1	ARTMIP-Early Start Comparison of Atmospheric River Detection Tools:
2	How Many Atmospheric Rivers Hit Northern California's Russian River Watershed?
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24 Abstract (150-250 words)

25

Many Atmospheric River Detection Tools (ARDTs) have now been developed.

26 However, their relative performance is not well documented. This paper compares a diverse set 27 of ARDTs by applying them to a single location where a unique 12-year-long time-series from 28 an atmospheric river observatory at Bodega Bay, California is available. The study quantifies 29 the sensitivity of the diagnosed number, duration, and intensity of ARs at this location to the 30 choice of ARDT, and to the choice of reanalysis data set. The ARDTs compared here represent 31 a range of methods that vary in their use of different variables, fixed vs. percentile-based 32 thresholds, geometric shape requirements, Eulerian versus Lagrangian approaches, and 33 reanalyses.

34 The ARDTs were evaluated first using the datasets documented in their initial 35 publication, which found an average annual count of 19±7. Applying the ARDTs to the same 36 reanalysis dataset yields an average annual count of 19±4. Applying a single ARDT to three reanalyses of varying grid sizes (0.5°, 1.0° to 2.5°) showed little sensitivity to the choice of 37 38 reanalysis. While the annual average AR event count varied by about a factor of two (10-25 per 39 year) depending on the ARDT, average AR duration and maximum intensity varied by less than 40 $\pm 10\%$, i.e., 24 ± 2 h duration; 458 ± 44 kg m⁻¹ s⁻¹ maximum IVT. ARDTs that use a much higher 41 threshold for integrated vapor transport were compared separately, and yielded just 1-2 ARs 42 annually on average. Generally, ARDTs that include either more stringent geometric criteria or 43 higher thresholds identified the fewest AR events.

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47 **1. Introduction**

48 Atmospheric rivers (ARs) are elongated, narrow regions of enhanced water vapor transport 49 and are a major feature in the global hydrologic cycle. They are responsible for nearly 90% of 50 poleward water vapor transport in the midlatitudes, while covering only 10% of the zonal 51 circumference of the earth (Zhu and Newell, 1998; Ralph et al., 2004, 2017, 2018; Guan and 52 Waliser, 2015). At the regional level, they represent an important contribution to precipitation from 53 event to annual scales (e.g. Dettinger et al., 2011; Ralph and Dettinger, 2012; Lavers et al., 2015; 54 Waliser and Guan, 2017; Young et al., 2017; and many others). The first papers describing ARs 55 used perturbations to the mean flow to identify these rivers, where in the midlatitudes the 56 perturbations are almost all directed poleward (Newell et al., 1992; Newell and Zhu, 1994; Zhu 57 and Newell, 1992). This methodology used European Centre for Medium-Range Weather 58 Forecasts (ECMWF) wind and humidity data at seven pressure levels and 12-hour temporal 59 resolution. Ralph et al. (2004) pioneered the methodology to detect these features via atmospheric 60 water vapor content observed by satellite. Building on these earlier studies, many different 61 Atmospheric River Detection Tools (ARDT) applying automated detection methods to various 62 datasets have been developed and described in the literature, especially during the past few years. 63 However, there has been little assessment of how the catalogs created by these algorithms compare 64 with each other. This paper set out to address this gap in the simplest possible way - at a single 65 location where unique observations of AR conditions could be used as well. In addition, a 66 community effort, organized by an ad-hoc planning committee, began developing an approach to 67 perform such a comparison, called the Atmospheric River Tracking Method Intercomparison 68 Project (ARTMIP; Shields et al., 2018). These efforts merged in a way such that this paper represents an early-start analysis that helps set the stage for ARTMIP, as will be described throughthe paper.

71 In context of the ARTMIP goals, the purpose of this paper is to present a cross section of 72 these different methodologies in the specific framework of determining how many ARs strike the 73 flood-prone Russian River in northern California each year on average. The number of ARs hitting 74 the northern California coast made the difference between four years of severe drought [water 75 years (WY) 2012-2015], when a lower than normal number of ARs made landfall, and the wettest 76 year on record - WY 2017, when, by our reckoning, over 30 ARs hit the region. This latest period 77 is one extreme example of the documented role of ARs in ending drought periods (Dettinger, 78 2013). Studies looking at the effect of reanalysis products on AR detection throughout the globe 79 have found that there is generally good agreement between reanalysis- and satellite-based datasets 80 (Guan and Waliser, 2015; Jackson et al., 2016; Brands et al., 2017). Differences in reanalysis 81 datasets in a general sense come from their various resolutions (Guan and Waliser, 2017) as well 82 as from different representations of important physical processes, such as the transport of moisture 83 and energy (Trenberth et al., 2011). Differences in AR events identified using different reanalysis 84 are seen in AR characteristics like landfall location, intensity, and spatial extent (Lavers et al., 85 2012; Jackson et al., 2016; Guan and Waliser, 2017; Guan et al., 2018). Jackson et al. (2016), using 86 the Wick et al. (2013) algorithm, examined 4 datasets (CFSR, MERRA, ERA-I, and the Twentieth 87 Century Reanalysis) during the cool season (October – March) in water years 1998 – 2012, and 88 found that in the first three reanalyses, AR landfall detections on the U.S. West Coast (between latitudes $15^{\circ} - 55^{\circ}$ N) agreed with satellite-based detections within 5%. Lavers et al. (2012) 89 90 conducted an analysis of 5 reanalysis datasets (CFSR, MERRA, ERAI, the Twentieth Century 91 Reanalysis, and NCEP-NCAR) through the cool seasons (October – March) in water years 198092 2010 to look for differences in results for ARs affecting Britain. They found these reanalyses to be 93 in generally good agreement. Good agreement was also found between ERA-Interim and MERRA-94 2 for ARs in the northeastern Pacific (Guan et al., 2018) and over the globe (Guan and Waliser, 95 2017), although with NCEP/NCAR being somewhat different from the former two products based 96 on their results. Good agreement in the identification of AR features between reanalysis datasets 97 was found despite the datasets' very different characteristics, such as differences in resolution, 98 differences in assimilation techniques, and differences in data assimilated.

The answer to the question of how many ARs hit the Russian River is expected to vary depending upon the ARDT and reanalysis or observations used, and, as we quantify this variation below, we will elucidate important differences between methods and their application to different datasets. The first step towards accurately estimating the number of ARs that will hit this region in a given year, and to understand how this number may shift with a changing climate, is to be able to quantify this number and understand its sensitivity to identification methods and reanalyses.

105 The Russian River Watershed in northern California is targeted herein for two main 106 reasons. First, a long-term in-situ dataset that has been collected nearly continuously since 2004 at 107 an Atmospheric River Observatory (ARO; White et al., 2013) located at the University of 108 California Davis' Bodega Marine Laboratory (BBY) on the Sonoma County coast, just outside of 109 the watershed, is available. The ARO provides essential, previously unavailable observations for 110 moisture flux monitoring; its hourly temporal resolution enables highly accurate measurements of 111 AR onset, cessation, and peak strength using a method developed by Ralph et al. (2013). However, 112 this dataset is limited by periods of missing data that may affect its accuracy in longer term 113 statistics.

114 Second, the Russian River watershed has been and continues to be an active region for AR 115 related research activities. ARs have been shown to be associated with over half of the annual 116 precipitation throughout this region, which has been identified as a hub of AR landfalling activity, 117 meaning it is impacted by the most intense ARs, climatologically, along the entire west coast of 118 midlatitude North America (Gershunov et al., 2017). While ARs provide essential water supply to 119 this watershed (Dettinger et al., 2011; Ralph et al., 2013), they are also the main drivers of floods: 120 the seven strongest precipitation events (resulting in flooding) here between 1997-2006 were all 121 associated with ARs (Ralph et al., 2006). The Russian River watershed is the focus of studies on 122 water management in northern California to leverage increasing knowledge and rapidly improving 123 forecasting skill with respect to AR landfalls towards improved water resource management 124 including enhanced flood protection for the benefit of agriculture, industry, municipal needs, 125 recreation, and ecosystems.

The main objectives of this study are: 1) determine how many ARs, on average, hit the Russian River annually, and understand the variability from year to year and sensitivity to detection algorithms; 2) determine when the algorithms agree/disagree with one another; and 3) discuss implications of using different ARDTs and different reanalysis datasets.

This study uses the following language in order to refer to ARs. An AR, or an AR "object", is the coherent AR feature in space tracked throughout its temporal evolution; an AR "event" is the presence of AR conditions at a point in space over some continuous length of time (AR objects are Lagrangian, while AR events are Eulerian); an AR "timestep" is one temporal step in a given dataset (in situ or reanalysis) with AR conditions present; and "AR conditions" signifies that AR criteria for a given detection method at a given location are met at a timestep. This paper is arranged as follows: Section 2 describes the datasets and methods used in this work; Section 3 presents the results of the ARDTs applied to their original dataset at the grid cell containing Bodega Bay; Section 4 repeats this analysis with the ARDTs applied to NASA's Modern Era Retrospective-Analysis for Research and Applications-2 (MERRA-2) reanalysis dataset at the grid cell containing BBY; Section 5 uses the ARDT developed by Rutz et al. (2014) on three different reanalysis datasets; and Section 6 provides conclusions and discussion.

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143 **2. Data and Methods**

144 This study uses three sets of AR catalogs. The first of these sets is based on AR detection 145 techniques (ARDTs) developed by Ralph et al. (2013), Sellars et al. (2013), Rutz et al. (2014), 146 Guan and Waliser (2015), Mundhenk et al. (2016), and Gershunov et al. (2017), applied to the 147 observations or reanalyses in their original, respective publications (Native Reanalysis AR 148 catalogs hereafter). The second set is based on applying these same ARDTs plus the method of 149 Wick et al. (2013) to observations or NASA MERRA-2 Reanalysis (Gelaro et al., 2017) 3-hourly 150 data of IVT and/or IWV at the [38.5N, 123.125W] grid cell used to represent the Bodega Bay area 151 for the time period of November 2004 – April 2016 (MERRA-2-based AR catalogs hereafter). 152 The third set of catalogs are collected using the Rutz et al. (2014) ARDT on three different 153 reanalyses, namely MERRA-2, ERA-Interim, and NCEP/NCAR (Rutzetal2014-based AR 154 catalogs hereafter). This latter set of catalogs is used to aid in differentiating between uncertainties 155 that arise from using different methodologies from those that arise from using different datasets. 156 The acronyms for each method, which will be used to identify them throughout the remainder of 157 the paper, and parameter and geometry thresholds used for AR identification in each catalog, are 158 presented in Table 1.

These algorithms are all state-of-the-art methodologies developed to answer specific questions – the methods and datasets used vary accordingly. The observational dataset is described in detail in Section 2a. Section 2b provides a brief description of each ARDT used in Sections 3-5. Some of the algorithms were modified from the original published methodology for the purpose of this study. Those modifications and the reasons they were made will be discussed in detail below.

165 2a. Observations and Reanalyses

166 The BBY ARO observations, available from NOAA's Earth System Research Laboratory, 167 Physical Science Division website (https://www.esrl.noaa.gov/psd), provide hourly records of AR 168 landfalls near California's Russian River Basin northwest of San Francisco, California, between 169 13 November 2004 – present (in this study, data through April 30, 2016 are included). The BBY 170 ARO is part of an extended network of observing stations throughout the western U.S. (White et 171 al., 2013). The ARO includes a wind profiler to observe a vertical profile of horizontal winds, a 172 GPS-Met sensor to record the integrated water vapor (IWV) in the atmosphere, a radio acoustic 173 sounding system (RASS) to observe the vertical profile of potential temperature, and surface 174 meteorological instrumentation.

AR events were reconstructed based on currently available data from NOAA ESRL's Hydrometeorology Testbed archive and data available from the catalog provided in Ralph et al. (2013). The hourly ARO data records were first compiled in order to maximize the amount of available data, due to some missing data in the archives currently available online. First, the hourly records were collected from the IWV flux table data output from the ARO. If the flux table data were missing, then these data were filled in with raw observations from the profiler and the GPS-Met. If both of those were missing, the AR catalog provided in Ralph et al. (2013) was used. The

182 Ralph et al. (2013) AR catalog is assumed to contain all of the AR hours from water years 2005 – 183 2010. One limitation of the ARO dataset in this paper is the amount of missing data for the period 184 of record, during which over 36000 hours are missing, including 77% of October - March hours 185 in water year 2013. Outside of 2013, most of the missing data is from water years 2005 - 2010, 186 and while there are some differences in the AR criteria used in Ralph et al. (2013) and this study 187 (see Section 2b), it is expected that most ARs during those years were counted in that original 188 catalog. Excluding periods with ARO missing data reduces each catalog presented in this study by 189 24% of all AR time steps and 24% of the event counts, on average across the catalogs used. For 190 only much stronger ARs (identified with a much higher IVT threshold, see section 2b), excluding 191 these periods reduces AR time steps by 15% and AR event counts by 17%, on average. In spite of 192 these missing hours, the observational catalog provides unique and detailed information at high 193 temporal resolution regarding landfalling AR onset, cessation and peak strength, which is not 194 detectable with any other in situ data at this scale.

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196 2b. Algorithm Descriptions

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Ralph et al. (2013) – Ralphetal2013

In this study, AR events in the two observational catalogs (Ralphetal2013-Obs and Ralphetal2013-Obs47 in Table 1; see Section 2a for a detailed description of the observational dataset) were identified as a period lasting at least 12 hours for which each hourly integrated water vapor (IWV) amount was greater than or equal to 2 cm. Additionally, for Ralphetal2013-Obs, each IWV flux amount was required to exceed or equal 20 cm m s⁻¹, and for Ralphetal2013-Obs47, each IWV flux amount was required to exceed or equal 47 cm m s⁻¹. These criteria were adapted from those used in Ralph et al. (2013) assessing ARs impacting the coastal mountains of northern

205 California (Cazadero). The two main differences in the method used in the current analysis are that 206 total IWV flux is used instead of the upslope component directed at Cazadero, and the event duration is required to be 12 hours instead of 8. The 20 cm m s⁻¹ value for IWV flux roughly 207 corresponds to 250 kg m⁻¹s⁻¹ of integrated vapor transport (IVT), and the 47 cm m s⁻¹ value 208 209 corresponds to 500 kg m⁻¹s⁻¹. These values are based on 130 radiosonde releases at BBY during 210 2016-2017. Using the total flux instead of just one directional component is closer to the concept 211 of identifying ARs based on their IVT, which is the method employed by all other ARDTs 212 evaluated here. The AR event duration requirement was adjusted in this study so that AR events 213 based on ARO observations are comparable with AR events defined based on reanalysis datasets, 214 some of which are available at a maximum temporal resolution of 6-hour time steps.

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Sellars et al. (2013) – SGS2013

216 An object-oriented detection algorithm was developed by SGS2013 to better understand 217 and analyze massive amounts of spatiotemporal data. In the publication, the algorithm was used 218 on precipitation data from the Precipitation Estimation from Remotely Sensed Information Using 219 Artificial Neural Networks (PERSIANN) dataset (Hsu et al., 1997). Sellars et al. (2017a) adapted the algorithm for application to IVT using MERRA-2, with an IVT threshold of 750 kg m⁻¹s⁻¹. The 220 221 algorithm identifies an 'object' as a feature over the selected threshold that is connected in space 222 and time and lasts for at least 24 hours. The results are organized in a database of objects and their 223 characteristics that are ready for further statistical analysis (Sellars et al., 2017b). In this study, the algorithm was applied to MERRA-2 IVT data with an IVT threshold of 500 kg m⁻¹s⁻¹. 224

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Wick, Neiman, and Ralph (2013) – WNR2013

WNR2013 developed a methodology for objective and automated detection and characterization of ARs based on the detection originally described in Ralph et al. (2004), using

228 fields of IWV from satellite observations and model outputs, and was verified against results from 229 that study. A primary purpose of this ARDT was the validation and comparison of forecast fields 230 with observational data as performed in Wick et al. (2013b). The basic objective criteria used in 231 this algorithm are: 1) IWV content > 20 mm, 2) length > 2000 km, and 3) width < 1000 km. 232 Standard image processing techniques such as thresholding and skeletonization are used to identify 233 ARs based on these criteria. This algorithm has been extended for use with IVT (Wick et al. 2014; 234 Mahoney et al. 2016) and is used in this study with two IVT thresholds; one including all ARs above 250 kg m⁻¹s⁻¹, and the other detecting stronger ARs, with a threshold of 500 kg m⁻¹s⁻¹. This 235 236 approach was not included in the first set of catalogs as its native application was to satellite IWV 237 data which, due to intermittent inter-swath gaps, did not lend itself to detailed comparison with the 238 reanalysis products.

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Rutz, Steenburgh, and Ralph (2014) – RSR2014

240 RSR2014 has been applied to a number of different reanalysis datasets, including National 241 Center for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR, 242 used in Section 3; Kalnay et al., 1996), ERA-Interim (Dee et al., 2011), and MERRA-2. The 243 motivation for development of this algorithm was to be able to identify ARs in reanalysis over not 244 just the coastal western US but also the interior. They identified ARs as features ≥ 2000 km in length (without accounting for curvature of features) with IVT ≥ 250 kg m⁻¹s⁻¹ throughout. They 245 246 imposed no width requirement on these features, and their identified AR events are not dependent 247 on duration.

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Guan and Waliser (2015) – GW2015

GW2015 developed a methodology for global detection of ARs based on ERA-Interim 6 hourly, 1.5° resolution IVT fields for the period of 1997-2014. The motivation behind GW2015's

251 development was to assess ARs objectively and consistently on a global scale using IVT and 252 geometric characteristics. The thresholds used in this methodology include requiring IVT 253 intensities to be higher than the climatological 85th percentile computed for each season and grid cell and a fixed minimum limit of 100 kg m⁻¹s⁻¹, mean IVT direction to be within 45° of the AR 254 255 shape orientation, length of AR features to be longer than 2000 km, and the length to width ratio 256 to be greater than 2. Using the percentile method, the threshold at the Bodega Bay grid point ranges from 166-254 kg m⁻¹s⁻¹ depending on the season. No minimum duration requirement is considered 257 258 for AR events in this methodology.

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Mundhenk, Barnes, and Maloney (2016) – MBM2016

260 MBM2016 used the MERRA-1 reanalysis dataset with 0.5°x0.667° spatial resolution and 261 6 hourly temporal resolution (Rienecker et al., 2011) to establish an occurrence-based algorithm 262 to detect ARs from 1979-2014. In this study, the catalog was created using MERRA-1 at 1.25° 263 resolution, up through the end of the last full water year for which MERRA-1 is available (2015). 264 The motivation for development of this algorithm was to enable further investigation of AR 265 dynamics and variability over the North Pacific region throughout the year. This algorithm is based 266 on fields of anomalous IVT intensities, so that AR features are required to have anomalous IVT 267 fields over a given threshold. The published version of the MBM2016 algorithm uses an IVT anomaly threshold of 250 kg m⁻¹s⁻¹ for AR detection. The published methodology in MBM2016 268 269 requires at least 25 grid points (~1400 km) along the major axis of the AR and a ratio of 1.6:1 270 between the major and minor axis of the AR feature. After this, AR features go through another filter to remove weak features with mean anomalous IVT intensities < 305 kg m⁻¹s⁻¹ and the 271 272 features that have west-east direction with center of mass southward of 20 N and orientation off 273 the parallel of less than 0.95 radians. Well-developed tropical cyclone features, such as intense

274 circular features or those that include evelike holes are also removed. After this publication, the 275 MBM2016 algorithm was modified in order to make it applicable to a wide variety of reanalysis 276 datasets, as opposed to MERRA1 alone. The process of generalizing the algorithm resulted in 277 changes to both intensity and geometric criteria, though the modified algorithm retained the ability 278 to isolate long, narrow plumes of anomalous water vapor transport. In this study, the intensity criteria used is the 94th percentile of IVT anomaly over the entire spatial domain, which is 180.86 279 kg m⁻¹s⁻¹ for MERRA-1, in Section 3; and 186.51 kg m⁻¹s⁻¹ for MERRA-2, in Section 4. Geometric 280 281 criteria were modified as follows for this application: 1) the aspect ratio is 1.4:1 instead of 1.6:1; 282 2) the latitude threshold was moved from 20 N to 16 N; 3) the weak feature threshold was changed from 305 kg m⁻¹s⁻¹ to a threshold based upon the 95th percentile of IVT anomaly (201.73 kg m⁻¹s⁻¹ 283 ¹ for MERRA-1, Section 3; 208.75 kg m⁻¹s⁻¹ for MERRA-2, Section 4); 4) the orientation off the 284 285 parallel of less than 0.95 radians criteria was modified to be a mean IVT orientation between 170° 286 and 230° from horizontal, where 0° is pointing to the east; and, 5) multiple intensity peaks are not 287 segmented into separate ARs in the version used in this study.

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Gershunov et al. (2017) – GSR2017

289 GSR2017 developed an automated algorithm to detect AR landfalls using the 290 NCEP/NCAR reanalysis dataset with 2.5° horizontal resolution and 6 hourly temporal resolution 291 for the period of 1948-2017. The motivation behind development of their catalog was to include 292 both IVT and IWV, to demonstrate the association of ARs with heavy precipitation, and to apply 293 their algorithm to a dataset with a long enough record to resolve interdecadal variability. 294 According to their methodology, landfalling AR features are required to have minimum IVT intensities of 250 kg m⁻¹s⁻¹ and IWV in excess of 15 mm, cross the North America West Coast 295 296 between 20°N-60°N, and be at least 1500 km long. Movement of the center of the AR, which is

defined as the grid cell with maximum IVT intensity along the coast, is allowed between each pair of time steps, but no more than 5° (north/south). ARs making landfall simultaneously are distinct if their centers are at least 7500 km (7.5° north-south) apart from each other. Based on this methodology, AR events are required to last for at least 18 hours (3 consecutive analysis time steps). In the catalogs created for this study, however, this requirement is adjusted as follows: if the required atmospheric conditions are observed at the grid cell used to represent BBY during at least 12 of 18 consecutive hours, then an AR event is counted.

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305 Overall, there is considerable variability between the different algorithms. Some employ 306 just a few criteria beyond intensity (e.g. RSR2014) in order not to exclude any relevant events, and 307 others are much more complicated, in order to try and constrain the sample to a stricter definition 308 of atmospheric river without allowing overlap with other features (e.g. MBM2016). Detection 309 techniques also vary in their use of anomalies vs. static thresholds for intensity. The detection 310 technique is fundamentally entangled with quantitative algorithm requirements beyond the 311 descriptive, qualitative "plume of moisture", e.g.: How long does it need to be to qualify as a 312 plume?; How wide is it before it is something else?; How strong or anomalous does the IVT within 313 the plume need to be to separate it from its surroundings? In other words, the algorithms must not 314 only define what an AR is, but what it is not, and this scope and specificity is different for each 315 algorithm depending upon the science question addressed during its development.

316

317 **3.** Comparing ARDT Results at Bodega Bay Using Native Reanalyses

318 In this section, the ARDTs are applied to the datasets and resolutions used in their original 319 publication at the closest grid point to Bodega Bay (Figure 1). All AR events were required to last 320 at least 12 hours in both the ARO (hourly data would require 12 timesteps) and reanalysis datasets 321 (3-hourly data would require four timesteps for MERRA-1 and MERRA-2; 6-hourly data would 322 require two timesteps for NCEP/NCAR and ERA-Interim). In order to minimize the differences 323 between these different time steps, the hourly and 3-hourly datasets were sampled at 0, 6, 12, and 324 18 UTC and those instantaneous values were used to determine the presence of an AR event. AR 325 events were considered to be distinct if separated by one 6-hour period. Note that without this 326 adjustment, the different temporal resolutions of the datasets would cause differences in AR 327 characteristics. This could result either from ARs no longer meeting the duration criteria (e.g., one 328 of the timesteps falls below the thresholds for a given ARDT), or it could result from multiple 329 distinct ARs being reported in a given time period, with below threshold periods separating a 330 longer duration AR identified with the lower resolution dataset. Similarly, the different temporal 331 resolutions would also affect AR duration and intensity characteristics.

332 The period of time considered was November 2004-September 2015, in order to use the 333 maximum amount of data where all datasets were available. This will be referred to as water years 334 2005-2015; however, water year 2005 is missing the month of October. Water year 2016 was not 335 included in this section, because one of the datasets, MERRA-1, was unavailable during part of 336 the peak of that year, as it was discontinued in favor of MERRA-2. During water years 2005 – 337 2015 an average of 19 ARs of at least weak strength were detected at Bodega Bay each year with 338 an average duration of 24 hours (Table 2). For the stronger ARs identified by the three detection tools using an IVT threshold of 500 kg m⁻¹ s⁻¹ (see Table 1), the average number per year between 339 340 2005 – 2015 was 2, with an average duration of 17 hours. To investigate ARDT agreement on an 341 individual AR basis, one particularly active peak season, Dec-Feb 2006, was further explored 342 (Figure 2). During periods of longer lasting and higher IVT and IWV values there is agreement among at least five of the catalogs in most cases, however no extreme event had agreement across
all seven catalogs. During periods of lower IVT and IWV there is more disagreement among
ARDTs. One potential reason for this disagreement is that the reanalyses, in particular the coarser
grids of MERRA-1 (in this study used at 1.25° resolution), ERA-Interim, or NCEP/NCAR, may
not have recorded the same timing or magnitude of the peak in IVT or IWV that are presented
from MERRA-2.

349 Analysis of individual detected AR timesteps shows relatively large disagreements as well, even within strong ARs. For example, several timesteps with IVT greater than 850 kg m⁻¹s⁻¹ were 350 351 identified as ARs by as few as four ARDTs (Figure 3a). This could be due to the difference in 352 IVT magnitude in different reanalysis datasets (Figure 3a is presented using MERRA-2 data, 353 which may have a different magnitude than the IVT of the reanalysis dataset used for detection), 354 as well as the potential for missing data in the observational catalogs. It is also likely that the 355 geometric constraints present in some ARDTs play a role in the disagreement between catalogs, 356 even when high IVT was observed. However, in general, the agreement between catalogs increases during timesteps with higher observed IVT. The IVT in the MERRA-2 appears to exhibit a 357 358 seasonal cycle, with many more timesteps with higher values of IVT observed during the cool season, which is when all of the extreme ($\geq 1000 \text{ kg m}^{-1}\text{s}^{-1}$) and most strong ($\geq 750 \text{ kg m}^{-1}\text{s}^{-1}$) ARs 359 360 were observed (Figure 3a and b).

The number of ARs detected per year varies between datasets and annually (Figure 4). The average standard deviation, calculated over the different years and then averaged over the different methods, between detected ARs per year is 7, which is nearly as large as some of the catalog counts (see MBM2016 during years 2014 and 2015). The largest range of detected ARs occurred in 2010, where counts range from 13 (MBM2016-MERRA1) – 30 (RSR2014-NCEP) AR events (this 366 excludes the Ralphetal2013-Obs, which counted 5, but may be suffering from missing data). The 367 total number of AR events detected by each ARDT during the period ranges from 114 to 279 368 (Table 2). Overall, the interannual variability pattern is similar between ARDTs (excluding the 369 ARO with missing data). For example, the ARDTs all detect more AR events during 2006 and 370 2011, which were relatively wet years, and detect lower AR event counts during the drought period 371 2012-2015. These results indicate that very different answers may be found to answer the 372 overarching question of how many AR landfalls of what strength were observed at a given location 373 per year. In general, the stronger the AR is and the longer duration it is, the more likely it is to be 374 identified by all of the ARDTs in all reanalyses or observations.

Throughout the next two sections of the paper, we will first isolate the differences in the detection tools by applying each tool to the MERRA-2 reanalysis, and then, we will isolate differences in the reanalysis datasets by applying the RSR2014 method to MERRA-2, ERA-Interim, and NCEP/NCAR reanalysis datasets. This design will help to pinpoint the reasons behind differences in the catalogs.

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381 4. Comparing ARDT Results at Bodega Bay Using MERRA-2

To isolate uncertainties in AR occurrence and their characteristics caused by distinct attributes of individual ARDTs, in this section we compare AR characteristics in the vicinity of the Russian River watershed for the ARs detected by ARDTs as originally designed, but applied to the same dataset, the MERRA-2 reanalysis, with either its native grid or an interpolated grid required by one of the ARDTs. These MERRA-2-based catalogs are also compared to the observational catalogs created using the Bodega Bay ARO. The MERRA-2 dataset is chosen

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because it is a state of the art, easily accessible dataset, with high spatial and temporal resolution (the highest among the reanalysis products used in the native catalogs discussed earlier).

390 Accordingly, eight MERRA-2-based and two observational catalogs (Table 3) are 391 compared in this section based on their identification of AR activity at the MERRA-2 grid cell 392 containing the ARO (38.5N, 123.125W), or directly at the ARO (38.3191N, 123.0728W) for 393 observational catalogs. Five of the catalogs were created using the MERRA-2 native grid, which 394 is $0.5 \ge 0.5 \ge 0.525^{\circ}$. Three of the catalogs, using variations on the WNR2013 algorithm, require a grid 395 with equal step sizes in latitude and longitude, and so MERRA-2 was interpolated to a $0.5 \times 0.5^{\circ}$ 396 grid. The last two catalogs were created using the ARO observations. AR events are required to 397 last for at least 4 consecutive 3-hourly timesteps (12 hours), and are separated by at least one 3-398 hourly timestep below AR conditions. The hourly ARO observations were transformed into 3-399 hourly time steps, and only instantaneous 3-hourly records were taken into account. Recall that the 400 ARO has a significant amount of missing data that may affect results here.

401 During water years 2005 - 2016, the MERRA-2 based and observational catalogs identified 402 an average of 18 ARs at Bodega Bay each year with an average duration of 23 hours (Table 3). For the stronger ARs identified by the three detection tools using an IVT threshold of 500 kg m⁻¹ 403 s^{-1} (see Table 1), the average number per year between 2005 – 2016 was 1, with an average duration 404 405 of 18 hours. Differences in the number of AR events and their characteristics are associated here 406 with differences in the methodologies. First, there is a difference between constant and percentile-407 based IVT threshold magnitudes used for AR identification. GW2015 applies an IVT threshold 408 magnitude that varies with the season and ranges from 166 - 254 kg m⁻¹s⁻¹ (see Table 1 and Section 409 2); this allows weaker AR conditions to register, especially during the warm season. Three of the 410 catalogs in this section (WNR2013-IVT500; SGS2013; Ralphetal2013-Obs47) are aimed at 411 identifying much stronger ARs and have significantly lower counts than the other 7 catalogs 412 throughout this period. It is important to note that these lower counts are also a reflection of the 413 requirement for the ARs to consistently keep their higher strength (over 500 kg m⁻¹s⁻¹; see Table 414 1 throughout the entire minimum 12-hour AR duration, instead of just reaching that value at the 415 peak of the AR.

Geometric constraints may also account for observed differences in the number of detected AR events. While the ARDTs have comparable AR length thresholds, five of the catalogs consider other geometric requirements as well, such as length/width ratio, shape, width, and orientation (Table 1). The most restrictive geometric criteria are found in WNR2013 and MBM2016 (see Section 2), and these consistently identify fewer AR events, a result consistent with the sensitivity analysis in Guan and Waliser (2015; their Figure 5).

422 Even with the variability in the number of AR events, there is good agreement between all 423 catalogs with similar IVT thresholds in terms of average event duration (Table 3). Depending on 424 the AR identification methodology, the number of AR events for the period of record varies from 425 131 to 268 (for stronger ARs, this range is 13 to 29). Annually, there are 18 AR events per year on 426 average at this grid cell, lasting an average of 23 hours. There is on average 1 stronger AR event 427 per year at this grid cell, lasting an average of 18 hours. The output of ARDTs with similar 428 parameters and geometric characteristics show good agreement with regard to relevant AR 429 characteristics such as frequency, duration, and intensity (GSR2017, GW2015, RSR2014; see 430 Table 1). The WNR2013-IVT AR catalog is constructed using the same IVT threshold magnitude 431 as the four mentioned earlier; however, the number of detected AR events is relatively small and 432 the ARs are shorter in duration, which could be a result of the more restrictive geometric criteria 433 used in this methodology (Table 1).

434 The 2006 water year peak season (Dec 2005 – Mar 2006) shows increased agreement with 435 the MERRA-2 dataset compared to the ARDTs applied to their native datasets (Figure 5 and Figure 436 2, respectively). Seven ARDTs are applied to all ARs, and 3 are detecting only stronger ARs, with a minimum threshold of 500 kg m⁻¹s⁻¹ (see Table 1). Similar to Figure 2, the stronger and longer 437 438 duration ARs show good agreement across the ARDTs, while weaker and shorter duration events 439 that are closer to defined thresholds have much less agreement. Some of the differences between 440 Figure 2 and 5 are due to the fact that in Figure 2, ARDTs are applied to different datasets, but 441 presented with MERRA-2 reanalysis values for IVT and IWV, whereas in Figure 5 the ARDTs, 442 excluding the observational datasets, are both applied to and presented with MERRA-2 reanalysis, 443 with two different spatial resolutions. This results in more agreement between the ARDTs in 444 Figure 5 than in Figure 2. Due to the higher resolution of the time steps in the ARDTs in Figure 5 445 (3-hourly time steps instead of 6-hourly), more distinct events are counted during the end of 446 December 2005.

447 Over the period analyzed, AR timesteps show a similar pattern to Section 3, where 448 agreement increases with IVT intensity (Figure 6). Here, in contrast to Figure 3, there are few 449 ARDTs identifying AR timesteps with IVT below 250 kg m⁻¹s⁻¹ (Table 1), due to MERRA-2 being 450 the sole reanalysis dataset in use in this section. The reason behind some of these timesteps still 451 appearing are the ARO identified timesteps and the WNR2013-IWV presented with associated 452 MERRA-2 IVT. As the ARs get stronger, there is more agreement between different methods; for example, IVT in 3-hour time steps between 500-549 kg m⁻¹s⁻¹ shows 5 or more (out of 7) methods 453 454 agreeing almost 80% of the time; 700-749 kg m⁻¹s⁻¹ shows 7 or more (out of 10) methods agreeing 455 almost 80% of the time. Separating the AR timesteps into cool season (October – April) and warm

season (May – September) highlights that the stronger ARs occur almost entirely in the cool season, and result in more agreement between ARDTs during this season. (Figure 6b, c).

457

458 In terms of interannual variability, the catalogs in different categories (regular AR strength vs. stronger ARs with a minimum threshold of 500 kg m⁻¹s⁻¹ (see Table 1)) follow roughly the 459 460 same patterns (Figure 7). For example, wet years such as 2006 receive higher numbers of ARs 461 detected by almost all catalogs. The BBY ARO records less AR activity; but this is due primarily 462 to missing periods, in particular in 2013. This shows one particular limitation of the in situ 463 observations; however, the agreement between years with no missing data (e.g. 2014-2015) with 464 reanalysis datasets also provides some confidence in the reanalysis datasets to capture the features 465 observed on the ground. The MBM2016 and WNR2013-IVT catalogs consistently record fewer 466 AR events than the others, and this may be related to their stricter geometric requirements, as 467 discussed earlier. The WNR2013-IWV catalog includes similar geometric criteria, but does not 468 consider IVT and does not employ several related geometric criteria (e.g. the aspect ratio), and this 469 may be part of the reason why its AR event counts are higher.

It is notable that the overall results between Sections 3 and 4 are so similar in terms of AR event count. In this section, considering all MERRA-based catalogs (i.e., excluding observational catalogs) using the thresholds meant to include all ARs, the average number of AR events per year is 19. This value is the same as that found in Section 3. However, the standard deviation is reduced from 7 (in Section 3) to 4 (in Section 4) by confining the reanalysis choice to MERRA.

475

476 5. Comparing ARDT Results on Different Reanalyses Using the RSR2014 ARDT

477 In this section, we attempt to isolate the effect of using different reanalysis datasets on AR
478 detection by applying the RSR2014 algorithm to three reanalysis datasets with different spatial

479 and temporal resolutions; these include NCEP/NCAR (6-hour temporal and 2.5° spatial 480 resolution), ERA-Interim (6-hour temporal and 1.5° spatial resolution), and MERRA-2 (3-hour 481 temporal and 0.5°x0.625° spatial resolution, see Figure 1 and Table 4). Since NCEP/NCAR and 482 ERA-Interim data are available in 6-hour time steps, this section uses MERRA-2 3-hourly data 483 sampled every 6 hours, similar to section 3, to facilitate comparison. The RSR2014 algorithm 484 results for ERA-Interim are only available during the cool season, between November – April, and 485 so results for this section are presented for this 6-month period of each water year. Statistics 486 computed for subperiods within 1990-2015 for NCEP/NCAR and MERRA-2 indicate that over 487 70% of all counted ARs per year occur during this part of the year. In addition, most strong ARs $(750 < IVT < 1000 \text{ kg m}^{-1}\text{s}^{-1})$ and all extreme ARs $(IVT > 1000 \text{ kg m}^{-1}\text{s}^{-1})$ considered during the 488 489 study period of 2005 - 2016 occur during the cool season (Figures 3 and 6).

490 Rutzetal2014 catalogs based on different reanalyses show excellent agreement for AR 491 event identifications during the peak season of the 2006 water year (Figure 8). Most of the 492 disagreements occur at the start and end times of the events. Allowing the time step to be either 493 ± 6 hours from the other catalogs increases the agreement between the reanalysis datasets by about 494 18% (Table 4). The IVT time steps identified agree over 80% of the time for MERRA-IVT values 495 greater than 400 kg m⁻¹s⁻¹, and 100% of the time for MERRA-IVT values greater than 700 kg m⁻¹s⁻¹ (Figure 9).

Differences between the three reanalyses show that AR time step frequency, number of events per year, and event duration decrease slightly with increasing resolution, while higher resolution reanalyses observe greater peak IVT. Correlations of AR and non-AR timesteps between different catalogs are lowest for MERRA-2 and NCEP, which are the reanalyses with the most different resolutions (Table 4).

502 The entire time series for all available reanalyses show that there is substantial agreement 503 during the overlapping years (Figure 10). AR events, particularly the stronger and longer events, 504 are identified consistently regardless of which reanalysis dataset is used. Differences between the 505 reanalysis datasets are primarily in the intensity and in the timing of the AR. These results are 506 consistent with other studies that have investigated and compared landfalling ARs in different 507 reanalysis datasets (Lavers et al., 2012; Jackson et al., 2016; Guan and Waliser, 2017; Guan et al., 508 2018). Here, we can consider what information can be provided by the relative agreement between 509 reanalyses. The results from this section provide confidence in the idea that, at least in northern 510 California, even coarse-resolution datasets such as NCAR/NCEP are excellent resources for 511 understanding AR activity through time, especially as this dataset goes back in time to 1948, much 512 further back than the others. In the NCEP/NCAR record, drought years correspond with very low 513 AR counts (1977), and record flood years correspond with very high AR counts (1983), with the 514 overall range from under 5 ARs to over 30 ARs due to interannual and interdecadal variability. 515 While it is true that new data sources (e.g., satellite) became available for assimilation during the 516 NCEP/NCAR period of record, Gershunov et al. (2017) validated their catalog with respect to 517 possible discontinuities stemming from satellite data assimilation, and found none.

518

519 6. Conclusions and Discussion

520 This study set out to answer a specific question: how many ARs per year hit the Russian 521 River, a vulnerable coastal watershed in northern California, as well as to assess the sensitivity of 522 the answer to different AR detection algorithms and reanalysis datasets. The results highlight the 523 benefits and challenges in using specific ARDTs to study ARs. Each method is based on expert-

developed criteria that must be understood to fully appreciate and compare the AR frequency,intensity, and duration results for different ARDT catalogs.

526 The importance of ARs in regions throughout the globe has been well documented, and 527 understanding the differences in detection methods is essential. Individual ARDTs were produced 528 in order to address specific research objectives (e.g., different regions vs. global scale, ocean vs. 529 overland), and to take advantage of different data sources (e.g., satellite, reanalysis, in situ 530 observations), and these objectives informed the criteria that were applied to detecting ARs. 531 Therefore, no single method should be expected to be perfect for every application. This work 532 provides additional context when selecting or designing an ARDT for future studies. It also helps 533 set the stage for the recently developed Atmospheric River Tracking Method Intercomparison 534 Project (ARTMIP), which aims to quantify uncertainties in AR climatology and impacts on a 535 global scale as a result of differences in AR identification and tracking methods, and which is 536 described in more detail in Shields et al. (2018). This study begins to address the physical origins 537 behind the broad variability in counts found so far in ARTMIP.

538 The study presented here focuses the comparison on one geographic location that is a focus 539 for land-falling ARs and has a unique, high temporal resolution, long-term in situ observational 540 dataset. The ARO dataset generally suffers from too much missing data each year to be a 541 completely reliable tool for yearly totals and overall statistics. However, the high temporal 542 resolution information it provides on individual storms in real time, while not used in this study 543 where the ARO was sub-sampled at the same temporal resolution as the reanalyses, is particularly 544 valuable. The hourly observations can better resolve AR onset at the ARO location, evolution to 545 peak and through the end of AR conditions, and provide high vertical resolution horizontal wind 546 measurements throughout the column. The period compared in this study, WY2005-2016, includes 4 years of severe drought as well as two anomalously wet years, (2006 and 2011), which are representative of California's volatile hydrology. Identified ARs can be categorized, as in this work, by strength measured using IVT intensities and durations, or impacts measured by precipitation and streamflow.

551 These results provide important information in the context of much foundational work that 552 has been completed on ARs, which has shown that a few big ARs in a year can make the difference 553 between drought and a wet water year (e.g. Dettinger et al., 2011). Therefore, knowing how many 554 ARs to expect on average, and what the variance and range can be, is essential from both water 555 management and emergency preparedness standpoints. Previous studies have shown the 556 connection between moisture flux or IVT strength and significant precipitation (e.g., Lavers et al., 557 2016; Gershunov et al., 2017). Other studies have shown the importance of AR duration on 558 impacts, where the duration may matter as much or more than AR intensity (Ralph et al., 2013; 559 Lamjiri et al., 2017). Orientation at landfall also drives AR impacts, as recently shown by work 560 done in the Russian River watershed (Guirguis et al., in review). While it is out of the scope of this 561 paper to directly consider impacts of ARs such as on precipitation and streamflow totals in depth, 562 we estimated the contribution of precipitation from ARs detected using different AR tracking 563 schemes to total annual precipitation accumulated at BBY. The set of ARDTs with less stringent 564 geometric criteria such as RSR2014, GSR2017 and GW2015 ranged from 55-60% of AR 565 contribution per year, while WNR2013-IVT250 and MBM2016 ranged from 45-53% per year. 566 The ARDTs focused on much stronger ARs, Ralphetal2013-OBS47, SGS2013 and WNR2013-567 IVT500 contribute roughly 10% of AR-related precipitation per year. Moreover, more than 40% 568 of heavy precipitation and 80% extreme precipitation events are associated with ARs (see 569 Appendix I, Fig. AI-2).

570 In Section 3, we first applied each ARDT to the dataset used in original publication, and 571 determined the average annual AR count. Excluding those with high-IVT thresholds, the average 572 annual count was 19±7. In Section 4, applying these ARDTs to a single reanalysis yielded an 573 average annual count of 19 ± 4 . Moving to a single reanalysis in this exercise did not change the 574 average annual count but did reduce the variability. Including the ARO observations along with 575 MERRA-2 produces an average annual count of 18±5. Using a single ARDT (Rutz et al., 2014) 576 on three different reanalyses of different resolutions resulted in an average November-April 577 (limited season) count of 17±1 ARs (for comparison, the average November-April AR count for 578 different ARDTs applied to one reanalysis is 13±3; this excludes the high-IVT ARDTs). Therefore, 579 a major conclusion of this work is that the choice of reanalysis has much less of an effect on the 580 AR count than does the choice of ARDT. Specifically, analysis of sensitivity of ARs to the 581 detection method (Section 4) and the reanalysis datasets (Section 5) shows that AR catalogs based 582 on different ARDTs applied to the same reanalysis share 70% of interannual AR variability, 583 whereas AR catalogs based on the same detection methodology applied to different reanalyses 584 share 84% of AR variability (see Appendix II, Table AII-1, for details).

585 When assessing differences between reanalyses, higher temporal resolution generally 586 decreased AR event counts overall (see Section 4 results compared to Section 3) because there 587 was more opportunity for an AR to fall below threshold or not to meet geometric constraints 588 given the same duration requirement. Other studies have reported similar results with respect to 589 spatial resolution (Guan and Waliser, 2017; Blamey et al., 2018). In this work, all higher 590 resolution timesteps were sub-sampled to be equivalent to the coarsest resolution, meaning that 591 the hourly ARO dataset was sampled at every 6 hours in Sections 3 and 5, and every 3 hours in 592 Section 4, while the MERRA-2 3-hourly dataset used in Section 4 was sampled at every 6 hours in Sections 3 and 5. While the sub-sampling helps to address different temporal resolutions of the
datasets, it does not alleviate all of the differences impacting instantaneous AR detections at subsampled timesteps.

596 Differences in ARDTs were found predominantly during weaker storms, and in both 597 ARDT and reanalysis comparisons there were timing differences at the beginning and ends of 598 storms. The differences in AR event counts were much larger as a percentage of the mean than 599 differences in overall AR properties such as strength and duration. This study also shows that the 600 detection algorithms used here can be broken into groups or clusters, based upon geometric criteria 601 and intensity. Focusing on these "clusters" of algorithms within the MERRA-2 based catalogs 602 results in average counts of: 21±4 (for those methods with less limiting geometric criteria), 14±3 603 (for those methods with strict geometric criteria), or 1 ± 1 (for those methods aiming to identify 604 much stronger ARs) (Table 5). The relatively large effect of the geometric constraints has also 605 been documented by algorithm developers (Guan and Waliser, 2015). The catalogs also perform 606 very well and agree with regard to interannual variability.

607 The fact that the largest difference in ARDT catalogs are between those with stricter 608 geometric requirements points to fundamental differences in the way that ARs are defined. There 609 has been significant discussion in the literature regarding the definition of ARs since the term was 610 first introduced by Zhu and Newell (1994). For example, the differences and relations between 611 ARs, warm conveyor belts, and tropical moisture exports, are important considerations (Dettinger 612 et al., 2015; Ralph et al., 2017). ARs have recently been defined in a general sense in the Glossary 613 of Meteorology (AMS, 2017) after an extensive community input process and discussion of the 614 aforementioned and other considerations (Ralph et al., 2018). In new studies, the specific research 615 question being asked may determine how narrowly one may want to define these features.

However, a contextual understanding of how ARs are defined in the literature through the use of
different types of ARDTs is required in order to understand important and relevant findings such
as ARs increasing in frequency and/or intensity in future climate (Dettinger, 2011; Lavers et al.,
2013; Warner et al., 2015), or comparing conclusions from studies using various ARDTs over
different regions of the globe (Baggett et al., 2016; Hagos et al., 2016; Ramos et al., 2016; Lora et
al., 2017; DeFlorio et al., 2018; many others), is essential.

622 To this end, the authors would like to stress that a single ARDT cannot be recommended 623 universally. Beyond what is described here, other ARDTs continue to be developed, including 624 those based on machine learning, and these techniques should be evaluated as well to understand 625 how they compare with other objective methods. Different ARDTs perform differently by design, 626 and the ARDT should be selected with thoughtful consideration of the particular application. For 627 example, to study changes in the future precipitation regime, it is reasonable to choose ARDTs 628 designed to catch landfalling ARs and their geometric characteristics at landfall. Moreover, it is 629 preferable to use those ARDTs whose outputs were validated on precipitation over various 630 historical periods (e.g. Gershunov et al., 2017; Rutz et al., 2014). If the main focus of the study is 631 moisture transport from the tropics to high latitudes, ARDTs developed for global applications 632 should be used. Ensemble methods, using either perturbations of ARDT thresholds and/or a range 633 of ARDTs, may also be appropriate.

In terms of climate change, additional analysis of GCM realism with respect to simulated AR activity is needed. The authors note that work applying ARDTs to GCMs is ongoing, and that the results from using different ARDTs may be used to illuminate details of how ARs may change in the future. For example, Lavers et al. (2015) shows that IVT increases everywhere thermodynamically as expected in a warmer climate. This means that qualitatively any of theARDTs will result in the same signal, but details may vary.

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Appendix I. Estimating the Precipitation Contribution from ARs Identified with Different ARDTs

643 To quantify the impact of AR activity detected using different AR tracking schemes on 644 precipitation regime at BBY, the contribution of AR-related precipitation to total annual 645 precipitation accumulated at the area (certain grid cell) during the water years of 2005 - 2013646 (Table AI-1) was estimated using Livneh's (2013) precipitation dataset. Precipitation during AR 647 days, defined as days with at least one 3-hour time step associated with AR conditions, and the 648 day after an AR day are counted. The set of ARDTs with the least strict criteria such as RSR2014, 649 GSR2017 and GW2015 ranged from 55-60% of AR contribution per year, while WNR2013-650 IVT250 and MBM2016 ranged from 45-53% per year. The ARDTs focused on much stronger 651 ARs, Ralphetal2013-OBS47, SGS2013 and WNR2013-IVT500 contribute roughly 10% of AR-652 related precipitation per year. The annual behavior of AR-related precipitation contribution is 653 illustrated in Figure AI-1. In particular, during wet years such as 2006 the contribution of AR-654 related precipitation was as much as 70% for ARDT outputs with the least strict criteria, whereas 655 strict AR detection schemes account for up to 30% of the contribution. During dry years both AR 656 activity (Figure 7 from the main text) and AR precipitation contribution (Figure AI-1) are about 657 25% lower.

Proving the statement on the connection of AR strength and precipitation intensity (Section 6) we estimated the contribution of AR precipitation to all precipitation summed in the different percentile categories (Figure AI-2). The results show that in general moderate to extreme 661 precipitation accumulations are most likely to be associated with AR events. Namely, more than 662 40% of heavy precipitation and 80% extreme precipitation events are associated with ARs. The 663 catalogs focused on much stronger ARs (SGS2013, WNR2013-IVT500 and Ralphetal2013-664 OBS47) tend to catch predominantly heavy and extreme precipitation cases. The set of ARDT 665 outputs based on simpler (or no) geometric characteristics (GSR2017, RSR2014, GW2015) cover 666 a wider spectrum of precipitation events.

667

AR data source	Annual average AR
	precipitation contribution (%)
GSR2017-MERRA2	56 %
GW2015-MERRA2	55 %
MBM2016-MERRA2	45 %
Ralphetal2013-OBS	39 %
RSR2014-MERRA2	60 %
WNR2013-IVT-	53 %
MERKAZ	27.0/
WINK2013-IWV- MERRA2	51 %
Summary	49 %
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668 **Table AI-1.** Annual average contribution of AR-related precipitation to all precipitation.

Ralphetal2013-OBS47*	7 %
SGS2013*-MERRA2	15 %
WNR2013-IVT500*-	8 %
MERRA2	
Summary*	10 %



Figure AI-1. Annual average contribution of precipitation associated with AR events counted by
each MERRA2-based AR catalog at the grid cell containing BBY during water years 2005-2013.





Figure AI-2. Contribution of precipitation associated with AR days in different daily precipitation
percentile categories counted by each MERRA2-based AR catalog at the BBY grid cell during
water years 2005-2013.

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# Appendix II. Quantification of the Difference between Choice of ARDT and Choice of Reanalysis

Sensitivity of AR frequency, duration and intensity to the detection methodology (the reanalysis datasets) is quantified by the amount of shared variance in AR catalogs obtained from applying different (the same) detection algorithms to the same (different) reanalysis dataset. The percentage of shared variance represented by square of average correlation coefficient between pairs of AR catalogs shows the amount overlap variation of those catalogs. Two sets of catalogs are considered: six MERRA2-based AR catalogs developed using GSR2017, GW2015, RSR2014, MBM2016, WNR2013-IVT and WNR2013-IWV with solid/percentile-based IVT/IWV thresholds and 690 with/without geometry characteristics at AR detection schemes (see Section 4), and three AR 691 catalogs obtained from applying RSR2014 algorithm to NCEP/NCAR, ERA-Interim and 692 MERRA-2 reanalysis datasets (see Section 5) with different spatial and temporal resolutions. The 693 number of AR events, their average duration and IVT intensity were computed from November 694 through April during 2005-2010 water years according to data availability in considered AR 695 catalogs. The results (Table AII-1) show that the AR catalogs based on different ARDTs applied 696 to the same reanalysis share 70% of interannual AR variability, whereas AR catalogs based on the 697 same detection method applied to different reanalyses share 84% of AR variability. This illustrates 698 that the choice of reanalysis has about 14% less of an effect on AR frequency than does the choice 699 of ARDT. Shared variations in average duration and IVT intensity of different reanalysis based 700 ARs are 14% and 20% higher, respectively.

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Table AII-1. Shared variance in AR catalogs obtained from applying GSR2017, GW2015,
RSR2014, MBM2016 and WNR2013-IVT AR detection algorithms to MERRA2 Reanalysis
dataset and RSR2014 algorithm to NCEP/NCAR, ERA-Interim and MERRA-2 reanalysis
datasets.

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Shared Variance in AR	Seasonal number of	Seasonal average	Seasonal average of AR
catalogs from:	AR events	duration of AR event	event MERRA2-IVT
Different methods to	70 %	71 %	25 %
single Reanalysis			
Single method to	84 %	85 %	46 %
Different reanalysis			
Difference in shared	14 %	14 %	20 %
variance			

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- 876
- 877 **TABLES**
- 878
- Table 1. The variables and geometric thresholds used in each AR detection method considered throughout the study. In methods 1 and 6 (Gershunov et al. 2017; Sellars et al. 2013), the AR object must persist for 24 hours, but may not last that long in an individual grid cell. Starred lines indicate that the method was modified for use in this study. Please see the text for details.

ARDI	]	Detection	AR detection thresholds		
		parameter	IVT, IWV	Spatial, temporal	
<ul> <li>a) Ralphetal2013- Obs*</li> <li>b) Ralphetal2013- Obs47*</li> </ul>	Ralph., F.M. et al., 2013	IWV, IWV flux	a) $WVF \ge 20 \text{ cm m/s}$ , $IWV \ge 20 \text{ mm}$ b) $WVF \ge 47 \text{ cm m/s}$ , $IWV \ge 20 \text{ mm}$	12 hours	
SGS2013*	Sellars, S. et al., 2013	IVT	$IVT \ge 500 \text{ kg/m/s}$	24 hours	
<ul> <li>a) WNR2013-IVT*</li> <li>b) WNR2013- IVT500*</li> </ul>	Wick, G. et al., 2013	IVT	a) IVT $\ge 250 \text{ kg/m/s}$ b) IVT $\ge 500 \text{ kg/m/s}$	$ \geq 1500 \text{ km} \\ \text{width} < 1000 \text{ km} \\ \text{length/width} \geq \\ 1.4 $	
WNR2013-IWV	Wick, G. et al., 2013	IWV	$IWV \ge 20 \text{ mm}$	$\geq$ 2000 km width < 1000 km	
RSR2014	Rutz, J. et al., 2014	IVT	$IVT \ge 250 \text{ kg/m/s}$	≥ 2000 km	

GW2015	Guan, B. and D. Waliser, 2015	IVT	Monthly IVT $\geq$ 85th percentile (~ 166-254 kg/m/s)	<pre>≥ 2000 km, Length/width &gt; 2</pre>
MBM2016*	Mundhenk, B. et al., 2016	IVT anomaly	IVT anomaly $\geq$ 94th percentile (IVT ~ 209-283 kg/m/s)	
GSR2017	Gershunov, A. et al., 2017	IVT, IWV	$\begin{array}{l} IVT \geq 250 \text{ kg/m/s} \\ IWV \geq 15 \text{ mm} \end{array}$	$\geq$ 1500 km 18 hours

Table 2. Statistics for each of the AR catalogs on their native datasets, water years 2005-2015. The
baseline AR threshold is separated from those methods that identify ARs beginning at moderate
strength(*). Summary statistics for native reanalysis IVT are not presented as IVT is not a default

887 output in some of the ARDTs.

AR data source	Number of AR events	Annual average number of AR events	Average duration of AR event (hr) ± σ	Average of AR event Native-IVT average (kg/m/s) per 6-hour time step ± σ	Average of AR event MERRA2- IVT average (kg/m/s) per 6-hour time step ± σ	Average of AR event Native-IVT maximum (kg/m/s) per 6-hour time step ± σ	Average of AR event MERRA2- IVT maximum (kg/m/s) per 6-hour time step ± σ	Average of AR event MERRA2- IWV average (mm) per 6- hour time step ± σ	Average of AR event MERRA2- IWV maximum (mm) per 6- hour time step ± σ
GSR2017- NCEP	244	22±4	25±3	342±59	343±79	407±115	447±154	22±5	25±6
GW2015- ERAI	264	24±4	25±3	299±81	316±90	376±146	408±155	22±4	26±5
MBM2016- MERRA1	152	14±5	21±2	430±81	429±89	507±140	519±148	25±4	27±5
Ralphetal201 3-OBS	114	10±4	24±2	389±126	389±126	485±175	485±175	25±4	28±5
RSR2014- NCEP	279	25±5	25±3	336±58	328±81	398±115	429±154	21±5	25±6
Summary	210±73	<i>19</i> ±7	24±2	-	361±47	-	<i>458</i> ±44	23±2	26±1
Ralphetal201 3-OBS47*	13	1±1	16±1	621±164	621±164	706±191	706±191	27±4	29±4
SGS2013*- MERRA2	34	3±2	18±1	646±98	646±98	721±147	721±147	30±4	32±5

Summary	* 24±15	2±1	<i>17</i> ±1	<i>633</i> ±18	<i>633</i> ±18	714 <b>±</b> 11	<i>714</i> ±11	29 <b>±</b> 2	31 <b>±</b> 2
888									
889									
890									
891									
892	Table 3. Statisti	ics for ea	ch of the A	AR catalog	gs through	the 2005-20	16 water y	vears, using	g the

893 MERRA-2 reanalysis dataset. The baseline AR threshold is separated from those methods that

894 identify ARs beginning at moderate strength(*).

AR data source	Number of AR events (Summary includes $\pm \sigma$ )	Annual average number of AR events (Summary includes ± σ)	Average duration of AR event $(hr) \pm \sigma$	Average of AR event MERRA2- IVT average (kg/m/s) per 3-hour time step ± σ	Average of AR event MERRA2- IVT maximum (kg/m/s) per 3-hour time step ± σ	Average of AR event MERRA2- IWV average (mm) per 3-hour time step ± σ	Average of AR event MERRA2- IWV maximum (mm) per 3-hour time step ± σ
GSR2017- MERRA2	257	21 ± 4	$24 \pm 5$	$372 \pm 74$	$485 \pm 154$	$23 \pm 4$	27 ± 5
GW2015- MERRA2	238	20 ± 3	24 ± 5	344 ± 88	$455 \pm 166$	$23 \pm 4$	27 ± 5
MBM2016- MERRA2	152	13 ± 4	$22 \pm 5$	442 ± 80	553 ± 153	$25 \pm 4$	28 ± 5
Ralphetal2013- OBS	131	11 ± 5	23 ± 4	385 ± 120	$503 \pm 179$	25 ± 4	28 ± 5
RSR2014- MERRA2	268	22 ± 5	25 ± 5	369 ± 75	$480 \pm 154$	23 ± 4	27 ± 5
WNR2013-IVT- MERRA2	185	15 ± 3	$20 \pm 4$	394 ± 96	$500 \pm 155$	24 ± 5	27 ± 5
WNR2013- IWV-MERRA2	261	22 ± 6	$20 \pm 3$	299 ± 159	$378 \pm 202$	$26 \pm 3$	28 ± 4
Summary	<i>213</i> ± 56	18 ± 5	23 ± 2	372 ± 44	479 ± 54	24 ± 1	27 ± 0.5
Ralphetal2013- OBS47*	13	1 ± 1	16 ± 2	613 ± 159	$733\pm205$	27 ± 4	$30 \pm 4$

SGS2013*- MERRA2	29	2 ± 1	19 ± 3	654 ± 75	778 ± 137	31 ± 4	33 ± 4
WNR2013- IVT500*- MERRA2	14	1 ± 1	20 ± 4	678 ± 124	784 ± 155	31 ± 4	34 ± 5
Summary*	<i>19</i> ± 9	<b>1</b> ± 1	18 ± 2	660 ± 16	765 ± 28	<i>30 ± 2</i>	32 ± 2

897	Table 4. (Rows 2–9) Statistics for each of the RSR2014 AR catalogs, using the different
898	reanalysis datasets during Nov-Apr 1990-2010. Resolution for each reanalysis is in parentheses.
899	Linear correlations are based on AR timesteps identified in each reanalysis. See Figure 1 for grid
900	points containing Bodega Bay. The 1436 value of maximum IVT on ERA-Interim and MERRA-
901	2 is from 1200 UTC, 12 December 1995. (Rows 11-17) Overlap in identified AR steps using
902	various time windows for each of the RSR2014 AR catalogs, using the different reanalysis
903	datasets.

	NCEP (2.5)	ERA-Interim (1.5)	MERRA-2 (0.5)
Max IVT	1088	1436	1436
AR Timestep Frequency	10.6%	10.0%	8.5%
AR Events/Nov-Apr *	17.9	17.2	14.8
AR Duration (hr)	25.9	25.4	24.8
Linear Correlations	NCEP	ERA-Interim	MERRA-2
NCEP		.76	.75
ERA-Interim	.76		.81
MERRA-2	.75	.81	
AR Step Overlap	Exact	+/- 6 hr	+/- 12 hr
NCEP Only	16.4%	9.2%	7.7%
ERAI Only	7.8%	3.7%	3.5%
MERRA-2 Only	2.8%	1.2%	0.9%
NCEP and ERAI	62.4%	80.0%	83.4%
NCEP and MERRA-2	58.6%	74.6%	78.6%
ERAI and MERRA-2	69.4%	82.4% 84.2%	
All 3 Datasets	49.9%	68.2% 73.3%	

*To compare with Table 3, add 4/year (May - Oct storms)

Table 5. AR event counts with ARDT characteristics. ARDTs are sorted by criteria. * indicates
those catalogs that are designed to identify only stronger storms; ** indicates observational
catalogs with significant missing data during some years; *** indicates catalogs using IWV
alone.

ARDT	Avg	IVT	IWV	Geometric	Geometric	Geometric/
	Annual	Threshold	Threshold	(Length,	(Width km,	Duration
	AR	(kg/m/s)	(mm)	km)	or ratio)	(Other)
	Events					

RSR2014- NCEP	22±5	250	No	>2000	No	No	
GSR2017	21±4	250	15	>1500	No	No	
GW2015-	20±3	166-254	No	>2000	L/W > 2	Yes	
ERAI							
WNR2013-	15±3	250	No	>2000	<1000; L/W	Yes	
IVT* (Section					> 1.4		
4)							
MBM2016	13±3	209-283	No	>1400	L/W > 1.6	Yes	
Based on much higher IVT threshold							
SGS2013*	2±1	500	No	No	No	Yes	
WNR2013-	1±1	500	No	>1500	<1000	Yes	
IVT500*							
Not based on IVT							
WNR2013-	22±6	No	20	>1500	<1000	Yes	
IWV***							
Ralphetal2013-	11±5	250 (20 cm	20	No	No	Yes	
Obs**		m/s)					
Ralphetal-	1±1	500 (47 cm	20	No	No	Yes	
Obs47*,**		m/s)					

919 FIGURES



Figure 1. Map of the study region with the Bodega Bay ARO location marked in yellow. Grid
center points and boxes for all of the reanalyses used in this study are presented in solid markers
and shading (colored according to scale). Terrain represented by gray shading.



926 Figure 2. Time series of IVT (black line) and IWV (gray line) from MERRA-2 reanalysis during 927 the peak of the cool season during water year 2006. Color bars at the top indicate how many of the 928 seven considered catalogs on their native reanalysis identified an AR at a specific time. All catalogs 929 besides the Wick catalogs are represented here (see items 1-6 in Table 1). Gray shading indicates 930 agreement of five or more AR catalogs. Two of the seven catalogs are designed to identify 931 moderate and stronger ARs.



Figure 3. Agreement of native reanalysis-based AR catalogs expressed in terms of frequency and
MERRA2-IVT intensity of 6-hour time steps associated with AR conditions in Bodega Bay
during (a) 2005-2015 water years, (b) the cool (October – April) seasons of 2004-2015 and (c) the
warm (May - September) seasons of 2005-2015. The number of 6-hour AR time steps is displayed
on the top of each bin. The colors represent the number of AR catalogs that shared the AR time
steps. Percentage is expressed in terms of the number of total identified time steps in a given IVT
bin.





Figure 4. Number of distinct AR events counted by each native reanalysis-based AR catalog at
the grid cell containing BBY (see Figure 1) during water years 2005-2015. Dashed lines(*)
indicate methods that identify ARs that persist for at least 12 hours with moderate AR strength
thresholds.



962 of the catalogs are designed to identify only moderate and stronger ARs. Gray shading represents

963 agreement of at least seven catalogs.



Figure 6. Agreement of MERRA-2-based AR catalogs expressed in terms of frequency and IVTintensity of 3-hour time steps associated with AR conditions in Bodega Bay during (a) 2005-2016 water years, (b) the cool (October – April) seasons of 2004-2016 and (c) the warm (May – September) seasons of 2005-2015. The number of 3-hour AR time steps is displayed on the top of each bin. The colors represent the number of AR catalogs that shared the AR time steps.

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Figure 8. As in Figure 2, except for RSR2014 ARDT applied to 3 different reanalyses (ERAInterim; NCEP; MERRA-2). Gray shading is present when all three catalogs agree.





Figure 9. Agreement of Reanalysis-based AR catalogs expressed in terms of frequency and
MERRA2-IVT intensity of 6-hour time steps associated with AR conditions in Bodega Bay
during the cool (November – April) seasons of 2004-2010. The number of 6-hour AR time steps
is displayed on the top of each bin. The colors represent the number of AR catalogs that shared
the AR time steps.





987 during (a) all November – April for all available water years for each reanalysis and (b)

988 November - April during water years 1990-2010, when all three datasets are available.



±









- GSR2017–NCEP
- --- GW2015–ERAI
- --- MBM2016–MERRA1
- Ralphetal2013–Obs
- RSR2014–NCEP
- *- Ralphetal2013*-Obs47
- *- SGS2013*-MERRA2







- GSR2017–MERRA2
- GW2015–MERRA2
- MBM2016–MERRA2
- Ralphetal2013–Obs
- RSR2014–MERRA2
- WNR2013–IVT–MERRA2
- WNR2013-IWV-MERRA2
- Ralphetal2013*-Obs47
- SGS2013*–MERRA2
  - WNR2013-IVT500*-MERRA2



Figure 9



🗆 0 🔲 1 🔲 2 🔲 3 Number of AR catalogs

