and displayed confidence in their answers, which for us indicates that fishers think this is an important topic and are in a good position to provide insights. Expert fishers in a small-scale multi-species fishery in Puerto Rico were also knowledgeable about trophic interactions and habitat use (e.g., García-Quijano & Valdez-Pizzini, 2015), which suggests that fishers are well-versed in the type of LEK needed to integrate this information into management.

The lobster fishers also possessed high levels of LEK about the lobster's reproductive period, which may be because

lobster eggs are generally visible externally. In contrast, reliably judging the reproductive status of teleosts may depend on inspection of the gonads when the fish are cleaned. The lobster fishery is also one of the most valued and regulated fisheries in the D.R., hence fishers' understanding of reproduction and its timing may also be linked to the seasonal closure of the lobster fishery that coincides with its reproductive season (Herrera et al., 2011).

Fishers' Perceptions About Marine Protected Areas

Where most fishers know about, or participated in coastal fishery management, we might expect LEK to covary positively with knowledge of management measures like local MPAs, especially since the greatest consensus in LEK was about species habitats. This was not the case in Samaná, which may indicate that, in this region, fisheries-related LEK and understanding of management and conservation constitute two separate cultural domains of knowledge. In other study areas, fishers' knowledge of an MPA, or agreement with their establishment, is influenced by their involvement in associated political or management processes and their placement in

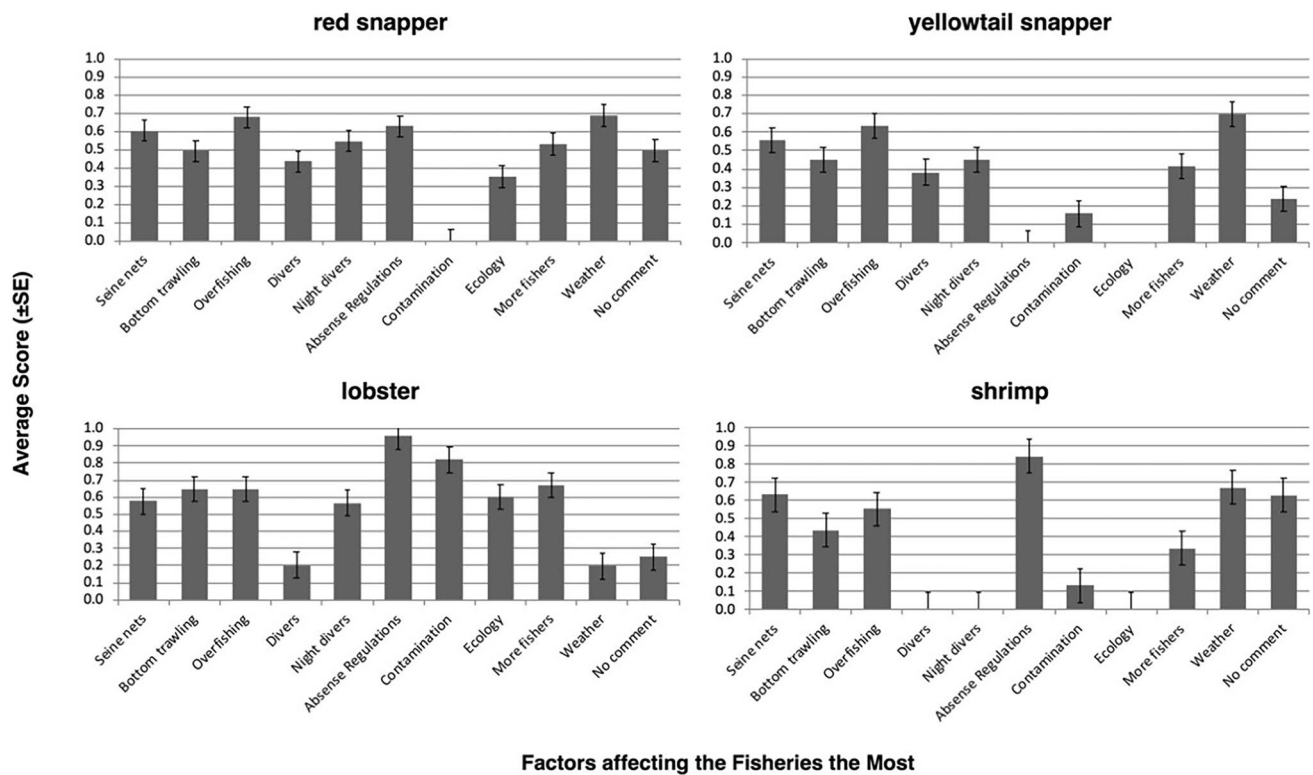


Fig 5 Mean competence scores of fishers reporting different perceptions of the factors that affect their fisheries the most. For the different groups with shared cultural knowledge, there was no systematic pattern for higher competence to be associated with specific patterns of perception.

social networks of fishery management (Kincaid et al., 2014; Scholz et al., 2004). Fishers with the most LEK may, therefore, not be the ones with most access to information about management and conservation initiatives, perhaps because other sociocultural characteristics such as literacy, education level, or political or civic participation, mediate fishers' access to this information.

While our data indicate that many fishers consider MPAs to be ecologically important, several fishers were also not in favor of restrictions to their fishery or did not support local MPAs because the benefits were unequally distributed (Table 7). A lack of inclusion of stakeholders in coastal management has been associated with programs not being easily accepted or supported by locals (McClanahan et al., 2006; Mellado et al., 2014). But whether local fishers fully understand why the MPAs were set up, or whether they have knowledge of MPA benefits, does not change the fact that, for some, these areas represent traditional fishing grounds, safety nets where they fish especially during storms or unfavorable weather events. One way to overcome these constraints is by improving coastal fisheries management through participatory approaches (e.g., Sánchez-Jiménez et al., 2019) or facilitating ecosystem-based fisheries management (Gaspare et al., 2015) that integrates socioeconomic factors affecting these communities. Despite a broadened

understanding of local people's knowledge and how they relate to their environment (e.g., Coelho-Junior et al., 2021), we are still learning how to translate and apply LEK into management strategies (Garcia-Quijano, 2007).

State of the Fisheries and Factors Affecting the Fisheries the Most

In the 1990s, 31% of fishers surveyed in Samaná stated that their fisheries would decline greatly if no changes were made, and that banning gill nets would allow recovery (McCann, 1994). Two decades later, fishers still identified gill nets as a cause of decline, although they also perceived seine nets and trawling as damaging (Fig. 2). Conversely, the use of gill nets, together with the adoption and deployment of multiple gears by individual fishers is also seen as a means to adapt and maintain incomes despite decreasing stocks (Herrera et al., 2011), a persistent challenge for fisheries throughout Latin American and the Caribbean (Chuenpagdee et al., 2003). Hence, the lack of fisheries management constraints and regulations promotes a sense of sustainability (Farr et al., 2018). Increased engagement with fishers is a possible way to address this challenge, however, it is not uncommon for

fishers to oppose regulations, especially when these interfere with their obligation to feed their families (Fenner, 2012).

Some Management Implications

Understanding the association between LEK and perceptions can help us understand how fishers relate to and value their natural environment (Coelho-Junior et al., 2021). Because fishers are knowledgeable about habitats and predator–prey interactions, it is possible that they perceive conservation of fish habitat and food webs as being important to sustaining their fisheries. For this reason, we expected a relationship between fishers' perceptions and their LEK. A plausible explanation of the observed disconnect between LEK and perceptions of the state of the fisheries could be a 'shifting baseline.' Perhaps older fishers have experienced different states of fishery health (higher catches, larger size fish) than their younger counterparts (Bender et al., 2013). We can, however, reject this explanation in Samaná because there were no significant relationships between fisher's response patterns and their age or experience.

In most communities in Samaná, fishers are organized in cooperatives and organizations. Participating in these forums gives fishers access to support and information (Turner et al., 2014). Active participation in MPA planning and management has been found to increase stakeholder agreement in management goals, positive perceptions of MPAs and their benefits, and acceptance of future management (Mellado et al., 2014). In Samaná, however, many fishers said it was difficult for them to attend and participate in management meetings because they now fished farther out and for longer periods of time. In the 1990s, Samaná fishers believed that with better equipment their fisheries sustainability could be improved (McCann, 1994). Changes that make it quicker for fishers to travel to fishing grounds might relieve fishing pressure near the coast and serve as an incentive to discontinue the use of destructive fishing gear. Indirectly, reducing time at sea would increase fishers' social network time where perceptions and community cohesion thrives.

Conclusion

Various studies have looked at the value of understanding fishers LEK to inform effective management programs. By quantifying LEK using the CCA, we were able to explore the distribution of LEK among fishers and assess whether their LEK correlates with their perceptions. Although most fishers agreed in their perceptions of the state of fisheries and their awareness of and support for MPAs, our prediction that local

ecological knowledge would correlate with their perceptions was not supported. An important limitation of our analysis, however, is that CCA is limited to singular knowledge domains, which in this case consisted of groups of fishers that targeted a single species. In reality, fishers use different gear types and target different subsets of the harvestable species in the area, while residing in different communities. Thus, each fisher possesses LEK across multiple domains of knowledge that overlap to varying degrees with the knowledge domains of their peers. For multi-species small-scale fisheries, new analytical methods that could quantify LEK across the entire set of species caught by each fisher would allow a more powerful test of links between LEK and perceptions.

The observed disconnect between fishers' LEK and fishery management perceptions also raises the important question of the role that other social differences or barriers in the social/political networks play in driving fisher's perceptions. Some benefits of this study regard the direct engagement with the fishers, addressing their concerns regarding their reported changes, distributing educational resources, and exploring pathways for better fisheries management. Although nine years have passed since the field studies, continuing declines in the fisheries (FAO, 2020) and scarcity of data (Partelow et al., 2020) for this region suggest this study still has relevance for local leaders and decision makers. In our opinion, our results underline the need to further understand both universal and locally specific practical and social barriers to participation in management and to address challenges that limit individuals from access to management networks. Addressing these factors could contribute to ameliorating inequalities in the knowledge sharing process, which is crucial to facilitate ecological knowledge sharing between fishers, biological scientists, and resource managers (e.g. Garcia-Quijano & Valdez-Pizzini, 2015).

Supplementary Information The online version contains supplementary material available at <https://doi.org/10.1007/s10745-022-00308-6>.

Acknowledgements We sincerely acknowledge the scientists and staff at the *Centro para la Conservación y EcoDesarrollo de la Bahía de Samaná y su Entorno in Samaná*, Dominican Republic, for providing time, guidance, and moral support. Special thanks go to Vannessa King for her enthusiasm and dedication in the field. We also acknowledge Gavino Puggioni's assistance with the coding used for part of the analysis. Our appreciation goes out to the fishers of Samaná for participating and sharing the depth of their knowledge.

Authors Contribution This study constitutes Mclean's doctoral research work. All authors contributed to the study conception, design, and to the analysis of the data. Material preparation and data collection were performed by Mclean. The first draft of the manuscript was written by Mclean and all authors contributed to subsequent versions. All authors read and approved the final manuscript.

Funding Financial support for this work was provided by the Coastal Institute Fellowship, of the University of Rhode Island, Kingston, RI,

and a grant from the Many Strong Voices program – part of the Center for Center for International Climate and Environmental Research – Oslo, Norway. Funding was also provided by URI Graduate School Diversity Fellowship and the University of Puerto Rico Sea Grant College Program (subaward 2011–2012-015).

Data Availability The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

Declarations

Informed Consent The study was done in compliance with Institutional Review Board (IRB)—Guidelines for studies of human subjects (See Appendix E).

Conflict of Interest The authors have no conflicts of interest to declare.

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