

A sequential model to link contextual risk, perception and public support for flood adaptation policy

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47 The economic damage from coastal flooding has dramatically increased over the past several
48 decades, owing to rapid development in shoreline areas and possible effects of climate change.
49 To respond to these trends, it is imperative for policy makers to understand individuals' support
50 for flood adaptation policy. Using original survey data for all coastal counties of the United
51 States Gulf Coast merged with contextual data on flood risk, this study investigates coastal
52 residents' support for two adaptation policy measures: incentives for relocation and funding for
53 educational programs on emergency planning and evacuation. Specifically, this study explores
54 the interactive relationships among contextual flood risks, perceived flood risks and policy
55 support for flood adaptation, with the effects of social-demographic variables being controlled.
56 Age, gender, race and partisanship are found to significantly affect individuals' policy support for
57 both adaptation measures. The contextual flooding risks, indicated by distance from the coast,
58 maximum wind speed and peak height of storm surge associated with the last hurricane landfall,
59 and percentage of high-risk flood zone per county, are shown to impact one's perceptions of risk,
60 which in turn influence one's support for both policy measures. The key finding –risk perception
61 mediates the impact of contextual risk conditions on public support for flood management
62 policies – highlights the need to ensure that the public is well informed by the latest scientific,
63 engineering and economic knowledge. To achieve this, more information on current and future
64 flood risks and options available for mitigation as well as risk communication tools are needed.

65 Key Words: Policy support; flood adaptation; risk perception; contextual flood risk factors

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71 **1. Introduction**

72 Coastal flooding events pose enormous risks to human lives and have caused substantial
73 property damages (Hatzikyriakou et al., 2015; Xian et al., 2015; Nadal et al., 2009; Perry, 2000;
74 Aerts et al. 2013). With rising damages caused by coastal flooding, there is an increasing need
75 for risk reduction, informed development, and other adaptation and mitigation actions (Michel-
76 Kerjan, 2015; Michel-Kerjan et al., 2015; Michel-Kerjan & Kousky, 2010; Kunreuther and
77 Michel-Kerjan 2009). Rising sea levels and increasing storm activity in a changing climate are
78 expected to result in more frequent and intensive flood events and therefore lead to greater
79 damages in the future (Nicholls and Cazenave, 2010; Emanuel, 2013; Lin et al., 2016; Lin and
80 Emanuel 2016; Lin and Shullman, 2017). In addition, there has been a dramatic increase in
81 exposure to risks due to rapid population migration, growth and related development in coastal
82 areas. In the United States, more than half of the population currently resides in coastal areas
83 with large concentrations of assets near the water (Moser et al., 2014). NOAA estimates that the
84 coastal population growth and near-shore development are likely to continue, suggesting that
85 even more people will live under flood threats in decades to come (NOAA 2013).

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87 Being exposed to a high level of flood risks, a variety of adaptive measures such as home
88 elevation, flood-proofing, and construction of seal walls and barriers can be considered (Xian et
89 al., 2017; Aerts et al. 2014; Bogardi and Warner 2009; Klima et al 2011). For those who have
90 witnessed repetitive losses from flood events in the past, relocation to less flood prone areas may
91 also be considered (Kick et al., 2011). In addition, flood warning for early evacuation is crucial
92 to protect human lives (Carsell et al. 2004). It is sensible for people who are exposed to flood
93 risks to undertake measures to mitigate future flood damages. Likewise, long-term education and

94 investment in flood evacuation and emergency planning can raise community resilience by better
95 ensuring the safety of people in flood-prone areas when floods do occur.

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97 Although flood risk adaptation measures are among the most effective ways to protect
98 people from flood threats, few people take such measures voluntarily (Baan and Klijn 2004;
99 Bubeck et al 2012). Voluntary relocation from hazard-prone locations is unlikely to be a widely
100 adopted option for various reasons, including family commitment, livelihood opportunities,
101 financial constraints and emotional attachment (King et al. 2014). Likewise, some will choose
102 not to evacuate even during extreme events (Baker, 1991; Dow and Cutter, 2000; DeYoung et al.
103 2016; Weller et al. 2016). Previous literature found that people's perceptions of flood risks have
104 direct effects on their hazard mitigation incentives and evacuation behaviors (Huang et al. 2012;
105 Ge et al., 2011). Lindell and Hwang (2008) found a mediating role of perceived risk between
106 hazard experience and hazard adjustment behavior. Past experience with storms and evacuation
107 have also been found to influence individual risk perception and further affect flood hazard
108 adjustment behaviors (Ge et al., 2011; Dash 2000; Dash 2002; Whitehead et al. 2000). People
109 tend to show less concern about flooding risks if they have not experienced an intensive flood
110 event in the recent past. This can be explained by the "crisis effect," which refers to the
111 observation that disaster awareness peaks immediately after events occur but rapidly dissipates
112 thereafter (Stefanovic 2003; Atreya et al. 2013; Gallagher 2014). The previous findings highlight
113 the necessity for policy makers to design policies that can motivate people, especially those
114 without past experience, to take flood mitigation measures.

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116 To make any policy effective, involving the public is a crucial step. However, there is
117 limited literature investigating the factors that may influence individuals' policy support for flood

118 hazard adjustment measures. The influences of social-demographic factors are potentially
119 important and dictate the need for a large data sample. Aspects of the surrounding environment,
120 consisting of both social and physical contexts, have a significant impact on individuals'
121 behaviors (Stern, 2000; Zahran et al., 2006; Shao et al., 2017b). For example, the vulnerability to
122 and experience of flooding in one's residence may heighten risk perceptions and correspondingly
123 lead to a proactive response. But whether this would hold in policy support for coastal flood
124 hazard adjustment needs to be explored. Moreover, the role that perceptions of flood-related risks
125 play between contextual environment risks and policy support needs to be investigated.

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127 Our study is one of the first systematic examinations of the relationship between socio-
128 demographic characteristics, individuals' perceived flood-related risks, and contextual measures
129 of flood-related risks on the one hand, and policy support for flood hazard adjustment measures
130 on the other. Specifically, our study is the first to examine whether contextual flood-related risk
131 would influence individuals' policy support for relocation and education on emergency planning
132 and evacuation directly or indirectly through risk perceptions. Based on a large surveyed sample
133 for the entire U.S. Gulf Coast, the results can help policy makers better understand public
134 support for long-term flood hazard adjustment policies and design more effective policies to
135 motivate coastal residents to participate in long-term programs for flood risk adaptation.

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137 This paper is organized as follows. In the next section, the conceptual framework is laid
138 out and key components and hypotheses are discussed. The research design, data and methods
139 are presented in the following section. Results of the analyses are discussed subsequently. The
140 paper concludes with a summary of findings, discussion of implications, and a path forward for

141 future studies.

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143 **2. Conceptual Framework: Contextual Risks and Perceived Risks**

144 Our conceptual framework consists of three components: contextual risks indicative of
145 local physical hazards, perceived risks, and support for policies to adapt to flooding and
146 hurricane risks. Figure 1 displays alternative hypothesized relationships among the three key
147 components. These alternative paths are explored and drawn upon from the literature. In the
148 Protective Active Decision Model (PADM), Lindell and Perry (2012) lay out a theoretical
149 framework explaining factors influencing adoption of protective actions. In their framework, the
150 environmental context constitutes the initial stage of a decision process. It provides cues which
151 have the potential to trigger perceptions of environmental threats. A growing body of empirical
152 studies have found a link between the environmental context and perceptions. For instance, risk
153 perceptions of climate change are found to be positively correlated with recent temperature
154 trends (Hamilton and Keim, 2009; Howe et al., 2013; Shao et al., 2016; Shao et al., 2014).
155 Temperature anomalies lead to a perception of climate warming (McCright et al. 2014; Zaval et
156 al. 2014). The objective characteristics associated with the last hurricane landfall have positive
157 effects on individuals' perceptions of changing hurricane strength (Shao et al., 2017a).
158 Perceptions of extreme weather events are shaped by objective impacts of these events (Cutler
159 2015).

160

161 In the context of the present study, the contextual flood and hurricane risk factors may
162 have direct impacts on perceptions of flood-related risks. Risk perceptions are broadly studied in
163 examining mitigation and adaptation behaviors, mainly driven by the "motivational hypothesis",

164 referring to the inclination to undertake precautionary measures when perceiving high risks
165 (Weinstein et al. 1998). It has been long speculated that perceptions of risks can directly
166 translate into actions to reduce risks. Evidence for this link is nevertheless mixed. For instance,
167 previous research has identified a positive relationship between risk perceptions and long-term
168 hazard adaptation (Huang et al., 2016), while a few have found no such correlations (Lindell and
169 Whitney, 2000; Perry and Lindell, 2008). Also, some studies show no observable relationship
170 between risk perception and flood insurance purchase (Bauman and Sims, 1978; Laska, 1990;
171 Lo, 2013), whereas other studies have made the observation that flooding risk perceptions lead to
172 flood insurance purchase behaviors (Petrolia et al., 2013; Shao et al., 2017b).

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174 In this study we consider and compare the two alternative paths shown in Figure 1 for the
175 manner in which the presence of flood-related risks affect adaptation policy support. Drawing
176 upon the literature (Preacher 2015), we propose a conceptual framework that allows a simple
177 mediation analysis. Path 1 of the conceptual framework is that perceptions of flood-related risk
178 play a mediating role, linking contextual flood-related risk and the resulting level of support for
179 adaptation policies. Path 2 is that the contextual risks can affect individuals' adaptation policy
180 support directly without risk perception as the mediator. In other words, the impact of contextual
181 risks can reach policy support through alternative paths, other than risk perception. That could
182 occur when individuals' policy support or behavior is influenced by other factors that correspond
183 to contextual risks. For instance, the local government may incorporate local contextual risk
184 factors into policies, such as land use restrictions and shoreline setback requirements – with
185 residents supporting these policies because they promote better beach access and slower
186 development – even if residents do not recognize that avoiding flood damage is the primary

187 motivation for the policy. Similarly, contextual flood-related risks may influence other factors
188 such as perception of social norms that directly influence the policy support or behavior (Lo,
189 2013). To illustrate, if one's immediate family members or close friends support one particular
190 policy, he/she may be more likely to support it because this person deems such support as
191 socially acceptable. These other factors are not directly measured in the survey on which the
192 present study is based. Their influences may nevertheless reach individuals' consciousness and
193 further intervene in their decisions through various routes. Previous empirical studies also
194 demonstrate statistically significant impacts of contextual factors on policy support and
195 behaviors. For example, Zahran et al. (2006) found that objective risk measures including
196 temperature trend and frequencies of natural calamity and extreme weather events do affect
197 climate policy support. Shao et al. (2017b) found that higher flood risks estimated by FEMA can
198 drive individuals to voluntarily purchase flood insurance. Therefore, we consider the alternative
199 hypothesis that contextual flood risks may be associated with support for policies that address
200 flood risks without risk perception as the mediator.

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202

203 **3. Data and Methods**

204

205 **3.1. Research Design**

206 The hypothesized relationships portrayed in Fig. 1 are tested using results from a recent
207 survey of coastal residents along the U.S. Gulf Coast controlling for the effects of social-
208 demographic factors. The questions in the study explore whether contextual flood risk is related
209 to perceived flood-related risk, and whether these aforementioned two variables are related to

210 policy support for relocation programs and more funding for evacuation and emergency planning.
211 In addition to testing the hypothesized framework, we identify a preferred model by comparing
212 the complexity-adjusted goodness of fit of the alternatives.

213 **3.2. Survey and Contextual Risk Measurements**

214 The survey data are extracted from the 2012 Gulf Coast Climate Change Survey which
215 includes items related to coastal residents' perceptions of local climate risk and their willingness
216 to take actions to adapt to climate impacts (Goidel et al. 2012). This survey provides the most
217 comprehensive assessment to date of perceptions of climate risks and policy support to address
218 implications of climate change in the Gulf Coast (Goidel et al. 2012). Stratified random
219 sampling was used to draw an adequate independent sample across and within the Gulf Coast
220 states (Florida, Alabama, Mississippi, Louisiana, and Texas). Data were collected by landline
221 telephone calls (more than 20,000 calls) from January 3 through April 4, 2012. The response rate
222 for the survey is 17.6 percent. The number of respondents is 3856. The survey items related to
223 the working hypotheses in the conceptual framework involve policy support for adaptations and
224 perceptions of flood-related risks.

225 The variables of interest for policy support are derived from two survey questions: 1.
226 "Support/Oppose Incentives to Relocate from Threatened Areas?" 2. "Support/Oppose
227 increasing funding for education on emergency planning and evacuation?" The response
228 "oppose" is coded as -1 and "support" as 1. "Don't know" is coded as 0. In this context the
229 question provides two clear alternatives, anyone's decision to respond "don't know" can be
230 interpreted as being indecisive between these two options.

231 Perceived change in flood-related risk is gauged by two questions: 1 “would you say that
232 the hurricanes that do impact your local community are stronger, not as strong, or about as strong
233 as hurricanes in the past?” 2. “would you say the amount of flooding has changed?” The
234 responses are coded on a three-point scale as -1 (“not as strong” or “decrease”), 0 (“the same”),
235 and 1 (“stronger” or “increase”). “Don’t know” is recoded as missing.

236 Contextual flood-related risk factors include the maximum wind speed and peak storm
237 surge height from the last landfall hurricane and the percentage of coastal high risk flood zone,
238 all at the county level, and distance from the coast at the household level. Hurricanes can cause
239 both wind (e.g. Hurricane Andrew in 1992) and water damage (e.g. Hurricane Katrina in 2005).
240 Maximum wind speed and peak storm surge from landfall hurricanes are thus related to
241 hurricane risks. Peak storm surge height and the percentage of high risk flood zone in an area are
242 associated with coastal flooding hazards. Percentage of high risk flood zone indicates the
243 approximate proportion of the exposure within a county under high risk of coastal flooding.
244 Distance from the coast can indicate the vulnerability of the household to both hurricane and
245 flood hazards. Therefore, physical characteristics of hurricane landfalls such as maximum wind
246 speed and peak storm surge, and proximity to the coast reflected in the distance from the coast
247 could all influence an individual’s risk perception related to hurricanes. Peak storm surge,
248 percentage of high risk flood zone and distance from the coast could influence risk perception on
249 flooding amount.

250 In this study, maximum wind speed is estimated as the final 6-h wind magnitude of the
251 storm prior to landfall from the HURDAT Best Track data (Landsea et al., 2004). Peak storm
252 surge height, measured at the tidal gauge/high watermark from the latest hurricane landfall,
253 comes from the SURGEDATA, a global storm surge measurement dataset (Needham and Keim,

254 2012; Needham et al., 2015). The percentage of coastal area in a high risk flood zone is defined
255 as the ratio of the area of the floodplain VE¹ zone of a county to the total area of the county and
256 is calculated from FEMA's flood insurance rate maps (FIRMs). Distance to the coast is based on
257 the respondents' selection from seven distance classes, ranging from adjacent/on the water to
258 more than 60 miles.

259 When testing the hypotheses proposed in the framework, we control the social-
260 demographic background variables including age, gender, race, education, and income, which
261 have been considered in previous studies on hurricane and flood risk perception (Shao et al.,
262 2017a; Botzen et al., 2009) and adaptation behavior (Lindell and Hwang, 2008) In addition, party
263 identity, which was found to be important in climate risk perception and adaptation (McGrath
264 and Dunlap, 2011b; Botzen et al., 2016), is also included in our models. A summary of the
265 statistics of the individual-level variables is shown in Table 1. The correlation among the
266 individual-level variables is examined in Table 2 to provide insight on possible collinearity
267 between explanatory variables and an initial identification of variables that influence perceived
268 risks. As indicated, the correlations are generally low in magnitude, though a number of the
269 correlation coefficients statistically differ from zero (in part due to the large sample size). Only
270 Party ID and Race (correlation coefficient = 0.38) and Education and Income (correlation
271 coefficient = 0.47) exhibit absolute correlation coefficients exceeding or near 0.4.

272

273 **3.3. Statistical Analysis Methods**

¹ VE zone refers to the floodplains that are subject to inundation by the 1-percent-annual-chance flood event with additional hazards due to storm-induced wave action. The VE zone indicates the location of an overall coastal flood hazard.

274 The dependent variables y are categorical and ordinal (-1, 0 and 1), so that Ordinary Least
275 Squares (OLS) models are inappropriate. Fitted models can yield predictions outside the range of
276 the dependent variables and heteroskedasticity often results. A more appropriate method is the
277 ordered-logit regression. The ordered logit regression equation is written as follows:

278 $\log \frac{p(y \leq j)}{1-p(y \leq j)} = \log(\theta) = \beta_{0,j} + x' \beta_j \quad j=-1, 0 \quad (\text{Eq. 1})$

279 where $p(y \leq j)$ is the probability that the dependent variable y is below j (j = -1 or 0). . The left
280 hand of this equation is called log odds ratio (odds= θ); $\beta_{0,j}$ is the offset term and β_j is a vector of
281 regression coefficients; x' is a vector of independent variables.

282 The 2012 Gulf Coast Climate Change survey database provides county FIPS codes,
283 enabling us to merge the individual-level data with contextual data for model fitting. Merging
284 individual-level and contextual data raises certain statistical complications, i.e. the error term of
285 individual observations nested within the same county are no longer independent. To account for
286 the clustered data structure of the present study, multilevel regression analyses are applied. We
287 have two layers in our regression model. The first layer of the model targets at the individual
288 respondent level (i.e., social-demographic variables) and its slopes (β) are fixed (fixed effect).
289 The second layer is for county level contextual variables (i.e., risk factor). The dependent
290 variable of the second layer is the intercept for the first layer of the model, making the intercept
291 random (random effect). Thus, the multilevel model here is also called an ordered-logit mixed
292 effects model (*meologit* in Stata is used).

293 Applying the multilevel regression analysis and controlling social-demographic
294 background variables, we test the following hypotheses based on the conceptual framework:

295 H1. Contextual flood-related risk factors are directly related to perceived flood-related risks
296 H2. Perceived flood-related risks are directly related to policy support
297 H3. Contextual flood-related risk factors are directly related to policy support
298 If H1 and H2 hold but H3 does not, the effects of contextual flood-related risks on policy support
299 would be completely mediated by risk perceptions of flooding risks. If H1, H2 and H3 all hold,
300 the effects of contextual flood-related risks on policy support are partially mediated by risk
301 perceptions of flooding risks.

302 To account for differences in model complexity, we compare the models related to
303 adaptation policy support (H2 and H3) using the Akaike information criterion (AIC). Given that
304 there is a small difference in the number of observations in the models, we apply an adjusted
305 form of the AIC, following the approach of Hilbe (2011):

306
$$AIC = \frac{-2L+2k}{n}$$
 (Eq. 2)

307 where L is the model log-likelihood; k is the number of predictors, including intercepts and n is
308 the number of observations.

309 We then present predictive models that includes social-demographic background variables, risk
310 perception and contextual risk variables.

311

312 **4. Results and Discussion**

313 **4.1 Effects of Socio-demographic Background on Adaptation Policy Support**

314 Some consistent patterns arise among social-demographic background variables (details
315 in Table A in Supplementary Materials (S.M.). Age, gender, race, and partisanship are found to
316 significantly affect respondents' policy support for both relocation and increased funding
317 towards education for emergency planning and evacuation. Specifically, younger people, females,
318 racial minorities, and Democrats are more likely than others to support the two policies.

319 One possible explanation for the effect of age is from previous findings that younger
320 people are more concerned about climate-related risks (e.g. intensified hurricanes and flooding)
321 and their consequences (Borick & Rabe, 2010; Hamilton & Stampone, 2013; Shao et al., 2017a).
322 Another possible explanation is that young individuals are more mobile compared to older
323 people. Both relocation and emergency evacuation require a certain amount of mobility. A
324 previous study found that migration rate peaks for the age group from 18 to 34 and steadily
325 declines with increasing age (Benetsky et al., 2015).

326 Previous studies found that white people and males tend to judge environmental risks at a
327 lower level than non-whites and females (Finucane et al., 2000; Marshall, 2004; McCright &
328 Dunlap, 2011a, 2011b). The racial difference is attributed to the fact that racial minorities are
329 often especially subject to the consequences of environmental distress (Mohai & Bryant, 1998;
330 Pais et al. 2013). The gender gap is argued to be due to different societal roles (Davidson &
331 Freudenburg, 1996). The interpretation of results about race and gender in this study is that racial
332 minorities and females may tend to perceive higher risks in the coastal setting, exhibit higher
333 social norms (Lo, 2013), and express a higher level of concern, and therefore they are more
334 likely than their counterparts to support policies to mitigate the negative impacts of these risks.

335 Republicans are less likely than Democrats to support the two adaptation policies. This
336 could be attributed to their aversion to government action that constrains individual behavior
337 (Dunlap and McCright, 2008).

338 Education is not found to be predictive of policy support for relocation but plays a
339 significant role in support for funding on education programs for emergency planning and
340 evacuation. In particular, higher levels of education are associated with less support for
341 emergency planning and evacuation education programs. This surprising finding (more
342 education yields less support for emergency planning education) may suggest that people with
343 more education already have easy access to educational information and programs on flood
344 hazard adjustment measures such as emergency planning and evacuation, and therefore express
345 less interest in increasing funding for these educational programs. In contrast, those with less
346 knowledge may feel a greater need. Policy makers may thus need to make an extra effort to meet
347 this need and to ensure that all recognize the importance of a broadly- and well-educated
348 community for effective emergency planning and evacuation.

349 **4.2. Analyses on the Hypothesized Path I**

350 Multilevel regression analyses are conducted to examine effects of contextual risks on
351 perceptions of changing hurricane strength and flooding amount as the first stage of the
352 hypothesized Path I (H1; as shown in Fig. 1). Contextual risk factors related to hurricane strength
353 include maximum wind speed and peak storm surge height associated with the latest landfall
354 hurricane that affected the local county. Percentage of high-risk flood zone at the county-level is
355 also included to explain perception of flooding amount. Moreover, a vulnerability factor,
356 distance from the coast, is included across the analyses. Results suggest that maximum wind
357 speed at the last hurricane landfall has significant effects on perceptions of increasing hurricane

358 strength (detailed results in Table B of S.M.). Specifically, coastal residents who are in counties
359 that have experienced severe storm surge flooding from the last hurricane landfall and reside
360 near the coast are more likely to perceive higher flooding amount. Overall, the first stage of Path
361 1 (H1) is supported by the multilevel regression analyses.

362 To test the second stage of hypothesized Path I (H2), the effects of risk perceptions of
363 changing hurricane strength and flooding amount on the two policy support measures are
364 examined. Perceptions of increasing hurricane strength and changing flooding amount have
365 highly significant effects on supporting relocation and funding for education program on
366 emergency evacuation (detailed results are found in Table C of S.M., under model 1). Coastal
367 residents who perceive increasing hurricane strength and changing flooding amount are more
368 likely to support the two long-term flood hazard adjustment policies. These results confirm the
369 second phase of Path I. Overall, the two-stage path analysis consistently supports our
370 hypothesized Path I linkage of contextual risk factors to policy support through risk perceptions,
371 as shown in Figures 2 and 3.

372 **4.3. Analyses on the Hypothesized Path II**

373 It is also possible that contextual risk factors can be significantly related to one's
374 adaptation policy support (H3). Multilevel regression analyses for policy support on relocation
375 are conducted to associate contextual risk factors with policy support directly. Distance from the
376 coast and maximum wind speed at the last hurricane landfall that are significant predictors of
377 perceptions of changing flooding amount fail to show significant impacts on policy support for
378 relocation (details in Relocation models 2 in Table C of S.M.). The percentage of high risk flood
379 zone is also insignificant to policy support on relocation. Peak height of storm surge from the last

380 hurricane landfall on the other hand shows significant effects. Individuals who have experienced
381 higher storm surge from the latest hurricane landfall tend to show more support for providing
382 incentives to relocate. This finding demonstrates that individuals can retrieve the information
383 from the latest high-impact storm surge flooding event and they are more sensitive to the
384 memory of the storm surge flooding impact than to that of the wind in their support for
385 relocation. A possible explanation is that during the general period of the survey (early 2012)
386 fear for water exceeded that of wind in determining one's support for relocation. Studies
387 addressing the relative importance of wind and water on coastal storm risk perception and
388 response have yielded different findings (Peacock et al. 2005; Morss and Hayden, 2010; Meyer
389 et al. 2014), with results possibly influenced by more recent events in the study locations.

390 Multilevel models of policy support for funding of educational programs on evacuation
391 and emergency planning reveal that none of the contextual risk factors appear to have any
392 significant impact on this policy support (detailed results in Emergency planning model 2 in
393 Table C of S.M.). These results demonstrate the limited explanatory power of contextual risk
394 factors on policy support related to evacuation and emergency planning.

395 In all, contextual risk factors appear to have strong effects on perceptions of changing
396 hurricane strength and flooding amount but very limited power in influencing long-term policy
397 support directly. Meanwhile, these two perception variables are significant factors in determining
398 policy support. It is logical to draw the inference that contextual risk factors affect one's public
399 policy support through perceptions. Perceptions play a powerful mediating role in one's
400 cognitive process linking judged risks from the cues of environmental contexts to supporting
401 policies on protective measures. The analysis does not support our hypothesized Path II that links

402 contextual risk factors directly to policy support, except for storm surge flooding impact in the
403 policy support for relocation in which risk perception plays only a partial mediating role.

404 In addition, the model comparison suggests that the model with risk perception (H2 in
405 Path I) is better than the one with contextual risk factors (Path 2) in predicting policy support for
406 relocation (AIC: 1.54 vs 1.57) and funding for education on evacuation and emergency planning
407 (AIC: 0.92 vs 0.95). Values of L, k and n with AIC are shown in Table D in the S.M.. The
408 detailed results for this framework, including unstandardized coefficients and statistical
409 significance, are shown in Figures 2 and 3 for the two respective policy support measures.

410 **4.4. Final Models on Adaptation Policy Support**

411 We develop two multilevel models for the support on the two flood adaption policy
412 measures respectively based on results from the hypothesized framework analyses (as shown in
413 Table III). In these models, standardized coefficients are estimated to identify the relative
414 importance of each variable. Party identity and age are the most influential factors in the two
415 models. Perception of changing hurricane strength is slightly more important than perception of
416 changing flooding amount in policy support for relocation and increasing funding for emergency
417 planning and evacuation. Education, gender, race and the residual effect of storm surge flooding
418 from the last landfall hurricane seem to have a small influence on one's support for relocation.
419 However education and race are very important factors determining a respondent's support for
420 increasing funding for education on emergency planning and evacuation.

421 To help put the model results in overall perspective, we display variations in the
422 probability of policy support with different risk perceptions based on our multilevel regression
423 models (Figure 4). The first notable finding is that both the perception of changing flooding

424 amount and perception of changing hurricane strength play a crucial role in increasing the
425 probability of supporting relocation, ranging from 56 percent supporting relocation among those
426 perceiving a decrease in either hurricane strength or flooding, to 69 or 70 percent supporting
427 relocation among those perceiving increased hurricane strength or flooding amount, respectively.
428 The results for support of education programs shows a similar response to heightened risk
429 perception, but with a much higher level of baseline support. Perceptions of both higher
430 hurricane strength and flooding each yield increased support for education programs from 80
431 percent (for those who perceive decreases in risk) to 88 percent (perceived increase).

432

433 **5. Conclusion**

434 With the combination of growing population concentration in the coastal zone and
435 increasing flooding risks brought by climate change, it is imperative to examine what motivates
436 individuals to support certain measures to better deal with increasing flooding risks. In this study,
437 we focus on individuals' support for two different long-term policy measures, namely, providing
438 incentives for relocation and increasing funding for education on emergency planning and
439 evacuation. By merging contextual flood risk data with survey data, we attempt to reveal some
440 relationships between nature and society, specifically, the influence of contextual risks on
441 societal flood adaptive decisions. We have made three major findings.

442 First, the relationship between contextual flooding risks and long-term flood hazard
443 adjustment policy support is not straightforward. Rather, the contextual flooding risks, indicated
444 by distance from the coast, maximum wind speed and peak height of storm surge associated with
445 the last hurricane landfall, and percentage of high-risk flood zone per county, impact support on

446 both policy measures through perceptions of flood-related risks. In other words, perceived risks
447 play a mediating role bridging the contextual flood-related risks and policy support to address
448 these risks. Specifically, the maximum wind speed from the last hurricane landfall is found to be
449 significantly related to perceptions of increasing hurricane strength. Peak storm surge from the
450 last hurricane landfall and distance from the coast are found to be significantly related to
451 perception of changing flooding amount. None of these contextual risk factors appear to exert
452 significant impacts on policy support for the two measures directly, with the only exception that
453 peak height of storm surge associated with the last hurricane landfall has a significant positive
454 association with policy support for relocation, even in the presence of risk perceptions. The
455 strength of storm surge effect on support for relocation dwindles when including risk perceptions
456 in the models, which reinforces the inference that risk perception is the mediator linking
457 contextual risks and policy support. This finding has two general theoretical implications. First, it
458 highlights the importance and justifies the necessity to conduct studies on environmental risk
459 perceptions and understand their relationship with the environmental context. Second, it suggests
460 that the seemingly insignificant results of contextual risk factors on policy support should not be
461 dismissed at outset. Instead, further investigation is needed to examine the relationship between
462 the environmental context and individuals' behavior intention/policy support. In the present
463 study, we specifically test the mediating role of risk perceptions. There are however alternative
464 paths through which the contextual risk factors may exert influence on one's behaviors to reduce
465 risks. These other possible routes including local policies, media, and social norms, should be
466 explored in future studies. In addition, the finding that the peak height of storm surge associated
467 with the last hurricane landfall has a strong influence has important policy implications. When
468 urging residents to adopt proper long-term flood hazard adjustment measures, it may be more

469 effective to place emphasis on the damaging power of storm surge from hurricanes, rather than
470 other storm event attributes.

471 Second, some socio-demographic characteristics including age, gender, race and
472 partisanship stand out as important predictors on individuals' policy support on long-term flood
473 adaptive measures. The highly significant negative effects of age on policy support have an
474 important policy implication. It may be more challenging for policy makers to motivate the elder
475 to support the policies on relocation and education on evacuation. Females are also more likely
476 to support the policies on relocation and education on evacuation to adapt to increasing risks.

477 Racial minorities, compared to whites, are more likely to support these two measures.
478 Republicans are less inclined to supporting these two policies. The findings about the socio-
479 demographic background sends a crucial message to policy makers. The local government needs
480 to make particular effort to reach out to those who live under the threat of flooding but are
481 reluctant to support long-term flood hazard adjustment policies, and to allocate more educational
482 resources to help them understand potential flooding risks and the importance of precautionary
483 measures and community preparedness.

484 The research on long-term flood hazard adjustment policy support is far from being
485 complete. In this study, we focus only on the impact of physical conditions on one's flood
486 adaptation policy support. Future studies need to take into consideration social constructs. Local
487 plans/policies, community socio-demographic makeup, social cohesion, and economic
488 conditions vary geographically. How these socio-economic factors impact one's adaptation
489 /policy support needs to be further investigated. Furthermore, future studies can associate
490 objective risk factors at the household level (Botzen et al., 2009), such as flood hazard at the
491 property level, ground elevation, and front door elevation, with their flood adaptation decisions.

492 Finally, the study of public support for flood adaptation policies can be extended across different
493 countries that are also vulnerable to flooding, such as the Netherlands, Vietnam, and Bangladesh.
494 The mediating effect of risk perception between contextual flood risk and policy support should
495 be examined across nations.

496

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Table I. Individual-level variables

Individual-level Variables	Code	Frequency	Percent (%)
Socio-demographic			
Age			
18-24	1	79	2.0
25-34	2	205	5.3
35-44	3	393	10.2
45-54	4	733	19.0
55-64	5	981	25.4
65 and over	6	1431	37.1
Gender			
Female	1	2305	59.8
Male	0	1551	40.2
Race			
White	1	2797	72.5
Others	0	1055	27.4
Education			
Less than HS	1	220	5.7
HS Degrees	2	770	20.0
Some College	3	1214	31.5
College Degree	4	1609	41.7
Income			
Under \$10,000	1	186	4.8
\$10,000 - \$19,999	2	255	6.6
\$20,000 - \$29,999	3	292	7.6
\$30,000 - \$39,999	4	273	7.1
\$40,000 - \$49,999	5	255	6.6
\$50,000 - \$74,999	6	506	13.1
\$75,000 - \$99,999	7	434	11.3
\$100,000 or more	8	653	16.9
Partisanship			
Democrat	-1	1100	28.5
Independent	0	1232	32.0
Republican	1	1246	32.3
Distance from the coast			
Adjacent/On the water	1	379	9.8
Near the water/within 1 - 2 miles	2	591	15.3
Within 2 - 5 miles	3	447	11.6
5 - 10 miles	4	592	15.4

11 - 30 miles	5	992	25.7
31 – 60 miles	6	545	14.1
More than 60 miles	7	224	5.8

Perceptions of Flooding Amount and Hurricane Strength

Flood Amount

Decreased	-1	658	17.1
About the same	0	2195	56.9
Increased	1	902	23.4
Hurricane Strength			
Not as strong	-1	521	13.5
About as strong	0	1634	42.4
Stronger	1	1466	38.0

Policy Support

Relocation

Oppose	-1	1311	34.1
Don't know	0	219	5.7
Support	1	2312	60.2

Increasing funding for emergency planning and evacuation

Oppose	-1	672	17.5
Don't know	0	74	1.9
Support	1	3104	80.6

Table II. Correlations of the dependent and independent variables

	Age	Gender	Race	Education	Income	Party	Distance	Hurricane	Flooding	Relocation	Evacuation
Age	1.00										
Gender	-0.02	1.00									
Race	0.15***	-0.06***	1.00								
Education	-0.03	-0.06***	0.13***	1.00							
Income	-0.07***	-0.17***	0.22***	0.47***	1.00						
Party	0.01	-0.07***	0.38***	0.13***	0.25***	1.00					
Distance	-0.12***	0.06***	-0.10***	-0.07***	-0.05*	-0.03*	1.00				
Hurricane	0.05**	0.05**	-0.01	0.00	-0.00	-0.07***	-0.02	1.00			
Flooding	0.00	0.05**	-0.05**	-0.01	-0.01	-0.06***	0.01	0.24***	1.00		
Relocation	-0.11***	0.08***	-0.09***	-0.01	-0.06**	-0.13***	-0.00	0.11***	0.10***	1.00	
Evacuation	-0.10***	0.08***	-0.13***	-0.12***	-0.12***	-0.17***	0.04*	0.10***	0.07***	0.26***	1.00

(Party: party identity; Distance: distance from the coast; Hurricane: perception of changing hurricane strength; Flooding: perception of changing flooding amount; Relocation and Evacuation are the two respective dependent variables)

* for H_0 rejected at the 0.05 level, ** for H_0 rejected at the 0.01 level, and *** for H_0 rejected at the 0.001 level.

Table III. Standardized coefficients of the final mixed-effect ordered-logit models

Variable	Relocation	Education on Evacuation and Emergency Planning
Socio-Demographic		
Age [+/-]	-0.231***	-0.256***
Gender: female [+/-]	0.129*	0.156*
Race: white [+/-]	-0.087*	-0.219*
Education [+/-]	0.062	-0.132
Income [+]	-0.113	-0.159
Partisanship [+/-]	-0.188***	-0.361***
Perceptions of Risks		
Hurricane strength [+]	0.176***	0.235***
Flooding amount [+]	0.168**	0.183**
Contextual Risks		
Distance from the coast [-]	-0.062	0.076
Maximum wind speed [+]	-0.039	-0.064
Storm surge [+]	0.135*	-0.027
Percentage of high risk flood zone per county [+]	0.075	0.025
N	1666	1671

* for H_0 rejected at the 0.05 level, ** for H_0 rejected at the 0.01 level, and *** for H_0 rejected at the 0.001 level.

+ indicates positive hypothesized effect; - indicates negative hypothesized effect

Hypothesized Relationship

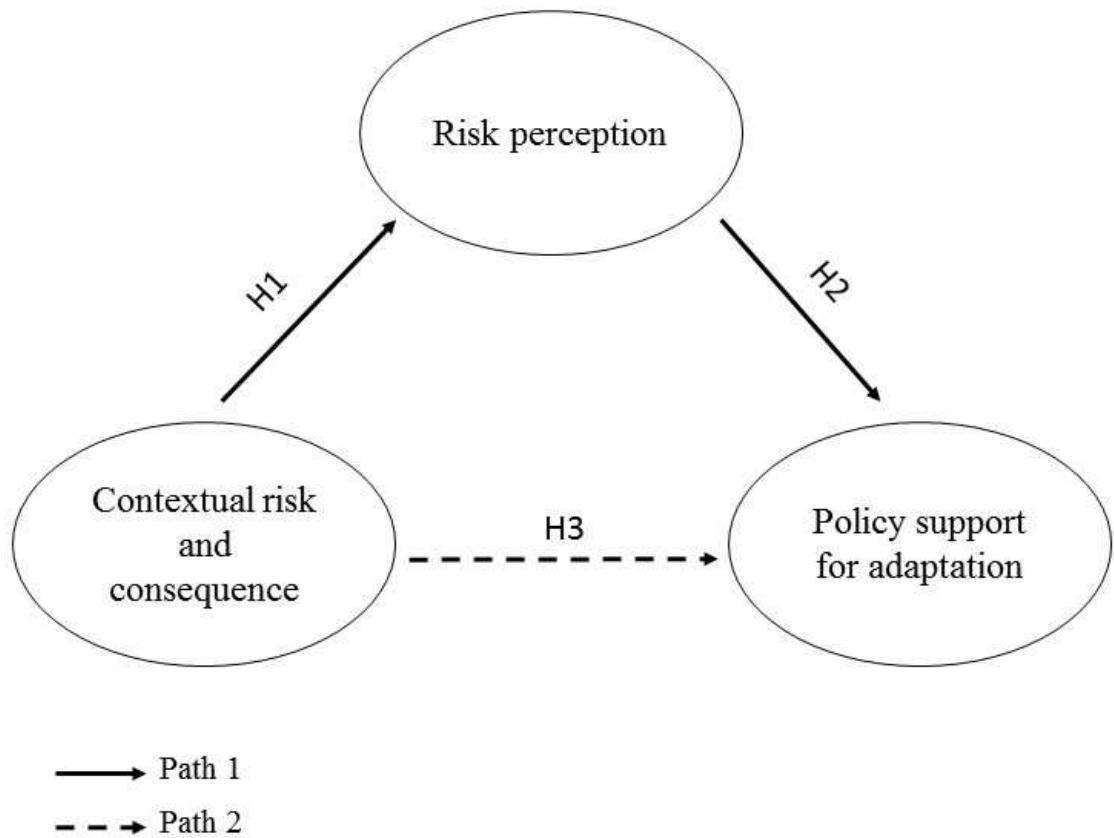


Figure 1. Hypothesized relationships between contextual risk and consequence, risk perception, and policy support for risk adaptation.

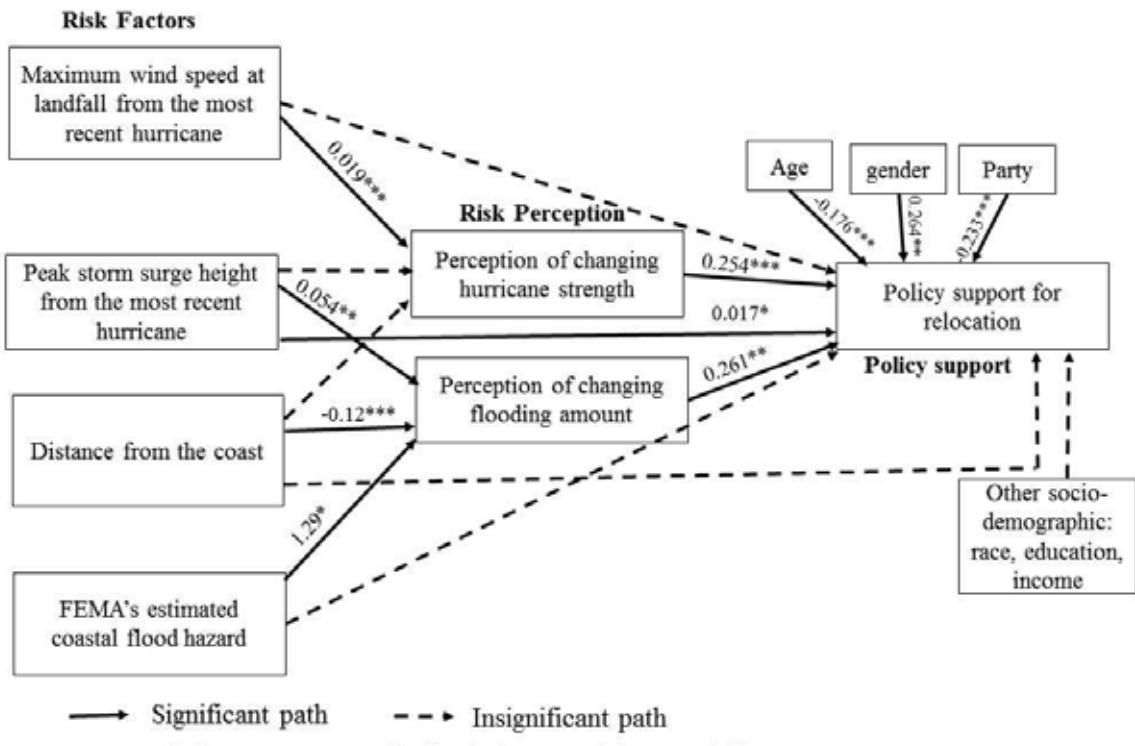


Figure 2. Path analysis to test the path I and path II in the conceptual framework for policy support on relocation. (The numbers in each arrows refers to the coefficients of the model that are not standardized).

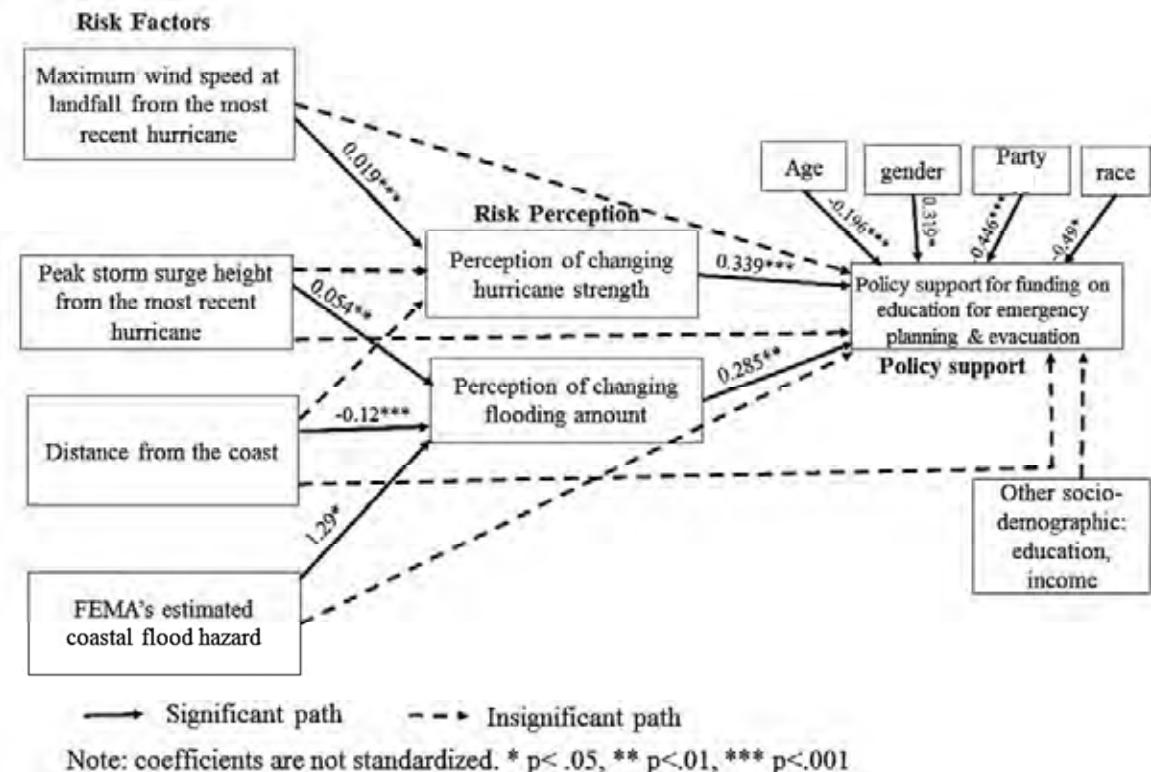


Figure 3. Path analysis to test the path I and path II in the conceptual framework for policy support on funding on education for emergency planning and evacuation.

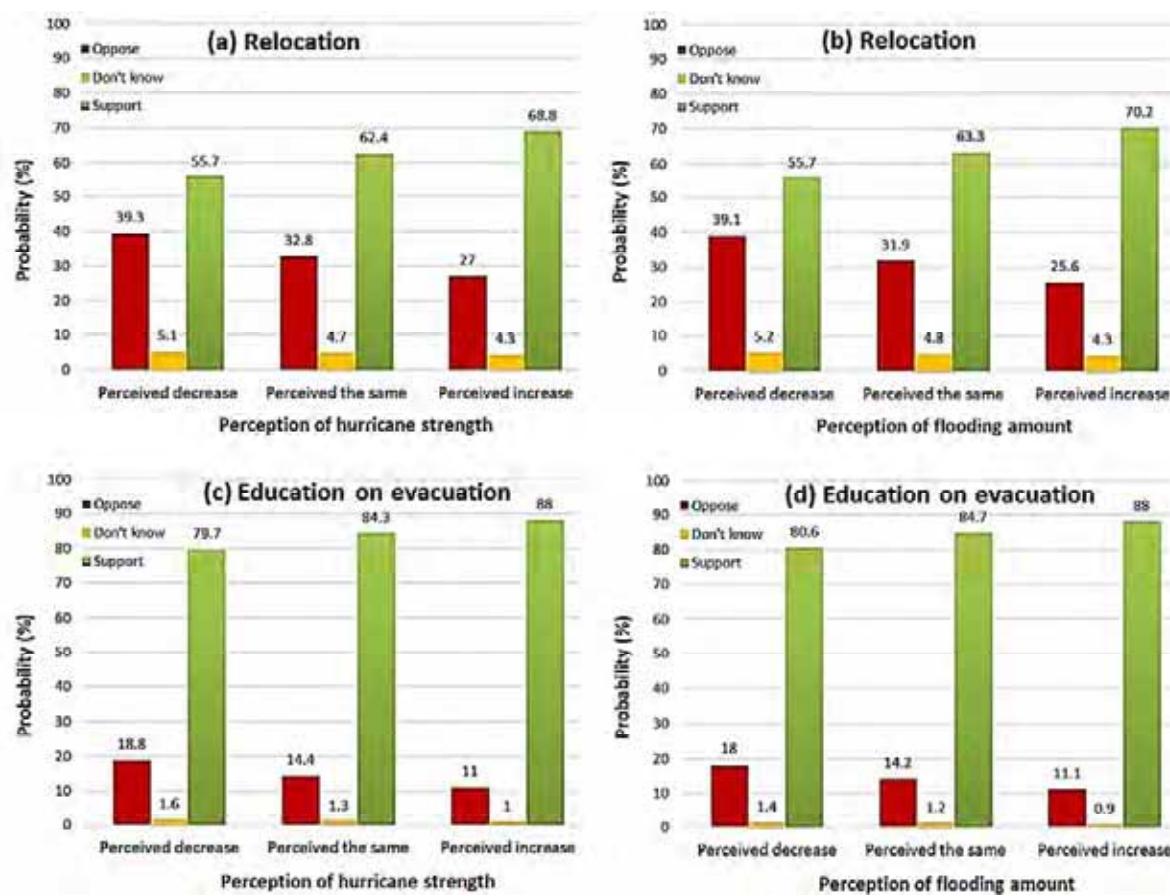


Figure 4. Variation in probability (%) of policy support for: (a) & (b) relocation and (c) & (d) funding for education on emergency planning and evacuation with different risk perceptions of hurricane strength and flooding amount. (The other variables are fixed at the mean).

