

1 **Tropospheric circulation during the**
2 **early twentieth century Arctic**
3 **warming**

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27 **Abstract**

28 The early twentieth century Arctic warming (ETCAW) between 1920-1940 is an
29 exceptional feature of climate variability in the last century. Its warming rate was only
30 recently matched by recent warming in the region. Unlike recent warming largely
31 attributable to anthropogenic radiative forcing, atmospheric warming during the
32 ETCAW was strongest in the mid-troposphere and is believed to be triggered by an
33 exceptional case of natural climate variability. Nevertheless, ultimate mechanisms and
34 causes for the ETCAW are still under discussion.

35 Here we use state of the art multi-member global circulation models, reanalysis and
36 reconstruction datasets to investigate the internal atmospheric dynamics of the
37 ETCAW. We investigate the role of boreal winter mid-tropospheric heat transport and
38 circulation in providing the energy for the large scale warming. Analyzing sensible
39 heat flux components and regional differences, climate models are not able to
40 reproduce the heat flux evolution found in reanalysis and reconstruction datasets.
41 These datasets show an increase of stationary eddy heat flux and a decrease of
42 transient eddy heat flux during the ETCAW. Moreover, tropospheric circulation
43 analysis reveals the important role of both the Atlantic and the Pacific sectors in the
44 convergence of southerly air masses into the Arctic during the warming event.
45 Subsequently, it is suggested that the internal dynamics of the atmosphere played a
46 major role in the formation in the ETCAW.

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55 **1. Introduction**

56 Global mean temperature increased by ca. 0.5° C between 1910 and 1945 ([Hansen et](#)
57 [al. 2010](#)), a phenomenon known as "early twentieth century warming". Although
58 anthropogenic forcing contributed ([Bindo et al. 2013](#)), unusual internal variability is
59 normally held responsible ([Delworth and Knutson 2000](#)), which some have related to
60 increasing North Atlantic sea-surface temperatures ([Schlesinger and Ramankutty](#)
61 [1994](#)). Recent work also has pointed to possible tropical Pacific influences
62 ([Thompson et al. 2015](#)).

63 The early twentieth century warming was characterized by concurrent regional
64 warming episodes ([Brönnimann 2009](#)), the most pronounced of which was strong
65 warming of the Arctic from the late 1910s to the 1940s, here called "early twentieth
66 century Arctic warming" (ETCAW). Palaeoclimatic data suggest that, until the
67 beginning of the 21st century, the ETCAW was unique in magnitude and rate for at
68 least the last 1500 years in the Arctic domain ([Kaufman et al. 2009](#), [2k Consortium](#)
69 [2013](#), [Opel et al. 2013](#)). Understanding the ETCAW and its links to global climate
70 and the oceans might therefore unravel important mechanisms in the climate system.
71 One objective of this paper is to gain more insight into triggering mechanisms for the
72 ETCAW.

73 Though noticed and studied by contemporary scientists ([Birkeland 1930](#), [Scherhag](#)
74 [1939](#), [Wagner 1940](#)), the ETCAW again became a prominent research topic in the
75 1980s and 1990s in the context of global change (see [Grant et al. 2009](#) and [Wood and](#)
76 [Overland 2010](#) for a discussion of ETCAW studies). Research has been conducted
77 with sparse direct observations at the surface ([Bekryaev et al. 2010](#)), or in the upper
78 air ([Grant et al. 2009](#)), climate model experiments, and gridded reconstructions
79 ([Brönnimann et al. 2012](#)). The respective analysis of those datasets underlined the
80 exceptional nature of this event ([Wood and Overland 2010](#), [Opel et al. 2015](#)). More
81 recently, long reanalysis data sets have become available (e.g., [Compo et al. 2011](#),
82 [Poli et al. 2016](#)) that allow analyzing atmospheric circulation in more detail. In our
83 paper we make use of these new data sets and compare them with model and
84 reconstruction data.

85 Compared to the present Arctic warming, the ETCAW was mainly confined to the

86 European Atlantic sector (Scherhag 1939, Bengtsson et al. 2004, Wood and Overland
87 2010, Bekryaev et al. 2010). In the vertical, recent maxima of temperature anomalies
88 are mostly found at the surface whereas the maximum warming of the ETCAW was
89 located in the mid troposphere (Grant et al. 2009, Brönnimann et al. 2012). This
90 suggests a different role of atmospheric circulation for the two warming events (IPCC
91 2013).

92 Therefore, a variety of possible warming mechanisms are suggested in the literature.
93 It was found that during the ETCAW southerly winds into the Arctic domain
94 prevailed. This meridional windflow was strongest over the Atlantic and transported
95 warmer airmasses northwards (Wood and Overland 2010). Pressure anomalies show
96 an increase over the Eurasian sector of the Arctic landmasses and a negative anomaly
97 over Greenland and the Labrador Sea (Grant et al. 2009). Furthermore, Grant et al.
98 (2009) argue that this circulation pattern supported the aerosol transport from Central
99 Europe to the Arctic. There is evidence for an increase of sulphate aerosols in the
100 European Arctic from a Svalbard ice core. These aerosols might have led to a positive
101 feedback of the warming during winter.

102 Several studies point out a high probability of increased winter sea surface
103 temperatures (SSTs) and reduced winter sea ice cover north of 60° N during the
104 ETCAW, comparable to the situation at the end of the 20th century (Hanssen-Bauer
105 and Førland 1998, Johannessen et al. 2004, Bengtsson et al. 2004, Semenov and Latif
106 2012). Unfortunately, sea ice cover observations are sparse before 1940 and model
107 studies can only point towards tendencies. However, it remains an open question
108 whether the oceanic signals preceded atmospheric changes or vice versa.

109 Finally, internally (Polyakov et al. 2003) and externally (Overpeck et al. 1997) forced
110 low frequency cycles have been linked to the onset and peak of the ETCAW. External
111 forcing in the form of greenhouse gases is most likely not the dominant factor to the
112 ETCAW. Fyfe et al. (2013) found that in model experiments the warming between
113 1900 – 1939 can be better explained by natural forcings than by greenhouse gas
114 changes. However, other anthropogenic forcings such as aerosols may have
115 contributed.

116 Beitsch et al. (2014) investigated a 3000 year Earth System model integration and

117 analyzed the climatic conditions of 26 Arctic warming events within this simulation,
118 utilizing superposed epoch analysis. They found a triggering ocean warming signal
119 that induces atmospheric changes triggered by reduced sea ice over the Barents-Kara
120 seas. Additionally, they found a strong increase of stationary atmospheric energy
121 transport into the Arctic during the warming event, whereas transient and mean
122 meridional energy transports decrease. The authors conclude that ETCAW-like events
123 can be caused by internal (decadal) variability of the ocean and atmosphere system.

124 Therefore, the ETCAW exemplifies the importance of yearly and decadal internal
125 variability on Arctic climate. Although much research effort was spent to understand
126 the links and influences of and on the ETCAW, the ultimate cause is still under
127 discussion. The comparison of the ETCAW to the recent warming period grants a
128 chance to deepen the knowledge about the drivers of Arctic climate and recent Arctic
129 amplification of global warming (Wood and Overland 2010).

130 Here, we use state of the art, multi-member global circulation models (GCMs),
131 climate reanalysis and upper air reconstructions to examine the tropospheric dynamics
132 during the ETCAW. We extend the analysis of [Wood and Overland \(2010\)](#) and
133 [Beitsch et al. \(2014\)](#) concerning an intensified meridional circulation over the Atlantic
134 Arctic and focus on mid-tropospheric heat transport. For this we include two recently
135 published reanalysis datasets, ERA-20C and the Twentieth Century Reanalysis
136 Version 2c (20CRv2c), and assess the variability seen in these new datasets over
137 Arctic regions. We define an index to investigate Arctic circulation regimes that can
138 amplify energy transport into the Arctic domain. This allows us to compare the
139 mechanism of atmospheric Arctic warming over time.

140 This article is structured as follows. Section 2 gives an overview of the various
141 datasets analyzed. Section 3 describes the methods used. Section 4 presents the results
142 for tropospheric circulation and transportation patterns. After discussing the results in
143 section 5, conclusions are drawn in section 6.

144 **2. Data**

145 In this study we use six different datasets to assess Arctic warming and its associated
146 tropospheric dynamics. As listed below, they consist of two global circulation models,
147 three reanalysis datasets, and one statistically reconstructed upper-air dataset.

148 **2.1 Model Data**

149 To assess the relative impact of internal and external variability, we compare
150 reconstructions and reanalysis datasets with two different sets of ensemble model
151 experiments. The European Centre for Medium-Range Weather Forecasts (ECMWF)
152 integrated an ensemble of ten Integrated Forecast System (IFS) atmospheric
153 simulations for the years 1899 to 2009 at a horizontal resolution of T_L159 with 91
154 vertical levels reaching from the surface up to 1 Pa, which is known as the
155 experimental ERA-20cm version (ERA20CM). Specified sea – ice concentration and
156 sea surface temperature boundary conditions come from an ensemble of realizations
157 (HadISST.2.0.0.0), where the variability in these realizations is based on the
158 uncertainties in the observational sources used. The radiation scheme follows exactly
159 the CMIP5 protocol, including aerosols, ozone and greenhouse gases (Hersbach et al.
160 2015).

161 The second general circulation model (GCM) dataset consists of a 30 member
162 ECHAM5.4 atmosphere model (Roeckner et al. 2006) simulations spanning from
163 1599 to 2005 (Bhend et al. 2012) (CCC400). It was integrated at a triangular spectral
164 truncation of T63 and with 31 levels in the vertical up to 10 hPa. The model was
165 forced with monthly mean sea surface temperatures (SSTs) based on an annual
166 reconstruction of (Mann et al. 2009). Sea ice according to the longterm HadISST1.1
167 climatology is used before 1870 and HadISST1.1 reconstructed sea ice thereafter
168 (Rayner et al. 2003). Volcanic radiative forcing is computed online as in Jungclaus et
169 al. (2010) based on reconstructions by Crowley et al. (2008), consisting of aerosol
170 optical depth (AOD) at 0.55mm and effective particle radii in four latitude bands (see
171 Wegmann et al. (2014) for details). Furthermore, the model was forced by observed
172 greenhouse gases (Yoshimori et al. 2010), tropospheric aerosols (Koch et al. 1999),
173 total solar irradiance (Lean 2000), and land surface conditions (Pongratz et al. 2008).

174 **2.2 Reanalyses**

175 The NOAA-CIRES Twentieth Century Reanalysis V2 (20CRv2) dataset allows
176 retrospective 4-dimensional analysis of climate and weather between 1871 and 2012
177 (Compo et al. 2011). It was achieved by assimilating surface observations of synoptic
178 pressure using an Ensemble Kalman Filter assimilation system. Prescribed boundary

179 conditions are HadISST1.1 (Rayner et al. 2003) monthly SST and sea ice cover fields
180 as well as specified time-varying incoming solar radiation and concentrations of CO₂
181 and volcanic aerosols. Here we use the ensemble mean of the 56 ensemble members
182 with a spatial resolution of T62 and a 6-hourly temporal resolution. Unfortunately,
183 20CRv2 is affected by a misspecification of sea ice, which affects the atmosphere
184 (Brönnimann et al. 2012).

185 The NOAA-CIRES 20th Century Reanalysis Version 2c (20CRv2c) uses the same
186 model and assimilation system as 20CRv2 but with new sea ice boundary conditions
187 from the COBE-SST2 (Hirahara et al. 2014), new pentad Simple Ocean Data
188 Assimilation with sparse input (SODAsi.2, Giese et al. 2016) sea surface temperature
189 fields, and additional observations from ISPD version 3.2.9 (Cram et al. 2015).
190 SODAsi.2 was forced with winds and bulk fluxes from 20CRv2. SODAsi.2c is
191 generated by tapering SODAsi.2 at 60° N/S to COBE-SST2 SSTs, which makes the
192 Arctic sea ice and SSTs consistent. For assimilated observational pressure data,
193 20CRv2c and ERA-20C have exactly the same pressure data input in the Northern
194 Hemisphere.

195 The ERA-20C reanalysis (Poli et al. 2016) uses the IFS model in a 4-D Var system to
196 assimilate observations of surface pressure and marine surface winds. It is a global
197 atmospheric reanalysis for the period 1900 – 2010 with a 3-hourly temporal resolution
198 and the same spatial and vertical resolution as ERA-20CM. It shares the same
199 boundary conditions and CMIP5 radiative forcing with ERA-20CM, however for sea
200 ice and SSTs HadISST2.1 is used.

201 **2.2 Reconstructions**

202 We use statistically reconstructed monthly temperature and geopotential height fields
203 for the period 1880–1957 (Griesser et al. 2010) where the predictors are historical
204 surface data from station observations (temperature), gridded sea-level pressure (SLP),
205 and, after 1918, upper-air data (temperature, geopotential height (GPH) or pressure,
206 and winds). Hemispheric GPH and temperature fields at six levels (850, 700, 500, 300,
207 200, 100 hPa) were used as predictands. This reconstruction is termed REC1. For
208 analyzing the long term variation of anomaly fields, we merge this dataset with the
209 equivalent fields in ERA40 (Uppala et al. 2005) to create a dataset which spans the

210 continuous time period 1880 – 2002. It should be noted however, that ERA40 shows
211 some issues for temperature in the free troposphere after the 1980s, affecting late 20th
212 century trends (Grant et al. 2008).

213 For annual near surface temperature over the Barents-Kara sea region, we averaged
214 the Akademii Nauk ice cap $\delta^{18}\text{O}$ record reconstructions from Opel et al. (2013), the
215 Vardø and Arkhangelsk surface air temperatures (SATs) measurements (Brohan et al.
216 2006), Atlantic – Arctic boundary region measured SAT anomalies (Wood et al.
217 2010) and measured Arctic SAT anomalies (Polyakov et al. 2003) (for individual
218 timeseries see supplementary Figure 1).

219 3. Analysis procedure

220 Here we investigate the Arctic temperature variations during the northern hemisphere
221 cold season (DJF). Concerning the ETCAW, Bekryaev et al. (2010) found that boreal
222 winter together with autumn showed the strongest warming signal. During boreal
223 winter, temperature differences between polar and subpolar air masses are strongest,
224 and therefore northward heat transport is strongest. Overland and Turet (1994)
225 reported that Northern Hemisphere poleward energy transport is maximized between
226 800 and 600 hPa. We therefore focus on the 700 hPa level as a surrogate for mid-
227 tropospheric processes.

228 We compare all gridded datasets with regards to their Arctic winter temperature
229 evolution during the 20th century. For this, we area average the gridded datasets over
230 a defined region in the Arctic domain. To analyze the role of tropospheric circulation
231 in the ETCAW, we compute different components of northward heat transport at 700
232 hPa and 60° N in the GCM, reanalysis and reconstruction datasets. The zonal mean
233 northward heat flux can be written as

$$234 \quad [\overline{vT}] = [\overline{v}] \cdot [\overline{T}] + [\overline{v^* \cdot T^*}] + [\overline{v' \cdot T'}] \quad (1)$$

235 where v is meridional wind in m/s, T is air temperature in Kelvin, the overbar denotes
236 the time (here monthly) mean, the brackets denote the zonal mean, the stars denotes
237 the deviation from the zonal average, and the prime denotes the deviation from the
238 time average. The first term on the right hand side describes the flux due to the time
239 mean (here monthly) meridional circulation, followed by the flux due to stationary

240 (time averaged) eddies and the flux due to transient eddies. Stationary eddies
241 represent large-scale Rossby waves whereas transient eddies encompass cyclonic and
242 anti-cyclonic disturbances in the flow (note that a separation is not strictly possible;
243 we use one month as a threshold mainly for convenience, as this allows us to also
244 look at monthly data sets). Since the REC1 dataset only offers monthly variables, we
245 focus on the first two terms at the right hand side, which can be calculated for it.
246 However, we extended the analysis of the three reanalysis datasets to the transient
247 eddy flux as well. As will be shown later, reanalyses are inconsistent with respect to
248 the mean meridional (first) term. The model data is not suited to compute the transient
249 eddy term since the temporal resolution is too low. Therefore this study focuses
250 mainly on the stationary eddy contribution.

251 To gain more insight into the mechanisms of the stationary eddy transport, we define
252 an index to display circulation regimes that transport airmasses in and out the Arctic
253 domain over two key regions: the Atlantic sector (extension of Siberian high and
254 Greenland low) and the Bering strait (Aleutian low and extension of Siberian high).
255 These regions were the key action centers of the circulation configuration during the
256 ETCAW as well as the key patterns of the second empirical orthogonal function in
257 most datasets (not shown). A timeseries of this index should reveal periods of similar
258 circulation conditions throughout the 20th century. However, we find that the
259 expression of the second EOF, often known as the Arctic Dipole if used for the Arctic
260 Domain, depends largely on the nature of the datasets. The 3rd and 2nd EOF patterns
261 might switch depending on the datasets, thus we introduce here this simplified, but
262 stable index for investigating meridional circulation configurations.

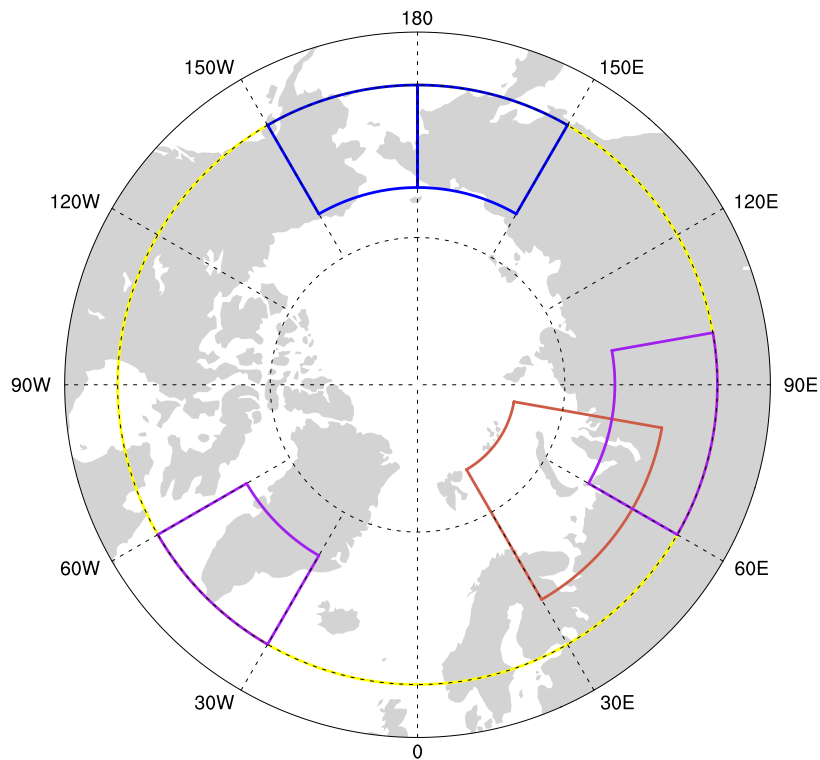
263 We assume that during winter most of the heat is transported from the mild oceans to
264 the Central Arctic. Therefore, we concentrate on the Pacific and Atlantic sectors. We
265 selected regions as shown in Figure 1 and calculated DJF anomalies of area-averaged
266 geopotential height at 700 hPa for each of the four areas. Since the Atlantic
267 connection to the Arctic is much wider, the corresponding boxes are further away than
268 for the Pacific case. Moving the Siberian box to the west, weakens the amplitude of
269 the signal but the results are similar. With this setup, the strength of the Siberian high
270 is captured as well. The reference period was set to the winters of 1971 – 2000. These
271 values are then normalized by the total standard deviation of the anomaly timeseries.

272 Eventually, the index is computed as the difference between the values in the eastern
273 region and the western region:

$$274 \quad GPH \text{ INDEX} = \overline{GPH}_E - \overline{GPH}_W \quad (2)$$

275 \overline{GPH} represents standardized monthly anomalies of geopotential height and the
276 subscripts denote the eastern and the western area. For the ensemble datasets, the
277 index was first calculated for each individual ensemble member and averaged
278 thereafter.

279 A positive index corresponds to a high pressure situation in the east and a relatively
280 lower pressure field in the west, which induces a northward flow into the Arctic.



281

282 Figure 1: Purple sectors showing regions for computing the Atlantic sector index
283 (60°-70°N 30°-60°W Greenland, 60°-70°N 60°-100°E Siberia), blue sectors showing
284 regions for computing the Pacific sector index (60°-70°N 150°-180°W Alaska, 60°-
285 70°N 150°-180°E Far East). The yellow band indicates the 60° N latitude defined as
286 Arctic boundary in this study. The red sector indicates the location of the Barents-
287 Kara Sea region.

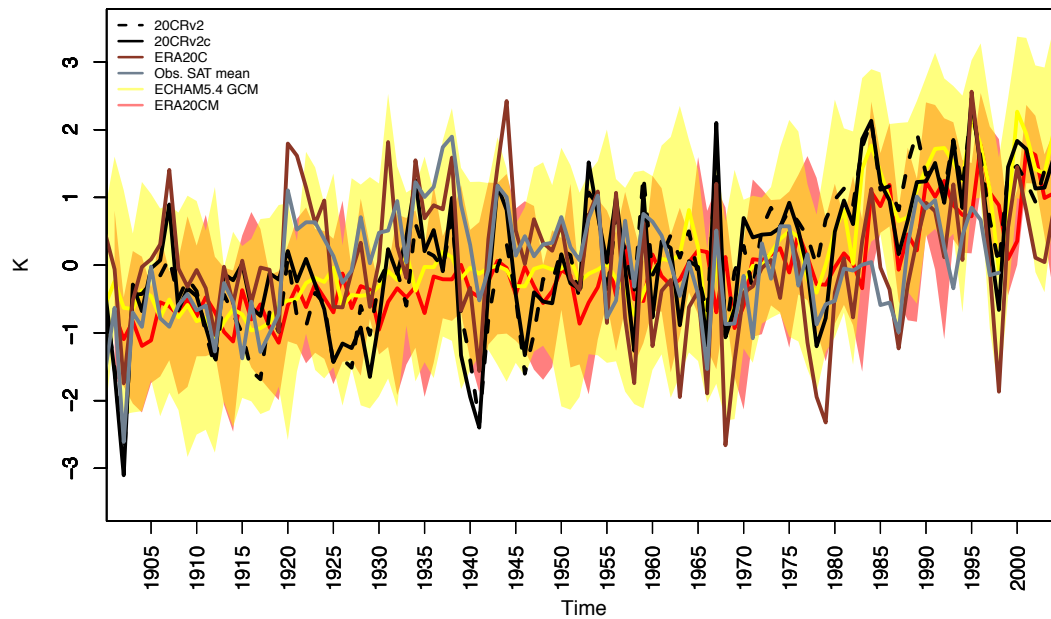
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289 **4. Results**

290 **4.1 Arctic temperature evolution**

291 Extraordinarily mild temperatures in the Arctic during the 1930s gave rise to the
292 phrase “Early Twentieth Century Arctic Warming”. To assess the different datasets in
293 regards to this important variable, we compare SAT from reconstructions and
294 observations with 2 m temperature from the gridded datasets. Figure 2 illustrates
295 different timeseries of near SAT evolution in the Barents-Kara sea region (see Table
296 S1 for correlations). A mean of reconstructed, station measured, and paleo datasets is
297 used as an index for an observational estimate of the regional average. It shows
298 positive anomalies between 1920-1940, with a first distinctive peak in 1920 and a
299 second, stronger peak in 1937/1938. After three cold years (1940-1942), another peak
300 occurred in 1943/1944. The individual series comprising the index show interesting
301 variations in the timing and amplitude of the details of the ETCAW (Fig. S1). The
302 large-scale area average from the reanalyses provides complementary estimates of the
303 variability, with surprising similarities to the index. The reanalyses can be compared
304 directly with each other and with the GCM simulations. Examining the reanalyses in
305 detail, ERA20C has the largest standardized expression of the 1920 and 1944 peaks,
306 where as 20CRv2 has smaller amplitudes for 1920 and 1938 peaks. The comparison
307 with both the index and ERA-20C is closer in 20CRv2c, which shows reduced
308 amplitudes. We find that 20CRv2 shows very good agreement with the observational
309 proxy in the first 20 years of the century, consistent with good global agreement
310 (Compo et al. 2013). Overall, the 20CRv2c and ERA20C agree better with the
311 observational index than the older 20CRv2 (Table S1).

312 The GCM data indicate that the ensemble of model realisations spans the variance of
313 the reanalysis and observational timeseries rather well. It is interesting to note that the
314 ERA20C appears to be at the upper edge of the GCM values until ca. 1950, after
315 which it resembles more the lower part of the distribution of the GCM ensemble.
316 Towards the end of the 20th century, 20CRv2 deviates away from the observational
317 index and ERA20C, but is still well within the GCM range. The newer 20CRv2c
318 decreases this deviation, probably from the improved specification of sea ice
319 concentration.



320

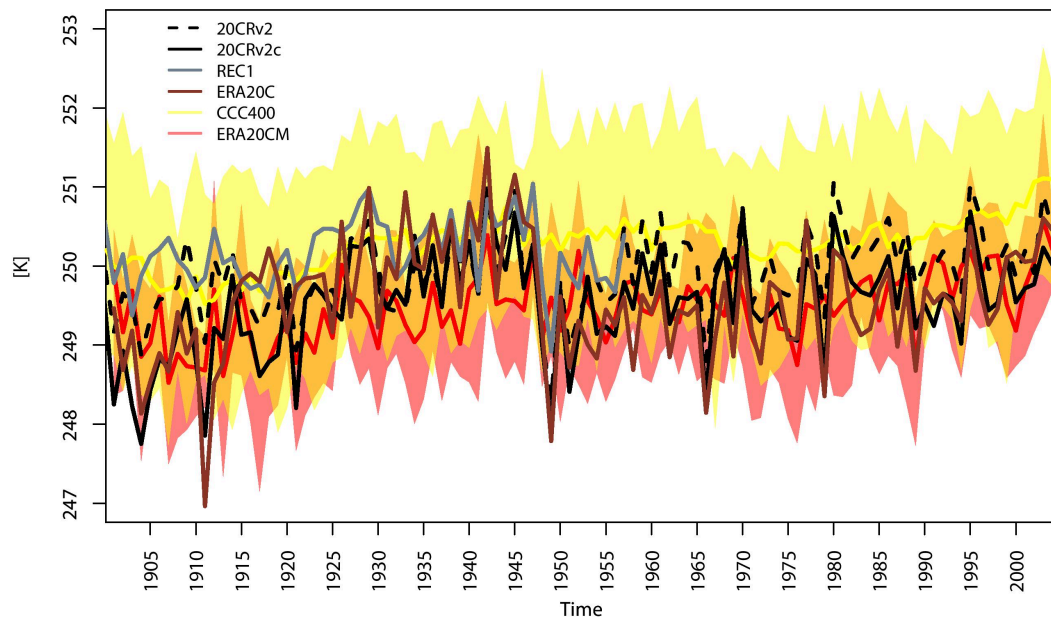
321 Figure 2: Yearly mean 2m temperature from 1900 - 2005 area-averaged for the
 322 Barents-Kara sea region ($65-90^{\circ}$ N, $30-90^{\circ}$ E), in CCC400 (yellow transparent
 323 shading is spread of ensemble members), ERA20CM (red transparent shading is
 324 spread of ensemble members), 20CRv2, 20CRv2c, and an index comprised of the
 325 mean of one SAT reconstruction and four station based SAT compilations (see [Opel
 326 et al 2013](#)). Time series are plotted as normalized deviations from the 1900-1998
 327 mean.

328

329 The ERA20CM ensemble shows a smaller ensemble spread than CCC400, but both
 330 model ensemble means agree quite well with each other (See Table S1). The two
 331 periods with increasing temperatures (1900-1940 and 1980-2010) are visible in all
 332 datasets, although four timeseries represent an ensemble mean. Nevertheless, with the
 333 exception of ERA20C, all datasets underestimate the ETCAW and overestimate the
 334 Arctic near surface warming in the latter half of the 20th century compared to the
 335 observational index. However, in general, all gridded datasets show surprisingly close
 336 resemblance in magnitude and tendency to the observational index.

337 Since this study focuses on the atmospheric circulation features of the ETCAW, a
 338 good representation of upper air warming is an important necessity of the used
 339 datasets. Figure 3 shows the DJF temperature timeseries for the area average of 60° -
 340 90° N at 700 hPa for all atmospheric datasets being studied. ERA20CM and CCC400

341 show rising temperatures between 1910 –1940, stable to cooling temperatures
 342 between 1940 – 1980, and a weak temperature increase after this until the start of the
 343 21st century. The ETCAW appears relatively warm in the model datasets, but appears
 344 to be split into peaks before and after 1935 in contrast to the earlier surface warming
 345 peak identified in ERA20C and the observational index. The temperature drop in the
 346 GCMs after 1940 could arise from the 1940-1942 El Niño event contained in the
 347 specified SSTs (Brönnimann et al. 2004). ERA20CM, on average, shows 1-2 K lower
 348 values than CCC400, which is a known feature of ERA20CM (Hersbach et al. 2015).
 349 Temperature maxima in the four reanalysis datasets appear around 1940, which is
 350 comparable to the surface timeseries.



351

352 Figure 3: DJF 700 hPa area average temperature 1900 - 2005 for the Arctic (60°-90°
 353 N) from the models CCC400 (yellow transparent shading is spread of ensemble
 354 members) and ERA20CM (red transparent shading is spread of ensemble members),
 355 as well as the reanalyses 20CRv2, 20CRv2c, ERA20C, and the reconstruction REC1.
 356

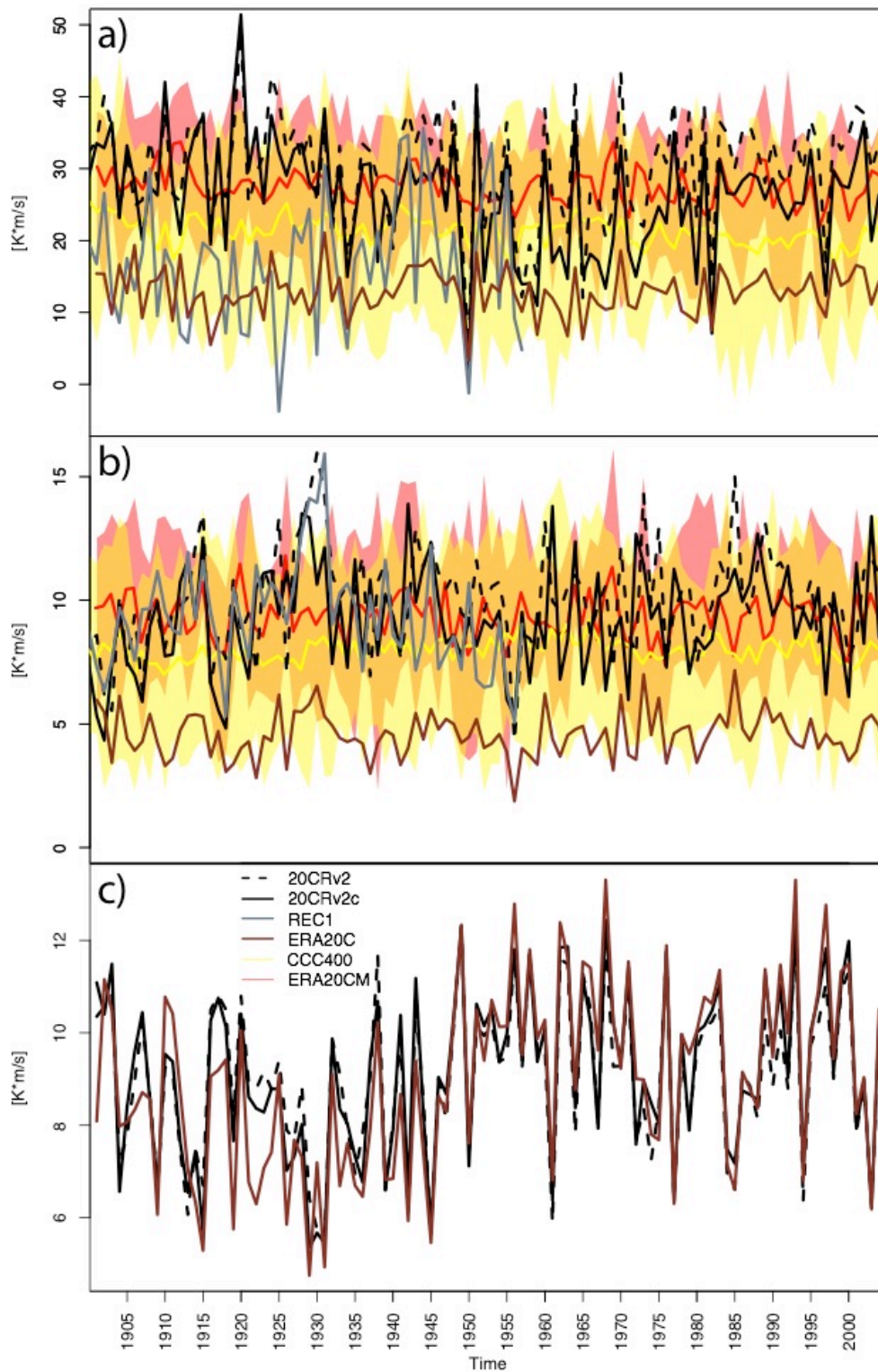
357 All four observation-based datasets agree very well in magnitude and correlation
 358 (Table S2), staying within the variability of the models. It is worth mentioning that the
 359 reconstruction and reanalyses show a mid-tropospheric temperature signal during the
 360 ETCAW, which is unique in magnitude until the 21st century. Additionally, a sharp
 361 drop can be seen in the late 1940s in the reanalysed and reconstructed temperatures.

362 Finally, the prominent Arctic warming signal at the end of the 20th century is
363 depicted by all atmospheric datasets with similar positive tendencies. In general,
364 GCMs, reanalyses, and reconstructions values match well (see supplementary tables
365 1-6). CCC400 compares better until the 1950s, after which ERA20CM is closer to
366 reanalysed temperatures. This is probably due to different forcing input when
367 compared to CCC400. Nevertheless, most of the time reconstructed and reanalyzed
368 values are within the CCC400 ensemble variability. 20CRv2 and 20CRv2c agree very
369 well over time, especially during the ETCAW. Generally, 20CRv2 tends more
370 towards the lower values of ERA20C in the first twenty and last fifty years of the
371 century. We find that the 20CRv2c version improves the representation of upper
372 tropospheric temperatures, surface/tropopause temperature gradient (see [Brönnimann
373 et al. 2012 for discussion of 20CRv2 performance](#)) and the stretch out of the warming
374 into the lower troposphere (see Supplementary Figures 2-4). Thus, the new sea ice
375 data and added observations seem to improve the temperature signal at the surface.
376 Generally, it is expected from all surface-input reanalysis datasets, that the skill
377 decreases with altitude, especially so in the Arctic. These differences are lowest at
378 mid-troposphere levels such as 700 or 500 hPa. Compared to the other reanalyses,
379 reconstruction, and CCC400, an overall cold bias of ERA20C and ERA20CM at the
380 700 hPa level is found in the Arctic area average (Fig. S5). Examining the temporal
381 variability, after 1946 a strong step function is seen in for 700 hPa temperature the
382 reanalysis datasets. The magnitude of the jump seems to be partly a consequence of
383 surface observation assimilation, since REC1 and the GCMs do not reproduce the
384 amplitude. Including upper air data in the ERA20C assimilation scheme decreases the
385 temperature drop compared to the original surface data assimilation, as suggested by
386 an experimental ERA-preSAT reanalysis using upper-air data, see Hersbach et al.,
387 manuscript in preparation (supplementary Figure 5).

388 **4.2 Zonal heat transport at 700 hPa**

389 Since surface and tropospheric temperature appear to be represented consistently in
390 the datasets, we computed the mean meridional circulation flux and the stationary
391 eddy flux for temperature at 60° N for the 700 hPa level. As the upper air
392 reconstructions and GCM data were only available at monthly resolution, the transient
393 eddy flux was computed only for the three reanalysis datasets. Figure 4a shows the

394 mean meridional flux for all datasets on a seasonal (DJF) resolution. Differences
395 between the datasets clearly emerge. Large variability between the individual
396 members of the GCM datasets can also be seen. We find that the 20CRv2 and
397 20CRv2c timeseries are more consistent with the ERA20CM and largely on the
398 upper-end of the CCC400 ensemble. ERA20C is on the low end of the CCC400 and
399 outside the range of the ERA20CM ensemble for almost all years. Looking at the
400 reconstruction it appears that the interannual variability is comparable to 20CRv2,
401 however the overall magnitude is at the low end of the models, comparable to
402 ERA20C until the 1930s. After that, the reconstruction agrees better with ERA20CM
403 and the 20CR versions. Interestingly, mean meridional heat transport in the
404 reconstruction during the ETCAW is rather low, with a strong drop around 1920.
405 Finally, the ERA20C dataset shows the least interannual variability and has mean
406 values at the lower edge of the GCM ensembles. Looking at the evolution of this
407 timeseries, it appears to be very stable throughout the century with no obvious trends.
408 Since the 700 hPa temperatures in Fig. 3 seem to be consistent, we suspect that
409 differences between datasets are mainly caused by different representations of
410 meridional wind speed.



411

412 Figure 4: a) Mean meridional DJF heat flux at 60° N between 1900 - 2005 at 700 hPa
 413 for all gridded datasets, b) the same for stationary heat flux and c) the same for
 414 transient eddy heat flux, but only for reanalysis datasets (see also Supplementary
 415 Figure 6 for a sum of all three fluxes). Plotting conventions are as in Fig. 3.

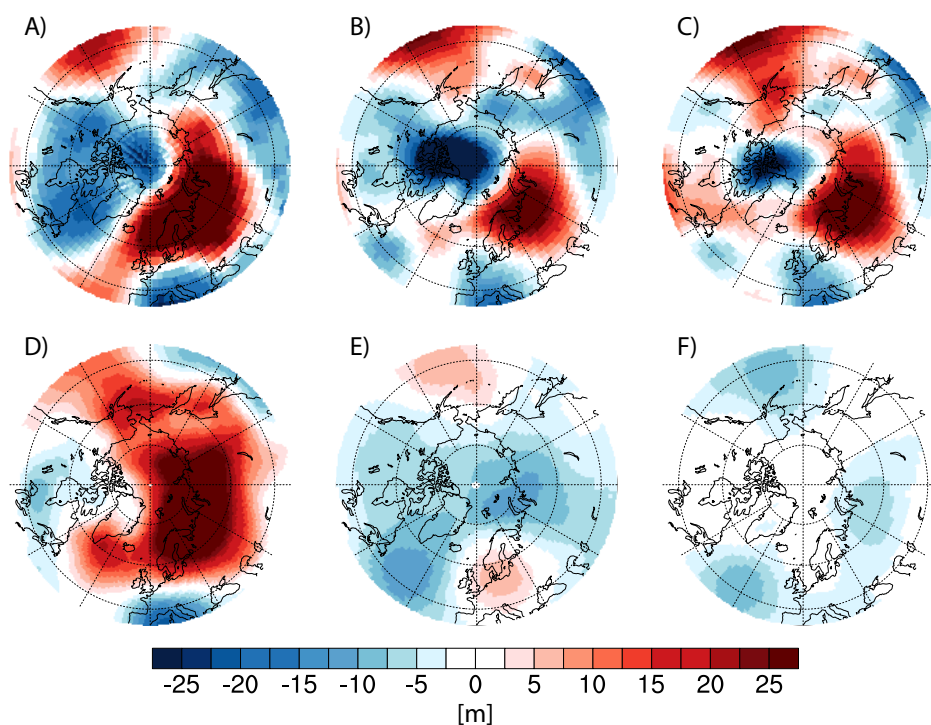
416

417 Figure 4b illustrates the stationary eddy flux for all gridded datasets. The seasonally
418 averaged values show again the lower variability in ERA20C, with absolute values
419 around the low range of the GCM ensembles. The reconstruction, 20CRv2 and
420 20CRv2c agree fairly well, especially so until 1940. These timeseries show a
421 pronounced increase from 1900 until the 1930s, with a peak around 1930. This peak
422 coincides very well with the circulation signal in the indices examined below (Figure
423 7) and a few years after the reconstructed drop of the mean meridional circulation in
424 Figure 4a. However, this peak is reduced in magnitude in 20CRv2c. After 1940 all
425 three datasets stay well within the GCM range. It is noteworthy that the ERA20C
426 timeseries, although missing the absolute magnitude of fluxes, shares a highly
427 significant 0.8 correlation with the 20CRv2 timeseries. Moreover, a peak period
428 around 1930 is visible in ERA20C, but weaker than in 20CRv2. Since ERA20C
429 shares the observational pressure input data with 20CRv2c, and uses the same
430 assimilating model as the ERA20CM, the difference in magnitude is caused either by
431 the different assimilation schemes or the assimilation of near-surface marine winds in
432 ERA20C.

433 Depicting the transient eddy heat flux, Figure 4c shows the evolution of the winter
434 northward heat transport by weather systems such as cyclones and anticyclones in the
435 three reanalysis products. Since monthly means were used as the base period for eddy
436 transport, larger transient waves can also contribute to this transport term. However, a
437 monthly base period ensured comparability. All three datasets show a strong
438 interannual variability. However, compared to the mean meridional and stationary
439 eddy flux, ERA20C shows a very good agreement in magnitude and variability with
440 20CRv2 and 20CRv2c, which only show minor deviations from one another, except
441 for the 1920s. Moreover, in all three datasets the ETCAW decades show the lowest
442 transient eddy flux values compared to the rest of the decades during the 20th
443 century. Highest values can be found during the 60s and the beginning of the 70s,
444 with a period of relatively stable increase between 1940 and 1970. Towards the end of
445 the 20th century, winter transient eddy heat flux at 700 hPa appears to decrease again.
446

447 4.2 Tropospheric stationary eddies

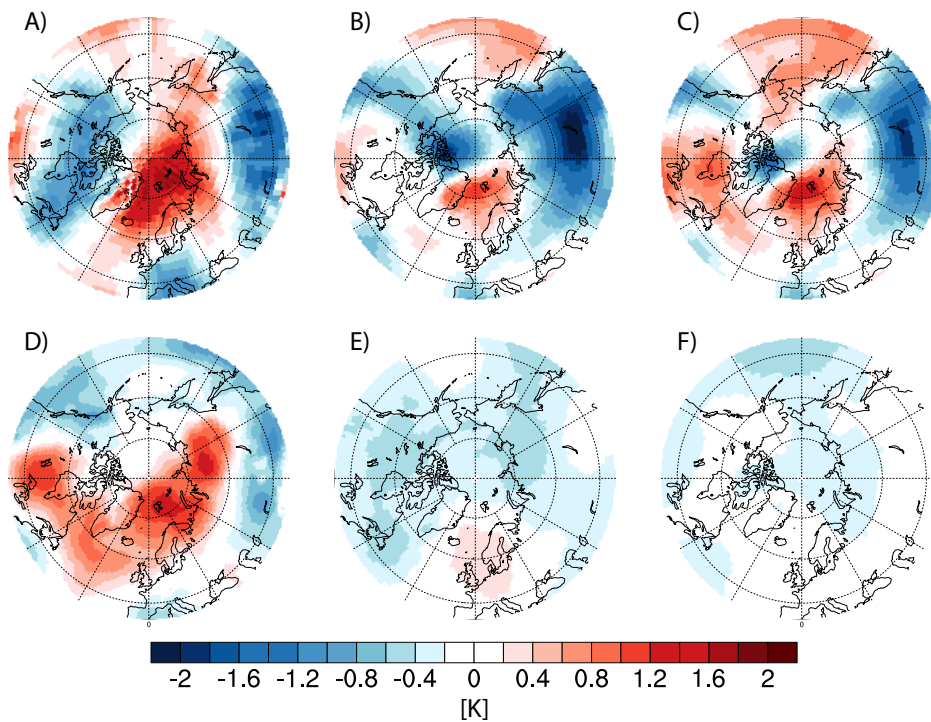
448 To investigate more into the striking inter-dataset differences in stationary eddy flux
449 as well as the temporal evolution of the heat transport, we depict the ETCAW
450 atmospheric circulation as seasonal mean geopotential height (GPH, Figure 5) and
451 temperature anomalies (Figure 6) at 700 hPa during the period 1920-1939 for winter.
452 Reanalysis and reconstruction datasets depict a strong positive geopotential height
453 anomaly over the Eurasian part of the Arctic associated with negative or weaker
454 anomalies over Greenland and the Canadian Archipelago (Fig. 5). This height
455 distribution enhances meridional winds over the North Atlantic and transports
456 southern airmasses into the Arctic domain. The ensemble mean anomalies of both
457 GCMs show only weak signals, with a small positive signal over northern Europe in
458 ERA20CM. CCC400 and ERA20CM show a comparable GPH anomaly pattern over
459 the Atlantic and Eurasia, but disagree over the North Pacific domain. While an overall
460 weaker signal is present in the ERA20CM ensemble mean over Europe, the pattern
461 shows relative agreement with the reanalysis datasets, suggesting some forcing from
462 either or both of the specified boundary conditions and radiative forcing. Both the
463 GPH signal (Fig. 5d) and warming signal (Fig. 6d) are very prominent in the ERA20C
464 dataset, with positive anomalies dominating nearly all of the Arctic domain,
465 particularly for temperature (Fig. 6d). It is important to note that REC1+ERA40 and
466 20CRv2 show a more heterogeneous anomaly structure and a more pronounced
467 gradient between Europe and Canada. 20CRv2c emphasizes the Pacific positive
468 anomaly, especially over Alaska, compared to 20CRv2. Over most of the hemisphere,
469 positive GPH anomalies in 20CRv2c (Fig. 5c) tend to be increased compared to
470 20CRv2 (Fig. 5b), whereas the strength of central Arctic negative anomaly is reduced.



471

472 Figure 5: Maps of time-averaged 700 hPa geopotential height anomalies for DJF
 473 1920-1939 with respect to DJF 1971-2000 in a) REC1+ERA40, b)20CRv2, c)
 474 20CRv2c, d) ERA20C, e) ERA20CM ensemble mean, f) CCC400 ensemble mean
 475

476 One result of the pressure anomalies is the noticeable warming at 700 hPa over the
 477 European sector of the Arctic. Positive North Pacific geopotential height and North
 478 American temperature anomalies seem to be placed much more northerly in the
 479 ERA20 datasets (Fig. 6). This is probably due to the known overestimation of Arctic
 480 sea level pressure, especially before 1950, in the ERA20C dataset (see [Belleflamme et](#)
 481 [al. 2015](#)). As with geopotential height, 20CRv2c amplifies the warming regions of
 482 20CRv2 and decreases the magnitude of the Siberian negative anomaly. This might be
 483 the result of reduced Arctic temperatures at the end of the 20th century in 20CRv2c
 484 compared to 20CRv2 (Fig. 2). Generally, the strongest differences between all
 485 datasets appear over the Pacific sector, which is a result of the sparse observations for
 486 this region at the time of the ETCAW (Cram et al. 2015).



487

488 Figure 6 Maps of time-averaged 700 hPa temperature anomalies for DJF 1920-1939
 489 with respect to DJF 1971-2000 in a) REC1+ERA40, b) 20CRv2, c) 20CRv2c, d)
 490 ERA20C, e) ERA20CM ensemble mean, f) CCC400 ensemble mean

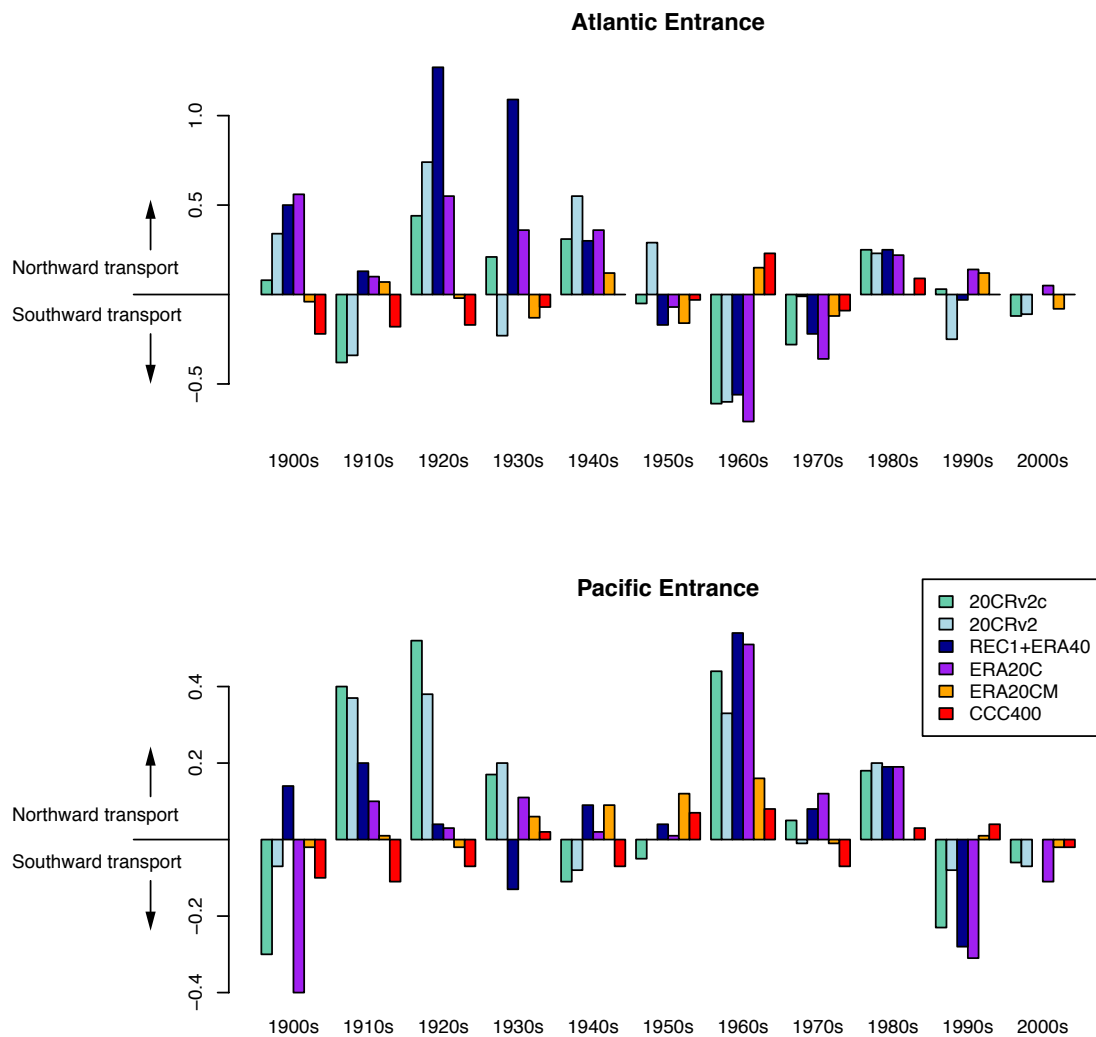
491

492 It is important to note that the GCM datasets mostly disagree with the observational
 493 datasets on the sign of the temperature signal (Fig. 6). This is due to the choice of
 494 reference period. The late decades of the 20th century in the GCMs are mainly driven
 495 by the greenhouse gas and SST forcing which results in a relatively strong Arctic
 496 warming (e.g., Compo and Sardeshmukh 2009). If the reference period is changed to
 497 1900 – 1919 (supplementary Figure 7) the warming signal is visible, with a second
 498 warming pole over the North Pacific. The same is true for the geopotential height
 499 anomalies (not shown). Thus a fraction of the circulation and associated temperature
 500 signal is forced.

501 To analyze the temporal evolution of this tropospheric pattern, we computed the GPH
 502 indices (Fig.1) for DJF at 700 hPa geopotential height. We assume that during winter
 503 most of the heat influx into the Arctic originates from airmasses over the relatively
 504 mild oceans. Figure 7 shows the decadal averaged index (Equation 2) values for

505 each dataset on the 700 hPa level, for both the Atlantic and Pacific sector. As can be
506 seen, reanalysis and reconstruction datasets show a peak in positive values between
507 1920 – 1940, especially for the 1920s where they show strong positive values. Both
508 model datasets show much weaker gradients, also due to the ensemble mean
509 computations. Moreover, during the ETCAW 1920s period both model datasets show
510 an opposite sign of both indices. Both also show an opposite since for the Atlantic
511 index in the 1930s (Fig. 7 top). Therefore, the enhanced northward circulation in both
512 regions throughout the ETCAW is clearly captured by reanalyses and reconstructions,
513 where as the GCM datasets do not resolve this consistent signal. Interestingly, the
514 recent warming does not coincide with a positive index, underlining the idea of a
515 radiation-driven warming. Over the Atlantic sector in the 1920s, 20CRv2c shows a
516 smaller geopotential height gradient, leading to reduced index values for the ETCAW.
517 Nevertheless, the index is still positive, and 20CRv2c agrees well with the other
518 observationally based datasets over time.

519



520

521 Figure 7: 700 hPa Geopotential height circulation index values for top) Atlantic sector
 522 (Gradient 60°-70°N 30°-60°W Greenland to 60°-70°N 60°-100°E Siberia), bottom)
 523 Pacific sector (Gradient 60°-70°N 150°-180°W Far East to 60°-70°N 150°-180°E
 524 Alaska). See Fig. 1 for regions.

525

526 Looking at the Pacific sector, the index during the ETCAW period appears to be
 527 mostly positive as well, which supports a northward transport of maritime airmasses
 528 into the Arctic domain. Interestingly, 20CRv2c stands out with the highest Pacific
 529 index values during the 1920s of the ETCAW. Again, GCMs have difficulties to
 530 represent the index. It should be noted that the 1960s show an exceptionally strong
 531 northward airmass transport and dataset agreement in the Pacific sector, but more

532 southward winds in the Atlantic sector.

533 These findings support the exceptional role of the circulation during the ETCAW.
534 Especially the 1920s and 1930s show high peaks in the observational datasets,
535 whereas the GCMs cannot reproduce this signal. Comparing the 2000s with the
536 ETCAW, all datasets agree on a more southward circulation over the northern part of
537 the oceans, hinting at a different Arctic warming mechanism (see Serreze and Barry
538 2011 for an overview), including possibly the hydrodynamic-radiative teleconnection
539 suggested by Compo and Sardeshmukh (2009).

540

541 **5. Discussion**

542 The ETCAW is an exceptional feature in the climate evolution of the 20th century and,
543 as such, has been the subject of considerable previous analysis and discussion by the
544 research community. Because of both location and date of the warming,
545 meteorological observations concerning the ETCAW are scarce and isolated. Here we
546 used a variety of gridded atmospheric datasets: GCM simulations, reanalyses and
547 reconstructions, to address some of the open questions regarding the ETCAW.

548 Our results support the findings of [Wood and Overland \(2010\)](#) who investigated the
549 meridionalisation of circulation in the Arctic domain, including 20CRv2. We
550 extended this idea to a new set of gridded datasets to gain more insight into the
551 ultimate cause of the ETCAW. Surface and 700 hPa Temperatures in reanalysis
552 datasets agree very well with reconstructed temperatures. All timeseries show a
553 warming for DJF temperatures during the ETCAW. A strong temperature drop in the
554 late 1940s showed by the reanalysis datasets seems to be overestimated by the
555 assimilation of only surface data. If upper air information is added, this drop is
556 reduced.

557 Spatial anomalies with respect to 1971-2000 accentuated the differences between
558 datasets. ERA20C displays the largest extent of positive anomalies, both in
559 geopotential height and temperature. We suggest that this is probably due to an
560 overestimation of Arctic SLP, especially before 1950 ([Belleflamme et al. 2015](#)).
561 20CRv2, 20CRv2c and REC1+ERA40 are more heterogeneous with a distinct signal

562 of positive anomalies over the European Arctic. GCM ensemble means lack most of
563 these features (such as the strong temperature anomaly gradient from North America,
564 to Europe), suggesting that most of the anomalies are not forced. Additionally, we
565 found that individual GCM members have similar anomaly conditions in 700 hPa
566 geopotential height and temperature (not shown), which underlines the impact of
567 internal variability.

568 This analysis showed that prescribed SST and sea ice conditions, which are similar in
569 the GCM and reanalysis datasets (except 20CRv2c), are not enough to produce the
570 ETCAW spatial pattern. In fact these boundary conditions only lead to a spatially
571 averaged warming (as can be seen in the 700 hPa temperature timeseries).
572 Furthermore, when comparing ERA20CM to ERA20C, which share model
573 architecture, specified radiative constituents, and have similar boundary conditions, it
574 becomes obvious that assimilation of observations is needed to produce the distinct
575 spatial patterns of the ETCAW.

576 The elevated temperatures in the GCMs during the ETCAW suggest that this event is
577 not completely independent of the SSTs. Dependent on the timescales, elevated SSTs
578 could trigger a change in the circulation patterns directly as well as an increase in
579 advected heat without a change in the circulation through an increase in the
580 temperature part of the advection equation. However, we found that the atmospheric
581 circulation variability is the most important factor generating the ETCAW signal
582 since the GCMs could not reproduce the main features of the reanalysis or
583 reconstructions. Therefore, our results suggest that atmospheric intrinsic variability
584 played a major role in the formation of the ETCAW. This supports the findings of
585 [Wood and Overland \(2010\)](#) as well as [Beitsch et al. \(2014\)](#), who underline the
586 atmospheric internal variability part of the ETCAW.

587 Based on the anomaly patterns, indices were defined to analyze the evolution of this
588 circulation condition over time. Our results suggest a meridional circulation pattern
589 during the ETCAW that supported maritime southerly winds over both the Atlantic
590 and Pacific part of the Arctic. We found this to be a rather exceptional Arctic
591 circulation condition with respect to the 20th century. The only decade that shows a
592 similar circulation is the 1980s, however the signal is much weaker in amplitude. For
593 the current warming period (2000-2009), no such signal is found. It is noteworthy that

594 both GCMs were not able to mirror this evolution throughout the century. Concerning
595 the influence of more zonal indices like the North Atlantic Oscillation (NAO), Arctic
596 Oscillation (AO) or the Pacific - North American Index (PNA), Wood and Overland
597 (2010) found that in the second half of the 20th century, after the ETCAW, AO and
598 PNA combined can explain 44% of the Arctic SAT variability. However with the
599 beginning of the SAT increase ca. 1920, meridional indices take over and display high
600 values up until ca. 1950. Therefore, our findings of increased meridionalisation over
601 the Atlantic support the results of earlier studies (Scherhag 1939, Grant et al. 2009,
602 Wood and Overland 2010).

603 Moreover, our findings agree very well with the superposed epoch analysis of 26
604 ETCAW-like events of Beitsch et al. (2014). We could show that the mechanisms
605 which governed the composite of the 26 modelled events in the study of Beitsch et al.
606 (2014) also played an important role in the actual ETCAW. Among those
607 mechanisms, we find an increase of stationary heat transport at 700 hPa (Fig. 4b) at
608 the timing of the warming in the REC1 and 20CRv2 datasets. This peak also is
609 consistent with the increased index values in the 1920s decade (Fig. 7) over the
610 Atlantic and Pacific domain. The ERA20C dataset does not show an exceptional peak
611 but rather has a period of prolonged high values (relative to this dataset's long-term
612 average) and an overall highly significant correlation with 20CRv2 and a significant
613 but smaller correlation with 20CRv2c (for correlation coefficients see supplement
614 Tables 1-5). These findings suggest and underline that the ETCAW was the result of
615 unusual internal variability. Moreover, our study points to the critical role of the
616 Pacific, which should be investigated in more detail in the future. Since this is an
617 atmosphere-only analysis, we cannot verify the ocean mechanisms proposed by
618 Beitsch et al. (2014). Additionally, we found that the ensemble mean of the 30 and 10
619 member GCMs could not resolve the dynamics needed for the spike (drop) in
620 stationary heat transport (mean meridional transport).

621 As Beitsch et al. (2014) found in their model analysis, we find a decrease of mean
622 meridional heat flux at 700 hPa right before the warming in the independent (no sea-
623 surface temperatures are used) upper-air reconstruction. Finally, we investigated
624 transient eddy fluxes at 700 hPa in the reanalysis datasets. In this case ERA20C
625 agrees very well with 20CRv2 and 20CRv2c. Since the computation is based on a

626 deviation from the monthly mean, it appears that the temperature monthly mean in
627 ERA20C is probably overestimated during the ETCAW, but the daily variability
628 agrees with other two data sets. The 1920s and 1930s together show the lowest
629 decadal values of transient eddy heat flux during the whole 20th century. This is true
630 for all three reanalysis products examined.

631 Summarizing the findings for heat fluxes at 700 hPa, we found a reduction in mean
632 meridional flux before the actual warming and an increase during the warming in the
633 reconstructions. However, reanalyzed values for this metric might be unreliable, as
634 suggested by the discrepancies in surface wind errors (see supplementary Figure 8) in
635 all three reanalysis datasets (see also Swart et al. 2015 for similar issues in the
636 Southern Hemisphere). Additionally, we found an increase of stationary heat flux in
637 reconstructions and reanalyses simultaneous with the ETCAW. Finally, all
638 reanalysis products show a reduction of the transient eddy flux during the ETCAW.

639 Concerning the peculiarity of the ETCAW, the question arises if just many random
640 events occurred between 1920-1939 or if there was actually a state change, either
641 natural or forced, during that time. Considering external factors that could influence a
642 warming, ENSO or volcanic eruptions (e.g. winter warming) would have the biggest
643 impacts. However, no major explosive volcanic eruption occurred during that time. El
644 Nino events occurred during 1918 (Giese et al. 2010) and 1942, with weaker
645 conditions probably before and after these dates. [Brönnimann et al. \(2004\)](#) found a
646 detectable impact on European climate for the 1939-1942 El Niño event, which
647 temporarily interrupted the ETCAW period (Fig. 2). Nevertheless, [Grant et al. \(2009\)](#)
648 found a remarkable jump of temperatures right at the start of the ETCAW after which
649 temperatures plateaued at a high level. The initial trigger for this strong jump is still
650 uncertain, but so far there are no signs for an exceptional variance increase (in
651 temperature and stationary eddy flux) for the whole ETCAW period (see Supplement
652 Table 6).

653 In the flux timeseries analyzed, the ETCAW and the current ongoing warming are
654 appreciably different. No noticeable increase (decrease) of stationary (transient) eddy
655 heat flux is shown for the end of the 20th century, although a warming is clearly
656 visible from the temperature timeseries. Therefore, our findings suggest that the
657 ETCAW was indeed governed by an exceptional case of internal atmospheric

658 variability, rather than by changes in the Arctic radiative forcing.

659 **6. Conclusion**

660 An extensive set of simulated and observational gridded datasets was analyzed to
661 examine the atmospheric conditions and their role during the ETCAW. Evidence was
662 found for a major contribution of atmospheric internal climate variability in the spatial
663 extent and structure of the warming. Utilizing the 700 hPa heat transport as a
664 surrogate for tropospheric processes, it could be shown that reanalysis and
665 reconstruction datasets have peak values of stationary heat flux during the ETCAW.
666 We also found that the independent reconstruction shows a decrease of mean
667 meridional heat flux prior to the warming and the analysis of reanalysis datasets
668 exhibits a decrease of transient eddy heat flux into the Arctic domain. These results
669 support theoretical modelling studies and demonstrate this behaviour for the first time
670 in observational datasets.

671 Furthermore, by comparing GCM and reanalysis datasets, which share similar
672 forcings, we have shown that the specified SST, sea ice, and radiative forcings are not
673 sufficient to trigger the spatial pattern of the ETCAW. Instead, observational input is
674 needed to compute the realistic circulation and associated heat flux response. Thus it
675 can be concluded that the intrinsic atmospheric variability, rather than forcing, played
676 a major part in the formation of the ETCAW signal. These findings are consistent
677 with several previous studies. They highlight the importance of understanding the
678 influence of internal variability in the context of climate change, especially in the
679 Arctic region. Future projections of Arctic warming scenarios have to take into
680 account the likelihood of such internal dynamics. The question remains open as to the
681 precise trigger of the formation of the ETCAW circulation pattern and how different
682 flux evolutions are linked to each other. Future studies may take advantage of newly
683 digitized data with increased resolution. Coupled ocean-atmosphere datasets may
684 need to be utilized for this purpose.

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