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**Comparison of Phagocytosis in Three Caribbean Sea Urchins**

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31 **Abstract**

32  
33 In 1983 large numbers of the sea urchin *Diadema antillarum* unexplainably began showing signs  
34 of illness and dying in the Caribbean, and over the next year they came close to extinction,  
35 making it one of the worst mass mortality events on record. Present evidence suggests a water-  
36 borne pathogen as the etiological agent. Decades later *Diadema* densities remain low, and its  
37 near extinction has been a major factor in transforming living coral reefs in the Caribbean to  
38 barren algae-covered rock. In the ensuing decades, no solid explanation has been found to the  
39 questions: what killed *Diadema*; why did *Diadema* succumb while other species of urchins on  
40 the same reefs did not; and why has *Diadema* still not recovered? A recent hypothesis posited by  
41 our lab as to *Diadema*'s vulnerability was directed at possible compromised immunity in  
42 *Diadema*, and experimental results found a significantly impaired humoral response to a key  
43 component of gram-negative bacteria. Here we use flow cytometry to examine the cellular arm  
44 of invertebrate immunity. We performed cytotoxicity and phagocytosis assays as a measure of  
45 the cellular immune responses of cells from *Diadema* and two other species of sea urchins not  
46 affected by the die-off. Despite our previous findings of in impaired humoral response, our study  
47 found no apparent difference in the cellular phagocytic response of *Diadema* compared to the  
48 other urchin species studied.

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52 **Keywords:**

53 Sea urchin, *Diadema antillarum*, marine mass mortality, invertebrate innate immunity,  
54 cytotoxicity, phagocytosis, flow cytometry

## 61 1. Introduction

62

63 In the 1980's, the black-spined sea urchin *Diadema antillarum* suffered one of the most  
64 extensive mass mortality events on record for a marine invertebrate. *Diadema* was one of the  
65 most abundant and ecologically important herbivores on Caribbean coral reefs, maintaining reef-  
66 building coralline algae by their grazing of fleshy algae (Carpenter, 1981; Ogden and Lobel,  
67 1978; Sammarco, 1982; 1980; Sammarco and Levinton, 1974). In 1983, *Diadema* began  
68 showing signs of illness, and quickly died (Lessios et al., 1984). Over the course of a year the  
69 outbreak spread over 3.5 million square kilometers, with 95% mortality and no populations of *D.*  
70 *antillarum* spared (Hughes et al., 1985). No causative agent has been discovered, but the  
71 outbreak followed water currents and mortality did not decrease with distance, suggesting a  
72 water-borne pathogen (Lessios, 1988). Two species of bacteria capable of killing *Diadema* were  
73 associated with dying urchins in the laboratory, but no bacteria have been isolated from wild  
74 individuals (Bauer and Agerter, 1987).

75 No other species of urchins were affected, nor were Pacific *Diadema* populations of *D.*  
76 *mexicanum* near the initial outbreak, suggesting that the etiological agent was a species-specific  
77 pathogen. The loss of this keystone grazer facilitated a phase shift from hard coral to algal  
78 dominated reefs throughout the Caribbean (Carpenter, 1990; Hughes, 1994), and *Diadema*  
79 densities have failed to recover in the ensuing thirty years, remaining more than 85% below their  
80 pre-epizootic levels (Lessios, 2015).

81 Along with *Diadema*, we examined the immune response of two other major  
82 cosmopolitan species of sea urchins in the Caribbean Sea (*Tripneustes ventricosus* and  
83 *Echinometra lucunter*). The phylogenetic position of echinoderms makes them an important  
84 group for comparative immunological studies (Binyon, 1972; Lin et al., 2001). Sea urchins are in  
85 the phylum Echinodermata, class Echinoidea (Harvey, 1956; A. B. Smith and Kroh, 2013). The  
86 phylogenetic relationships based on 18S-like small and 28S-like large rRNA show the  
87 Diadematoidea order of *Diadema* to be one of the more basal, with order Carinacea diverging  
88 from Diadematoidea and radiating into the large Echinacea clade (A. B. Smith and Kroh, 2013).  
89 From within Echinacea were splits that included the Toxopneustidae clade that one of our  
90 experimental species, *Tripneustes ventricosus*, belongs to, and another branch that later gave rise

91 to the Echinometridae clade of our experimental *Echinometra lucunter* (and still later the  
92 Strongylocentrotidae clade) (A. B. Smith and Kroh, 2013).

93         Sea urchins clear pathogens from coelomic fluid (CF) efficiently and CF cells  
94 (coelomocytes) mount immune responses (Lin et al., 2001). Progress has been made on  
95 deciphering the immune response of sea urchins (Lin et al., 2001; Materna and Cameron, 2008;  
96 Rast and Messier-Solek, 2008; Rast et al., 2006; L. C. Smith et al., 2006), and with the  
97 sequencing of the genome of the purple sea urchin *Strongylocentrotus purpuratus*, new  
98 information will follow (Hibino et al., 2006; Materna and Cameron, 2008; Sodergren et al.,  
99 2006). It has been hypothesized that ~5% of the genes in the sea urchin are related to the immune  
100 response (Hibino et al., 2006; Rast et al., 2006; L. C. Smith et al., 2006; Sodergren et al., 2006).

101         Innate immunity involves anatomical, physiological, phagocytic and inflammatory  
102 barriers deployed before infection, is capable of rapid response to pathogens, and reacts in  
103 mostly the same way to repeated infections (Akira et al., 2006; Medzhitov and Janeway, 2002).  
104 These innate responses in invertebrates are activated when microbes breach anatomical barriers.  
105 Innate immunity exists in vertebrates, invertebrates, and to a certain extent in plants, however for  
106 invertebrates it is the only line of defense. Invertebrate immunity is nonspecific [variable  
107 immune-like molecules, and alternative anticipatory and memory-like immune mechanisms are  
108 found in some invertebrates (Cerenius and Soderhall, 2013)], based on activation of immune  
109 effector cells, and is mediated to a large extent by circulating coelomocytes (Bochud et al., 2007;  
110 Lin et al., 2001; Medzhitov and Janeway, 2002). Even though innate immunity lacks the  
111 elegance of genetic recombination and shows no memory, the view of innate immunity  
112 inconsequentiality is out of date (Akira et al., 2006; Medzhitov and Janeway, 2002). As in  
113 vertebrates, the innate response of invertebrates has both a molecular (secreted protein) and cell-  
114 mediated component (Beck and Habicht, 1996; Lin et al., 2001).

115         Sea urchins (as most invertebrates) have an open circulatory system, and the blood cells  
116 of their coelomic fluid are referred to as coelomocytes (Chia and Xing, 1996). Their importance  
117 in immunity has been well described (Chia and Xing, 1996; Coffaro and Hinegardner, 1977; de  
118 Faria and da Silva, 2008; Mangiaterra and Silva, 2001; Matranga et al., 2005; Silva, 2000; V. J.  
119 Smith, 1981). There has not been consistent nomenclature and classification of coelomocytes  
120 among types of echinoderms (Booolootian and Giese, 1958; Chia and Xing, 1996; Coulter, 1956;  
121 1953; Edds, 1993; Gross et al., 1999; Matranga et al., 2005; 2006; L. C. Smith et al., 2010; V. J.

122 Smith, 1981), but sea urchins are generally described as having at least four types of  
123 coelomocytes, with variable population percentages in different species of sea urchins (L. C.  
124 Smith et al., 2010). Historically these have often been called phagocytes (sometimes further  
125 divided between large and small phagocytes, and also called petaloid/filopodial phagocytes, and  
126 phagocytic or bladder amoebocytes), with populations given as between 40-80%, red spherule  
127 (or morula) cells (sometimes called pigment cells) (4-40%), colorless or white spherule (or  
128 morula) cells (5-25%), and vibratile cells (8-20%) (Bertheussen and Seijelid, 1978; Chia and  
129 Xing, 1996; Edds, 1993; Gross et al., 2000; Johnson, 1969; Mangiaterra and Silva, 2001; L. C.  
130 Smith et al., 2010; V. J. Smith, 1981; Standerholen et al., 2014; Terwilliger et al., 2004).

131 While often referred to as phagocytic amoebocytes, phagocytic coelomocytes cells have  
132 been reported to not be very mobile, and thus 'amoebocytes' may be a misnomer (Matranga et  
133 al., 2006; 2005). Conversely, the lack of motion generally reported for red and white cells is  
134 thought by some investigators to be due to EDTA in cell preparations, as fresh preparations show  
135 them to be so swiftly mobile that these are the cells that should correctly be called amoebocytes  
136 (Matranga et al., 2006; 2005). Spherule cells are also reported as not actually being spherical in  
137 fresh preparations, and their description as such is likely due to fixatives or anti-coagulants  
138 (Matranga et al., 2006; 2005).

139 Phagocytic cells are reported to exist in three forms, large discoidal and polygonal forms  
140 responsible for phagocytosis, and a small filopodial form involved in clotting (Brockton et al.,  
141 2008; Gross et al., 2000; Majeske et al., 2014; V. J. Smith, 1981), and that possibly these are one  
142 cell type that transitions under environmental influences (Edds, 1993; 1985; Henson et al., 1999).  
143 Phagocytes are the only cells found to be capable of phagocytosis (Matranga et al., 2006; L. C.  
144 Smith et al., 2010), with red and white cells believed to be responsible for releasing humoral  
145 components (Matranga, 1996; Matranga et al., 2006; 2005; L. C. Smith et al., 2010). Some  
146 reports state phagocytes are also the only cells capable of clotting (Matranga et al., 2006), while  
147 others suggest white cells may also be involved (L. C. Smith et al., 2010).

148 Phagocytosis (the engulfment of foreign material by specialized phagocytic cells) is the  
149 predominant cellular defense mechanism in vertebrates and invertebrates (Beck and Habicht,  
150 1996; Cooper, 1976; Marchalonis and Schluter, 1990a). In his studies of invertebrates in the late  
151 19th century, Metchnikoff established the role of phagocytes in host defense, studies that began  
152 the field of cellular immunology (Beck and Habicht, 1996). Some of his earliest studies were on

153 the response of echinoderm phagocytes to injury. He proposed that all animals use phagocytosis  
154 as a general defense mechanism (Beck and Habicht, 1996; Binyon, 1972; Flajnik and L. Du  
155 Pasquier, 2004).

156 Phagocytes and cytotoxic cells have been reported in most every invertebrate phylum  
157 (Beck and Habicht, 1991; Beck et al., 1993; Blanco et al., 1997; Franceschi et al., 1991).  
158 Bertheussen showed that coelomocytes of the sea urchins *Echinus esculentus* and *S.*  
159 *droebachiensis* were cytotoxic towards allogenic coelomocytes (Bertheussen, 1979). Hemocyte-  
160 mediated cytotoxicity may involve ROI and/or lysosomal enzymes (Peddie and V. J. Smith,  
161 1994). We have shown that coelomocytes from the purple sea urchin (*Arbacia punctulata*)  
162 exhibit cellular cytotoxic activity against vertebrate target cells *in vitro* (Lin et al., 2001). We  
163 have also demonstrated that phagocytic amebocytes are the effector cells of the cytotoxic activity  
164 (Lin et al., 2001). In addition, we have shown that these cells are phagocytic and can be  
165 stimulated to release host defense molecules (Beck et al., 1993; Lin et al., 2001).

166 Previously we asked whether an impaired immune response could be responsible for the  
167 susceptibility of *Diadema* to some pathogen. In that study, we employed several assays (reactive  
168 oxygen and nitrogen intermediate generation, phenoloxidase responses, release of antimicrobial  
169 peptides, and iron sequestration) to investigate the humoral immune response of the 3  
170 cosmopolitan sea urchin species (*Diadema*, *T. ventricosus* and *E. lucunter*). We found that  
171 *Diadema*'s humoral response to LPS, a component of gram-negative bacteria, was absent (Beck  
172 et al., 2014; 2008). In the present study, we examine *Diadema*'s cellular immune response by  
173 assessing cytotoxicity and phagocytosis using flow cytometry.

174

175

## 176 **2. Materials and Methods**

### 177 *2.1. Harvesting of sea urchin coelomocytes*

178 For testing cellular immune responses (phagocytosis and cytotoxicity), coelomic fluid  
179 (CF) was drawn from sea urchins (thirty *D. antillarum*, five *E. lucunter*, and four *T. ventricosus*)  
180 collected from seven study sites on St. Croix (Beaugard Bay, Butler Bay, Cramer's Point,  
181 DIVI, Gentle Winds, Grassy Point, Sprat Hole) (Beck et al., 2014; 2008). The soft tissue surrounding  
182 Aristotle's lantern was pierced with a 20-gauge needle and 5-8 ml CF was drawn into a 10-mL

183 syringe containing 4 mL of ice-cold anticoagulant [Marine Alsever's solution (0.12M glucose,  
184 0.03M sodium citrate, 9mM EDTA, 0.38M NaCl), (pH 7.4)] (Bachere et al., 1988; Bowdish and  
185 Gordon, 2009; Sarrias et al., 2004; Whelan et al., 2012). All sea urchins recovered successfully  
186 from CF extraction and were returned to the sites they were taken from. Collected CF was used  
187 within 2 h of collection.

188

### 189 2.3. Phagocytosis assay

190 The coelomocytes were diluted to yield a concentration of  $\sim 1 \times 10^6$ /ml with DMEM. We added 20  
191  $\mu$ l fluorescently labeled latex beads (1:100 in seawater) [Fluospheres (Carboxylate 1.0  $\mu$ m,  
192 yellow-green) Molecular Probes)] with excitation/emission maxima of 505/515 nm, to 1.0 ml  
193 cells (these beads have not been associated with any PAMP-like molecules.). Either 10  $\mu$ l  
194 (1mg/ml) of a known immune stimulant: laminarin [from *Laminaria digitata* (Sigma L9634)],  
195 lipopolysaccharide (LPS) [from *Escherichia coli* 0111:B4 (Sigma L2630)], or peptidoglycan  
196 (PGN) [from *Bacillus subtilis* (Sigma 69554)], or sea water (as control) were then added (Beck et  
197 al., 2014; 2008). The samples were incubated for 3 h at 25°C, and then centrifuged at 5,000 x g  
198 for 5 min to pellet the cells. The cells were re-suspended in 1% formalin in 1X PBS and kept at  
199 4° C until analyzed. Representative experimental treatment (or control) was checked using  
200 Trypan blue to assess coelomocyte viability. Only cells with viabilities of >95% were used.

201 Phagocytic activity was calculated as the number of coelomocytes that had ingested  
202 particles relative to the total cell population viewed under 1,000 $\times$  phase contrast microscopy as  
203 we have described previously (Beck et al., 1993; Raftos et al., 1992a). A minimum of 100 cells  
204 were counted. Experiments were carried out double-blind. Data are presented as the  
205 phagocytic stimulation index (P.S.I.) such that:

206

$$207 \quad \text{P.S.I.} = \frac{\% \text{ phagocytic cells in experimental trials}}{\% \text{ phagocytic cells in controls incubated with seawater alone}}$$

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210 Mean values for each trial were calculated from P.S.I. for individual replicates.

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216 *2.4. Fluorescent Microscopy*

217 Cells exposed to fluorescently labeled beads were viewed under a Nikon Eclipse E200  
218 microscope with 100-watt mercury lamp and a GFP filter. Photographs were taken with a clamp-  
219 mounted Canon PowerShot G10 connected to Breeze Systems PSRemote software.

220

221 *2.5. Phagocytosis Analysis by Flow Cytometry*

222 Samples (n = 4) were analyzed using flow cytometry on a Beckman Coulter Cell Lab  
223 Quanta SC-MPL with a 488 nm laser, software version 1.0. The Quanta SC flow cytometer  
224 employs the Coulter Principle (Coulter, 1956; 1953) to measure cell size by electronic volume  
225 (EV) rather than FSC (Graham, 2003; Hynes, 1992). EV has been found to be a more accurate  
226 measure of cell size (Krishan and Cabana, 2004; Standerholen et al., 2014). QC was first  
227 performed using Beckman Coulter Flow-Check Fluorospheres. Sample collection was set for  
228 100,000 cells. To quantify phagocytosis of fluorescent beads, fluorescence was measured using  
229 the 525BP FL1 filter. Representative experimental treatment (or control) was checked using  
230 Trypan blue to assess coelomocyte viability. Only cells with viabilities of >95% were used. A  
231 cell sorter was not employed to separate individual cell populations. Variation in phagocytic  
232 uptake between individual cells was not analyzed.

233

234 *2.6. Analysis of Flow Cytometry Data*

235 Data analysis was done in R version 3.2.1 (R Core, 2015) on an x86\_64-apple-  
236 darwin14.3.0 (64-bit) platform. Bioconductor version 3.1 (Gentleman et al., 2004) flow  
237 cytometry packages used in the analysis and plotting were flowCore version 1.34.3 (Ellis et al.,  
238 2015), flowViz version 1.32.0 (Ellis et al., 2008), and flowDensity version 1.2.0 (Taghiyar and  
239 Malek, 2012).

240 A one-factor analysis of variance (ANOVA) was performed for each of the four  
241 treatments in the phagocytosis assays, with sea urchin species as the independent factor, and the  
242 mean FL1+ as the dependent response variable.

243

244 *2.7 Cytotoxicity Assay*

245 Cytotoxic activity was assayed by incubating sRBC target cells with coelomocytes  
246 (Fuson et al., 1979; Parrinello and Arizza, 1992; Parrinello and Rindone, 1981; Porchet-Henneré

247 et al., 1992; Wittke and Renwartz, 1984). Sheep red blood cells (sRBC) were prepared by  
248 washing stock sRBC (Colorado Serum Co., Denver, CO) in 40 ml of PBS three times. The last  
249 supernatant wash fraction was discarded and the cells were resuspended to 1% with PBS and  
250 kept on ice until use. The coelomocytes were diluted to yield a concentration of  $\sim 1 \times 10^6$ /ml with  
251 Dulbecco's Modified Eagle Medium (DMEM). We cultured the cells with 10  $\mu$ l of stimulant  
252 [lipopolysaccharide (LPS) and zymosan] or marine Alsever's solution (negative control). The  
253 assay was performed with an effector to target cell ratio of 2 to 1. The effector cells and target  
254 cells, or target cells only for control, or target cells only for target spontaneous release, or  
255 effector cells only for effector spontaneous release, or medium only for background control were  
256 also used (Lin et al., 2001; 2007).

257 The cultures were incubated for 4 hrs at 22°C. The cells were then centrifuged for 5 min  
258 at 6,000 x g to pellet intact sRBC and the supernatant was transferred from each tube into a clean  
259 glass tube and the absorbance was measured with a spectrophotometer at a wavelength of 405  
260 nm.

261 The percentage of specific cytotoxicity (S.P.) is calculated using the following formula:

$$262 \quad \% \text{ S.P.} = \frac{(\text{experimental} - \text{effector spontaneous release} - \text{target spontaneous release}) * 100\%}{263 \quad (\text{target maximum release} - \text{target spontaneous release})}$$

264 Mean values for each trial were calculated for individual replicates ( $n \geq 6$ ). Representative  
265 experimental treatment (or control) was checked using Trypan blue to assess coelomocyte  
266 viability. Only cells with viabilities of  $>95\%$  were used. Significance of differences among  
267 samples was assessed by Student's *t*-test.

268 **3. Results**

269

270 3.1. *Phagocytosis Assay and Fluorescent Microscopy*

271 Phagocytic activity of the coelomocytes was estimated using fluorescently labeled latex  
272 beads (Figure 1). We used identical view observations between bright field and fluorescence  
273 microscopy. The phagocytosis index that we employed was determined as the percentage of cells  
274 that engulfed at least 2 labeled beads. We routinely recorded phagocytosis indexes of > 20 (data  
275 not shown). To characterize further the phagocytic response of the sea urchins we employed  
276 flow cytometry to analyze phagocytosis.

277

278 3.2. *Phagocytosis Assay and Flow Cytometry*

279 Based on initial plotting, cells with a side-scatter (SC) value of 1 were considered margin  
280 events and removed. Remaining cells were transformed using an estimateLogicle biexponential  
281 function. Cells were plotted for FL1 fluorescent emission (FL1), both with one parameter  
282 histograms of channel number vs. number of events using flowViz densityplot, as well as two  
283 parameter FL1 vs. SS scatter plots using flowDensity. Density plot gates and cell proportions  
284 were partly calculated using deGate. Based on the FL1 density plots, the separation between  
285 FL1– and FL1+ cells was determined to be 2.7.

286 FL1+ cells were those that were positive for phagocytosis of the fluorescently labeled  
287 latex beads. Proportions of coelomocytes that were FL1+, as well as cell populations based on  
288 granularity and mean FL1 response, were very similar for all urchin species to all treatments  
289 (Table 1 and Figure 2; Table 2 and Figure 3).

290 With no immune stimulant added (seawater only) *Diadema* had 0.05% FL1+ cells,  
291 *Echinometra* 0.02%, and *Tripneustes* 0.03%. With LPS stimulation *Diadema* had 15% FL1+  
292 cells, *Echinometra* 14%, and *Tripneustes* 19%. With laminarin stimulation *Diadema* had 13%  
293 FL1+ cells, *Echinometra* 16%, and *Tripneustes* 22%. And with peptidoglycan stimulation  
294 *Diadema* had 10% FL1+ cells, *Echinometra* 13%, and *Tripneustes* 14% (Table 1, Figure 2).  
295 LPS, laminarin, and PGN are known immune stimulants, and we have previously demonstrated  
296 that these PAMPs elicited a humoral immune response in all three urchin species, although  
297 *Diadema's* response to LPS was significantly impaired (Beck et al., 2014; 2008).

298 To reflect the morphology of phagocytic vs. non-phagocytic cell populations, 2D scatter plots of  
299 side-scatter (SS) and FL1 fluorescence were performed, and the percent of cells in each quadrant  
300 were determined. The quadrants represent relatively granular non-phagocytic cells, relatively  
301 granular phagocytic cells, relatively non-granular non-phagocytic cells, and relatively non-  
302 granular non-phagocytic cells (Table 2, Figure 4). In *Diadema*, 80-85% of phagocytic cells were  
303 non-granular; in *Echinometra*, 85-88% were non-granular, and in *Tripneustes* 84-91% were non-  
304 granular. We have previously shown in the purple sea urchin *Arbacia punctulata* that phagocytic  
305 amoebocytes are phagocytic, while red and white spherule cells are granular (Lin et al., 2007;  
306 2001). Our findings here also seem to show that phagocytic coelomocytes in these three urchin  
307 species tend to be non-granular.

308 One-factor ANOVA showed that the effect of laminarin on mean FL1 was significant, F  
309 (2, 31) = 3.582, p = 0.0399, as was the effect of LPS, F (2, 33) = 4.133, p = 0.025, and  
310 peptidoglycan, F (2, 34) = 3.565, p = 0.0393. Treatments with Pr(>F) less than or equal to 0.05  
311 had their adjusted p-values determined by Tukey multiple comparisons of means with a 95%  
312 family-wise confidence level. Tukey's HSD showed that the only significant difference in the  
313 mean FL1 response was to LPS between *D. antillarum* and *E. lucunter*, p(adj) = 0.0469.

314

### 315 3.3 Cytotoxicity Assay

316 A cytotoxicity assay was used as another measure of the activity of the cellular immune  
317 response as we have described in characterization of cytotoxic cells in another sea urchin (the  
318 purple sea urchin, *Arbacia punctulata*) (Lin et al., 2007; 2001). As seen in Figure 5 the  
319 coelomocytes from all three urchin species were capable of recognizing and lysing the xenogenic  
320 sRBC after incubation for 4 hrs. Background sea water controls for each urchin species in the  
321 experiments were approximately 15%. When exposed to the stimulatory agents (LPS and  
322 zymosan) the coelomocytes from each of the 3 different urchin species responded with an  
323 increase of cytotoxic activity (ranging from 30 to 50%).

324

325

#### 326 4. Discussion

327

328 Coelomocytes of all sea urchins in these experiments (*Diadema antillarum*, *Tripneustes*  
329 *ventricosus* and *Echinometra lucunter*) showed a consistent phagocytic response to all  
330 stimulants. In addition, the coelomocytes exhibited cytotoxic activities towards xenogenic cells.  
331 Based on these results, it appears that *Diadema* is no less capable of microbial recognition,  
332 phagocytosis, and cytotoxic responses - all hallmarks of the cellular immune response – than any  
333 of the other urchins studied that did not suffer mass mortality in 1983. While we previously  
334 observed a diminished humoral response by *Diadema* to LPS (Beck et al., 2014), we found no  
335 difference in its cellular host defense responses of phagocytosis and cytotoxicity stimulated by  
336 LPS. Both phagocytosis and cytotoxicity in *Diadema*, and the other urchins studied, are  
337 stimulated by other experimental immune stimulants we employed as well.

338 Given our previous results with the humoral response, the apparent normal cellular  
339 response in *Diadema* was surprising. Is the cellular immune response in *Diadema* normal,  
340 despite its die-off, poor recovery, and reduced humoral response? Or could the phagocytotic  
341 engulfment that our experiments tested occur normally, but without associated microbicidal  
342 action and thus diminished host defense? And if so, what signaling pathways might be  
343 responsible for a failure of microbicidal action following normal phagocytic engulfment? As  
344 more is known about phagocytosis and its associated signaling pathways in insects and higher  
345 organisms, more recently proposed models in these organisms may offer some possible  
346 explanations.

347 The most basic function of host defense is to distinguish self from non-self (*i.e.*, viruses,  
348 bacteria, protozoa, fungi, and other non-host proteins) and altered-self (*i.e.*, damaged host cells,  
349 transformed cells) (Medzhitov and Janeway, 2002). To accomplish this, all gnathostomes possess  
350 both an innate and adaptive immune system (L. Du Pasquier, 2001). A distinguishing feature of  
351 adaptive immunity is the somatic rearrangement of genes for specific recognition molecules,  
352 which provides almost infinite receptor variability to distinguish and bind foreign antigens (L. D.  
353 Pasquier, 2006). Another key characteristic of adaptive immunity is the production of memory  
354 cells with receptors specific to a previously encountered pathogen, expediting host defense (Beck  
355 and Habicht, 1996).

356           Although true adaptive immunity is confined to higher vertebrates, all living organisms  
357 possess some type of innate immune system as a first line of defense (Beck and Habicht, 1996;  
358 Cooper, 2003; Marchalonis and Schluter, 1990b). For invertebrates to mount a successful  
359 immune response, their innate immune system must first be able to recognize a pathogen, and  
360 then take appropriate action to eliminate it by way of humoral and cellular effector mechanisms  
361 (Beutler, 2004). Non-self recognition in invertebrates typically occurs via germ-line encoded  
362 pattern recognition receptors (PRRs) (Janeway, 1992), and selective pressure would likely drive  
363 an expansion of that repertoire (Bayne, 2003; Buchmann, 2014; L. D. Pasquier, 2006; Zhang et  
364 al., 2015). Indeed, the first sea urchin to be sequenced revealed a wide diversity of immune-  
365 related genes (Buckley and Rast, 2012; Hibino et al., 2006; Rast et al., 2006; Sodergren et al.,  
366 2006), making up perhaps 4-5% of the genome (Silva, 2013). Other than Fc $\gamma$  receptors used by  
367 vertebrates to bind antibody-opsonized particles, homologs of all PRRs have been found in  
368 invertebrates (Buckley and Rast, 2015). While their function in host defense has been shown for  
369 some (L. C. Smith et al., 2001), most others are still to be determined.

370           PRRs act as the afferent (sensing) cellular components of the innate immune system, and  
371 in sea urchins as in other organisms they would play an important role in initiating phagocytosis.  
372 Any breakdown between these afferent cellular components of the innate immune system could  
373 result in an impaired efferent (effector) cellular response. Additionally, the humoral and cellular  
374 arms of the immune system are thought to work cooperatively. Interaction between a receptor  
375 and its cognate ligand activates downstream signaling events that lead to various humoral or  
376 cellular immune responses (Beutler, 2004; Leclerc, 1996; Matranga, 1996; Ottaviani et al., 2003;  
377 Raftos et al., 1992b). Some of the most studied inflammatory signaling pathways arise from  
378 TLRs (Kawai and Akira, 2011; Moresco et al., 2011), and their downstream signal transduction  
379 requires engagement of adaptor molecules (Akira et al., 2003; Kenny and O'Neill, 2008) to  
380 activate kinase cascades to transcription factors (Lee and Kim, 2007; O'Neill et al., 2013; L. C.  
381 Smith et al., 2010). Activation of NF- $\kappa$ B results in gene transcription of cytokines or invertebrate  
382 cytokine-like signal molecules that elicit an inflammatory response (Beck, 1998; Beck et al.,  
383 1993; Beck and Habicht, 1996; Gantner et al., 2003; Malagoli, 2010; Moresco et al., 2011;  
384 Raftos et al., 1991; L. C. Smith et al., 2010). A break in any link of this chain, especially  
385 involving the TLR pathway, might alter an organism's phagocytic response.

386           The few sea urchin genomes that have been sequenced show great diversity in their TLR  
387 genes. Compared to thirteen mammalian TLR genes (O'Neill et al., 2013), three species from the  
388 Strongylocentrotidae family have well over two-hundred, while another belonging to the more  
389 basal Toxopneustidae clade has one-quarter as many (Buckley and Rast, 2012; Hibino et al.,  
390 2006; Rast et al., 2006; Sodergren et al., 2006). Although their cognate ligands and function are  
391 still unknown, their enhanced expression in phagocytic coelomocytes suggests a role in immune  
392 function (Buckley and Rast, 2012; Leulier and Lemaitre, 2008). Invertebrate TLRs from a  
393 protochordate that were transfected into mammalian cells have been found to activate NF- $\kappa$ B  
394 pathways in response to known mammalian ligands (Sasaki et al., 2009).

395           TLR signaling has been shown to be intimately involved with phagocytosis in higher  
396 organisms. Phagocytosis represents one of the most ancient, highly conserved, and important  
397 first line microbial defenses, and is the primary cellular defense for invertebrates (Beck and  
398 Habicht, 1996; Marchalonis and Schluter, 1990b). Phagocytosis begins by interaction of  
399 microbial ligands with multiple and varied PRRs on phagocytic cells (Aderem and Underhill,  
400 1999), either directly, or indirectly through opsonin and complement receptors (Underhill and  
401 Ozinsky, 2002).

402           Signaling following phagocyte binding is facilitated by receptor clustering at the binding  
403 site (Greenberg, 1995; Kwiatkowska and Sobota, 1999). The formed phagosome fuses with a  
404 lysosome, where it acquires hydrolase enzymes necessary for particle breakdown, thus creating  
405 the mature phagolysosome (Flannagan et al., 2012; Steinberg, 2009). Thus, downstream  
406 signaling following phagocytic engulfment is necessary for the microbicidal effect of  
407 phagocytosis. As a result, any interference in the signaling pathway could impair normal immune  
408 function even if phagocytic engulfment successfully occurred.

409           Due to the great expansion of the TLR repertoire in sea urchins their function in  
410 echinoderms is poorly understood at present, while TLRs in higher organisms have been greatly  
411 studied. In vertebrate phagocytes, microbial engulfment is accompanied by trafficking of  
412 membrane TLRs and adapter proteins to the phagosome, where TLR signaling facilitates fusion  
413 with lysosomes and phagosome maturation (Jaumouillé and Grinstein, 2011). This may be  
414 unique to higher organisms, where phagocytosis also represents a means of antigen presentation  
415 and activation of cells of the adaptive immune system (Blander, 2008; 2007a; Blander and  
416 Medzhitov, 2006a; 2006b; 2004). Phagolysosome formation has been shown to occur without

417 TLR signaling from the phagosome, although slower and with impaired bacterial killing  
418 (Blander and Medzhitov, 2004). There is still debate as to whether TLR signaling from the  
419 phagosome is critical to its maturation (Blander, 2007a; 2007b), or if it proceeds independently  
420 (Russell and Yates, 2007; Yates et al., 2005). But if phagosomal maturation is dependent on TLR  
421 signaling in sea urchins, a possible defect in the pathway in *Diadema* might result in the normal  
422 phagocytic engulfment observed in our experiments, yet a compromised ability to successfully  
423 clear certain pathogens.

424         Indeed, in vertebrates it has been shown that phagocytosis can still occur in the absence  
425 of TLR signaling, but without the subsequent inflammatory response. While TLRs are required  
426 for inflammatory signaling, they may not function directly as phagocytic receptors. Vertebrate  
427 phagocytosis of zymosan and LPS can occur even without the appropriate TLR and adapter  
428 proteins, but in their absence gene transcription of inflammatory cytokines does not (Gantner et  
429 al., 2003; Peiser et al., 2002; Underhill et al., 1999). TLRs may only be capable of recognizing  
430 and binding soluble ligands, with the direct recognition of pathogen surfaces necessary for  
431 phagocytosis handled by other phagocyte receptors (Underhill and Gantner, 2004). Whether a  
432 similar model is present in sea urchins is unknown, but if so, a TLR defect in *Diadema* could  
433 result in normal phagocytotic engulfment without an effective inflammatory response.

434         Additionally, phagocytotic engulfment might still occur through non-TLR pathways, but  
435 without the maturation and signaling necessary for microbicidal activity. In vertebrates, it is  
436 thought that microbial binding to phagocytes and subsequent ingestion may be dependent on  
437 PRRs other than TLRs, while both phagosomal maturation and downstream inflammatory  
438 signaling are TLR-dependent (Moretti and Blander, 2014). Initiation of phagocytosis and  
439 activation of inflammatory signaling may be controlled by separate types of PRRs (Moretti and  
440 Blander, 2014). True phagocytic receptors, such as mannose and scavenger receptors, trigger  
441 actin-polymerization and phagocytosis but cannot activate inflammatory signaling, although they  
442 may modulate signaling activated by other PRRs (Moretti and Blander, 2014). Other kinds of  
443 receptors such as TLRs cannot initiate phagocytosis but can regulate its rate, and their primary  
444 function is downstream inflammatory signaling transduction to transcription factors like NF- $\kappa$ B.  
445 And other PRRs initiate both phagocytosis and signaling, such as  $\beta$ -glucan recognition Dectin-1.  
446 (Moretti and Blander, 2014). Thus, phagocytosis might occur through PRRs, while some defect  
447 affecting TLR signaling could result in either inefficient microbicidal action within phagocytes,

448 or failure to produce inflammatory cytokines to stimulate humoral mechanisms, leaving the  
449 organism susceptible to infection. This signaling defect could be in one or more TLRs or their  
450 adapter molecules. While it is unknown if similar mechanisms are involved in sea urchins, the  
451 possibility offers an intriguing potential explanation of *Diadema's* immune response.

452 Another intriguing possibility is the possibility of a defect in an immune gene more  
453 unique to sea urchins. In *S. purpuratus*, polygonal and small filopodial phagocytes have been  
454 shown to upregulate the immune-related 185/333 [recently renamed Transformer, (Lun et al.,  
455 2017; L. C. Smith and Lun, 2017)] genes after immune stimulation with PAMPs such as LPS,  
456 PGN, and laminarin (Majeske et al., 2014; 2013; Nair, 2005; Rast et al., 2000; Terwilliger et al.,  
457 2007). It is unknown whether 185/333 phagocytes are present in the sea urchin species studied  
458 here. And while LPS stimulation has been shown to increase the population of large polygonal  
459 and small filopodial 185/333 phagocytes in *S. purpuratus* (Brockton et al., 2008; Majeske et al.,  
460 2014; 2013) (0, 23, 29, 30), it is not known whether stimulation with the PAMPs used in our  
461 experiments affect either the number of cells or the relative proportion of coelomocytes subtypes  
462 in the urchin species we studied. Whether *Diadema* also has 185/333 genes in its immune  
463 repertoire is in area worth future investigation.

464 It is also possible that *Diadema's* cellular immune response is in fact normal, and that  
465 only its humoral arm is impaired. Research on insects has challenged the traditional cooperative  
466 model of the humoral and cellular arms of the immune response. In this new paradigm, humoral  
467 immune activation is seen as a later and secondary response to phagocytosis (Makarova et al.,  
468 2016; Matranga et al., 2005; Mills et al., 2015; Tsuzuki et al., 2014). As such, phagocytosis  
469 might occur independently of any defect in the humoral response.

470 It is also possible that phagocytosis was impaired in *Diadema* during the die-off, but is  
471 normal in the recovering population. Given that no immune studies were done on affected  
472 *Diadema* during the die-off, this will remain an unanswered question.

473 Here, we have observed normal phagocytic engulfment in *Diadema*. However, it remains  
474 unclear whether phagosomal maturation is necessary for microbicidal activity to occur. Is TLR  
475 signaling involved in phagocytosis in sea urchins? And if so, does downstream signaling and  
476 gene transcription of inflammatory molecules occur? These are important questions, not only for  
477 understanding the *Diadema* mass mortality, but also to further expand our understanding of the  
478 immune mechanisms of this important marine invertebrate. A possible future area of

479 investigation on the cellular immune response of *Diadema* might involve further phagocytosis  
480 assays using pH sensitive beads to determine whether phagocytosis is followed by phagosomal  
481 acidification. Additionally, quantification of mRNA expression patterns of PRRs and their  
482 adapter molecules, in response to challenge with microbial ligands at multiple time intervals,  
483 would provide insight into signaling cascades involved in the cellular immune response  
484 (manuscript in preparation).

485 The vast diversity of pathogen recognition receptors discovered in sea urchins makes  
486 understanding their immune function critical to unravel the potential relationship between PRR  
487 defects and immune related pathology. Given some of the recently discovered roles of TLR  
488 signaling in higher organisms, and considering the greatly expanded TLR repertoire in sea  
489 urchins, it is essential to gain a better understanding of their immune function.

490 *Diadema* suffered a devastating die-off, likely due to an unknown pathogen, while other  
491 cosmopolitan species of sea urchins on the same reefs were unaffected. While we previously  
492 observed impaired humoral responses in *Diadema*, our results here show normal phagocytic  
493 engulfment. The evolutionary events that produced this duality in cellular versus humoral  
494 response in *Diadema*, and the relationship of this duality to the epidemic of 1983-84, are  
495 difficult to ascertain but invite speculation. The poor humoral response in *Diadema*, which is not  
496 shared by other Caribbean sea urchins, could predate the epidemic and explain why  
497 *Diadema* was the only Caribbean urchin to experience mass mortality. In this scenario, the  
498 inactive humoral response was preserved in *Diadema* through the epidemic because survivors  
499 were in a non-susceptible part of the bi-phasic life cycle, had exceptionally strong cellular  
500 responses (perhaps because some other challenge had activated the cellular arm at just the right  
501 time), or were simply lucky (did not encounter the pathogen). Alternatively, if mortality during  
502 the epidemic resulted from over-activity of the humoral defenses, intense selection for weak  
503 humoral response may have produced the “defect” we see today.

504 Borrowing on research in higher organisms, our current speculation is that during the  
505 epidemic there was likely sufficient PRR activation to initiate phagocytosis, but that some defect  
506 in downstream signaling, such as in the TLR pathway, prevented a full inflammatory response  
507 with microbicidal killing. This could be responsible for *Diadema* succumbing to a pathogen,  
508 leading to both the mass die-off and the subsequent lack of recovery. By demonstrating  
509 apparently normal phagocytosis in a sea urchin with a proven humoral defect, when the humoral

510 and cellular arms of the innate immune system are thought to work cooperatively, we have  
511 opened areas of further inquiry, especially given recent research in vertebrates and insects in this  
512 area.  
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**TABLES**

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888 **Table 1.** Percentage of FL1+ coelomocytes for three urchin species with four treatments

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<b>Treatment</b>	<b>Species</b>		
	<i>Diadema</i>	<i>Echinometra</i>	<i>Tripneustes</i>
Seawater	0.05%	0.02%	0.03%
LPS	15%	14%	19%
Laminarin	13%	16%	22%
Peptidoglycan	10%	13%	14%

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894 **Table 2.** Percentage of phagocytic coelomocytes based on cell granularity

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Treatment	Species						Fluorescence
	<i>Diadema</i>		<i>Echinometra</i>		<i>Tripneustes</i>		
	Granular	Non-granular	Granular	Non-granular	Granular	Non-granular	
Seawater	0.03%	0.02%	0.02%	0%	0.02%	0.01%	+
	20%	80%	17%	83%	18%	82%	-
LPS	3%	12%	2%	12%	3%	16%	+
	11%	74%	10%	76%	10%	71%	-
Laminarin	2%	11%	2%	14%	2%	20%	+
	11%	76%	10%	74%	11%	67%	-
Peptidoglycan	2%	8%	2%	11%	2%	12%	+
	10%	80%	11%	76%	7%	79%	-

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## FIGURES

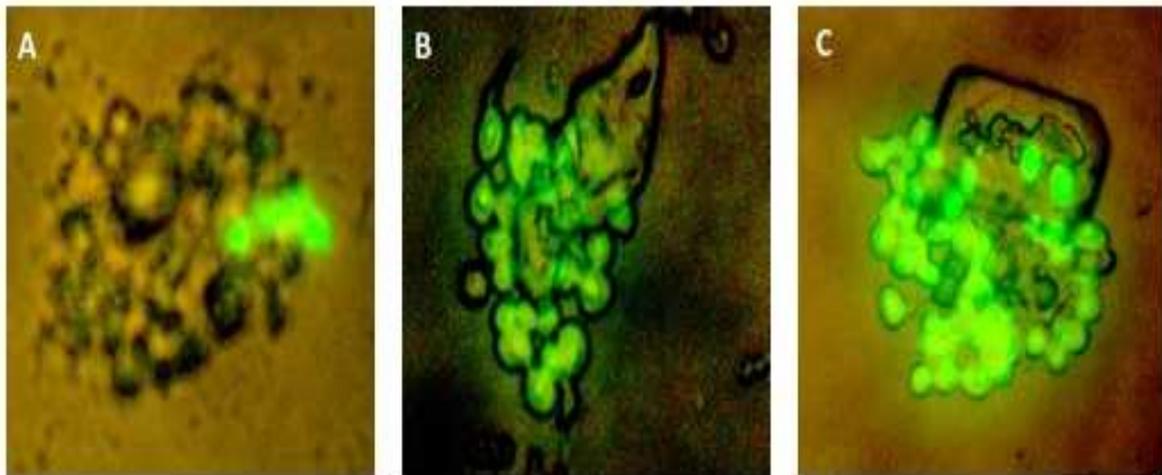
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904 **Figure 1.** Representative fluorescent microscopy of phagocytized fluorescently labeled latex  
905 beads in sea urchin coelomocytes stimulated with LPS. A: *D. antillarum*; B: *T. ventricosus*; C: *E.*  
906 *lucunter*.

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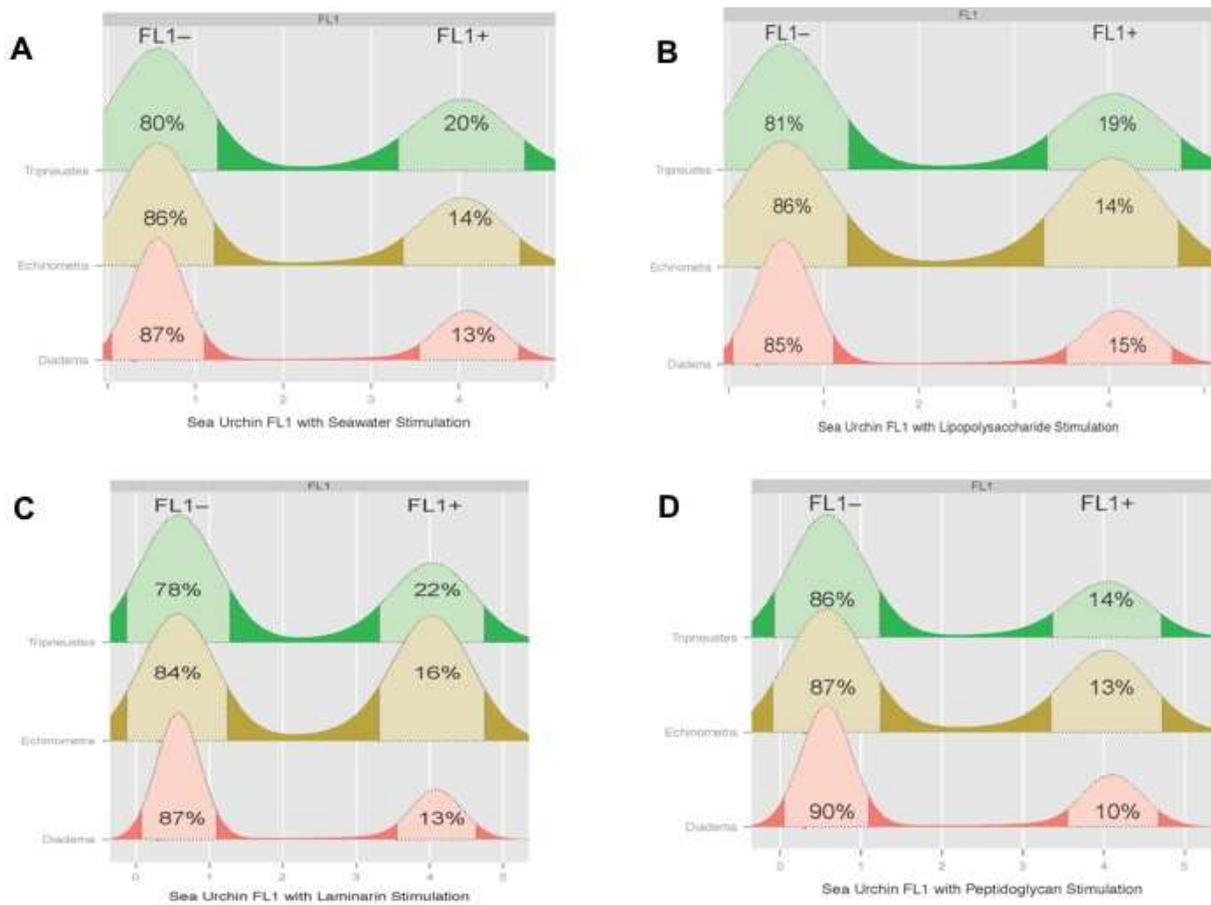
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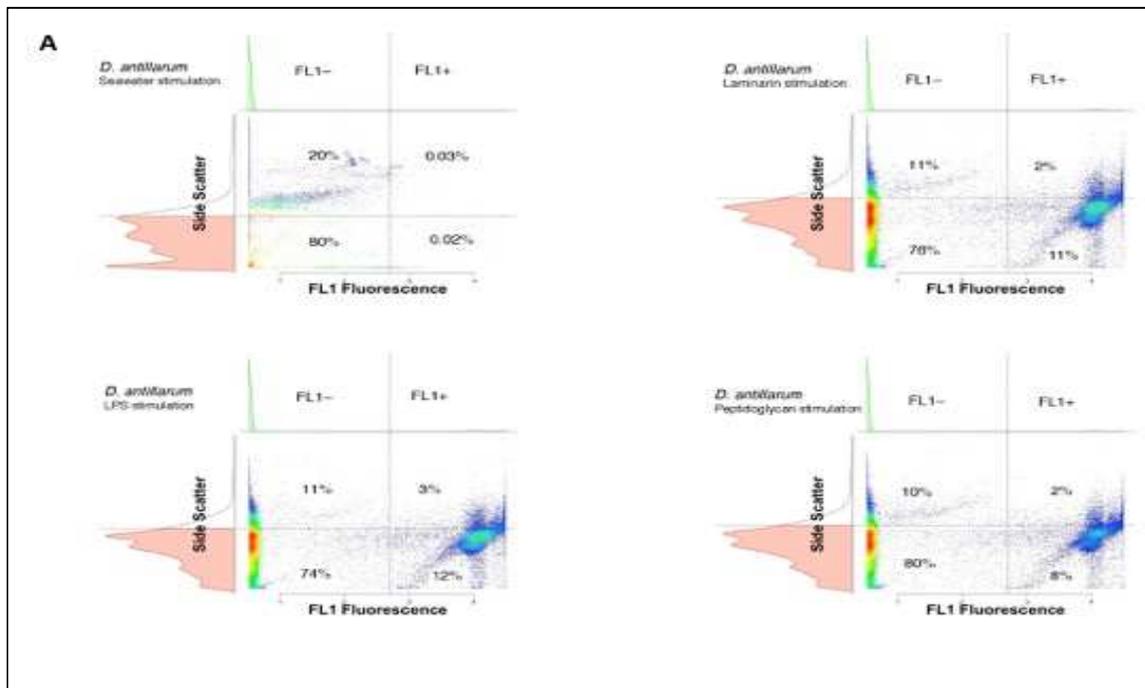
**Figure 2.** Mean 1D FL1 density plots of *D. antillarum*, *E. lucunter*, and *T. ventricosus* coelomocytes exposed to seawater (A), LPS (B), laminarin (C), and peptidoglycan (D), with the percentage of FL1- and FL1+ cells shown on the cell population peaks.



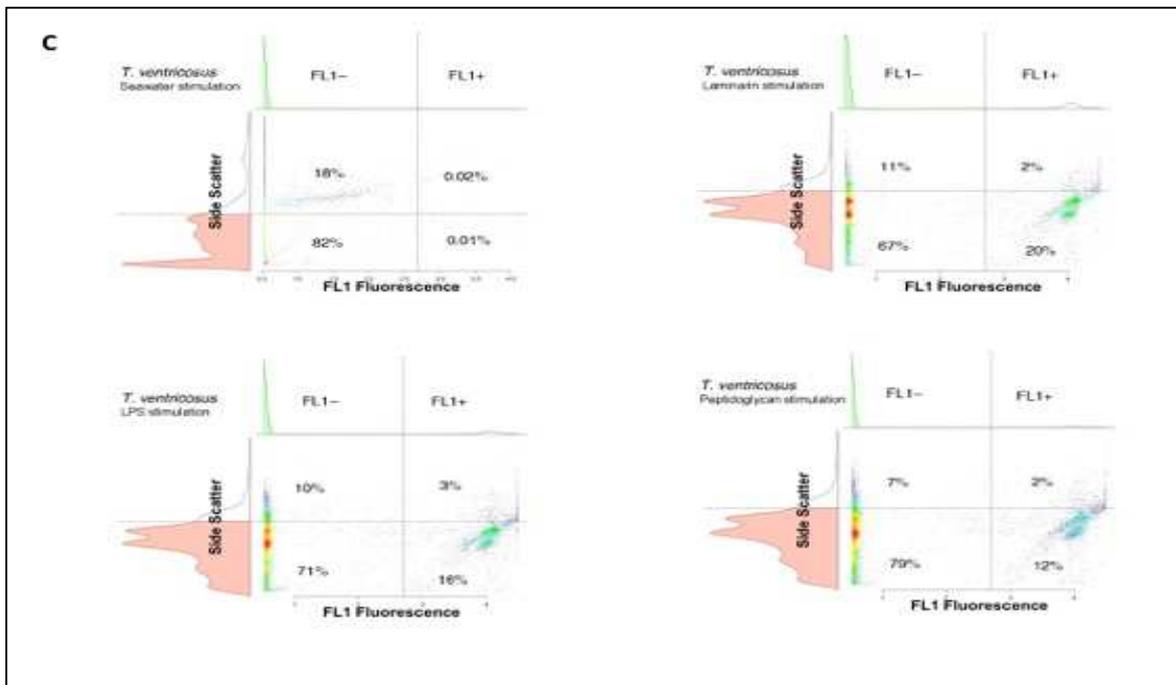
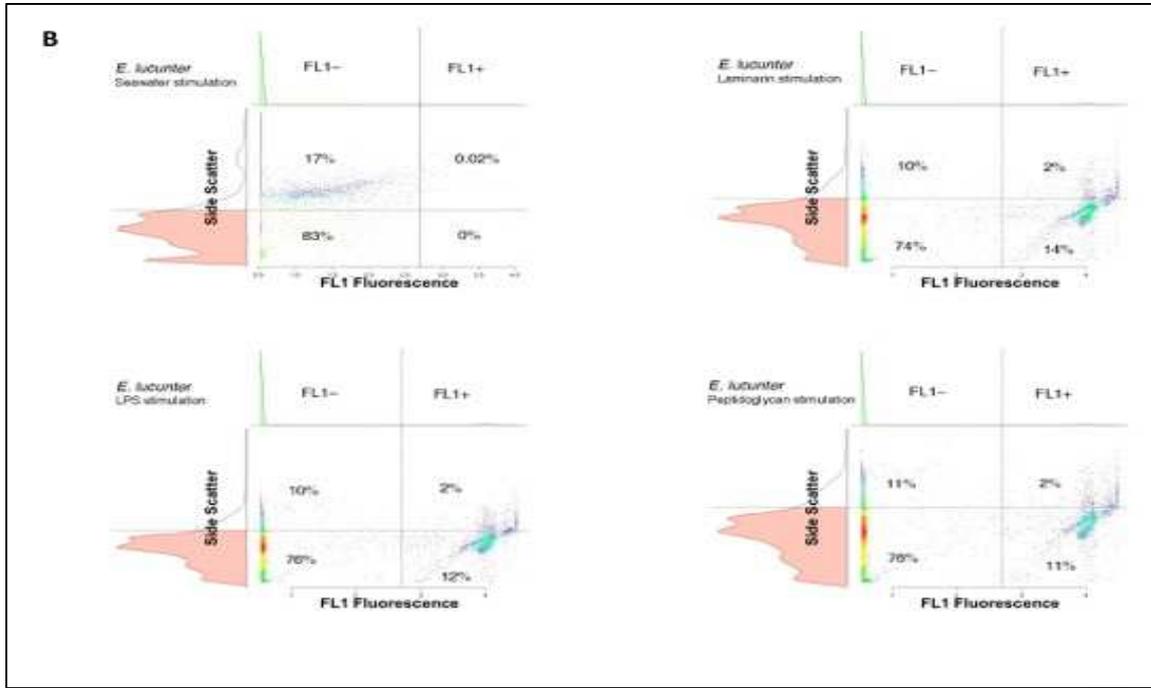
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935 **Figure 3.** 2D mean SS/FL1 scatter plots of coelomocytes from. (A) *D. antillarum* exposed to  
 936 seawater, laminarin, LPS, and peptidoclycan; (B) *E. lucunter* exposed to seawater, laminarin,  
 937 LPS, and peptidoclycan., and (C) *T. ventricosus* exposed to seawater, laminarin, LPS, and  
 938 peptidoclycan. Each quadrant represents cell populations that were relatively (clockwise from  
 939 upper left) FL1–/granular, FL1+/granular, FL1+/non-granular, and FL1–/non-granular, and the  
 940 proportion of the total cells that each cell population represents is shown for each quadrant.

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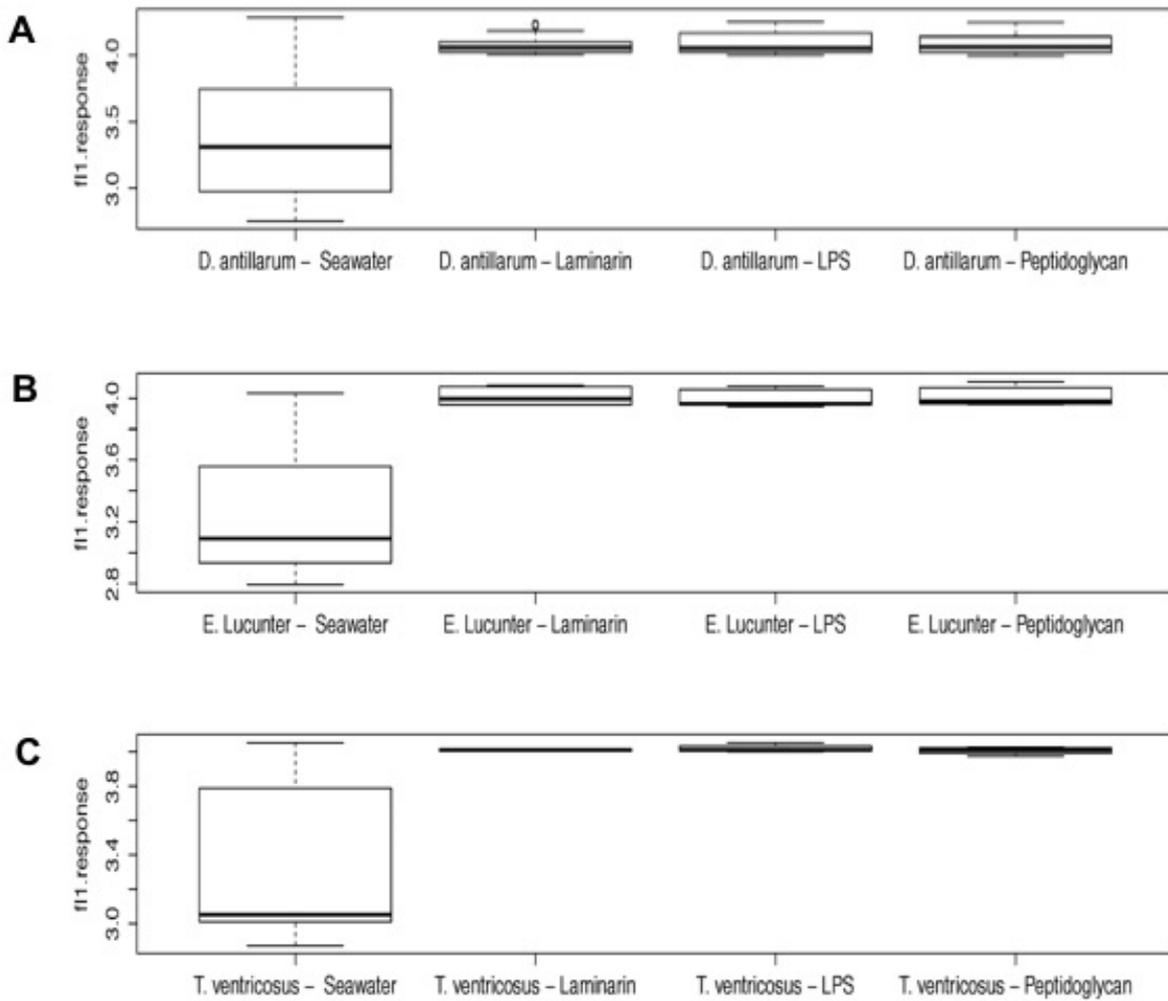


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984 **Figure 4.** Mean FL1+ fluorescent response for *D. antillarum* (A), *E. lucunter* (B), and *T.*  
985 *ventricosus* (C), to each treatment (from left to right: seawater, laminarin, LPS, and  
986 peptidoglycan).

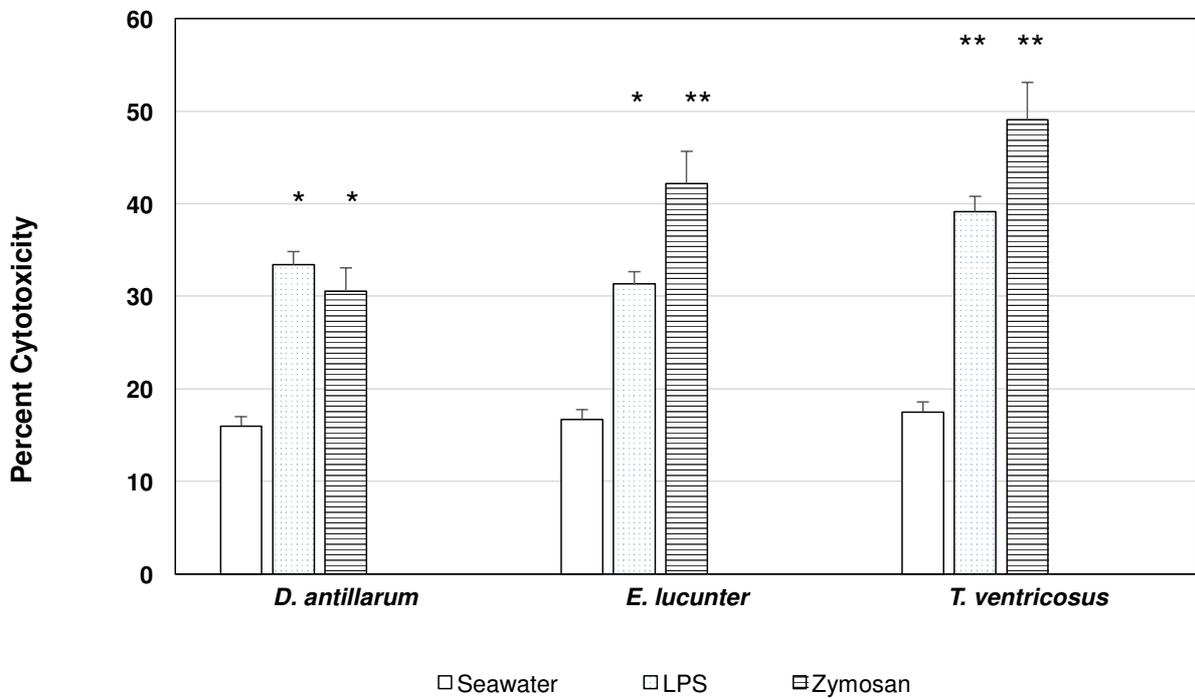
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996 **Figure 5.** Percent specific cytotoxicity of sea urchin coelomocytes. Coelomocytes were  
997 incubated with sRBC target cells. *D. antillarum*, *E. lucunter*, and *T. ventricosus* coelomocytes  
998 were treated with, from left to right, seawater, LPS, or zymosan. After 4 hr the supernatants were  
999 removed and scored as described in the *Material and Methods* section. Data are presented as  
1000 Mean  $\pm$  SEM of percent specific cytotoxicity from six experiments. \* $P < 0.01$  as compared to the  
1001 seawater control, \*\* $P < 0.001$  as compared to the seawater control.

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