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Data Stewardship Maturity Report for NOAA JPSS Visible Infrared Imaging Radiometer Suite (VIIRS) Sensor Data Record (SDR) from IDPS

Table 1 Legend				
Level 1	Level 2	Level 3	Level 4	Level 5
Ad Hoc	Minimal	Intermediate	Advanced	Optimal
Little or no management	Limited Management	Defined Management, partially implemented	Well-defined Management, fully implemented	Full Management, audited, measured, controlled

Table 1. Scores for the Nine DSMM Key Components at a Glance		
Preservability - 4.5	Accessibility - 5	Usability - 4
Production Sustainability - 5	Data Quality Assurance - 4	Data Quality Control/Monitoring - 3.5
Data Quality Assessment - 3	Transparency/Traceability - 4	Data Integrity - 5

NOAA National Centers for Environmental Information January 2020

U.S. DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
National Environmental Satellite, Data, and Information Service

Cover Image: Data Stewardship Rating Diagram for NOAA JPSS Visible Infrared Imaging Radiometer Suite (VIIRS) Sensor Data Record (SDR) from IDPS

Shades of green are used to represent level 1 through level 5 ratings; denoting Ad Hoc, Minimal, Intermediate, Advanced, and Optimal stages for each of the nine key components, respectively. The dark green level indicates all the practices are completely satisfied. The lighter green levels indicate only some of the practices are satisfied. The lightest green level indicates none of the practices are satisfied.

The stewardship maturity of NCEI data product, NOAA JPSS Visible Infrared Imaging Radiometer Suite (VIIRS) Sensor Data Record (SDR) from IDPS, is assessed based on a reference stewardship maturity framework. The current maturity ratings of NOAA JPSS Visible Infrared Imaging Radiometer Suite (VIIRS) Sensor Data Record (SDR) from IDPS are at Level 1 or higher for all nine key components with zero Level 1, zero Level 2, two Level 3, four Level 4, and three Level 5 key components.

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The National Environmental Satellite, Data, and Information Service (NESDIS) manages the Nation's civil Earth-observing satellite systems, as well as global national data bases for meteorology, oceanography, geophysics, and solar-terrestrial sciences. From these sources, it develops and disseminates environmental data and information products critical to the protection of life and property, national defense, and the national economy, energy development and distribution, global food supplies, and the development of natural resources.

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ASSESSMENT REVISION HISTORY

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Preface

In response to the President's Open Government Initiative and related policies, NOAA has committed to providing improved public access to all of its environmental information, to enable research and commercial innovation through ease of data discovery and use [*Casey*, 2016].

OneStop supports NOAA's efforts by leveraging existing access technologies and infusing specific innovations to provide improved discover, access, and visualization services for NOAA's data. Also, OneStop is viewed by a NESDIS as a pathfinder effort with an initial focus on selected high-priority datasets from NESDIS and other program data meeting OneStop standards, but eventually scalable across NOAA's data. Lastly, OneStop is implementing the USGEO Common Framework for Earth Observation Data and leveraging/supporting the NOAA Big Data Project (BDP) and Big Earth Data Initiative (BEDI) [*Casey*, 2016].

As with any process of improvement planning, agencies need to find out where they are in terms of their compliance to the federal regulations and what they need to do if any areas of non-compliance are identified. To this end, a unified framework would be beneficial for assessing the current stage of stewardship practices applied to individual datasets and for providing a road map that will guide future investments towards enhanced stewardship of environmental datasets. The value and quality of a dataset depends in part on the stewardship practices applied after its development and production. Therefore, a unified framework providing a holistic view of the quality of stewardship practices applied to individual datasets is beneficial to data stewards and users [*Casey*, 2016].

The Data Stewardship Maturity Matrix (DSMM), jointly developed by domain (data management, technology, and science) subject matter experts from NOAA's National Centers for Environmental Information (NCEI) and Cooperative Institute for Climate and Satellites – North Carolina (CICS-NC), provides such a consistent framework [*Peng et al.,* 2016]. The DSMM, leveraging institutional knowledge and community practices and standards, defines a graduated maturity scale for each of nine key components of scientific data stewardship to enable a consistent assessment of the measureable stewardship practices applied to a given data set or product.

The NOAA Data Stewardship Maturity Technical Series captures stewardship maturity assessment results for individual datasets, provides consistent representation and citable documents of those assessments, ensures transparency, and allows better data quality information integration and content-based search and discovery of NOAA data.

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Data Stewardship Maturity Report for NOAA JPSS Visible Infrared Imaging Radiometer Suite (VIIRS) Sensor Data Record (SDR) from IDPS

1. Introduction

1.1 Purpose

The purpose of this document is to describe the results of stewardship maturity assessment for NOAA Climate Data Record for Mean Layer Temperature (Upper Troposphere & Lower Stratosphere from UCAR, Version 2, utilizing the Scientific Data Stewardship Maturity Matrix or DSMM [Peng, et al, 2016]. DSMM defines levels of stewardship maturity stages for Preservability, Accessibility, Usability, Production Sustainability, Data Quality Assurance, Data Quality Control/Monitoring, Data Quality Assessment, Transparency/Traceability, and Data Integrity key components. Each of these components is ranked from 'Ad hoc' to 'Optimal' (see Appendix I). This report is based on evaluation performed by NOAA OneStop metadata specialists working with Subject Matter Experts and utilizing the DSMM template [Peng, 2016].

1.2 Scope

Assessing stewardship maturity - the current state of how datasets are documented, preserved, stewarded, and made accessible publicly, is a critical step towards meeting U.S. federal regulations, organizational requirements, and user needs [Peng et al., 2016]. The goal of this document is to provide consistent and transparent stewardship maturity information to data users and decision-makers.

1.3 Dataset Abstract

The Visible Infrared Imaging Radiometer Suite (VIIRS) is a scanning radiometer that collects visible and infrared imagery and radiometric measurements of the land, atmosphere, cryosphere, and oceans. Level-1 Sensor Data Records (SDRs) from from VIIRS are the calibrated and geolocated radiance and reflectance data produced from the Raw Data Records. There are 22 VIIRS SDRs: 16 moderate-resolution, narrow-spectral-band products at 750 meter resolution, five imaging-resolution, narrow-spectral-band products at 375 meter resolution and one Day-Night Band imaging broadband product at 750 meter resolution. These SDRs are used as input to produce several Environmental Data Records (EDR) products. VIIRS SDR data obtained from the NOAA Comprehensive Large Array-Data Stewardship System (CLASS) are distributed as aggregated 5.6 minute files consisting of four granules in the Hierarchical Data Format v.5 (HDF5) with metadata attributes included.

1.4 Document Maintenance

This document is generated and maintained by NOAA's National Centers for Environmental Information. More on policy is available at https://www.ncei.noaa.gov/.

2. Results

The data stewardship maturity assessment information is summarized in Table 1. Each component is displayed along with its corresponding score in a color-coded table.

Table 2. Dataset and Data Stewardship Maturity Assessment Metadata	
Dataset Title	NOAA JPSS Visible Infrared Imaging Radiometer Suite (VIIRS) Sensor Data Record (SDR) from IDPS
Dataset Information URL	https://www.ncei.noaa.gov/metadata/geoportal/rest/metadata/item/gov.noaa.ncdc%3AC00864/html
Data Provider POC (Name; Email; Affiliation)	DOC/NOAA/NESDIS/NCEI > National Centers for Environmental Information, NESDIS, NOAA, U.S. Department of Commerce, ncei.sat.info@noaa.gov
Dataset POC (Name; Email; Affiliation)	Cao Changyong, Cao.Changyong@noaa.gov, DOC/NOAA/NESDIS/STAR > Center for Satellite Applications and Research, NESDIS, NOAA, U.S. Department of Commerce
SMM Version (Document ID and Version Number)	NCDC-CICS-SMM_0001_Rev.1 12/09/2014
SMM POC (Name; E-mail; Affiliation)	Ge Peng, ge.peng@uah.edu, University of Alabama-Huntsville
SMM Template Version (Document ID and Version Numbers)	NCDC-CICS-SMM_0001_Rev.1 v4.0 06/23/2015
SMM Template POC	Ge Peng, ge.peng@uah.edu, University of Alabama-Huntsville
SMM Assessment Version (v<nn>r<mm>, e.g., v01r00)	v01r03
SMM Assessment Date (MM/DD/YYYY)	05/16/2017
SMM Assessment POC (Name; E-mail; Affiliation)	Paul Lemieux III, paul.lemieux@noaa.gov, Earth Resources Technology, Inc.
Stewardship Maturity Ratings (each key component) (kc1/kc2/kc3/kc4/kc5/kc6/kc7/kc8/kc9)	4.5 / 5 / 4 / 5 / 4 / 3.5 / 3 / 4 / 5
SMM Original Assessment Date (MM/DD/YYYY)	06/15/2016
SMM Original Assessment POC (Name; E-mail; Affiliation)	Paul Lemieux III, paul.lemieux@noaa.gov, Earth Resources Technology, Inc.
SMM Last Modified Date (MM/DD/YYYY)	10/20/2021
SMM Last Modification POC (Name; E-mail; Affiliation)	Lori Hager, lori.hager@noaa.gov , CASE Consultants International
SMM Modified Date (MM/DD/YYYY)	04/25/2019
SMM Modification POC (Name; E-mail; Affiliation)	Paul Lemieux III, paul.lemieux@noaa.gov, Riverside Technology, Inc.

Table 3. Stewardship Maturity Levels and Detailed Justifications for Each of Nine DSMM Key Components for the Dataset.	
DSMM Key Component	Stewardship Maturity Rating, Justification, and Comments
Preservability	Level 4.5 ▪ Archived by NCEI which is a NOAA designated archive compliant to NARA standards. ▪ Metadata following ISO 19115-2. ▪ Compliant to OIAS RM ▪ Plans to update metadata to ISO 19115-1 at a later date and may be a pilot dataset for the OneStop initiative. ▪ Using CLASS Comments: CLASS is CMMI-Level 3
Accessibility	Level 5 ▪ Collection level searchable online ▪ Direct file download available CLASS FTP: ftp://ftp-jpss.avl.noaa.gov ▪ CLASS ordering: https://www.class.noaa.gov/saa/products/search?sub_id=0&datatype_family=VIIRS_SDR ▪ Granules searchable and orderable via CLASS ▪ CLASS has dissemination reports available internally and externally. Users have to e-mail the CLASS Help Desk to request access to the metrics tools. Comments: A CLASS improvement (GEARS) is in the works.
Usability	Level 4 ▪ Satellite community standard HDF5 data format ▪ ATBD/OAD/CDFCB/User Guide/SRS/Data Dictionary available online: https://www.jpss.noaa.gov/sciencedocs.html ▪ Data paper [Cao, Xiong, and Weng, 2012] published in peer-reviewed journal and available online here: https://doi.org/10.1117/12.930060 ▪ CLASS has deaggregating/subsetting options for downloads. ▪ Error estimates available in the validation documents and the ATBD [Baker and Northrop Grumman, 2013] available online: https://www.star.nesdis.noaa.gov/jpss/Docs.php Comments: No known external rankings.
Production Sustainability	Level 5 ▪ Long-term institutional commitment within NESDIS ▪ National and International commitment from numerous research institutions. VIIRS SDRs are some of the most widely used datasets. ▪ Changes planned with instrument enhancement research and long term monitoring. Comments: No comments

Table 3. Stewardship Maturity Levels and Detailed Justifications for Each of Nine DSMM Key Components for the Dataset.	
DSMM Key Component	Stewardship Maturity Rating, Justification, and Comments
Data Quality Assurance	Level 4 ▪ Product maturity document available online that outlines the rigorous QA/QC controls implemented on the product: https://www.star.nesdis.noaa.gov/jpss/AlgorithmMaturity.php ▪ QF for TB may be considered data quality metadata at the file level. Comments: No known external reviews.
Data Quality Control/ Monitoring	Level 3.5 ▪ Data quality control and monitoring steps are available online per the JPSS validation requirements. Anomaly detection procedure, reporting, and remedies are also available as a requirement for the validated (operational) maturity phase. https://www.star.nesdis.noaa.gov/jpss/AlgorithmMaturity.php Comments: No data quality information in the metadata record.
Data Quality Assessment	Level 3 ▪ Beta & Provisional (Research) & Validated (Operational) product assessments are available in the algorithm maturity review documents available here: https://www.star.nesdis.noaa.gov/jpss/AlgorithmMaturity.php ▪ ATBD [Baker and Northrop Grumman, 2013] available online here: https://www.jpss.noaa.gov/sciencedocs.html ▪ Research product assessed in literature [Cao, Xiong, and Weng, 2012] and available online here: https://doi.org/10.1117/12.930060 ▪ Numerous operational product assessments in literature. Comments: No data quality information in the metadata record. No known external rankings.
Transparency / Traceability	Level 4 ▪ ATBD/OAD [Baker and Northrop Grumman, 2013] available online here: https://www.jpss.noaa.gov/sciencedocs.html ▪ DOI pending ▪ CLASS Assigned OID ▪ Evaluation paper [Cao, Xiong, and Weng, 2012] published in peer-reviewed journal and available online here: https://doi.org/10.1117/12.930060 ▪ Configuration Management Plan [Zhao, 2014] available online here: https://www.star.nesdis.noaa.gov/jpss/Docs.php Comments: No comments.

Table 3. Stewardship Maturity Levels and Detailed Justifications for Each of Nine DSMM Key Components for the Dataset.	
DSMM Key Component	Stewardship Maturity Rating, Justification, and Comments
Data Integrity	Level 5 ▪ CLASS offers data signatures option for downloads and checksums available. ▪ NCEI can certify data for a fee. https://www.ncei.noaa.gov/certification ▪ NCEI data integrity is verified at ingest. ▪ CLASS verifies checksums at ingest and at archive Comments: No comments

3. Acknowledgment

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The draft of this data stewardship maturity report is systematically generated by a tool created by Kieran Hodnett and populated with the stewardship maturity assessment done by the author(s) of this report. The tool was developed based on a Word template created collaboratively by Robert Partee II, Raisa Ionin, Paul Lemieux III, Ge Peng, Don Collins, and Sonny Zinn with helpful input from the NOAA Central Library and the NCEI Communication Team.

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Appendix I: The Scientific Data Stewardship Maturity Matrix (DSMM)

Table A1: This matrix (Version: NCDC-CICS-SMM-0001-Rev.1. 12/09/2014) describes the criterion used to evaluate data stewardship maturity for each of the nine DSMM key components [*Peng et al.*, 2015].

DSMM Component	**Level 1** ***Ad hoc*** Little or no management	**Level 2** ***Minimal*** Limited management	**Level 3** ***Intermediate*** Defined management, partially implemented	**Level 4** ***Advanced*** Well-defined management, fully implemented	**Level 5** ***Optimal*** Full management, audited, measured, controlled
Preservability *(The state of being preservable)*	Any storage location Data only	Non-designated repository Redundancy Limited archiving metadata	Designated archive Redundancy Community-standard archiving metadata Conforming to limited archiving standards	Level 3 + Conforming to community archiving standards	Level 4 + Archiving process performance controlled, measured, and audited Future archiving standard changes planned
Accessibility *(The state of being searchable and accessible publicly)*	Not publically available person-to-person	Publically available direct file download (e.g., via anonymous FTP server) Collection or dataset level searchable online	Level 2 + Non-standard data service Limited data server performance Granule/file level searchable Limited search metrics	Level 3 + Community-standard data service Enhanced data server performance Conforming to community search metrics Dissemination report metrics defined and implemented internally	Level 4 + Dissemination reports available online Future technology and standard changes planned

Usability *(The state of being easy to use)*	Extensive product-specific knowledge required No documentation online	Non-standard data format Limited documentation (e.g., user's guide online)	Community standard-based interoperable format & metadata Documentation (e.g. source code, product algorithm document, processing or/and data flow diagram) online	Level 3 + Basic capability (e.g., subsetting, aggregating) & data characterization overall/global, e.g., climatology, error estimates) available online	Level 4 + Enhanced online capability (e.g., visualization, multiple data formats) Community metrics of data characterization (regional/cell) online External ranking
Production Sustainability *(The state of data production being sustainable and extendable)*	Ad Hoc or Not applicable To obligation or deliverable requirement	Short-term Individual PI's commitment (grant obligations)	Medium-term Institutional commitment (contractual deliverables with specs and schedule defined)	Long-term Institutional commitment Product improvement process in place	Level 4 + National or international commitment Changes for echnology planned
Data Quality Assurance *(The state of data quality being assured)*	Data quality assurance (DQA) procedure unknown or none	Ad Hoc and random QA procedure not defined and documented	DQA procedure defined and documented and partially implemented	DQA procedure well documented, fully implemented and available online with master reference data Limited data quality assurance metadata	Level 4 + DQA procedure monitored and reported Conforming to community quality metadata & standards External review

Data Quality Control/ Monitoring *The state of data quality being controlled and monitored*	None or Sampling unknown or spotty Analysis unknown or random in time	Sampling and analysis are regular in time and space Limited product-specific metrics defined & implemented	Level 2 + Sampling and analysis are frequent and systematic but not automatic Community metrics defined and partially implemented Procedure documented and available online	Level 3 + Anomaly detection procedure well-documented and fully implemented using community metrics, automatic, tracked and reported Limited quality monitoring metadata	Level 4 + Cross-validation of temporal & spatial characteristics Physical consistency check Conforming to community quality metadata & standards
Data Quality Assessment *(The state of data quality being assessed)*	Algorithm/ method/model Theoretical basis assessed (methods and results online)	Level 1 + Research product assessed (methods and results online)	Level 2 + Operational product assessed (methods and results online)	Level 3 + Quality metadata assessed Limited quality assessment metadata	Level 4 + Assessment performed on a recurring basis Conforming to community quality metadata & standards External ranking
Transparency/ Traceability *(The state of being transparent, trackable, and traceable)*	Limited product information available Person-to-person	Product information available in literature	Algorithm Theoretical Basis Document (ATBD) & source code online Dataset configuration managed (CM) Unique Object Identifier (OID) assigned (dataset, documentation, source code) Data citation tracked (e.g., utilizing Digital Object Identifier	Level 3 + Operational Algorithm Description (OAD) online, OID assigned, and under CM	Level 4 + System information online Complete data provenance online

Data Integrity *(The state of data integrity being verifiable)*	Unknown or no data ingest integrity check	Data ingest integrity verifiable (e.g, checksum technology)	(DOI) system) Level 2 + Data archive integrity verifiable	Level 3 + Data access integrity verifiable Conforming to community data integrity technology standard	Level 4 + Data authenticity verifiable (e.g., data signature technology) Performance of data integrity check monitored and reported