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Special Section:
The Exceptional Arctic Polar Vortex in 2019/2020: Causes and Consequences

Key Points:
- MLS trace gas data show that exceptional polar vortex conditions led to record-low ozone in the Arctic lower stratosphere in 2019/2020
- Early and persistent cold conditions led to the longest period with chlorine in ozone-destroying forms in the 16-year MLS data record
- Chemical ozone destruction began earlier than in any Arctic winter in the MLS record and ended later than in any year except 2010/2011

Supporting Information:
- Supporting Information S1

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Record-Low Arctic Stratospheric Ozone in 2020: MLS Observations of Chemical Processes and Comparisons With Previous Extreme Winters

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Abstract Aura Microwave Limb Sounder (MLS) measurements show that chemical processing was critical to the observed record-low Arctic stratospheric ozone in spring 2020. The 16-year MLS record indicates more polar denitrification and dehydration in 2019/2020 than in any Arctic winter except 2015/2016. Chlorine activation and ozone depletion began earlier than in any previously observed winter, with evidence of chemical ozone loss starting in November. Active chlorine then persisted as late into spring as it did in 2011. Empirical estimates suggest maximum chemical ozone losses near 2.8 ppmv by late March in both 2011 and 2020. However, peak chlorine activation, and thus peak ozone loss, occurred at lower altitudes in 2020 than in 2011, leading to the lowest Arctic ozone values ever observed at potential temperature levels from ~400–480 K, with similar ozone values to those in 2011 at higher levels.

Plain Language Summary Unlike the Antarctic, the Arctic does not usually experience an ozone hole because temperatures are often too high for the chemistry that destroys ozone. In 2019/2020, satellite measurements show record-low stratospheric wintertime temperatures and record-low springtime ozone concentrations in the Arctic lower stratosphere (about 12- to 20-km altitude). Only one other winter/spring season, 2010/2011, in this 16-year satellite data record comes close. Low temperatures, which result in chlorine being converted from nonreactive forms into forms that destroy ozone, started earlier than in any previous Arctic winter in the record and lingered later than in any year except 2011. The ozone-destroying chemistry in 2019/2020 occurred at lower altitudes (where more of the ozone that filters out harmful ultraviolet radiation resides) than in 2010/2011. Such extensive ozone loss can have important health and biological impacts because it leads to more ultraviolet radiation reaching the Earths surface. While the success of the Montreal Protocol in limiting human emissions that increase ozone-destroying gases in the stratosphere has resulted in much less Arctic ozone destruction than we would have otherwise had, future temperature changes could lead to other winters with even more chemical ozone depletion than in 2019/2020.

1. Introduction

Arctic chemical ozone loss varies dramatically because of extreme interannual variations in the meteorology of the stratospheric polar vortex (e.g., WMO, 2018). For the past 16 years, the Aura Microwave Limb Sounder (MLS) has provided a uniquely comprehensive suite of daily global measurements for studying lower stratospheric polar chemical processing. The two previous Arctic winters on record with coldest conditions and greatest ozone loss occurred during this period: In 2010/2011, although lower stratospheric minimum temperatures did not consistently set records, exceptionally prolonged (lasting into April) cold led to unprecedented Arctic chemical ozone loss (e.g., Kuttippurath et al., 2012; Manney et al., 2011; Sinnhuber et al., 2011; WMO, 2014). December 2015 to January 2016 Arctic temperatures were the lowest in at least 68 years (Manney & Lawrence, 2016; Matthias et al., 2016), Arctic denitrification and dehydration were the most severe in the MLS record (e.g., Khosrawi et al., 2017; Manney & Lawrence, 2016), and ozone dropped more rapidly than in 2010/2011. Cumulative ozone loss did not match or surpass that in 2011 only because a major final warming in early March 2016 halted chemical processing and dispersed processed air from the vortex

(Johansson et al., 2019; Manney & Lawrence, 2016). In 2019/2020, lower stratospheric temperatures were persistently below the threshold for chemical processing earlier than in any other year observed by MLS and remained low approximately as late as in 2011 (Lawrence et al., 2020, describe stratospheric vortex meteorology in 2019/2020).

We use MLS Version 4 data (Livesey et al., 2020; see the supporting information, hereinafter "SI," for additional details) and meteorological fields from the Modern Era Retrospective Analysis for Research and Applications Version 2 (MERRA-2) (Gelaro et al., 2017) to show lower stratospheric polar processing in the extraordinary 2019/2020 winter/spring Arctic vortex, resulting record-low ozone, and comparisons with the previous Arctic winters (2010/2011 and 2015/2016) with largest ozone losses.

2. Results

Figures 1a–1g show Northern Hemisphere (NH) MLS maps in December 2010, 2015, and 2019 at 520 K (~18 km; approximate level with most polar processing at this time). N_2O within the polar vortex was substantially lower (and H_2O higher) by early December 2020 than in either 2015 or 2010, and its gradients across the vortex edge were steeper, consistent with a stronger signature of confined descent and/or descent of lower values from above. By 9 December, the region of temperatures below the nitric acid trihydrate (NAT) polar stratospheric cloud (PSC) threshold (Hanson & Mauersberger, 1988) was larger and more concentric with the vortex in 2019 and 2015 than in 2010. Temperatures remained consistently below this threshold starting earlier in 2019 (by mid-November) than in either 2010 (which did not become cold particularly early) or 2015 (which did) (Lawrence et al., 2020). HNO_3 was depressed in part of the vortex by 9 December in both 2019 and 2015, but only 2019 showed substantial chlorine activation; much of the sunlit portion of the vortex was filled with high ClO by 1 December 2019, with correspondingly low HCl values (note that the gridding can make high HCl and high ClO overlap slightly; see SI). Typically, lower stratospheric ozone (O_3) is higher near the vortex edge than in its core before the onset of chemical loss and increases through late December (as in 2015 and 2010). In 2019, however, O_3 was already lower throughout the vortex (even near the inside edge) than outside by 1 December and continued to decline through the month, while it continued increasing outside the vortex as in other years. Along with the early chlorine activation, this suggests very early onset of chemical O_3 loss.

Figures 1h–1n show 460 K (~16 km; approximate level with most ozone loss) maps on dates when extreme values were seen in the polar vortex. By 26 March 2020, N_2O throughout the vortex was even lower compared to other years (and H_2O in regions unaffected by ice PSCs higher) than in December, consistent with an unusually strong confined descent signature. In contrast, temperatures remained below the ice PSC threshold much longer in 2016 than in any other Arctic winter on record (Manney & Lawrence, 2016; Matthias et al., 2016), leading to unprecedented dehydration (Khosrawi et al., 2017). HCl was slightly lower in 2020 than in 2011, which had lower HCl than 2016; consistent with this, ClO was comparably high in 2020 and 2011, and somewhat lower in 2016. MLS recorded no data during 27 March to 19 April 2011 because of an instrument anomaly (e.g., Manney et al., 2011). By 26 March, 460 K O_3 was distinctly lower in 2020 than in 2011 and remained so through late April, when values started to rise in both years as the vortex weakened. Maps of trace gas extrema on MLS retrieval levels (Figures S1 and S2) show consistent results, with lower minimum springtime O_3 values in 2020 than in 2011.

Figure 2 shows 460 K MLS trace gas evolution comparing 2019/2020 with 2015/2016 and 2010/2011 as a function of equivalent latitude (the latitude that would encompass the same area between it and the pole as each potential vorticity, PV, contour; Butchart & Remsberg, 1986) and time, providing a vortex-centered view. In 2019/2020, vortex temperatures (from MERRA-2; Figure 2a) were comparable to those in 2010/2011 and much lower than climatology in late February through March. Late December through January 2015/2016 temperatures are still the lowest on record, with the longest period below the ice PSC threshold (e.g., Lawrence, et al., 2020); however, since low temperatures are more common during these months than later on, the 2015/2016 temperatures were not as anomalous as those later in the season in 2020 and 2011. Temperatures were anomalously low much earlier in the 2019/2020 winter than in 2010/2011.

Vortex strength (Figure 2a; MERRA-2 overlays) particularly stands out in 2019/2020 (see also Lawrence et al., 2020), with PV gradient anomalies in late December 2019 comparable to those in mid-January 2011

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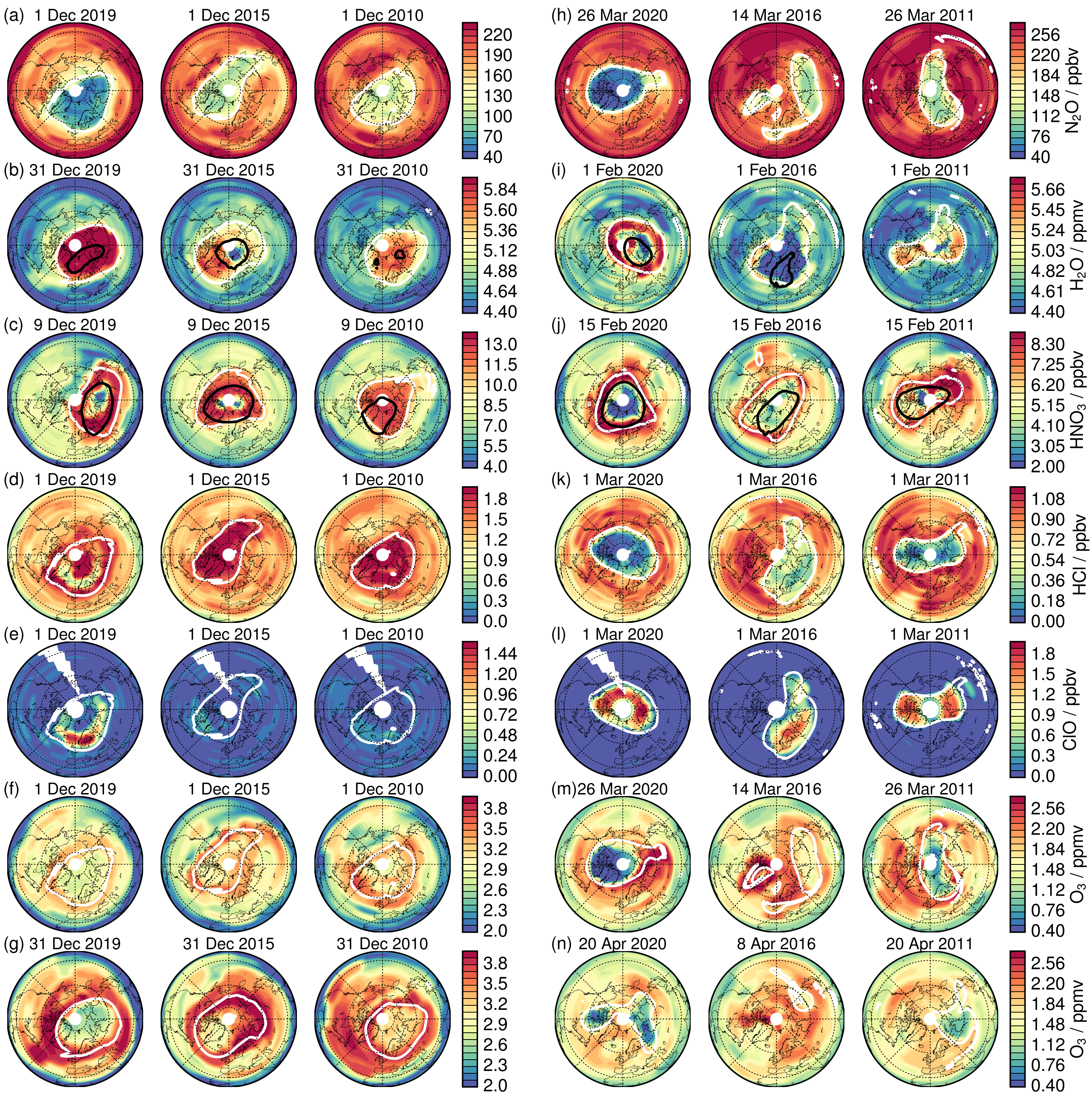

Figure 1. MLS maps: (a–g) 520 K in December and (h–n) 460 K on dates illustrating extreme values, for 2019/2020, 2015/2016, and 2010/2011. Overlays: vortex-boundary scaled potential vorticity (sPV, white; Lawrence & Manney, 2018; Lawrence et al., 2018); NAT (on HNO_3) and ice (on H_2O) PSC threshold temperatures (black; Lawrence et al., 2018); 26 March (20 April) (m–n for 2011 and 2020) is the day before (day after) the 2011 data gap; earlier days are shown for O_3 in 2016 to capture its lowest values before vortex breakup.

and much stronger PV gradient anomalies as the season progresses than those in 2011 (the previous record-strong lower stratospheric vortex; e.g., Lawrence et al., 2020; Manney et al., 2011). The scaled PV (sPV) overlays in Figures 2b–2g show that the 2019/2020 vortex also attained its maximum area earlier

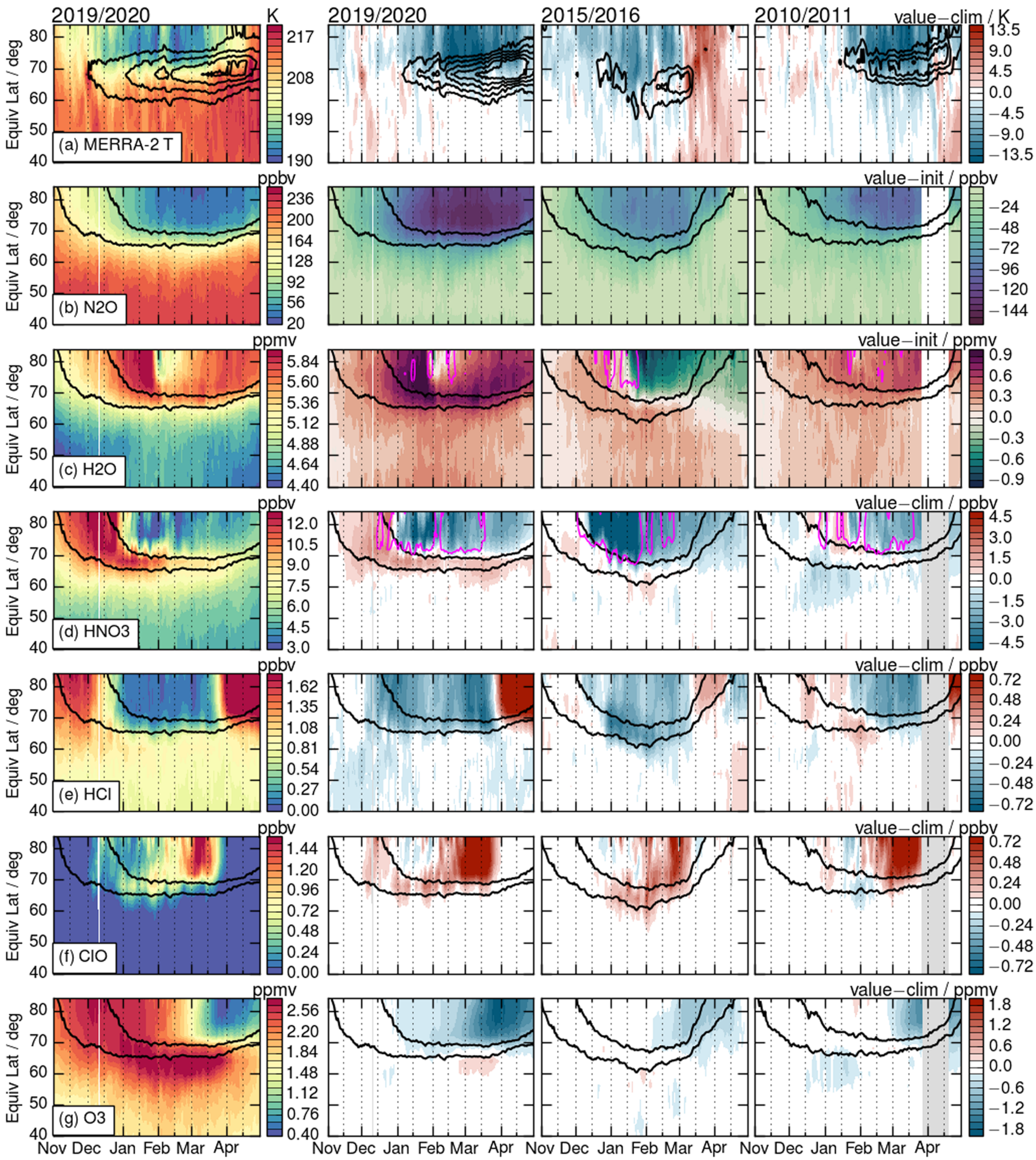

Figure 2. (a) 460 K EqL/time plots of MERRA-2 temperature for 2019/2020 (left) and difference from 2004/2005–2019/2020 climatology for (following columns) 2019/2020, 2015/2016, and 2010/2011; overlays: (left) sPV gradients with respect to EqL and (remaining columns) sPV gradient differences from climatology (positive values only, showing where sPV gradients are stronger than climatology). (b, c) EqL/time plots of 460 K MLS N_2O and H_2O for 2019/2020 (left) and differences from the 1 November values (remaining columns). (d–g) As in (b) and (c) but for other MLS trace gases and differences from climatology; overlays: sPV in vortex edge region (black, 1.4, $1.8 \times 10^{-4}\ s^{-1}$), temperature (magenta; 197 K on HNO_3, 192 K on H_2O; values higher than the PSC thresholds, for NAT and ice, respectively, are shown to approximate the region where some values around the EqL contour are below those thresholds).

and maintained it longer than in other years; furthermore, the 2019/2020 vortex was larger than that in 2010/2011 throughout the winter.

Figures 2b and 2c show N_2O and H_2O as the difference from each year's 1 November field to emphasize changes in the confined descent signature through the winter. N_2O decreased more rapidly through February 2020 and developed steeper gradients across the vortex edge, showing clearly a stronger confined vortex descent signature than in previous years. Before temperatures reached ice PSC thresholds, H_2O also showed this signature, increasing faster in 2019/2020 than in other years. Work in progress indicates that this signature arises largely from a combination of descent of anomalously low N_2O/high H_2O entrained into the developing midstratospheric vortex and stronger vortex confinement in 2019/2020 than in the other years shown.

Consistent with the temperature and vortex evolution, gas-phase HNO_3 remained low longest in 2019/2020: Although negative HNO_3 anomalies were more pronounced in late December/January 2015/2016 and persisted later in 2011, in 2020 low anomalies appeared only slightly later than in 2016 and endured as late as in 2011. Moreover, since HNO_3 was anomalously high before the onset of PSCs in 2019/2020, the net decrease was similar to that in 2016. Significant denitrification occurred in both 2011 and 2016 (e.g., Johansson et al., 2019; Khosrawi et al., 2017; Manney et al., 2011), and similarly low HNO_3 values indicate extensive denitrification in 2020. Several multiday periods with temperatures below the ice PSC threshold occurred in 2020, notably in late January, and a distinct signature of H_2O sequestration in PSCs is seen in early February; this drop (considering higher H_2O values before its onset) is comparable to the initial drop in 2016. Small negative or reduced positive anomalies near the vortex core persisted for about a month after temperatures rose above the ice PSC threshold in 2020, suggesting some dehydration; however, 2016 (when low anomalies lingered throughout the season) remains the only Arctic winter in which MLS observed vortex-wide dehydration.

Chlorine was activated through at least late January in most Arctic winters observed by MLS. HCl (Figure 2e) dropped to anomalously low values as soon as the vortex was well defined in 2019/2020 and 2015/2016, whereas chlorine activation in 2010/2011 was near average until late January. ClO values (Figure 2f) before March depend strongly on vortex size and position since much of the vortex may be in darkness; nevertheless, anomalously high ClO during December 2019 (compared with near-climatological values until late December in the other years) highlights early chlorine activation in 2019/2020. ClO anomalies in March were similarly high in 2020 and 2011. Arctic chlorine deactivation normally proceeds though the reformation of $ClONO_2$ (e.g., Douglass et al., 1995). In all three years highlighted here, however, low-HNO_3, low-ozone, and low-temperature conditions shifted deactivation toward a more Antarctic-like pathway, with rapid HCl reformation (e.g., Douglass & Kawa, 1999). While we do not know the exact timing of deactivation in 2011 because of the instrument anomaly, the common periods MLS observed show similar patterns in 2020 and 2011.

The prolonged polar processing in 2019/2020 resulted in substantial low O_3 anomalies beginning in early January. Since we expect O_3 to increase via descent in the vortex, this pattern suggests appreciable chemical loss beginning by late November 2019. Strong low O_3 anomalies were apparent after early February 2016 and after early March 2011. The lowest O_3 observed in 2020 was much lower than that in 2011 at this altitude, and low values covered more area given the larger vortex. Although O_3 may have continued to decrease during the data gap in 2011, the area of very low O_3 was never comparable to that in 2020 (consistent with the extent of lowest values in Figure 1 and lowest minimum values, Figures S1 and S2).

Vortex averages of MLS data are provided in "Level 3" products that have recently been made public (Livesey et al., 2020; see SI for further description), and cross sections of them (Figure 3) show the vertical evolution of vortex trace gases. We focus on 2020 and 2011, since the extreme aspects of 2016 (discussed above) did not result in springtime O_3 loss comparable to that in 2020 or 2011. The N_2O and H_2O anomaly fields (and greater convergence in 2020 than in 2011 of the overlaid contours of N_2O values that were at 540 and 620 K on 1 November) show strong confined descent. Increased N_2O in April 2020 indicates the beginning of the vortex breakup at higher levels (Figure 3a).

The area of potential PSC formation shifted farther downward over the winter in 2019/2020 (largest areas near 520–540 K in early winter and 460–480 K by spring) than in 2010/2011 (largest area near ∼520 K in

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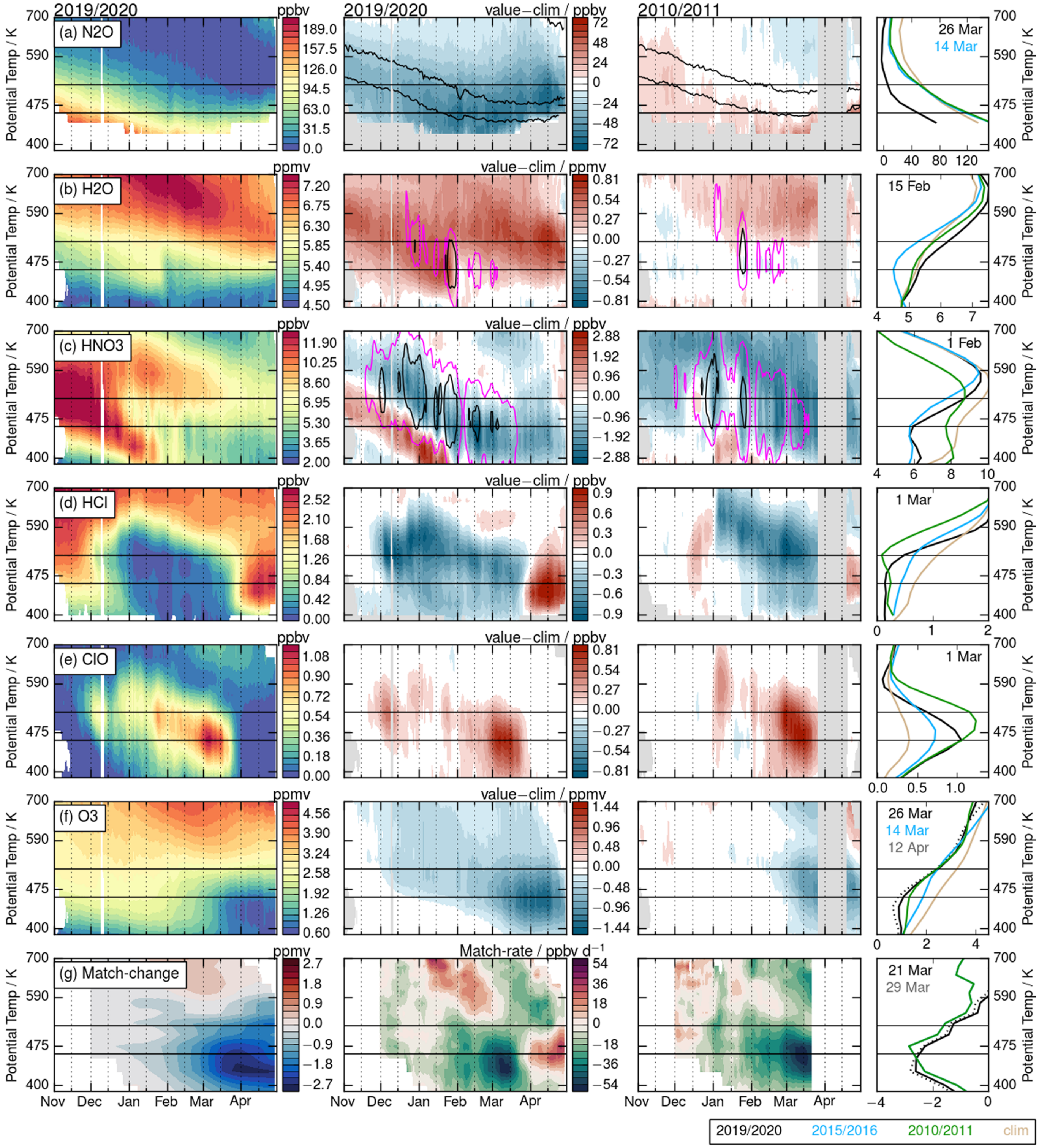

Figure 3. (a–f) Potential temperature/time sections of (left) 2019/2020 vortex-averaged (see SI) MLS species and (center columns) differences from 2004/2005 to 2019/2020 climatology for 2019/2020 and 2010/2011; right column: 2011, 2016, and 2020 profiles on extreme dates and climatology (for 2020 dates where those differ from other years). Black overlays in (a) show contours of N_2O values that were at 540 and 620 K on 1 November. Overlays in (b) show area with MERRA-2 temperatures below the ice PSC threshold (magenta shows 1% and black 2% of NH) and in (c) below the NAT threshold (magenta shows 3% and black 5% of NH). (g, left) Cumulative chemical O_3 change in 2020 from Match (see the text and SI), (center columns) Match rate of O_3 change in 2020 and 2011, and (right) cumulative O_3 change profiles on 21 March 2020 and 2011 and 29 March 2020 (dotted line). Horizontal lines mark 520 and 460 K. *X*-axis units for profiles are the same as left column of corresponding row.

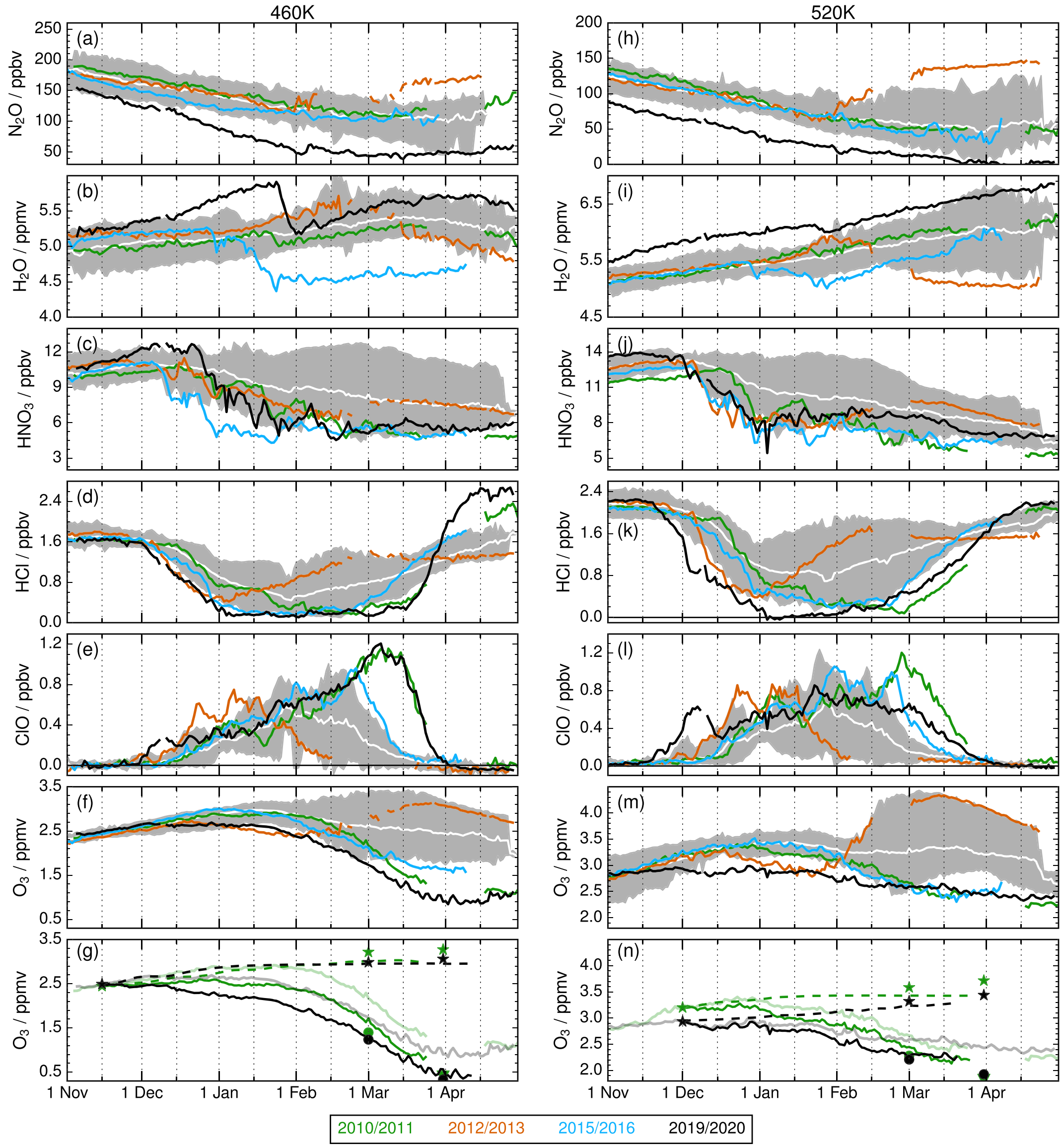

Figure 4. Vortex-averaged MLS trace gases for 2019/2020 (black), 2015/2016 (blue), 2012/2013 (orange), and 2010/2011 (green), at (a–g) 460 K and (h–n) 520 K. Gray envelope shows range of values for 2004/2005 through 2018/2019, excluding the highlighted years; white line shows mean for those years. (g) and (n) show passive ozone (dashed lines) and calculated chemical ozone loss (solid lines) estimated from MLS N_2O gradients (see SI) for 2011 (green) and 2020 (black), with observed evolution in pale colors; overlaid symbols show initial and passive ozone (stars) and trajectory-based chemical loss estimates (circles) (see SI); green triangles on 31 March (partially obscured by black circles) show 2011 chemical loss estimated using the average of 2 days bordering the data gap for the observed value.

early winter and ~500 K by spring). Low HNO_3 anomalies follow this vertical progression. In 2019/2020, increasing high HNO_3 anomalies in late December and January below the cold region suggest renitrification through evaporation of PSCs sedimenting from above; similar, albeit smaller, anomalies were seen in January 2011. High H_2O anomalies during most of 2019/2020, consistent with the strong confined descent signature in N_2O, are related to initially low/high midstratospheric N_2O/H_2O; the abrupt shift from strong high anomalies to no significant anomalies in late January to early February 2020 reflects a period with substantial ice PSC activity. H_2O anomalies were weak in 2011 as ice PSCs were infrequent.

Chlorine activation as seen in HCl and ClO (Figures 3d and 3e) is consistent with the evidence of PSC activity in temperatures and HNO_3: The region with greatest HCl depletion was at lower altitudes in winter/spring 2019/2020 than in 2010/2011 (spring minimum HCl values near ∼480 K in 2020 vs. ∼520 K in 2011). Maximum ClO values were near 460 K throughout March 2020 and moved from ∼520 to ∼480 K from early to late March in 2011. Anomalously high ClO in December 2019 and early January 2020 was consistent with HCl but varied depending on how much of the vortex experienced sunlight; in contrast, HCl in December 2010 was slightly higher than climatology, indicating a relatively late start to chlorine activation.

Ozone contours (Figure 3f) tilt downward through November, consistent with the strong descent signature seen in N_2O and H_2O. Since strong descent was ongoing through December, the flattening of O_3 contours and appearance of negative O_3 anomalies suggest that chemical O_3 loss began by late November and overwhelmed replenishment by descent by early December 2019. In 2011, strong negative O_3 anomalies first appeared in February. Although the 2011 MLS record is incomplete, no evidence suggests that O_3 reached values as low as those in 2020. Further, minimum vortex-averaged O_3 occurred near 440–460 K in 2020 but 480–500 K in 2011; thus, even when values dipped as low in 2011, they were at smaller pressures and consequently affected the total column less. Record-low column ozone and associated record-high surface ultraviolet will be discussed in other papers in this special collection (e.g., Bernhard et al., 2020; Grooß & Müller, 2020; Wohltmann et al., 2020).

Vortex-averaged profiles on individual days (Figure 3, right column) quantify differences between 2020 and 2011. Confined descent was stronger and PSC activity greater in 2020 than in 2011. Chlorine activation was similar at lower altitudes in both years but stronger at higher altitudes in 2011. O_3 abundances were smaller below ∼500 K in 2020 than in 2011. Figure S3 shows raw MLS profiles indicating that, though vortex averages were only slightly lower in 2020 than in 2011, localized minimum values were near zero in late March 2020, compared to ∼0.5 ppmv in 2011, and occurred at lower altitude. Comparisons of time series of minima from ozonesondes and MLS data (Wohltmann et al., 2020) show consistent results.

Figure 3g shows estimates of chemical O_3 loss using the "MLS Match" method (Livesey et al., 2015; also see SI). The computed cumulative chemical change in 2019/2020 indicates some early chemical loss above 520 K, but largest loss between about 400 and 470 K. Similar loss rates were computed for 2020 and 2011 through late March, with maximum losses near 2.8 ppmv. However, consistent with observed chlorine activation, maximum losses were at lower altitude in 2020 than in 2011.

3. Summary and Conclusions

Figure 4 summarizes chemical processing and ozone loss at 460 and 520 K in 2019/2020 in comparison to the other winters observed by Aura MLS. Descent of unusually low N_2O from the midstratosphere together with a well-isolated vortex resulted in smaller N_2O abundances in the lower stratosphere in 2020 than in any previous winter observed by MLS. Depressed gas-phase HNO_3 shows the onset of sequestration in PSCs in December; although the timing varied with altitude, the magnitude of the decrease was larger in 2019/2020. An abrupt drop in H_2O in late January 2020 indicates sequestration in ice PSCs, but temperatures rose above the ice PSC threshold again too soon to produce vortex-wide dehydration of similar magnitude to that in 2016. Although H_2O decreased over a small altitude range in 2020, at 460 K the drop during the coldest period was comparable to that in 2016 (and, when the altitude range is considered, larger than that in 2010 reported by, e.g., Khaykin et al., 2013).

Chlorine activation began slightly earlier in 2019 than in 2015 at 460 K and earlier than in 2010 at all levels. Previously, earliest strong Arctic chlorine activation was observed in 2012/2013, and the vortex was sufficiently exposed to sunlight for ClO to be elevated in late December (Manney et al., 2015). The timing of the HCl drop in 2019 was similar to that in 2012 at 460 K, but about 10 days earlier at 520 K; at both levels highly elevated ClO was seen nearly 2 weeks earlier in 2019 than in 2012.

In 2011, chlorine deactivation occurred much later and followed a more Antarctic-like pattern than previously observed in the Arctic (e.g., Manney et al., 2011). The timing and pathway of chlorine deactivation in 2020 approximated Antarctic patterns even more closely. Not only did ClO remain enhanced at 460 K as late as in 2011 but also HCl recovered much faster than usual and reached considerably higher values by mid-April than in 2011. In a typical Arctic spring, deactivation initially proceeds through reformation of $ClONO_2$; however, several factors can shift Arctic chlorine partitioning toward HCl as in the Antarctic (e.g., Douglass et al., 1995; Santee et al., 2008). First, denitrification limits the availability of NO_2, inhibiting combination with ClO to form $ClONO_2$. In addition, low ozone and low temperatures together lead to preferential reformation of HCl (e.g., Douglass & Kawa, 1999). Thus, HCl production was highly favored inside the persistently cold, strongly denitrified, and ozone-depleted Arctic vortex in spring 2020. Atmospheric Chemistry Experiment-Fourier Transform Spectrometer $ClONO_2$ data (Boone et al., 2013) (Figure S6 and Text S4) and model results (Grooß & Müller, 2020) are consistent with this picture.

These conditions resulted in record-low Arctic O_3 values in spring 2020 at levels below ~500 K and record-low MLS stratospheric column values (see SI). Match estimates suggest more chemical loss in December 2019 through April 2020 than in 2010/2011 below ~460 K; peak losses were near 2.8 ppmv in each of these winters, but at lower altitude in 2020 than in 2011. While empirical O_3 loss estimates have large uncertainties (e.g., Griffin et al., 2019; also see SI), vortex-averaged descent calculations using MLS N_2O (overlaid lines/symbols in Figures 4f and 4l) and using trajectory-based descent rates (overlaid symbols in Figure 4) (see SI for description of calculations) give consistent results; Grooß and Müller (2020) and Wohltmann et al. (2020) report similar results using different data sets and methods. We find that chemical loss between December and March was very similar in the two winters, but significant chemical loss occurred in November only in 2019. (As explained in the SI, the vortex-averaged descent methods give slightly lower estimates than Match because they may be more affected by dilution of the chemical loss signature near the vortex edge.) Record-low springtime O_3 at lower altitudes in 2020 than in 2011 is consistent with evidence of record-low total column O_3 (Grooß & Müller, 2020; Wohltmann et al., 2020) and anomalously high surface ultraviolet in 2020 (Bernhard et al., 2020). Large interannual variability in meteorological conditions in the Arctic stratosphere (which led to the exceptionally strong and long-lived polar vortex in 2019/2020) may yet result in more extreme Arctic O_3 loss in future years while stratospheric chlorine loading remains high: For instance, 2015/2016 still stands out as the coldest Arctic winter with most denitrification and dehydration—if conditions such as those commenced as early in some future year and lasted as late as in 2019/2020, and the vortex remained well-isolated, then greater O_3 depletion could occur. This variability, coupled with likely effects of climate change, makes comprehensive monitoring of polar processes such as that provided by Aura MLS (currently in the 16th year of a 5-year mission) an important priority moving forward.

Data Availability Statement

The data sets used here are publicly available (MERRA-2: https://disc.sci.gsfc.nasa.gov/uui/datasets ?keywords=%22MERRA-2%22; Aura MLS Levels 2 and 3 data: https://disc.gsfc.nasa.gov/datasets?page=1 &keywords=AURA%20MLS; ACE-FTS v3.6 data: http://www.ace.uwaterloo.ca [registration required]).

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