

1 **The role of climate, oceanography, and prey in driving decadal spatio-temporal**
2 **patterns of a highly mobile top predator**

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26 **ABSTRACT**

27 Marine mammals have been proposed as ecosystem sentinels due to their
28 conspicuous nature, wide ranging distribution, and capacity to respond to changes in
29 ecosystem structure and functioning. In southern European Atlantic waters, their response
30 to climate variability has been little explored, partly because of the inherent difficulty of
31 investigating higher trophic levels and long lifespan animals. Here, we analysed spatio-
32 temporal patterns from 1994 to 2018 of one of the most abundant cetaceans in the area,
33 the common dolphin (*Delphinus delphis*), in order to 1) explore changes in its abundance
34 and distribution, and 2) identify the underlying drivers. For that, we estimated the density
35 of the species and the centre of gravity of its distribution in the Bay of Biscay (BoB) and
36 tested the effect of three sets of potential drivers (climate indices, oceanographic
37 conditions, and prey biomasses) with a Vector Autoregressive Spatio Temporal (VAST)
38 model that accounts for changes in sampling effort resulting from the combination of
39 multiple datasets. Our results showed that the common dolphin significantly increased in
40 abundance in the BoB during the study period. These changes were best explained by
41 climate indices such as the North Atlantic Oscillation (NAO) and by prey species
42 biomass. Oceanographic variables such as chlorophyll *a* concentration and temperature
43 were less useful or not related. In addition, we found high variability in the geographic
44 centre of gravity of the species within the study region, with shifts between the inner
45 (southeast) and the outer (northwest) part of the BoB, although the majority of this
46 variability could not be attributed to the drivers considered in the study. Overall, these
47 findings indicate that considering temperature alone for projecting spatio-temporal
48 patterns of highly mobile predators is insufficient in this region and suggest important
49 influences from prey and climate indices that integrate multiple ecological influences.

50 Further integration of existing observational datasets to understand the causes of past
51 shifts will be important for making accurate projections into the future.

52 **Keywords:** common dolphin, spatio-temporal model (VAST), centre of gravity,
53 cetaceans, climate indices, predator-prey, environmental variability, time series, Bay of
54 Biscay.

55 **INTRODUCTION**

56 The global mean surface temperature has increased by approximately 1° C from
57 pre-industrial levels (IPCC, 2019), triggering shifts in the abundance, phenology and
58 distribution of organisms worldwide (Parmesan and Yohe, 2003;Poloczanska et al.,
59 2013). Marine ecosystems, despite having experienced a slower warming, show
60 comparable or even greater shift rates and vulnerability than terrestrial systems (Burrows
61 et al., 2011;Poloczanska et al., 2013;Pinsky et al., 2019), with seagrasses, corals,
62 cephalopods and marine mammals exhibiting the most abrupt changes (Trisos et al.,
63 2020).

64 Marine mammals, as wide ranging top predators, amplify trophic information
65 across multiple spatiotemporal scales and can therefore act as sentinels of ecosystems'
66 responses to climate variability and change (Hazen et al., 2019). However, assessing
67 climate change impacts in higher trophic levels and long lifespan animals such as marine
68 mammals is challenging, as their relationships to climate may be nonlinear and affected
69 by time lags (Simmonds and Isaac, 2007;Barlow et al., 2021). In addition, identifying
70 spatio-temporal trends in the context of climate change requires analysing data from
71 decadal or longer time series (Thorson et al., 2016), which are rarely available for marine
72 mammal observation data.

73 Combining data from multiple sampling programs can help overcome this
74 problem (Waggitt et al., 2020;Maureaud et al., 2021), but also increases the intrinsic
75 variability related to observers' skills, sampling design and protocols, which may result
76 in confounding species range shifts with variations in the distribution and intensity of the
77 sampling effort (Thorson et al., 2016). For that reason, separating the observation process
78 from the true underlying spatial distribution is essential to accurately identify range shifts
79 over time (Chust et al., 2014b) and to identify potential drivers (Erauskin-Extramiana et

80 al., 2019b). Recently, a species distribution function (SDF) able to distinguish between
81 sampling variation and true geographic variability has been developed (Thorson et al.,
82 2016). Unlike conventional estimators such as the abundance-weighted average, the SDF
83 is applied through a Vector Autoregressive Spatio Temporal (VAST) model that allows
84 the estimation of species distribution over predicted locations rather than sampled
85 locations, while also estimating a standard error that allows one to distinguish between
86 sampling variation and significant variability (Thorson et al., 2016). Although model-
87 based approaches had been used before to estimate shifts in the distribution of species,
88 VAST typically involves estimating a Gaussian Markov random field (GMRF)
89 representing latent variation in density that is constant over time (a “spatial” term), as
90 well as a GMRF representing latent variation that changes among years (a “spatio-
91 temporal” term), which is expected to improve predictions of species density and
92 distribution compared with using only measured habitat variables (Thorson, 2019a).

93 Until now, this estimator has been mainly applied to commercially important fish
94 stocks (Godefroid et al., 2019; Perretti and Thorson, 2019; Xu et al., 2019), although the
95 fragmented and methodologically variable nature of marine mammal observations
96 suggest the method could be highly useful for analysing the spatio-temporal patterns of
97 marine megafauna too. Within that context, the Bay of Biscay (BoB hereafter), located in
98 the Northeast Atlantic, off the coasts of France and Spain (Figure 1), represents an
99 interesting study area since numerous marine mammal species (e.g., cetaceans) cohabit
100 there, attracted by a highly diverse and abundant community of pelagic fish species
101 (Astarloa et al., 2019; Louzao et al., 2019).

102 Such productivity and diversity, however, might be altered by climate change in
103 the near future, as rising temperatures (0.26°C per decade; Costoya et al., 2015) are
104 expected to increase ocean stratification and reduce primary production and zooplankton

105 biomass in the area (Chust et al., 2014a). In recent years, losses in fisheries production
106 have already been reported (Free et al. 2019), together with changes in the composition,
107 distribution, and phenology of fish species (Blanchard and Vandermeirsch, 2005;Chust
108 et al., 2019;Baudron et al., 2020). Cetacean spatio-temporal variability, in contrast, has
109 been mainly assessed by exploring changes in their relative abundance (Hemery et al.,
110 2007;Castège et al., 2013;Authier et al., 2018), although both abundance and distribution
111 are considered key criteria by the European Marine Strategy Framework Directive
112 (MSFD; Directive 2008/56/EC) aiming to assess the environmental status of species and
113 ecosystems in European Union waters.

114 Advancement of both MSFD criteria in this region is therefore necessary,
115 especially when it is known that projections of climate change impacts on cetaceans at
116 large spatial scales (e.g., global; MacLeod, 2009) do not always match with those at
117 regional scales (Hazen et al., 2012). In the Northeast Atlantic, for example, warm-water
118 cetaceans were predicted to expand poleward (MacLeod, 2009;Lambert et al.,
119 2011;Lambert et al., 2014), although the south-eastward shift detected for some Northeast
120 Atlantic fish species in the BoB could indicate the opposite pattern in this particular area
121 (Baudron et al., 2020). Indeed, some of the fish species (e.g., horse mackerel *Trachurus*
122 *trachurus*, anchovy *Engraulis encrasicolus* and sprat *Sprattus sprattus*) analysed by
123 Baudron et al. (2020) constitute an important food resource for many cetaceans in the
124 BoB (Meynier et al., 2008;Spitz et al., 2018), which can heavily influence the spatial
125 movements of their predators (Díaz López and Methion, 2019;Díaz López et al.,
126 2019;Giralt Paradell et al., 2019).

127 The hypothesis that climate change may affect top predators through climate
128 influences on their ectothermic prey has been often suggested (Robinson et al.,
129 2005;Simmonds and Isaac, 2007;Evans and Waggitt, 2020). Most studies, however,

130 examine environmental conditions (e.g., temperature) as proxies of prey distribution
131 rather than studying prey data directly (Torres et al., 2008;Díaz López and Methion,
132 2019;Giralt Paradell et al., 2019) while others focus on exploring the effects of climate
133 indices on the grounds that they act as an integrated measure of multiple variables (Hallett
134 et al., 2004;Hemery et al., 2007). In the Northeast Atlantic, the North Atlantic Oscillation
135 (NAO) is the dominant mode of climate variability, although additional climate indices
136 such as the Atlantic Multidecadal Oscillation (AMO), the East Atlantic pattern (EA) or
137 the South Biscay Climate (SBC) have been also found to exert strong influence, direct or
138 indirectly, on both fish and cetacean species (Guisande et al., 2004;Hemery et al.,
139 2007;Borja et al., 2008;Evans and Waggitt, 2020) through changes in ocean temperature
140 and salinity, vertical mixing and circulation patterns (Drinkwater et al., 2003;Hurrell and
141 Deser, 2009).

142 Given the multiple drivers potentially influencing cetacean spatio-temporal
143 patterns, understanding the role of each of them is key for a better anticipating of future
144 responses. For that reason, in this study we used a 25-year-long temporal series (1994-
145 2018) to test the effect of prey biomasses, oceanographic conditions and climate indices
146 on the abundance and distribution of the common dolphin (*Delphinus delphis*), one of the
147 most abundant cetaceans inhabiting the BoB waters (Hammond et al., 2017). We used the
148 Vector Autoregressive Spatio Temporal (VAST) model (Thorson and Barnett, 2017) and
149 the spatio-temporal species data compiled by Waggitt et al. (2020) to address two main
150 research questions: 1) Has the abundance or the distribution of the common dolphin in
151 the BoB experienced significant changes over the last two decades? and 2) If so, are
152 changes best explained by climatic, oceanographic, or prey variables? By answering
153 these questions, this study intends to provide insights that will help understand past and
154 future trends in the distribution and abundance of common dolphin in the BoB while

155 contributing to the management for this species through the development of MSFD

156 criteria in the context of climate change.

157

158 MATERIALS AND METHODS

159 Data collection and standardization

160 Cetacean data analysed in this study, despite focusing on the BoB, belong to a
161 large compilation made by Waggitt et al. (2020) that included observations collected on
162 aerial and vessel (dedicated and opportunistic) surveys conducted in the Northeast
163 Atlantic between 1980-2018. Although the data analysed here (data providers in
164 Supplementary Table 1) is a more updated version that includes higher-resolution
165 tracklines (meaning that fewer data were omitted due to overlap with land-masses and
166 more accurate measurements of distance travelled were obtained), the steps taken in the
167 data processing and standardization stage were the same as in Waggitt et al. (2020), in
168 which they 1) assessed differences in protocols by grouping data according to the a)
169 survey transect design (line transects, strip transects, and an intermediate method called
170 ESAS, *European Seabirds At Sea*) and b) the platform-type (vessel vs. aircraft) and 2)
171 fitted detection functions using platform height and Beaufort sea-state as explanatory
172 variables to estimate the proportion of animals missed by the observers (Marques and
173 Buckland, 2004). They also assessed response bias (when animals react to the presence
174 of the platform) through double-platform surveys that enabled the detection of animals
175 before responsive movements. This correction was applicable to vessel surveys and is
176 particularly relevant to common dolphins, which typically show a positive response to
177 vessels (Cañadas et al., 2004). Finally, they calculated the effective strip half-width
178 (ESW) which considers the decline in the detection probability as a function of distance
179 and covariates and serves to estimate the area effectively covered ($\text{Area covered} =$
180 $\text{ESW} * s * L$) when including the number of observation sides (s) and transect length (L).
181 Full details can be found in Waggitt et al. (2020).

182

183 **Spatio-temporal pattern detection**

184 *Sampling effort*

185 In order to match with the spatial resolution of the environmental data that we
186 examined in later steps (see *Main drivers' identification* section), we divided larger
187 transects into 10 km segments (García-Barón et al., 2019). Then, we examined the
188 spatiotemporal coverage of surveys by summing the effort comprised in all segments per
189 month and per year. In addition, we checked whether compiling data had led to a non-
190 uniform distribution of sampling in space and time by exploring the annual latitudinal and
191 longitudinal mean distributions and the corresponding linear regression trends.

192 *Baseline spatio-temporal model*

193 Observations of common dolphin were analysed by means of a spatio-temporal
194 delta-generalised linear mixed model (delta-GLMM), referred to here as a VAST model
195 (Thorson and Barnett, 2017) and available in R ([https://github.com/james-
196 thorson/VAST](https://github.com/james-thorson/VAST)). This model is a flexible variant of the classical delta models that
197 decompose density into two components (Stefánsson, 1996): 1) the probability of
198 encountering the species at a given location and time; and 2) the expected density of the
199 species when encountered. This two-part approach, also known as a hurdle model, helps
200 combat statistical problems with zero-inflation and overdispersion in the original data
201 (Martin et al., 2005) and is therefore suitable for use with cetacean survey data that usually
202 show patchy distributions (Waggitt et al., 2020).

203 Another feature of the VAST model is that it decomposes spatio-temporal patterns
204 in available point-count data into multiple additive components:

- 205 1. A temporal main effect (“intercepts”) representing changes in median abundance over
206 time;
- 207 2. A spatial main effect (“spatial component”) representing the average spatial
208 distribution during the modelled interval;

- 209 3. An interaction of space and time (“spatio-temporal component”) representing
210 variation in distribution among years;
- 211 4. Density covariates, representing the impact of environmental conditions on expected
212 density;
- 213 5. Catchability (a.k.a. detectability) covariates, representing the impact of environmental
214 and/or sampling conditions on expected sampling data, but which do not reflect
215 variation in population density and hence are “partialled out” prior to predicting
216 densities.

217 Each of these components can be included in each of two linear predictors, and these
218 two linear predictors are then transformed via inverse-link functions to predict the value
219 of a response variable (in this case, dolphin samples). Spatial and spatio-temporal
220 components are estimated as a Gaussian Markov random field (GMRF) and treated as a
221 random effect. To improve computational speed, the value of these GMRFs is predicted
222 at a fixed set of “knots” that defines a mesh of triangles that covers the entire modelled
223 spatial domain. The value of the GMRF at any location within this domain is then
224 predicted from the value of three knots surrounding that location. We use the stochastic
225 partial different equation (SPDE) approximation to calculate the probability of GMRFs
226 (Lindgren et al., 2011), and the projection from knots to locations is accomplished using
227 bilinear interpolation as computed using R-INLA (Lindgren, 2012). The value of fixed
228 effects are estimated using maximum likelihood techniques while integrating across the
229 probability of random effects (Kristensen et al., 2016), and standard errors are calculated
230 using a generalization of the delta method (Tierney et al., 1989). For further details,
231 please see the VAST user manual ([https://github.com/James-Thorson-
232 NOAA/VAST/blob/main/manual/VAST_model_structure.pdf](https://github.com/James-Thorson-NOAA/VAST/blob/main/manual/VAST_model_structure.pdf)).

233

234 In our case, we treated year as a fixed effect (default VAST setting), such that
235 there is no shrinkage in overall abundance across years. We modelled spatial and spatio-
236 temporal variation as random effects to help account for multidimensional factors that are
237 not included directly in the model but that can affect the density and distribution of the
238 modelled species (Carroll et al., 2019). In particular, we estimated first-order
239 autocorrelation among years in the spatio-temporal component, such that predicted
240 hotspots in density decay slowly over time; this treatment allows spatio-temporal patterns
241 to be predicted (with associated uncertainty) even in locations with sporadic sampling.

242 Detectability covariates were not considered here, because Beaufort sea-state and
243 platform height were included in Waggitt et al. (2020). Density covariates were also
244 omitted for our initial investigation of trends (but see *Main drivers' identification*
245 section). As a response variable, the density of common dolphin was analysed, after
246 truncating the highest 5% to control outliers (Buckland et al., 2001). The spatio-temporal
247 model was fitted assuming a lognormal error distribution and a Poisson-linked delta
248 model such that the sum of both linear predictors is predicted log-density; this structure,
249 was selected based on the lowest Akaike Information Criterion (AIC). Model parameters,
250 as well as spatio-temporal components, were estimated using 200 knots (Supplementary
251 Figure 1) based on previous studies that applied this same resolution in bigger areas
252 (Carroll et al., 2019; Thorson, 2019), while confirming that results are qualitatively similar
253 when increasing the number of knots (Supplementary Table 2). Species density was
254 predicted at each knot by multiplying the predicted probability of occurrence by the
255 predicted density. Density estimates for each knot were then interpolated to a standard
256 grid of 0.1 ° spatial resolution (latitudinal range: 43 °–49 °N; longitudinal range: 1 °–10
257 °W) to match with the spatial resolution of the environmental data (see *Main drivers'*

258 *identification* section) and multiplied by the area of the grid cell to create annual surfaces
259 of common dolphin abundances across the BoB.

260 The annual abundances of common dolphin predicted for the study area were then
261 analysed by means of a linear regression to identify significant temporal trends and
262 compared by means of a correlation test with an observed abundance index to check
263 model fit. The observed abundance index was based on the encounter rate
264 (individuals/km) of common dolphin estimated from monthly at-sea observations taken
265 by a team of experienced observers in a constant effort-based systematic sampling
266 scheme, i.e., the Pride of Bilbao ferry (Louzao et al., 2015;Robbins et al., 2020). This
267 survey consistently crosses the BoB using the same route every year (Figure 1), and
268 although it was also used as input for the baseline model, it only forms the 8% of the
269 whole data set. Thus, we believe it can be used to compare the observed (ferry) and
270 predicted (VAST) abundance indices and to determine whether the model predictions
271 have been biased by differences in the effort.

272 An additional analysis with predicted abundances was also conducted to identify
273 areas in which significant spatio-temporal changes occurred over the study period. For
274 that, predicted abundances per grid cell were analysed as a function of year by means of
275 a linear regression. The slope and the p-value obtained in each cell, as indicators of change
276 rate and its significance, were then plotted over the standard grid covering the study area.

277 *Distribution shift metrics*

278 Shifts in distribution were summarized by calculating the centroid of the
279 distribution for a given year (termed centre of gravity, CoG) after having predicted the
280 density associated with every knot and year in the previous step. By means of the SDF
281 estimator implemented in the VAST model, the CoG was calculated for the BoB
282 population domain and standardized by the total abundance predicted for the study area,

283 so that our analysis focused on changes in distribution after controlling for changes in
284 total abundance (Thorson et al., 2016). Shifts in CoG were displayed in terms of
285 “Eastings” and “Northings”, meaning km from the most western point of the study area
286 and km from the Equator, respectively. Significant trends were identified using a linear
287 regression against year.

288 **Identification of main drivers**

289 To understand spatio-temporal patterns, three main groups of drivers were
290 analysed (Table 1), classified into local and regional covariates according to their spatio-
291 temporal structure (a local covariate varies across space while a regional covariate is a
292 univariate time series representing the covariate over the entire study area; Thorson,
293 2019):

294 1) Local oceanographic conditions integrated at 100 m depth, specifically
295 temperature and chlorophyll *a* concentration (Chl-*a*), based on their direct relationship
296 with climate change and their importance for predicting top predators distribution (Hazen
297 et al., 2012;García-Barón et al., 2020).

298 2) Regional climate indices, specifically North Atlantic Oscillation (NAO), East
299 Atlantic Pattern (EA) and Atlantic Multidecadal Oscillation (AMO) climate indices
300 (details in Table 1), due to their ability to extract the leading pattern in weather and
301 climate variability over the North Atlantic and their relationship to cetacean and prey
302 populations (Simmonds and Isaac, 2007;Borja et al., 2008;Evans et al., 2010;Evans and
303 Waggitt, 2020).

304 3) Regional biomasses of potential prey species, based on the assumption that
305 climate change will affect cetaceans distribution through changes in their prey (Robinson
306 et al., 2005;Simmonds and Isaac, 2007;Evans and Waggitt, 2020).

307 Temperature and Chl-a values were sourced from the Iberian Biscay Irish Ocean
308 Reanalysis Model available at the Marine Environmental Monitoring Systems
309 (www.marine.copernicus.eu), providing values at a 0.08° spatial resolution, a 1-month
310 temporal resolution and at 22 discrete depth intervals ranging from surface to 100 m
311 depth. To test their effect on the annual estimates predicted by the baseline spatio-
312 temporal model, the annual mean of both temperature and Chl-a was estimated integrating
313 the data available in the first 100 m of the water column and then resampled with the
314 *raster* package (Hijmans et al., 2017) at 0.1° (~10km) resolution (Waggitt et al., 2020).
315 The three climate indices were downloaded from the National Oceanic and Atmospheric
316 Administration (NOAA) at a monthly scale and averaged to obtain annual values
317 (www.ncdc.noaa.gov), while the biomass of prey species was acquired from the
318 International Council for The Exploration of Seas (ICES) website at annual scale
319 (<https://standardgraphs.ices.dk/>). We selected prey species based on their relative
320 importance in the common dolphin's diet in the BoB (Meynier et al., 2008; Santos et al.,
321 2013) as well as data availability and suitability because not every potential prey species
322 (e.g., sprat, myctophids) was available for the spatio-temporal scale defined in this study.
323 European anchovy (*Engraulis encrasicolus*) was the only prey species whose biomass
324 had been estimated exclusively for the BoB. Horse mackerel (*Trachurus trachurus*)
325 estimates were for the Northeast Atlantic, Atlantic mackerel (*Scomber scombrus*) and
326 blue whiting (*Micromesistius poutassou*) for the Northeast Atlantic and adjacent waters
327 and sardine (*Sardina pilchardus*) estimates for the Cantabrian-Atlantic Iberian waters (for
328 information on the extent of stocks see Table 1). Although there is an assessment for the
329 sardine stock of the BoB, data were only available from 2000 onwards (ICES, 2019c), so
330 we decided to use the biomass estimations from the Cantabrian sea and Atlantic Iberian
331 waters instead after having checked that both indices were highly correlated ($r=0.87$) and

332 followed similar trends (Supplementary Figure 2). Finally, the biomasses of all species
333 were summed and used as a proxy for total prey biomass available in the BoB.

334 For modelling purposes, local temperature and Chl-a variables were included as
335 quadratic forms in the model to allow for nonlinear responses (Perretti and Thorson,
336 2019). Regional climate indices were included as “spatially varying coefficients” as in
337 Thorson (2019), which means that instead of estimating a single slope parameter
338 presenting the effect of an oceanographic index on density, the model estimates a separate
339 slope parameter for every modelled location (every knot). The biomass of each prey
340 species, as well as the total biomass index, were first log transformed and then included
341 as spatially varying coefficients since they were also available as a single regional time-
342 series.

343 As a preliminary analysis, potential drivers were correlated with the abundance
344 and CoG of common dolphin obtained in the previous baseline spatio-temporal model.
345 Then, covariates-based modelling was performed in two different ways to identify the
346 most parsimonious drivers and to uncover the relative contribution of covariates:

347 1) Univariate spatio-temporal models were fitted for each variable using the same
348 configuration as in the baseline spatio-temporal model. Univariate models were then
349 compared with the baseline model by means of the AIC (Sakamoto et al., 1986). Only a
350 decrease in the $AIC > 2$ in relation to the baseline spatio-temporal model was considered
351 an improvement. When models differed by less than 2 units of AIC ($\Delta AIC \leq 2$), they were
352 considered statistically equivalent (Arnold, 2010). The way in which covariates were
353 related to the spatio-temporal patterns of common dolphin was also explored by plotting
354 the functional relationships from the model parameters.

355 2) Univariate models were fitted for each variable after setting the spatio-temporal
356 variation (i.e., spatio-temporal random effects) to 0. This was done to remove the

357 contribution of random effects and isolate the effect of the covariates since in VAST,
358 random fields can also account for changes in distribution over time by capturing the
359 residual spatial patterns that cannot be attributed to the fixed effect (Thorson et al., 2017).
360 The abundances and CoG obtained from these models were then compared with those
361 from the baseline spatio-temporal model to determine the amount of variation attributable
362 to covariates.

363 **RESULTS**

364 **Spatio-temporal patterns**

365 *Sampling effort*

366 A total of 1728 sightings of common dolphin collected in 21 different surveys
367 were analysed (Figure 1, Supplementary Table 1). Those surveys mainly covered spring-
368 summer months and showed a peak of maximum effort between the 2007-2012 period
369 (Supplementary Figure 3). The mean latitude of sampling also varied and shifted
370 significantly south over time ($p=0.001$), while no significant change was observed in the
371 mean longitude of sampling (Figure 2).

372 *Common dolphin*

373 The common dolphin abundance estimated by the baseline spatio-temporal model
374 showed a significant increase ($p<0.001$) throughout the study period, accompanied by
375 high variability (Figure 3, Supplementary Table 3). This increase was most pronounced
376 over the more recent years (2011-2017) and mainly occurred in the southeast corner of
377 the BoB (Figure 4). These results agreed with the ferry data, which also showed an
378 increasing trend and a significant correlation ($r=0.7$, $p=0.003$) with the predicted
379 abundances (Supplementary Figure 4-5).

380 The CoG also showed a high interannual variability, but no significant trend was
381 found over time in either of the two axes (Figure 5a, b). In contrast, the correlation

382 between eastings and northings showed as significant pattern ($p=0.005$) in the direction
383 of the shift, indicating that the distribution of common dolphins generally varied between
384 the inner (southeast) and the outer part (northwest) of the BoB (Figure 5c).

385 **Drivers and covariate contributions**

386 Neither the annual temperature nor the Chl-a concentration integrated at 100m
387 depth revealed a significant ($p>0.05$) temporal trend across the full BoB (Supplementary
388 Figure 6). The climate index AMO has remained in a positive phase since 1997, whereas
389 NAO and EA indices have shown a higher variability with alternation between positive
390 and negative phases (Supplementary Figure 7). Both anchovy and mackerel biomasses
391 showed a significant ($p\leq 0.05$) recovery after a period of low abundance, while sardine
392 and horse mackerel underwent a severe decline ($p\leq 0.001$). In contrast, blue whiting did
393 not show any significant temporal trend ($p=0.2$). The prey biomass index, on the other
394 hand, exhibited a significant increase ($p=0.003$), despite the large variability
395 (Supplementary Figure 8).

396 The correlation between the potential drivers and the CoG (easting and northings)
397 of common dolphin only showed weak relationships. In contrast, predicted abundance
398 revealed several strong relationships ($r>0.5$) with prey species, specifically mackerel and
399 anchovy (positive correlation), and sardine and horse mackerel (negative correlation)
400 (Figure 6). After prey species, only EA and NAO climate indices showed a moderate
401 correlation with abundance ($r\sim 0.40$). Blue whiting was not significant ($p>0.05$), while
402 temperature, Chl-a, AMO and the prey biomass index showed weak relationships ($r\sim 0.20$)
403 (Figure 6).

404 For covariates-based models, the AIC score showed that the most substantial
405 decrease was for the NAO index and regional prey species biomasses (especially anchovy
406 and sardine). Local Chl-a concentration, as well as horse mackerel and mackerel, only

407 contributed slightly, while remaining drivers (temperature, AMO, EA, blue whiting and
408 prey species biomass index) were not relevant in terms of AIC (Table 2). Functional
409 relationships of those important drivers revealed positive responses for NAO, anchovy,
410 mackerel and negative for Chl-a, horse mackerel and sardine (Supplementary Figure 9).

411 Similarly, covariate-only models (with no random effects) showed that the NAO
412 index and prey species biomasses were able to explain the increase in region-wide
413 abundance of common dolphin (Figure 7). Chl-a concentration, despite having shown a
414 decrease in AIC score (Table 2), did not contribute to explain the variability in the relative
415 abundance (Figure 7), and neither did temperature, AMO index, or blue whiting
416 (Supplementary Figure 10). EA and biomass indices did show a higher contribution in
417 terms of variability, but they were not identified as important drivers according to AIC
418 score (Supplementary Figure 10).

419 In the case of CoG, only Chl-a and temperature contributed to explain the
420 observed variability but, even then, only in a very small proportion (Figure 8). In fact, the
421 variation in the CoG explained by these variables only accounted for about 10-20 km,
422 while the spatio-temporal model suggested variation of 100-300 km.

423 **DISCUSSION**

424 The evaluation of the spatio-temporal patterns of common dolphin in the BoB
425 agrees with the MSFD aiming to assess the abundance and distribution of species in
426 European waters. Surveys providing information on species distribution and abundance
427 in this region, however, have shown significant shifts in the spatial distribution of
428 observations, which make necessary the application of methods such as VAST to account
429 for uneven sampling effort.

430 **Spatio-temporal trends in common dolphin abundance**

431 The modelling of common dolphin sightings revealed a significant increase in
432 abundance, which is in agreement with previous studies conducted in the BoB (Hemery
433 et al., 2007; Authier et al., 2018; Saavedra et al., 2018) and in the wider Northeast Atlantic
434 (Hammond et al., 2017; Evans and Waggitt, 2020) that also reported an increasing trend.
435 In addition, data from ferry surveys, known to perform the same route every year, showed
436 the same pattern and confirmed that the results were not biased by the detected latitudinal
437 shift in effort.

438 In addition, the predicted abundance estimates were found to be quite coherent
439 with those obtained in previous surveys conducted in summer 2012 in the BoB (Laran et
440 al., 2017) and in summer 2016 in the Northeast Atlantic (ICES, 2020), in which 490,000
441 (95% CI: 340,000-720,000) small delphinids (common and striped dolphins) and 634,000
442 (95% CI: 353,000-1,140,000) common dolphins were estimated, respectively. Although
443 it is not possible to make a direct comparison with our predictions, the ratios for
444 common/striped dolphins and Northeast Atlantic/BoB estimated from Hammond et al.
445 (2017) would lead to an approximate abundance of 360,000 (95% CI: 250,000-526,000)
446 and 425,000 (95% CI: 237,000-764,000) individuals of common dolphin in the BoB for
447 2012 and 2016, respectively. These numbers were similar to our predictions in those years
448 ($359,000 \pm 49,000$ and $376,000 \pm 71,500$ individuals, respectively; Supplementary Table
449 2), and would indicate that, overall, abundance estimates from VAST were consistent
450 with previous studies. This good agreement is remarkable, given the heterogeneity of the
451 data used in this study that comprised 21 datasets, and emphasizes the importance of
452 applying methods that are robust to shifts in sampling effort. In addition, the concordance
453 between our results and those estimates made on summer also suggest that the spatio-
454 temporal patterns obtained in this study should be interpreted as spring-summer trends,

455 as this was the period of the year when most data were collected (Supplementary Figure
456 2b).

457 The increasing trend in abundance found in this study for the BoB, however, does
458 not necessarily imply an overall population increase at the Northeast Atlantic level (i.e.,
459 species whole distribution range), and instead, could be due to the arrival of individuals
460 from unsampled areas. That is why the results found in this study should be treated with
461 caution and never be used to downplay the effects of incidental capture on common
462 dolphin, especially when recent estimates suggest that the bycatch in the BoB is
463 unsustainable for the population as a whole (ICES, 2020).

464 **Regional vs. locally estimated environmental variables**

465 Local environmental variables, such as temperature and Chl-a used in this study,
466 are often unable to capture complex associations between environment and ecological
467 process due to time lags in species responses coupled with the nonlinear intrinsic nature
468 of population dynamics (Hallett et al., 2004).

469 This can be particularly true for Chl-a and cetaceans species that feed on
470 zooplanktivorous fishes, since the abundance of the latter has been related to a period of
471 zooplankton grazing and a phytoplankton decay (Díaz López et al., 2019). Under such
472 circumstances, many researchers working with cetaceans often apply time-lagged Chl-a
473 concentration for one and/or two months prior to the sighting month (Tobeña et al.,
474 2016;Prieto et al., 2017;Pérez-Jorge et al., 2020;Barlow et al., 2021).

475 In this study, however, predictors were introduced at an annual scale to match the
476 available temporal scales of both prey and climatic indices, which prevented its
477 incorporation in a lagged phase and likely led to the low contribution of Chl-a in
478 explaining the spatio-temporal patterns of common dolphin. Similarly, the lack of
479 importance shown by temperature could be also a consequence of this annual resolution

480 or could instead suggest that, within the core of the species range, temperature is not such
481 an important variable to explain its abundance and distribution.

482 On the contrary, regional indices of climate, spanning several months and
483 considering wider areas of influence, are less disturbed by local variability and very often
484 outperform locally estimated environmental variables (Hallett et al., 2004). In addition,
485 they usually hold information about several environmental factors (e.g. temperature,
486 storms and precipitation, mixed layer depths or circulation patterns), which make them
487 act as an integrated measure of meteo-oceanographic conditions that tend to explain more
488 of the variability of the system than just, for example, ocean temperature (Hurrell and
489 Deser, 2009;Thorson, 2019).

490 The results found in this study are a good example of this, as the NAO climate
491 index was found to be the best predictor explaining the abundance of common dolphin
492 according to AIC scores. Specifically, results showed a positive relationship between
493 both, meaning that common dolphin abundance is enhanced during positive phases of
494 NAO, which are characterized by colder and drier conditions over Mediterranean regions,
495 central and southern Europe (e.g., BoB), and warmer and wetter conditions in northern
496 Europe (Visbeck et al., 2001;Aravena et al., 2009;Hurrell and Deser, 2009).

497 Although the NAO index and similar climate indices have been previously related
498 to the abundance of wide ranging predators in the BoB (Hemery et al., 2007;Louzao et
499 al., 2015), responses are likely mediated through the influence of the climate indices on
500 food resources rather than directly on higher trophic predators such as cetaceans
501 (Drinkwater et al., 2003;Lusseau et al., 2004). Indeed, the NAO climatic index has been
502 related to some biologically important phenomena, such as upwelling (Pérez et al., 2010),
503 river run-off (Dupuis et al., 2006) and Ekman transport (Guisande et al., 2004), which are
504 known to influence the recruitment of some of the main prey species (i.e., anchovy,

505 sardine) of common dolphin (Guisande et al., 2004; Borja et al., 2008; Planque and Buffaz,
506 2008). We could therefore hypothesize a potential bottom-up process, in which NAO
507 affects common dolphins through its influence on prey. In fact, bottom-up control has
508 been suggested for the continental shelf food web of the BoB, where a highly diverse and
509 abundant community of forage fishes regulates higher trophic levels (Lassalle et al.,
510 2011).

511 **The role of prey**

512 Common dolphins are assumed to be opportunistic predators that feed on a wide
513 variety of species, although a preference for energy-rich species, such as the anchovy,
514 sardine, mackerel and horse mackerel investigated in this study, has been suggested
515 (Meynier et al., 2008). Atlantic mackerel, however, is only present in large quantities
516 during the first half of the year in the BoB, coinciding with its spawning period (Uriarte
517 and Lucio, 2001), while Atlantic horse mackerel and the Iberian sardine are currently in
518 serious decline (ICES, 2018; 2019b). European anchovy, in contrast, has been at a
519 sustainable level since 2010, with an overall increasing trend that reached its maximum
520 in 2019 (ICES, 2019a). The importance of prey species in common dolphin diet has been
521 found to be related to their availability in terms of abundance (Santos et al., 2004; Meynier
522 et al., 2008), which could explain the negative responses shown by species with low
523 abundances (e.g., Iberian sardine and Atlantic horse mackerel) and the positive and larger
524 contribution in terms of AIC made by those species with higher abundance (i.e., European
525 anchovy). Blue whiting, on the other hand, did not seem to be relevant in explaining the
526 variability of common dolphin over the study period, despite being more abundant than,
527 for example, anchovy or mackerel. Evidence of blue whiting in the diet of the common
528 dolphin was found in the BoB in the 1980s (Desportes, 1985), which could mean that it
529 was important in the past but less so now, or that it is only important, given its poorer

530 energetic condition (4.4 kJ g^{-1}), in the absence of other remarkable prey species (Santos
531 et al., 2013).

532 However, not all potential prey species were included and differences in the
533 distribution of stocks may have also affected the results. In fact, only anchovy's biomass
534 had been estimated exclusively for the BoB. Remaining species biomasses were either
535 estimated using adjacent areas (i.e., Iberian sardine) or distribution areas that extended
536 considerably the observations range of common dolphin (i.e., blue whiting, mackerel and
537 in a lesser extent horse mackerel), which could have contributed, for example, to the
538 higher prominence of anchovy detected in this study.

539 **Distributional shifts**

540 The common dolphin is considered a warm-temperate species, and accordingly,
541 its range is expected to expand in response to increasing water temperature (MacLeod,
542 2009). This northward expansion seems to be already happening, at least at the northern
543 limit of the species range, as evidenced by a higher frequency of strandings and sightings
544 in northern Britain and southern Scandinavia (MacLeod et al., 2005; Evans and Waggitt,
545 2020). The BoB, however, does not constitute a range edge within common dolphin's
546 distribution, which can explain why we did not find a northward shift in its CoG, but
547 instead, switches between the inner (i.e., southeast) and the outer (i.e., northwest) part of
548 the BoB. This pattern has also been detected when forecasting the future distribution of
549 anchovy's egg density in the BoB for spring (Erauskin-Extramiana et al., 2019a) and was
550 associated to the contraction (southeast) and expansion (northwest) of anchovy
551 population (Motos et al., 1996). A prey driven distribution was already suggested for
552 albacore tuna in the area (Lezama-Ochoa et al., 2010), so we could hypothesize that the
553 distributional shifts of common dolphins in the BoB are also driven by the distribution of
554 their main prey. Similarly, the increase in common dolphin abundance detected in the

555 south-eastern corner of the BoB could be also related to a higher prey availability. Indeed,
556 other important prey species of the diet of common dolphin (e.g., horse mackerel, sprat)
557 also shifted to the southeast of the BoB in the past 30 years (Baudron et al., 2020).
558 However, environmental causes cannot be discarded, as important environmental changes
559 occurred in the area during that period (e.g., the deepening of the mixed layer depth, the
560 increase of nutrients, the increase of extreme events) that may have redistributed the
561 biodiversity in the BoB (Chust et al., 2021).

562 The prey variables considered in this study, however, could not explain much of
563 the observed spatio-temporal variability of the CoG as a result of being introduced as a
564 biomass index that changed across time but not across space, and hence, could not
565 confirm or reject the hypothesized prey-driven distribution. Whether top predator
566 abundance and distribution is driven by the environment or prey is a much debated
567 question in ecology (Grinnell, 1917; Elton, 1927; Torres et al., 2008). However, acquiring
568 co-occurring top predator and prey data in space and time to test these hypotheses is
569 challenging. In this study, we have taken advantage of a large spatio-temporal
570 compilation of top predator sightings, but in contrast, we have only been able to
571 incorporate annual, non-spatial biomass indices of prey. Future work, therefore, should
572 focus on improving prey data inputs to better understand their role in driving top predator
573 distributional shifts in the BoB, a question that remains open. Climate indices, as for prey
574 biomasses, were regional time-series rather than spatio-temporal datasets (i.e., changed
575 across time but not across space), so their effect on the CoG is also difficult to understand.
576 Local oceanographic variables did account for spatio-temporal changes, but even so, only
577 explained a very small proportion of spatial shifts, which means that most of the
578 distributional shifts occurred due to unidentified sources. This inability to attribute a
579 source to distributional shifts was also found in previous studies with fishes (Thorson et

580 al., 2017;Perretti and Thorson, 2019), and suggests that more effort must be made to
581 understand when distributional shifts can be attributed to covariates in spatial random
582 effects models (Hodges and Reich, 2010).

583 **CONCLUSIONS**

584 Climate change is believed to affect marine mammals through changes in their
585 physical environment but also in their prey. However, many studies aimed at
586 understanding climate impacts often employ environmental characteristics as proxies for
587 prey distribution. In this study, we incorporated both environmental and prey variables
588 estimated at local and regional scale and explored the relative importance of each of them
589 in explaining the spatio-temporal variability in common dolphin data. Although we could
590 not attribute much of the detected distributional shifts to the variables considered in this
591 study, we could conclude that, in the BoB, climate indices and prey species biomasses
592 can play an important role in driving the abundance patterns of the common dolphin.

593 Further research on climate change effects on common dolphin, however, should
594 focus on comprising the whole distribution range of the species, given the increasingly
595 feasible possibility for combining surveys across areas and regions provided by methods
596 such as those used here. This way, we could address important knowledge gaps that have
597 not been solved here, for example, if the increasing trend found in abundance is due to
598 the arrival of new individuals or it is the result of an overall population growth. Answering
599 to this question will undoubtedly help understand population dynamics and bycatch
600 implications, but meanwhile, we reiterate our call for caution when interpreting the
601 abundance patterns predicted in this study.

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Table 1. Summary of the local oceanographic, regional climatic and regional prey variables used in this study accompanied by a little description and the source from which they were obtained.

	<i>Variable</i>	<i>Measure</i>	<i>Description</i>	<i>Source</i>
<i>Local oceanographic</i>	Temperature	°C	Mean annual temperature between 0 and 100 m depth	The Iberian Biscay Irish Ocean Reanalysis Model
	Chlorophyll <i>a</i>	Mg/m ³	Mean annual chlorophyll between 0 and 100 m depth	
<i>Regional climatic</i>	NAO	-	Both NAO and EA are estimated from the difference of atmospheric pressure at sea level between the Icelandic Low and Azores High, but the anomaly centres of the EA pattern are displaced southeastward to the approximate nodal lines of the NAO pattern	NOAA (National Oceanic and Atmospheric Administration)
	EA	-		
	AMO	-	Average anomalies of sea surface temperatures	
<i>Regional prey</i>	Anchovy	Tonnes	Mean spawning stock biomass in subarea 8 (Bay of Biscay)	ICES (International Council for The Exploration of Seas): stock assessment models
	Sardine	Tonnes	Mean spawning stock biomass in division 8.c and 9.a (Cantabrian Sea and Atlantic Iberian waters)	
	Mackerel	Tonnes	Mean spawning stock in subareas 1-8 and 14, and in Division 9.a (the Northeast Atlantic and adjacent waters)	
	Horse mackerel	Tonnes	Mean spawning stock biomass in Subarea 8 and divisions 2.a, 4.a, 5.b, 6.a, 7.a-c., and 7.e-k (the Northeast Atlantic)	
	Blue whiting	Tonnes	Mean spawning stock biomass in subareas 1-9, 12, and 14 (Northeast Atlantic and adjacent waters)	

Table 2. Model terms. Second column refers to the AIC score of each model, while the third column refers to the difference in the AIC (ΔAIC) resulting from the comparison of each univariate model with the spatio-temporal model (reference model). Positive values mean that higher AIC were obtained relative to the baseline spatio-temporal model while negative values mean that lower AIC scores were achieved. Numbers in bold mean improvement in model fitting ($\Delta AIC < -2$) and hence, substantial contribution of the given variable.

	<i>Model</i>	<i>AIC</i>	<i>ΔAIC</i>	
	Baseline spatio-temporal	No covariates	27814.85	0
<i>Local</i>	Oceanographic conditions	Temperature	27820.78	5.93
		Chlorophyll	27811.99	-2.86
<i>Regional</i>	Climate indices	NAO	27806.3	-8.55
		EA	27816.38	1.53
		AMO	27817.57	2.72
	Prey biomasses	Anchovy	27807.76	-7.09
		Sardine	27809.77	-5.08
		Mackerel	27812.81	-2.04
		Horse mackerel	27812.63	-2.22
		Blue whiting	27816.69	1.84
	Biomass index	27814.12	-0.73	

Figure 1. Spatial distribution of common dolphin sightings (displayed in segments of up to 10 km) over the BoB for the 1994-2018 period. Circle sizes are proportional to group size, while solid grey lines indicate the isobaths. Sightings in yellow represent the ferry data used to check model fit.

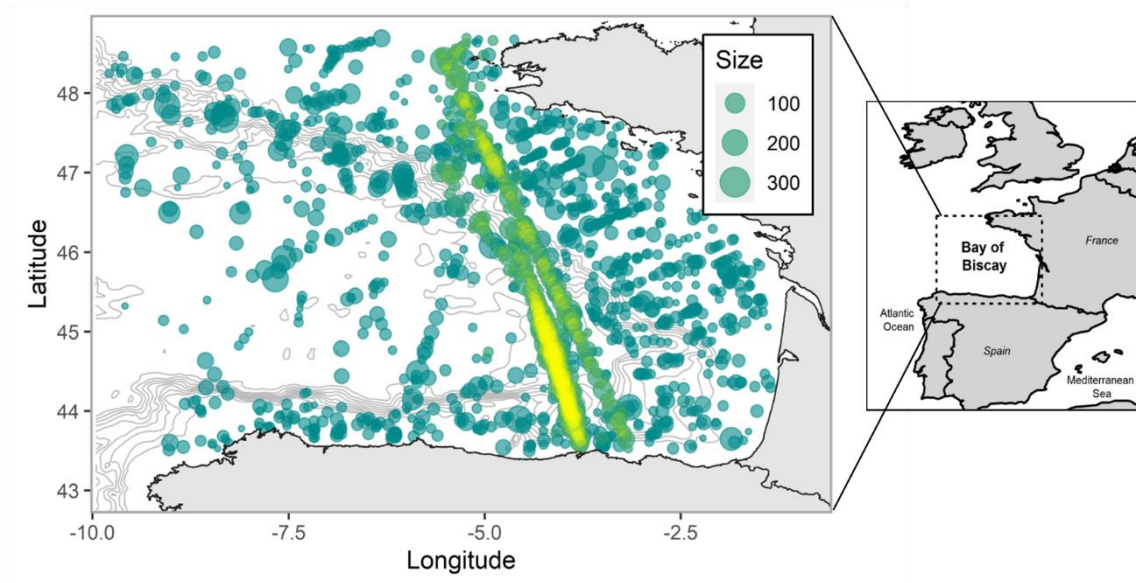


Figure 2. Sampling effort (number of segments of up to 10 km) as a function of year and longitude (a), and year and latitude (b). In both figures the size of the circle is proportional to the sampling effort; the black line indicates the mean value and the dotted line the linear temporal trend.

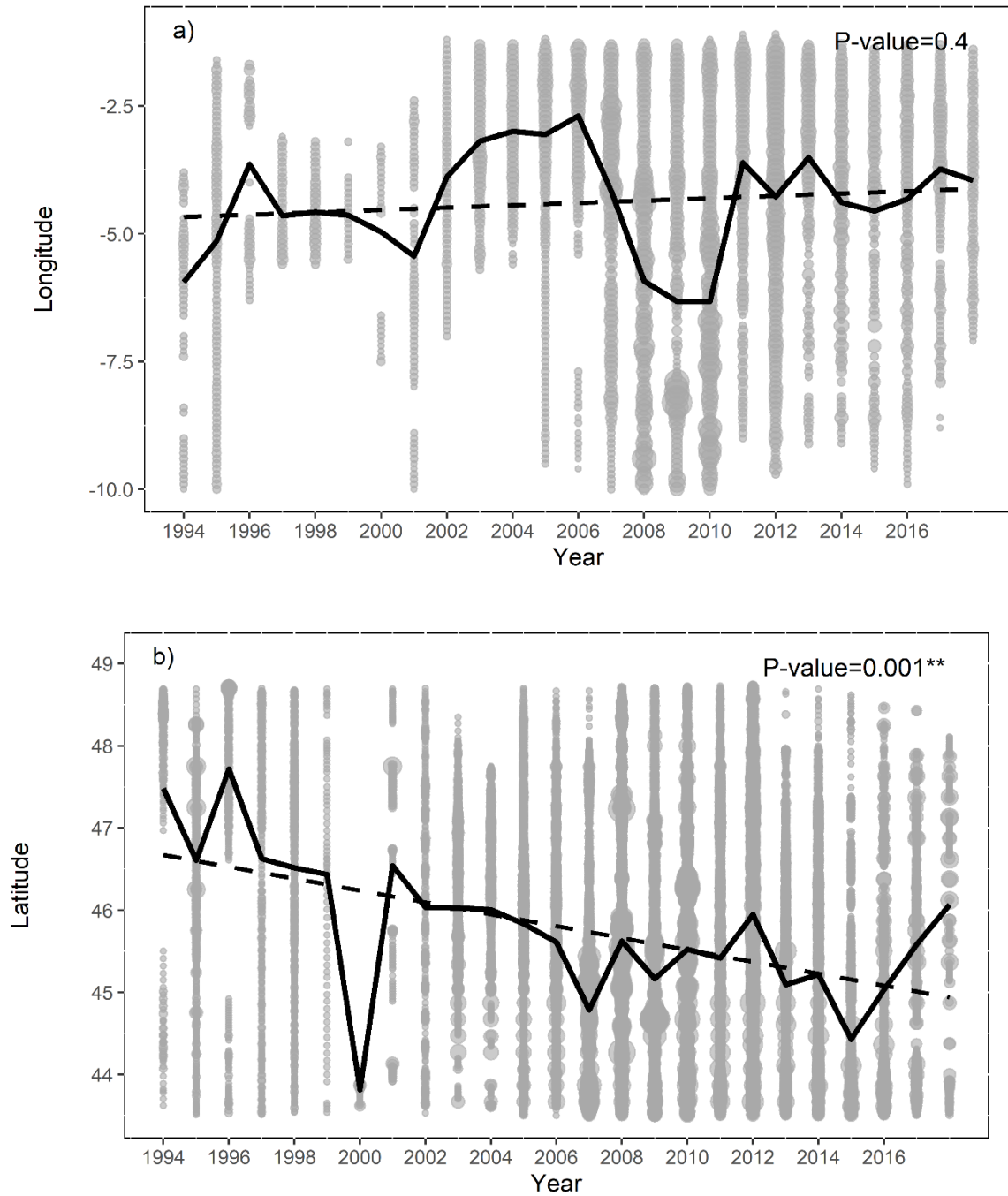


Figure 3. Abundance of common dolphin in the BoB predicted by the baseline spatio-temporal model with standard deviation (shaded area), the linear trend, and its significance.

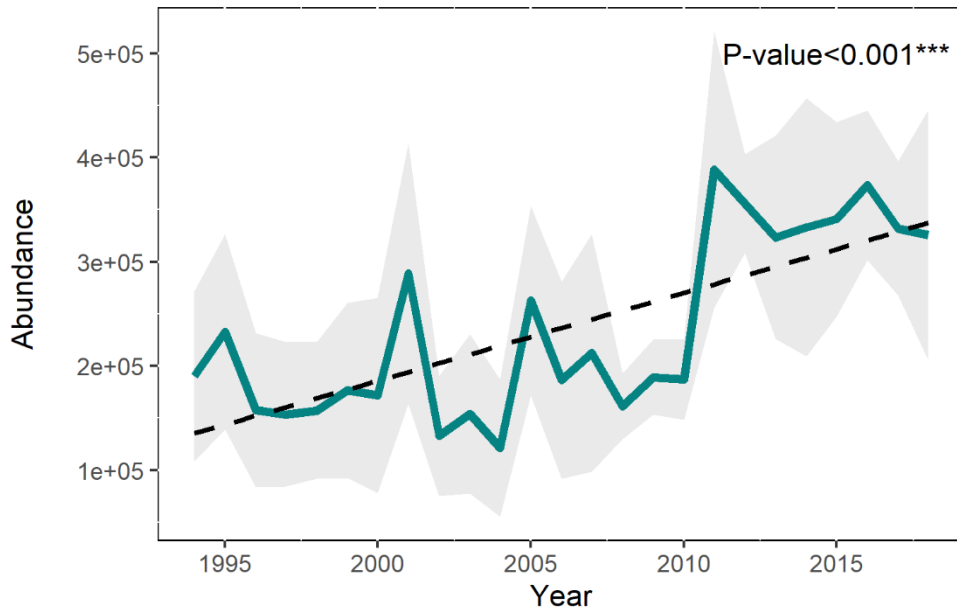


Figure 4. Spatio-temporal changes in the abundance of common dolphin (predicted by the baseline model) illustrated by means of the change rate (the slope of the linear regression). Hatched areas indicate those areas where change rate is not significant ($p > 0.05$).

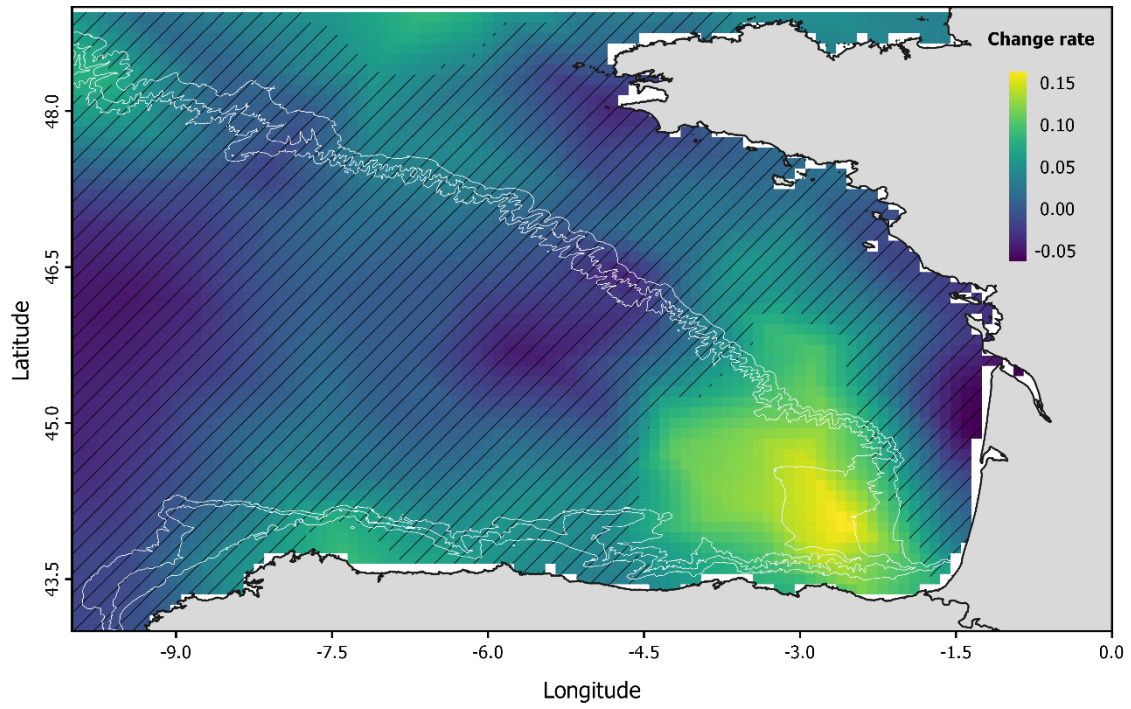


Figure 5. The variation in the centre of gravity (CoG) of common dolphin expressed in eastings (a) and northings axes (b), and as a function of both (c). Shaded area means the standard error, while the dashed line indicates the linear trend.

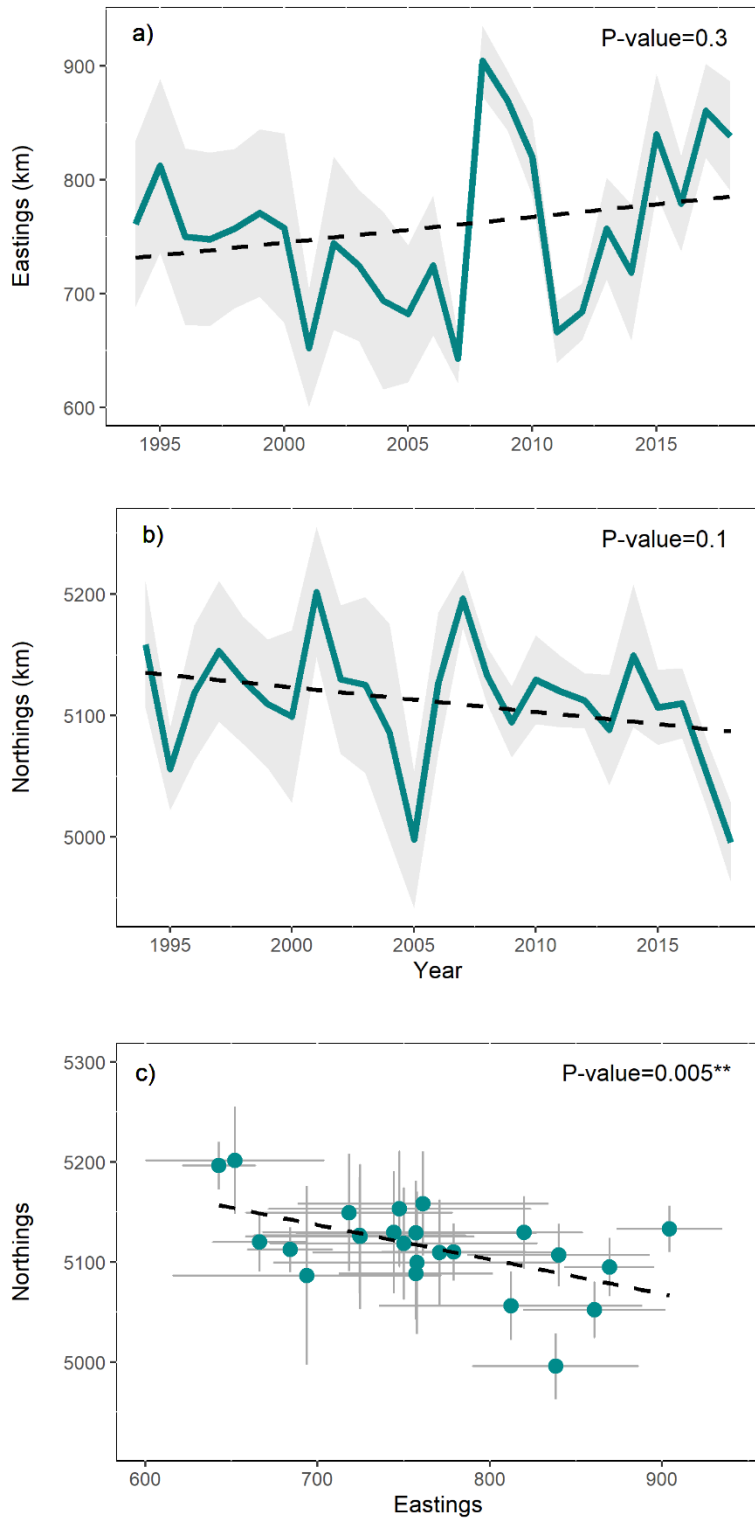


Figure 7. Abundance estimates predicted by the baseline spatio-temporal model (black line) and by the covariates-based model (with no random effects, coloured line) so that the contribution made by each variable can be visualized. Only drivers identified as relevant by AIC score are shown.

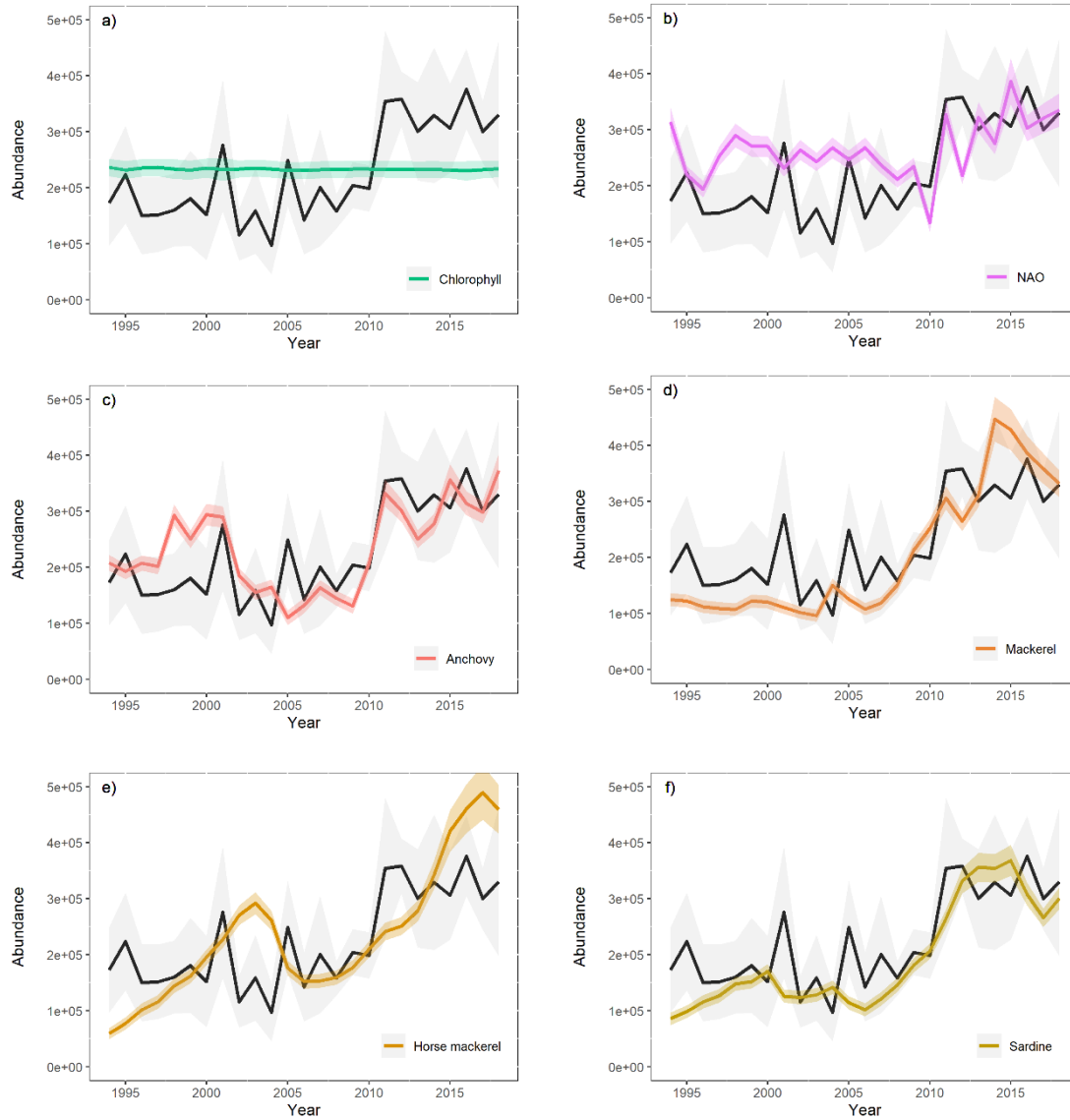


Figure 8. Centre of gravity estimates predicted by the baseline spatio-temporal model (black line) and by the temperature and chlorophyll-based models (with no random effects, coloured line), expressed in easting (a) and northings (b) axes.

