

1 **Forced Changes to 20th Century ENSO Diversity in a Last Millennium**
2 **Context**

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7 **Abstract** The El Niño/Southern Oscillation (ENSO) exhibits considerable differences between the evolution
8 of individual El Niño and La Niña events ('ENSO diversity'), with significant implications for impacts studies.
9 However, the degree to which external forcing may affect ENSO diversity is not well understood, due to both
10 internal variability and potentially compensatory contributions from multiple forcings. The Community Earth
11 System Model Last Millennium Ensemble (CESM LME) provides an ideal testbed for studying the sensitivity of
12 20th century ENSO to forced climate changes, as it contains many realizations of the 850-2005 period with differing
13 combinations of forcings. Metrics of ENSO amplitude and diversity are compared across LME simulations, and
14 although forced changes to ENSO amplitude are generally small, forced changes to diversity are often detectable.
15 Anthropogenic changes to greenhouse gas and ozone/aerosol emissions modify the persistence of Eastern and
16 Central Pacific El Niño events, through shifts in the upwelling and zonal advective feedbacks; these influences
17 generally cancel one another over the 20th century. Other forcings can also be quite important: land use changes
18 amplify Eastern Pacific El Niño events via modulating zonal advective heating, and orbital forcing tends to
19 preferentially terminate 20th century Central Pacific El Niño events due to enhanced eastern Pacific cooling
20 during boreal winter and spring. Our results indicate that multiple anthropogenic and natural forcings can have
21 substantial impacts on ENSO diversity, and suggest that correctly representing the net ENSO diversity response
22 to climate change will depend on the precise balance between all these influences.

23 **Keywords** El Niño/Southern Oscillation · Climate variability · Climate modeling · Tropical Pacific · Climate
24 dynamics

25 **1 Introduction**

26 The El Niño/Southern Oscillation (ENSO) dominates climate variability on interannual timescales, leading to
27 drastic changes in sea surface temperature patterns which influence extreme weather events around the world
28 (Ropelewski and Halpert, 1987). As such, it is vital to understand how projected future warming will impact
29 ENSO. To date, multi-model studies have not been able to converge on a consensus regarding future ENSO

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projections (Collins et al., 2010; Stevenson, 2012; Bellenger et al., 2014) for reasons which remain unclear: one possible explanation is ENSO’s sensitivity to small changes in atmosphere/ocean feedbacks (Philip and van Oldenborgh, 2006) which show differing responses to CO₂ increases. The large extent of unforced ENSO variability, however, also contributes to differences among centennial-scale climate projections (Stevenson et al., 2012b; Stevenson, 2012).

In addition to examining ENSO amplitude responses, understanding how the characteristics of El Niño and La Niña events are affected by climate change can provide important insights. Multiple ‘flavors’ of El Niño events have been documented over the instrumental period (Ashok et al., 2007): these so-called ‘Eastern’ and ‘Central’ Pacific El Niño varieties are thought to result from changes to the relative importance of various atmosphere/ocean feedbacks (see review by Capotondi et al. (2015)). The development of each El Niño event is unique (Kessler, 2002), but modeling studies suggest the possibility for alteration of the dominant ENSO varieties by changes to the mean climate (Yeh et al., 2009). This has implications for the overall degree of ENSO variability expected in the future, as well as for the remote impacts expected from varying types of ENSO (Yu et al., 2012).

The response of ENSO diversity to external forcing is still an open question, and one which depends on the balance between all relevant forcing factors. To date there has been very little work on the subject: although some paleoclimate studies suggest a role for ENSO diversity shifts during the mid-Holocene forced by orbital changes (Karamperidou et al., 2015), more recent periods have not been thoroughly investigated. Even over the 20th century, influences from land use changes and anthropogenic aerosol emissions are known to have impacted the climate (Pielke et al., 2002; Kalnay and Cai, 2003), in addition to greenhouse gas increases. As all of these factors affect the mean climate of the tropics (Collins et al., 2010), their net effect may alter ENSO diversity as well. Identifying these influences, however, requires ensembles of simulations containing sufficiently long time series to average over internal variability - something which has not been possible in previous modeling investigations.

The development of the NCAR Community Earth System Model Last Millennium Ensemble (CESM LME; Otto-Bliesner et al. (2016)) provides a modeling testbed uniquely suited to diagnosing ENSO diversity responses to climate change. The LME simulates influences from anthropogenic (greenhouse gas emissions, ozone and tropospheric aerosols, and land use/land cover changes) and natural (orbital changes, solar irradiance, and volcanic eruptions), both simultaneously and individually. All ensembles extend from 850-2005AD, much longer than the timescale of internal ENSO variability (Stevenson et al., 2010, 2012b,a).

The manuscript is organized into several sections: the LME and overall millennial climate trends are discussed in Section 2, and 20th century changes to the mean climate and the seasonal cycle are described in Section 3. Metrics used to diagnose ENSO diversity are presented in Section 4, and a mixed-layer heat budget analysis is used in Section 5 to identify the mechanisms for ENSO diversity responses. Implications and conclusions are discussed in Section 6.

2 The Last Millennium Ensemble

All of the simulations currently included in the LME are analyzed here; additional ensemble members have been added since the initial publication of the ensemble (Otto-Bliesner et al., 2016), and the simulations included in this analysis are summarized in Table 1. All simulations are run at an atmosphere/land resolution of 2°, while a variable-resolution ocean grid is used, ranging from 1° in the midlatitudes to 0.3° near the equator. For analysis purposes, the data output from the LME are split into ‘pre-industrial’ (850-1849) and ‘20th century’ (1850-2005) components. We note that this separation does lead to significant differences in the length of the two comparison segments, and for the 20th century case some contribution from unforced centennial ENSO variability may be present (*c.f.* Wittenberg (2009); Stevenson et al. (2010)); however, in order to isolate anthropogenic influences this choice is unavoidable.

The details of the forcing factors applied are discussed in Otto-Bliesner et al. (2016): greenhouse gas concentrations (CO₂, CH₄, and N₂O) are derived from Antarctic ice core reconstructions which cover the entire past millennium (Schmidt et al., 2011). No ozone or tropospheric aerosol reconstruction data was available prior to

1850, and therefore the 850 control simulation is used as the ‘pre-industrial’ component of the ozone/aerosol (hereafter O3AER) ensemble. After 1850, ozone concentrations are specified based on output from simulations with a high-top chemistry/climate model (the Whole Atmosphere Community Climate Model; Marsh et al. (2013)) using specified concentrations of ozone-depleting substances. Post-1850 anthropogenic aerosol emissions were prescribed based on historical estimates created for use in the Coupled Model Intercomparison Project version 5 (Lamarque et al., 2010), and concentrations allowed to vary prognostically based on the aerosol microphysical schemes in CAM5. These ozone and aerosol protocols are equivalent to those used in the CESM Large Ensemble, and are also discussed in Kay et al. (2015). Land use/land cover (LULC) changes are also simulated in the LME, following the Pongratz et al. (2009) reconstruction prior to 1500 and the Hurtt et al. (2011) reconstruction in subsequent years, with the two datasets merged at 1500; LULC forcing relates primarily to changes in the area covered by crop and pasture lands, as well as the extent of urbanization over 850-2005.

The natural forcings applied in the LME derive from volcanic eruptions, changes in solar irradiance, and orbital modulations. Changes to orbital eccentricity, obliquity, and precession lead to changes in latitudinally and seasonally dependent insolation, and are prescribed according to the formulation of Berger (1978). Changes in total solar irradiance (TSI) follow the Vieira et al. (2011) reconstruction combined with an imposed 11-year solar cycle which derives changes to spectral solar irradiance based on frequency-dependent regression on TSI (Schmidt et al., 2011). Volcanic influences are prescribed according to Gao et al. (2008), which uses sulfate deposits in Greenland and Antarctic ice cores combined with a simple, seasonally dependent aerosol transport model to derive zonal-mean loadings of stratospheric aerosols.

For large-scale context, the evolution in Northern Hemisphere surface air temperature is shown for all LME ensembles in Figure 1; over the pre-industrial period, volcanic eruptions provide the strongest control on climate, as indicated by the close correspondence between the ‘full forcing’ and ‘volcanic’ simulations. Long-term volcanically induced cooling leads to a lower overall NH temperature at 1850 in the full forcing ensemble relative to the other ensembles. Over the 20th century, the greenhouse gas and O3AER ensembles show opposing NH influences, with GHG-induced warming overcoming ozone/aerosol-induced cooling by the end of the century (roughly 1950-2005). Relatively little change is seen in the other ensembles (Figure 1b), with the exception of a slight cooling in the land use/land cover simulations.

The evolution of ENSO amplitude in the LME is shown in Figure 2, represented by the time series of 20-year running SST anomaly variance in the NINO3.4 region (5°S - 5°N , 120 - 170°W). We also note that the O3AER case is represented only by the single 850 control simulation over the 850-1849 period. In all ensembles there is a large degree of decadal to centennial modulation of ENSO variability, consistent with previous millennial-scale GCM studies (Wittenberg, 2009; Stevenson et al., 2012a). There is a small tendency for shifts in the NINO3.4 variance as a result of 20th century climate forcing; this increase is near the threshold for detectability over 1850-2005, suggesting that 20th century climate change has not played a substantial role in altering ENSO amplitude relative to the last millennium, in contrast to paleoclimate reconstructions which show a 20th century ENSO strengthening (Cobb et al., 2013; McGregor et al., 2010). It should be noted, however, that projected ENSO amplitude increases do become significant in extensions of the full-forcing LME simulations during the 21st century (2005-2100), a result which is confirmed using future projections with the CESM Large Ensemble (Fasullo et al., 2016).

3 Changes to Mean and Seasonal Climatologies

Changes to ENSO diversity are generally interpreted in the context of changing the relevant feedback processes involved with the ENSO cycle, which are known to be connected with the mean climate (Fedorov and Philander, 2000; van Oldenborgh et al., 2005). Figure 3 shows composite differences in annual-mean SST and wind stress between the 20th century and pre-industrial period for the LME simulations. As expected, GHG emissions increase temperatures throughout the Pacific (Figure 3b); the warming is strongest in the eastern equatorial Pacific, and is accompanied by a reduction in the strength of the trade winds along the equator. This is consistent with

122 previous multi-model studies showing weakening of 21st century equatorial trades due to anthropogenic warming
 123 (Vecchi et al., 2006; Vecchi and Soden, 2007; Stevenson, 2012). In contrast, ozone/aerosol effects lead to cooling
 124 throughout the tropics and preferentially in the eastern equatorial region, accompanied by strengthened trade
 125 winds (Figure 3c). These effects largely cancel over the eastern Pacific in the full forcing ensemble, but some
 126 GHG-driven warming does persist over the warm pool and the southeastern tropics (Figure 3a). Interestingly,
 127 there does not seem to be a coherent change in trade wind strength across the basin in the full forcing ensemble;
 128 westerly anomalies persist only roughly to the dateline. We also note that the warming of the southeastern tropics
 129 in these ensembles contrasts with previous studies of 21st century SST pattern formation (*i.e.* Xie et al. (2010)),
 130 in which the trades strengthen in this region and lead to reduced warming.

131 In the remaining LME ensembles (Figure 3d-g), changes to 20th century mean circulation are much smaller.
 132 The land use/land cover ensemble (Figure 3d) shows a slight cooling and easterly wind anomalies in the western
 133 Pacific, and an antisymmetric wind stress pattern in the eastern Pacific subtropics with northeasterly (northwest-
 134 erly) anomalies in the Northern and Southern Hemispheres, respectively. The causes for this pattern are left for
 135 future investigation, but likely relate to cooling of the North American continent (not pictured). Volcanic forcing
 136 also creates 20th century changes, preferentially cooling the eastern Pacific and strengthening the equatorial
 137 trades (Figure 3g). Minimal SST changes are apparent in the solar and orbital ensembles (Figure 3e,f).

138 Changes to the vertical structure of the ocean are also apparent as a result of 20th century climate change.
 139 Figure 4 shows the difference in annual-mean temperature averaged over 2°S - 2°N , between the 20th c. and
 140 pre-industrial periods for the LME simulations. The GHG ensemble clearly demonstrates an increase in vertical
 141 stratification, with surface-intensified warming particularly apparent in the eastern equatorial Pacific (Figure
 142 4b). In contrast, the O3AER ensemble shows an overall cooling intensified at the surface, which creates a net
 143 decrease in thermal stratification (Figure 4c). The full-forcing ensemble once again shows a result tending to
 144 indicate the increased efficacy of GHG forcing (Figure 4a); warming at the surface persists, particularly in the
 145 western equatorial Pacific. Vertical temperature changes in all other ensembles are significantly smaller (Figure
 146 4d-g), indicating that stratification changes are less likely to play a role in modulating ENSO diversity in these
 147 ensembles (see also Section 5). We note that the temperature changes near 150-200m in both the GHG and
 148 O3AER ensembles tend to oppose the tendencies at the surface (Figure 4b,c). This is a known feature of ocean
 149 circulation responses to forcing in coupled climate models, and relates to changes in the subtropical cell; under
 150 greenhouse-induced heating, the subtropical cell has been shown to slow as a result of the decrease in wind stress
 151 curl in previous versions of the CESM (Stevenson et al., 2012a), leading to reduced transport of warm water to
 152 this location. As the patterns of wind stress change in the O3AER ensemble tend to oppose the GHG-induced
 153 anomalies, we expect that the heating near 200m in this ensemble is a result of a corresponding increase in
 154 subtropical cell circulation.

155 20th century changes to the seasonal cycle of the equatorial Pacific (2°S - 2°N) are shown for each ensemble in
 156 Figure 5. The pre-industrial climatologies are similar across ensembles, with eastern Pacific SST at its minimum
 157 in October. However, changes to seasonality across ensembles differ dramatically: as was the case for mean SST
 158 (Figure 3), greenhouse gas and ozone/aerosol emissions lead to the strongest signals and come close to canceling
 159 one another. In both cases, the largest temperature difference occurs in boreal spring: cooling from ozone/aerosol
 160 emissions appears to dominate over GHG warming in the eastern Pacific in the full forcing ensemble (Figure 5b).
 161 In the other ensembles, the strongest changes to seasonality are observed in the orbital and solar forcing cases,
 162 both of which show an overall increase in zonal SST gradient (Figure 5j,l) which is enhanced during boreal spring
 163 (solar) and fall (orbital).

164 4 Changes to ENSO Diversity

165 We next examine changes to ENSO behavior through metrics of ENSO diversity. The identification of El Niño
 166 events as ‘Eastern’ or ‘Central’ may be done by many different methods; to ensure robustness, we have applied
 167 multiple metrics, following several definitions:

-
- 168 – The index-based method of Yeh et al. (2009): El Niño events are identified as periods in which the Oceanic
169 NINO Index (ONI) value is above 1σ , where the ONI is defined as the 3-month running SST anomaly over the
170 NINO3.4 region (5°S - 5°N , 120° - 170°W), relative to a moving 30-year climatology. Events with ONI above
171 1σ are then classified into Eastern or Central types according to whether the temperature is larger in NINO3
172 (5°S - 5°N , 90 - 150°W) or NINO4 (5°S - 5°N , 160°E - 150°W).
 - 173 – The definition of Kug et al. (2010), which is similar to the Yeh et al. (2009) version but defines Eastern
174 and Central Pacific El Niño events simply on the basis of having NINO3 and NINO4 DJF SSTA above 1σ ,
175 respectively. Here we have applied a bias correction to this definition following Capotondi (2013), in which
176 the region boxes used are shifted west by 20° to compensate for structural biases in the mean fields of SST
177 simulated by climate models.
 - 178 – The EOF-based definition of Kao and Yu (2009), in which the Eastern and Central Pacific El Niño structures
179 are identified by taking the leading EOF mode after removal by linear regression of influences from other
180 regions: to isolate Eastern Pacific El Niño, NINO4 is regressed out to emphasize Eastern Pacific variability,
181 and to isolate Central Pacific El Niño, NINO1+2 (0 - 10°S , 90 - 80°W) is removed. For consistency the EP and
182 CP modes are calculated through EOF analysis using the LME 850 control. These modes were then used for
183 all simulations. The corresponding EP and CP indices were computed by projecting the SST fields for each
184 simulation on the EP and CP patterns. All El Niño events were first identified by requiring that the ONI
185 index exceed 1σ during DJF, and classified into EP or CP based on the relative values of the EP and CP
186 indices during DJF.

187 Figure 6 shows the relative proportions of EP and CP El Niño events identified using all of the above metrics,
188 for the pre-industrial and 20th century portions of the LME ensembles. In all cases, the CP El Niño events are
189 much less frequent than the EP, in contrast to previous versions of the CESM (Stevenson et al., 2012a) and
190 in relatively good agreement with 20th century observations (Yeh et al., 2009). Changes to event proportions
191 between the 20th century and pre-industrial are fairly small in all ensembles - in the full forcing case, no significant
192 changes are observable using any metric, and the same is true for volcanic and solar forcing ensembles. In some
193 ensembles, there are detectable changes to event proportions, but the magnitude of pre-industrial/20th century
194 differences are strongly metric-dependent. Land use/land cover changes and greenhouse gas increases both lead to
195 increases in CP El Niño fraction by a single metric: for land use/land cover, Kao and Yu (2009) and for greenhouse
196 gases, Yeh et al. (2009). The only two ensembles which show changes in event fraction consistently across multiple
197 metrics are the orbital and O3AER, which both tend to enhance the CP:EP El Niño ratio according to the Kao
198 and Yu (2009) and Yeh et al. (2009) metrics. In the O3AER ensemble, the increased equatorial trade winds are
199 associated with a stronger zonal thermocline gradient (not pictured). Thus the enhanced 20th century CP El
200 Niño frequency is consistent with previous work showing a link between thermocline gradient and CP proportion
201 (McPhaden et al., 2011; Capotondi and Sardeshmukh, 2015). The orbital influence is not associated with a strong
202 mean-state change, but may relate to the enhanced Eastern Pacific cooling during boreal fall (Figure 5j) which
203 preferentially terminates CP El Niño and could potentially allow these events to recur more frequently.

204 Although the results in Figure 6 do depend on metric, for a more detailed examination of the mechanisms for
205 ENSO flavor shifts it is necessary to choose a single definition. Here we adopt the Kao and Yu (2009) metric, as
206 it incorporates a more physically meaningful definition of El Niño *via* the use of EOF modes from the LME 850
207 control. Composite evolution patterns for equatorial Pacific SSTA during EP and CP El Niño years are shown in
208 Figures 7 and 8 for each ensemble; composites are calculated for a period ± 24 months relative to the January
209 corresponding to the DJF period in which the event peaks (hereafter ‘Year 0’). Here both the differences between
210 the pre-industrial portions of the forced ensembles with the 850 control (Figure 7, 8 left-hand panels) and the
211 difference between the 20th century and pre-industrial portions of each ensemble (Figure 7, 8 right-hand panels)
212 are shown. EP El Niño evolution is not significantly altered in the full forcing 20th century ensemble (Figure
213 7b,c) but examining the remaining panels shows that this lack of response results from compensation between
214 multiple, fairly strong, influences from individual forcings. Greenhouse gas increases enhance the termination of
215 EP El Niño events and strengthen the La Niña events which follow (Figure 7e). In contrast, the O3AER ensemble

216 shows more persistent 20th century EP El Niño events and weaker subsequent La Niña development (Figure 7g),
 217 although changes become significant only in the western Pacific roughly 12 months following the event peak.
 218 The strongest influence on the peak phase of EP El Niño events is actually land use/land cover changes (Figure
 219 7h,i), which strongly enhance SST anomalies in the eastern Pacific. Orbital, solar, and volcanic forcings have
 220 much weaker influences on EP El Niño; although slight reductions in peak SSTA appear in the solar and volcanic
 221 ensembles, this cooling is largely insignificant.

222 Forced changes to CP events are quite distinct from the CP patterns (Figure 8). Once again the greenhouse
 223 gas (Figure 8e) and O3AER (Figure 8g) ensembles show SST anomalies which oppose one another; in this case,
 224 GHG increases lead to more persistent CP El Niño and ozone/aerosol emissions enhance CP El Niño termination
 225 while reducing the peak strength of the event. However, for CP El Niño events the strongest control appears to
 226 be orbital forcing (Figure 8j,k): strong negative eastern Pacific SST anomalies are created by 20th century orbital
 227 changes, preferentially terminating CP El Niño events. When all forcings are included, the combined influence
 228 of orbital forcing and ozone/aerosol emissions seems to outweigh the GHG impact on CP El Niño events, as
 229 evidenced by the negative SSTA at 10 months post-event peak in the full forcing ensemble (Figure 8c).

230 5 Mixed-Layer Heat Budget

231 To examine the mechanisms for changes to El Niño properties in the LME simulations, we have performed a
 232 mixed-layer heat budget analysis over the equatorial Pacific. The formulation of this heat budget follows Graham
 233 et al. (2014):

$$\frac{\partial T'}{\partial t} = Q' - \bar{u} \cdot \nabla T' - u' \cdot \nabla \bar{T} - u' \cdot \nabla T' + \overline{u' \cdot \nabla T'} - w' \frac{(T'_{MLD} - \bar{T}_{sub})}{H} - \bar{w} \frac{T'_{MLD} - T'_{sub}}{H} - w' \frac{(T'_{MLD} - T'_{sub})}{H} \quad (1)$$

234 where T_{MLD} indicates the temperature within the mixed layer, T_{sub} the temperature immediately below the
 235 mixed layer, Q the net surface shortwave heat flux, and overbars indicate 12-month climatologies in the relevant
 236 variable and primes the deviations from those climatologies. The mixed-layer depth H used here is that of Large
 237 et al. (1997), who define the mixed layer as the shallowest layer where the local, interpolated buoyancy gradient
 238 is equal to the maximum gradient between the surface and any arbitrary depth within the water column. We note
 239 that the mixed layer depth is specified as a fully spatially and temporally variable field, rather than a fixed depth
 240 value as has been applied in previous studies (Kug et al., 2010; Di Nezio et al., 2012; Capotondi, 2013). We find
 241 that this is the most physically accurate method of specifying mixed-layer depth, but does lead to a significant
 242 increase in the magnitude of the upwelling feedback ($w' \frac{\partial T'}{\partial z}$) relative to the thermocline feedback ($\bar{w} \frac{\partial T'}{\partial z}$) found
 243 to dominate in those studies. Part of the reason for this difference is that the Large et al. (1997) definition tends
 244 to give relatively deep MLD values, which damps the thermocline feedback term.

245 Here entrainment into the mixed layer is represented using the entrainment velocity

$$w = \frac{\partial H}{\partial t} + \mathbf{u} \cdot \nabla H + w_H \quad (2)$$

246 where w_H is the vertical velocity immediately below the mixed layer. The degree of shortwave flux penetrating
 247 the mixed layer is calculated following Pacanowski and Griffies (1999); Huang et al. (2010):

$$Q_{pen} = Q_{sw} (0.58 e^{\frac{-H}{0.35}} + 0.42 e^{\frac{-H}{23}}) \quad (3)$$

248 Results from the heat budget analysis are shown in Figures 9 and 10; here the major budget terms are
 249 composited over all EP and CP El Niño events, for the period 24 months prior to 24 months after the January of
 250 the DJF in which the event peaks ('Year -2' to 'Year +1'). We follow the conventions adopted by Capotondi (2013),
 251 averaging budget terms over 2.5°S-2.5°N, 190-250°E ('NINO3m') and 2.5°S-2.5°N, 140-190°E ('NINO4m') for
 252 EP and CP El Niño events, respectively. We also apply an 18 month - 7 year bandpass filter to the time series of all

budget terms prior to compositing, in order to isolate the interannual variability; the results are not dramatically sensitive to the application of the filter. Space limitations do not allow display of all budget terms, but generally speaking the dominant balance for EP El Niño events is between the upwelling, zonal advective ($u' \frac{\partial T}{\partial x}$), and surface heat flux feedbacks for both types of event. The upwelling feedback is stronger during EP events (Figure 9) than CP (Figure 10), but in both cases the zonal advective feedback tends to create more heating than the upwelling feedback. We also note that the surface flux feedback term does change in response to forcing (not pictured), which appears to relate primarily to shifts in the net heat flux damping in response to changes in the magnitude of SST anomalies. Thus, we believe that the surface flux term reflects a response to changes in other terms in the heat budget, rather than being a causal factor in ENSO evolution.

Comparison of the colored envelopes in Figures 9 and 10 illustrates the changes taking place as a result of external forcing. Under greenhouse forcing, the upwelling feedback after the peak of El Niño (a negative value) becomes more negative in the 20th century relative to the pre-industrial (Figure 9c). This occurs at the time in the ENSO cycle (5-10 months after El Niño peak) when Figure 7d showed an enhanced tendency for El Niño termination, indicating that the enhanced vertical stratification (Figure 4b) is creating more efficient cooling when upwelling resumes following peak El Niño. In the ozone/aerosol ensemble, the opposite response is seen in the upwelling feedback term (Figure 9e); the lower vertical stratification (Figure 4c) leads to less efficient El Niño termination in the 20th century due to aerosol impacts.

Figure 9h also reveals that the land use/land cover-induced amplification of 20th century EP El Niño events is caused by an enhanced zonal advective feedback prior to the event peak. The changes to the mean SST gradient due to land use are small (Figure 9d); the strengthened zonal advective feedback relates to enhanced zonal current anomalies during El Niño development (not pictured). The causes for the enhanced current anomalies are not clear, but may relate to preferential western Pacific cooling during boreal summer (Figure 5h) and the associated reduction in trade wind strength; weaker overall trade winds are expected to allow more efficient excitation of anomalous currents through wind stress anomalies.

Changes to CP El Niño evolution under 20th century forcing, although smaller than their EP counterparts, can also be explained through changes to the upwelling and zonal advective feedbacks. The strongest response is seen in the orbital ensemble, in which the zonal advective feedback is much more strongly negative 6-10 months following the event peak during the 20th century (Figure 10j) as a result of orbitally induced cooling in the Eastern Pacific during boreal fall (Figure 5j). Greenhouse gas forcing leads to the zonal advective feedback remaining positive for longer following El Niño peak (Figure 10d), which causes the observed slight enhancement in CP event persistence in Figure 10d (although the significance of both changes is quite low). Ozone/aerosol forcing has the opposite effect, leading to negative anomalies in both the zonal advective and upwelling feedbacks post-event peak (Figure 10e,f). As the zonal SST gradient is reduced under 20th century forcing in the GHG ensemble and is increased in the O3AER ensemble, one would expect that this should lead to weakening of the zonal advective term in the GHG and strengthening in O3AER, respectively - the opposite of what is observed in Figure 10e,f. Anomalous currents are again responsible for the zonal advective feedback responses, and we hypothesize that stronger equatorial trades in the O3AER ensemble suppress the ability of wind anomalies to excite these anomalous currents.

6 Conclusions

This study has analyzed simulations performed as part of the CESM Last Millennium Ensemble to identify the relative contributions of natural and anthropogenic forcing to 20th century changes to ENSO diversity. The major controls on mean climate are greenhouse gas-driven warming and tropospheric ozone/aerosol-driven cooling during the 20th century, and volcanic eruptions during the pre-industrial period, with some contribution from solar irradiance and land use changes. However, although mean temperature varies considerably, no strong trends in ENSO amplitude are apparent in the 20th century relative to the last millennium. This may indicate

Table 1 Simulations in the Last Millennium Ensemble analyzed for the present study.

Ensemble Name	# Simulations
Full forcing	13
Greenhouse gas only	3
Ozone/aerosol only	5
Land use/land cover only	3
Solar only	4
Orbital only	3
Volcanic only	5

298 either an underestimate of reconstructed ENSO variance trends (Cobb et al., 2013; McGregor et al., 2010) or the
 299 importance of internal variability in the ENSO system.

300 Forced changes to ENSO diversity are apparent in the LME, despite the lack of ENSO amplitude response.
 301 Changes are generally apparent only in the single-forcing ensembles, as the combined influence of forcing factors
 302 tends to cancel the majority of the signal in the full-forcing ensemble. The relative proportion of CP and EP El
 303 Niño events increases due to both anthropogenic ozone/aerosol emissions and orbital forcing changes; all other
 304 forcings show either a null response or one which is inconsistent in sign across definitions of CP vs. EP events.
 305 Notably, greenhouse gas increases do not appear to favor the development of CP El Niño events, in contrast with
 306 previous studies (Yeh et al., 2009).

307 The evolution patterns of EP and CP El Niño events respond to 20th century forcings, although again
 308 exhibiting a tendency to cancel one another in the full forcing ensemble. EP El Niño events are preferentially
 309 terminated under GHG increases, due to more efficient upwelling-induced cooling in a more strongly stratified
 310 equatorial eastern Pacific. The reverse is true for ozone/aerosol forcing, as stratification reductions inhibit EP
 311 El Niño termination via the upwelling feedback. Land use/land cover changes also strongly amplify EP El Niño
 312 events. This takes place by enhancing the zonal advective feedback during El Niño development, a result of
 313 stronger westerly current anomalies.

314 Central Pacific El Niño events also exhibit compensating influences from GHG and O3AER forcing, but are
 315 most strongly affected by orbital changes. GHG increases lead to a slight and marginally significant enhance-
 316 ment in the post-El Niño peak zonal advective feedback, while ozone/aerosol forcing has the opposite effect.
 317 This appears related to enhanced zonal current anomalies in regimes of reduced zonal SST gradient, although
 318 the mechanism for this relationship is still unclear. Orbital forcing alters the seasonal cycle of equatorial SST,
 319 preferentially cooling the eastern Pacific during boreal winter and spring; this enhances the zonal SST gradient
 320 during El Niño termination and leads to a much more strongly negative zonal advective feedback at that time.
 321 Both this and the EP El Niño results highlight the potential for natural forcing to affect ENSO diversity, even
 322 in strongly anthropogenically perturbed climates.

323 These results cannot be generalized without replication using additional climate models, but suggest the
 324 potential importance of multiple forcing factors to ENSO diversity projections under climate change. For instance,
 325 the treatment of aerosols varies widely from model to model, both in terms of the physical properties of emitted
 326 particles and in the simulation of aerosol evolution. Many climate change projections also use a fixed, pre-
 327 industrial orbital configuration, and the implementation of land use/land cover changes is well known to differ
 328 dramatically across models. Our results indicate that these effect may have strong implications for ENSO diversity
 329 responses, and for the ability of current multi-model experiments to properly isolate the mechanisms for those
 330 responses. It is not clear whether the cancellation between forcings in the LME is a behavior unique to CESM -
 331 if not, small differences in modeled sensitivities to different forcings might be expected to lead to large changes
 332 in ENSO diversity projections. We recommend further investigation into the contribution of individual natural
 333 and anthropogenic forcings to future projections of ENSO characteristics.

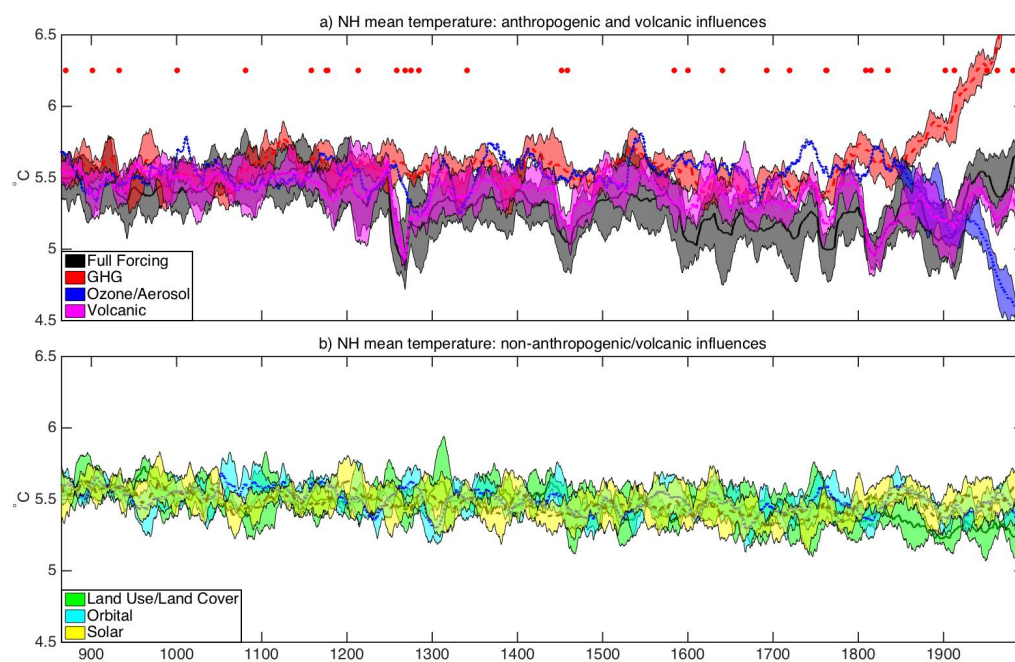


Fig. 1 Northern Hemisphere mean temperature time series for LME ensembles forced by a) greenhouse gas, ozone/aerosol, and volcanic influences, as well as all forcings combined; and b) land use/land cover, orbital changes, and solar irradiance. Filled red circles in panel a) indicate the occurrence years for major volcanic eruptions over 850-2005.

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338 agencies.

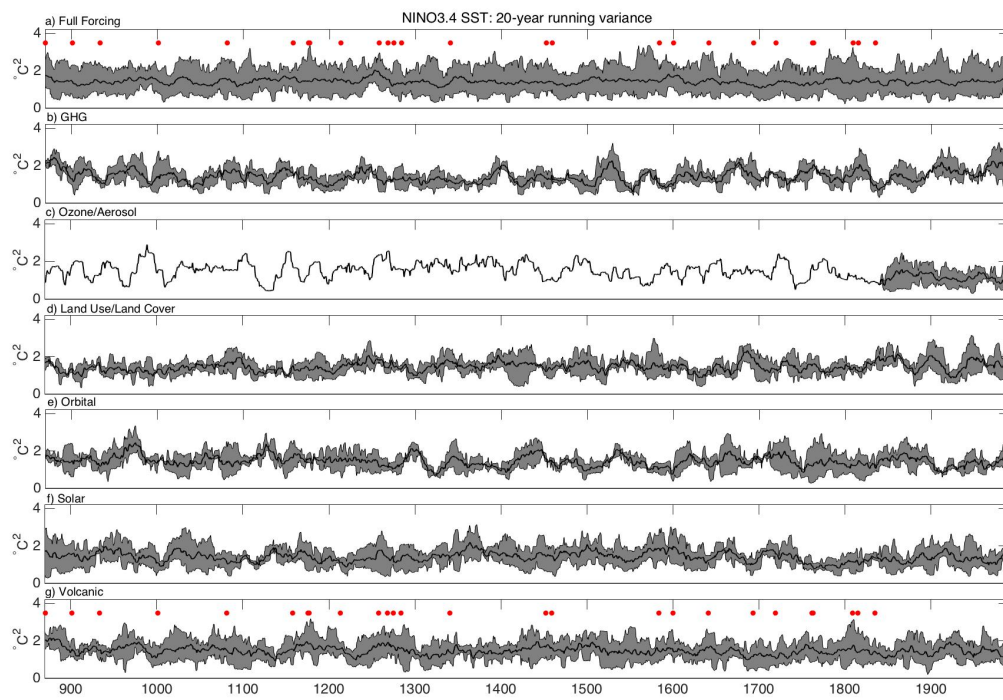


Fig. 2 20-year running variance in NINO3.4 SSTA in the LME ensembles. a) Full forcing, b) greenhouse gas only, c) ozone and anthropogenic aerosol only, d) land use/land cover only, e) orbital only, f) solar only, and g) volcanic only. As in Figure 1, filled red circles indicate major volcanic eruptions.

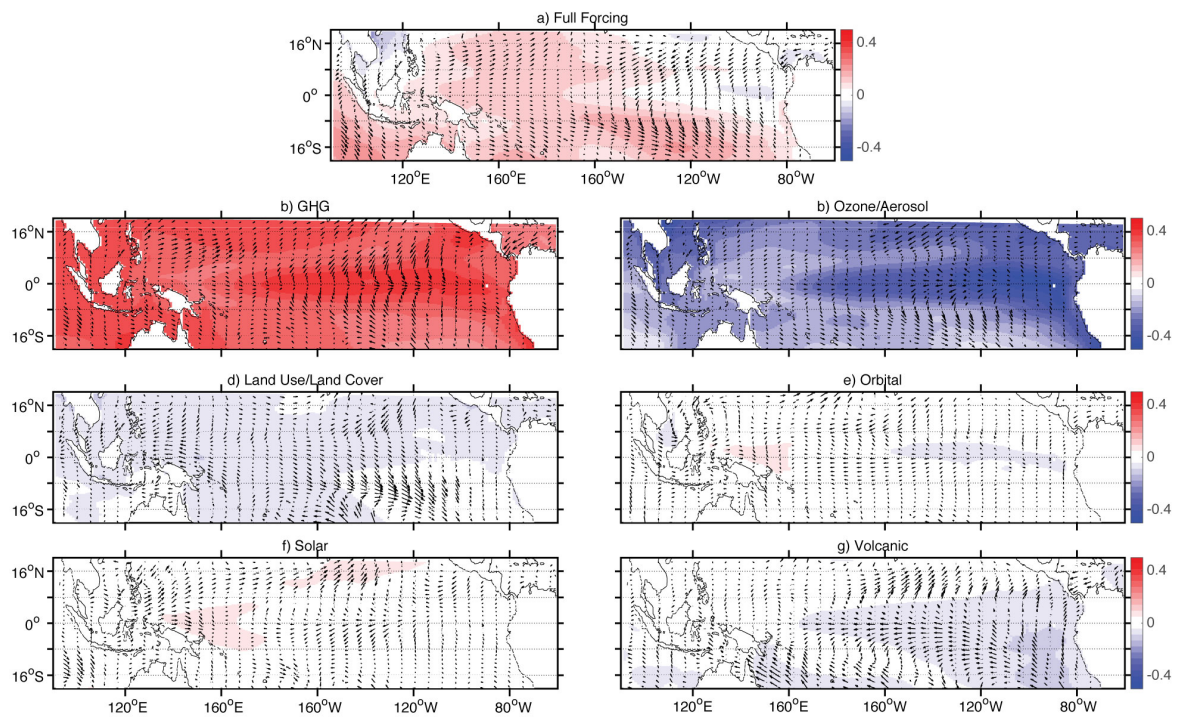


Fig. 3 Differences in annual-mean SST ($^{\circ}\text{C}$; colors) and wind stress (m/s ; arrows) between the 20th century and pre-industrial period, for the LME a) full forcing, b) GHG-only, c) ozone/aerosol only, d) land use/land cover only, e) orbital only, f) solar only, and g) volcanic only ensembles.

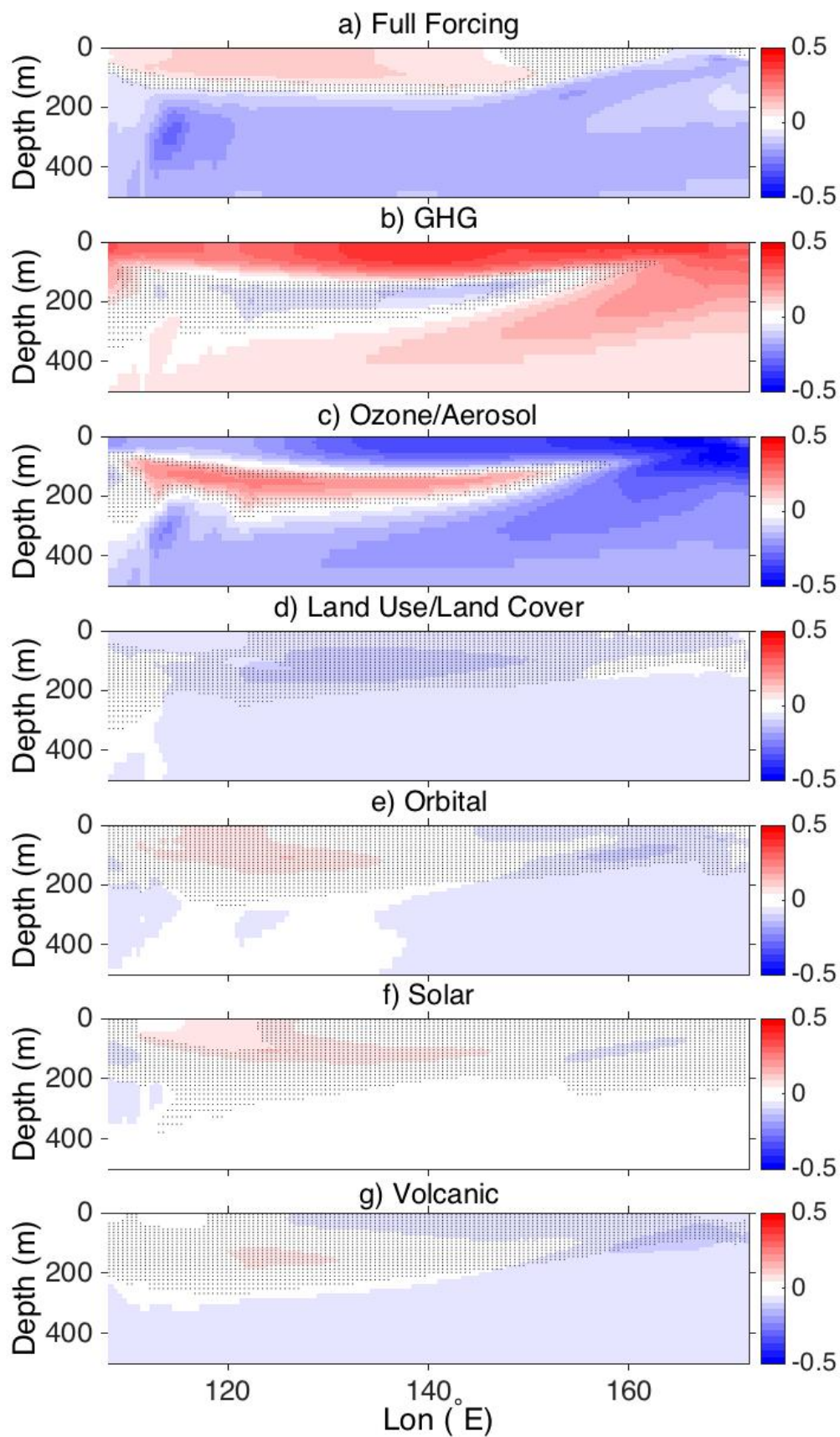


Fig. 4 Differences in equatorially averaged annual temperature ($^{\circ}\text{C}$) between the 20th century and pre-industrial period, for the LME a) full forcing, b) GHG-only, c) ozone/aerosol only, d) land use/land cover, e) orbital, f) solar, and g) volcanically forced ensembles. Stippling indicates differences between the 20th century and pre-industrial insignificant at 90% using a Wilcoxon rank-sum test.

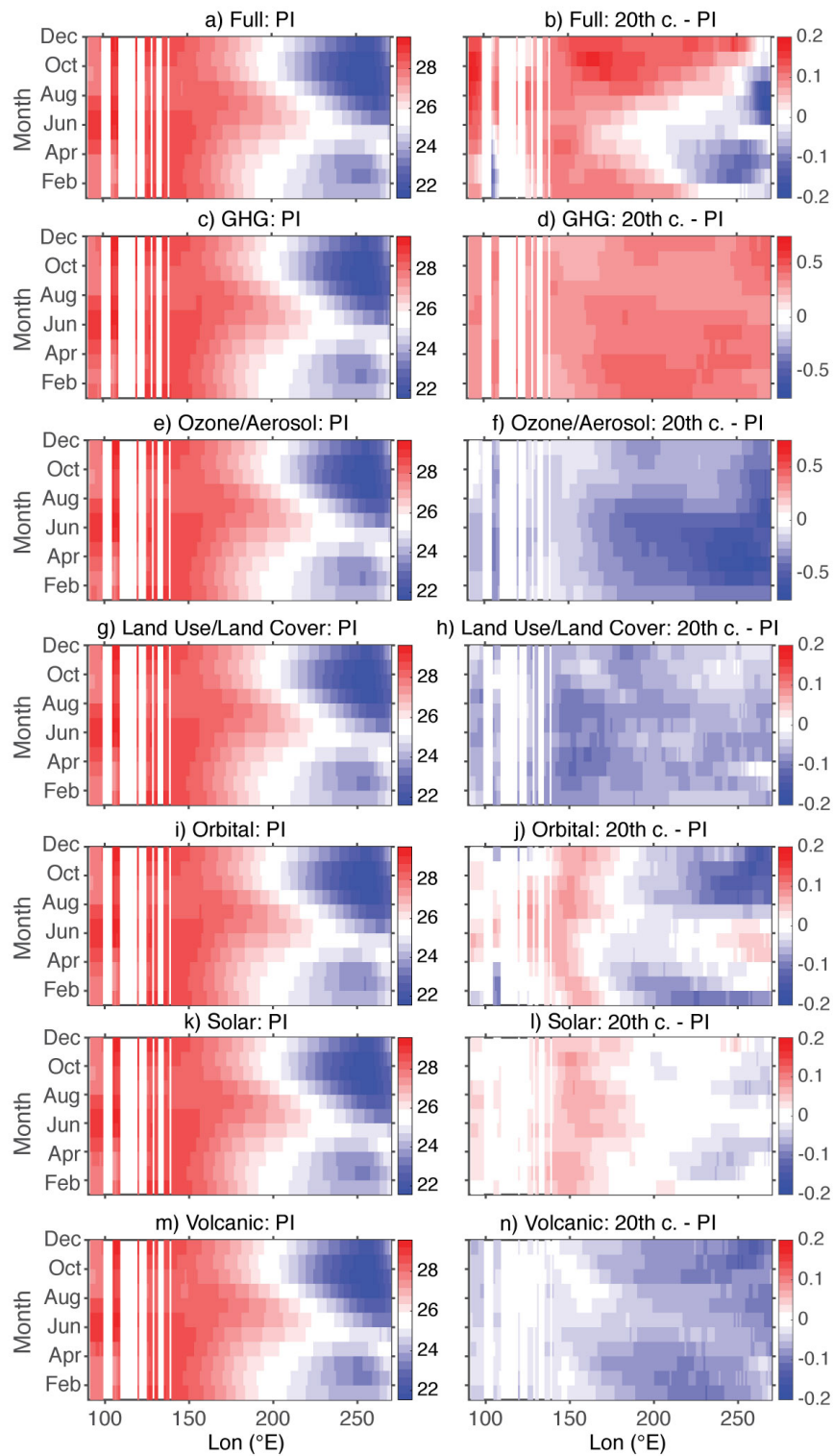


Fig. 5 Composite equatorial (2°S - 2°N) sea surface temperature versus calendar month over the pre-industrial period (left column) and the difference between the 20th century and pre-industrial (right column), for the LME a)-b) full forcing, c)-d) GHG-only, e)-f) ozone/aerosol only, g)-h) land use/land cover, i)-j) orbital, k)-l) solar, and m)-n) volcanically forced ensembles.

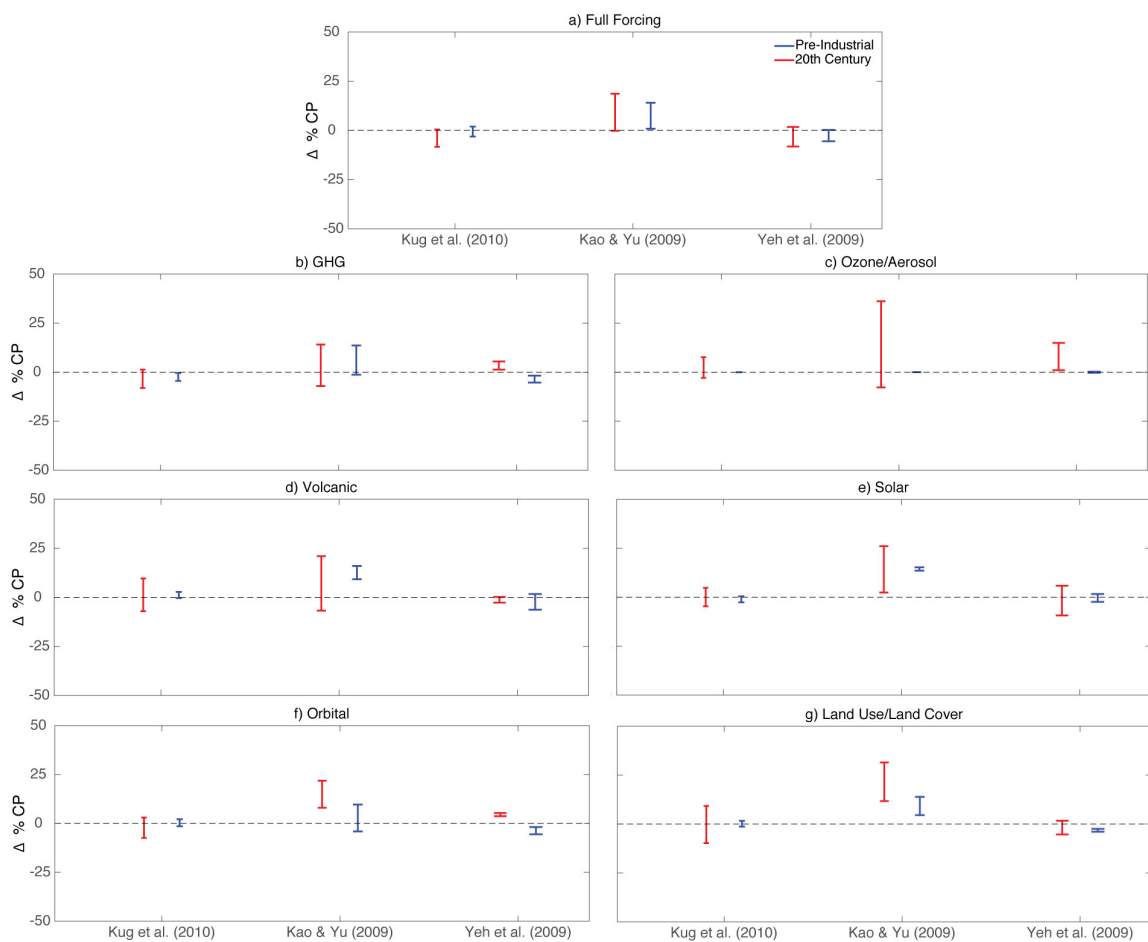


Fig. 6 Proportion of CP El Niño events in the LME ensembles during the pre-industrial period (850-1849; blue) and the 20th century (1850-2005; red), using the ENSO diversity metrics described in the main text: the index-based methods of Kug et al. (2010) and Yeh et al. (2009), and the EOF-based method of Kao and Yu (2009). Bars indicate the interquartile range associated with CP El Niño occurrence frequency for each metric, and all frequencies are expressed as differences relative to the 850 control (CP occurrence fraction in the 850 control is 31% for the Kug et al. (2010) metric, 36% for Kao and Yu (2009), and 44% for Yeh et al. (2009)).

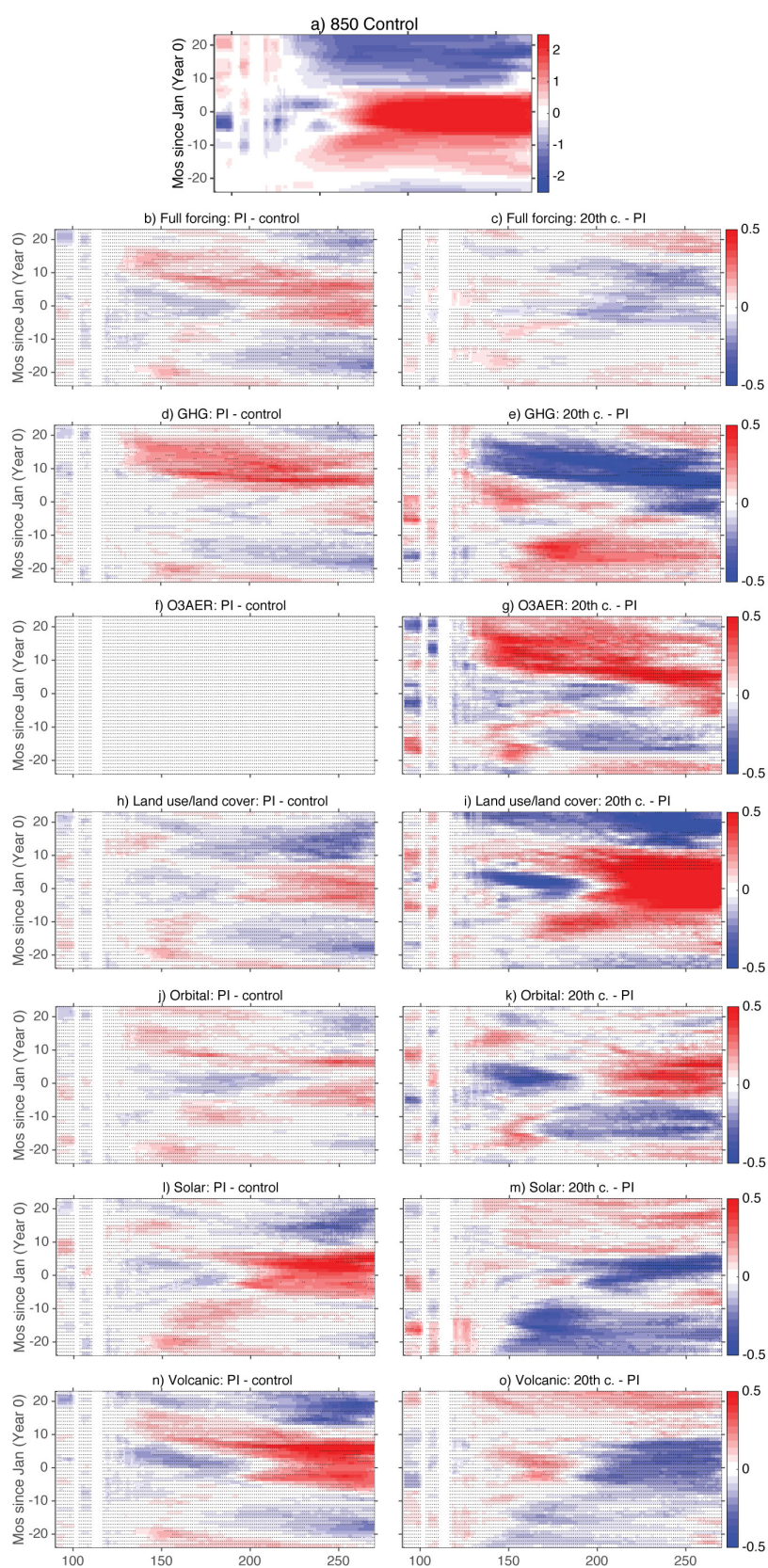


Fig. 7 Composite evolution of EP El Niño events in the LME ensembles, shown using a Hovmoeller diagram of SSTA over 2°S - 2°N . Panel a) shows EP El Niños in the 850 control simulation; subsequent left-hand panels (b,d,f,h,j,l,n) show differences between the pre-industrial portions of the forced LME ensembles relative to the control, and right-hand panels (c,e,g,i,m,o) show differences between the 20th century and pre-industrial portions of individual LME ensembles. Stippling indicates that a Wilcoxon rank-sum test at that grid point resulted in SST anomalies indistinguishable from one another at 90% significance. Panel f) is blank since the 850 control is used as the pre-industrial portion of the O3AER ensemble.

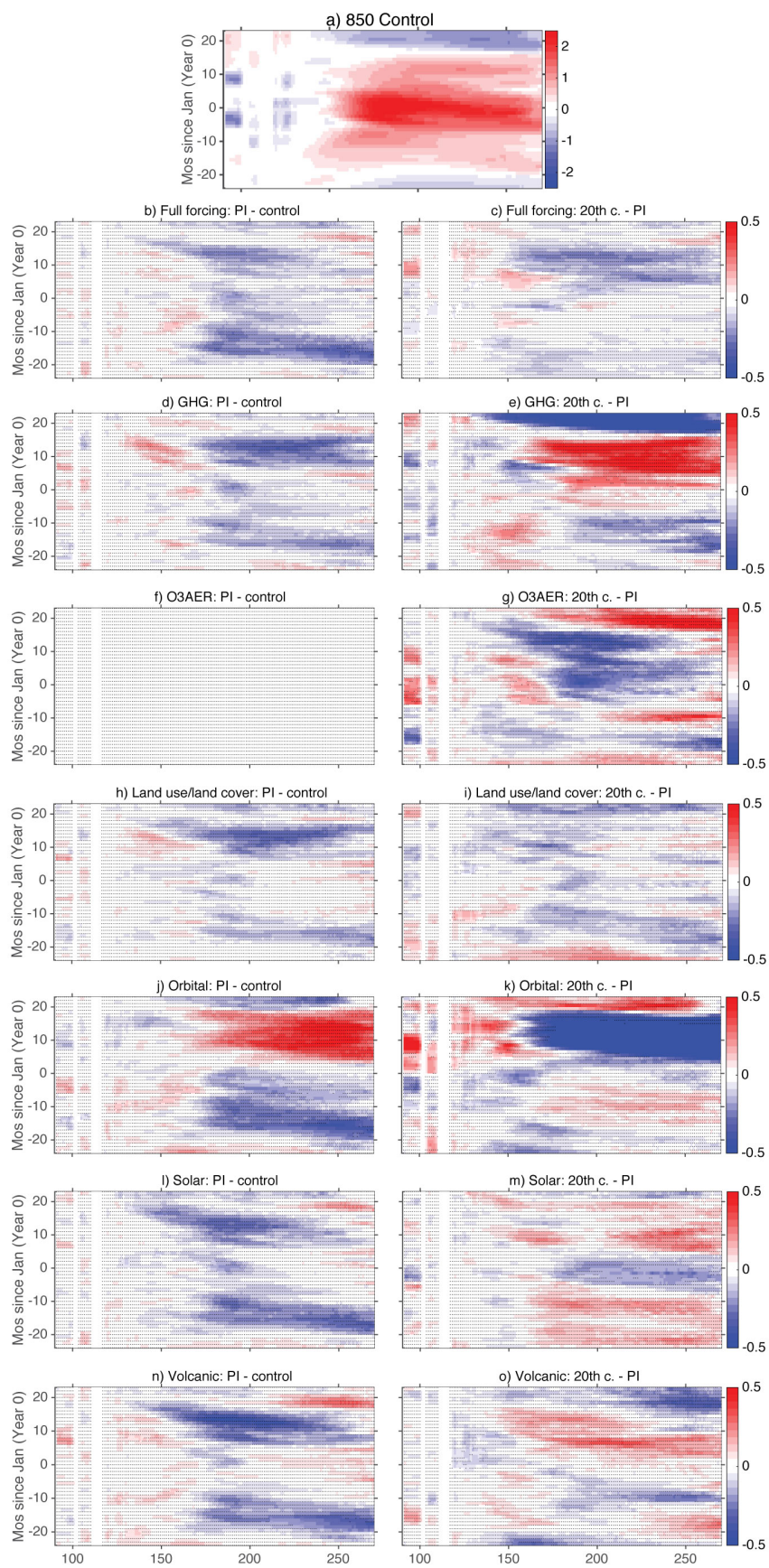


Fig. 8 Same as Figure 7, for CP El Niño events.

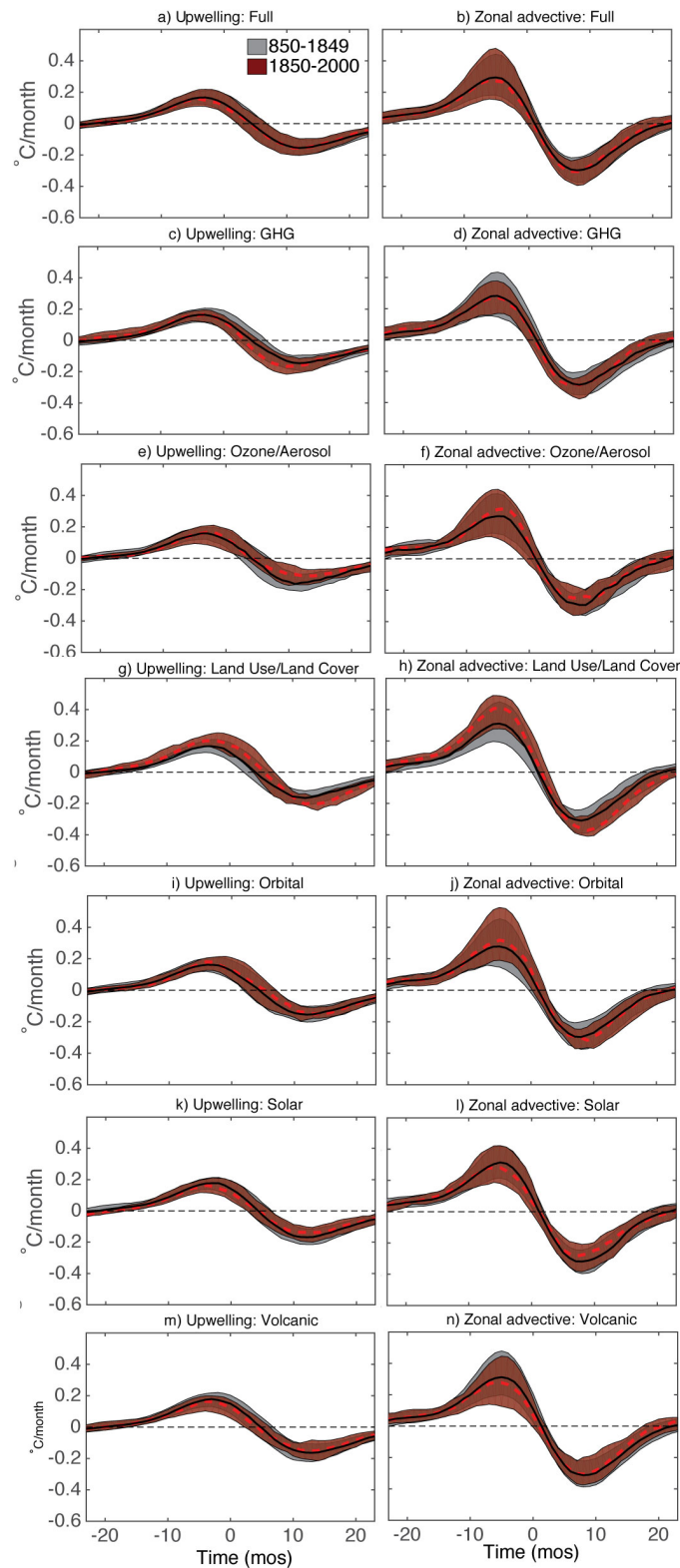


Fig. 9 Composite evolution of the upwelling feedback (left) and zonal advective feedback (right) terms in the mixed-layer heat budget for the pre-industrial (gray) and 20th century (red) portions of the LME ensembles. All budget terms have been averaged over the ‘NINO3m’ region (2.5°S-2.5°N, 190-250°E), and composited over Eastern Pacific El Niño events as defined by the metric of Kao and Yu (2009). Time is given in units of months since January of the year in which the El Niño peaks (‘Year 0’). Envelopes indicate the interquartile range associated with feedbacks as a function of time, and the black solid and red dashed lines the medians during the pre-industrial and 20th century, respectively.

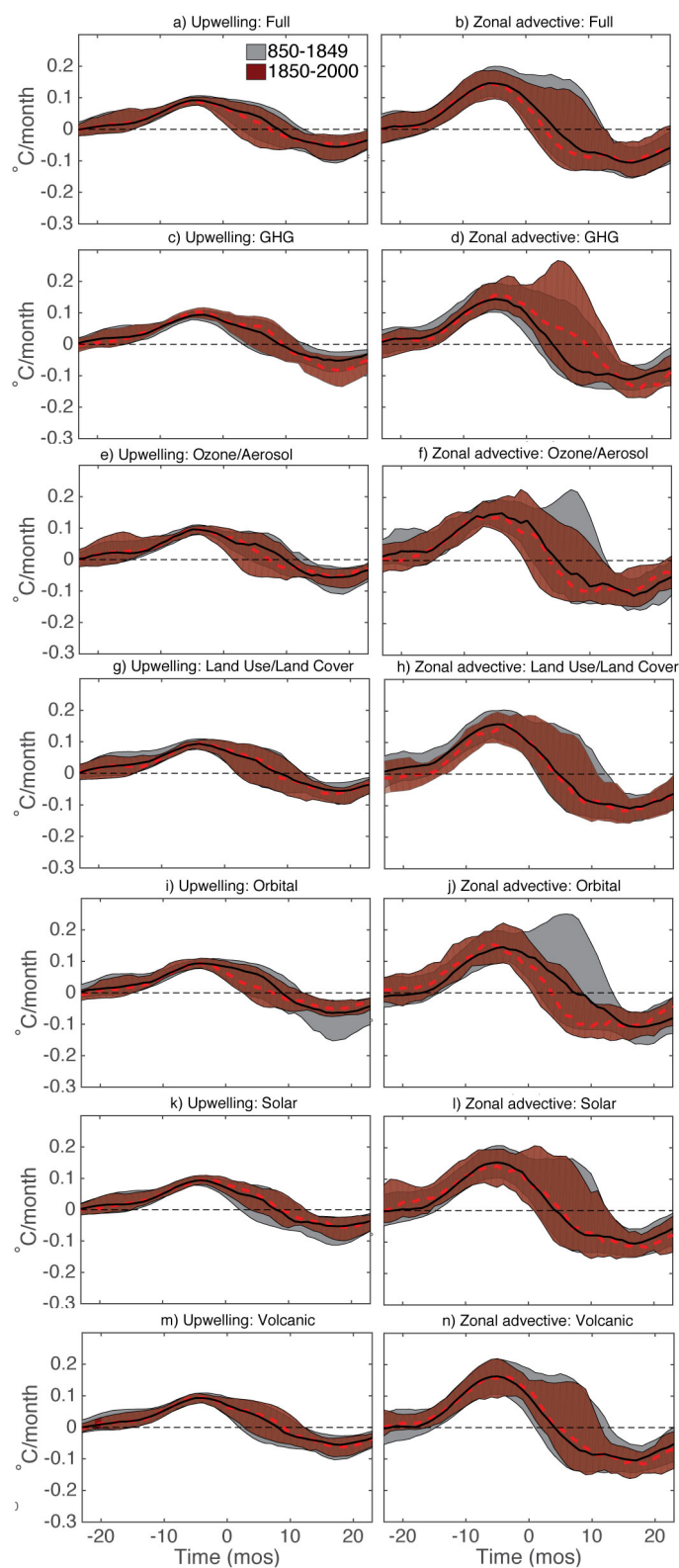


Fig. 10 Same as Figure 9, for Central Pacific El Niño events. Here budget terms have been averaged over the ‘NINO4m’ region (2.5°S-2.5°N, 140-190°E).

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