

1 Accepted Date: 09-Oct-2015

2 Article type: Original Article

3

4 Original Article

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6 Testing dispersal limits in the sea: range-wide phylogeography of the pronghorn spiny
7 lobster *Panulirus penicillatus*

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9 Matthew Iacchei^{1,2,*}, Michelle R. Gaither³, Brian W. Bowen¹, Robert J. Toonen¹

10

11 ¹Hawai'i Institute of Marine Biology, Kāne'ohe, HI 96744, USA, ²Department of
12 Oceanography, School of Ocean and Earth Science and Technology, University of
13 Hawai'i at Mānoa, Honolulu, HI 96822, USA, ³School of Biological and Biomedical
14 Sciences, Durham University, South Road, Durham DH1 3LE, UK

15

16 *Correspondence: Matthew Iacchei, Department of Oceanography, School of Ocean and
17 Earth Science and Technology, University of Hawai'i at Mānoa, 1000 Pope Rd.,
18 Honolulu, HI, 96822 USA. E-mail: iacchei@hawaii.edu

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20 Running header: Testing biogeographic boundaries in the pronghorn spiny lobster

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22 Word count: 7037

23 Abstract word count: 300

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30 **ABSTRACT**

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/jbi.12689](https://doi.org/10.1111/jbi.12689)

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31 **Aim** To resolve phylogeographic partitions in the pronghorn spiny lobster, *Panulirus*
32 *penicillatus*, which has a nine-month pelagic larval phase and the broadest distribution
33 among spiny lobsters. With samples from the Red Sea to the East Pacific, we test genetic
34 partitions across two thirds of the planet, in a species with one of the longest pelagic
35 phases.

36 **Location** Red Sea, Indian and Pacific Oceans

37 **Methods** A fragment of the mtDNA cytochrome *c* oxidase subunit I gene (COI) was
38 resolved in 774 individuals from 28 locations, plus 55 sequences (10 locations) from
39 public databases. Portions of COI, 12S and 16S mtDNA regions were resolved for
40 phylogenetic analyses on a subset of individuals. Phylogenetic and population-level
41 analyses were used to detect evolutionary partitions and dispersal barriers.

42 **Results** Significant population structuring was detected (overall $\Phi_{CT} = 0.310$, $P < 0.001$).
43 Samples from the Red Sea and East Pacific Provinces (western and eastern range edges)
44 comprise distinct phylogenetic lineages, divergent at $d = 1.1\%$ and 1.8% , respectively,
45 from the Indo-Pacific. Coalescent analyses indicate a Pleistocene isolation for the Red
46 Sea (0.71 Ma) and East Pacific (1.52 Ma). Excluding the Red Sea and East Pacific,
47 structure across the rest of the range was comparatively low, but significant ($\Phi_{CT} =$
48 0.018 , $P < 0.001$).

49 **Main conclusions** The Red Sea and East Pacific populations are phylogenetically distinct
50 from populations elsewhere in the range. The East Pacific population diverged earliest,
51 and may represent a distinct sub-species. Phylogeographic divisions align with the major
52 Indo-Pacific biogeographic barriers, and with subsets of provincial designations from two
53 frameworks: one based on levels of endemism and one on species composition. Tropical
54 and temperate regions in the Pacific are significantly differentiated, potentially indicating
55 an ecological partition. Isolation at eastern and western peripheral provinces may serve as
56 a starting point for evolutionary diversification within this group.

57

58 **Keywords** East Pacific, Indo-West Pacific, larval dispersal, marine biogeography,
59 mtDNA, Palinuridae, Red Sea

60

61 INTRODUCTION

62 Among reef-associated invertebrates, the species with the greatest dispersal potential are
63 the spiny lobsters (genus *Panulirus*). There are 16 *Panulirus* spp. known in the Indo-

64 Pacific Basin; seven have broad distributions that extend across multiple biogeographic
65 provinces, and four occur from the Red Sea to the Central Pacific. Of these, the
66 pronghorn lobster, *Panulirus penicillatus* (Olivier, 1791) is the most broadly distributed
67 (George & Main, 1967), occurring across all three major biogeographic barriers in the
68 tropical Indo-Pacific: the break between Red Sea and Indian Ocean (Klausewitz, 1989);
69 the Indo-Pacific Barrier between Pacific and Indian Oceans (Briggs, 1974); and the East
70 Pacific Barrier (EPB) that comprises the oceanic gap between the Central and East
71 Pacific (Ekman, 1953).

72 The vast distribution of *P. penicillatus* is thought to be sustained by a phyllosoma
73 larval stage that has been collected in the middle of the Pacific Ocean, 3,500-4,000 km
74 from the nearest reef habitat (Johnson, 1974). Based on field collections, Johnson (1968)
75 estimated the pelagic larval duration (PLD) of *P. penicillatus* to be >7-8 months;
76 estimates based on cultured larvae are 8.3-9.4 months (Matsuda *et al.*, 2006). These PLDs
77 are much greater than the estimated 30-40 days required to cross most patches of open
78 water in the Indo-Pacific (Mora *et al.*, 2012), and even surpass the longest estimates
79 (100-155 days) of the time required to cross the EPB (Wyrteki *et al.*, 1981). Thus, the
80 phyllosoma larval stage may provide a mechanism to maintain gene flow across Darwin's
81 'impassable' marine dispersal barrier for shallow water species (Darwin, 1872), and in
82 turn, would designate *P. penicillatus* a truly 'trans-Pacific' species (Briggs, 1961).

83 In contrast to expectations based on PLD, recent evidence indicates genetic
84 differentiation between East and West Pacific populations of *P. penicillatus* (Chow *et al.*,
85 2011), and between Indian Ocean and Red Sea populations (Abdullah *et al.*, 2014). There
86 is also morphological variation across the species range. In the East Pacific, *P.*
87 *penicillatus* is known as the "red lobster" (Holthuis & Loesch, 1967; Holthuis, 1991), in
88 contrast to the brownish-yellowish-green to blue-black colouration observed elsewhere
89 (Holthuis, 1991; George, 2005; see Appendix S1 in Supporting Information). The
90 longitudinal stripes on the legs also vary in colour and thickness across the species range
91 (see Appendix S1), as do the posterolateral sternal spines of phyllosoma (McWilliam,
92 1995), providing additional evidence for cryptic lineages.

93 Here we analyse mtDNA sequence data from the Red Sea to the East Pacific to
94 assess genetic partitions across major biogeographic barriers and provincial boundaries
95 for *P. penicillatus* (Fig. 1). Biogeographic provinces can be defined by various criteria,
96 including high levels of endemism (>10%, Briggs, 1974), or species presence/absence
97 data (Kulbicki *et al.*, 2013). Once defined, provinces provide a framework to test

98 hypotheses concerning the origin, distribution, and evolution of species. In the Indo-
99 Pacific region, *P. penicillatus* populations span eight of the Briggs & Bowen (2012)
100 tropical biogeographic provinces, which are delineated by high levels of endemism of
101 both invertebrates and fishes (hereafter called Briggs & Bowen Provinces; Fig. 1a). The
102 *P. penicillatus* distribution also crosses eight of the Kulbicki *et al.* (2013) tropical
103 biogeographic provinces, which are based on dissimilarity among assemblages of reef-
104 associated species (hereafter called Kulbicki Provinces; Fig. 1b). While many species
105 have ranges that overlap adjacent provinces, few species inhabit all of these tropical Indo-
106 Pacific provinces. To our knowledge, this is the first study to evaluate all three major
107 biogeographic divisions within the tropical Indo-Pacific, providing the broadest marine
108 phylogeographic coverage to date (Keyse *et al.*, 2013).

109

110 **MATERIALS AND METHODS**

111 **Sample Collection**

112 We collected 774 *Panulirus penicillatus* from 28 sites (Fig. 1, Table 1). Lobsters were
113 collected by hand or obtained from fishers. Tissue samples were preserved in salt-
114 saturated 20% DMSO buffer or 95% ethanol, and stored at room temperature. We
115 obtained an additional 55 COI sequences from 10 locations from GenBank for
116 phylogeographic analyses (see Appendix S2).

117

118 **DNA Extraction, PCR, and Sequencing**

119 Genomic DNA was isolated using a HotSHOT method (Truett *et al.*, 2000) or a DNeasy
120 Animal Tissue kit (Qiagen Inc., Valencia, CA, USA) following manufacturer
121 instructions. We resolved a 460bp fragment of COI using species-specific primers
122 (Iacchei *et al.*, 2014; see Appendix S2) for all individuals. We also resolved 522bp of the
123 12S and 440bp of the 16S mtDNA region for a subset of individuals for phylogenetic
124 analyses (see Appendix S2). Polymerase chain reactions (PCRs), sequencing, and quality
125 control were performed per Iacchei *et al.* (2014), but with a 50°C PCR annealing
126 temperature for 12S and 16S. Sequences were edited, aligned, and trimmed using
127 GENEIOUS Pro R7 (Biomatters Ltd., Auckland, New Zealand). Unique haplotypes were
128 identified using the Haplotype Collapser and Converter in FABOX 1.35 ([http://users-
129 birc.au.dk/biopv/php/fabox/](http://users-birc.au.dk/biopv/php/fabox/)), and deposited in GenBank (accession numbers: XXXX-
130 XXXX).

131

132 **Phylogenetic Analyses**

133 An intra-specific phylogeny was produced for a geographically stratified random
134 subsample ($N=24$) from Indo-Pacific locations, and all individuals collected from the East
135 Pacific and Red Sea (Table S4 in Appendix S2). Sequences were aligned and
136 concatenated in GENEIOUS Pro R7. We used PARTITIONFINDER 1.1.1 (Lanfear *et al.*,
137 2012) to determine the most appropriate model of evolution for each marker (COI, 12S,
138 16S) in our dataset for each analysis (see Appendix S2).

139 To estimate the time to most recent common ancestor (TMRCA), we used the
140 Bayesian MCMC approach implemented in BEAST 1.7.5 (Drummond & Rambaut, 2007).
141 *Panulirus echinatus* is the most closely related species to *P. penicillatus* (Ptacek *et al.*,
142 2001), and resides in N.E. Brazil and the Central Atlantic Islands (Holthuis, 1991).
143 Tourinho *et al.* (2012) estimated a divergence of 4.7 Myr based on COI between these
144 two taxa that reside on either side of the Isthmus of Panama. Based on our phylogenetic
145 dataset, we found an average COI sequence divergence of 13.1% between these species
146 (range = 12.0-13.9%, estimated using GENEIOUS). Assuming a separation time of 4.7
147 Myr, we calculated a within lineage divergence rate of 1.39% per Myr (uncorrected).
148 BEAST analyses using 4.7 Myr as a calibration point at the basal node of the tree failed to
149 converge, so we used 1.39% as our molecular clock for COI (strict clock) in all
150 subsequent BEAST runs (see Appendix S2). A maximum clade credibility tree was
151 constructed using TREEANNOTATOR 1.7.5.

152 We created a maximum likelihood (ML) tree using RAXML 7.2.6 (Stamatakis,
153 2006), and rooted trees using *P. echinatus* sequences (see Appendix S2). We created an
154 additional ML tree using RAXML with just COI sequences for all lobsters included in the
155 study ($N = 829$; see Appendix S2). We calculated genetic distance between mitochondrial
156 lineages using Kimura's two-parameter distance model (K2P) as implemented in MEGA
157 6.06 (Tamura *et al.*, 2013).

158

159 **Phylogeographic Analyses**

160 ARLEQUIN 3.5 (Excoffier *et al.*, 2010) was used to estimate haplotype (h) and nucleotide
161 diversity (π) for COI, to test for hierarchical population structure using an analysis of
162 molecular variance (AMOVA), and to calculate pairwise Φ_{ST} comparisons among all
163 locations with $N > 5$ (see Appendix S2). We constructed a median-joining network
164 (Bandelt *et al.*, 1999) using NETWORK 4.6.0.0 ([http://www.fluxus-](http://www.fluxus-engineering.com/sharenet.htm)
165 [engineering.com/sharenet.htm](http://www.fluxus-engineering.com/sharenet.htm)) to visualize the frequencies, spatial distributions, and
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166 relationships among COI haplotypes. We used the ISOLATION-BY-DISTANCE WEB
167 SERVICE 3.23 (Jensen *et al.*, 2005) to test for correlations between genetic and geographic
168 distances across the Western and Central Pacific (see Appendix S2).

169

170 RESULTS

171 Phylogenetic structure

172 Our phylogenetic analyses of three mtDNA loci revealed three divergent lineages with
173 Bayesian posterior probabilities of 1.0 that correspond to the East Pacific (ML bootstrap
174 = 52), Indo-Pacific (ML bootstrap = 97), and Red Sea (ML bootstrap = 71). The mean
175 K2P distance within lineages ranged from $d = 0.002$ – 0.004 , while distances between
176 lineages were much deeper; $d = 0.011$ – 0.020 . The East Pacific lineage was most distant
177 with $d = 1.8\%$ (vs. Indo-Pacific) and 2.0% (vs. Red Sea). The Red Sea and Indo-Pacific
178 lineages were less divergent with $d = 1.1\%$ (Fig. 3). Coalescent analyses indicate a
179 TMRCA for *P. penicillatus* and *P. echinatus* at 6.89 Ma with highest posterior density
180 (HPD) intervals that are narrower (95% HPD = 3.48–10.82 Ma) and are well within the
181 values found by Tourinho *et al.* (2012) (reported values, 95% HPD = 0.6–11.1 Ma).
182 TMRCA = 1.52 Ma (95% HPD = 0.8–2.31 Ma) for *P. penicillatus* lineages, while the
183 distinct lineages were of roughly equal ages with overlapping confidence intervals [East
184 Pacific, TMRCA = 0.22 Ma (95% HPD = 0.09–0.40 Ma); Indo-Pacific, TMRCA = 0.30
185 Ma (95% HPD = 0.17–0.46 Ma); Red Sea, TMRCA = 0.25 Ma (95% HPD = 0.10–0.47
186 Ma)].

187

188 Phylogeographic structure

189 We resolved 460bp of COI in 829 individuals from 35 locations (including the 55
190 GenBank sequences) across the species range. Number of individuals (N), haplotype
191 diversity (h), and nucleotide diversity (π) for each location are provided in Table 1.
192 Overall nucleotide diversity was low ($\pi = 0.007$) while haplotype diversity was high ($h =$
193 0.902). We detected 221 haplotypes; 139 (62.9%) were singletons. The most common
194 haplotypes were shared across all Indian and Pacific Ocean sites, but no haplotypes were
195 shared among the Indo-Pacific, East Pacific, and the Red Sea (Fig. 2).

196 Global population structure was $\Phi_{ST} = 0.310$ ($P < 0.001$), and $\Phi_{ST} = 0.018$ ($P <$
197 0.001) if the divergent Red Sea and East Pacific samples were excluded. Using the three
198 dominant marine biogeographic barriers within the tropical Indo-Pacific as a priori
199 divisions, we found significant differentiation among the Red Sea, Indian Ocean, Western
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200 and Central Pacific Ocean, and East Pacific ($\Phi_{CT} = 0.744$, $P < 0.001$). When
201 biogeographic provinces were tested, we found significant population structure among
202 the provinces for both the Briggs & Bowen Provinces and Kulbicki Provinces
203 frameworks (Table 2). For samples within the range from the Indo-Pacific Barrier to the
204 East Pacific Barrier, $\Phi_{ST} = 0.018$ ($P < 0.001$), but the genetic variance across this range
205 was not partitioned significantly among island groups or among provinces of either
206 classification (Table 2); however, the Briggs & Bowen Sino-Japanese Province was
207 genetically distinct ($\Phi_{CT} = 0.074$, $P = 0.048$). There was no pattern of IBD across the
208 Indo-West Pacific (IWP) for any of the combinations tested ($r = -0.202-0.123$; $P = 0.161-$
209 0.976). Across the species' range, 83 of 190 (43.7%) of pairwise Φ_{ST} comparisons
210 between sampling locations were significant (Table 3), and significant Φ_{ST} values ranged
211 from 0.012 to 0.885. After correcting for false discovery rate (FDR), 76 of 83
212 comparisons remained significant (Table 3).

213

214 **DISCUSSION**

215 Our range-wide phylogeographic survey of *Panulirus penicillatus* reveals one of the
216 highest levels of genetic structure detected in spiny lobsters to date (overall $\Phi_{ST} = 0.310$,
217 $P < 0.001$). Despite an estimated 9-month PLD, *P. penicillatus* shows significant genetic
218 differentiation throughout the species range, including phylogenetic-level divergences
219 across two of the three major biogeographic barriers (EPB and Red Sea/Indian Ocean
220 split) at the edges of the species distribution. Across the IWP, genetic structure is
221 comparatively lower, but still significant ($\Phi_{ST} = 0.018$; $P < 0.001$). This pattern is driven
222 by restricted gene flow between the tropical Indo-Pacific and the single warm-temperate
223 region occupied by *P. penicillatus* (Briggs & Bowen's Sino-Japanese Province).
224 However, even within the tropical IWP, a few locations appear relatively isolated (e.g.,
225 Fiji, Samoa, Northwestern Hawaiian Islands (NWHI); Table 3). Below, we examine these
226 patterns in light of biogeographic theory and hypotheses regarding the evolution and
227 radiation of this species.

228

229 **East Pacific**

230 The EPB was long thought to be an 'impassable' barrier to dispersal for nearshore marine
231 species (Darwin, 1872; Mayr, 1954). Recent molecular data support this hypothesis for
232 both a trans-EPB coral (*Porites lobata*; Baums *et al.*, 2012; Forsman *et al.*, 2015), and

233 even a broadly distributed soldierfish (Craig *et al.*, 2007). However, numerous fish
234 species have been documented on both sides of the EPB (reviewed in Robertson *et al.*,
235 2004). From the perspective of vicariance biogeography, these species ranges are
236 regarded as relics of pan-Tethyan species that were connected to the Caribbean through
237 the Central American corridor (McCoy & Heck, 1983). Alternatively, these distributions
238 could have been achieved via dispersal events from the IWP (Cortes, 1997). Molecular
239 evidence indicates recent gene flow for at least 16 fishes (Lessios & Robertson, 2006)
240 and an echinoderm (*Echinothrix diadema*; Lessios *et al.*, 1998). The magnitude, timing,
241 and direction of gene flow vary among these species, but it is primarily from the Central
242 to East Pacific.

243 For *P. penicillatus*, our data support a pan-Tethyan progenitor that subsequently
244 diverged during the late Miocene into the Pacific *P. penicillatus* and the Atlantic sister
245 species *P. echinatus*, as proposed by George (2005). Our coalescent analyses date the
246 TMRCA between *P. penicillatus* and *P. echinatus* at 6.89 Ma (HPD = 3.48-10.82 Ma).
247 Our confidence intervals overlap the 4.7 Ma TMRCA estimate of Tourinho *et al.* (2012).
248 During this time, gene flow between populations in the Atlantic and Pacific was likely
249 restricted because trans-oceanic water flow across the Panama Seaway decreased about 6
250 Ma (Collins *et al.*, 1996), and cessation occurred with the rise of the Isthmus of Panama
251 approximately 2.8 Ma (Coates & Obando, 1996). Coral reef fishes that originated in the
252 East Pacific are generally restricted to that region (Allen & Robertson 1994; Cowman &
253 Bellwood, 2013). *Panulirus penicillatus*, however, maintained broad connectivity across
254 the Pacific after the closure of the Panama Seaway: the divergence of East and West
255 Pacific lineages did not occur until 1.5 Ma (HPD = 0.89-2.3 Ma).

256 The East Pacific *P. penicillatus* populations remain phylogenetically distinct,
257 sharing no haplotypes with IWP populations ($d = 1.8\%$; Fig. 3). These findings extend
258 the results of Chow *et al.* (2011) who suggested that the EPB is a significant barrier to
259 gene flow for *P. penicillatus*. Here we add an additional East Pacific sample
260 (Revillagigedo Islands), and show there is no genetic structure between the Revillagigedo
261 Islands and the Galápagos ($\Phi_{ST} = -0.004$; $P = 0.451$). This result fits the Kulbicki
262 Provinces, which group these locations into the Offshore Tropical East Pacific Province,
263 rather than separating them as in the Briggs & Bowen classification (Fig. 1). This also
264 matches the species distribution data within the Panamanian Province: *P. penicillatus* is
265 rarely found east of the offshore islands (Holthuis, 1991). The lack of differentiation
266 between East Pacific locations, combined with the phylogenetic-level divergence and
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267 morphological differences between the East Pacific and IWP forms (see Appendix S1)
268 corroborate the evolutionary distinction of the East Pacific form, and add support to the
269 hypothesis of George (2005) that the *P. penicillatus* ‘red’ morph is a distinct taxa,
270 isolated by the EPB. Despite a much longer PLD than species maintaining gene flow
271 across the EPB (Lessios & Robertson, 2006), *P. penicillatus* may have adapted larval
272 retention behaviors similar to other Panuliridae (Jeffs *et al.*, 2005; Butler *et al.*, 2011;
273 Iacchi *et al.*, 2013) to maintain local populations during the changing currents at the
274 beginning of the Pleistocene (as suggested by George, 2005).

275

276 **Red Sea**

277 The Red Sea is connected to the Indian Ocean via the constricted (18 km) and relatively
278 shallow (137 m) Strait of Bāb al-Mandab. Pleistocene glacial cycles repeatedly lowered
279 sea levels in the region, isolating populations due to physical separation at Bāb al-
280 Mandab with potential reinforcement by temperature and salinity changes in the Red Sea
281 (Siddall *et al.*, 2003; DiBattista *et al.*, 2013). This combination of factors is likely
282 responsible for the elevated levels of endemism (Randall, 1994; DiBattista *et al.*, 2015),
283 as well as the genetic distinction of Red Sea populations of crown-of-thorns seastars
284 (Benzie, 1999), mud crabs (Fratini & Vannini, 2002), and six of eight reef fishes
285 (DiBattista *et al.*, 2013; Fernandez-Silva *et al.*, 2015).

286 Red Sea *P. penicillatus* are smaller and have slower growth rates relative to *P.*
287 *penicillatus* in other parts of the IWP, leading to speculation that these populations are
288 distinct (Plaut & Fishelson, 1991). Alternatively, differences in size and growth rates may
289 be due to environmental conditions and/or prey regimes, as has been shown for congener
290 *P. marginatus* and the slipper lobster *Scyllarides squammosus* in Hawai‘i (O’Malley *et*
291 *al.*, 2012).

292 Our genetic data indicate a phylogenetic separation between IWP and Red Sea *P.*
293 *penicillatus* ($d = 1.1\%$; Fig. 3), corroborating data from Abdullah *et al.* (2014), and in
294 accordance with the Briggs & Bowen Red Sea province. The isolation of the Red Sea
295 population (0.7 Ma; HPD = 0.42-1.1 Ma) occurred after the divergence between the East
296 Pacific and the IWP *P. penicillatus* populations (1.5 Ma; HPD = 0.89-2.3 Ma), and was
297 likely due to sea level fluctuation in the mid-Pleistocene. This divergence may have been
298 subsequently reinforced by the differences in sizes and growth rates between these
299 populations (Plaut & Fishelson, 1991). Although *P. penicillatus* has been documented
300 throughout the Red Sea as far north as the Gulf of Aquaba (Holthuis, 1968), it remains to
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301 be seen whether the Red Sea lineage extends to the adjacent Gulf of Aden, which shares
302 many endemic species with the Red Sea (DiBattista *et al.*, 2015). Additional collections
303 from the Persian Gulf or the Gulf of Oman are required to evaluate whether this
304 population would encompass the North-western Indian Province (Kulbicki Provinces).
305 Studies of morphology and the nuclear genome may determine if the separation between
306 the Red Sea and the IWP has produced a Red Sea endemic.

307

308 **Indian Ocean**

309 The Indo-Pacific Barrier (IPB) is a widely recognized disjunction in marine faunal
310 distributions between the Indian and Pacific Ocean basins (Briggs, 1974). At the height
311 of the Pleistocene glaciation, sea level dropped up to 120 m below present depths,
312 creating a nearly complete land barrier between the two oceans (Voris, 2000; Naish *et al.*,
313 2009). This loss of shallow water habitat, combined with strong upwelling in the region
314 (Voris, 2000; Naish *et al.*, 2009), created a barrier between ocean basins and reduced
315 population sizes of shallow fauna on both sides of the IPB (Gaither & Rocha, 2013).
316 Signatures of isolation can be seen for a diversity of taxa (Reid *et al.*, 2006; Barber *et al.*,
317 2011; Gaither *et al.*, 2011a), with over 80% of species surveyed across the region
318 showing significant population structure (Gaither *et al.*, 2010), and few exceptions (e.g.,
319 Crandall *et al.*, 2008; Gaither *et al.*, 2010, 2011b).

320 Here, we find no genetic structure in *P. penicillatus* across the IPB ($\Phi_{CT} = 0.001$,
321 $P = 0.180$). Two of 12 pairwise comparisons between the Indian and Pacific Ocean sites
322 were significant, but not of greater magnitude than comparisons within the tropical
323 Pacific (Table 3). Increased sampling in the Indian Ocean will allow better resolution of
324 genetic connectivity in this region, though our results corroborate those of Abdullah *et al.*
325 (2014), who resolved the mtDNA control region for *P. penicillatus* samples from
326 Indonesia and the Maldives and found no significant pairwise genetic differentiation. The
327 lack of genetic structure across the IPB may be facilitated by adult habitat preference.
328 *Panulirus penicillatus* adults prefer windward surf zones with high wave energy (George,
329 1974; Pitcher, 1993), and will move into shallow (< 0.5m) surge channels on the reef flat
330 to forage at night (Pitcher, 1993). This habitat was likely common in areas of high
331 upwelling in the region, and the affinity for shallow, scoured shorelines may have
332 allowed adult *P. penicillatus* to persist during glacial cycles, and to maintain population
333 connectivity across the IPB.

334 Both Briggs & Bowen and Kulbicki province frameworks set the barrier between
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335 the Indian and Pacific Oceans west of the IPB, indicating that both in terms of endemism
336 rates and overall species composition, the West Indian Ocean region is the most distinct
337 from the Pacific. For *P. penicillatus*, we did not detect any significant differentiation
338 between the WIO and the IWP ($\Phi_{CT} = 0.008$, $P = 0.14$), although more sampling will
339 provide further insights. Notably, there may be population subdivision for *P. penicillatus*
340 at the southernmost end of the WIO. Abdullah *et al.* (2014) report significant pairwise
341 genetic differentiation for *P. penicillatus* between southern Madagascar and sites in the
342 Maldives and in Indonesia. The Southwest Indian Ocean (SWIO) also hosts divergent
343 lineages of two other spiny lobster species (Gopal *et al.*, 2006; Lavery *et al.*, 2014), and
344 isolated populations within other broadly distributed species (Castelin *et al.*, 2013;
345 Hoareau *et al.*, 2013), likely reinforced by the complex current regimes in the region.
346 Hoareau *et al.* (2013) provide evidence that the SWIO is a hotspot of evolutionary
347 diversification for brittle stars, a pattern that should be evaluated for additional taxa.

348

349 **Indo-Pacific**

350 The largest biogeographic region in the *P. penicillatus* distribution is the IWP, which
351 contains three of the eight Briggs & Bowen provinces and five of the eight Kulbicki
352 provinces (Fig. 1). This expanse of coral reefs and island archipelagos extends over half
353 the circumference of the globe, with no oceanic gap greater than 800 km from the
354 westernmost edge at the IPB to the Polynesian Islands in the east (Schultz *et al.*, 2008).
355 Most reefs throughout the region can be connected within the 30–40 day PLD typical of
356 reef fishes and invertebrates (Mora *et al.*, 2012). This hypothesis of highly connected
357 metapopulations of reefs has been corroborated by biophysical dispersal models (e.g.,
358 Treml *et al.*, 2008), population genetic and phylogenetic surveys of reef-associated
359 species (e.g., Craig *et al.*, 2007; Crandall *et al.*, 2008; Gaither *et al.*, 2010; Gaither *et al.*,
360 2011a), and the combination of dispersal models with genetic data (Crandall *et al.*, 2012).

361 There is significant genetic structure across the IWP for *P. penicillatus* ($\Phi_{ST} =$
362 0.018; $P < 0.001$); however, this structure is not driven by differences among provinces
363 within either framework (Table 2), among archipelagos (Table 2), or by IBD. For
364 example, *P. penicillatus* at the Marquesas is not significantly differentiated from sites in
365 the Indo-Polynesian or Hawaiian provinces (Table 3), unlike many fish species (Bernardi
366 *et al.*, 2002; Planes & Fauvelot, 2002; Gaither *et al.*, 2010). Rather, there are specific
367 sites that are driving the pattern (the Commonwealth of the Northern Marianas Islands

368 (CNMI), Fiji, Samoa, and the NWHI; Table 3). The isolation of the most northern atolls,
369 the NWHI and CNMI, matches dispersal pathways predicted by Treml *et al.* (2008).
370 Similar site-specific, rather than regional patterns of genetic differentiation, have been
371 documented in five fishes (Drew *et al.*, 2008), and two *Panulirus* spp. hypothesized to be
372 panmictic based on long (>6 month) PLDs (Iacchei *et al.*, 2013, 2014). In isolation, these
373 significant pairwise comparisons may be statistical artifacts, but as more species are
374 surveyed across this geographic range, it will be informative to reassess potential patterns
375 (e.g., Toonen *et al.*, 2011; Selkoe *et al.*, 2014), with a particular emphasis on utilizing
376 new methodologies to identify potential drivers of site-specific population differentiation
377 (e.g., Iacchei *et al.* 2013; Selkoe *et al.*, 2014; Gaither *et al.*, 2015).

378

379 **Sino-Japanese Province**

380 Within the Indo-Pacific, the most genetically distinct *P. penicillatus* population is in
381 Japan and Taiwan, aligning with the Briggs & Bowen provincial classification of this
382 region. The Sino-Japanese Province represents the northernmost range of *P. penicillatus*,
383 and is the only warm-temperate region inhabited by *P. penicillatus*. Few large-scale
384 phylogeographic studies include sampling from this region. The most well studied island
385 in this transition zone, Taiwan, has fish fauna on the northwestern coast affiliated with
386 the warm-temperate mainland, but fauna on the southeastern coast are tropical in origin
387 (Shao *et al.*, 1999). The persistence of tropical reef species, including *P. penicillatus*,
388 likely depends on the magnitude and location of the Kuroshio Current, a northerly-
389 flowing, western boundary current that originates near the tropical Philippines and
390 Taiwan (Ujiie *et al.*, 2003).

391 Population genetic separations of this region for *P. penicillatus* ($\Phi_{ST} = 0.047-$
392 0.268) are greater than most significant comparisons within the Indo-Pacific, including
393 sites separated by greater than ten thousand kilometres (Table 3). Our results are
394 concordant with findings of genetic structure for sea cucumbers (Skillings *et al.*, 2011)
395 and yellow tang (Eble *et al.*, 2011), but not soldierfish (Craig *et al.*, 2007). Surveys that
396 have sampled the Sino-Japanese Province and the tropical IWP are limited in number, but
397 the heretofore evidence of restricted gene flow or isolated populations in this
398 temperate/tropical transition zone suggest a fruitful topic for future phylogeographic
399 investigations.

400

401 **Conclusion**

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402 Our genetic survey of the pronghorn spiny lobster *Panulirus penicillatus* indicates that
403 even a species with an extremely long pelagic larval duration (~9 months), and one of the
404 broadest distributions in the sea, is influenced by the same barriers to gene flow that have
405 facilitated speciation in other taxa. Genetic connectivity for *P. penicillatus* is only
406 minimally impeded by the ephemeral Indo-Pacific Barrier, but is clearly restricted by the
407 Red Sea/Indian Ocean divide, and most notably by the East Pacific Barrier. We find
408 genetic partitions are aligned with biogeographic provinces from both the Briggs &
409 Bowen and Kulbicki province frameworks (Offshore TEP, Sino-Japanese, Red Sea).
410 Notably, our data indicate potential for evolutionary divergence at the periphery of the
411 range, as demonstrated for other marine species across this region (e.g. Malay & Paulay,
412 2010; Gaither *et al.*, 2011b; Lavery *et al.*, 2014; DiBattista *et al.*, 2015; Gaither *et al.*,
413 2015). In light of this, the partition between the tropical Indo-Pacific and the warm-
414 temperate Sino-Japanese Province may emerge as a general pattern when more taxa are
415 examined.

416 While many *Panulirus* spp. have broad distributions, approximately half of the
417 Pacific species are archipelagic endemics or regionally restricted (Holthuis, 1991). These
418 species have likely evolved mechanisms to increase local retention within their restricted
419 ranges (reviewed in Jeffs *et al.*, 2005). Here, our data indicates that even the most broadly
420 distributed *P. penicillatus* may have evolved similar mechanisms for larval retention,
421 especially the East Pacific form, which warrants taxonomic recognition as the red form
422 described by George (2005).

423

424 **ACKNOWLEDGEMENTS**

425 We appreciate individuals who facilitated collection of specimens (including DIPnet;
426 NSF-DEB#14-57848), assisted with lab work, and provided thoughtful commentary (see
427 Appendix S3), including the editor and anonymous reviewers. This work was funded in
428 part by NSF (OCE#06-23678, OCE#09-29031, OCE#12-60169), NMFS, National
429 Marine Sanctuaries NWHICRER-HIMB partnership (MOA-2005-008/6882), NOAA
430 Project R/HE-6, sponsored by UH Sea Grant under institutional grant
431 NA09OAR4171060 from NOAA Sea Grant (UNIHI-SEAGRANT-JC-11-07), an EPA
432 STAR Fellowship (MI), the Yoshimoto Foundation (MI), Edmondson Research Fund
433 (MI), and NSF GK-12 (DGE02-32016, DGE05-38550 to K.Y. Kaneshiro) (MI). MI was
434 funded by the Jessie Kay Grant, and NSF OCE#15-22572 during the writing of this

435 manuscript. This is contribution 1634 from the Hawai‘i Institute of Marine Biology, 9525
436 from SOEST, and 2015-XXX from UH Mānoa Biology Department.

437

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689

690 SUPPORTING INFORMATION

691 Additional Supporting Information may be found in the online version of this article:

692 **Appendix S1** Photographs depicting regional variation in *P. penicillatus* specimens

693 **Appendix S2** Sample list, detailed methods, additional analyses

694 **Appendix S3** Acknowledgements

695

696 DATA ACCESSIBILITY

697 DNA Sequences: GenBank accession numbers: XXXXX-XXXXX

698 BIOSKETCH

699 Matthew Iacchei is a post-doctoral researcher at the University of Hawai‘i. This dataset is
700 part of his PhD dissertation in the Zoology Department at the University of Hawai‘i at
701 Mānoa, entitled, “Population genetic and phylogeographic insights into the phyllosomal
702 odyssey”, which was completed under the supervision of Robert J. Toonen and Brian W.
703 Bowen, with assistance and inspiration from Michelle R. Gaither.

704

705 Author contributions: M.I., M.R.G, B.W.B, and R.J.T conceived the ideas for this study,
706 collected samples, and contributed to the writing of the paper. M.I. produced the DNA
707 sequence data; M.I. and M.R.G. analysed the data; and M.I. was the primary author.

708

709 Editor: Gustav Paulay

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Table 1 Summary statistics for 829 *Panulirus penicillatus* mtDNA cytochrome *c* oxidase subunit I (COI) sequences obtained from 35 sites: total number of individuals sequenced (*N*), haplotype diversity (*h*), and nucleotide diversity (π). Sites are listed individually, and grouped by the biogeographic provinces as designated by Briggs and Bowen (2012) and by Kulbicki *et al.* (2013). NW Indian = North-western Indian Province; Offshore TEP = Offshore Tropical Eastern Pacific Province. Sites marked with an asterisk include some, if not all sequences from GenBank.

Briggs & Bowen Provinces	Collection Site (Abbreviation)	<i>N</i>	<i>h</i>	π	Kulbicki Provinces
Red Sea	Saudi Arabia (SAUD)	6	0.93	0.004	NW Indian
Western Indian Ocean	Zanzibar (ZANZ)	16	0.93	0.005	Western Indian
	Seychelles Islands (SECH)	4	1.00	0.006	
Sino-Japanese	Taiwan (TAIW)	2	0.00	0.000	Central Indo-Pacific
	Japan (JAPA)*	15	0.97	0.006	
	India (INDI)*	2	1.00	0.007	
	Indonesia (INDO)*	4	1.00	0.005	
	Torres Strait, Australia (TORRE)*	1	1.00	0.000	
Indo-Polynesian	Palau (PALA)*	1	1.00	nc	Central Pacific
	Rota (ROTA)	26	0.86	0.005	
	Saipan (SAIP)	4	1.00	0.003	
	Sarigan Island (SARI)	36	0.85	0.004	
	Pagan Island (PAGA)	50	0.91	0.005	
	Wake Island (WAKE)	17	0.92	0.006	
	Fiji (FIJI)*	18	0.80	0.003	
	Samoa (SAMO)	37	0.91	0.006	
	American Samoa (ASAM)	25	0.96	0.006	
	Palmyra Atoll (PALM)	51	0.88	0.004	
	Kiritimati (KIRI)	63	0.92	0.005	

	Chesterfield Islands (CHEST)*	18	0.97	0.007	South-Western Pacific
	New Caledonia (NCAL)*	33	0.90	0.005	
	Tuamotu Archipelago (TUAM)*	6	0.73	0.004	Polynesian
Marquesas	Marquesas Islands (MARQ)	35	0.93	0.005	
Hawaiian	Pearl and Hermes Reef (PEAR)	30	0.91	0.006	Hawaiian
	Lisianski Island (LISI)	18	0.96	0.006	
	Maro Reef (MARO)	5	1.00	0.007	
	French Frigate Shoals (FREN)	44	0.90	0.005	
	Mokumanamana (NECK)	5	0.90	0.005	
	Kaua'i (KAUA)	52	0.89	0.005	
	Moloka'i (MOLO)	71	0.80	0.004	
	Lāna'i (LANA)	35	0.85	0.004	
	Maui (MAUI)	24	0.75	0.004	
	Hawai'i (HAWA)	47	0.89	0.005	
Galápagos	Galápagos Islands (GALA)*	10	0.78	0.005	Offshore
Panamanian	Revillagigedo Islands, Mexico (REVI)	18	0.84	0.015	TEP

Table 2 AMOVA results showing degrees of freedom (df), sum of squares (SS), variance components (Var), Φ -statistics (Φ_{CT}) and P -values (P) for each biogeographic framework tested for *Panulirus penicillatus*. Bold values indicate significant differences at $P < 0.050$. All comparisons remained significant after correcting for false discovery rate ($P > 0.0476$). The major biogeographic barriers, and marine biogeographic provinces of Briggs & Bowen (2012) and Kulbicki *et al.* (2013) are shown in Fig. 1. For the island group analysis, groups could consist of one island, but had to have at least 5 individuals sampled.

Among Regions	df	SS	Var	$\Phi_{CT/ST}$	P
Rangewide	26	419.20	0.531	0.310	<0.001

Indo-Pacific Rangewide	23	40.77	0.021	0.018	<0.001
(without Red Sea and East Pacific clades)					
Major Biogeographic Barriers					
(Red Sea/Indian Ocean/Western-Central Pacific/East Pacific)	3	377.51	3.499	0.744	<0.001
Briggs & Bowen Provinces:					
(Red Sea, Western Indian Ocean, Sino-Japanese, Indo-Polynesian, Marquesas, Hawaiian, Galápagos, Panamanian)	7	386.42	0.732	0.379	0.025
Kulbicki Provinces:					
(North-western Indian Ocean, Western Indian Ocean, Central Indo-Pacific, Central Pacific, South-western Pacific, Hawaiian, Polynesian, Offshore Tropical Eastern Pacific)	7	385.92	0.665	0.356	0.003
Pacific Briggs & Bowen Provinces:					
(Indo-Polynesian, Sino-Japanese, Marquesas, Hawaiian)	3	6.56	-0.002	-0.001	0.316
Pacific Kulbicki Provinces:					
(Central Indo-Pacific, Central Pacific, South-western Pacific, Hawaiian, Polynesian)	4	8.10	-0.002	-0.002	0.187
Pacific Island Groups:					
(Japan, CNMI, Wake Island, New Caledonia and Chesterfield Islands, Fiji, Samoa and American Samoa, Tuamotu Archipelago, Marquesas Islands, Line Islands, Hawaiian Archipelago)	9	16.58	0.007	0.006	0.218

Table 3 Pairwise population genetic structure results a 460bp fragment of the mtDNA cytochrome *c* oxidase subunit I region (COI). Φ_{ST} is below the diagonal and *P*-values are above the diagonal. Shaded boxes below the diagonal indicate significant differences at $P < 0.05$. Asterisks indicate comparisons that were no longer significant after correcting for false discovery rate ($P > 0.015$). Sites are grouped by biogeographic region and by island archipelago. Only sites where $N > 5$ are included. Regional abbreviations: RS = Red Sea; WIO = Western Indian Ocean. Site Abbreviations (West to East): 1. SAUD = Saudi Arabia; 2. ZANZ = Zanzibar; 3. JAPA = Japan; 4. ROTA = Rota Island; 5. SARI = Sarigan Island; 6. PAGA = Pagan Island; 7. CHES = Chesterfield Islands; 8. NCAL = New Caledonia; 9. Wake = Wake Island; 10. FIJI = Fiji; 11. SAMO = Samoa; 12. ASAM = American Samoa; 13. PALM = Palmyra Atoll; 14. KIRI = Kiritimati; 15. TUAM = Tuamotu Archipelago; 16. MARQ = Marquesas Islands; 17. NWHI = Northwestern Hawaiian Islands; 18. MHI = Main Eight Hawaiian Islands; 19. GALA = Galápagos Islands; 20. REVI = Revillagigedo Islands.

		RS	WIO	Central and West Pacific															East Pacific		
		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
Red Sea	1. SAUD		<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	0.002	<0.001	<0.001	<0.001	<0.001	<0.001
WIO	2. ZANZ	0.739		0.023	0.062	0.026	0.080	0.330	0.057	0.399	0.010	0.522	0.240	0.090	0.331	0.431	0.185	0.329	0.048	<0.001	<0.001
	3. JAPA	0.714	0.059*		<0.001	<0.001	<0.001	0.003	<0.001	0.001	<0.001	0.015	<0.001	<0.001	0.001	0.063	<0.001	0.002	<0.001	<0.001	<0.001
	4. ROTA	0.763	0.036	0.139		0.213	0.855	0.579	0.945	0.354	0.053	0.133	0.267	0.106	0.502	0.626	0.825	0.159	0.315	<0.001	<0.001
	5. SARI	0.786	0.049*	0.198	0.007		0.301	0.192	0.181	0.329	0.410	0.009	0.108	0.344	0.051	0.494	0.393	0.005	0.180	<0.001	<0.001
	6. PAGA	0.744	0.025	0.147	-0.010	0.002		0.398	0.798	0.422	0.205	0.030	0.727	0.272	0.225	0.726	0.796	0.029	0.399	<0.001	<0.001
	7. CHES	0.699	0.004	0.081	-0.007	0.010	0		0.622	0.857	0.083	0.579	0.343	0.303	0.790	0.917	0.825	0.556	0.255	<0.001	<0.001
Central and West Pacific	8. NCAL	0.758	0.036	0.149	-0.017	0.008	-0.008	-0.008		0.288	0.074	0.073	0.222	0.074	0.249	0.585	0.839	0.064	0.252	<0.001	<0.001
	9. WAKE	0.709	0.001	0.104	0.002	0.003	-0.001	-0.020	0.006		0.149	0.255	0.366	0.516	0.556	0.773	0.645	0.361	0.188	<0.001	<0.001
	10. FIJI	0.811	0.095	0.268	0.035	0	0.009	0.030	0.028	0.021		0.002	0.143	0.081	0.013	0.080	0.117	0.003	0.046	<0.001	<0.001
	11. SAMO	0.725	-0.005	0.047	0.013	0.041	0.021*	-0.006	0.018	0.007	0.089		0.103	0.045	0.749	0.851	0.151	0.908	0.036	<0.001	<0.001
	12. ASAM	0.716	0.011	0.122	0.005	0.014	-0.007	0.003	0.008	0.002	0.019	0.015		0.430	0.286	0.837	0.423	0.133	0.206	<0.001	<0.001
	13. PALM	0.769	0.026	0.153	0.014	0.001	0.003	0.004	0.015	-0.004	0.025	0.019*	-0.001		0.224	0.620	0.341	0.036	0.294	<0.001	<0.001
	14. KIRI	0.728	0.003	0.075	-0.002	0.016	0.003	-0.010	0.004	-0.005	0.044	-0.006	0.003	0.004		0.873	0.563	0.900	0.224	<0.001	<0.001

	15. TUAM	0.787	-0.005	0.063	-0.024	-0.006	-0.029	-0.054	-0.020	-0.037	0.071	-0.045	-0.042	-0.021	-0.044	█	0.560	0.894	0.764	<0.001	<0.001
	16. MARQ	0.747	0.015	0.133	-0.012	0	-0.008	-0.015	-0.011	-0.009	0.021	0.010	-0.001	0.001	-0.003	-0.016	█	0.243	0.286	<0.001	<0.001
	17. NWHI	0.718	0.003	0.063	0.008	0.031	0.014*	-0.004	0.014	0.001	0.065	-0.008	0.010	0.014*	-0.005	-0.044	0.004	█	0.006	<0.001	<0.001
	18. MHI	0.768	0.029*	0.147	0.002	0.005	0	0.006	0.003	0.010	0.027*	0.016*	0.006	0.001	0.002	-0.035	0.002	0.012	█	<0.001	<0.001
East	19. GALA	0.880	0.849	0.835	0.858	0.871	0.848	0.820	0.856	0.827	0.885	0.836	0.836	0.862	0.837	0.868	0.847	0.832	0.863	█	0.451
Pacific	20. REVI	0.714	0.722	0.708	0.755	0.782	0.780	0.710	0.767	0.711	0.753	0.756	0.739	0.792	0.780	0.680	0.763	0.792	0.840	-0.004	█

FIGURE LEGENDS

Figure 1 Collection locations and sample sizes for *Panulirus penicillatus* across the Indo-Pacific. Colours indicate the biogeographic provinces within which sample sites have been grouped following: (a) Briggs and Bowen (2012), and (b) Kulbicki *et al.* (2013). The three predominant biogeographic barriers in the Indo-Pacific are identified by Roman numerals: I) Red Sea/Indian Ocean Barrier; II) Indo-West Pacific (IWP) Barrier; III) East Pacific Barrier (EPB). In parentheses is the total number of individuals sequenced across all of the islands in that island group. Several islands were sampled in some of the island groups: Commonwealth of the Northern Marianas Islands (Rota, Saipan, Sarigan Island, Pagan Island), New Caledonia (Chesterfield Islands, New Caledonia), Samoa (Samoa, American Samoa), Line Islands (Palmyra Atoll, Kiritimati), Northwestern Hawaiian Islands (Pearl and Hermes Reef, Lisianski Island, Maro Reef, French Frigate Shoals, Mokumanamana), and Main Hawaiian Islands (Kaua‘i, Moloka‘i, Lāna‘i, Maui, Hawai‘i). These sample sites were combined into the island groups after determining that there was no significant genetic structure within that group. Samples sizes and diversity statistics for each of these islands individually are reported in Table 1. Italicized sample numbers identify sites where some or all of the sequences were obtained from publicly available databases. Locations marked with an asterisk were not included in population level analyses due to low sample size ($N < 5$). Photo credit: Kydd Pollock.

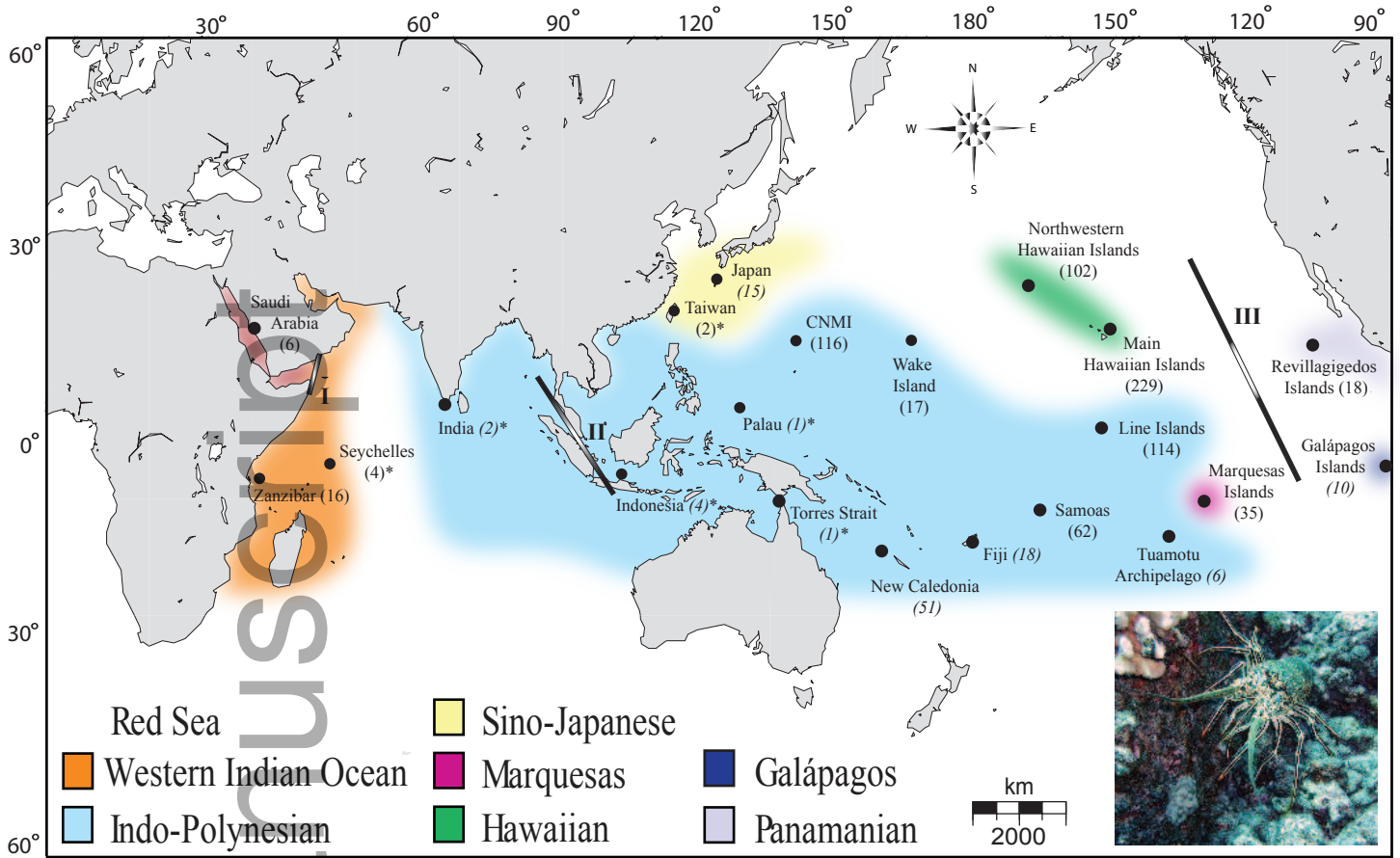
Figure 2 Median-joining network for *Panulirus penicillatus* mtDNA, constructed using 460 base pairs of cytochrome *c* oxidase subunit I (COI) from 829 individuals in the program NETWORK. Each circle represents a unique haplotype that is proportional in size the number of individuals that have that haplotype. The smallest circle represents two individuals; the largest represents 240 individuals. Haplotypes are colour-coded according to the Briggs & Bowen (2012) biogeographic provinces where they were found. All haplotypes are separated by one base pair difference unless labeled with a number greater than one.

Figure 3 Maximum clade credibility tree for *Panulirus penicillatus* compiled from ten independent Bayesian MCMC analyses implemented in BEAST, and based on three loci: COI, 12S and 16S. Bayesian posterior probabilities (before

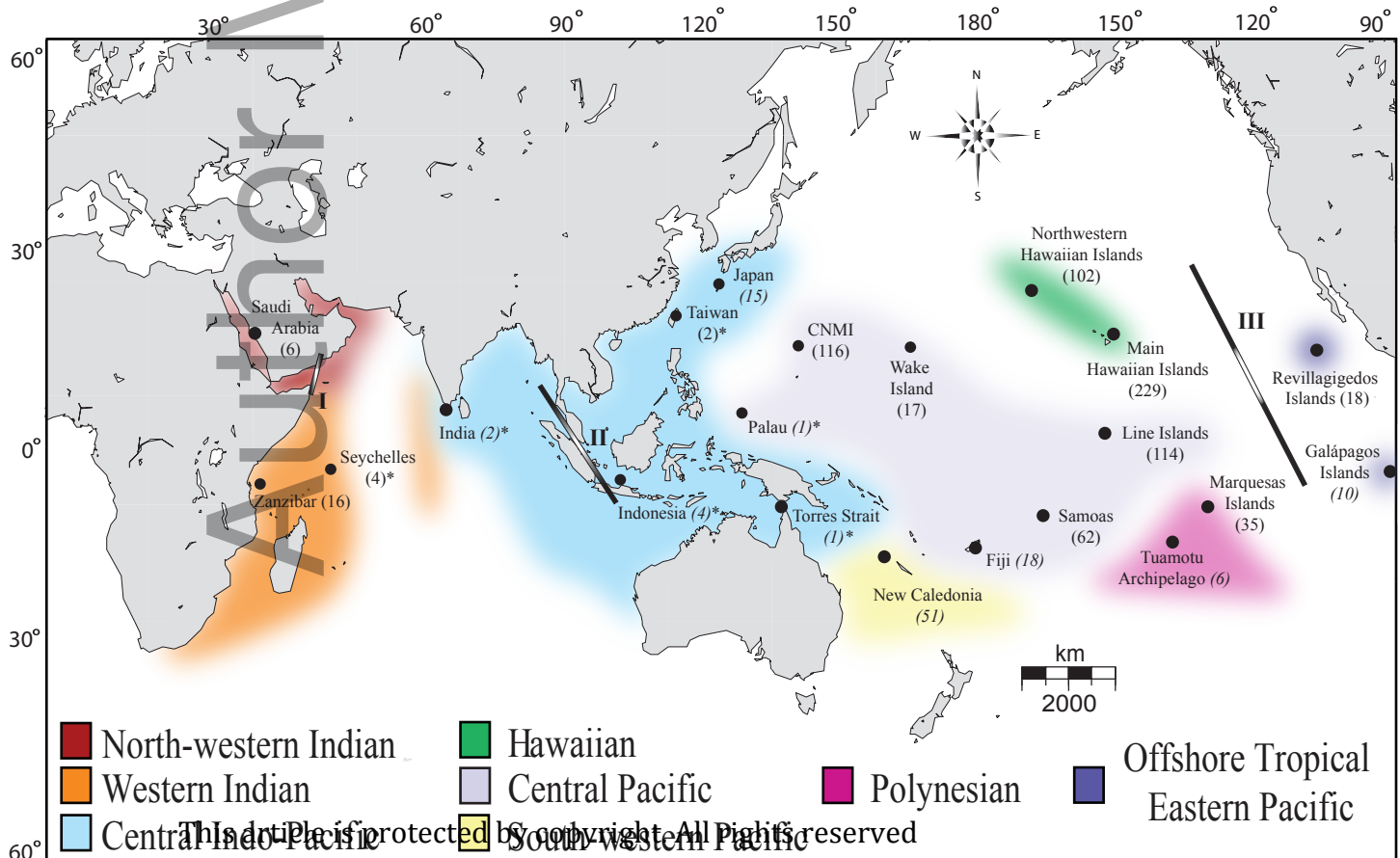
slash) and bootstrap branch support for maximum likelihood analyses (after slash) are indicated above a cut off of 0.5 and 50, respectively. Node ages are presented as median node heights with 95% HPD intervals represented by bars.

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(a) Indo-Pacific Provinces - Briggs and Bowen (2012)



(b) Indo-Pacific Provinces - Kulbicki *et al.* (2014)



- Red Sea
- Western Indian Ocean
- Indo-Polynesian
- Sino-Japanese
- Marquesas
- Hawaiian
- Galápagos
- Panamanian

