

1 **Effects of salinity and transparent exopolymer particles on formation of aquatic aggregates**
2 **and their association with norovirus**

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26

27 **ABSTRACT**

28 Human noroviruses (NoVs) are responsible for 50% of food-related disease outbreaks and are
29 notably associated with shellfish consumption. Despite the detrimental health impacts of human
30 NoV-contaminated seafood to public health, there is a lack of knowledge on the physicochemical
31 conditions that govern NoV transmission in aquatic ecosystems. In the present study, we
32 investigated the propensity for NoVs to associate with aquatic aggregates, which have been
33 shown to efficiently deliver nano-sized particles to shellfish. Specific physicochemical
34 conditions characteristic of shellfish cultivation waters, specifically salinity and transparent
35 exopolymer particles (TEP), were targeted in this study for investigating aggregate formation
36 and NoV association dynamics. Murine norovirus (MNV) was used in aggregation experiments
37 as a model surrogate for NoVs. Results demonstrate increased aggregate formation as a function
38 of increasing salinity and TEP concentrations, as well as greater numbers of MNV genomes
39 incorporated into aggregates under conditions that favor aggregation. As aggregate formation
40 was enhanced in waters representing optimal conditions for shellfish production, specifically
41 saline and high TEP waters, the implications to virus transport and shellfish food safety are
42 profound: more aggregates implies increased scavenging of virus particles from surrounding
43 waters and therefor greater risk for bivalve contamination with nano-sized pathogens. These

44 novel data provide insight into where and when NoVs are most likely to be ingested by shellfish
45 via contaminated aggregates, thereby informing best management and water quality monitoring
46 practices aimed at providing safe seafood to consumers.

47

48 **KEYWORDS**

49 Pathogen, transport, transmission, seafood safety, water quality, shellfish

50

51 **HIGHLIGHTS**

- 52 • Noroviruses (NoVs) are associated with aquatic aggregates.
- 53 • Enhanced aggregate formation occurred in optimal shellfish cultivation conditions.
- 54 • Enhanced aggregate formation facilitated virus-aggregate association.
- 55 • NoV transmission to seafood consumers likely enhanced by presence of aggregates.

56

57 **1 INTRODUCTION**

58 Noroviruses (NoVs) are small (27 – 40 nm in diameter), single-stranded RNA viruses and
59 members of the *Caliciviridae* family. Human NoVs are the most commonly identified cause of
60 acute gastroenteritis amongst both sporadic community cases and outbreaks (de Wit et al. 2001,
61 Wheeler et al. 1999), and are the leading cause of viral gastroenteritis (GI) worldwide (Patel et
62 al. 2009), responsible for 23 million cases of GI per year (Mead et al. 1999). Clams, mussels,
63 oysters, and scallops are filter feeders that can accumulate toxins and pathogens present in
64 cultivation waters, and have consistently proven to be effective vehicles for the transmission of

65 viral diseases (Le Guyader et al. 2012, Lees 2000). About 50% of food-related illness outbreaks
66 are attributed to human NoVs (Hall et al. 2014), with shellfish as the most commonly implicated
67 commodity of a human NoV disease outbreak (Hall et al. 2011).

68 Despite the detrimental health impacts of human NoV-contaminated seafood to public
69 health, the physical mechanisms that govern NoV transmission to shellfish are largely unknown.
70 Aquatic macroaggregates (composite particles ≥ 0.5 mm, herein referred to as “aggregates”) are
71 ubiquitous in natural waters, and form by a variety of physicochemical pathways (Silver et al.
72 1978). They are heavily colonized by bacteria and other heterotrophic microorganisms, and are
73 important components of the sinking flux of organic and inorganic matter in marine ecosystems
74 (Alldredge and Silver 1988, Grossart and Simon 1998, Kiørboe et al. 2003, Simon et al. 2002,
75 Smith et al. 1992).

76 Aggregates can have a significant effect on the waterborne transmission of pathogens, as
77 previous studies have demonstrated the propensity of pathogens like *Toxoplasma gondii*,
78 *Cryptosporidium parvum*, *Giardia lamblia*, *Salmonella*, and the viral surrogate bacteriophage
79 PP7 to associate with aggregates, particularly in estuarine and marine waters (Shapiro et al.
80 2013, Shapiro et al. 2012). Benthic invertebrates such as shellfish consume organic aggregates as
81 a source of food, and can subsequently concentrate microorganisms present in these flocs (Lyons
82 et al. 2005). Compared with freely suspended virus-sized particles, aggregates have been shown
83 to facilitate the ability of bivalves to capture and retain viral particles in their tissues (Ward and
84 Kach 2009). However, there is a lack of knowledge of the physicochemical conditions that
85 govern the association of NoVs with aggregates, specifically in shellfish cultivation waters. This
86 information is essential for predicting and monitoring high-risk zones of human NoV-
87 contaminated waters.

88 Exopolymers such as transparent exopolymer particles (TEP) promote the formation of
89 aggregates from suspended matter (Alldredge et al. 1993, Passow 2002). TEP can act as a glue
90 that aids in the binding of small organic (e.g., detritus, phytoplankton, fecal pellets) and
91 inorganic material (e.g., clay minerals), increasing the aggregation rate, resulting in the
92 formation of more and larger aggregates that sink rapidly to the benthos (Alldredge et al. 1993,
93 Alldredge and Silver 1988, Passow and Alldredge 1995a, Passow and Alldredge 1995b).
94 Previous studies have shown that phytoplankton, bacteria, and oysters contribute to the
95 production of TEP through the natural release of exopolymers, implying that benthic suspension
96 feeders produce TEP which could lead to enhanced formation of aggregates in near-shore waters
97 (Heinonen et al. 2007). Furthermore, changes in salinity, such as in an estuarine or marine
98 environment, have been found to enhance TEP formation, and therefore also contribute to an
99 increased aggregation rate (Wetz et al. 2009) . Despite the theoretical link among shellfish
100 cultivation waters, TEP production, aggregate formation and pathogen transport, no work to date
101 has described the effect of these physicochemical conditions on the association of NoVs with
102 aggregates.

103 Predicting and mitigating human NoV contamination of seafood requires an
104 understanding of the basic mechanisms that govern virus entry into shellfish. In this study,
105 laboratory aggregation experiments utilized murine norovirus (MNV) to test three hypotheses:
106 (1) Changes in salinity and TEP concentrations cause an increase in aggregate formation; (2)
107 NoVs associate with aquatic aggregates; and (3) An increase in aggregate formation promotes an
108 increased association of NoVs with aggregates. MNV has been previously described as the most
109 appropriate viral surrogate for investigating the physical transport of human NoVs due to its
110 phylogenetic relationship, size (28-35 nm), shape (icosahedral), buoyancy, and environmental

111 fate (Bae and Schwab 2008, Cannon et al. 2006). Therefore, compared with previous studies on
112 virus association with aquatic aggregates, the present study provides more robust data on NoV
113 transport behavior in aquatic systems. Furthermore, the results of the present study provide novel
114 insight into the conditions under which human NoV association with aggregates may be
115 enhanced, leading to more efficient uptake by shellfish and subsequent risk of exposure to
116 shellfish consumers.

117

118 **2 MATERIALS AND METHODS**

119 **2.1 Source of murine norovirus**

120 Strain MNV-1, considered the MNV prototype, was donated by Jackson Laboratories in Bar
121 Harbor, Maine. This strain was passed numerous times through cell culture and was obtained at a
122 concentration of $10^{6.8}$ 50% Tissue culture Infective Dose (TCID₅₀)/mL. TCID₅₀/mL values were
123 converted to MNV RNA genomes/mL using RNA concentration (ng/μL) measurements made by
124 a NanoDrop 2000 spectrophotometer (Thermo Fisher Scientific, Wilmington, DE). Multiple
125 aliquots of the stock virus were stored at -80°C, and specific aliquots were thawed immediately
126 prior to spiking experiments as detailed below.

127

128 **2.2 Aggregation experiments**

129 **2.2.1 Water samples**

130 Two separate experiments were conducted to test the effects of changes in salinity (Experiment
131 1) and TEP concentration (Experiment 2) on the rate of aggregations and association of MNV
132 with aquatic aggregates (Figure S1). For Experiment 1, a single surface freshwater sample (30-L)
133 was collected from Putah Creek in Davis, CA (38°29'36.2"N, 122°01'42.7"W). Freshwater was

134 spiked with increasing concentrations of artificial sea salt (Bio-Sea true seawater formula, Aqua
135 Craft) to yield an identical water matrix that differed only in ionic strength across five salinity
136 samples ranging from fresh to marine (0, 8, 16, 24 and 33 ppt). Non-spiked freshwater served as
137 the baseline salinity condition and as the “0 ppt” water type.

138 In Experiment 2, a single surface seawater sample (30-L) was collected near the mouth of
139 Tomales Bay in Marshall, CA (38°13'01.2"N, 122°57'34.8"W). Tomales Bay is a major
140 shellfish-harvesting region in California and would therefore be representative of the baseline
141 amount of native TEP present in shellfish growing waters. Seawater was spiked with increasing
142 concentrations of alginic acid to yield seawater samples that were identical across all
143 physicochemical properties except for increasing concentrations of TEP. Unspiked seawater
144 served as the baseline measure of TEP in the water. Spiked TEP concentrations represent
145 realistic concentrations measured in natural seawaters, which range from 50 to 1042 µg Gum
146 Xanthan equivalents per liter (µg Xeq/L) along the California coast (Passow 2002). Alginic acid
147 is a commercially available anionic polysaccharide that is available in a purified form, is
148 produced by a common California macroalgae (*Macrocystis pyrifera*) (McKee et al. 1992), and
149 has been previously used as a TEP surrogate (Passow 2012, Shapiro et al. 2014). The
150 composition of TEP is largely unexplored and variable, however, TEP are operationally defined
151 as being rich in acidic polysaccharides (Passow 2002). Alginic acid was selected as a model for
152 TEP in this study due to its classic TEP characteristics, including rich-acidic-polysaccharide
153 composition and gelling behavior.

154 Alginic acid, in addition to xanthan gum, is routinely employed as a reference value for
155 the calibration of TEP (Thornton et al. 2007). A working stock solution of alginic acid was
156 prepared using 60 mg of alginic acid from *Macrocystis pyrifera* (Sigma-Aldrich CAS 9005-32-7)

157 and 100 mL of collected seawater. The stock solution was mixed three times using a tissue
158 homogenizer and was then allowed to settle for 20 minutes. This mixing process was repeated
159 twice, after which the homogenized solution was then refrigerated (4°C) in the dark until used.
160 To prepare seawater samples of increasing TEP concentrations, the 0.6 mg/mL alginic acid stock
161 solution was added at increasing volumes to 4-6 L of collected seawater, targeting a final
162 concentration of 100, 300, 500, and 700 µg Xeq/L. Final TEP concentrations were 0, 169, 225,
163 327 and 484 µg Xeq/L.

164 **2.2.2 TEP quantification**

165 In Experiment 2, one-liter aliquots of each water type were preserved in 2% formaldehyde and
166 stored in the dark at 4°C for later analysis. TEP concentrations were measured by filtering up to
167 three replicates of 100–250-mL aliquots onto 0.4-mm polycarbonate filters. Concentrations were
168 determined using a standard semi-quantitative colorimetric assay and a UV-1201 UV-VIS
169 spectrophotometer (SLM-Aminco DW/20) set at 787 nm (Passow and Alldredge 1995b). A
170 calibration of the Alcian Blue dye was constructed using xanthan gum as the standard, and TEP
171 concentrations are expressed in Gum Xanthan equivalents (Passow and Alldredge 1995b).

172 **2.2.3 Aggregate production**

173 In each experiment, water samples (450-mL) were placed in cylindrical glass bottles filled to the
174 rim to minimize air space. Each jar was spiked with 10^8 and 10^{10} MNV genomes in Experiments
175 1 and 2, respectively. Jars were placed on a rolling apparatus rotating at an average speed of 11
176 rpm for 24 h to induce aquatic aggregate formation under laboratory conditions. Aggregation
177 control bottles (hereinafter referred to as “controls”) consisted of an equivalent-filtered sample
178 corresponding to each water type tested. Control samples were filtered through 0.22 µm
179 Stericup-GP, radio-sterilized polyethersulfone filters (EMD Millipore, Billerica, MA) after the

180 addition of artificial sea salt or alginic acid, but prior to MNV spiking. Controls were
181 subsequently processed in an identical manner as non-filtered samples to evaluate MNV
182 distribution in the absence of particles available to aggregate. In both experiments, five replicates
183 of each treatment (salinity or TEP concentration) were tested. In Experiment 1, three replicates
184 of control bottles were tested for each water type, while in Experiment 2, three replicates of
185 control bottles were included for the lowest and highest TEP concentration samples.

186 **2.2.4 Aggregate analysis and quantification**

187 After 24 h, jars were placed upright for 20 min to allow readily visible aggregates to settle. A 2-
188 mL sample was then taken from the top 400 mL of the jar (hereafter referred to as the
189 “aggregate-poor” water). A preliminary experiment performed by the authors indicated a greater
190 recovery of spiked MNV in the direct pipetting of visible aggregates, as compared to collecting a
191 well-mixed, bottom “aggregate-rich” sample. Therefore, visible and settled aggregates were
192 harvested via direct pipetting from the remaining 50 mL of aggregate-rich bottom fraction. The
193 volume of all aggregates in the settled pellet was visually estimated using a calibrated
194 microcentrifuge tube. Aggregate-poor water and aggregate samples were placed in 2-mL
195 microcentrifuge tubes and stored at -80°C until further analysis.

196 **2.2.5 MNV quantification**

197 Genomic RNA was extracted from 500 µL of aggregate-poor water and aggregate samples using
198 Invitrogen PureLink™ Viral RNA/DNA Mini Kit (Invitrogen, Carlsbad, CA), following the
199 manufacturer’s protocol, yielding 100 µL of eluate, which was then stored at -80°C until further
200 analysis. MNV was quantified in aggregate-poor water and aggregates using a sensitive and
201 validated RT-qPCR assay (Baert et al. 2009). Each 25-µL RT-qPCR mixture contained 2.5 µL of
202 commercially available Multiplex Enzyme Mix with Arrayscript™ reverse transcriptase and

203 AmpliTaq Gold® DNA Polymerase enzyme from the Path-ID Multiplex One-Step RT-PCR Kit
204 (Life Technologies, Grand Island, NY), 12.5 µL of buffer mix, and 200 nM (each) of forward
205 and reverse primers and probe. For all TaqMan reactions, 8 µL of RNA was assayed in a final
206 reaction volume of 25 µL. To assess inhibitory factors, three serial dilutions were performed in
207 duplicate (Experiment 1) and triplicate (Experiment 2) for each sample on a 96-well plate.
208 Reactions were run using a single-tube, one-step procedure to reverse-transcribe the MNV RNA
209 to cDNA and subsequently amplify MNV DNA. Using the Applied Biosystems®
210 StepOnePlus™ Real-Time PCR System, cycling conditions included one cycle at 50°C for 30
211 min to allow for reverse transcription to occur, followed by one cycle at 95°C for 10 min,
212 followed by 40 cycles of 15 s at 95°C and 60 s at 60°C to allow for amplification of cDNA.

213 Resulting fluorescence signals were assigned a cycle quantification threshold (Ct) value
214 when amplification greater than a threshold of $0.04 \Delta R_n$ was detected within 40 thermal cycles.
215 Ct values were converted to MNV genomes using a standard curve. A standard curve ($y = -3.42x$
216 $+ 40.18$, $R^2 = 1$) was generated (Figure S2) using 12 replicates each of a 10-fold serial dilution
217 (10^7 genomic copies to 1 genomic copy) of a purified plasmid containing a full-length cDNA
218 clone of MNV-1.CW1 (Sosnovtsev et al. 2006). Regression analyses were used to identify
219 outliers as those with standardized residuals $\geq |3|$ (Thompson 1935). Outliers were iteratively
220 removed until the adjusted standard curve data set no longer contained values with standardized
221 residuals $\geq |3|$. Data analysis resulted in a final measurement of MNV genomes per mL
222 (genomes/mL) of aggregate-poor water or aggregate sample.

223 The total recovery of MNV genomes for each jar was calculated by comparing the sum of
224 the genomes quantified in the aggregate-poor water and aggregates to the total number of MNV
225 genomes spiked into each jar. For Experiment 1, the total MNV spiked into each jar was

226 determined by RT-qPCR of the MNV stock solution placed at room temperature for the duration
227 of the rolling experiment, to account for natural degradation of MNV RNA that may occur. For
228 Experiment 2, the initial number of MNV genomes in each jar was quantified via a homogenized
229 sample taken from each jar immediately after spiking and prior to rolling. In an effort to
230 standardize measured MNV genomes for comparison across samples, the total number of MNV
231 genomes detected in aggregate-poor water and in aggregates were corrected using recovery
232 coefficients and harvest volumes, which is defined as the aggregate volume for aggregate
233 samples and 450 mL for aggregate-poor water. The number of MNV genomes recovered in the
234 aggregates and aggregate-poor water fractions were not adjusted for those samples with
235 recoveries greater than 100% (n = 2 for Experiment 1, n = 1 for Experiment 2).

236

237 **2.3 Statistical Analysis**

238 A Kruskal-Wallis ANOVA test followed by a nonparametric post-hoc pairwise
239 comparison (Siegel 1956) was performed to compare the median MNV concentrations in the
240 aggregate-poor water and in harvested aggregates across the different water types, and the total
241 median number of MNV genomes recovered in aggregates across the different water types. A
242 Mann-Whitney U test was used to test whether the concentration of MNV differed between
243 aggregate-poor water and aggregates within each water type, as well as to test whether the total
244 number of MNV genomes detected in the non-filtered bottles differed from the respective
245 filtered-control bottles.

246

247 **3 RESULTS**

248 Two separate experiments were designed to test the effects of 1) salinity and 2) transparent
249 exopolymer particles on aggregate formation and association of NoV with aquatic aggregates.
250 The physicochemical properties of the two water samples used in the experiments are detailed in
251 Table 1.

252

253 **3.1 Experiment 1: MNV aggregation as a function of salinity**

254 Macroaggregates were observed in all water samples after the 24 h rolling period and
255 were not visible in any controls. The total volume of aggregates formed ranged from 10 to 180
256 μL , with a positive exponential relationship observed between increasing salinity concentration
257 and increasing mean aggregate volume ($R^2 = 0.98$, Fig. 1a). A significant association was further
258 observed between increasing salinity and increasing mean number of MNV genomes detected in
259 aggregates ($R^2 = 0.94$, Fig. 2a). MNV concentrations (in genomes/mL) were consistently higher in
260 aggregates than in aggregate poor water for all salinities, and significantly higher in aggregates
261 occurring at estuarine and marine salinities (8, 24 and 33 ppt, Fig. S2a). Conversely, within each
262 salinity control jar, there was no significant difference in the MNV concentration between the
263 top and bottom water fractions (equivalent to aggregate-poor water and the aggregates in
264 corresponding water types).

265 Total recovery of MNV genomes ranged from 11 – 224%, with $> 100\%$ recoveries likely
266 due to potential underestimation of total MNV RNA spiked into each jar caused by the natural
267 degradation of MNV RNA in the spike control tube. Significantly more MNV genomes were
268 recovered in the higher salinity water aggregates (24 and 33 ppt) as compared to freshwater
269 aggregates ($P \leq 0.01$). Interestingly, higher numbers of MNV genomes ($P \leq 0.05$) were

270 recovered in controls as compared to samples for all salinity groups, except for group 8 ppt. This
271 observation suggests that NoV did not survive as well in water samples as it did in the filtered
272 controls. Reduced survival of MNV in unfiltered seawater may be due to predatory
273 microorganisms and substances that could degrade the virus over the duration of the experiment.

274 **3.2 Experiment 2: MNV aggregation as a function of TEP**

275 Measured TEP concentrations in seawater samples ranged from 524 to 1305 $\mu\text{g Xeq/L}$
276 and concentrations in filtered controls were lower than the assay detection threshold. As with
277 Experiment 1, macroaggregates were observed in all water samples after the 24 h rolling period
278 and were not visible in any controls. The volume of aggregates formed ranged from 10 to 90 μL ,
279 with a positive exponential relationship observed between increasing TEP concentration and
280 increasing mean aggregate volume ($R^2 = 0.95$, Fig. 1b). Similarly, a significant association was
281 observed between increasing TEP concentration and increasing mean number of MNV genomes
282 recovered ($R^2=0.85$, Fig. 2b). Mean MNV concentrations (in genomes/mL) were higher in
283 aggregates as compared to aggregate- poor water for all treatments with spiked alginic acid (TEP
284 2 – TEP 5, Figure S2b) with a maximum MNV concentration in aggregates at TEP 2 (757 μg
285 Xeq/L).

286 Total recovery of MNV genomes ranged from 16 – 107%. Significantly more MNV
287 genomes were recovered in aggregates from treatments with higher TEP concentrations (TEP 4
288 and TEP 5) as compared to the seawater control group with no TEP added ($P \leq 0.01$). Similar to
289 Experiment 1, the total number of MNV genomes detected in the filtered controls was greater
290 compared to their corresponding unfiltered samples.

291

292 4 DISCUSSION

293 This is the first study to conclusively demonstrate the association of NoVs with aquatic
294 aggregates. The significant relationships between increasing salinity and TEP concentrations and
295 aggregate formation provide a link between these physicochemical conditions and rising
296 numbers of NoVs associated with aquatic aggregates: Increasing quantities of sinking organic
297 aggregates can effectively scavenge viral particles from the water column, enriching them. The
298 likelihood of NoV incorporation into aggregates is thus dependent on the amount of aggregates
299 present. As changes in salinity and increasing TEP concentrations that are commonly present in
300 environments that support shellfish favor the formation of organic aggregates, these findings
301 provide a fundamentally noteworthy discovery in understanding the mechanistic entry of viral
302 pathogens into benthic invertebrates commonly consumed as seafood.

303 Temperature and salinity of inter-tidal and estuarine environments closely influence
304 shellfish physiology. These parameters have been found to alter oyster feeding, growth,
305 respiration, oxygen consumption, and excretion rates (Chávez-Villalba et al. 2005, Dame 2011,
306 Dunphy et al. 2006, Galtsoff 1964, Heilmayer et al. 2008) and are, therefore, closely monitored
307 or controlled during the aquaculture farming process to optimize growing conditions. The ideal
308 salinity range for the Pacific oyster (*Crassostrea gigas*), common along the western seaboard of
309 the United States, is between 20 and 25 ppt (Helm 2005). Similarly, the optimal salinity range
310 for the Eastern oyster (*Crassostrea virginica*) is between 15 and 18 ppt (Wallace 2001). Thus,
311 shellfish that are preferentially consumed raw by seafood consumers are also coincidentally
312 farmed and harvested from waters in which pathogen-aggregate association is enhanced.

313 While the data presented in this study demonstrate for the first time that NoV association
314 with aggregates is promoted due to enhanced aggregate formation in estuarine and marine

315 waters, the observation that organic aggregates form more readily in saline waters is not new.
316 The physical mechanism that enhances aggregate formation in higher salinity waters has been
317 attributed to an alteration in particle surface charge. Specifically, the loss of surface charge that
318 occurs as particles move from low to high salinity waters has been observed in several studies
319 (Beckett and Le 1990, Je and Chang 2004, Kaya et al. 2006, Sondi and Pravdic 1998) and is
320 hypothesized to occur due to specific adsorption of positive cations onto the surface of particles,
321 as well as direct compression of the double layer (zeta potential) of counter ions (Gregory 2006).
322 These effects are especially pronounced in the presence of divalent cations such as Mg^{2+} and
323 Ca^{2+} (Beckett and Le 1990, Edzwald et al. 1974, Sondi and Pravdic 1998), both of which are
324 abundant in sea and estuarine waters. Human NoV entry into coastal water is likely due to
325 freshwater discharges contaminated with human waste. Therefore, it is probable that these
326 harmful viruses become scavenged by organic aggregates at locations where their formation is
327 enhanced, namely estuarine and marine habitats (Gebhardt et al. 2005, Karbassi and Nadjafpour
328 1996, Sholkovitz 1976, 1978). Data from previous studies have indicated that mussels and
329 oysters more efficiently capture, ingest and retain nanoparticles that are incorporated into visible
330 aggregates (0.1 mm to several mm) as compared to nanoparticles that are freely suspended
331 (Ward and Kach 2009). As aggregates provide a mechanism for nano-sized pathogens such as
332 viruses to be preferentially ingested by bivalves, the ramifications for shellfish quality are
333 profound: optimal water salinities for shellfish growth and harvest further accelerate the
334 association of NoVs with aggregates, thus facilitating bivalve contamination with pathogenic
335 viruses.

336 Changes in salinity, such as in estuarine and marine environments, have been found to
337 enhance the formation of TEP (Passow 2002). Therefore, the second experiment in this study

338 further investigated the role of these gel-like polymers in mediating aggregate formation, and
339 subsequent NoV incorporation into these aggregates. As increasing volumes of aggregates
340 formed in higher TEP waters relative to the baseline seawater sample, significantly greater
341 numbers of NoV particles were also recovered in aggregates from higher TEP waters. Similar to
342 the findings from Experiment 1, waters that enhanced the production of aggregates subsequently
343 facilitated larger numbers of virus particles associating with these aggregates. The impact of TEP
344 on pathogen association with aggregates is fundamentally important for shellfish food safety, as
345 higher TEP concentrations have been found to be characteristics of shellfish-growing waters
346 (Heinonen et al. 2007). In a previous study, significantly more aggregates formed in waters pre-
347 conditioned with mussels than in control waters, which was attributable to TEP concentrations as
348 opposed to total or dissolved organic carbon (Li et al. 2008). Therefore, shellfish growing waters
349 naturally boost TEP production, further perpetuating the increase in aggregate formation and
350 potential for pathogen incorporation within these organic flocs.

351 TEP facilitate aggregation due to their sticky nature; TEP are generally 1-2 orders of
352 magnitude stickier than other marine particles (Centers for Disease Control National Outbreak
353 Reporting System (NORS), Xavier et al. 2017) and serve as the glue that hold particles together
354 following their collisions. Aggregation theory predicts that the rate of aggregation is a function
355 of the rate of collision and stickiness, e.g. the probability that particles remain attached upon
356 collision (Burd and Jackson 2009, Passow 2002). In the presence of high concentrations of
357 particles, TEP thus promote aggregate formation. High TEP concentrations may be expected in
358 the presence of shellfish that excrete TEP, during episodes of phytoplankton blooms or in kelp
359 forests, as both phytoplankton and kelp effectively generate TEP (Passow and Alldredge 1994,
360 Ramaiah et al. 2001). TEP formation has also been found to be enhanced as a function of salinity

361 or cations, possibly due to the stabilizing effects of cations for TEP (Najdek et al. 2011,
362 Thornton 2009, Wetz et al. 2009). Acquisition of NoVs by shellfish is likely to be further
363 strengthened as a function of their regional density: A greater number of shellfish are likely to
364 result in higher concentrations of TEP in surrounding waters, further promoting aggregate
365 production.

366 The findings presented here should be further expanded upon in future investigations into
367 how NoV association with aggregates may affect their (Teunis et al. 2008)viability and/or
368 persistence in environmental waters. Previous research has suggested that microorganisms,
369 including pathogens, may benefit from their association with organic aggregates due to a
370 sheltering effect from UV radiation, heat and ozone (Tang et al. 2011). Investigating NoV
371 viability has only recently become a feasible research endeavor with the description of a human
372 enteric cell system for cultivating the virus (Ettayebi et al. 2016).

373 The combined results demonstrating enhanced aggregate formation and NoV association
374 with aggregates in estuarine and saline waters that contain higher TEP concentrations may
375 explain why shellfish, particularly species that consumers prefer to eat raw, such as oysters, are
376 such effective vehicles of human NoV transmission. Raising shellfish in freshwater is not a
377 suitable solution due to bivalve physiology. Therefore, a potentially feasible virus risk
378 management strategy that the shellfish industry could implement is the postharvest practice of
379 depuration. Depuration mitigates the risk of exposing raw seafood consumers to human NoV
380 through the expulsion of viruses and other pathogens from shellfish prior to distribution to the
381 human food system, while also allowing for bivalve shellfish to be marketed as live, fresh
382 product. This technique has been found to reduce the burden of NoVs from oyster tissue,
383 however a recent review estimates that a wide range of 9 - 45.5 days of depuration would be

384 required to achieve a 1-log reduction of NoV genomes (McLeod et al. 2017). This time period is
385 not economically feasible, as depuration is generally conducted for relatively short periods of
386 time, usually ranging from 24-96 hours. Furthermore, this review identified studies of reported
387 NoV illness outbreaks due to the consumption of oysters that were subjected to depuration. Post-
388 depuration NoV concentrations were reported at $10^2 - 10^3$ genome copies/gram oyster tissue,
389 which exceeds the infectious dose, estimated to be as low as 18 viral particles (Teunis et al.
390 2008). While depuration is a regulated practice by the FDA in the United States, it is not a
391 requirement for shellfish growing areas classified as 'approved' or 'conditionally approved'
392 when indicator bacteria (fecal coliforms) meet the 'approved' threshold (FDA 2015). However,
393 these indicators are known to not adequately reflect the occurrence of pathogens (Harwood et al.
394 2005), and do not correlate with the presence of NoVs (Skraber et al. 2004). Meanwhile, the
395 number of NoV foodborne outbreaks associated with raw oysters have been on the rise since
396 2013 (Centers for Disease Control National Outbreak Reporting System (NORS)) highlighting
397 the need for feasible and cost effective post-harvest depuration practices to be more widely
398 adopted. Relaying of contaminated shellfish to waters with low TEP and/or aggregate
399 concentrations followed by depuration may offer a more appropriate virus risk management
400 strategy. Additional strategies should focus on employing watershed and/or shellfish production
401 management measures aimed at reducing the pollutant load of fecal contamination containing
402 harmful microorganisms from upstream waters to coastal waters. Current monitoring practices
403 for determining closure of shellfish beds for harvest often rely on rainfall parameters, levels of
404 fecal coliforms, or the presence of harmful algal blooms that can lead to an accumulation of
405 toxins in bivalves (Sekiguchi et al. 2001). However other oceanography parameters not often
406 evaluated, such as non-toxic phytoplankton abundance or type, can also greatly contribute to

407 TEP concentrations – which may in turn enhance pathogen entry into shellfish via organic
408 aggregates. A holistic management and monitoring approach must therefore take into account the
409 basic mechanisms that influence pathogen transport and fate, to accurately predict when, where,
410 and how shellfish become contaminated with harmful microorganisms.

411

412 **5 CONCLUSIONS**

- 413 • This is the first study to conclusively demonstrate the association of NoVs with aquatic
414 aggregates. Significant associations were measured between increasing salinity and TEP
415 concentrations and increasing numbers of NoVs recovered in aggregates. The number of
416 NoVs incorporated into aggregates appeared dependent upon the amount of aggregates
417 present: higher salinity and higher TEP waters set the stage for enhanced aggregation
418 formation, providing more flocs that effectively scavenge virus particles from the water
419 columns.
- 420 • Optimal physicochemical characteristics of shellfish cultivation waters, which include
421 estuarine-marine salinity and high TEP concentrations, increase the risk for NoVs to be
422 ingested by bivalves, most notably those consumed raw by humans.
- 423 • Nearshore waters with high shellfish densities are likely to have higher levels of TEP in
424 surrounding waters, further promoting enhanced aggregate formation in shellfish
425 cultivation waters. These findings may explain why raw shellfish is often implicated as
426 the primary cause of NoV-borne illness in humans.
- 427 • The first approach to protecting the human population and shellfish from norovirus
428 exposure is to prevent contamination of surface waters with viral pathogens by improving
429 sewage treatment facilities. When sewage or insufficiently treated wastewater reach

430 shellfish growing waters, insight into NoV transport and fate is imperative, and the
431 results included here indicate conditions where NoVs are most likely to be detected in
432 shellfish. This information can inform best management and monitoring strategies to aid
433 shellfish growers and regulators in providing safe seafood to consumers.

434

435 **ACKNOWLEDGEMENTS**

436 Sample collection was facilitated by Mr. Colin Krusor of UC Davis and Mr. David Dann, Ms.
437 Katherine Hewett, Mr. Chris Kwan and Ms. Catherine Davis of the UC Davis Bodega Bay
438 Marine Laboratory. Ms. Beatriz Aguilar (UC Davis) assisted laboratory experiments.
439 Physiochemical data was measured by Timothy “Tad” Doane of the UC Davis Department of
440 Land, Air and Water Resources. TEP measurements were performed by Julia Sweet of the
441 University of California, Santa Barbara. Culture strains of murine norovirus were kindly
442 provided by Jim Fahey and Haiyan Olekszak of Jackson Laboratories in Bar Harbor, ME.

443

444 **FUNDING SOURCES**

445 This work was supported by the National Oceanic and Atmospheric Administration California Sea Grant
446 Program (NOAA Grant #R/CONT-216). The statements, findings, conclusions and recommendations are
447 those of the authors and do not necessarily reflect the views of California Sea Grant, NOAA or the U.S.
448 Department of Commerce. This work was also supported by the Innovative Developmental Award from
449 the University of California, Davis (UC Davis) Academic Federation Research Grant Program.

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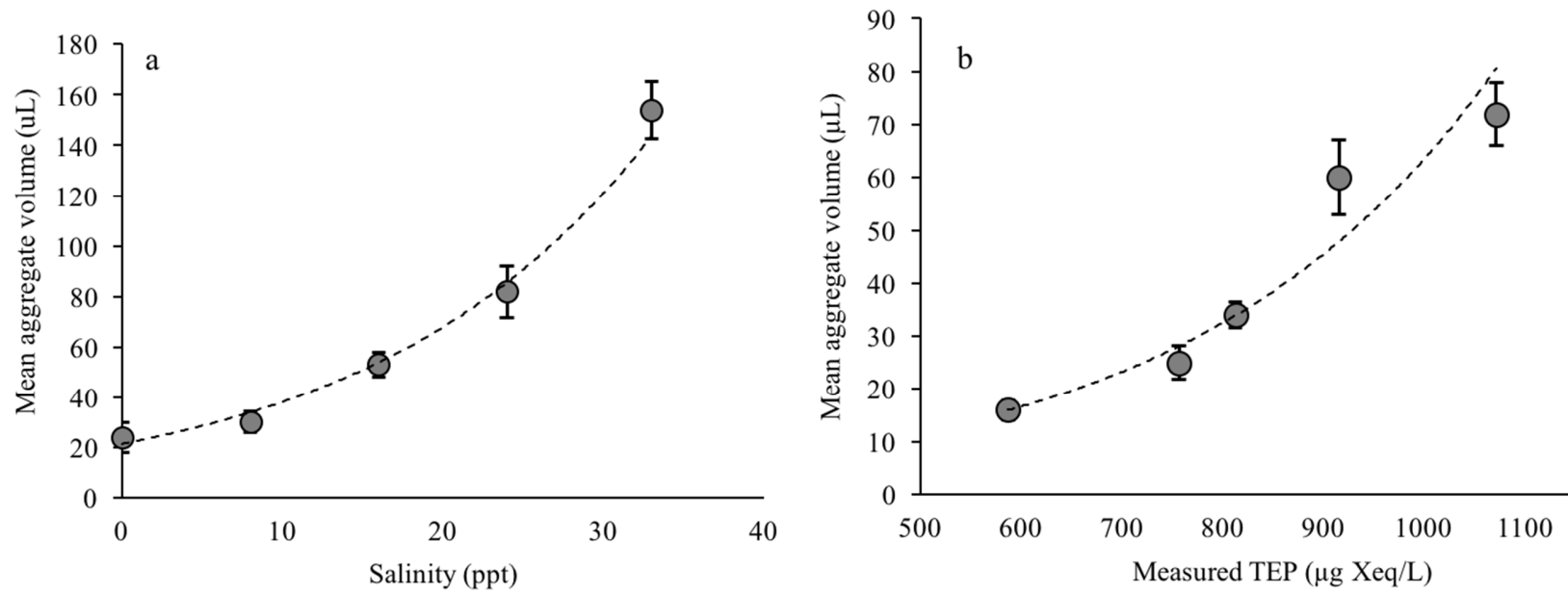
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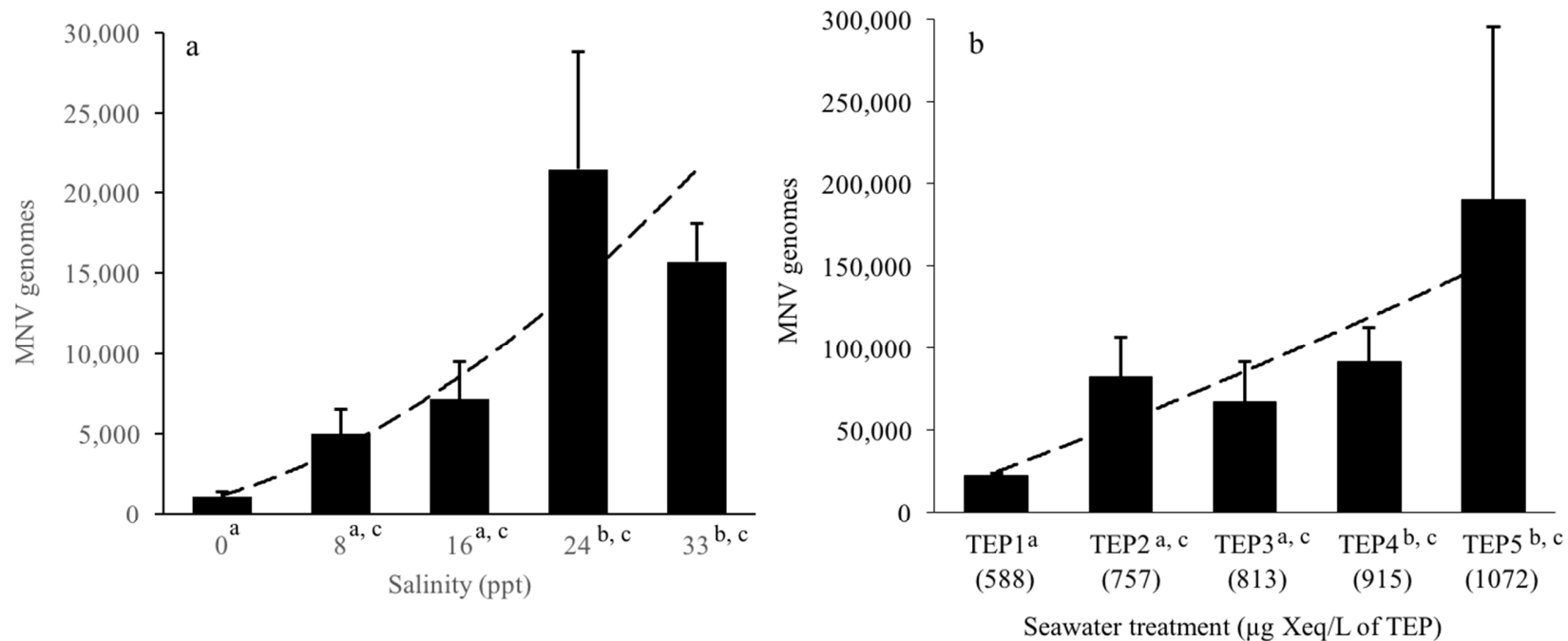
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2

3 **Figure 1.** Total aggregate volume (uL) as a function of salinity (ppt) in Experiment 1 (a) or TEP concentration ($\mu\text{g Xeq/L}$) in Experiment
4 2 (b). Error bars indicate the standard error of the mean. Mean aggregate volume increased exponentially (dotted line) with an increase
5 in salinity ($R^2 = 0.98$, $P \leq 0.01$) or TEP ($R^2 = 0.95$, $P \leq 0.01$).

6



1

2 **Figure 2.** Mean number of MNV genomes recovered in aggregates of treatment samples with increasing salinity in Experiment 1 (a) or
 3 increasing concentrations of alginic acid (AA; source of TEP) in Experiment 2 (b). TEP concentrations are indicated in parentheses as
 4 µg Xanthan gum equivalence (µg Xeq/L) per liter. Error bars indicate the standard error of the mean. A significant difference in the total
 5 number of MNV genomes recovered in aggregates was present as salinity ($R^2 = 0.94$) or TEP ($R^2 = 0.85$) increased (dotted line, $P \leq$
 6 0.01). Water types that do not share a superscript in common had significantly different proportions of organisms recovered from
 7 aggregates.

1 **Table 1. Physicochemical characteristics of water samples collected for aggregation**
2 **experiments**

3

Experiment	Matrix	Date Collected	Turbidity NTU ^f	TDS ^a mg/L	TSS ^b mg/L	TSS-N ^c mg/L	TSS-C ^d mg/L	DOC ^e mg/L
1	Freshwater	Aug 2013	0.427	220	0.1	0.02	0.15	2.7
2	Seawater	Jan 2015	3.30	41	2.0	0.2	0.03	NA ^g

4 ^aTotal dissolved solids

5 ^bTotal suspended solids

6 ^cTotal suspended solids – nitrogen component

7 ^dTotal suspended solids – carbon component

8 ^eDissolved organic carbon

9 ^fNephelometric turbidity unit

10 ^gNot assessed

11