

Geophysical Research Letters

RESEARCH LETTER

10.1029/2019GL086791

Key Points:

- We report a drop in ozonesonde total column O₃ of 3–7% relative to independent measurements at a third of sites beginning around 2014
- Comparisons with satellite stratospheric O₃ profiles show the artifact loss peaking at 5–10% or more in the middle and upper stratosphere
- Changes in the ozonesonde instrument are apparently associated with the dropoff, but no single factor appears to be the cause

Supporting Information:

Supporting Information S1

Correspondence to:

R. M. Stauffer, ryan.m.stauffer@nasa.gov

Citation:

Stauffer, R. M., Thompson, A. M., Kollonige, D. E., Witte, J. C., Tarasick, D. W., Davies, J., et al. (2020). A post-2013 dropoff in total ozone at a third of global ozonesonde stations: Electrochemical concentration cell instrument artifacts? *Geophysical Research Letters*, 47, e2019GL086791. https://doi.org/10.1029/2019GL086791

Received 21 DEC 2019 Accepted 5 MAY 2020 Accepted article online 16 MAY 2020

A Post-2013 Dropoff in Total Ozone at a Third of Global Ozonesonde Stations: Electrochemical Concentration Cell Instrument Artifacts?

Ryan M. Stauffer^{1,2}, Anne M. Thompson², Debra E. Kollonige^{3,2}, Jacquelyn C. Witte^{2,4}, Roeland W. Tarasick⁵, Jonathan Davies⁵, Holger Vömel⁶, Gary A. Morris⁷, Roeland Van Malderen⁸, Bryan J. Johnson⁹, Richard R. Querel¹⁰, Henry B. Selkirk^{11,2}, Rene Stübi¹², and Herman G. J. Smit¹³

¹Earth System Science Interdisciplinary Center, University of Maryland, College Park, MD, USA, ²Atmospheric Chemistry and Dynamics Lab, NASA/GSFC, Greenbelt, MD, USA, ³Science Systems and Applications, Inc., Lanham, MD, USA, ⁴Now at National Center for Atmospheric Research Earth Observations Laboratory, Boulder, CO, USA, ⁵Environment and Climate Change Canada, Downsview, Ontario, Canada, ⁶National Center for Atmospheric Research Earth Observations Laboratory, Boulder, CO, USA, ⁷St. Edward's University, Austin, TX, USA, ⁸Royal Meteorological Institute of Belgium, Uccle, Belgium, ⁹Global Monitoring Laboratory, NOAA Earth System Research Laboratory, Boulder, CO, USA, ¹⁰National Institute of Water and Atmospheric Research (NIWA), Lauder, New Zealand, ¹¹Universities Space Research Association, Columbia, MD, USA, ¹²Federal Office of Meteorology and Climatology, MeteoSwiss, Aerological Station, Payerne, Switzerland, ¹³Institute of Chemistry and Dynamics of the Geosphere: Troposphere, Jülich Research Centre, Jülich, Germany

Abstract An international effort to improve ozonesonde data quality and to reevaluate historical records has made significant improvements in the accuracy of global network data. However, between 2014 and 2016, ozonesonde total column ozone (TCO; O_3) at 14 of 37 regularly reporting stations exhibited a sudden dropoff relative to satellite measurements. The ozonesonde TCO drop is 3–7% compared to satellite and ground-based TCO, and 5–10% or more compared to satellite stratospheric O_3 profiles, compromising the use of recent data for trends, although they remain reliable for other uses. Hardware changes in the ozonesonde instrument are likely a major factor in the O_3 dropoff, but no single property of the ozonesonde explains the findings. The bias remains in recent data. Research to understand the dropoff is in progress; this letter is intended as a caution to users of the data. Our findings underscore the importance of regular ozonesonde data evaluation.

Plain Language Summary Balloon-borne ozonesondes provide accurate measurements of atmospheric ozone (O_3) from the surface to above 30 km with high vertical resolution. Dozens of global stations have regularly launched ozonesondes for decades, and they provide vital information for improving O_3 -measuring satellite algorithms, tracking recovery of the stratospheric O_3 layer, and our understanding of surface to lower stratospheric O_3 changes in an evolving climate. We present the discovery of an apparent instrument artifact that has caused total column O_3 measurements from about a third of global stations to drop by 3–7% starting in 2014–2016, limiting their suitability for calculating O_3 trends. Work is underway to solve the problem, but the exact cause of the drop is still unknown. This letter serves as a caution to the community of ozonesonde data users.

1. Background: The Ozonesonde Instrument and Data Quality Assurance

The electrochemical concentration cell (ECC) ozonesonde measures ozone (O_3) profiles from the surface through the midstratosphere (~5 hPa). Ozone is measured via a chemical reaction from bubbling ambient O_3 into a two-chamber electrochemical cell containing a potassium iodide (KI) solution (sensing solution type or SST, which refers to the solution KI and pH buffer concentration; see Table 1). The ECC is launched on a weather balloon coupled to a radiosonde that transmits O_3 partial pressure simultaneously with pressure, temperature, humidity (PTU), and GPS-derived wind data to a ground station approximately once a second. With a 20–30 s response time, the effective vertical resolution of the O_3 signal is ~150 m.

Because each ozonesonde is a new instrument that must be prepared before launch, it is essential to standardize instrument preparation, operations, and the treatment of raw data. In the past decade, a

©2020. American Geophysical Union. All Rights Reserved.



Table 1

ECC Type, Total Number of Samples, Latitude, Longitude, Solution Type (SST) (KI Concentration, Buffer Strength), the 25th Percentile, Mean, and 75th Percentile TCO Differences With OMI (October 2004 to Present), Date of Dropoff and Maximum Amount of Dropoff in the 100-Sample Moving Mean (See Figure 1) if Applicable, and Ground-Based Instrument if Applicable Are Listed

						OMI 25th	OMI μ	OMI 75th		TCO drop	
Site	ECC	Ν	Lat (°)	Lon (°)	KI SST	(%)	(%)	(%)	Dropoff	(%)	Ground TCO
Alert	Type1	645	82.49	-62.34	1.0%, full	-0.6	1.0	3.1	02/2016	-4.3	Brewer
Eureka	Type1	922	79.98	-85.94	1.0%, full	-0.4	1.9	4.5	04/2016	-4.2	Brewer
Resolute	Type1	540	74.7	-94.96	1.0%, full	-4.8	-2.2	0.6	N/A	N/A	Brewer
Churchill	Type1	417	58.74	-94.07	1.0%, full	-1.1	0.7	3.3	11/2016	-5.5	Brewer
Edmonton	Type1	674	53.54	-114.1	1.0%, full	-2.9	-0.4	2.9	01/2017	-3.9	Brewer
Goose Bay	Type1	663	53.31	-60.36	1.0%, full	-1.9	0.7	3.4	N/A	N/A	Brewer
De Bilt	Type2	736	52.1	5.18	1.0%, full	-0.6	1.3	2.9	N/A	N/A	Brewer
Uccle	Type1	2,140	50.8	4.35	0.5%, half	-1.5	0.0	2.0	N/A	N/A	Brewer
Kelowna	Type1	664	49.93	-119.4	1.0%, full	1.4	3.4	5.9	11/2014	-4.7	N/A
Payerne	Type1	2,191	46.49	6.57	0.5%, half	-2.5	-0.7	0.9	N/A	N/A	N/A
Yarmouth	Type1	616	43.87	-66.11	1.0%, full	-0.2	2.4	5.3	02/2015	-7.4	N/A
Sapporo	Type1	373	43.06	141.33	0.5%, half	1.0	2.7	4.4	N/A	N/A	Dobson
Trinidad Head	Type1	772	40.8	-124.16	1.0%, 1/10	-2.1	-0.2	1.6	N/A	N/A	N/A
Madrid	Type2	680	40.47	-3.58	1.0%, full	-2.1	-0.3	1.6	N/A	N/A	Brewer
Boulder	Type1	816	40	-105.25	1.0%, 1/10	-2.1	-0.3	2.0	N/A	N/A	Dobson
Wallops Island	Type2	773	37.93	-75.48	1.0%, full	-2.5	-0.3	1.8	N/A	N/A	Dobson
Tateno	Type1	430	36.06	140.13	0.5%, half	0.8	2.6	4.3	N/A	N/A	Dobson, Brewer
Huntsville	Type1	759	34.72	-86.64	1.0%, 1/10	-1.6	0.0	1.9	N/A	N/A	N/A
Naha	Type1	403	26.21	127.69	0.5%, half	0.2	1.7	3.5	N/A	N/A	Dobson
Hong Kong	Type2	690	22.31	114.17	1.0%, full	-7.0	-4.6	-2.1	N/A	N/A	N/A
Hanoi	Type1	264	21.01	105.8	0.5%, half	-4.1	-1.8	0.5	N/A	N/A	N/A
Hilo	Type1	711	19.43	-155.04	1.0%, 1/	-3.7	-1.9	0.2	03/2015	-4.0	Dobson,
					10						Brewer
Costa Rica	Type1	605	9.94	-84.04	1.0%, 1/	-3.1	-0.8	1.9	12/2015	-6.2	N/A
					10						
Paramaribo	Type2	517	5.8	-55.21	1.0%, full	-5.0	-2.5	-0.1	N/A	N/A	Brewer
Kuala Lumpur	Type1	264	2.73	101.27	0.5%, half	-7.3	-4.5	-1.3	N/A	N/A	N/A
San Cristobal	Type1	168	-0.92	-89.62	1.0%, 1/	-4.9	-0.8	2.4	01/2014	-4.7	N/A
					10						
Nairobi	Type1	596	-1.27	36.8	0.5%,	-3.7	-2.1	-0.4	07/2016	-3.2	N/A
					half						
Natal	Type2	400	-5.42	-35.38	1.0%, full	-3.6	-1.5	1.0	04/2016	-3.5	Dobson
Watukosek	Type1	115	-7.5	112.6	2.0%,	-3.4	-1.9	0.4	End 10/	N/A	N/A
					none				2013		
Ascension	Type1	394	-7.58	-14.24	0.5%,	-6.0	-2.8	0.4	03/2016	-4.2	N/A
					half						
Samoa	Type1	474	-14.23	-170.56	1.0%, 1/	-3.0	-1.2	0.9	07/2016	-3.9	Dobson
					10						
Fiji	Type1	200	-18.13	178.4	1.0%, 1/	-3.1	-0.5	2.2	05/2015	-4.8	N/A
					10						
Réunion	Type1	449	-21.06	55.48	0.5%, half	-2.0	0.3	2.4	N/A	N/A	SAOZ
Irene	Type2	212	-25.9	28.22	1.0%, full	-1.3	1.3	4.3	N/A	N/A	Dobson
Broadmeadows	Type2	667	-37.69	144.95	1.0%, full	-0.9	0.6	2.7	N/A	N/A	Dobson
Lauder	Type1	705	-45	169.68	0.5%, half	-3.3	-1.3	0.8	N/A	N/A	Dobson
Macquarie	Type2	675	-54.5	158.95	1.0%, full	-4.6	-2.4	0.1	N/A	N/A	Dobson

Note. Sites with a >3% drop in TCO relative to OMI (section 2.3) are in bold. Type1 is EnSci (Westminster, CO, USA), and Type2 is Science Pump Corporation (SPC; Camden, NJ, USA). Note that Japanese stations Sapporo, Tateno, and Naha launched carbon-iodine ozonesondes prior to 2008–2009, and those are not considered here.

panel of researchers have engaged in both individual and collective tests of instrumentation, meeting regularly to discuss quality assurance and to develop standard operating procedures (SOP) in an activity designated Assessment of SOP for Ozonesondes (ASOPOS). Current SOP were published in Smit and ASOPOS (2014). The main sources of instrument variability are the instrument type (there are two major manufacturers of ECC instruments, which we call "Type1" and "Type2"), the composition of the SST, conditioning protocol, and postprocessing; these parameters are given in the metadata for each record.

ASOPOS has also published guidelines for reprocessing sonde data records that may be affected by deliberate or inadvertent ECC preparation changes. For example, the ASOPOS recommendation is to deploy each ECC type with a different SST, even though the two types operate on the exact same measurement principle. If a station changes only one of these variables, the resulting step change in O_3 is considered an instrumental artifact. Reprocessing is carried out to compensate for such changes, and the data are said to be homogenized (Deshler et al., 2017; Smit and ASOPOS, 2012). Both the SOP and reprocessing guidelines are based on laboratory (Smit et al., 2007) and field tests (Deshler et al., 2008) in which different sensors are compared with a standard O_3 reference photometer. In the lab, tests are made with 2–4 ECC sensors operating in a closed chamber that simulates a standard profile over a 2 hr "flight." Field tests compare instruments on a single gondola launched with a balloon capable of lifting the payload to ~30 km.

During the period 2013 through 2017, data from more than 25 ozonesonde stations were reprocessed (Sterling et al., 2018; Tarasick et al., 2016; Thompson et al., 2017; Van Malderen et al., 2016; Witte et al., 2017; Witte et al., 2019). In general, the reprocessed data show significant improvements in comparison with independent total column ozone (TCO) measurements. Reprocessed data at 12 of 14 SHADOZ stations agree to within 2% of satellite and ground-based TCO measurements (Thompson et al., 2017), compared to offsets >8% at half of the stations for the period prior to 2005 in Thompson et al. (2007). Improvements in tropical midstratospheric O_3 values also led to better agreement with the Aura Microwave Limb Sounder (MLS) profiles (2005–2017; Witte et al., 2017).

In spite of the reprocessing successes, the homogenized data for two tropical stations (Costa Rica and Hilo) displayed sharp 5% dropoffs in TCO relative to satellite measurements after 2014; at Hilo, a simultaneous discrepancy appeared relative to the Mauna Loa Dobson spectrometer (Sterling et al., 2018; Thompson et al., 2017). The dropoff was also observed in the original data sets, ruling out the reprocessing as the cause. In contrast, NOAA's Boulder, CO, site, which used the same instrumentation and SST, did not appear to be similarly affected. Hypothesized causes for these findings, for example, hardware changes in the 2011–2016 period (the company manufacturing Type1 ECCs changed ownership twice) or the non-standard SST supplied by NOAA to the above-mentioned sites, were tested along with other variables in a new series of chamber tests (JOSIE; Jülich Ozonesonde Intercomparison Experiments) in late 2017. Initial results from the 80 chamber profiles in JOSIE-SHADOZ could not explain the dropoff behavior (Thompson et al., 2019), and the cause remained unsolved.

Because ozonesonde profiles are relied upon as the foundation for satellite O_3 retrievals and validation, we reexamine the agreement among sonde, satellite, and ground-based TCO with two more years of data from the SHADOZ and NOAA networks to determine if the dropoffs reported in Thompson et al. (2017) and Sterling et al. (2018) persist. We also extend these analyses to the global network during the Aura satellite era of October 2004 to present. We find that over a third of these 37 stations exhibit an instrumental artifact dropoff in TCO after 2013, caused by a decline in stratospheric O_3 measured by the ECC instruments. Instrumental factors are investigated, but no definitive explanation for these findings has yet emerged. In section 2, data sources and statistical methods are described. Section 3 describes results and potential changes to the ECC instrument and factors that require further investigation. Section 4 is a summary and recommendations for use of data affected by the ECC O_3 dropoff.

2. Data and Methods

2.1. ECC Ozonesonde Data

We selected a total of 37 global ECC ozonesonde sites based on the availability of consistent and up-to-date records during the Aura period from October 2004 to present (i.e., data available within the last few years; an exception is Watukosek, which ended in October 2013) to analyze the recent drop in ECC TCO measurements. Currently, 28 of the sites launch Type1 ECCs, and nine launch Type2. Some sites have previously changed ECC types, SST, or both, so the most recent metadata are listed in Table 1. The primary evaluation of ozonesonde data is with TCO and stratospheric O_3 measurements from NASA's Aura satellite; sample numbers listed in Table 1 are from the Aura period only. The ozonesonde data are not normalized to a TCO measurement or an outside data source. We calculate ECC TCO amounts by integrating the ozonesonde O_3 up to 10 hPa or balloon burst, whichever is greater in pressure, and add the McPeters and



Labow (2012) climatological residual O_3 to that amount. We do not calculate the TCO amount for ozonesondes that fail to reach 30 hPa.

2.2. Satellite and Ground-Based Data

Satellite TCO measurements are from the Aura Ozone Monitoring Instrument (OMI v8.5; McPeters et al., 2008; McPeters et al., 2015) and the Suomi-NPP Ozone Mapping Profiler Suite (OMPS v2; McPeters et al., 2019). To identify "coincident" satellite overpasses, we limit Level 2 TCO data to within 8 hr and 100 km of the ozonesonde measurement. Sensitivity tests on our screening of coincident satellite TCO data by limiting comparisons based on cloud fraction or a smaller overpass distance to the ECC site had negligible effects on the statistics (less than 1% change in overall OMI/ECC TCO agreement). Stratospheric O₃ profile measurements are from Aura MLS (Froidevaux et al., 2008). We use MLS v4.2 Level 2 O₃ data averaged within 1 day and 5° latitude and 8° longitude of the ozonesonde launch. MLS data are screened according to the v4.2 Level 2 MLS Data Quality document (Livesey et al., 2018).

The OMI and OMPS TCO measurements compare well with the series of Solar Backscatter Ultraviolet instruments and are suitable for TCO trend analysis (McPeters et al., 2015; 2019). Aura MLS O_3 measurements in the stratosphere exhibit little drift—the v3.3 measurements are stable to within 1.5% per decade (Hubert et al., 2016; it is presumed that the v4.2 data used here have similar stability). Thus, these three satellite instruments are suitable to detect significant changes in the ECC ozonesonde network. Our primary ECC comparisons are with OMI and MLS because of their >15 year record. OMPS reinforces the OMI and MLS results.

Twenty-three of the 37 ECC sites have a colocated ground-based TCO instrument (Table 1). Most sites have a Brewer or Dobson spectrophotometer (or both at Hilo and Tateno); Réunion uses a SAOZ UV-visible spectrometer. ECC TCO comparisons with all three ground-based instrument types are found in Thompson et al. (2017).

2.3. Defining the ECC O₃ Dropoff: Example Sites

To characterize the O_3 dropoff, we separate the sites with unambiguous drops in TCO, which we call "affected" sites, from those called "reference" sites. Affected sites are defined as follows: At each site, the average difference between ECC and OMI TCO for 2004–2013 (nearly a decade of measurements) is computed. A moving, 100-sample average of differences between ECC and OMI TCO for the entire record is compared to the 2004–2013 value. If the moving average falls more than 3% below the 2004–2013 value, the site is identified as having a dropoff at that date. The identified dropoff dates may occur a few months after a visual "breakpoint" in the time series of ECC and OMI comparisons, but the 100-sample moving average ensures that any dropoff in ECC TCO is sustained over many ozonesonde profiles and is not a temporary feature. The date of dropoff and maximum TCO drop relative to OMI are listed for affected sites in Table 1. For example, Figure 1a displays a sudden dropoff relative to OMI at Kelowna in November 2014. The ECC TCO averaged 4.1% higher than OMI from 2004–2013. The 100-sample moving average fell to +1% in November 2014 and fell as low as -0.7% in November 2016 for a maximum 4.7% drop (Table 1).

The dropoff is identified at Hilo in March 2015 and at Costa Rica in December 2015 (Figures 1b and 1c). Hilo and Costa Rica exhibit maximum dropoffs of 4.0 and 6.2% relative to OMI. The percent differences between ozonesonde and MLS stratospheric O_3 in the top panels of Figure 1 show that the drop in ECC O_3 relative to MLS is coincident with the TCO drop.

3. Results and Discussion

3.1. Sites Affected by the ECC O₃ Dropoff

Using the criterion of a >3% TCO drop relative to OMI, we find that 14 of 37 sites are affected by a TCO dropoff. Table 1 lists the affected sites in bold including the maximum TCO drop relative to OMI computed using the 100-sample moving average. A map of all sites examined, with affected sites colored according to the magnitude of TCO dropoff, is shown on Figure 2. We define the drop in TCO as relative to OMI because some sites previously exhibited a high bias compared to satellites, with the dropoff actually leading to closer agreement with OMI (e.g., Kelowna in Figure 1a).





Figure 1. Time series of comparisons at Kelowna (a; data end in June 2017), Hilo (b), and Costa Rica (c) between ECC ozonesondes and Aura MLS stratospheric O_3 profiles (top panels), and OMI (blue dots) and OMPS (red dots) TCO (bottom panels). Red or blue colors on the top panels indicate where the ECC O_3 is greater or less than MLS. Horizontal dashed lines indicate the 0% line for TCO comparisons. Vertical dashed lines indicate the dropoff at each site (see Table 1 for dates), marked by a TCO drop of 3% relative to the 2004–2013 average difference in OMI and ECC TCO comparisons (blue line on bottom panels).

Dates of the drop in TCO measurements range from January 2014 at San Cristóbal to January 2017 at Edmonton. All but one (Natal) of the affected sites use Type1 ECCs. The magnitude of the TCO dropoff varies considerably. The drop in TCO at Nairobi is a relatively modest 3.2%, whereas a change of 7.4% is observed at Yarmouth. It appears that there are two clusters of affected sites, in the tropics and in Canada, with most midlatitude sites remaining unaffected by a dropoff. In summary, there is inconsistency in TCO dropoff amount, and the dropoff is not a universal problem.





Figure 2. Map of all 37 ECC ozonesonde sites considered in this study. The blue squares indicate sites that show no detectable TCO dropoff relative to OMI. We call these sites "reference" sites. The yellow, red, and black dots indicate sites that exhibit maximum drops of 3–4%, 4–6%, and over 6% (Table 1) relative to OMI TCO. The methods for computing the values shown on this figure and in Table 1 are explained in section 2.3.

Comparisons similar to Figure 1 for the remaining 34 sites in Table 1 are found in supporting information Figures S1a–S1k and S2a–S2w. We note that individual sites show periods of high or low bias compared to OMI and MLS (e.g., Madrid's high bias for a portion of 2009; Figure S2h). However, our focus is on sudden drops in O_3 that persist for more than 2 or 3 years in the most recent record, because this appears to be a widespread pattern, affecting much of the global network.

3.2. Comparisons With Aura MLS Stratospheric O₃

Closer comparison of ECC and MLS O_3 profiles in the stratosphere is warranted given the coincidence between the ECC dropoff relative to OMI and OMPS TCO, and apparent ECC dropoff relative to MLS O₃ in Figure 1. Figure 3a shows a composite of comparisons between MLS and ECC ozonesonde stratospheric O₃ at the 14 affected sites before and after the identified dropoff (dates in Table 1). Prior to the dropoff at the 14 affected ECC sites, stratospheric O₃ biases compared to MLS follow the zero line in Figure 3a (blue colors). After the dropoff in TCO, the ECC measurements shift 5-10% lower relative to MLS (red colors), occasionally reaching >20% lower than MLS above 10 hPa (the 25th percentile value at the 6.81 hPa MLS level is -20.3%). Figures 3b and 3c show similar statistics for the reference Type1 and Type2 sites. The comparisons with MLS profiles are split into 2004-2013 and 2014-2019, near the time when many affected sites exhibit the dropoff. Figures 3b and 3c show that there is no comparable dropoff in stratospheric O_3 at the Type1 and Type2 reference sites. Figure 3a indicates that the stratospheric O₃ dropoff is the major contributor to the TCO offsets with OMI and OMPS. Time series of ECC comparisons with OMI TCO and MLS partial stratospheric column O_3 in Figure S3 demonstrate that the dropoff in ECC stratospheric O_3 exactly coincides with the TCO drop. At this point, a similar dropoff in tropospheric O₃ has not been detected and is presumed to be insignificant. Exceptions are two stations, Costa Rica and Hilo, which may be reading low in recent years in the troposphere due to occasional volcanic SO₂ interference (e.g., Morris et al., 2010). That is beyond the scope of our study.

3.3. Potential ECC Instrument Factors in the O₃ Dropoff

The ECC O_3 dropoff has been quantified against satellite TCO and satellite O_3 profiles (Sterling et al., 2018; Thompson et al., 2017; ground-based comparisons to follow in section 3.5). Thus, we rule out geophysical factors as the only cause; the dropoff seems to be an instrument artifact, so we consider potential instrumental contributions. Each ECC is built from a number of components that may change over time as the manufacturer or manufacturers' suppliers change. For example, the Type1 instrument changed manufacturer twice between 2011 and 2016. Components that could change and affect the ECC measurements include the chambers holding the sensing solution, the ion bridge between the two cells, the air intake pump, the constant-speed motor, batteries, and the platinum electrodes. A 3–7% change of response could be caused





Figure 3. A composite of comparisons between ECC ozonesonde and Aura MLS stratospheric O_3 profiles from before the dropoff at each site (a; blue; dates of dropoff are in Table 1) and during the period after the dropoff (red). Reference Type1 (b) and Type2 (c) sites were split into 2004–2013 and 2014–2019 comparisons to show that there has been no comparable dropoff in stratospheric O_3 around the same period. The shading indicates the 25th to 75th percentile, with mean values shown by the solid lines. ECC sonde sample numbers are shown for each period in the lower portion of the figure.

by loss of O_3 or of molecular iodine to the ECC chamber walls, losses through the internal resistance of the cell, or in-flight changes in the pump and motor efficiency with pressure. The sensing solution composition and the radiosonde model (and interface) are additional considerations (section 3.6). The ECC serial number is used to evaluate potential instrument/component changes over time.

Figure 4 shows ECC TCO offsets with OMI and OMPS separated by the 13 affected (red on Figure 4) and 15 reference (blue on Figure 4) Type1 sites. Median and 25th and 75th percentile statistics are shown for every 1,000 serial numbers (e.g., 24K = 24,000-24,999). The affected sites show a low bias for 25K and higher serial numbers, abruptly dropping from a median TCO bias compared to OMI and OMPS of +1.6% (24K) to -2.6% (25K). The inconsistency in timing of the ECC dropoff at affected sites is partly due to when the site begins launching serial numbers 25K and above. The reference sites show no such drop, and, in fact, no recent serial number set since 24K has a median bias larger than -1.5% (30K) for the 12 reference sites. The affected sites show significant negative biases for all serial numbers from 25K to 35K, with a maximum median low bias of -5.4% for 31K serial numbers. Figure 4 shows the history of good ECC/satellite agreement at affected Type1 sites throughout the Aura record since October 2004 and prior to the 25K serial numbers, although there are indications of some low-biased measurements from serial numbers 20-22K. The largest deviation for reference Type1 sites is the +1.7% median bias for 16K serial numbers (Figure 4). In summary, before the TCO dropoff at the affected sites, the ECC TCO comparisons with satellite measurements averaged within 1 or 2%, and comparisons at reference sites remain, on average, within 1 or 2%.

Figure 4 shows that reference and affected Type1 sites were both launching ECCs with similar serial numbers, so it is puzzling why they show such large discrepancies in their comparisons with satellite TCO after serial number 25K. This commingling of good and poorly performing Type1 serial numbers, which appear to be distinguishable only by site, tells us that the ECC O_3 dropoff is not due to manufacturing issues for the Type1 ECC alone and that at least one additional secondary factor must play a role in its occurrence.

3.4. Stations With Type2 ECCs

We examined nine Type2 ECC ozonesonde sites for a dropoff and sudden low TCO bias. Statistics of the TCO offset between reference Type2 ECCs and OMI and OMPS are also shown on Figure 4 in gray. Note that the similar serial numbers between Type1 and Type2 ECCs are a coincidence. The Type2 comparisons show no abrupt downward shift in agreement with satellite TCO as seen at the affected Type1 sites in Figure 4. An exception is at Natal (Figure S1h).

3.5. ECC Comparisons With Ground-Based TCO Measurements

Of the 37 sites analyzed here, 23 have ground-based TCO measurements to compare against the ECCs (Table 1). Example time series of the comparisons between ECCs and the Brewer at Churchill, and the Brewer

and Dobson at Hilo are shown in Figure S4. The ground-based TCO measurements near Hilo are taken at Mauna Loa (3,405 m), which explains why the ECC TCO is higher than the Brewer and Dobson prior to the March 2015 dropoff. Statistics similar to Figure 4 for the ground-based TCO comparisons are shown in Figure S5. The ECC TCO dropoff relative to the ground-based instruments at affected Type1 sites is ~3–





Figure 4. Median (lines) and 25th to 75th (shading) percentiles of comparisons between ECC and OMI and OMPS TCO. The comparisons are separated by every 1,000 serial numbers for Type1 (top) and Type2 (bottom) ECCs. The Type1 ECCs are separated into affected (red) and reference (blue) stations. Natal, the only affected Type2 site, is not included in this figure. The number of samples for each serial number bin is shown at the top of each panel.

4% after >25K serial numbers in Figure S5. The ground-based comparisons with reference Type1 and Type2 sites are quite variable, and the difference in behavior of affected Type1 ECCs is not as apparent in the ground-based comparisons as it is in the satellite TCO comparisons. This is because several affected sites like Costa Rica, Ascension, Kelowna, and Yarmouth do not have ground-based TCO instruments. Spectrometer data at some affected Canadian sites are also limited by low winter sunlight.

3.6. Possible Sources of the Dropoff

Around 2010–2012, most of the affected ozonesonde sites examined here switched from the Vaisala RS-80 to RS-92 radiosonde, or from RS-80 to the InterMet iMet radiosonde. The radiosonde pressure measurements affect the ECC O_3 calculation and altitude registration, so a change from non-GPS RS-80 to GPS-enabled RS-92 and iMet radiosondes can lead to pressure measurement changes, which translate to O_3 changes (Inai et al., 2015; Stauffer et al., 2014; Steinbrecht et al., 2008). Some sites (e.g., Lauder in 2015) switched radio-sondes again from RS-92 to the RS-41. An example of an RS-80 to iMet transition at Hilo is shown in Figure S6. There is a shift in midstratospheric pressure and temperature measurements with the transition to iMet in 2011–2012, but this change occurs more than 2 years before the Hilo low O_3 bias in March 2015. Similar mismatches between radiosonde changes and the ECC dropoff are found at other sites. Costa Rica switched from RS-80 to iMet radiosondes in 2012–2013, but the dropoff did not occur until December 2015 (Thompson et al., 2017). Nairobi switched from RS-80 to RS-92 radiosondes in 2010, but there was no dropoff until July 2016. We therefore rule out radiosonde changes as the primary cause of the ECC O_3 dropoff.

The dropoff is found at sites that use a variety of SSTs (Table 1) and three different radiosonde types (RS-92 or 41 and iMet). Sites that are seemingly unaffected, for example, Trinidad Head, Boulder, and Huntsville, all use the same 1.0% KI with 1/10th buffer SST and iMet radiosonde combination as Hilo and Costa Rica (Figure 1). We have not fully explored the effects of different SSTs on the O_3 dropoff, but given that all three SSTs currently in use are affected (Table 1), it does not appear that SST is the main factor.

The ASOPOS 2.0 panel is performing additional experiments and analyses to identify possible sources of the O_3 dropoff. Tests include examining the different radiosonde interface boards and batteries used on Type1 ECC sondes, reviewing site ECC preparation procedures, and experiments with older Type1 ECCs manufactured before the dropoff began. Possible changes in behavior of the pump, pump motor, or batteries at low stratospheric pressures and temperatures are obvious candidate factors and have been considered, but preliminary results have not identified significant differences. Both Type1 and Type2 ozonesondes, four



different sensing SSTs, and varying preparation procedures were tested in the 2017 JOSIE-SHADOZ experiment (Thompson et al., 2019), and a preliminary analysis did not reveal any signs of the dropoff in those data. In-depth analysis of the 80 profiles from JOSIE-SHADOZ should help identify the causes and magnitudes of contributing factors like SST to the ECC O_3 dropoff.

4. Summary and Recommendations for Affected Data

Since 2014–2016, we have observed a dropoff in ECC ozonesonde TCO and stratospheric O_3 at 14 ECC global ozonesonde sites, 13 of which launch Type1 ECC ozonesondes. The TCO drop is 3–7% compared to OMI TCO measurements, and the stratospheric O_3 drop can be greater than 10% compared to MLS O_3 profiles in the midstratosphere. The low bias is notably absent at half of the 28 Type1 sites that we examined. Except for Natal, there is no significant dropoff or change in bias for Type2 ECC ozonesondes during similar years. Because the dropoff varies greatly from site to site, it is likely that it is influenced by station-specific procedures yet to be identified. The ECC O_3 dropoff has more than one single cause (i.e., both instrument- and station-specific influences).

Affected data archives such as SHADOZ (https://tropo.gsfc.nasa.gov/shadoz/), the World Ozone and Ultraviolet Data Centre (WOUDC.org), and the Network for the Detection of Atmospheric Composition Change (NDACC; ndaccdemo.org) are posting caveats and flagging affected profiles. Ongoing research is directed at identifying the cause of the low O_3 bias.

We emphasize that all reprocessed data are expected to be more accurate than unhomogenized data. For affected sites, data before the dropoff are highly reliable and even affected data are accurate for satellite validation and algorithms, process studies, and model evaluation because the apparent dropoff averages less than 5%. However, the affected data are judged not appropriate for calculations of TCO or stratospheric trends or satellite drift.

Acknowledgments

Funding for this work was provided through support of SHADOZ by the National Aeronautics and Space Administration (NASA) Upper Air Research Program (UARP; Dr. Kenneth Jucks program manager) to NASA/GSFC (A. M. Thompson, PI). SHADOZ v6.0 ozonesonde data were downloaded from the NASA/GSFC archive (at https://tropo.gsfc.nasa.gov/ shadoz/). Canadian reprocessed ozonesonde data were provided by coauthor D. Tarasick, and reprocessed Uccle ozonesonde data were provided by coauthor R. Van Malderen. NOAA ozonesonde data (Boulder, Huntsville, and Trinidad Head) were downloaded online (at ftp://aftp.cmdl.noaa.gov/ data/ozwv/Ozonesonde/). All other ozonesonde data and all ground-based TCO data are available at the World Ozone and Ultraviolet Data Centre (WOUDC, 2015; https://woudc.org/ data/explore.php?lang=en). Aura MLS v4.2 Level 2 O_3 overpass data were downloaded online (at https://avdc. gsfc.nasa.gov/pub/data/satellite/Aura/ MLS/V04/L2GPOVP/O3/). OMI and OMPS Level 2 TCO overpass data were downloaded online (at https://avdc. gsfc.nasa.gov/pub/data/satellite/Aura/ OMI/V03/L2OVP/OMTO3/ and https://avdc.gsfc.nasa.gov/pub/data/ satellite/Suomi_NPP/L2OVP/NMTO3-L2/).

References

- Deshler, T., Mercer, J. L., Smit, H. G. J., Stubi, R., Levrat, G., Johnson, B. J., et al. (2008). Atmospheric comparison of electrochemical cell ozonesondes from different manufacturers, and with different cathode solution strengths: The Balloon Experiment on Standards for Ozonesondes. *Journal of Geophysical Research*, 113, D04307. https://doi.org/10.1029/2007JD008975
- Deshler, T. R., Stuebi, F. J., Schmidlin, J. L., Mercer, H. G. J., Smit, B. J., Johnson, R., et al. (2017). Methods to homogenize electrochemical concentration cell (ECC) ozonesonde measurements across changes in sensing solution concentration or ozonesonde manufacturer. *Atmospheric Measurement Techniques*, 10(6), 2021–2043. https://doi.org/10.5194/amt-10-2021-2017
- Froidevaux, L., Jiang, Y. B., Lambert, A., Livesey, N. J., Read, W. G., Waters, J. W., et al. (2008). Validation of Aura Microwave Limb Sounder stratospheric ozone measurements. *Journal of Geophysical Research*, 113, D15S20. https://doi.org/10.1029/2007JD008771
- Hubert, D., Lambert, J. C., Verhoelst, T., Granville, J., Keppens, A., Baray, J. L., et al. (2016). Ground-based assessment of the bias and long-term stability of 14 limb and occultation ozone profile data records. *Atmospheric Measurement Techniques*, 9(6), 2497–2534. https:// doi.org/10.5194/amt-9-2497-2016
- Inai, Y., Shiotani, M., Fujiwara, M., Hasebe, F., & Vömel, H. (2015). Altitude misestimation caused by the Vaisala RS80 pressure bias and its impact on meteorological profiles. Atmospheric Measurement Techniques, 8(10), 4043–4054. https://doi.org/10.5194/amt-8-4043-2015
- Livesey, N. J., Read, W. G., Wagner, P. A., Froidevaux, L., Lambert, A., Manney, G. L., et al. (2018). Version 4.2x-3.1 Level 2 data quality and description document, JPL D-33509 Rev. B. Retrieved from https://mls.jpl.nasa.gov/data/v4-2_data_quality_document.pdf
- McPeters, R., Frith, S., Kramarova, N., Ziemke, J., & Labow, G. (2019). Trend quality ozone from NPP OMPS: The version 2 processing. *Atmospheric Measurement Techniques*, 12(2), 977–985. https://doi.org/10.5194/amt-12-977-2019
- McPeters, R., Kroon, M., Labow, G., Brinksma, E., Balis, D., Petropavlovskikh, I., et al. (2008). Validation of the Aura Ozone Monitoring Instrument total column ozone product. *Journal of Geophysical Research*, *113*, D15S14. https://doi.org/10.1029/2007JD008802
- McPeters, R. D., Frith, S., & Labow, G. J. (2015). OMI total column ozone: Extending the long-term data record. *Atmospheric Measurement Techniques*, 8(11), 4845–4850. https://doi.org/10.5194/amt-8-4845-2015
- McPeters, R. D., & Labow, G. J. (2012). Climatology 2011: An MLS and sonde derived ozone climatology for satellite retrieval algorithms. Journal of Geophysical Research, 117, D10303. https://doi.org/10.1029/2011JD017006
- Morris, G. A., Komhyr, W. D., Hirokawa, J., Flynn, J., Lefer, B., Krotkov, N., & Ngan, F. (2010). A balloon sounding technique for measuring SO₂ plumes. *Journal of Atmospheric and Oceanic Technology*, 27(8), 1318–1330. https://doi.org/10.1175/ 2010JTECHA1436.1
- Smit, H. G. J., Straeter, W., Johnson, B. J., Oltmans, S. J., Davies, J., Tarasick, D. W., et al. (2007). Assessment of the performance of ECC-ozonesondes under quasi-flight conditions in the environmental simulation chamber: Insights from the Jülich Ozone Sonde Intercomparison Experiment (JOSIE). *Journal of Geophysical Research*, 112, D19306. https://doi.org/10.1029/2006JD007308
- Smit, H. G. J., and the Panel for the Assessment of Standard Operating Procedures for Ozonesondes (ASOPOS) (2012), Guidelines for homogenization of ozonesonde data, SI2N/O3S-DQA activity as part of "Past changes in the vertical distribution of ozone assessment". Retrieved from http://www-das.uwyo.edu/%7Edeshler/NDACC_O3Sondes/O3s_DQA/O3S-DQA-Guidelines%20Homogenization-V2-19November2012.pdf
- Smit, H. G. J., & the Panel for the Assessment of Standard Operating Procedures for Ozonesondes (ASOPOS) (2014). Quality assurance and quality control for ozonesonde measurements in GAW, World Meteorological Organization. *GAW Report, 201.* [Available at. http://www.wmo.int/pages/prog/arep/gaw/documents/FINAL_GAW_201_Oct_2014.pdf

- Stauffer, R. M., Morris, G. A., Thompson, A. M., Joseph, E., Coetzee, G. J. R., & Nalli, N. R. (2014). Propagation of radiosonde pressure sensor errors to ozonesonde measurements. *Atmospheric Measurement Techniques*, 7(1), 65–79. https://doi.org/10.5194/amt-7-65-2014
- Steinbrecht, W. H., Claude, F., Schönenborn, U., Leiterer, H., Dier, H., & Lanzinger, E. (2008). Pressure and temperature differences between Vaisala RS80 and RS92 radiosonde systems. *Journal of Atmospheric and Oceanic Technology*, 25(6), 909–927. https://doi.org/ 10.1175/2007JTECHA999.1
- Sterling, C. W., Johnson, B. J., Oltmans, S. J., Smit, H. G. J., Jordan, A. F., Cullis, P. D., et al. (2018). Homogenizing and estimating the uncertainty in NOAA's long term vertical ozone profile records measured with the electrochemical concentration cell ozonesonde. *Atmospheric Measurement Techniques*, 11, 3661–3687. https://doi.org/10.5194/amt-2017-397
- Tarasick, D. W., Davies, J., Smit, H. G. J., & Oltmans, S. J. (2016). A re-evaluated Canadian ozonesonde record: Measurements of the vertical distribution of ozone over Canada from 1966 to 2013. Atmospheric Measurement Techniques, 9(1), 195–214. https://doi.org/ 10.5194/amt-9-195-2016
- Thompson, A. M., Smit, H. G. J., Witte, J. C., Stauffer, R. M., Johnson, B. J., Morris, G., et al. (2019). Ozonesonde quality assurance: The JOSIE-SHADOZ (2017) Experience. Bulletin of the American Meteorological Society, 100(1), 155–171. https://doi.org/10.1175/BAMS-D-17-0311.1
- Thompson, A. M., Witte, J. C., Smit, H. G. J., Oltmans, S. J., Johnson, B. J., Kirchhoff, V. W. J. H., & Schmidlin, F. J. (2007). Southern Hemisphere Additional Ozonesondes (SHADOZ) 1998–2004 tropical ozone climatology: 3. Instrumentation, station-to-station variability, and evaluation with simulated flight profiles. *Journal of Geophysical Research*, 112, D03304. https://doi.org/10.1029/ 2005JD007042
- Thompson, A. M., Witte, J. C., Sterling, C., Jordan, A., Johnson, B. J., Oltmans, S. J., et al. (2017). First reprocessing of Southern Hemisphere Additional Ozonesondes (SHADOZ) profiles (1998–2016). 2. Comparisons with satellites and ground-based instruments. *Journal of Geophysical Research: Atmospheres*, 122, 13,000–13,025. https://doi.org/10.1002/2017JD27406
- Van Malderen, R., Allaart, M. A. F., De Backer, H., Smit, H. G. J., & De Muer, D. (2016). On instrumental errors and related correction strategies of ozonesondes: Possible effect on calculated ozone trends for the nearby sites Uccle and De Bilt. Atmospheric Measurement Techniques, 9(8), 3793–3816. https://doi.org/10.5194/amt-9-3793-2016
- Witte, J. C., Thompson, A. M., Schmidlin, F. J., Northam, E. T., Wolff, K. R., & Brothers, G. B. (2019). The NASA Wallops Flight Facility digital ozonesonde record: Reprocessing, uncertainties, and dual launches. *Journal of Geophysical Research: Atmospheres*, 124, 3565–3582. https://doi.org/10.1029/2018JD030098
- Witte, J. C., Thompson, A. M., Smit, H. G. J., Fujiwara, M., Posny, F., Coetzee, G. J. R., et al. (2017). First reprocessing of Southern Hemisphere ADditional Ozone-sondes (SHADOZ) profile records (1998–2015): 1. Methodology and evaluation. J. Geophys. Res. Atmos, 122(12), 6611–6636. https://doi.org/10.1002/2016JD026403
- WMO/GAW Ozone Monitoring Community (2015). World Meteorological Organization-Global Atmosphere Watch Program (WMO-GAW)/World Ozone and Ultraviolet Radiation Data Centre (WOUDC) [Data]. Available at: https://woudc.org (last access: 25 September 2019), https://doi.org/10.14287/10000008