	CAGU PUBLICATIONS
1	
2	Paleoceanography
3	Supporting Information for
4 5	The Mechanistic Role of the Central American Seaway in a GFDL Earth System Model. Part 1: Impacts on Global Ocean Mean State and Circulation
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12 13 14 15 16 17	Contents of this file Text S1 Figures S1 to S8
18	Introduction
19	The following supporting information contains text and figures which support the main article.
20	Text S1 includes extended GFDL-ESM2G model information relevant for the main article.
21	Figures S1 and S2a show published (Bell et al., 2015) paleoclimate oxygen (S1) and carbon (S2a)
22	isotope proxy data from various Ocean Drilling Program (ODP) sites before and after the
23	Central American Seaway shoaling and closure, supporting the motivation for this study. Figure
24	S2b shows ODP site locations referenced in Figure S2a. Figure S3 shows published
25	paleogeography reconstructions of Central America (Kirby and MacFadden, 2005; Haug et al.,
26	2004) supporting this study's experiment design. Figure S4 shows GFDL-ESM2G global average
27	upper 2000-m ocean temperature time series for the four seaway simulations showing quasi-

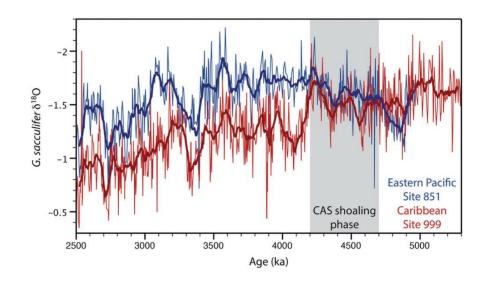
equilibrium. Figure S5 shows the vertically integrated ocean current changes with the
progression of the seaway shoaling and closure. Figure S6 illustrates the sea surface height
anomaly (relative to the global mean) for GFDL-ESM2G driving the net water mass transport
from the Pacific to the Atlantic. Figure S7 shows the zonal mean ocean heat and salt transport
in GFDL-ESM2G. Figure S8 illustrates the GFDL-ESM2G precipitation rate minus evaporation
rate difference between the three seaway experiments and the CLOSED simulation, supporting
the local hydrological response in the ocean mean state.

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36 Text S1. Extended model description of GFDL-ESM2G

37 The atmosphere component, AM2, uses a 2° latitude x 2.5° longitude horizontal grid and 24 38 vertical levels and is similar to the component used the GFDL CM2.1 climate model (Delworth 39 et al., 2006). The land model, LM3.0 (Milly et al., 2014), exchanges water, energy and CO2 40 between the land and atmosphere, and includes interactive, dynamic vegetation capable of 41 simulating ecosystem dynamics in response to climate (Shevliakova et al., 2009). The ocean 42 biogeochemical and ecological component is Tracers of Ocean Phytoplankton with Allometric 43 Zooplankton code version 2.0 (TOPAZ2; Dunne et al., 2013). Atmospheric CO2 tracer was 44 restored annually and globally to the 1860 reference value of 286 ppm_v (i.e., a concentration-45 driven configuration) allowing realistic diurnal and seasonal CO2 variability over land and 46 reduced atmospheric CO₂ drift during the model spin-up. The ocean component uses an 47 isopycnal vertical coordinate with a 1° horizontal grid increasing to $\frac{1}{3}$ ° meridionally at the 48 equator, tripolar above 65°N, and 63 vertical levels, including two mixed layers (Hallberg, 2003; 49 Thompson et al., 2003), two buffer layers, and 59 interior layers. To avoid excessively deep 50 mixed layer depths (Hallberg, 2003), sub-mesoscale eddy-driven restratification of the mixed

- 51 layer is parameterized after Fox-Kemper et al. (2011). The model uses Simmons et al. (2004)
- 52 baroclinic tide mixing. The model uses the areal depth average of high-resolution bathymetry
- 53 with the full sill depth and represents explicit exchanges across 14 straits.



56 Figure S1. Comparison of surface water (planktic) oxygen isotope records (‰ vs Vienna Pee 57 Dee Belemnite) from the Caribbean (ODP Site 999 (12°44'N, 78°44'W, Colombian basin; water 58 depth 2828 m; Haug and Tiedemann, 1998) and the eastern Pacific (ODP site 851; 2°46'N, 59 110°34'W, water depth 3760 m; Cannariato and Ravelo, 1997) for 2.2-5.3 Ma showing an 60 increased gradient between ~4.7-4.2 Ma attributed to a major shoaling phase of the CAS (grey 61 shading), reprinted from "Atlantic Deep-water Response to the Early Pliocene Shoaling of the 62 Central American Seaway" by Bell et al. (2015) licensed under CC-BY-4.0. Bold lines represent 63 50 kyr running averages (Haug et al., 2001; Figure 2A). 64

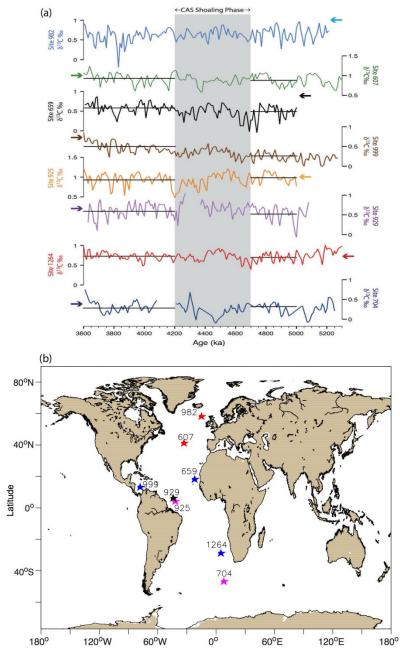
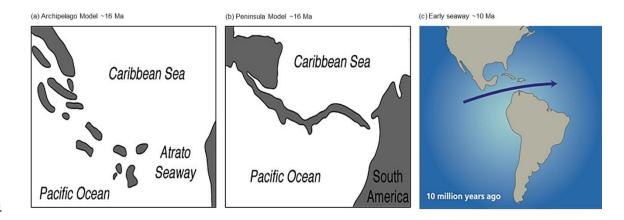
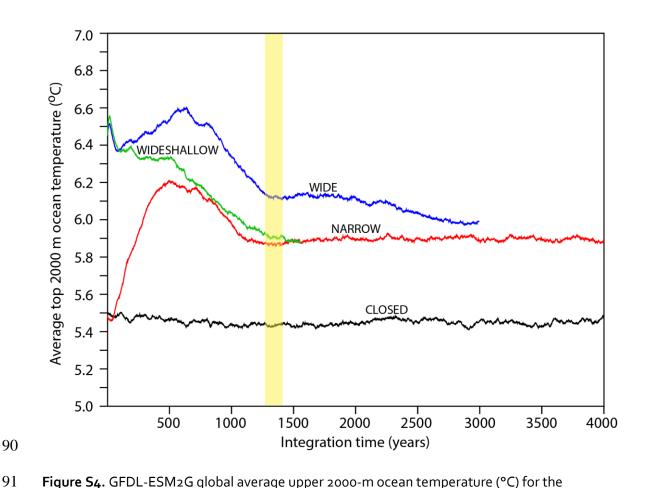


Figure S2. (a) Time series of δ13C data (‰ vs Vienna Pee Dee Belemnite) from various Atlantic
ODP sites, reprinted from "Atlantic Deep-water Response to the Early Pliocene Shoaling of the
Central American Seaway" by Bell et al. (2015) licensed under <u>CC-BY-4.0</u>. Horizontal black lines
show average δ13C values for the time slice intervals prior to (5.0-4.7 Ma) and after (4.2-3.6 Ma)

- 70 CAS shoaling. Arrows indicate approximate modern δ_{13} C values at each site. (b) Locations of
- 71 Atlantic ODP sites from (a) represented by stars.
- 72
- 73

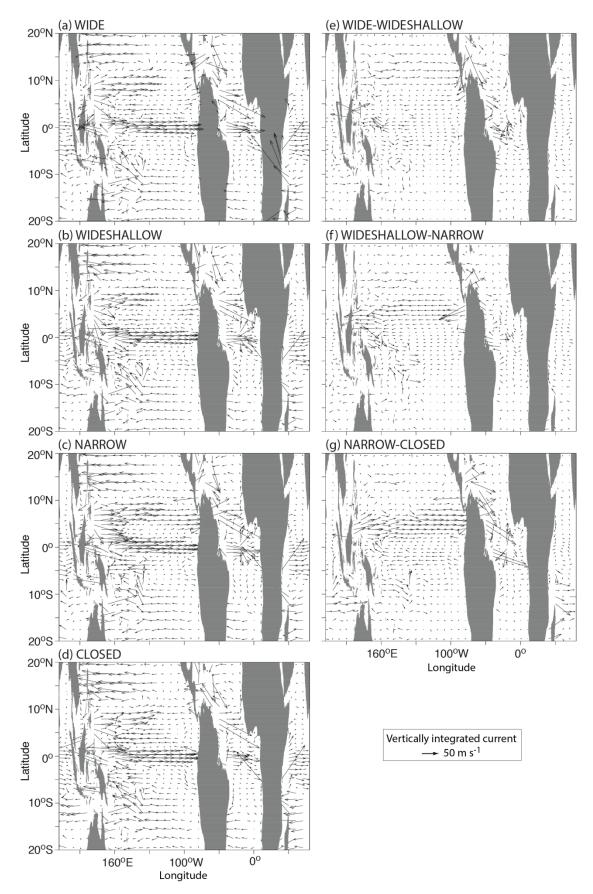


75 Figure S3. Paleogeography reconstructions of Central America in the mid-Miocene before the 76 Isthmus of Panama; (a) archipelago model (Coates and Obando, 1996) reprinted from (Kirby 77 and MacFadden, 2005) with permission from Elsevier¹, (b) peninsula model (Whitmore and 78 Stewart, 1965) reprinted from (Kirby and MacFadden, 2005) with permission from Elsevier¹, and 79 (c) early seaway model similar to previous climate model studies, republished with permission 80 of Oceanus Magazine, from How the Isthmus of Panama put ice in the Arctic, G. H. Haug and L. 81 D. Keigwin, 42, 2, 2004; permission conveyed through Copyright Clearance Center, Inc. 82 Emergent land is represented by gray (a) and (b) and tan (c). Timing and structure of the 83 paleogeography is uncertain (Kirby and MacFadden, 2005). ¹(a) and (b) reprinted from 84 (Palaeogeography, Palaeoclimatology, Palaeoecology, M.X. Kirby and B. MacFadden. "Was 85 southern Central America an archipelago or a peninsula in the middle Miocene? A test using 86 land-mammal body size", Vol. 228, No. 3-4, p. 193–202, 2005, Copyright Elsevier) with 87 permission from Elsevier. 88



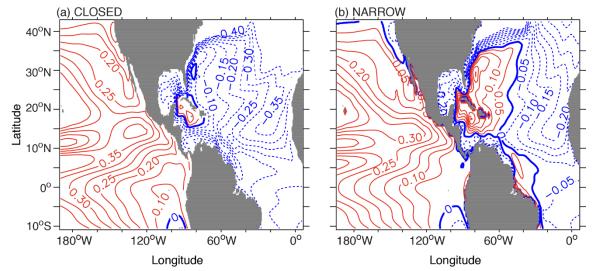
92 CLOSED (black), NARROW (red), WIDESHALLOW (green), and WIDE (blue) seaway simulations 93 for model integration years 1-4000. The yellow shading represents the 100-year analysis period 94 (1301-1400) mainly used in this study (except for the maximum Atlantic Meridional Overturning 95 Circulation analysis). The wide seaway experiments (e.g., WIDE and WIDESHALLOW) began 96 with a warmer global integrated ocean and average surface air temperature than the NARROW 97 seaway experiment because more land grid cells were replaced with warm, tropical ocean grid 98 cells than in the NARROW seaway. The global average upper 2000-m ocean temperature for 99 the WIDESHALLOW seaway converges toward the NARROW simulation faster than the WIDE 100 simulation that was initialized with additional warm ocean grid cells. The NARROW and WIDE

- 101 simulations were integrated longer than our spin-up and analysis periods to assess the
- 102 convergence rate. The WIDE simulation converges toward the NARROW simulation at a rate of
- 103 approximately 0.1°C / 1000 years, requiring an additional 1000 integration years for near-
- 104 convergence.
- 105



- 107 **Figure S5.** GFDL-ESM₂G 100-year annual average vertically integrated full-depth current (m s⁻¹;
- 108 vectors) from 20°N to 20°S for (a) WIDE, (b) WIDESHALLOW, (c) NARROW, (d) CLOSED, and
- 109 the time progression of the gradual shoaling and closure of the seaway: (e) WIDE-
- 110 WIDESHALLOW, (f) WIDESHALLOW-NARROW, and (g) NARROW-CLOSED differences.

Sea surface height anomalies (m)



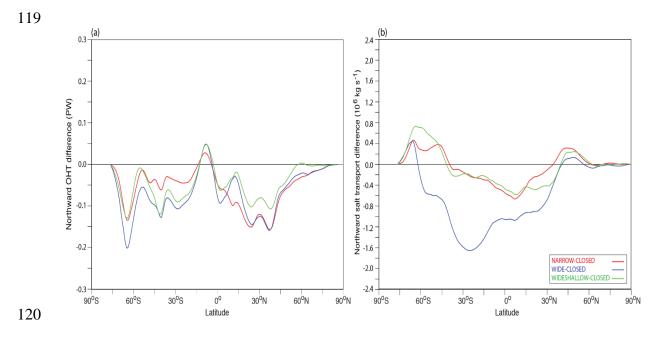
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112 **Figure S6.** GFDL-ESM₂G sea surface height (SSH) anomalies (m) relative to the global mean

113 for the (a) CLOSED and (b) NARROW seaway simulations. Red (blue) contours indicate positive

114 (negative) SSH anomalies. SSH anomalies for WIDESHALLOW and WIDE at steady-state were

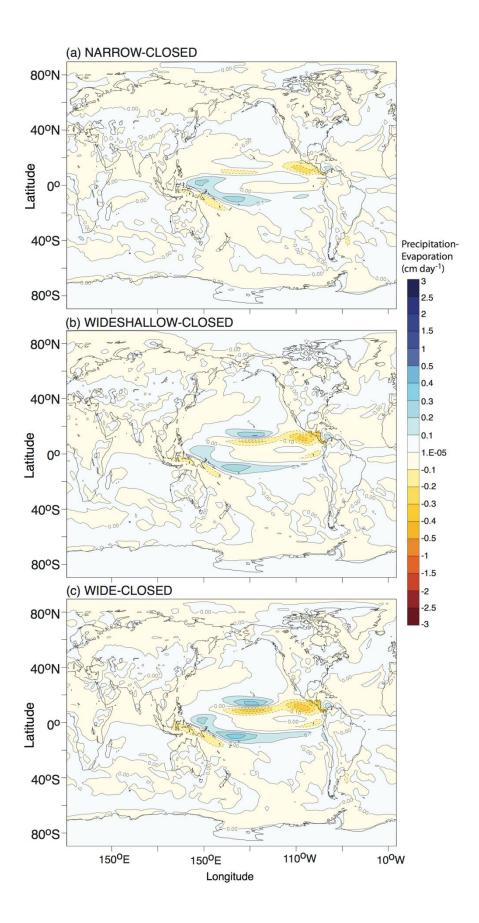
- 115 similar to NARROW but were not included in this analysis because these experiments were
- 116 initialized differently with respect to SSH.
- 117



121 Figure S7. GFDL-ESM2G 100-year annual average NARROW (red), WIDE (blue), and

122 WIDESHALLOW (green) minus CLOSED northward (a) ocean heat transport (PW) and

- 123 (**b**) salt transport (10^6 kg s^{-1}) difference.
- 124



- 126 **Figure S8.** GFDL-ESM₂G 100-year annual average precipitation rate minus evaporation rate
- 127 (cm day⁻¹) for (a) NARROW-CLOSED, (b) WIDESHALLOW-CLOSED, and (c) WIDE-CLOSED.
- 128 Positive (negative) values represent increased surface moisture (drying) with a CAS.