

1 Using multiple natural tags provides evidence for extensive larval dispersal across space and  
2 through time in summer flounder

3  
4 Running title: High spatiotemporal dispersal in summer flounder

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32 Key words: dispersal, population assignment, biological collections, single nucleotide  
33 polymorphisms, otolith microchemistry

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40 **Abstract**

41 Dispersal sets the fundamental scales of ecological and evolutionary dynamics and has important  
42 implications for population persistence. Patterns of marine dispersal remain poorly understood,  
43 partly because dispersal may vary through time and often homogenizes allele frequencies.  
44 However, combining multiple types of natural tags can provide more precise dispersal estimates,  
45 and biological collections can help reconstruct dispersal patterns through time. We used SNP  
46 genotypes and otolith core microchemistry from archived collections of larval summer flounder  
47 (*Paralichthys dentatus*, n = 411) captured between 1989-2012 at five locations along the U.S.  
48 East coast to reconstruct dispersal patterns through time. Neither genotypes nor otolith  
49 microchemistry alone were sufficient to identify the source of larval fish. However,  
50 microchemistry identified clusters of larvae (n = 3-33 larvae/cluster) that originated in the same  
51 location, and genetic assignment of clusters could be made with substantially more confidence.  
52 We found that most larvae likely originated near a biogeographic break (Cape Hatteras) and that  
53 larvae were transported in both directions across this break. Larval sources did not shift north  
54 through time, despite the northward shift of adult populations in recent decades. Our novel  
55 approach demonstrates that summer flounder dispersal is widespread throughout their range, both  
56 on intra- and inter-generational timescales, and may be a particularly important process for  
57 synchronizing population dynamics and maintaining genetic diversity during an era of rapid  
58 environmental change. Broadly, our results reveal the value of archived collections and of  
59 combining multiple natural tags to understand the magnitude and directionality of dispersal in  
60 species with extensive gene flow.

## 61 **Introduction**

62           Dispersal sets the fundamental scales over which ecological and evolutionary dynamics of  
63 populations occur. Dispersal drives connectivity, or the exchange of individuals among  
64 populations (Cowen & Sponaugle 2009), and the degree of connectivity influences population  
65 dynamics (Huffaker 1958; Gotelli 1991; Hanski & Gilpin 1997; Runge *et al.* 2006), community  
66 composition (Connolly *et al.* 2001), evolution (Wright 1931; Slatkin 1987), persistence (Botsford  
67 *et al.* 2001; Hastings & Botsford 2006), and management strategies (Fogarty & Botsford 2007).  
68 Yet, understanding dispersal in the marine realm is challenging, especially since dispersal may  
69 vary over time (Reis-Santos *et al.* 2013; Nanninga & Berumen 2014) and may homogenize allele  
70 frequencies (Gleason & Burton 2016; Sandoval-Castillo *et al.* 2018). Our understanding of  
71 dispersal through time is often limited by our ability to sample across relevant seasonal,  
72 interannual, or decadal scales. Fortunately, natural history collections provide powerful,  
73 underappreciated, and often underutilized opportunities to retrospectively study biological  
74 diversity in populations of interest (Schwartz *et al.* 2007; Johnson *et al.* 2011; Pimm *et al.* 2015).  
75 As unique repositories of life on Earth, natural history collections preserve individuals and their  
76 natural markers across space and time (Watanabe 2019). These specimens are particularly useful  
77 for investigating a wide range of ecological and evolutionary processes (Holmes *et al.* 2016;  
78 Webster 2018), especially during an era of rapid environmental change (Meineke *et al.* 2019).

79           A variety of natural markers have been used to study the extent and rate of exchange  
80 between populations (Thorrold *et al.* 2002). Assignment methods using genetic markers have  
81 been developed to determine the most likely population an individual or a group of individuals  
82 belongs to, or to exclude individuals of interest from potential populations of origin (see review  
83 by Manel, Gaggiotti, & Waples, 2005). Genetic assignment methods (Paetkau *et al.* 1995, 2004;

84 Rannala & Mountain 1997; Cornuet *et al.* 1999; Pritchard *et al.* 2000) have been used to ascertain  
85 population membership or infer dispersal between populations of fishes (Shaklee *et al.* 1999;  
86 Primmer *et al.* 2000; Nielsen *et al.* 2001, 2012; Glover *et al.* 2008), birds (Claramunt & Wright  
87 2018; Townsend & Navarro-Siguenza 2018), reptiles (Berry *et al.* 2004), polar bears (Paetkau *et*  
88 *al.* 1995), deer (Frantz *et al.* 2006), and humans (Rannala & Mountain 1997). While genetic  
89 assignment has most often been used with putatively neutral loci, using non-neutral (candidate)  
90 loci that are more spatially diverged can be particularly useful in species with high rates of gene  
91 flow (Nielsen *et al.* 2009, 2012; Freamo *et al.* 2011).

92         Connectivity and dispersal studies using genetic markers have clearly been informative,  
93 but recently, approaches that utilize multiple types of markers have highlighted complementary  
94 results on different timescales or illuminated otherwise hidden patterns (Bradbury *et al.* 2008;  
95 Papetti *et al.* 2013; Tanner *et al.* 2014; Barton *et al.* 2018; Reis-Santos *et al.* 2018). A number of  
96 marine dispersal studies in particular have started to combine genetics with microchemistry,  
97 another type of natural marker. Otoliths (fish ear stones), statoliths (related structures in  
98 invertebrates), and shells are structures that grow over an individual's lifetime, are metabolically  
99 inert once deposited, and incorporate trace elements into their inorganic (CaCO<sub>3</sub>) and organic  
100 matrices (Thorrold *et al.* 2007). Thus, microchemistry reflects the site-specific environmental  
101 characteristics of ambient waters in which each individual resided, starting with the natal core  
102 that is formed upon fertilization at the spawning and hatching site (Thorrold *et al.* 1997).  
103 Microchemistry can be used to retroactively detect residency and movement within or between  
104 estuarine and marine systems provided that spatial gradients in temperature, salinity, or water  
105 chemistry exist (Gillanders & Kingsford 1996; Thorrold *et al.* 2001; Vasconcelos *et al.* 2008;  
106 Schaffler *et al.* 2009). However, unlike genetic markers that can integrate over multiple

107 generations to offer a deeper historical perspective (Lowe & Allendorf 2010), microchemistry  
108 data within otoliths are limited to individual lifespans (Gillanders 2002). Combined approaches  
109 using genetics and microchemistry promise to improve our understanding of dispersal, but studies  
110 have generally analyzed these datasets in parallel rather than in a truly integrated framework  
111 (Bradbury *et al.* 2008; Papetti *et al.* 2013; Barton *et al.* 2018; but see Tanner *et al.* 2014 & Reis-  
112 Santos *et al.* 2018).

113         Many recent studies of marine larvae have demonstrated that dispersal is more  
114 constrained than previously imagined (Jones *et al.* 2005; Almany *et al.* 2017; Baetscher *et al.*  
115 2019). Larval dispersal may be particularly limited around biogeographic breaks, such as Cape  
116 Hatteras in North Carolina. Cape Hatteras has been found to be an important barrier to larval  
117 dispersal for a variety of invertebrates and fish (Baker *et al.* 2008; Roy *et al.* 2012) because the  
118 Gulf Stream transports larvae offshore and because its divergence results in a steep thermal  
119 gradient (Briggs 1974).

120         One species with a distribution straddling Cape Hatteras and that is thought to experience  
121 limited dispersal across Cape Hatteras (Wilk *et al.* 1980; Kraus & Musick 2001) is summer  
122 flounder (*Paralichthys dentatus*). The directionality and magnitude of larval summer flounder  
123 dispersal remains unknown. Summer flounder inhabit waters from Nova Scotia, Canada to  
124 Florida, USA (Packer *et al.* 1999). Relatively homogenous allele frequencies at most loci suggest  
125 substantial dispersal throughout this range, though candidate loci under spatially divergent  
126 selection have also been identified (Jones & Quattro 1999; Hoey & Pinsky 2018). Larval summer  
127 flounder are spawned over the continental shelf, with the majority occurring between Cape Cod,  
128 Massachusetts and Cape Lookout, North Carolina (Smith 1973) during the fall and early winter  
129 when adults move offshore (Able & Fahay 2010). It is unknown whether more specific spawning

130 grounds exist. Larval summer flounder develop in the coastal ocean, but ingress to estuaries soon  
131 before settling down to their juvenile habitat; a process that is thought to take ~30 days in  
132 ambient spring temperatures (Keefe & Able 1993). Ingressing larvae have been collected and  
133 archived at sites throughout the species range since the 1980s. With archived specimens and  
134 allele frequency differences along the coast at candidate loci, summer flounder offer an ideal  
135 opportunity to test the use of multiple natural markers to assign larvae back to their natal origins  
136 over 24 years.

137 In this study, we combine double-digest restriction-site associated DNA sequencing  
138 (ddRADseq) and otolith core microchemistry data on collections of larval summer flounder from  
139 1989-2012 to investigate natal origins and dispersal over time. We ask: (1) Do larval summer  
140 flounder exhibit regional genetic population structure and has it remained stable over a quarter  
141 century? (2) How do elemental signatures from the natal core of larval otoliths differ across space  
142 and time? and (3) Does combining genetic and otolith markers improve our understanding of the  
143 extent to which larval dispersal has varied across space and through time?

144

## 145 **Materials and Methods**

### 146 *Larval collections & curation*

147 To explore regional patterns of larval population structure and connectivity throughout the  
148 majority of the species' range, we leveraged several ongoing larval ingress survey and collection  
149 programs along the U.S. East coast (Figure 1 & Table 1). We primarily obtained larvae collected  
150 at the Rutgers University Marine Field Station (RUMFS; Little Egg Inlet, New Jersey) and the  
151 National Oceanic & Atmospheric Administration's Beaufort (North Carolina) Laboratory starting  
152 in 1989. At both ingress locations, ichthyoplankton were collected from a bridge during night-

153 time flood tides on a weekly basis and sorted to species (see Sullivan *et al.* 2006; Able *et al.* 2011  
154 for sampling protocol). To ensure adequate sample size for this study, larvae were pooled by  
155 month and 50 larvae were assembled from each of three time periods: 1989-1993, 1998-2002 and  
156 2008-2012. These time periods are hereafter referred to as early, middle and late, respectively. To  
157 sample from the peak ingress periods for New Jersey (NJ) and North Carolina (NC),  
158 approximately five larvae were selected from winter (January-March) and five from fall  
159 (October-December) for each year. Additional ingressing summer flounder larvae were obtained  
160 from collections taken at Roosevelt Inlet, Delaware (DE; 2008-2010), York River, Virginia (VA;  
161 2008-2010), and North Inlet, South Carolina (SC; 2008) to extend the spatial sampling of this  
162 species' range. Larvae from these additional sites were taken from both winter and fall periods,  
163 when possible, to match the collection periods in New Jersey and North Carolina; Virginia and  
164 Delaware specimens were among those reported in Ribeiro *et al.* (2015). Virginia specimens are  
165 cataloged in the Nunnally Ichthyology Collection at the Virginia Institute of Marine Science  
166 (VIMS Catalog Numbers 19445-19494). All larval summer flounder (n = 411) had been stored in  
167 95% ethanol at their respective institutions.

168

### 169 *Population structure analyses*

170 Methods for obtaining genotypes at 1,904 loci across 293 larval individuals are detailed in  
171 the Supporting Information (Appendix S1). We performed principal component analysis (PCA)  
172 using the *adegenet* v.2.0.1 (Jombart 2008) and *ade4* v.1.7-10 (Chessel *et al.* 2004) packages in R  
173 v.3.3.3 (R Core Team 2017). We then sorted individuals into regions (collected north or south of  
174 Cape Hatteras, NC) and time periods (early, middle & late) and performed two hierarchical  
175 analyses of molecular variance (AMOVA) using the *ade4* method of the *poppr.amova* function in

176 the *poppr* v.2.4.1 package (Kamvar *et al.* 2014) in R with 1,000 permutations each. The first  
177 AMOVA tested for differences in genetic variance among time periods (nested within regions)  
178 and differences between regions. The second AMOVA examined differences between regions  
179 (nested within time periods) and differences between time periods (Excoffier *et al.* 1992). Weir  
180 and Cockerham's pairwise  $F_{ST}$  was also calculated using the *pairwise.WCfst* function in the  
181 *heirfstat* v.0.04-22 package (Goudet 2005) in R for each pair of unique ingress site and time  
182 period groups. These analyses tested how genome-wide allele frequencies differed on average  
183 across space and time.

184 Next, we used STRUCTURE v.2.3.4 (Pritchard *et al.* 2000) to determine the number of  
185 putative populations. We ran STRUCTURE using all 1,904 loci and a burn-in of 10,000 iterations  
186 followed by 200,000 Markov chain Monte Carlo (MCMC) steps assuming admixture and  
187 correlated allele frequency models with prior information on sampling location and time period.  
188 We ran 10 replicates of  $K$  from 1-5, where  $K$  is the number of population clusters, and we  
189 checked for parameter stabilization. We also ran STRUCTURE with the 1,646 remaining loci  
190 after removing 258 loci not in Hardy-Weinberg proportions (HWP;  $p < 0.01$ , exact test, *pegas*  
191 v.0.10 package), but the results were effectively identical and are not further discussed.

192 To determine the optimal number of clusters, the 10 replicates for each  $K$  were input into  
193 STRUCTURE HARVESTER (Earl & VonHoldt 2012) and visualized with CLUMPP (Jakobsson  
194 & Rosenberg 2007) and Distruct (Rosenberg 2004). Based on previous work (Hoey & Pinsky  
195 2018), we expected difficulty determining the optimal  $K$  and so we used both the mean likelihood  
196 value ( $L(K)$ ) and  $\Delta K$  (Evanno *et al.* 2005).

197

198 *Genetic assignment of individual larvae*



199 As previously reported in Hoey & Pinsky (2018), fifteen of 1,137 loci in adult summer  
200 flounder were found to be associated with distance along the coast, depth, bottom temperature  
201 and/or bottom salinity, and exhibited allele frequency differences along the coast. Of the 15  
202 candidate loci previously identified in adults, 10 passed our filtering criteria in larval fish as  
203 described in ‘Bioinformatics & genotyping’ (Appendix S1). Generalized additive models  
204 (GAMs) with a binomial error structure were fit for each of these 10 candidate loci to relate  
205 individual allele counts of adults to distance along the coast. We used the *predict.gam* function in  
206 the *mgcv* package (Wood 2011) in R to predict allele frequencies of our candidate loci at 10  
207 equidistant reference locations across the adult summer flounder sampling range (Figures 1 &  
208 S1). These GAM-determined allele frequencies formed the ‘genetic map’ to which larval summer  
209 flounder were assigned.

210 We used these 10 loci to determine assignment accuracy of different sized larval clusters.  
211 We simulated groups of one, five, 10, 20 or 30 diploid individuals from each of the 10 potential  
212 spawning reference locations based on allele frequencies at the 10 candidate loci (Table S2) using  
213 a custom R script. We did this 1,000 times and assigned (Paetkau *et al.* 1995) each simulated  
214 individual or group of individuals to the most likely reference location using a custom R script  
215 employing equation 10 of Rannala & Mountain (1997). We then examined the percentage of  
216 correct assignments.

217 To calculate individual genetic assignment, we calculated the genotype likelihood for  
218 each observed individual using the GAM-determined allele frequencies at 10 loci and a custom R  
219 script employing equation 10 of Rannala & Mountain (1997) at 10 distances along the coast  
220 (Table S2). We then assigned each individual larva to the spawning location with the maximum  
221 genotype likelihood.

222

223 *Otolith microchemistry analyses*

224 Detailed methods for obtaining microchemistry data from larval otolith cores may be  
225 found in the Supporting Information (Appendix S2). To test the null hypothesis of no difference  
226 in natal core microchemistry among larvae ingressing to different estuaries or during different  
227 time periods, the effects of ingress site and time period for each elemental ratio (Sr:Ca, Mg:Ca,  
228 Mn:Ca, Fe:Ca, Cu:Ca, Cd:Ca, Ba:Ca, Sn:Ca, Pb:Ca & U:Ca) were analyzed using a two-way  
229 analysis of variance (ANOVA) following  $\log_{10}$ -transformation. Multivariate analysis of variance  
230 (MANOVA) was also used to test for differences in combined larval otolith core trace elements  
231 among ingress sites and time periods. Data were scaled and then nonmetric multidimensional  
232 scaling (nMDS) was performed using the *nmds* function in the *ecodist* v.2.0.1 package (Goslee &  
233 Urban 2007) in R for each time period.

234 As an additional test of the extent to which larvae ingressing to the same site also shared  
235 similar natal core signatures, we performed linear discriminant function analysis (LDA) despite  
236 the likely incorrect assumption of a single larval source per ingress location. The typical use of  
237 LDA classifies individuals based on the microchemistry of known locations. This was not our  
238 goal. Instead, we tested whether ingress site could be predicted from natal core signatures. If  
239 ingress site could be accurately predicted, it would suggest that larvae ingressing to the same  
240 estuary had been born near each other at similar natal sites, even though such natal sites were  
241 geographically far from the ingress site. If ingress site could not be predicted, it would suggest  
242 substantially more mixing between natal sites and ingress sites. We used all 10 scaled elemental  
243 ratios and the leave-one-out jackknife procedure in the *MASS* v.7.3-47 package (Venables &  
244 Ripley 2002) in R. We calculated 68% confidence ellipses for individuals captured from each

245 ingress site using the *ellipse* v.0.3-8 package (Murdoch & Chow 1996) in R, and used these 141  
246 individuals as a training dataset for LDA to define otolith natal core signatures for larvae  
247 ingressing at each site. We then predicted the ingress site of the remaining 56 individuals using  
248 the elemental signature at the natal core. Posterior group membership probabilities were also  
249 determined.

250 To avoid making *a priori* assumptions about group membership, we also performed  
251 clustering for each time period separately using all 10 elements. The optimal number of clusters  
252 was determined using the *fviz\_nbclust* and *NbClust* functions in the *factoextra* v.1.0.5  
253 (Kassambara & Mundt 2017) and *NbClust* v.3.0 (Charrad *et al.* 2014) packages, respectively, in  
254 R. The optimal number of clusters then informed k-means clustering using the *kmeans* function in  
255 the *stats* package.

256

### 257 *Assignment and exclusion of larval groups using otolith microchemistry & genetics*

258 For larvae with both otolith microchemistry and genetic data, we utilized both kinds of  
259 data to further investigate larval origins, rather than relying on either data type alone. Since larvae  
260 dispersed from the continental shelf to the ingress estuary in which they were captured, we used  
261 otolith microchemistry at the natal core to cluster individuals that were likely spawned together in  
262 the same offshore water mass. We then assigned natal origins to the clusters of larvae using  
263 genetic assignment and exclusion tests. We utilized groups of larvae and pooled genotype data  
264 for increased assignment accuracy (Baudouin *et al.* 2004).

265 First, we subset the data by time period (early, middle & late) and performed clustering in  
266 the same fashion as for the larvae with only otolith microchemistry data.

267           Second, we used genetic assignment and exclusion tests to determine the natal origins of  
268 clustered larvae. For assignment, we calculated the observed likelihood that each cluster  
269 originated from each of the 10 potential spawning reference locations using the GAM-determined  
270 allele frequencies at the 10 candidate loci (Rannala & Mountain 1997). We assigned each cluster  
271 to the spawning location with the maximum likelihood.

272           For the exclusion method, we used a Monte Carlo resampling method that employs allele  
273 frequencies from reference locations (Rannala & Mountain 1997; Cornuet *et al.* 1999) to generate  
274 distributions of the likelihood criteria that each larval cluster originated from a given spawning  
275 location. For comparison against a given cluster composed of  $Z$  individuals, we randomly  
276 constructed  $Z$  genotypes from the allele frequencies in each of the 10 reference locations at each  
277 of the 10 loci in adult summer flounder (Table S2). We repeated this 10,000 times to produce the  
278 expected distributions of likelihood values for  $Z$  individuals that originated in each of the 10  
279 potential locations of origin. For each cluster, the resampled distribution of likelihood criteria was  
280 compared to the corresponding observed genotype likelihood for each reference location, and the  
281 probability that the cluster of individuals originated from the reference location was calculated as  
282 the proportion of resamples with genotype likelihoods less than the observed value (Cornuet *et al.*  
283 1999). Unlike the assignment method, this method allowed us to calculate a measure of  
284 confidence that a cluster of larval individuals originated from a potential spawning location.  
285 Similar to Berry *et al.* (2004), each cluster of larval individuals was assigned to or excluded from  
286 potential source locations in three ways. Individuals were (i) assigned to the most likely location,  
287 (ii) excluded from all locations with  $\geq 80\%$  confidence of exclusion ( $P \leq 0.20$ ), and (iii) excluded  
288 from all locations with  $\geq 95\%$  confidence of exclusion ( $P \leq 0.05$ ).

289 To examine whether our dataset had evidence of siblings dispersing together, we  
290 conducted an exploratory sibship analysis with Colony (Jones & Wang 2010). No siblings were  
291 detected and the analysis was not pursued further.

292

## 293 **Results**

### 294 *Genotyping results*

295 The average number of quality-filtered reads per individual was  $868,180 \pm 811,927$  (mean  
296  $\pm$  SD). Mapping to our reference assembly resulted in average coverage of 13x. Variant calling  
297 across larvae and adults identified 422,767 putative SNPs, and of these, 1,904 loci with an  
298 average read depth of 71x across 293 larvae passed filtering.

299

### 300 *Population structure*

301 A PCA suggested that larval summer flounder were genetically similar across space and  
302 time at a genome-wide scale (Figure S2), and these results were confirmed using AMOVA,  
303 regardless of hierarchical level (Tables S3 & S4). Pairwise  $F_{ST}$  values between ingress site and  
304 time period groups were generally quite small (-0.0016 to 0.0019), except for those including the  
305 one larva from North Inlet, SC (Table S5).

306 After testing  $K = 1$  to  $K = 5$  in STRUCTURE, the mean likelihood value ( $L(K)$ ) and the  
307 Evanno method ( $\Delta K$ ) indicated  $K = 1$  and  $K = 2$  clusters for the full dataset containing 1,904 loci,  
308 respectively. We interpret these results as a lack of population structure in larvae because all  
309 individuals were admixed at approximately the same proportions, regardless of the  $K$  value  
310 (Figure S3).

311

312 *Individual assignment using genetics*

313 Simulated individual larval genetic assignment using the 10 candidate outlier loci  
314 revealed weak resolution for assigning individuals back to location of origin, though with greatest  
315 confidence for larvae originating in the extreme northern (A) and extreme southern (J) locations  
316 (Figure 2A; ~47% accuracy in both cases). Our ability to assign individual larvae was limited  
317 because genotype likelihoods were quite similar between potential source locations (Figure S4).  
318 However, simulated individuals drawn from north (A-E) or south (F-J) of Cape Hatteras, NC  
319 were usually assigned back to the correct north (~57-78% accuracy) or south side of Cape  
320 Hatteras (~53-79% accuracy; Figure 2A).

321 Across all time periods, observed larvae ingressing to Little Egg Inlet, NJ; Roosevelt  
322 Inlet, DE; and York River, VA were equally likely to be assigned back to sources north and south  
323 of Cape Hatteras (52% vs. 48% for NJ; 56% vs. 44% for DE; and 46% vs. 56% for VA,  
324 respectively). The majority of larvae ingressing to Beaufort, NC (69%) were assigned to sources  
325 south of Cape Hatteras and the individual from North Inlet, SC likely originated from the  
326 southern-most (J) reference location (Figure S5).

327

328 *Otolith microchemistry*

329 Otolith microchemistry resulted in high-quality data for 197 larval individuals. Otolith  
330 core microchemistry varied significantly among larvae ingressing to different estuaries for Mg,  
331 Mn, Fe, Ba and Sn (two-way ANOVA across ingress site and time period,  $p < 0.01$ ; Table S6 &  
332 Figure S6) and over time for Mg and Pb (two-way ANOVA,  $p < 0.01$ ; Table S6 & Figure S7).  
333 The combined elemental signatures at the natal otolith core significantly differed among ingress  
334 sites (MANOVA: Pillai's trace = 0.929,  $F_{3,193} = 8.35$ ,  $p < 0.0001$ ) and time periods (MANOVA:

335 Pillai's trace = 0.368,  $F_{2,194} = 4.199$ ,  $p < 0.0001$ ). Segregation between ingress sites located north  
336 (NJ and DE) and south (VA and NC) of Cape Hatteras was evident in the nMDS based on otolith  
337 core microchemistry within each time period (Figure 3).

338 When no *a priori* assumptions about group membership were made, clustering of larvae  
339 based on otolith microchemistry data revealed that many clusters were composed of larvae that  
340 ingressed either to the same estuary or to adjacent estuaries (Figure S8). Even with the likely  
341 incorrect assumption of a single larval source per ingress location, LDA analysis also showed that  
342 individuals captured at an ingress site had natal signatures characteristic of other larvae captured  
343 at the same ingress site, suggesting that they were spawned in roughly similar locations (Figures  
344 S9 & S10), even when LDA was performed for each time period separately (Figure S11). In  
345 reality, ingress sites likely include larvae from multiple natal sources. Mg, Mn, Fe, and Sn drove  
346 many of the patterns observed in LDA classification.

347

#### 348 *Cluster assignment and exclusion using otolith microchemistry & genetics*

349 In contrast to individual larval assignments, we found greatly improved accuracy when  
350 assigning clusters of larvae identified through shared elemental signatures, especially as the size  
351 of the larval clusters increased (Figures 2 & S4). Multiple k-means clustering techniques  
352 determined that the optimal number of larval clusters were six, two, and three for the early,  
353 middle and late time periods, respectively (Figure S12).

354 When clusters were assigned to the most likely reference location, eight of the clusters  
355 (73%) were assigned to the reference locations nearest Cape Hatteras, NC (Locations E & F). The  
356 remaining three clusters were assigned to Location C or Location G (Figure 1 & Table 2). In

357 particular, the method had confidence in the assigned origins of the larger clusters from the  
358 middle and late time periods (Figure 2D-E).

359 Larvae ingressed to estuaries both close to and far from their most likely location of  
360 origin. For example, individuals in clusters E1, E5 and E6 all ingressed at Beaufort, NC and were  
361 most likely to originate from the reference locations closest to Beaufort, NC (Locations F & G).  
362 In contrast, some individuals in cluster M2 ingressed to Little Egg Inlet, NJ, but likely originated  
363 off southern Virginia (Location E; Figure 4).

364 The assignment results revealed substantial dispersal across the putative biogeographic  
365 break at Cape Hatteras. For example, the majority of individuals in cluster M2 ingressed at  
366 Beaufort, NC, but were most likely to originate from the reference location just north of Cape  
367 Hatteras, NC (Location E; Figure 4). In addition, the majority of individuals in cluster L1  
368 ingressed at Roosevelt Inlet, DE and Little Egg Inlet, NJ, but were most likely to originate south  
369 of Cape Hatteras, NC (Location F; Figure 4). The assignment results suggest that exchange of  
370 larval summer flounder throughout the species range is common, frequently extends across Cape  
371 Hatteras, and sometimes occurs in the direction opposite the dominant Gulf Stream.

372 The exclusion method suggested that clusters E3 and L2 had low probabilities ( $p < 0.20$ )  
373 of originating from southern reference locations and could therefore be excluded with high  
374 confidence (Table 2). These results supported and were consistent with the assignment results.  
375 Other clusters could not be confidently excluded from particular reference locations.

376

## 377 **Discussion**

378 Natural history collections, and natural tags intrinsic to preserved specimens, are useful  
379 for investigating a wide range of ecological and evolutionary processes (Webster 2018). We



380 utilized intra-generational otolith microchemistry and inter-generational genetic markers,  
381 separately and in combination, from archived larval summer flounder captured across a quarter  
382 century to reveal that contemporary dispersal during the larval stage is sufficiently widespread to  
383 result in extensive population mixing along the U.S. East coast. Neither genetics nor otolith  
384 microchemistry alone were adequate for identifying the origins of larval fish because allele  
385 frequencies were homogeneous among source locations and because elemental signatures at  
386 potential spawning locations could not be validated. However, natal origins could be identified  
387 with greater accuracy when data from candidate loci and otolith microchemistry were combined  
388 in an integrated approach. We found that many larvae were most likely to originate in the vicinity  
389 of Cape Hatteras, larvae dispersed both near and far from their site of origin, and dispersal  
390 sometimes occurred in the opposite direction to the northward-flowing Gulf Stream.

391

### 392 *Single- and multi-marker approaches to infer dispersal*

393 Genetic assignment tests are widely used to determine the population of origin for an  
394 individual or a group of individuals in order to infer dispersal rates, identify immigrants,  
395 recognize hybridization events or classify the proportion that each source population contributed  
396 to a mixture of individuals (Manel *et al.* 2005). However, the utility of these methods may be  
397 reduced when effective population size is large and genetic differentiation is weak (Berry *et al.*  
398 2004; Allendorf *et al.* 2010), as is often the case for many species (Ward *et al.* 1994; Waples  
399 1998), including summer flounder (Hoey & Pinsky 2018). Low genetic differentiation at neutral  
400 markers has limited efficacy for population assignment because of ongoing gene flow. However,  
401 candidate outlier loci, or gene-associated markers, that arise due to divergent environmental  
402 selection, are promising for population assignment because of their elevated differentiation

403 compared to neutral markers (Nielsen *et al.* 2009, 2012; Freamo *et al.* 2011; Benestan *et al.* 2015;  
404 DeSaix *et al.* 2019). Genetically diverged candidate loci contain higher information content,  
405 allowing greater assignment accuracy than would be possible using an equal or greater number of  
406 neutral loci. For example, Freamo *et al.* (2011) achieved 85% assignment accuracy when using  
407 14 candidate outlier loci compared to 75% assignment accuracy when using 67 neutral loci in  
408 salmon, and studies in other systems have achieved equal success using many more markers  
409 (Rannala & Mountain 1997; Benestan *et al.* 2015; DeSaix *et al.* 2019). The 10 candidate outlier  
410 loci available for summer flounder were differentiated across geography, but only weakly so,  
411 which resulted in low power to assign individuals to populations of origin. Identification of  
412 additional candidate outlier loci would likely improve our power to distinguish the origins of  
413 individual larval summer flounder when using only genetic assignment.

414 Otoliths are useful for studying dispersal and population connectivity within individual  
415 lifespans of fishes (Thorrold *et al.* 2001). The conventional use of otolith microchemistry for  
416 population assignment requires that a chemical atlas can be accurately created, typically by  
417 capturing individuals at a location that corresponds to the otolith section being studied (i.e.,  
418 captured at the natal site when analyzing the otolith core). By capturing individuals from all  
419 known natal locations, a reference elemental atlas is developed to which other individuals can be  
420 assigned (Gillanders 2002; Shima & Swearer 2009). As a result, these studies are often limited to  
421 species spawned in shallow bays and estuaries where water chemistry differences are greatest.  
422 However, many marine species are spawned in more open, coastal environments, often resulting  
423 in a temporal disconnect between the natal core and the individual's capture location. Chemical  
424 atlases are difficult to recreate for such species, meaning that for summer flounder, otolith  
425 microchemistry alone could not be used to explicitly define the specific natal location(s). Rather,

426 otolith microchemistry indicated that ingressing summer flounder larvae spanned a range of natal  
427 environmental signatures. Some groups of larvae were spawned in the same offshore location and  
428 dispersed together, but mixing along the coast was also evident. We note that traditional  
429 assignment of summer flounder natal sources using otolith microchemistry could potentially be  
430 achieved using several long-term collections of ichthyoplankton on the continental shelf,  
431 including the Marine Resources Monitoring, Assessment, and Prediction (MARMAP) and the  
432 Ecosystem Monitoring (EcoMon) programs (Richardson *et al.* 2010; Walsh *et al.* 2015), which  
433 would be useful to corroborate our results.

434 In many cases, neither microchemistry nor genetics alone are particularly helpful for  
435 assigning individuals to source populations (Cornuet *et al.* 1999; Gillanders *et al.* 2001; Manel *et*  
436 *al.* 2005). In this paper, we instead combined both natural tags together to resolve natal sources in  
437 a more spatially explicit context and to achieve greater power for assignment. We first clustered  
438 individuals from each time period using elemental microchemistry (Tanner *et al.* 2012), and then  
439 used allele frequencies at spatially differentiated candidate loci for genetic population  
440 assignment. In doing so, we were able to account for baseline differences in elemental chemistry  
441 within each time period while concurrently taking advantage of increased power for genetic  
442 assignment of groups (Baudouin *et al.* 2004) despite relatively few genetic markers. Assignment  
443 validation indicated particularly robust results when clusters were composed of ~20 individuals  
444 or more, which was true of all our clusters except those from the earliest time period. Our  
445 combined multi-marker approach allowed for a higher resolution understanding of larval  
446 dispersal along the U.S. East coast over a quarter century than would otherwise be possible using  
447 a single-marker. Similar approaches are likely to be useful for future connectivity studies of  
448 coastally spawned species.

449

450 *Dispersal across biogeographic breaks*

451         Due to the positive correlation between pelagic duration and dispersal distance (Shanks  
452 2009), marine populations were once thought to be highly connected and well-mixed, but recent  
453 investigations of realized population connectivity in the sea have documented much more limited  
454 dispersal (Palumbi 2003; Jones *et al.* 2005; Almany *et al.* 2017; Baetscher *et al.* 2019).

455 Biogeographic breaks are areas where physical processes create sharp physical and biochemical  
456 discontinuities. Such discontinuities occur in all oceans and are thought to limit larval exchange  
457 (Galarza *et al.* 2009). Thus, biogeographic breaks provide interesting and important opportunities  
458 to understand dispersal scales in the ocean (Cowen *et al.* 2006). We tested for larval summer  
459 flounder dispersal across Cape Hatteras, a known biogeographic break for a variety of other  
460 invertebrates and fish (Briggs 1974; Baker *et al.* 2008; Roy *et al.* 2012), and a purported barrier  
461 for summer flounder (Wilk *et al.* 1980; Kraus & Musick 2001). However, we found larval  
462 dispersal to be bidirectional across Cape Hatteras, suggesting that Cape Hatteras does not  
463 function as a strong biogeographic barrier to movement for summer flounder larvae. We also  
464 found that larval summer flounder ingressed to locations both near and far from their most likely  
465 origin (~100-500 km), providing empirical evidence for substantial connectivity across space in  
466 the sea.

467         Both biological characteristics of summer flounder and oceanographic processes likely  
468 influenced the high population connectivity we observed. Summer flounder are highly fecund and  
469 exhibit serial spawning across an extended season, though peak spawning coincides with the  
470 autumn breakdown of the thermocline and the resulting plankton bloom (Morse 1981).

471 Northward movement across Cape Hatteras could be achieved if larvae were entrained in the

472 Gulf Stream and subsequently concentrated and transported back west across the continental  
473 shelf via warm core rings (a type of mesoscale eddy; Hare & Cowen 1996; Hare *et al.* 2002) or  
474 filaments between mesoscale eddies (Harrison *et al.* 2013) prior to ingress. The southwest  
475 propagation of warm core rings (Auer 1987) and the southerly flow of shelf and slope waters due  
476 to the Labrador Current (Bumpus 1973) could facilitate southward dispersal of larvae spawned in  
477 the Mid-Atlantic Bight, with flux across Cape Hatteras occurring via wind or buoyancy-driven  
478 intrusions (Stegmann & Yoder 1996; Grothues *et al.* 2002). Biological characteristics, such as  
479 iteroparity and considerable larval production, may also interact with physical oceanographic  
480 features to increase retention and upstream spread of larval summer flounder in the Mid- and  
481 South Atlantic Bights (Byers & Pringle 2006).

482

#### 483 *Dispersal over time: implications, assumptions & opportunities*

484 Empirical estimates of dispersal over time are crucial for validating our theoretical  
485 understanding of the variable nature of larval dispersal and its consequences (Siegel *et al.* 2008)  
486 and for improving fisheries management (Fogarty & Botsford 2007). An increasing number of  
487 studies have examined dispersal over intraseasonal (Cook 2011) and interannual (Kraus & Secor  
488 2005; Hogan *et al.* 2012; Reis-Santos *et al.* 2013) scales, but dispersal estimates for more than  
489 two cohorts and over decadal scales are rare. Similar to our theoretical understanding, these  
490 empirical studies indicate that dispersal distances, local retention and patterns of larval  
491 connectivity can be quite variable over time. In summer flounder, we also found variation in  
492 dispersal patterns, with some estuaries receiving more larvae from particular spawning locations  
493 in certain time periods. However, we found instances of larval summer flounder originating both  
494 near and far from their ingress site, and this phenomenon appeared regularly in each of three time

495 periods examined over a 24-year timeframe. Our finding of a high degree of larval connectivity  
496 over decadal timescales in summer flounder suggests that larval dispersal is frequent and  
497 extensive enough to result in genetic near-homogeneity.

498 The utility of spatially divergent loci for genetic population assignment with temporally  
499 spaced samples is dependent on allele frequency differences being stable over time. Allele  
500 frequencies at candidate loci may be difficult to detect or may fluctuate over time due to the  
501 transient nature of small-effect loci (Yeaman 2015) or spatially varying environmental selection  
502 acting in each generation (Hedrick *et al.* 1976; Bernatchez 2016). However, the majority of our  
503 candidate outlier loci in adult summer flounder were associated with bottom temperature, and a  
504 strong thermal gradient due to the Gulf Stream exists along the U.S. East coast (Briggs 1974).  
505 Recent evidence points to a weakening of the Atlantic meridional overturning circulation  
506 (AMOC), of which the Gulf Stream is an important element, as well as a northward shift of the  
507 Gulf Stream, and that these changes are likely due to climate change (Caesar *et al.* 2018;  
508 Thornalley *et al.* 2018). This is reflected in the increased occurrence of southern species at the  
509 Little Egg Inlet, NJ site (Morson *et al.* 2019). Despite these changes, the persistence of a thermal  
510 gradient suggests that selection for temperature-associated genetic markers has existed over the  
511 last few decades as well.

512 Dispersal may promote or constrain adaptive divergence across an environmental  
513 landscape (Lenormand 2002; Garant *et al.* 2007). Within the context of rapid environmental  
514 change, high connectivity over time may be particularly advantageous because it increases  
515 adaptive potential through beneficial gene flow. With a baseline understanding of dispersal in  
516 summer flounder, ongoing and future dispersal will be easier to evaluate. We took advantage of  
517 existing natural history collections – invaluable, long-term datasets of life’s diversity (Lister &

518 Climate Change Research Group, 2011) – to retroactively investigate dispersal patterns over  
519 time. Such collections have also been key to investigating phenotypic (Cross *et al.* 2018),  
520 phenological (Primack *et al.* 2004), and distributional shifts (Moritz *et al.* 2008), further  
521 highlighting the importance of archived collections for studying ecological and evolutionary  
522 patterns and processes. Furthermore, these studies illustrate the need for long-term sampling  
523 programs to make connections with governmental, academic, or private (e.g., open non-profit)  
524 research collections so that specimens resulting from their sampling can be cataloged, curated,  
525 and made available (and known) to the broader research community (Singer *et al.* 2018).  
526 Appropriately preserved specimens not only serve as historical baselines by documenting  
527 biogeography and morphology in space and time, but also harbor a wealth of information in the  
528 form of genetic, biochemical, and geochemical natural tags, which themselves can be time  
529 capsules of information. However, these collections are most useful when accessible and  
530 available to the research community and properly cared for in perpetuity. The nature of our  
531 summer flounder specimens allowed for a combined otolith-genetic approach, identifying  
532 instances of bidirectional dispersal both near and far from sites of origin across an environmental  
533 gradient and over time. Future studies combining contemporary specimens and those available in  
534 natural history collections have great potential to reveal how historical and ongoing  
535 environmental changes have and will continue to impact the ecological and evolutionary  
536 trajectory of organisms (Johnson *et al.* 2011; Webster 2018; Meineke *et al.* 2019), if we can use  
537 collections in innovative and synergistic ways and revisit them as new approaches are developed  
538 to extract information.

539

540 *Consequences for summer flounder management*

541 Summer flounder support economically important commercial and recreational fisheries,  
542 especially in the Mid-Atlantic where biomass is highest (Packer *et al.* 1999), and our findings  
543 have important implications for summer flounder biology and conservation. The results from our  
544 multi-marker approach indicate that the majority of larvae most likely originated in the vicinity of  
545 Cape Hatteras. The consistency with which larval clusters were assigned back to the Cape  
546 Hatteras region through time was striking, despite the northward shift of summer flounder  
547 populations in recent decades (Nye *et al.* 2009; Bell *et al.* 2014). Even though the majority of  
548 summer flounder are thought to spawn from Cape Cod, MA to Cape Lookout, NC along the  
549 continental shelf (Smith 1973; Able & Fahay 1998), our data suggest that spawning adults in the  
550 vicinity of Cape Hatteras comprise a particularly important source of production and contribute  
551 disproportionately to coastwide annual recruitment. The Cape Hatteras region could be targeted  
552 for spawning stock protection if management needs warranted. In addition, shared dispersal  
553 trajectories may have demographic consequences by affecting the distribution of phenotypes in  
554 the subsequent life stages (Shima & Swearer 2016). Further research using samples captured as  
555 soon as possible after spawning (i.e. ichthyoplankton samples captured offshore), additional adult  
556 samples, larvae that ingressed to estuaries not sampled in this study and/or other natural and  
557 artificial tags would be useful to further ground-truth our findings and confirm if individuals  
558 spawned in the vicinity of Cape Hatteras contribute disproportionately to the next generation.  
559 Additionally, the use of biological-oceanographic (biophysical) models would be helpful for  
560 understanding how larvae spawned throughout the species' range disperse along the coast.

561

562 *Conclusions*



563 We demonstrated how an integrated multi-marker approach can improve estimates of  
564 contemporary dispersal, and how natural history collections can greatly extend the temporal scale  
565 of investigations examining ecological and evolutionary processes. By using natural tags that  
566 span intra- and inter-generational timescales, our combined approach enabled a higher resolution  
567 understanding of the magnitude and directionality of dispersal over time. Our results provide  
568 direct evidence of high connectivity in a marine species, and contrasts with recent evidence of  
569 high self-recruitment in the sea. High dispersal over space and time appears to be quite common  
570 in some marine species and may be a particularly important mechanism maintaining genetic  
571 diversity and evolutionary potential in populations during an era of rapid environmental change.

572

### 573 **Acknowledgements**

574 We thank S. VanMorter (New Jersey Department of Environmental Protection), C. Welsh  
575 (Rutgers University Marine Field Station), M. Hernandez (Louisiana State University) and M.  
576 Brodeur (University of North Carolina at Chapel Hill) for assistance with specimen organization  
577 and preparation. The Bidle and Fonseca Labs (Rutgers) provided fish-free lab space to reduce  
578 potential contamination of historical specimens. We are grateful to E. Hale for his efforts  
579 sampling and archiving larval fishes at the Roosevelt Inlet, DE site; S. Huber (Virginia Institute  
580 of Marine Science Nunnally Ichthyology Collection) for curatorial and organizational assistance  
581 during specimen shipment; and D. Allen (U. of South Carolina) for help acquiring the North  
582 Inlet, SC specimen. We thank members of the Pinsky Lab for comments on manuscript drafts.  
583 We are also grateful for the thoughtful feedback provided by three anonymous reviewers.

584 This material is based upon work supported by a) the New Jersey Sea Grant Consortium  
585 (NJSGC) [R/6410-0011], with funds from the NOAA Office of Sea Grant, U.S. Department of

586 Commerce, under NOAA grant number NA14OAR4170085 and the NJS GC, b) the Delaware  
587 Sea Grant College Program, NOAA, U.S. Department of Commerce (NA05OAR4171041;  
588 project R/ECO-3), and c) the Virginia Sea Grant (VASG) Program, NOAA, U.S. Department of  
589 Commerce (NA07OAR4170047; projects R/CM-28, and R/CF-09-01). The statements, findings,  
590 conclusions, and recommendations are those of the author(s) and do not necessarily reflect the  
591 views of NOAA or the U.S. Department of Commerce. This is NJS GC publication number  
592 NJS G-20-956 and VAS G publication number VSG-20-01. Curation of specimens from York  
593 River, VA benefitted from resources made available by NSF DBI-1349327 awarded to Hilton,  
594 Huber & Steinberg. This is contribution number 3875 of the Virginia Institute of Marine Science,  
595 William & Mary. JAH was supported by a National Science Foundation Graduate Research  
596 Fellowship under Grant No. DGE-1433187.

597

### 598 **Data Accessibility**

599 Raw sequencing reads are archived in the NCBI Sequence Read Archive (SRA) database (Acc.  
600 No. PRJNA600652). Other data and code associated with this study are available through Zenodo  
601 (<https://doi.org/10.5281/zenodo.3670955>).

602

### 603 **Author Contributions**

604 JAH, MLP, KWA and FJF designed the study; KWA, EJH, GTK, TET, JCT, JAH and FJF  
605 obtained and organized the samples; JAH prepared the ddRADseq libraries, performed the  
606 bioinformatics and analyzed the cleaned genetic dataset; FJF and QAW dissected and performed  
607 laser ablation inductively coupled plasma mass spectrometry on cleaned larval otoliths; JAH and

608 FJF analyzed elemental ratios; JAH, MLP, and FJF designed analysis methods; all authors  
609 discussed results; JAH wrote the manuscript; all authors edited the manuscript.

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927 Tables & Figures

928 Table 1. Sampling years, source and sample size for each sampled collection or dataset of larval  
929 summer flounder from north to south. See Figure 1 for locations.

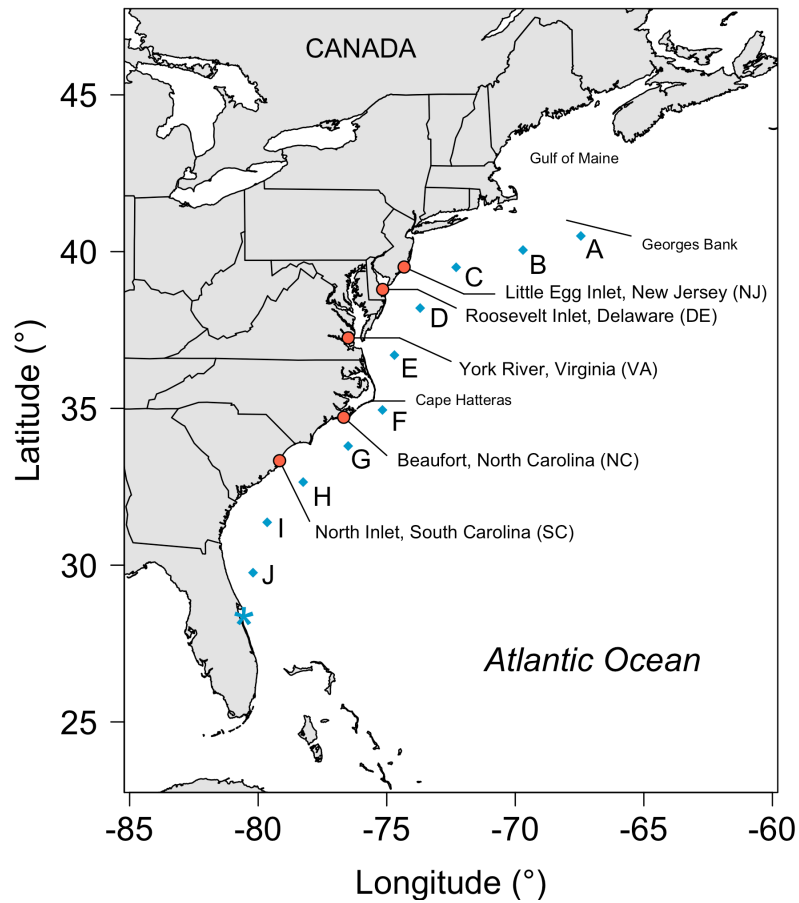
<b>Year(s)</b>	<b>Source</b>	<b>n<sub>sampled</sub></b>	<b>n<sub>otolith</sub></b>	<b>n<sub>genetic</sub></b>	<b>n<sub>otolith &amp; genetic</sub></b>
1989-1993	Little Egg Inlet, NJ	51	7	8	4
1998-2002	Little Egg Inlet, NJ	50	32	38	26
2008-2012	Little Egg Inlet, NJ	50	34	6	4
2008-2010	Roosevelt Inlet, DE	50	41	50	41
2008-2010	York River, VA	50	25	44	23
1989-1993	Beaufort, NC	55	17	52	17
1998-2002	Beaufort, NC	54	25	49	21
2008-2012	Beaufort, NC	50	16	45	15
2008	North Inlet, SC	1	0	1	0
<b>Total</b>		411	197	293	151

930

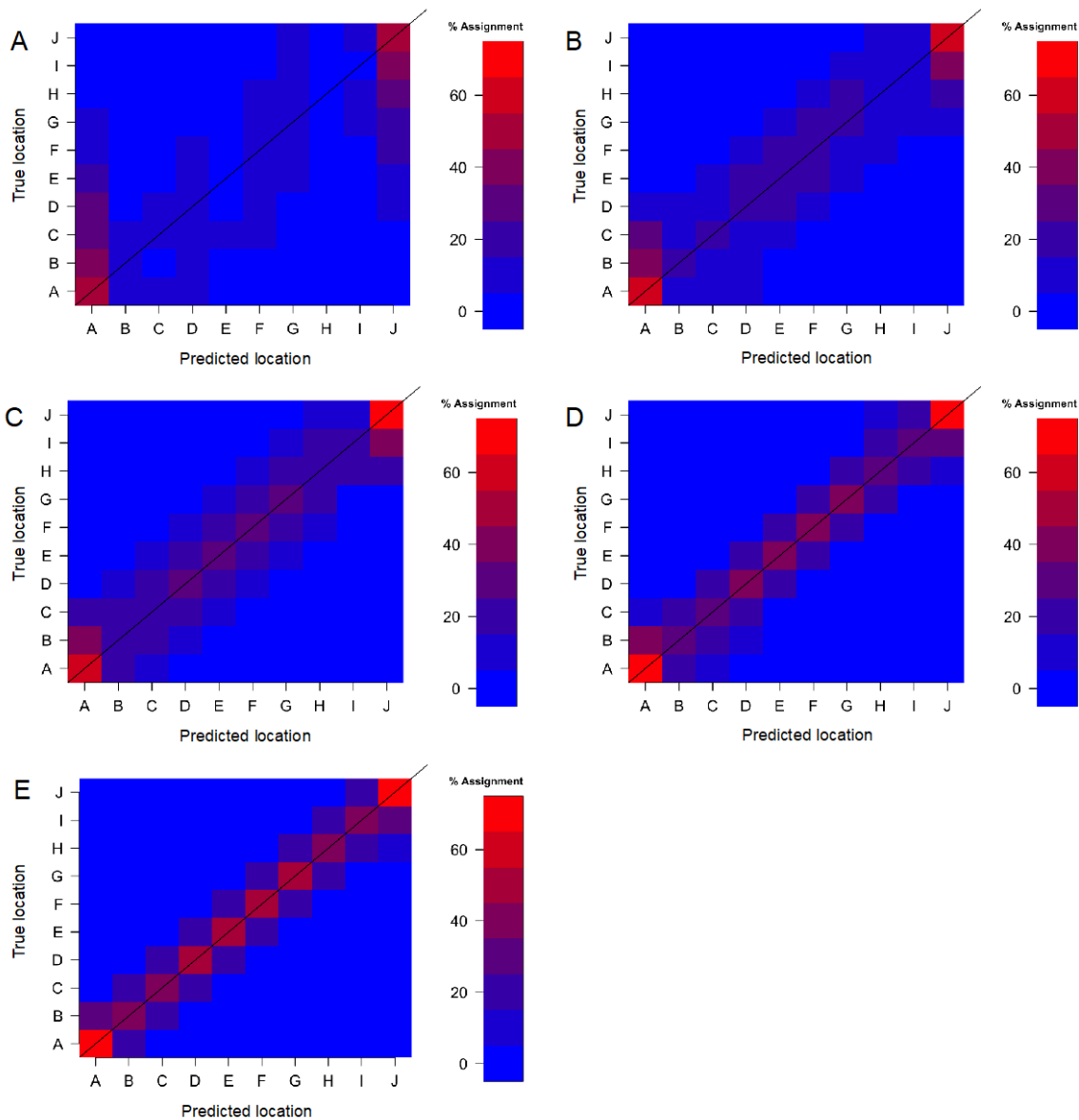
931 Table 2. Larval summer flounder cluster assignment and exclusion results from each time period. Clusters were assigned to the  
 932 most likely (ML) spawning reference location along the coast (see Figure 1). Clusters were also excluded from potential  
 933 reference locations at two significance levels: 0.20 and 0.05.

	Size (n = 151)	Location A (north)	Location B	Location C	Location D	Location E	Location F	Location G	Location H	Location I	Location J (south)
Early (1989-1993)											
E1	n = 4						ML				
E2	n = 3					ML					
E3	n = 4					ML			$p < 0.20$	$p < 0.20$	$p < 0.20$
E4	n = 4			ML							
E5	n = 3							ML			
E6	n = 3							ML			
Middle (1998-2002)											
M1	n = 21					ML					
M2	n = 26					ML					
Late (2008-2012)											
L1	n = 33							ML			
L2	n = 22					ML	$p < 0.20$	$p < 0.20$	$p < 0.20$	$p < 0.05$	$p < 0.05$
L3	n = 28							ML			

934

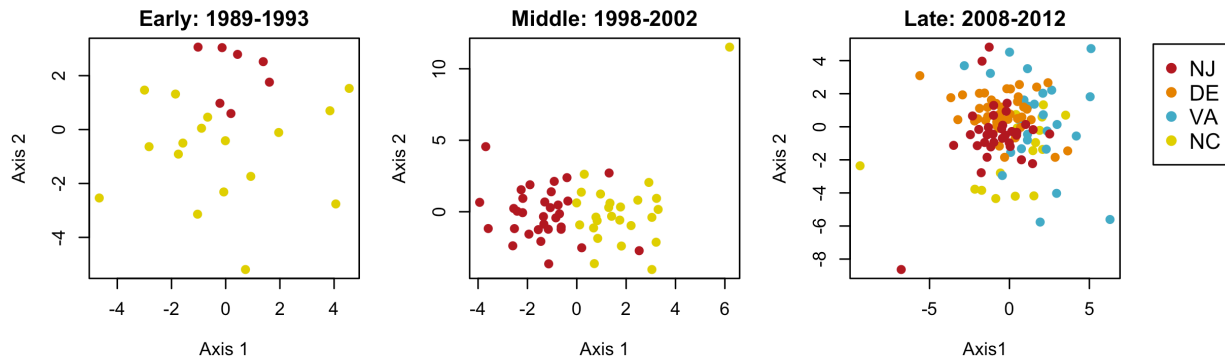


935  
 936 Figure 1. Locations of larval summer flounder (n = 411; circles) sampled from ingress sites along  
 937 the U.S. East coast between 1989-2012. Locations A through J denote spawning reference  
 938 locations to which larvae were assigned. These locations represent distances along the coast  
 939 calculated from a southern point (\*).



940  
 941 Figure 2. Increased assignment accuracy as the number of individuals used for assignment  
 942 increased. Alleles of A) 1 individual, B) 5 individuals, C) 10 individuals, D) 20 individuals, and  
 943 E) 30 individuals were simulated from each of 10 locations (A – J) using the 10 allele frequencies  
 944 in Table S2. Genotype likelihoods were calculated for individuals or groups of individuals for  
 945 each spawning location, and these were then assigned to the most likely location. The percentage  
 946 of correct assignments increased and coalesced around the 1:1 line as the number of individuals  
 947 increased.

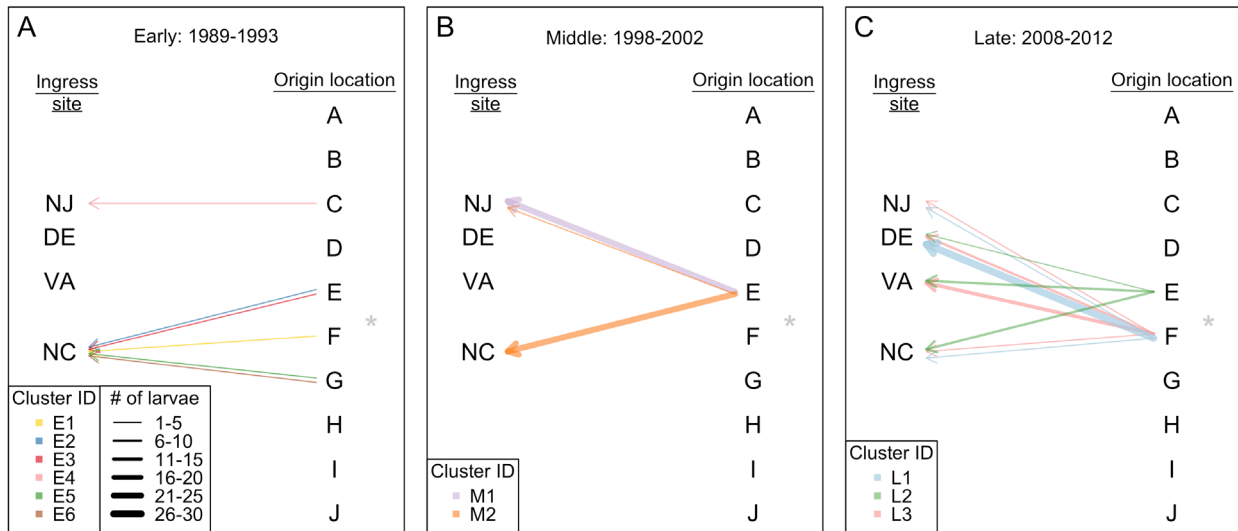
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950 Figure 3. Nonmetric multidimensional scaling (nMDS) plots for summer flounder larvae caught  
951 within each time period using 10 elemental otolith microchemistry ratios (Sr, Mg, Mn, Fe, Cu,  
952 Cd, Ba, Sn, Pb & U) relative to Ca. Segregation between ingress sites located north (NJ: New  
953 Jersey and DE: Delaware) and south (VA: Virginia and NC: North Carolina) of Cape Hatteras  
954 was visible through time.





955  
 956 Figure 4. Schematic depicting the likely origin on the continental shelf (A-J; ordered from north  
 957 to south, see Figure 1) and estuarine destination of dispersing summer flounder larvae. The width  
 958 of the arrow indicates how many larvae ingressed to sites (NJ: New Jersey, DE: Delaware, VA:  
 959 Virginia, NC: North Carolina) in the A) early (1989-1993), B) middle (1998-2002), and C) late  
 960 (2008-2012) time periods. Each cluster of larvae is represented by a unique color. The location of  
 961 Cape Hatteras, a biogeographic break for many marine species, is indicated by a gray \*.