

1 Characterizing Crude Oil Toxicity to Early-Life Stage Fish Based  
2 On a Complex Mixture: Are We Making Unsupported  
3 Assumptions?

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## 23 **Abstract**

24 Numerous studies of the water-soluble fraction (WSF) from crude oil have  
25 concluded that polycyclic aromatic hydrocarbons (PAHs) are the primary  
26 causative agents for early-life stage (ELS) fish toxicity. Noteworthy is the  
27 lack of studies demonstrating that the sum of PAHs are capable of causing  
28 toxic effects in ELS fish at the low levels claimed (0.1 - 5 µg/L) without being  
29 part of a complex crude oil mixture. Crude oil and the WSF are composed of  
30 thousands of other compounds that co-occur and likely contribute to crude  
31 oil toxicity. Based on the available data, it appears that the syndrome of  
32 effects (lower heart rate, edemas, and morphological abnormalities) for ELS  
33 fish exposed to the aqueous fraction of a crude oil mixture is commonly  
34 observed in studies exposing fish embryos to high concentrations of a  
35 variety of compounds and may be a non-specific response. We conclude that  
36 the available data support the hypothesis that this syndrome of effects is  
37 likely the result of baseline toxicity (not receptor based) due to membrane  
38 disruption and resulting alteration in ion (e.g. calcium and potassium)  
39 homeostasis. We acknowledge the possibility of some compounds in the WSF  
40 capable of causing a specific receptor based toxicity response to ELS fish;  
41 however, such compounds have not been identified nor their receptor  
42 characterized. Concluding that PAHs are the main toxic compounds for crude  
43 oil exposure is misleading and does not result in guideline values that can be  
44 useful for environmental protection. Water quality guidelines for any single  
45 chemical or suite of chemicals must be based on a complete understanding  
46 of exposure concentrations, mechanism of action, potency, and resulting  
47 response. This review focuses on the toxic effects reported for fish embryos  
48 and the purported toxic concentrations observed in the aqueous phase of an  
49 oil/water mixture, the known levels of toxicity for individual PAHs, a toxic  
50 unit approach for characterizing mixtures, and the potential molecular  
51 initiating event for ELS toxicity in fish. This review also has implications for a

52 large number of studies exposing ELS fish to a variety of compounds at high  
53 concentrations that result in a common baseline toxic response.

## 54 **1. INTRODUCTION**

55 Over the past decade there has been a rapid increase in the number of  
56 studies attempting to characterize the toxicity of crude oil. Specifically, the  
57 focus has been on the aqueous compounds present after an oil spill, which is  
58 known as the water-soluble fraction (WSF), water-accommodated fraction  
59 (WAF) and others (e.g., chemically-enhanced WAF, [CEWAF]) and high-  
60 energy WAF [HEWAF]). We know that ELS fish are responding to the  
61 dissolved fraction<sup>1</sup> and not oil droplets, hence we will use the term WSF for  
62 this article to denote the aqueous phase containing crude oil compounds.

63 Many of these ELS fish studies concluded that polycyclic aromatic  
64 hydrocarbons (PAHs) were the component responsible for the observed toxic  
65 response, especially for WAFs or WSFs that were “weathered” (i.e. loss of  
66 volatile compounds)<sup>2-5</sup>. This conclusion is based on the supposition that as  
67 the “lighter” relatively “less toxic” components volatilize, the remaining  
68 hydrophobic compounds causing ELS fish to exhibit abnormal development  
69 are mostly the more toxic PAHs.

70 A large number of investigators have conducted toxicity experiments with  
71 crude oil and ascribed the toxicity to a limited group of PAHs, specifically the  
72 tricyclic aromatics acting by an AhR-independent mechanism<sup>3-10</sup>. Frequently,  
73 toxicity is reported for ELS fish in terms of the sum of PAHs ( $\Sigma$ PAHs) without  
74 regard to compound potency or relative abundance, a topic that has been  
75 approached previously by others<sup>11,12</sup>. A key point here is that no studies  
76 exist demonstrating that  $\Sigma$ PAHs are capable of causing toxic effects in ELS  
77 fish at the low levels claimed (0.1 – 5  $\mu$ g/L)<sup>2,3,13-16</sup> without being part of a  
78 crude oil WSF or WAF. As noted by many authors, PAHs are just a small  
79 fraction of the aqueous concentration of organic compounds found in this

80 complex mixture; hence, the toxic potential of the non-PAH fraction is not  
81 considered. Consequently, we believe that  $\Sigma$ PAHs may not be the most  
82 appropriate dose metric for crude oil toxicity.

83 There are several methods for preparing a WSF or WAF that will have a  
84 substantial impact on the composition of the aqueous fraction used for  
85 exposure studies. One common method is to coat gravel with crude oil at  
86 various rates of loading, air dry or heat (weathering), then flow water over  
87 the gravel to achieve a water soluble fraction. The degree of weathering can  
88 be controlled to achieve a different mix of PAHs and other compounds.  
89 Several issues noted for this technique include variable aqueous  
90 concentrations over time, variable compound profiles, and the potential for  
91 microbial production of metabolites<sup>17</sup>. Other methods include a high and low  
92 energy water accommodated fraction (LEWAF and HEWAF) in addition to  
93 chemically enhanced WAF that includes oil dispersants at various  
94 concentrations to facilitate solubilization of crude oil. Each one of these  
95 methods (with or without weathering) can produce vastly different water  
96 soluble fractions of crude oil varying in component concentration and profile.  
97 All of these methods will certainly confound the interpretation of the results  
98 especially with an inappropriate or poorly defined dose metric.

99 The intent of this review is to examine the assumption that tricyclic PAHs are  
100 primarily responsible for all adverse effects due to a crude oil WSF exposure  
101 and to enumerate the reasons why this conclusion is not strongly supported  
102 by the available literature. Our working hypothesis is that most of the  
103 current studies characterizing ELS toxicity in fish exposed to a WSF from  
104 crude oil are describing a non-specific baseline toxic effect that is  
105 characterized by a common syndrome of effects elicited by a large variety of  
106 organic compounds at relatively high exposure concentrations. This is the  
107 simplest explanation for the observed responses and is the appropriate  
108 working hypothesis until more definitive data can be collected that includes

109 critical experiments and identifying a defined molecular initiating event  
110 (MIE). We also acknowledge the possibility that uncharacterized compounds  
111 in the WSF are acting by unidentified specific mechanisms, with greater  
112 potency than observed for the tricyclic PAHs.

113 Our goal is to foster a critical evaluation of this paradigm and highlight the  
114 inappropriate and misleading conclusions regarding the toxic components  
115 found in crude oil. Without a scientifically defensible framework for  
116 characterizing the potential toxic response resulting from exposure to  
117 petroleum compounds, achieving environmental protection based on faulty  
118 assumptions will be counterproductive. We also encourage a greater focus  
119 on those uncharacterized aqueous-phase compounds that are likely  
120 important contributors to the toxic response that act by specific or non-  
121 specific modes of action, especially the polar fraction.

## 122 **2. CRUDE OIL, THE WATER-SOLUBLE FRACTION, AND MAJOR** 123 **COMPONENTS**

124 Crude oil contains thousands of compounds<sup>18</sup> that range in water solubility  
125 from highly soluble to essentially insoluble. This includes a labile fraction  
126 known as volatile organic compounds (VOCs), which comprises about 15%  
127 of the total hydrocarbon load in whole oil<sup>19</sup>. These VOCs evaporate quickly  
128 after an oil spill; however, a majority of the hydrocarbons and polar  
129 compounds remain and dissipate slowly depending on their physicochemical  
130 characteristics, such as the octanol-water partition coefficient ( $K_{ow}$ ). Crude  
131 oil from various geological formations exhibit very different profiles of  
132 compounds<sup>20,21</sup>. For example, Faksness et al.<sup>21</sup> noted that two Norwegian  
133 oils (Goliat and Heidrun) varied 2 to 4-fold for many chemical classes  
134 including 2 – 3 ring PAHs, C3 benzenes, and total petroleum hydrocarbons.  
135 Crude oil contains a wide variety of organic compounds other than  
136 hydrocarbons (carbon and hydrogen only). There are a very large number of

137 polar compounds containing sulfur, nitrogen, and oxygen and these can  
138 constitute a major portion of the aqueous fraction<sup>18,22</sup>. Many of these are  
139 heterocyclic compounds resembling PAHs in structure and properties and  
140 only a few have been identified, many with alkyl groups (C1 – C4). Examples  
141 of these include carbazoles, xanthenes, and thioxanthenes, in addition to  
142 dibenzothiophenes all with C1-C4 alkyl groups<sup>23</sup>. It was also noted by Sauer  
143 and Uhler<sup>24</sup> that the percentage composition for many heterocyclic  
144 compounds in a WSF is enhanced via weathering.

145 Polycyclic aromatic hydrocarbons (PAHs) constitute a very large group  
146 possibly reaching 10,000 unique compounds<sup>25</sup>. Only about 100 PAHs have  
147 been identified and studied<sup>26</sup> and they vary widely in physical-chemical  
148 properties and toxic potency<sup>27</sup>. However, in most crude oils PAHs comprised  
149 less than 1% of the total petroleum hydrocarbons<sup>19</sup> and most of the  
150 compounds are unidentified and commonly known as the unresolved  
151 complex mixture (UCM)<sup>28</sup>. For example, the data in Sammarco et al.<sup>19</sup> show  
152 that weathered field samples contained aqueous concentrations of total  
153 petroleum hydrocarbons (TPHs) that were 2 orders of magnitude higher than  
154 reported for total PAHs, which is common for many such studies<sup>22,29</sup>.

155 The UCM from whole crude oil may have up to 250,000 compounds, which  
156 has been described as the most complex mixture of organic molecules in the  
157 environment<sup>30</sup>. It is important to note that even after separation into  
158 aliphatic, aromatic, and polar fractions, each one of those groups has its own  
159 UCM with a large number of unidentified compounds<sup>23,30,31</sup>. It is also  
160 important to note that the UCM of a WSF can constitute a high percentage of  
161 the total petroleum hydrocarbon fraction, with some ranging from 90 –  
162 98%<sup>21</sup>. As noted by Melbye et al.<sup>22</sup>, the UCM is resistant to weathering and  
163 likely to persist in the environment. Several authors also noted that the UCM  
164 constituted approximately 70% of the WSF and it contains high levels of  
165 polar compounds, including cyclic and aromatic sulfoxide compounds<sup>22,32</sup>.

166 This is supported by Lang et al.<sup>33</sup> who noted that polar compounds can  
167 dominate a weathered WSF (98% of total). Recent studies have attempted  
168 to characterize the unknown compounds occurring in weathered oil and the  
169 UCM<sup>28,34,35</sup>. High concentrations (100 – 1,000 µg/L) of alkylphenols,  
170 alkylbenzenes (C3 – C6), alkylated aromatic heterocycles (quinolines,  
171 carbazoles, thiophenes, benzothiophenes, and benzofurans) were observed  
172 in WAFs by Barron et al.<sup>36</sup> and were generally far more abundant than PAHs.

### 173 **3. CURRENT UNDERSTANDING AND MISUNDERSTANDING OF CRUDE** 174 **OIL TOXICITY IN ELS FISH**

175 The syndrome associated with ELS toxicity in fish exposed to crude oil WSF  
176 includes fluid accumulation (edema) around the heart and yolk sac, body  
177 axis and craniofacial abnormalities, and heart beat abnormalities<sup>3,13,15,37</sup>.  
178 Many authors have reported these responses for a variety of larval fish  
179 species exposed to crude oil and the vast majority express toxicity in terms  
180 of  $\Sigma$ PAHs, which is based on a limited list of 40 – 50 PAHs<sup>16,38,39</sup>. The  
181 prevailing conclusion among most researchers is that the tricyclic PAHs  
182 (specifically alkylated phenanthrenes) are the most toxic components in  
183 crude oil and responsible for this syndrome of effects in larval fish<sup>13,15,37</sup>.  
184 Interestingly, naphthalenes are included in the  $\Sigma$ PAHs dose metric<sup>8,40,41</sup>;  
185 however, they are not expected to contribute to the ELS fish toxicity  
186 syndrome<sup>6</sup>.

187 The recent hypothesis regarding the molecular initiating event (MIE) for PAH  
188 toxicity highlights abnormal calcium cycling and alterations to the cellular  
189 flux of potassium<sup>9,37,42</sup>. Specific targets are thought to be rectifying  
190 potassium channels and sarcoplasmic reticulum calcium channels<sup>16</sup>. The  
191 main focus is on tricyclic PAHs and the MIE is assumed to be aryl  
192 hydrocarbon receptor (AhR)-independent<sup>15,37</sup>. This is a separate mechanism  
193 from that described for other PAHs (such as retene [an alkylphenanthrene])

194 and many high-molecular weight PAHs) known to act by AhR-dependent  
195 toxicity causing toxicity to ELS fish at very low water and tissue  
196 concentrations<sup>43</sup>, and is known as blue-sac disease. These recent studies  
197 highlighting the disruption of ion homeostasis in cardiac myocytes exposed  
198 to crude oil mixtures<sup>9,42</sup>; however do not provide direct evidence of the  
199 responsible compounds or group of compounds that cause these effects and  
200 the exact MIE (i.e. specific or non-specific mode of action).

### 201 3.1. Single Compound Toxicity Versus Mixture Toxicity

202 There is only circumstantial data to support the conclusion that the  $\Sigma$ PAHs,  
203 specifically the tricyclic PAHs, in the range of 0.1 – 5  $\mu\text{g/L}$  are causing  
204 toxicity in ELS fish, which is observed only when they are exposed to a  
205 complex mixture (WSF or WAF). Studies examining developmental toxicity in  
206 fish exposed to individual PAHs indicate toxic concentrations orders of  
207 magnitude above this level<sup>5,44,45</sup>. Geier et al.<sup>45</sup> tested 123 PAHs for more  
208 than 20 developmental endpoints in zebrafish (*Danio rerio*) and all  
209 compounds (except 1,3 dinitropyrene) elicited responses above 0.5  $\mu\text{M}$ , or  
210 approximately 100  $\mu\text{g/L}$  (most were 5 - 50  $\mu\text{M}$ ).

211 A number of studies attempting to characterize the mechanism of toxicity for  
212 PAHs exposed ELS fish to mg/L (ppm) aqueous concentrations often with  
213 DMSO allowing the compounds to exceed aqueous solubility<sup>6,7,46</sup>. These  
214 highly cited single-compound studies at high exposure concentrations  
215 describing the syndrome of ELS fish toxicity in great detail for PAHs  
216 (specifically tricyclic PAHs) are the basis for dozens of subsequent studies  
217 supporting the conclusion of PAH toxicity as the primary causative agents for  
218 a complex mixture of hydrocarbons found in the WSF. Most of these high-  
219 dose studies have been performed with warm-water species and one author  
220 has claimed that zebrafish (*D. rerio*) are not sensitive to PAHs<sup>37</sup>. Even  
221 though these high-dose studies were conducted with zebrafish, mackerel,



222 and tuna (*D. rerio*, *Scomber japonicas*, *Thunnus albacares*, *Thunnus*  
223 *orientalis*), there is no reason to assume these warm-water species are less  
224 sensitive than other species<sup>47-49</sup>. For example, Petersen and Kristensen<sup>50</sup>  
225 found similar bioconcentration factors for zebrafish, cod, herring, and turbot  
226 (*D. rerio*, *Gadus morhua*, *Clupea harengus*, and *Scophthalmus maximus*)  
227 larvae exposed to phenanthrene. Additionally, a warm-water species such as  
228 mahi-mahi exhibited similar effect concentrations in terms of  $\Sigma$ PAH within a  
229 WAF compared to cold-water species such as herring (*Clupea pallasii*)<sup>3</sup>.

230 Several researchers have examined the toxicity of phenanthrene and  
231 alkylphenanthrenes and found ELS fish responses at water concentrations  
232 generally in the range of 40 – 100  $\mu\text{g/L}$  and higher<sup>13,44,51,52</sup>. The target PAHs  
233 (tricyclics such as phenanthrene and alkylphenanthrenes) are present in a  
234 WSF at concentrations in the range of 0.1 - 1  $\mu\text{g/L}$ , and claimed to be the  
235 sole cause of the ELS toxicity syndrome<sup>2,13,15,37,42,53</sup>. Obviously, there is a  
236 large discrepancy between what single-compound studies demonstrate and  
237 these same compounds in a complex crude-oil derived WSF.

238 Because most studies reporting  $\Sigma$ PAHs as the dose metric contain the  
239 putative highly toxic tricyclic PAHs at sub ppb concentrations, the  
240 discrepancy between high-dose single compound responses and orders of  
241 magnitude lower concentrations in a mixture must be rationalized as either a  
242 synergistic effect among components or the presence of compounds with far  
243 greater potency. There are no data to support either of these potential  
244 hypotheses for tricyclic PAHs acting by an AhR-independent mechanism.  
245 Also, using  $\Sigma$ PAHs as a marker for the actual toxic component of an oil WSF  
246 (e.g., alkylated phenanthrene) would not necessarily translate among  
247 different WSF mixtures in time and space as a result of differential  
248 weathering and other physicochemical properties. Crude oils are known to  
249 contain vastly different profiles of hydrocarbons and PAHs<sup>20,21</sup> and a toxic  
250 value based on  $\Sigma$ PAHs for one WSF would likely not translate to another.

251 Also noteworthy is the analysis for a variety of PAHs and their ability to  
252 cause toxicity for ELS fish. Some PAHs such as chrysene produce no effects  
253 and others characteristically lead to different responses as a function of AhR  
254 dependence when exposed to high concentrations<sup>37,54</sup>. Each compound  
255 exhibits variability in water solubility, uptake kinetics, tissue diffusion  
256 kinetics, and rates of metabolic transformation that determine  
257 bioaccumulation and toxicity. Without measured tissue concentrations,  
258 categorization of any toxic response is far more difficult due to compound  
259 and species differences<sup>55,56</sup>. For example, there is large interspecific  
260 variability for biotransformation of PAHs as well as large variability (e.g. 100  
261 fold) among PAHs for a given species<sup>57</sup>.

262 Fractionation studies that isolate the fraction of crude oil that is most toxic  
263 and quantify the alkanes and PAHs are appealing, but often fall short. For  
264 example Bornstein et al.<sup>29</sup> determined the most toxic fractions (F3-1-2, F3-  
265 1-3, and F3-1-4) contained high levels of PAHs (parent and alkylated forms),  
266 but only accounted for a small percentage of the total known analytes in  
267 each fraction that likely exhibited similar physicochemical properties and  
268 probably contributed to the toxic response. Interestingly, in that study the  
269 most toxic fraction (F3-1-3) contained  $\Sigma$ PAH concentrations that were 8x  
270 lower and 28x higher than two other fractions exhibiting similar toxicity.  
271 Bornstein et al.<sup>29</sup> acknowledged that compounds other than PAHs could have  
272 contributed to the toxicity for ELS fish exposed to a weathered crude oil  
273 WAF. Interestingly, a few studies have shown reduced variability in toxicity  
274 metrics when plotting these against the sum of tricyclic PAHs instead of  
275  $\Sigma$ PAHs<sup>7,40</sup>; however this does not provide strong support for tricyclic PAHs as  
276 the responsible compounds. Such grouping provides a set of compounds  
277 with a narrower range of Kow values, which may reduce variability. Also, as  
278 seen for the Esbaugh et al.<sup>40</sup> data, the correlation between the sum of  
279 tricyclic and tetracyclic PAHs is high ( $r=0.85$ ) among WAF fractions, which

280 suggests that variability would also be reduced with tetracyclic PAHs over  
281 that seen for  $\Sigma$ PAHs as the dose metric. The latter group have been  
282 implicated in developmental abnormalities in ELS fish by a different MIE  
283 (AhR-dependent) as that for tricyclic PAHs<sup>54</sup>; however they occur at  
284 concentrations 12x – 26x lower than observed for the tricyclic PAHs and are  
285 too low to cause effects.

### 286 **3.2. Myriad Compounds in the Water-Soluble Fraction Not** 287 **Considered**

288 There is currently no support to infer the primary causative agent for ELS  
289 fish toxicity of a crude oil WSF is limited to only the quantifiable compounds  
290 (PAHs), given that myriad highly bioaccumulative, non-PAH compounds are  
291 present in the WSF of crude oils. Studies such as Melbye et al.<sup>22</sup>  
292 demonstrated that the polar fraction can dominate the toxicity of a WSF and  
293 is important in characterizing crude oil toxicity.

294 Recent studies have proposed that the UCM contains a number of toxic  
295 compounds including branched alkyl benzenes, indanes, and tetralines<sup>35,58,59</sup>.  
296 Many other compounds in the UCM that exhibit  $\log_{10}K_{ow}$  values in the range  
297 of 4 – 6 may also be toxic and co-occur with the commonly quantified PAHs  
298 including such groups as aliphatic naphthalenes, aliphatic monocyclic acids,  
299 monocyclic thiophenic carboxylic acids, and monoaromatic  
300 hydrocarbons<sup>35,58,59</sup>. Some of these compounds can be toxic to fish  
301 hepatocytes at low concentrations<sup>59</sup> and at sublethal concentrations for  
302 mussels (*Mytilus edulis*)<sup>35,58</sup>. The 22 compounds listed in Petersen et al.<sup>59</sup>  
303 are very hydrophobic with most exhibiting  $\log_{10}K_{ow}$  values in the 3 – 6 range  
304 indicating a high potential for bioaccumulation. Booth et al.<sup>35</sup> determined an  
305 EC20 of 7  $\mu\text{g/L}$  for mussels (reduced feeding) exposed to a mixture of  
306 branched alkyl benzenes, a major component of the UCM. This occurred at a  
307 tissue concentration of approximately 2 mg/Kg wet weight (converted from

308 dry wt.), indicating that these compounds are very toxic and may be  
309 important for ELS fish toxicity. If these compounds are representative of the  
310 hundreds of compounds found in the UCM, high tissue concentrations would  
311 be expected for relatively low water concentrations ( $\mu\text{g/L}$ ) from a WSF based  
312 on simple partitioning models.

### 313 **3.3. Genomic Changes Do Not Confirm the MIE**

314 A number of studies have characterized myriad genomic changes elicited by  
315 a crude oil WSF as they relate to the ELS fish toxicity syndrome<sup>60,61</sup>;  
316 however, these responses were observed after exposure to a mixture of  
317 thousands of compounds. One proteomic study examined the dose-response  
318 relationship between phenanthrene exposure (5 – 345  $\mu\text{g/L}$ ) and detected  
319 proteins in zebrafish embryos<sup>62</sup>. Of the 716 proteins examined, only two  
320 exhibited an expression profile (benchmark concentration for protein  
321 abundance alteration;  $\text{BMCP}_{20}$ ) corresponding to an exposure concentration  
322 of 1.4 and 5  $\mu\text{g/L}$  (calculated), respectively, which were the lowest values  
323 for the study and far above those claimed by other authors for tricyclic PAHs.

324 The WSF mixture studies report a large number of up-regulated and down-  
325 regulated genes that may be responsible for the observed phenotypic  
326 responses. These likely vary by species or major taxonomic group, and  
327 many may be a result of multiple MIEs. Many of these genomic changes are  
328 likely caused by altered calcium cycling and  $\text{K}^+$  flux, which may result from  
329 both specific and non-specific toxicity. While these data are interesting and  
330 potentially useful as biomarkers, many of these are downstream events  
331 elicited by the unknown MIE, which are likely a consequence of altered ionic  
332 homeostasis. Of course, within the matrix of transcriptional changes are  
333 potentially specific changes resulting from receptor-based alterations from  
334 known or unknown WSF compounds. It would be informative to examine the  
335 genomic changes for other compounds causing the ELS fish toxicity

336 syndrome (**Table 1**) and compare these results to those for a crude oil WSF.  
337 Perhaps markers unique to crude oil toxicity can be identified and utilized.

#### 338 **4. BASELINE TOXICITY**

##### 339 **4.1. An Alternative MIE for Crude Oil WSF Toxicity in ELS Fish**

340 Baseline toxicological effects, also known as narcosis or non-specific  
341 toxicity<sup>82,83</sup>, is best described for aquatic species and occurs at very  
342 predictable whole-body concentrations. The purported action for all organic  
343 compounds acting by a non-specific action is membrane disruption and  
344 impaired ion homeostasis<sup>82,84</sup>. As noted by many authors, baseline toxicity  
345 occurs when internal concentrations of organic compounds achieve a defined  
346 level in membranes and disrupt normal function such as ion transport<sup>84-86</sup>.  
347 The action of baseline toxicants is known to cause alterations in ion  
348 homeostasis (calcium and potassium) as a consequence of high  
349 concentrations of compounds in the membrane leading to reduced fluidity  
350 and damage<sup>87-89</sup>. Escher et al.<sup>88</sup> concluded that disturbance of membrane  
351 bound proteins is a likely result of baseline toxicity and add that ligand-  
352 gated ion channels are the most important targets in baseline toxicity for  
353 mammals. They also note that Na<sup>+</sup>/K<sup>+</sup> ATPase activity is generally  
354 unaffected, as shown by van Wezel et al.<sup>90</sup>, which may be an important  
355 observation for physiology studies. Unfortunately, baseline toxicity has not  
356 been studied with the same sophistication as that for crude oil toxicity in ELS  
357 fish. Consequently, there are many unknowns regarding sublethal outcomes,  
358 physiologic change, and compromised biochemical pathways for baseline  
359 toxicity.

360 The baseline lethal response has been demonstrated for hundreds of organic  
361 compounds<sup>64,91,92</sup> and occurs for whole-body organisms at approximately 2 –  
362 8 mmol/Kg (wet weight) or 40 – 160 mmol/Kg lipid. Sublethal responses are  
363 rarely reported (but see Table 1) and occur in the 0.2 – 0.8 mmol/Kg

364 range<sup>64,91,93,94</sup>. Depending on the molecular weight, sublethal responses  
365 should occur at approximately 40 – 160 mg/Kg whole-body wet weight for a  
366 compound of 200 daltons, which is approximately 10 times lower than  
367 observed mortality. Consider the  $\log_{10}K_{ow}$  for many PAH compounds and the  
368 water concentration required to result in high tissue burdens causing such  
369 baseline toxicity. For example, a PAH with a  $\log_{10}K_{ow}$  of 5 (most alkylated  
370 phenanthrenes) would result in a tissue concentration approaching 40 - 200  
371 mg/Kg for an aqueous concentration of 10 - 50  $\mu\text{g/L}$ , which is the range for  
372 baseline toxicity (mortality and sublethal effects), especially for low lipid  
373 content fish embryos. Coincidentally, this is the aqueous concentration range  
374 reported by Turcotte et al.<sup>44</sup> for a series of alkylated phenanthrenes causing  
375 toxic effects in ELS medaka (*Oryzias latipes*) (Table 1). This relationship  
376 would hold for any organic compound exhibiting a  $\log_{10}K_{ow}$  in this range and  
377 many occur in the UCM. Of course, metabolism of these compounds must be  
378 considered when predicting tissue concentrations; however even if 90% of  
379 the compound is metabolized, predicted sublethal tissue concentrations  
380 would still be within the range observed for baseline toxicity. It is important  
381 to note that the unmeasured metabolites for such single compound studies  
382 may also contribute to the toxic response and may be as toxic or more toxic  
383 compared to the parent compound<sup>95</sup>.

384 Within an organism, the tissue concentration for the baseline toxicity  
385 response is remarkably consistent. The main reason baseline toxicity occurs  
386 within a narrow range of internal concentrations is that membranes will lose  
387 fluidity when these lipophilic compounds achieve a critical concentration<sup>88</sup>  
388 and this is a common feature among all organisms. Once this critical  
389 membrane concentration is reached, many normal membrane functions are  
390 altered, such as ionophore regulation of internal and external cell  
391 concentrations of important ions, such as potassium, chloride, and calcium.  
392 These imbalances rapidly lead to cell injury and ultimately organism death at

393 these well-defined internal concentrations. At sublethal concentrations, cell  
394 function is inhibited resulting in a variety of responses, including edemas,  
395 cardiac dysfunction, and skeletal abnormalities, as seen for a variety of  
396 organic compounds (Table 1).

397 The toxicity of most compounds acting by a baseline mode of action is  
398 correlated with  $K_{ow}$  indicating a lack of specificity and more likely a  
399 physicochemical partitioning from aqueous exposure to the internal  
400 concentration. As noted by Turcotte et al.<sup>44</sup> and Hodson<sup>13</sup> a high correlation  
401 exists between ELS fish toxicity and  $\log K_{ow}$  for a variety of individual PAHs  
402 indicating the likelihood of baseline toxicity. These correlations exhibit slopes  
403 that are very close or identical to the universal narcosis slope of -0.945 for  
404 non-polar compounds described by Di Toro et al.<sup>91</sup>. Petersen et al.<sup>59</sup> also  
405 observed a high correlation between the fish hepatocyte toxicity and  $\log K_{ow}$   
406 for a variety of compounds associated with the UCM suggesting a non-  
407 specific mode of action; however, it is possible that some of these  
408 compounds may cause specific toxic effects via undetermined receptors.

409 Two recent studies highlighted the role of calcium cycling in baseline toxicity.  
410 One study, Antczak et al.<sup>84</sup> provided compelling data that inhibition of  
411 sarcoplasmic reticulum  $Ca^{2+}$  ATPase (SERCA) plays an important role for the  
412 MIE in baseline toxicity. Another study is a meta-analysis of the  
413 transcriptome for zebrafish embryo studies as a function of chemical  
414 exposure (60 different compounds), which noted a striking similarity among  
415 studies for downregulation of genes related to calcium homeostasis<sup>96</sup>. Other  
416 studies relating alterations in calcium homeostasis to membrane disruption  
417 include Farber<sup>87</sup> and Rojanasakul et al.<sup>97</sup>. It is our hypothesis that this  
418 syndrome of effects (deformities, heart rate alteration, spinal curvature,  
419 growth effects, pericaridal edema and abnormalities, and yolk sac edema)  
420 described for larval fish exposed to crude oil and other compounds appears  
421 to be the result of baseline toxicity. This is the simplest model for the

422 observed responses from a crude oil WSF and other compounds (Table 1);  
423 however, we cannot rule out more specific acting mechanisms.

424 It is possible that the reduction in heart rate described by Brette et al.<sup>7,9</sup> for  
425 myocytes from juvenile and adult fish may be a specific response to PAHs  
426 and/or other components in a WSF elicited by channel blockage. To our  
427 knowledge, this level of detail for the mechanistic process of cardiac  
428 abnormalities described by these authors has not been examined for  
429 baseline toxicity. Phenanthrene was tested in Brette et al.<sup>7</sup>, but at  
430 concentrations expected to cause baseline toxicity. A critical experiment  
431 would entail similar studies with myocytes exposed to known baseline  
432 toxicants. Additional studies with PAH mixtures at environmentally relevant  
433 levels in relation to plasma and ambient water concentrations would also be  
434 necessary.

#### 435 **4.2. Other Chemicals Cause Similar Responses in ELS Fish**

436 There is strong support that this suite of abnormalities for larval fish  
437 exposed to high concentrations of a variety of compounds is not unique for  
438 PAHs and is more indicative of a baseline response. Noted chemicals include  
439 alkylphenols (mixture)<sup>98</sup>, bisphenol A<sup>69</sup>, benzo[a]pyrene and fluoranthene<sup>99</sup>,  
440 aniline and 6 chlorinated anilines<sup>71</sup>, triclosan<sup>67</sup>, acrylamide<sup>66</sup>, and diketone  
441 antibiotics<sup>100</sup>. Horie et al.<sup>65</sup> tested 20 chemicals (metals and organic  
442 compounds, comprising of pesticides, pharmaceuticals, aromatics, and  
443 chlorinated anilines) using the OECD short-term toxicity test for fish embryo  
444 and sac-fry stages. For most organic compounds and one metal at high  
445 concentration the heart rate for zebrafish was significantly reduced. Most of  
446 the organic compounds in this study caused yolk-sac and pericardial edema,  
447 inhibition of swim bladder inflation, and body curvature at high concentration  
448 indicating that these are common effects for all organic compounds at high



449 exposure concentrations. As noted by Roush et al.<sup>101</sup>, pericardial edema is  
450 commonly observed response in fish embryo toxicity testing.

451 Even nanoparticles are known to disrupt membrane potential resulting in  
452 altered intracellular potassium and calcium levels through depolarization of  
453 the membrane<sup>97,102</sup>, causing similar responses to that observed for baseline  
454 toxicity. Related to that is the observation of yolk sac and pericardial edema  
455 and fin malformations in zebrafish embryos exposed to a variety of  
456 nanoparticles<sup>103</sup>. Additionally, Wu et al.<sup>104</sup> observed pericardial edema, spinal  
457 curvature, and a variety of morphological abnormalities in medaka embryos  
458 exposed to silver nanoparticles and one study<sup>105</sup> recommends assessing  
459 intracellular calcium as a screening tool for nanoparticle toxicity.

460 As noted by McCarty et al.<sup>64</sup> an evaluation of 161 neutral compounds  
461 causing baseline toxicity for small aquatic organisms exhibited a geometric  
462 mean lethal residue (LR50) (also known as the critical body residue (CBR))  
463 of 1.80 mmol/kg (mM) wet weight (95% CI 0.18–18.0). Table 1 lists the  
464 LC50 values for several compounds, which was translated to a LR50 for  
465 comparison to the range noted by several authors for baseline toxicity<sup>64,85</sup>.  
466 This was accomplished with a standard bioconcentration factor (BCF)  
467 prediction equation using the  $Kow^{106}$ . The BCF equation was rearranged to  
468 predict the LR50 based on the  $LC50^{63}$ . The  $LC50$  was not available for the  
469 Turcotte et al.<sup>44</sup> data so the  $EC50$  data were used for these calculations. The  
470 data in Table 1 for 30 organic compounds, 6 WAF mixtures, and 2 metals  
471 (excluding nanoparticles) also demonstrate that the syndrome of effects for  
472 ELS fish, including lowered heart rate, edemas, body curvature, and  
473 impaired swim bladder inflation is a result of baseline toxicity.

474 The LR50 for 29 of the organic compounds in Table 1 were very close to this  
475 mean baseline LR50 value (CBR) of 1.8 mM (within a factor of 3), or  
476 exceeded it. This was also true for the crude oil WAFs assuming a mean

477 log<sub>10</sub> Kow of 4. The LR50 for one compound (lovastatin) was less than 3x  
478 below the mean LR50, but within the 95% CI and one compound  
479 (cymoxanil) was far below the expected baseline LR50. In some cases an  
480 LR50 may be lower than expected as a result of a pH specific Kow, rapid  
481 half-life, or the presence of toxic metabolites. Pharmaceuticals such as  
482 propranolol and diclofenac can cause specific effects at low doses and are  
483 also known to cause baseline toxicity at high doses as demonstrated by  
484 Escher et al.<sup>88</sup>, therefore the observed syndrome of abnormalities noted for  
485 fish exposed to these compounds is likely consistent with baseline toxicity.

486 All compounds (except cymoxanil and lovastatin) from Table 1 were plotted  
487 against logKow and the results clearly show a strong relationship between  
488 lethal and sublethal responses and this physicochemical parameter (**Figure**  
489 1). The high coefficient of determination ( $r^2 > 0.77$ ) for these regressions  
490 indicates baseline toxicity for all plotted compounds. The slopes for these  
491 regressions (0.63 – 0.71) are lower than the universal narcosis slope of  
492 0.94<sup>91</sup>; however they are very similar to the slope coefficient (0.67) shown  
493 by Ellison et al.<sup>107</sup> for baseline toxicants from fish embryo toxicity testing.  
494 The observed variability among data points is likely a result of the variable  
495 lipid content for ELS fish<sup>50</sup>, a mix of polar and nonpolar compounds, variable  
496 exposure concentrations<sup>107</sup>, evaluation of responses at different time points,  
497 estimated Kow values, and variable toxicity metrics (LOEC versus ECp).

498 In terms of TPH in the WSF, ELS fish toxicity occurs at aqueous  
499 concentrations in the range of 0.1 – 5 mg/L<sup>76,77,80</sup> resulting in pericardial  
500 edema, skeletal and jaw abnormalities. Bioaccumulation modeling predicts  
501 whole-body concentrations of 2,500 mg/Kg in fish exposed to a WSF of 5  
502 mg/L assuming an average log<sub>10</sub>Kow of 4 for compounds, which is  
503 approximately 6 – 7 times the level needed for a baseline lethal response<sup>63</sup>.  
504 Also noteworthy are the data from Hawkins et al.<sup>51</sup> and Vergauwen et al.<sup>70</sup>  
505 who observed the crude oil toxicity syndrome for ELS fish at phenanthrene

506 whole-body tissue concentrations of 0.2 – 3 mM (Table 1) after exposure to  
507 0.05 – 0.5 mg/L, which is consistent with the data from Turcotte et al.<sup>44</sup> and  
508 within the expected range for baseline toxicity.

509 Table 1 also highlights the separation between lethal and sublethal effect  
510 concentrations (LC50/LOEC or LC50/ECp) and the likelihood that these  
511 exposure concentrations fall within the range noted for baseline toxicity. For  
512 almost all compounds in Table 1, the lethal to sublethal ratio (ACR) falls  
513 within the expected range of 1 - 10 for baseline toxicity<sup>91,93,108</sup>, and most are  
514 less than 5. Lower ACR values indicate the relative closeness of lethal values  
515 to sublethal responses for the ELS toxicity syndrome, which is noted for  
516 baseline toxicants and less so for specific acting toxicants<sup>108,109</sup>. Also  
517 noteworthy are the Esbaugh et al.<sup>40</sup> data for LC50 and pericardial edema  
518 exhibiting ACRs ranging from 0.7 to 3.5 for all WAF preparations, which are  
519 based on dissolved  $\Sigma$ PAHs. Based on the predicted LR50 values, ACR data,  
520 and correlations between Kow and toxic effects, most of the compounds in  
521 Table 1 appear to be acting as baseline toxicants at these high  
522 concentrations, which is also noted for the WAF results and tricyclic PAHs in  
523 this table.

## 524 **5. OTHER MODES AND MECHANISMS OF ACTION FOR PAHS**

525 The baseline mode of action mediated via calcium and potassium imbalance  
526 is not the only possible response for PAHs. Numerous studies indicate that  
527 PAHs can affect growth, lipid metabolism, immune dysfunction, and related  
528 physiological responses in fish<sup>110-114</sup>. Many of these studies were conducted  
529 with older life stages; however, these responses may also occur for ELS fish.  
530 Suspected receptors for these responses include peroxisome proliferator-  
531 activated receptors (PPARs), early growth response protein-1 (ERG-1)<sup>115</sup>,  
532 and other unidentified receptors. For example, receptor based changes via

533 PPARs may play a role in lipid metabolism, growth, and other related  
534 physiological responses<sup>116</sup>.

535 Additionally, some PAHs (especially high molecular weight (HMW)  
536 compounds) are known to act via the aryl hydrocarbon receptor (AhR) to  
537 produce a similar suite of developmental abnormalities in ELS fish, (blue-sac  
538 disease)<sup>43</sup>. This response for ELS fish, although similar, is considered  
539 separate from the AhR-independent action of the tricyclic PAHs that are  
540 assumed to be the main toxic agents in a crude oil WSF. Even though dioxin-  
541 like toxicity is considered to be receptor based and responses occur at very  
542 low doses, the specific molecular events causing such toxicity are not well  
543 established. It is interesting to note that AhR-dependent toxicity for PAHs is  
544 known to affect calcium levels and the heart is considered to be the target  
545 organ<sup>117</sup>. Calcium metabolism has also been highlighted as an important  
546 altered process for dioxin toxicity to zebrafish<sup>118</sup>. As noted by Incardona<sup>37</sup>,  
547 AhR activation for some HMW PAHs will down-regulate genes associated with  
548 calcium homeostasis, such as SERCA. Perhaps it is this commonality in  
549 molecular events that results in the similar syndrome of abnormalities for  
550 ELS fish resulting from AhR dependent and independent action, one specific  
551 (receptor based) and the other non-specific (baseline toxicity).

## 552 **6. TOXIC UNITS AND THE FALLACY OF ASSUMING HIGHLY TOXIC** 553 **UNKNOWN COMPOUNDS**

554 One of the best ways to understand the contribution of various components  
555 within a mixture is to evaluate the toxic responses with a toxic unit  
556 approach. The base equation is as follows:

$$557 \text{ Sum of toxic units } (\Sigma TU) = \sum_{i=1}^n \frac{[\text{water}]_i}{EC_{p i}}$$

558 Where  $\Sigma TU$  is the sum of toxic units (TU),  $[\text{water}]$  is the water concentration  
559 for the individual PAH,  $EC_p$  is the effective concentration for a given endpoint

560 (e.g., spinal curvature or edema) and  $p$  is proportion responding. For an  
561 example, we can look at the 6 EC50s for phenanthrene and  
562 alkylphenanthrenes (excluding retene) from Turcotte et al.<sup>44</sup>, which range  
563 from 39 – 116  $\mu\text{g/L}$ . If each compound was represented equally (0.166) in  
564 the mixture, the sum of those PAHs would equal 75  $\mu\text{g/L}$  and the sum of  
565 toxic units would equal 1.0. Consider that the sum of concentrations for  
566 individual compounds in a mixture cannot be lower than the EC50 of the  
567 most toxic component when the  $\Sigma\text{TU}=1$ . For the TU analysis, it does not  
568 matter if you have 6 or 100 PAHs; the results will be the same. The sum all  
569 these PAHs cannot be lower than the EC50 for the most toxic compound in  
570 the mixture when  $\Sigma\text{TU}=1$ . This TU approach also assumes additivity and  
571 there are no data to indicate that the toxicity of a mixture of tricyclic PAHs is  
572 more than (or less than) additive. To achieve the low total tricyclic PAH  
573 concentrations (often below 1  $\mu\text{g/L}$ ) proposed by several authors, the  
574 potency for the PAHs found in the WSF would have to be at least 2 or 3  
575 orders of magnitude greater than what was reported in Turcotte et al.<sup>44</sup> and  
576 Geier et al.<sup>45</sup>. There are no data to the contrary and we suspect that these 6  
577 phenanthrenes (excluding retene) are representative of the toxicity expected  
578 for this group of tricyclic PAHs covering a wide range in Kow. Based on this,  
579 we propose that a more reasonable explanation for the observed WSF  
580 toxicity includes the contribution of other unidentified hydrocarbons and  
581 polar compounds causing baseline toxicity or a receptor-mediated response  
582 at far higher concentrations than reported using a limited set of PAHs.

583 As noted above for a toxic unit approach, a mixture of these compounds will  
584 not result in a comparable response at concentrations below that for the  
585 most toxic of alkylated phenanthrenes. It is also highly unlikely that there  
586 are unknown alkylated phenanthrenes that can produce a toxic response in  
587 ELS fish at concentrations far below those on the Turcotte et al.<sup>44</sup> list, which  
588 may be an assumption for those concluding sub ppb concentrations for PAHs

589 causing larval fish abnormalities. The review paper by Hodson<sup>13</sup>  
590 (supplemental data) also supports toxic responses for tricyclic PAHs in the  
591 mid- to high ppb range, not sub-ppb aqueous concentrations as proposed by  
592 many authors.

## 593 **7. CONCLUSIONS AND RECOMMENDATIONS**

594 We would fully embrace the concept of PAHs as the toxic component of  
595 weathered crude oil WSFs if the data supported that conclusion. Such a  
596 framework would make comparing doses across WSFs and WAFs in the lab  
597 and field far easier for chemists and toxicologists. At this time, the critical  
598 experiment(s) to support that claim have not been performed or reported.  
599 This would entail exposing fish embryos to a mixture of the most toxic PAHs  
600 and eliciting responses at the claimed concentrations noted for the WSF from  
601 crude oil. A large number of alkylated phenanthrenes and other tricyclic  
602 PAHs are available commercially, so this is not an impossible task.  
603 Additionally, categorical identification of key receptor(s) and data on  
604 compound potency, including binding affinity, ligand efficacy, and IC50  
605 would be needed to define the MIE, which to date has not been achieved.

606 The only reasonable conclusion is that there are additional compounds in the  
607 WSF that are likely contributing to the toxic response. In light of that, the  
608 most acceptable conclusion is that numerous component(s) of the WSF  
609 (PAHs, aliphatics, heterocyclics, polar compounds, and others) are  
610 contributing to the dose causing the observed syndrome of abnormalities.  
611 There is simply no proof that PAHs alone can cause adverse effects at the  
612 concentrations claimed by multiple authors. There is no doubt that relatively  
613 low levels of total petroleum hydrocarbons (mg/L) result in cardiac  
614 abnormalities leading to a cascade of abnormalities in ELS fish. What is  
615 remarkable about this response is the apparently permanent impairment to  
616 cardiac function when exposure occurs at a critical point during

617 development. Recent studies have demonstrated that fish embryos exposed  
618 to crude oil exhibited decreased swimming performance one year after  
619 exposure<sup>8,119</sup>, which is an important response having population-level  
620 consequences. This is also the case for impaired metabolism and growth at  
621 this critical life stage due to oil exposure<sup>112</sup>, which will affect vitality and the  
622 likelihood of a successful life cycle.

623 Is it a coincidence that a large number of compounds, including  
624 nanoparticles, act by non-specific membrane disruption causing a very  
625 similar suite of responses for ELS fish as that claimed for exceedingly low  
626 levels of tricyclic PAHs via a specific receptor? Perhaps, however this cannot  
627 be confirmed until critical experiments are performed, the receptor is  
628 characterized, and tricyclic PAH potency is quantified.

629 Based on the disparate data presented above, we conclude that the ELS fish  
630 toxicity syndrome elicited by suspected tricyclic PAHs is in fact a result of a  
631 much larger suite of compounds, perhaps some acting by a specific  
632 mechanism of action. We believe that the prevailing studies support a  
633 baseline toxic response for a common syndrome of effects for ELS fish  
634 exposed to crude oil WSF because of the lack of an identified receptor,  
635 similarity in the phenotypic response for other organic compounds and  
636 nanoparticles, and the lack of a well-characterized exposure dose, both  
637 external and internal. This is not to say that specific receptors for PAHs do  
638 not exist and that specific toxic responses, such as metabolic disruption and  
639 cardiac abnormalities are not evident. We would like to add that this  
640 synopsis does not negate any previous research, but strives to encourage a  
641 more scientifically defensible inference of the observed results.

642 The most overriding recommendation we have to offer researchers is to  
643 suspend characterizing the toxicity of the WSF of oil in terms of the  $\Sigma$ PAHs,  
644 or at least include sufficient caveats highlighting the uncertainty. Toxicity for

645 these compounds must be evaluated on an individual basis and in terms of  
646 mixtures with all components known. Only then can we conduct field studies  
647 and provide reasonable levels of total petroleum hydrocarbons or a known  
648 suite of the most toxic compounds that can be used to predict toxic effects  
649 and set protective levels. We also recommend continued research on the  
650 UCM, especially the polar compounds, and their role in ELS fish toxicity.  
651 There is no doubt that the WSF from crude oil causes severe effects to larval  
652 fish and we believe the most appropriate, but not necessarily the best dose  
653 metric at this time would be water or tissue concentrations of total  
654 petroleum hydrocarbons (mg/L or mg/Kg TPH). As noted by Landrum et  
655 al.<sup>56</sup>, the absorbed dose along with information on toxicodynamics for  
656 individual components or classes (if available) is crucial for a more accurate  
657 toxicity assessment of complex mixtures.

658 Additionally, because the ELS fish toxicity syndrome consisting of edemas,  
659 heart rate abnormalities, and morphological abnormalities is a generalized  
660 response to high concentrations of organic compounds acting by a baseline  
661 mechanism, we recommend searching for other genomic, physiological, or  
662 apical endpoints that may be more indicative of crude oil toxicity. Longer  
663 exposures at lower concentrations may also help to reveal specific responses  
664 that can be used to characterize crude oil toxicity.

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**1200 Figure caption**

1201 Figure 1. Data from Table 1 plotted to show relationship between Kow and  
1202 toxicity in early life stage fish exposed to high concentrations of organic  
1203 compounds. Lines show the fitted regression and upper and lower 95%  
1204 confidence bands (blue). All values for compounds with a  $\log_{10}Kow > 0$  and  
1205 baseline toxicity predicted were plotted. a. Mortality, b. Reduce heart rate,  
1206 c. Edema, d. Morphological abnormalities. Edema includes yolk sac and  
1207 cardiac edema and morphological abnormalities includes spinal (mostly),  
1208 eye, and jaw deformities. A few compounds of interest are labeled. Some  
1209 points indistinguishable and designated as (2 or 3). Two additional LC50s  
1210 included for phenanthrene from Gündel et al.<sup>62</sup> and Butler et al.<sup>49</sup>. Data  
1211 from Turcotte et al.<sup>44</sup> included one or more of these sublethal endpoints and  
1212 are were plotted under morphological abnormalities (Figure 1d). Acry =  
1213 acrylamide, DCA = 2,4-dichloroaniline, NP = 4-nonylphenol, BPA = bisphenol  
1214 A, Phen = phenanthrene, Alkyl-Phen = alkylated phenanthrenes, TPH = total  
1215 petroleum hydrocarbons. See Table 1 for details.

6

Figure 1a



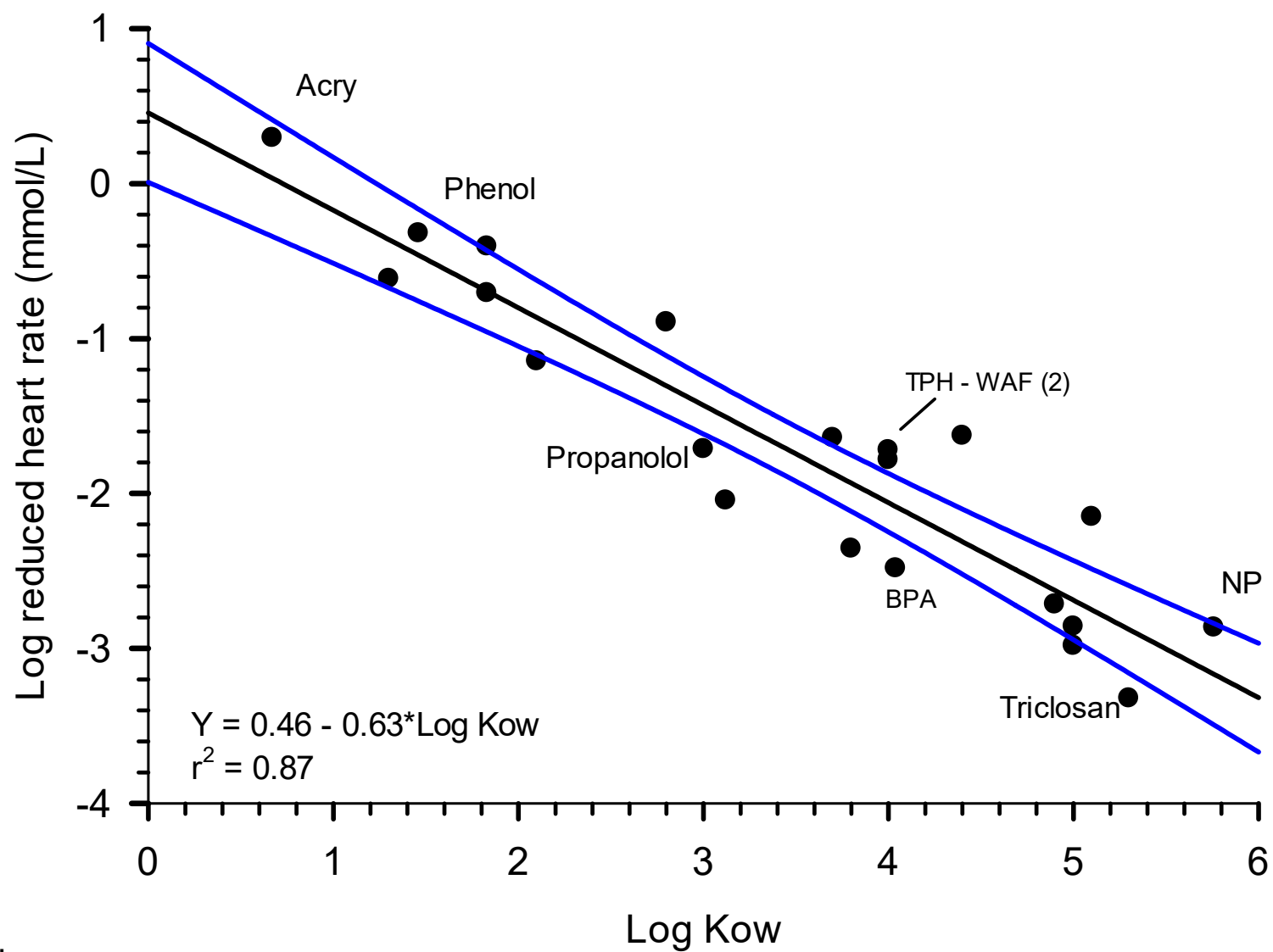


Figure 1b.

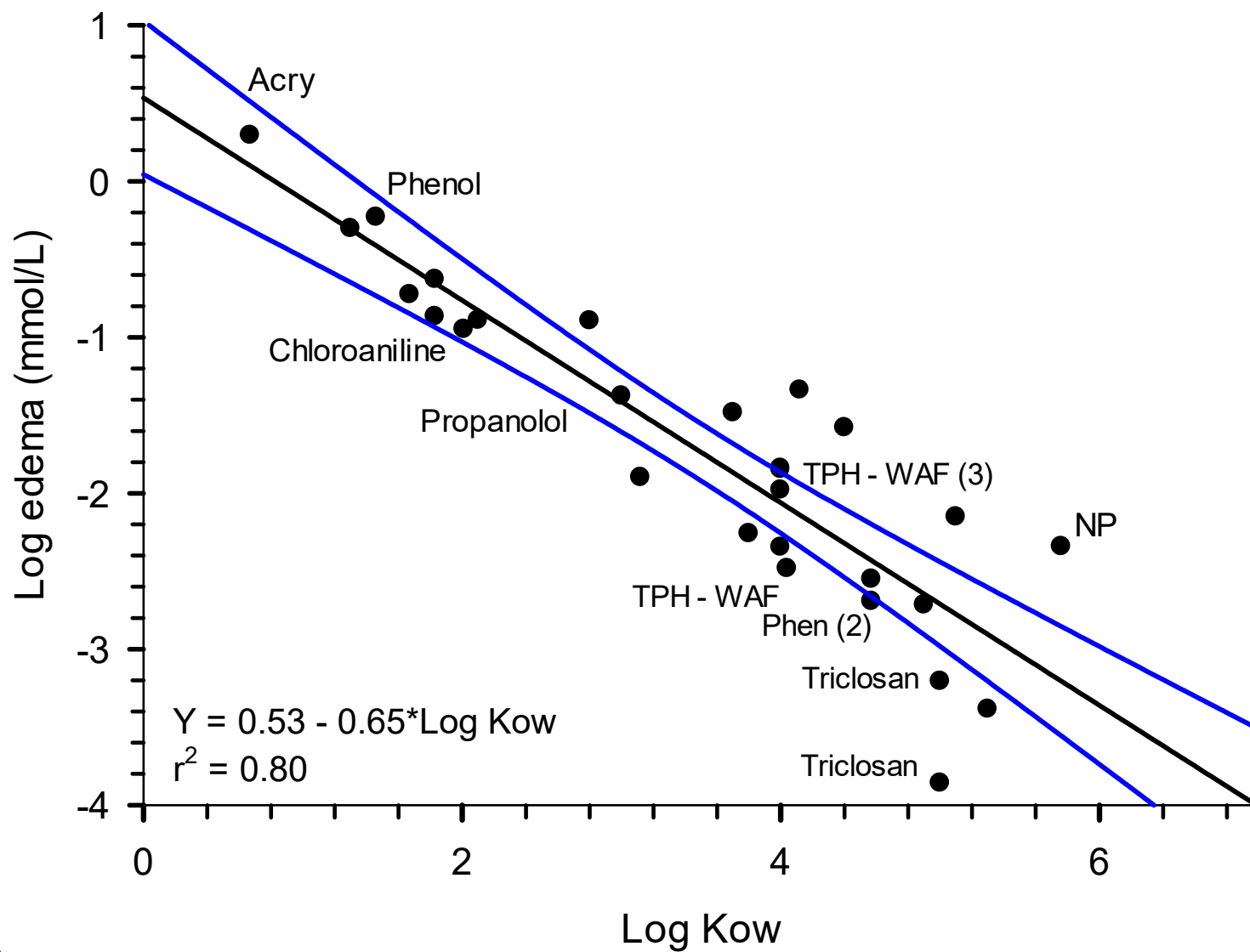


Figure 1c.

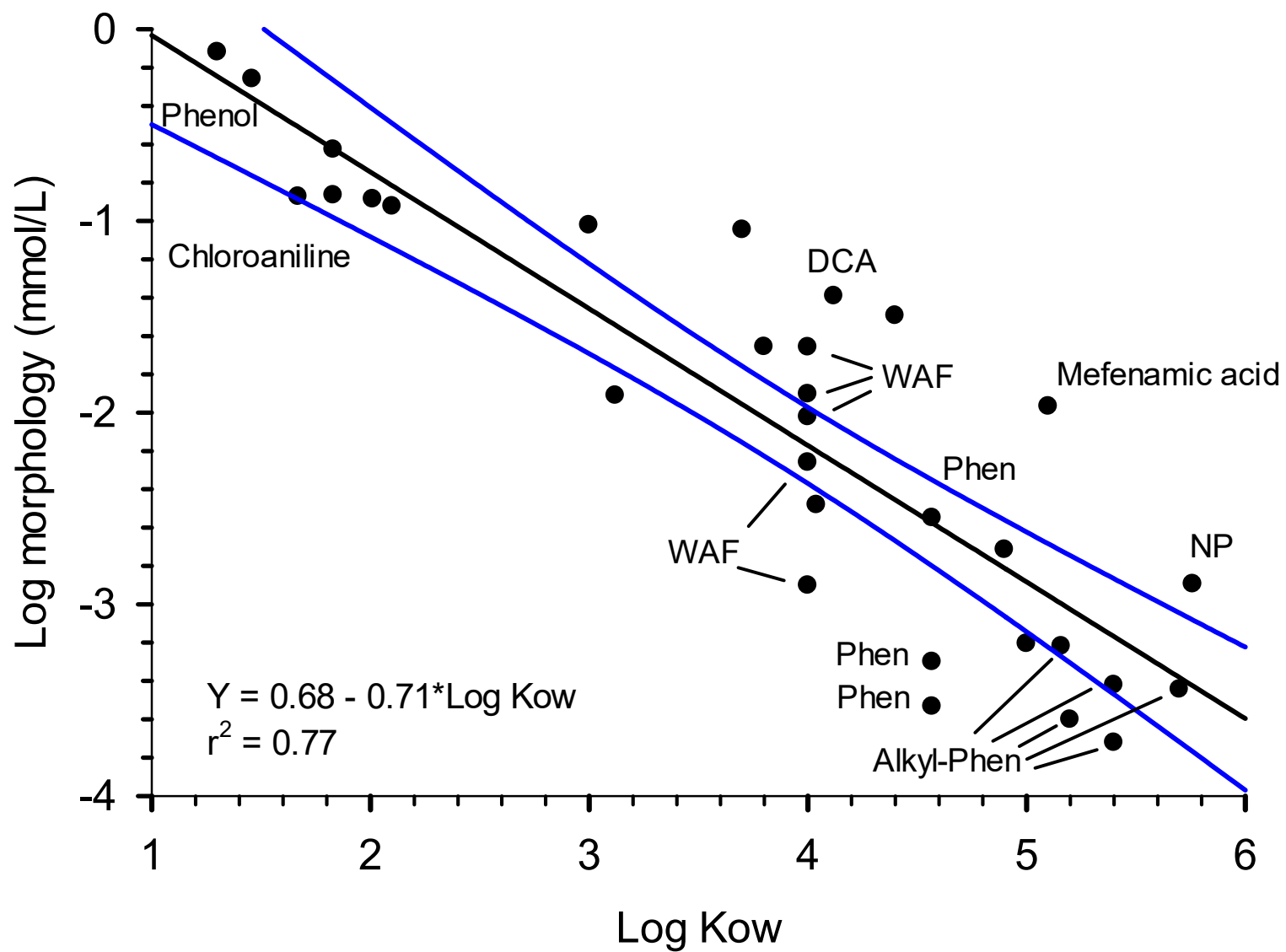


Figure 1d.

Table 1. Toxicity metrics for syndrome of effects observed for early-life stage fish.

| Chemical           | Log <sub>10</sub><br>Kow | LOEC or EC50 (mg/L)      |        |                      |  | LC50<br>(mg/L) | LR50 pred<br>mg/kg (mM) | Baseline<br>tox <sup>1</sup> | ACR          | Ref |
|--------------------|--------------------------|--------------------------|--------|----------------------|--|----------------|-------------------------|------------------------------|--------------|-----|
|                    |                          | Heart<br>rate<br>reduced | Edema  | Morph<br>abnormality | Impaired<br>swim<br>bladder<br>inflation |                |                         |                              |              |     |
| 2,6 Dinitrotoulene | 2.1                      | 13                       | 23.4   | 21.7                 | 12.1                                     | 21.8           | 265 (1.5)               | Y                            | 0.9 –<br>1.8 | 1   |
| 3-Chloroaniline    | 1.83                     | 50                       | 29.9   | 29.9                 | 17.7                                     | 30.2           | 217 (1.7)               | Y                            | 0.6 -<br>1.7 | 1   |
| 4-Chloroaniline    | 1.83                     | > 25                     | > 17.3 | > 17.3               | 9.9                                      | 18.9           | 136 (1.1)               | Y                            | 0.8 –<br>1.9 | 1   |
| 4-nonylphenol      | 5.76                     | 0.3                      | > 1    | 0.28                 | 0.23                                     | 0.29           | 4554 (20.7)             | Y                            | 0.3 -<br>1.3 | 1   |
| Cymoxanil          | 0.67                     | 5                        | 1.6*   | < 7.2                | < 5.2                                    | 4.3            | 6.1 (0.03)              | ?                            | 0.6 –<br>2.7 | 1   |
| Diclofenac         | 4.4                      | 7                        | 7.8*   | 9.5*                 | 7.9                                      | 6.9            | 7565 (25.6)             | Y                            | 0.7 -<br>1.0 | 1   |
| Fenitrothion       | 3.12                     | 2.5                      | 3.5    | 3.4                  | 2.25                                     | 3.2            | 286 (1.0)               | Y                            | 0.9 –<br>1.4 | 1   |
| Lovastatin         | 4.31                     | 0.01                     | 0.008  | 0.008                | 0.006                                    | 0.12           | 110 (0.27)              | ?                            | 15 -<br>20   | 1   |
| Mefenamic acid     | 5.1                      | 1.7                      | 1.7    | 2.6                  | 1.7                                      | 1.6            | 6904 (28.6)             | Y                            | 0.6 –<br>0.9 | 1   |
| Mercury (II)       | –                        | NR                       | 26.4   | 20.7                 | 11.0                                     | 32.4           | –                       |                              | 1.2 -<br>2.9 | 1   |
| Phenol             | 1.46                     | 45                       | 55.4   | 51.8                 | 33.0                                     | 60.4           | 210 (2.23)              | Y                            | 1.1 -<br>1.8 | 1   |

| Chemical            | Log <sub>10</sub><br>Kow | LOEC or EC50 (mg/L)      |                            |                       |  | LC50<br>(mg/L) | LR50 pred<br>mg/kg<br>(mM) | Baseline<br>tox <sup>1</sup> | ACR          | Ref |
|---------------------|--------------------------|--------------------------|----------------------------|-----------------------|--|----------------|----------------------------|------------------------------|--------------|-----|
|                     |                          | Heart<br>rate<br>reduced | Edema                      | Morph<br>abnormality  | Impaired<br>swim<br>bladder<br>inflation |                |                            |                              |              |     |
| Propranolol         | 3.0                      | 5                        | 10.9                       | 24.6                  | 5.3                                      | 8.8            | 623 (2.4)                  | Y                            | 0.4 –<br>1.8 | 1   |
| p-Toluidine         | 1.3                      | 26                       | 53.4                       | 81.4                  | 39.9                                     | 64.6           | 164 (1.5)                  | Y                            | 0.8 –<br>2.5 | 1   |
| Silver nitrate      | –                        | 0.05                     | > 0.23                     | > 0.23                | > 0.23                                   | 0.08           | –                          |                              | 0.3 –<br>1.6 | 1   |
| Tebuconazole        | 3.7                      | 7                        | 10.1                       | 27.6*                 | 11.0                                     | 10.8           | 3010 (9.80)                | Y                            | 0.4 –<br>1.5 | 1   |
| Triclosan           | 5                        | 0.3                      | > 0.18                     | > 0.18                | 0.14                                     | 0.10           | 231 (0.80)                 | Y                            | 0.7 –<br>3.3 | 1   |
| Acrylamide @        | 0.67                     | 140                      | 140                        | NR                    | NR                                       | 140            | 197 (2.8)                  | Y                            | 1.0          | 2   |
| Triclosan           | 5                        | 0.4                      | 0.04 -0.4                  | NR                    | NR                                       | NR             | 887 (3.1)                  | Y                            | 1.0 –<br>10  | 3   |
| Verapamil           | 3.8                      | 2                        | 1 – 5                      | 10.0                  | NR                                       | 16.4           | 436 (0.96)                 | Y                            | 1.6 –<br>8.2 | 4   |
| Bisphenol A         | 4.04                     | 0.75 –<br>3.0            | 0.75 –<br>3.0              | 0.75 – 3.0            | 0.75 – 3.0                               | 3.96           | 2146 (9.4)                 | Y                            | 5.3          | 5   |
| Phenanthrene        | 4.57                     | NR                       | 0.36<br>(3.2 mM<br>wb)71%^ | 0.052<br>(0.2 mM wb)^ | 0.059<br>(0.3 mM<br>wb)^                 | 0.31           | 382 (2.7)^                 | Y                            | 0.9 –<br>6.0 | 6   |
| 2-Nitroaniline      | 1.67                     | NR                       | 26                         | 18.5                  | 9.6                                      | 40.1           | 210 (1.5)                  | Y                            | 1.5 –<br>4.2 | 7   |
| 2,4-Dichloroaniline | 4.12                     | NR                       | 9.5                        | 8.4                   | 3.5                                      | 12.3           | 7797 (37)                  | Y                            | 1.3 –<br>3.5 | 7   |
| 2-Chloroaniline     | 2.01                     | NR                       | 14.3                       | > 16.5                | 4.4                                      | 6.9            | 70.4 (0.6)                 | Y                            | 0.4 –<br>1.6 | 7   |

| Chemical                       | Log <sub>10</sub> Kow | LOEC or EC50 (mg/L) |  |                                      |                                 | LC50 (mg/L)      | LR50 pred mg/kg (mM)   | Baseline tox <sup>1</sup> | ACR       | Ref |
|--------------------------------|-----------------------|---------------------|--|--------------------------------------|---------------------------------|------------------|------------------------|---------------------------|-----------|-----|
|                                |                       | Heart rate reduced  | Edema                                    | Morph abnormality                    | Impaired swim bladder inflation |                  |                        |                           |           |     |
| Phenanthrene                   | 4.57                  | NR                  | NR                                       | 0.089                                | NR                              | –                | 136 (0.76)             | Y                         |           | 8   |
| 1-Methylphenanthrene           | 5.16                  | NR                  | NR                                       | 0.116                                | NR                              | –                | 563 (2.9)              | Y                         |           | 8   |
| 2-Ethylphenanthrene            | 5.2                   | NR                  | NR                                       | 0.048                                | NR                              | –                | 252 (1.3)              | Y                         |           | 8   |
| 2,7- Dimethyl phenanthrene     | 5.4                   | NR                  | NR                                       | 0.039                                | NR                              | –                | 303 (1.5)              | Y                         |           | 8   |
| 1,7- Dimethyl phenanthrene     | 5.4                   | NR                  | NR                                       | 0.078                                | NR                              | –                | 605 (2.9)              | Y                         |           | 8   |
| 7-ethyl, 1-Methyl phenanthrene | 5.7                   | NR                  | NR                                       | 0.079                                | NR                              | –                | 1103 (5.0)             | Y                         |           | 8   |
| Butyl benzyl phthalate         | 4.9                   | 0.6                 | 0.6                                      | 0.6                                  | 0.6                             | 1.2 <sup>#</sup> | 3500 (11.2)            | Y                         | 2         | 9   |
| Triadimefon                    | 2.8                   | 37.4                | 37.4                                     | NR                                   | NR                              | 47.2             | 2259 (7.7)             | Y                         | 1.3       | 10  |
| Triclocarban                   | 5.3                   | 0.15                | 0.13 <sup>&amp;</sup>                    | NR                                   | 0.13                            | 0.22             | 1372 (4.0)             | Y                         | 1.5 – 1.7 | 11  |
| Phenanthrene @                 | 4.57                  | NR                  | 0.5 (1.8 mM wb) <sup>^</sup><br>9%=signf | 0.5 (1.8 mM wb) <sup>^</sup><br>100% | NR                              | 0.5 (44%)        | 316 (1.8) <sup>^</sup> | Y                         | 1.0       | 12  |
| Mono & diaromatics             | –                     | NR                  | 1.5 – 2                                  | 1.5 – 2                              | NR                              | 2.2 (10 dph)     | –                      | Y                         | 1.1 – 1.5 | 13  |

| Chemical     | Log <sub>10</sub> Kow | LOEC or EC50 (mg/L) |                   |                                       |                                 | LC50 (mg/L)  | LR50 pred mg/kg (mM) | Baseline tox <sup>1</sup> | ACR       | Ref |
|--------------|-----------------------|---------------------|-------------------|---------------------------------------|---------------------------------|--------------|----------------------|---------------------------|-----------|-----|
|              |                       | Heart rate reduced  | Edema             | Morph abnormality                     | Impaired swim bladder inflation |              |                      |                           |           |     |
| TPH in WAF £ | 4                     | NR                  | 2.85 <sup>γ</sup> | NR                                    | NR                              | 7.1          | 3,558 (17.8)         | Y                         | 2.5       | 14  |
| TPH in WAF   | 4                     | NR                  | 0.9               | 1.9                                   | NR                              | 1.3 (larvae) | 652 (3.3)            | Y                         | 0.7 - 1.4 | 15  |
| TPH in WAF   | 4                     | NR                  | NR                | 0.25 - 0.97 (morphological and edema) | NR                              | NR           | -                    | Y                         |           | 16  |
| TPH in WAF   | 4                     | NR                  | NR                | 1.1                                   | NR                              | 1.1          | 551 (2.8)            | Y                         | 1.0       | 17  |
| TPH in WAF   | 4                     | 3.3                 | 2.9 - 3.5         | > 4.4                                 | NR                              | 2.6 - 3.4    | 1,504 (7.5)          | Y                         | 0.7 - 1.0 | 18  |
| TPH in WAF   | 4                     | 3.6 - 3.9           | 0.32 - 3.3        | 1.5 - 3.5                             | 0.32 - 1.0                      | 3.6 - 4.3    | 2,005 (10.0)         | Y                         | 1.1 - 6.0 | 19  |

Most Log<sub>10</sub> Kow values obtained from ChEMBL and PubChem. Edema includes yolk sac and pericardial edema and morphological abnormalities include spinal curvature, jaw, and eye. All studies conducted with embryos and larvae ranging from 1 - 17 dpf, unless noted. LR50pred for Turcotte et al.<sup>45</sup> based on EC50, which combined all edemas and morphological abnormalities into one EC50 value. # is LC20 and & = yolk sac absorption delay. All sublethal values as LOEC or EC<sub>p</sub>, where p=percentage and most were 50%. LR50pred is the predicted tissue concentration causing mortality using a rearranged standard BCF equation<sup>64</sup>. NR = not reported. @ = one concentration tested. ^ data from study (sac fry or embryo concentrations measured). Wb = whole body. £ includes dispersant (e.g., Corexit), γ = blue-sac index (includes edemas and morphological deformities). Baseline tox<sup>1</sup> indicates if the predicted tissue concentration associated with the LC50 or EC<sub>p</sub> falls within the range observed for baseline toxicity (mean = 1.8 mM; 95% CI = 0.18 - 18.0 mM<sup>65</sup>). Y=yes. Comparison of concentrations for LC50 and sublethal responses expressed as acute-to-chronic ratio (ACR) (lethal/sublethal), which is LC50/LOEC or EC<sub>p</sub>. ACR and LR50 used to determine if baseline toxicity possible. TPH = total petroleum hydrocarbons (not all compounds in WAF measured). Studies that reported TPH using

fluorescence were not included because of under reporting. Baseline tox prediction for TPH assumes an average  $\log_{10}Kow=4$  and molecular weight of 200 (see text). Weathered oil used in study 14 and unweathered oil for 15 - 19.

1. Horie et al.<sup>66</sup>, 2. Huang et al.<sup>67</sup>, 3. Saley et al.<sup>68</sup>, 4. Steinbach et al.<sup>69</sup>, 5. Kankaya et al.<sup>70</sup>, 6. Vergauwen et al.<sup>71</sup>, 7. Horie et al.<sup>72</sup>, 8. Turcotte et al.<sup>45</sup>, 9. Sun and Liu<sup>73</sup>, 10. Liu et al.<sup>74</sup>, 11. Dong et al.<sup>75</sup>, 12. Hawkins et al.<sup>52</sup>, 13. Carls and Rice<sup>76</sup>, 14. Greer et al.<sup>77</sup>, 15. Pollino and Holdway<sup>78</sup>, 16. Kocan et al.<sup>79</sup>, 17. Karam et al.<sup>80</sup>, 18. Philibert et al.<sup>81</sup>, 19. Li et al.<sup>82</sup>. Fish species studied in refs 1-3, 6-7, 9-11, and 18-19 was *Danio rerio*. 4, *Cyprinus carpio*. 5, *Chalcalburnus tarichi*. 8, *Oryzias latipes*. 12, *Oncorhynchus mykiss*. 13, *Theragara Chalcogramma*. 14, *Clupea harengus*. 15, *Melanotaenia fluviatilis*. 16, *Clupea pallasii*. 17, *Epinephelus coioides*.