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Data Stewardship Maturity Report for GHRSST Level 2P Regional Subskin Sea Surface Temperature from the Tropical Rainfall Mapping Mission (TRMM) Microwave Imager (TMI) for the Atlantic Ocean (GDS version 1)

Table 1 Legend					
Level 1	Level 2	Level 3	Level 4	Level 5	
Ad Hoc	Minimal	Intermediate	Advanced	Optimal	
Little or no management	Limited Management	Defined Management, partially implemented	Well-defined Management, fully implemented	Full Management, audited, measured, controlled	

Table 1. Scores for the Nine DSMM Key Components at a Glance					
Preservability - 5 Accessibility - 5 Usability - 4.5					
Production Sustainability - 3.5	Data Quality Control/Monitoring - 3				
Data Quality Assessment - 0 Transparency/Traceability - 2.5 Data Integr					

NOAA National Centers for Environmental Information January 2020



U.S. DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration National Environmental Satellite, Data, and Information Service Cover Image: Data Stewardship Rating Diagram for GHRSST Level 2P Regional Subskin Sea Surface Temperature from the Tropical Rainfall Mapping Mission (TRMM) Microwave Imager (TMI) for the Atlantic Ocean (GDS version 1)

Shades of green are used to represent level 1 through level 5 ratings; denoting Ad Hoc, Minimal, Intermediate, Advanced, and Optimal stages for each of the nine key components, respectively. The dark green level indicates all the practices are completely satisfied. The lighter green levels indicate only some of the practices are satisfied. The lightest green level indicates none of the practices are satisfied.

The stewardship maturity of NCEI data product, GHRSST Level 2P Regional Subskin Sea Surface Temperature from the Tropical Rainfall Mapping Mission (TRMM) Microwave Imager (TMI) for the Atlantic Ocean (GDS version 1), is assessed based on a reference stewardship maturity framework. The current maturity ratings of GHRSST Level 2P Regional Subskin Sea Surface Temperature from the Tropical Rainfall Mapping Mission (TRMM) Microwave Imager (TMI) for the Atlantic Ocean (GDS version 1) are at Level 1 or higher for all nine key components with zero Level 1, one Level 2, four Level 3, one Level 4, and two Level 5 key components.

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The National Environmental Satellite, Data, and Information Service (NESDIS) manages the Nation's civil Earth-observing satellite systems, as well as global national data bases for meteorology, oceanography, geophysics, and solar-terrestrial sciences. From these sources, it develops and disseminates environmental data and information products critical to the protection of life and property, national defense, and the national economy, energy development and distribution, global food supplies, and the development of natural resources.

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Preface

In response to the President's Open Government Initiative and related policies, NOAA has committed to providing improved public access to all of its environmental information, to enable research and commercial innovation through ease of data discovery and use [Casey, 2016].

OneStop supports NOAA's efforts by leveraging existing access technologies and infusing specific innovations to provide improved discover, access, and visualization services for NOAA's data. Also, OneStop is viewed by a NESDIS as a pathfinder effort with an initial focus on selected high-priority datasets from NESDIS and other program data meeting OneStop standards, but eventually scalable across NOAA's data. Lastly, OneStop is implementing the USGEO Common Framework for Earth Observation Data and leveraging/supporting the NOAA Big Data Project (BDP) and Big Earth Data Initiative (BEDI) [Casey, 2016].

As with any process of improvement planning, agencies need to find out where they are in terms of their compliance to the federal regulations and what they need to do if any areas of non-compliance are identified. To this end, a unified framework would be beneficial for assessing the current stage of stewardship practices applied to individual datasets and for providing a road map that will guide future investments towards enhanced stewardship of environmental datasets. The value and quality of a dataset depends in part on the stewardship practices applied after its development and production. Therefore, a unified framework providing a holistic view of the quality of stewardship practices applied to individual datasets is beneficial to data stewards and users [Casey, 2016].

The Data Stewardship Maturity Matrix (DSMM), jointly developed by domain (data management, technology, and science) subject matter experts from NOAA's National Centers for Environmental Information (NCEI) and Cooperative Institute for Climate and Satellites – North Carolina (CICS-NC), provides such a consistent framework [*Peng et al.*, 2016]. The DSMM, leveraging institutional knowledge and community practices and standards, defines a graduated maturity scale for each of nine key components of scientific data stewardship to enable a consistent assessment of the measureable stewardship practices applied to a given data set or product.

The NOAA data stewardship maturity technical series captures stewardship maturity assessment results for individual datasets, provides consistent representation and citable documents of those assessments, ensures transparency, and allows better data quality information integration and content-based search and discovery of NOAA data.

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Data Stewardship Maturity Report for GHRSST Level 2P Regional Subskin Sea Surface Temperature from the Tropical Rainfall Mapping Mission (TRMM) Microwave Imager (TMI) for the Atlantic Ocean (GDS version 1)

1. Introduction

1.1 Purpose

The purpose of this document is to describe the results of stewardship maturity assessment for NOAA Climate Data Record for Mean Layer Temperature (Upper Troposphere & Lower Stratosphere from UCAR, Version 2, utilizing the Scientific Data Stewardship Maturity Matrix or DSMM [Peng, et al, 2016]. DSMM defines levels of stewardship maturity stages for Preservability, Accessibility, Usability, Production Sustainability, Data Quality Assurance, Data Quality Control/Monitoring, Data Quality Assessment, Transparency/Traceability, and Data Integrity key components. Each of these components is ranked from 'Ad hoc' to 'Optimal' (see Appendix I). This report is based on evaluation performed by NOAA OneStop metadata specialists working with Subject Matter Experts and utilizing the DSMM template [Peng, 2016].

1.2 Scope

Assessing stewardship maturity - the current state of how datasets are documented, preserved, stewarded, and made accessible publicly, is a critical step towards meeting U.S. federal regulations, organizational requirements, and user needs [Peng et al., 2016]. The goal of this document is to provide consistent and transparent stewardship maturity information to data users and decision-makers.

1.3 Dataset Abstract

The Tropical Rainfall Measuring Mission (TRMM) Microwave Imager (TMI) is a well calibrated passive microwave radiometer, similar to SSM/I, that contains lower frequency channels required for sea surface temperature (SST) retrievals. The TRMM is a joint venture between NASA and the Japan Aerospace Exploration Agency (JAXA) to measure precipitation, water vapor, SST and wind in the global tropical regions and was launched in November 1997. The TRMM satellite travels west to east in a 402 km altitude semi-equatorial precessing orbit that results in day-to-day changes in the observation time of any given earth location between 38S and 38N.

This Group for High Resolution Sea Surface Temperature (GHRSST) dataset is derived from Remote Sensing Systems BMAPS (binary) format TMI SST subskin data. Data were downloaded for the North Atlantic region from Remote Sensing Systems every hour to capture the latest TMI observations. L2P data products were then produced to the GHRSST Data Processing Specification (GDS) version 1.5. Ascending (daytime) and descending (nighttime) orbits are packaged into separate netCDF files.

Although the dataset designation is "L2P" it is actually a "L3C" dataset (gridded Level 3 collated) as defined by the GHRSST Data Processing Specification version 2.0. This dataset was originally produced for the GHRSST Pilot Project, the precursor to GHRSST, by the Medspiration Regional Data Assembly Center (RDAC) in Europe. As one of the first datasets produced as a prototype for GHRSST-PP it assumed an incorrect designation of "L2P".

1.4 Document Maintenance

This document is generated and maintained by NOAA's National Centers for Environmental Information. More on policy is available at https://www.ncei.noaa.gov/.

2. Results

The data stewardship maturity assessment information is summarized in Table 1. Each component is displayed along with its corresponding score in a color-coded table.

Table 2. Dataset and Data Stewardship Maturity Assessment Metadata			
Dataset Title	GHRSST Level 2P Regional Subskin Sea Surface Temperature from the Tropical Rainfall Mapping Mission (TRMM) Microwave Imager (TMI) for the Atlantic Ocean (GDS version 1)		
Dataset Information URL	https://www.ncei.noaa. gov/metadata/geoportal/rest/metadata/item/gov.noaa. nodc%3AGHRSST-EUR-L2P-TMI/html#		
Data Provider POC (Name; E-mail; Affiliation)	NOAA National Centers for Environmental Information (NCEI), NCEI.Info@noaa.gov		
Dataset POC (Name; E-mail; Affiliation)	Jean-Francois Piolle, jfpiolle@ifremer.fr, InstitutFrancais de Recherche pour l'Exploitation de la Mer, Center d'Exploitation et de Recherche Satellitaire		
SMM Version (Document ID and Version Number)	NCDC-CICS-SMM_0001_Rev.1 12/09/2014		
SMM POC (Name; E-mail; Affiliation)	Ge Peng, ge.peng@uah.edu, University of Alabama- Huntsville		
SMM Template Version (Document ID and Version Numbers)	NCDC-CICS-SMM_0001_Rev.1 v4.0 06/23/2015		
SMM Template POC	Ge Peng, ge.peng@uah.edu, University of Alabama- Huntsville		
SMM Assessment Version (v <nn>r<mm>, e.g., v01r00)</mm></nn>	V01r06		
SMM Assessment Date (MM/DD/YYYY)	04/29/2019		
SMM Assessment POC (Name; E-mail; Affiliation)	Paul Lemieux III, Paul.Lemieux@noaa.gov, Earth Resources Technology, Inc.		
Stewardship Maturity Ratings (each key component) (kc1/kc2/kc3/kc4/kc5/kc6/kc7/kc8/kc9)	5/5/4.5/3.5/3.5/3/0/2.5/3.0		
SMM Original Assessment Date (MM/DD/YYYY)	08/25/2016		
SMM Original Assessment POC (Name; E-mail; Affiliation)	Paul Lemieux III, Paul.Lemieux@noaa.gov, Earth Resources Technology, Inc.		
SMM Last Modified Date (MM/DD/YYYY)	09/23/2021		
SMM Last Modification POC (Name; E-mail; Affiliation)	Katy Luquire, catherine.luquire@noaa.gov , CASE Consultants International		
SMM Modified Date (MM/DD/YYYY)	04/29/2019		
SMM Modification POC (Name; E-mail; Affiliation)	Paul Lemieux III, Paul.Lemieux@noaa.gov, Earth Resources Technology, Inc.		

Table 3. Stewardship	Maturity Levels and Detailed Justifications for Each of Nine DSMM Key Components for the Dataset.
DSMM Key Component	Stewardship Maturity Rating, Justification, and Comments
Preservability	Level 5
Accessibility	Level 5 Collection level searchable online Granule level is searchable online Additional search options available from collection level site Direct file download available from FTP: ftp://ftp-oceans.ncei.noaa.gov/pub/data.nodc/ghrsst/L2P/TMI/EUR/ HTTP: https://www.ncei.noaa.gov/data/oceans/ghrsst/L2P/TMI/EUR/ THREDDS: https://www.ncei.noaa.gov/thredds-ocean/catalog/ghrsst/L2P/TMI/EUR/catalog.html Dissemination reports are available to the public https://www.ncei.noaa.gov/access/ghrsst-long-term-stewardship-and-reanalysis-facility/ New technology for OneStop search and discovery planned (i.e. ElasticSearch, Hyrax Servers, etc.) This is part of the GHRSST data group that will be OneStop ready. Additional enhanced data server performance (TDS, DAP) are maintained by NCEI and accessible from the metadata landing page. Comments: No comments

Table 3. Stewardshi	p Maturity Levels and Detailed Justifications for Each of Nine DSMM Key Components for the Dataset.
DSMM Key Component	Stewardship Maturity Rating, Justification, and Comments
Usability	Level 4.5 Community standard interoperable format: NetCDF A GHRSST User Guide, Quick Start Guide, GHRSST Data Specification (GDS) manual, and other relevant documents describing GHRSST data sets can be found in the archive accession, Documentation for The Group for High Resolution Sea Surface Temperature (GHRSST) data archived at NODC (NODC Accession 0123222), https://www.ncei.noaa.gov/access/metadata/landing-page/bin/iso?id=gov.noaa.nodc:0123222 GHRSST User's guide [GHRSST, 2011] is available online at: https://www.nodc.noaa.gov/archive/arc0072/0123222/1.1/data/0-data/GHRSSTUserGuidev91.pdf Aggregating granules is possible via THREDDS server. All GHRSST collections have error estimates. All GHRSST collections have enhanced online capability (e.g., visualization, multiple data formats): TDS, DAP (*data servers maintained at NCEI); access from metadata main landing page 17 year climatology [Wentz, 2015] of TRMM SST retrievals available online here: https://doi.org/10.1175/JCLI-D-15-0155.1 Comments: The ATBDs are unavailable online. The data creators have them, but they were not
Production Sustainability	submitted to the archive. No known external ranking Level 3.5 NOAA NCEI-MD supporting long term stewardship of GHRSST collections as part of LTSRF: https://www.ncei.noaa.gov/access/ghrsst-long-term-stewardship-and-reanalysis-facility/ Medspiration is no longer producing this dataset and is encouraging users to use a newer product from OSI-SAF. Comments: No more product improvement processes in place since Medspiration is no longer producing this dataset. Long-term international commitment (GHRSST is an international collaboration).
Data Quality Assurance	Level 3.5 • DQA procedure defined and documented in the GHRSST Data Specification user's guide [GHRSST, 2011] here https://www.nodc.noaa.gov/archive/arc0072/0123222/1.1/data/0-data/GHRSSTUserGuidev91.pdf • L2 and L3 File level quality flags exist which can be considered limited data quality assurance metadata. Comments:

able 3. Stewardship	Maturity Levels and Detailed Justifications for Each of Nine DSMM Key Components for the Dataset.
DSMM Key Component	Stewardship Maturity Rating, Justification, and Comments
Data Quality Control/ Monitoring	Level 3 Limited Quality Control metrics are available: http://sharaku.eorc.jaxa.jp/trmm/RT/index_e.html Sampling and analysis are frequent and systematic but not automatic Procedure documented and available online Comments: SST estimations are compared over time with in situ (drifting buoys) data and stats are updated every day and displayed at the CERSAT project page: http://cersat.ifremer.fr/data/products/cal-val/quality-monitoring-of-myocean-sst-products
Data Quality Assessment	 Level 0 Unable to locate any documentation online that describes Medspiration's TMI SST retrieval algorithms. Comments: Operational assessment available {Ffield, 2005}
Transparency / Traceability	Level 2.5 • Product information available in literature [Ffield, 2005] available online here: https: //doi.org/10.1016/j.dsr.2004.05.013 • OID Assigned: GHRSST-EUR-L2P-SEVIRI_SST • GHRSST datasets are under configuration management principles: https://doi.org/10. 5281/zenodo.4700465 Comments: No DOI assigned
	No OAD available Unable to locate any documentation that describes the SST retrieval algorithm.
Data Integrity	 Level 3 Data archive integrity verifiable Checksum technology is available, each GHRSST_TMI_TRMM_REG_L2P package is accompanied by a manifest in XML format containing hash digests generated using various algorithms, including MD5, SHA-1, SHA-384, etc. That includes checksums (. md5) for every file package. https://www.nodc.noaa.gov/archive/arc0056/0107446/0107446.1.1.xml Data authenticity is verifiable (since data can be downloaded via HTTPS and HTTPS uses certificates to prove site authenticity) NCEI-MD does not provide digital signatures for data dissemination
	Comments:

3. Acknowledgment

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We thank the dataset POCs for their valuable input, as well as the collaborative efforts of the OneStop teams, especially the Metadata team. We would also like to show appreciation to Ge Peng for her contributions.

The draft of this data stewardship maturity report is systematically generated by a tool created by Kieran Hodnett and populated with the stewardship maturity assessment done by the author(s) of this report. The tool was developed based on a Word template created collaboratively by Robert Partee II, Raisa Ionin, Paul Lemieux III, Ge Peng, Don Collins, and Sonny Zinn with helpful input from the NOAA Central Library and the NCEI Communication Team.

4. References

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Appendix I: The Scientific Data Stewardship Maturity Matrix (DSMM)

Table A1: This matrix (Version: NCDC-CICS-SMM-0001-Rev.1. 12/09/2014) describes the criterion used to evaluate data stewardship maturity for each of the nine DSMM key components [*Peng et al.*, 2015].

DSMM Component	Level 1 Ad hoc Little or no management	Level 2 Minimal Limited management	Level 3 Intermediate Defined management, partially implemented	Level 4 Advanced Well-defined management, fully implemented	Level 5 Optimal Full management, audited, measured, controlled
Preservability (The state of being preservable)	Any storage location Data only	Non- designated repository Redundancy Limited archiving metadata	Designated archive Redundancy Community-standard archiving metadata Conforming to limited archiving standards	Level 3 + Conforming to community archiving standards	Level 4 + Archiving process performance controlled, measured, and audited Future archiving standard changes planned
Accessibility (The state of being searchable and accessible publicly)	Not publically available person-to- person	Publically available direct file download (e.g., via anonymous FTP server) Collection or dataset level searchable online	Level 2 + Non-standard data service Limited data server performance Granule/file level searchable Limited search metrics	Level 3 + Community- standard data service Enhanced data server performance Conforming to community search metrics Dissemination report metrics defined and implemented internally	Level 4 + Dissemination reports available online Future technology and standard changes planned

Usability (The state of being easy to use)	Extensive product-specific knowledge required No documentation online	Non-standard data format Limited documentation (e.g., user's guide online)	Community standard-based interoperable format & metadata Documentation (e.g. source code, product algorithm document, processing or/and data flow diagram) online	Level 3 + Basic capability (e.g., subsetting, aggregating) & data characterization overall/global, e.g., climatology, error estimates) available online	Level 4 + Enhanced online capability (e.g., visualization, multiple data formats) Community metrics of data characterization (regional/cell) online External ranking
Production Sustainability (The state of data production being sustainable and extendable)	Ad Hoc or Not applicable To obligation or deliverable requirement	Short-term Individual PI's commitment (grant obligations)	Medium-term Institutional commitment (contractual deliverables with specs and schedule defined)	Long-term Institutional commitment Product improvement process in place	Level 4 + National or international commitment Changes for echnology planned
Data Quality Assurance (The state of data quality being assured)	Data quality assurance (DQA) procedure unknown or none	Ad Hoc and random QA procedure not defined and documented	DQA procedure defined and documented and partially implemented	DQA procedure well documented, fully implemented and available online with master reference data Limited data quality assurance metadata	Level 4 + DQA procedure monitored and reported Conforming to community quality metadata & standards External review

Data Quality Control/ Monitoring The state of data quality being controlled and monitored	None or Sampling unknown or spotty Analysis unknown or random in time	Sampling and analysis are regular in time and space Limited product-specific metrics defined & implemented	Level 2 + Sampling and analysis are frequent and systematic but not automatic Community metrics defined and partially implemented Procedure documented and available online	Level 3 + Anomaly detection procedure well-documente d and fully implemented using community metrics, automatic, tracked and reported Limited quality monitoring metadata	Level 4 + Cross-validation of temporal & spatial characteristics Physical consistency check Conforming to community quality metadata & standards
Data Quality Assessment (The state of data quality being assessed)	Algorithm/ method/model Theoretical basis assessed (methods and results online)	Level 1 + Research product assessed (methods and results online)	Level 2 + Operational product assessed (methods and results online)	Level 3 + Quality metadata assessed Limited quality assessment metadata	Level 4 + Assessment performed on a recurring basis Conforming to community quality metadata & standards External ranking
Transparency/ Traceability (The state of being transparent, trackable, and traceable)	Limited product information available Person-to-person	Product information available in literature	Algorithm Theoretical Basis Document (ATBD) & source code online Dataset configuration managed (CM) Unique Object Identifier (OID) assigned (dataset, documentation, source code) Data citation tracked (e.g., utilizing Digital Object Identifier	Level 3 + Operational Algorithm Description (OAD) online, OID assigned, and under CM	Level 4 + System information online Complete data provenance online

Data Integrity (The state of data integrity being verifiable)	Unknown or no data ingest integrity check	Data ingest integrity verifiable (e.g, checksum technology)	(DOI) system) Level 2 + Data archive integrity verifiable	Level 3 + Data access integrity verifiable	Level 4 + Data authenticity verifiable (e.g., data signature technology) Performance of data integrity check monitored and reported
				Conforming to community data integrity technology standard	