



1 **Assessment and Intercomparison of NOAA Daily Optimum Interpolation Sea**  
2 **Surface Temperature (DOISST) version 2.1**

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20 **Abstract**

21 NOAA Daily Optimum Interpolation Sea Surface Temperature (DOISST) has recently  
22 been updated to v2.1 (January 2016–present). Its accuracy may impact the climate assessment,  
23 monitoring and prediction, and environment-related applications. Its performance, together with  
24 those of seven other well-known sea surface temperature (SST) products, is assessed by  
25 comparison with buoy and Argo observations in the global oceans on daily  $0.25^{\circ} \times 0.25^{\circ}$  resolution  
26 from January 2016 to June 2020. These seven SST products are NASA MUR25, GHRSSST GMPE,  
27 BoM GAMSSA, UKMO OSTIA, NOAA GPB, ESA CCI, and CMC.

28 Our assessments indicate that biases and root-mean-square-difference (RMSDs) in  
29 reference to all buoys and all Argo floats are low in DOISST. The bias in reference to the  
30 independent 10% of buoy SSTs remains low in DOISST, but the RMSD is slightly higher in  
31 DOISST than in OSTIA and CMC. The biases in reference to the independent 10% of Argo  
32 observations are low in CMC, DOISST, and GMPE; and RMSDs are low in GMPE and CMC.  
33 The biases are similar in GAMSSA, OSTIA, GPB, and CCI whether they are compared against all  
34 buoys, all Argo, or the 10% of buoy or 10% of Argo observations, while the RMSDs against Argo  
35 observations are slightly smaller than those against buoy observations. These features indicate a  
36 good performance of DOISST v2.1 among the eight products, which may benefit from ingesting  
37 the Argo observations by expanding global and regional spatial coverage of in situ observations  
38 for effective bias correction of satellite data.

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## 41 **1. Introduction**

42           The variation of globally averaged sea surface temperature (SST) is one of the most-used  
43 indicators of Earth's climate change due to the vast ocean surface area (IPCC 2013, 2019; EPA  
44 2014; Karl et al. 2015; Fyfe et al. 2016). Climate variations over the global oceans are  
45 characterized by many SST modes such as the El Niño–Southern Oscillation (ENSO), the Pacific  
46 decadal variability (PDV), the Atlantic multidecadal oscillation (AMO), the tropical Atlantic SST  
47 mode, and the Indian Ocean dipole (IOD; Philander 1990; Latif and Barnett 1994; Schlesinger and  
48 Ramankutty 1994; Mehta 1998; Saji et al. 1999). A reliable SST product is critical to many  
49 applications in ocean data assimilation, atmospheric simulation, ocean prediction, climate  
50 monitoring and assessment, future climate projection, and calibration of satellite observations  
51 (Saravanan 1998; Czaja and Frankignoul 1999; Goddard and Mason 2002; Liu et al. 2006;  
52 Schubert et al. 2009; Ashfaq et al. 2011; Liang et al. 2019; Iizuka and Nakamura 2019; Dragaud  
53 et al. 2019; Aumann et al. 2020; Ciani et al. 2020).

54           The reliability of SST products strongly depends on the availability of SST observations,  
55 among other factors. In situ SST observations are available as early as 1772 in the International  
56 Comprehensive Ocean-Atmosphere Data Set (ICOADS; Freeman et al. 2017). At that time, SST  
57 observations were used by commercial sailing ships to locate the Gulf Stream (Franklin et al. 1768;  
58 Richardson 1980; Emery 2003). However, SST observations made before the 1950s had two  
59 shortcomings: (1) large systematic biases and (2) low spatial coverage over the global oceans  
60 (Folland and Parker 1995; Kennedy et al. 2011a, 2011b, 2019; Huang et al. 2015a). Since the  
61 1950s, these shortcomings have been greatly reduced.

62           Since the 1850s, many in situ SST data products have been developed for climate and  
63 weather-related research and applications. Examples of well-known in situ SST products include

64 the National Oceanic and Atmospheric Administration (NOAA) Extended Reconstructed SST  
65 (ERSST) in monthly  $2^{\circ}\times 2^{\circ}$  resolution starting from 1854 (Smith et al. 1996; Smith and Reynolds  
66 2003, 2004; Huang et al. 2015a, 2017, 2020a); the UK Met Office Hadley SST (HadSST) in  
67 monthly  $5^{\circ}\times 5^{\circ}$  resolution starting from 1850 (Kennedy et al. 2011a, 2011b, 2019); the Hadley Ice  
68 and SST (HadISST) in monthly  $1^{\circ}\times 1^{\circ}$  resolution starting from 1870 (Rayner et al. 2003); and the  
69 Japan Meteorological Office Centennial Observation-Based Estimates of SSTs (COBE-SST) in  
70 daily  $1^{\circ}\times 1^{\circ}$  resolution starting from 1850 (Ishii et al. 2005; Hirahara et al. 2014).

71         Since the early 1980s, satellite observations have been providing the possibility of global  
72 high-resolution SST products in daily  $0.25^{\circ}$  or finer resolutions. However, satellite-based SST  
73 observations may exhibit biases due to instrumental aging and/or contaminations of clouds and  
74 atmospheric aerosols (Zhang et al. 2004); biases are generally adjusted using in situ SSTs with  
75 various methods (Reynolds et al. 2007; Brasnett 2008; Merchant et al. 2014; Maturi et al. 2017;  
76 Good et al. 2020). Examples of well-known satellite-based SST products are the NOAA Daily  
77 Optimum Interpolation SST (DOISST) in  $0.25^{\circ}$  resolution starting from 1981 (Reynolds et al.  
78 2007; Huang et al. 2021), the UK Met Office Operational Sea Surface Temperature and Sea Ice  
79 Analysis (OSTIA) in  $0.05^{\circ}$  resolution starting from 1981 (Stark et al. 2007; Donlon et al. 2012;  
80 Good et al. 2020), and the European Space Agency (ESA) Climate Change Initiative (CCI) SST  
81 in  $0.05^{\circ}$  resolution (Merchant et al. 2014, 2019). Among these products, CCI uses pure satellite-  
82 based observations without explicitly blending in situ observations, while other SST products  
83 homogenize the satellite and in situ observations and blend them together.

84         SST products were assessed commonly by intercomparisons against independent  
85 observations such as those from Argo floats or the ensemble median of SST products in regional  
86 and global oceans (Barton 2007; Iwasaki et al. 2008; Xie et al. 2008; Martin et al. 2012; Huang et

87 al. 2019; Fiedler et al. 2019; Woo and Park 2020; Yang et al. 2020). The intercomparison system  
88 (<https://www.star.nesdis.noaa.gov/socd/sst/squam>) noted a declined quality of DOISST v2.0 after  
89 2016. DOISST has now been upgraded to v2.1 (Huang et al. 2021) to improve DOISST quality.

90 This study is to assess the quality of DOISST v2.1 after 2016, particularly its spatial and  
91 temporal structures of biases when compared to other similar available SST products. This is  
92 important as this topic has been discussed in several GHRSSST meetings. Section 2, Data and  
93 Methods, describes the eight commonly used daily SST products, SST observations from buoys,  
94 Argo floats, and buoys specially designed for the Upper Temperature of the polar Oceans  
95 (UpTempO; Steele et al. 2017). Section 3, Intercomparisons, is an assessment of these eight  
96 products against buoy and Argo observations and an evaluation of those eight products against  
97 independent buoy, Argo, and UpTempO observations over the global oceans from January 2016  
98 to June 2020. Section 4, Discussions, explores the reasons for the resulting differences between  
99 the SST products and observations, and the approach needed to provide reliable evaluation of SST  
100 products when all or almost all in situ data are ingested. The conclusions of the study are presented  
101 in Section 5.

## 102 **2. Data and Methods**

### 103 **2.1 Data from eight SST products**

#### 104 **(a) DOISST**

105 The NOAA DOISST (Table 1) is a global daily product with a resolution of  $0.25^\circ$  starting  
106 from 1981 (Reynolds et al. 2007; Huang et al. 2021). DOIST blends in situ measurements and  
107 satellite-derived observations from the Advanced Very High Resolution Radiometer (AVHRR).  
108 The AVHRR SSTs are adjusted to the buoy SSTs at the nominal depth of 0.2 m (Reynolds et al.

109 2007; Huang et al. 2013, 2015b). In ice-covered regions, the SST proxy from ice concentration is  
110 blended with SSTs from ships, buoys, and AVHRR, if available.

111 DOISST has been updated from v2.0 to v2.1 from January 2016 and onward, while data remain  
112 unchanged from 1981 to 2015. The updates include (Huang et al. 2021):

113 (a) Satellite NOAA-19 is replaced by MetOp-B; MetOp-A remains unchanged (MetOp-A  
114 and MetOp-B are European, polar-orbiting meteorological satellites);

115 (b) The SST proxy using the regression between ice concentration and SST is replaced by  
116 using the freezing-point temperature in ice-covered oceans (Banzon et al. 2020);

117 (c) The estimated ship SST bias is reduced from 0.14°C to 0.01°C. The biases of 0.14°C  
118 and 0.01°C are based on observations in periods 1982–2000 and 2016–2019, respectively;

119 (d) Ship and buoy observations from Daily ICOADS (ICOADS-D) Release 3.0.2 (R3.0.2;  
120 Liu et al. 2020) are used instead of NOAA National Centers for Environmental Prediction (NCEP)  
121 Global Telecommunications System (GTS) receipts; and

122 (e) Argo float observations (Argo 2000; Roemmich et al. 2001) above 5 m depth are  
123 included to ensure the best quality of SST production using all available in situ observations.

124 Note: Argo float observations are first used as independent data to validate the improvements in  
125 the updates from steps 1–4 and, in step 5, are included in DOISST in operational production.

126 To assess the quality of DOISST, additional experiments (DOISST\_Buoy90 and  
127 DOISST\_Argo90; Table 1) were designed following Reynolds et al. (2002). DOISST\_Buoy90 is  
128 the same as DOISST except that the 90% of buoy drifters (Buoy90) is randomly selected and  
129 ingested and the remaining 10% of buoy drifters (Buoy10) is reserved for independent verification

130 and intercomparison. Similarly, DOISST\_Argo90 is the same as DOISST except that the 90% of  
131 Argo drifters (Argo90) is randomly selected and ingested and the remaining 10% Argo floats  
132 (Argo10) is reserved for verification. It is assumed that the reserved Buoy10 and Argo10  
133 drifters/floats are independent from the Buoy90 and Argo90 used in experiments  
134 DOISST\_Buoy90 and DOISST\_Argo90, respectively.

### 135 (b) MUR25

136 The NASA Multi-scale Ultra-high Resolution (MUR) v4.1 analysis is a daily SST product  
137 in 0.01° resolution starting from 2002 (Chin et al. 2017). MUR uses wavelets as basis functions in  
138 an optimal interpolation approach. MUR v4.1 includes nighttime SSTs derived from AVHRR,  
139 Advanced Microwave Scanning Radiometer-EOS (AMSR-EOS), AMSR2, the Moderate  
140 Resolution Imaging Spectroradiometers (MODIS), the US Navy microwave WindSat radiometer,  
141 and in situ SST observations from the NOAA iQuam project (Xu and Ignatov 2010). iQuam SSTs  
142 include observations from ships, drifting and moored buoys, and Argo floats. Ship and buoy  
143 observations in iQuam are from ICOADS (Freeman et al. 2017) and the U.S. Global Ocean Data  
144 Assimilation Experiment/Fleet Numerical Meteorology and Oceanography Center (FNMOC).  
145 Biases in each satellite sensor are adjusted after the differences between the retrieved and in situ  
146 SSTs are assessed. MUR25 v4.1 data are available at [https://podaac.jpl.nasa.gov/dataset/MUR-](https://podaac.jpl.nasa.gov/dataset/MUR-JPL-L4-GLOB-v4.1)  
147 [JPL-L4-GLOB-v4.1](https://podaac.jpl.nasa.gov/dataset/MUR-JPL-L4-GLOB-v4.1). For method comparisons, the coarser resolution (0.25°) version MUR v4.2  
148 (MUR25; Table 1) is used in this study.

### 149 (c) GMPE

150 The Group High Resolution SST (GHRSSST) Multi-Product Ensemble (GMPE; Table 1)  
151 data are a daily near-real-time SST product with a horizontal resolution of 0.25° in latitude and

152 longitude, starting from 2009. The GMPE selects the median SST from the GHRSSST products  
153 (<http://ghrsst-pp.metoffice.gov.uk/ostia-website/gmpe-monitoring.html>; Martin et al. 2012; Dash  
154 et al. 2012; Fiedler et al. 2019). The current GHRSSST products include CCI, OSTIA, Canada  
155 Meteorological Center (CMC) SST (Brasnett 1997, 2008; Brasnett and Colan 2016), NOAA  
156 DOISST, UK Met Office Hadley Centre Sea Ice and SST (HadISST; Rayner et al. 2003; Titchner  
157 and Rayner 2014), and Japan Meteorological Agency Merged Global Daily SST (MGDSST;  
158 Kurihara et al. 2006). Because selecting the median produces a less-biased SST product, GMPE  
159 has frequently been used as a reference to assess the performance of available SST products (Yang  
160 et al. 2020 and references therein). GMPE v2 (2016) and v3 (2017–2020) are used for method  
161 comparisons in this study. The use of DOISST in GMPE may result in GMPE’s dependence on  
162 Argo floats and other in situ observations.

#### 163 **(d) GAMSSA**

164 The Bureau of Meteorology (BoM) Global Australian Multi-Sensor SST Analysis v1  
165 (GAMSSA v1; Table 1) is a daily data product produced by optimum interpolation in 0.25°  
166 resolution starting from 2008 (Zhong and Beggs 2008; Beggs et al. 2011, 2020). GAMSSA v1  
167 uses SST data derived from AVHRR, the Advanced Along Track Scanning Radiometer (AATSR),  
168 the AMSR2, and in situ SST observations from ships as well as drifting and moored buoys from  
169 GTS. Biases in AVHRR and AMSR2 SSTs are adjusted using drifting buoy SSTs. The skin SST  
170 data derived from AATSR are first converted to the foundation SST (Donlon et al. 2002) and then  
171 merged with other SST data.

172

173



174 **(e) OSTIA**

175 The UK Met Office OSTIA v2 (Table 1) produces global daily SST and ice concentration  
176 data using an optimum interpolation method in 0.05° resolution starting from 2006 (Stark et al.  
177 2007; Donlon et al. 2012; Good et al. 2020). OSTIA v2 includes satellite SSTs derived from  
178 AVHRR, AMSR2, the Visible Infrared Imager Radiometer Suite (VIIRS), the Sea and Land  
179 Surface Temperature Radiometer (SLSTR), the Spinning Enhanced Visible and Infrared Imager  
180 (SEVIRI), and in situ SSTs from ships as well as drifting and moored buoys. The ship and buoy  
181 SSTs are from the World Meteorological Organization's (WMO) GTS. SSTs from drifting and  
182 moored buoys and VIIRS nighttime SSTs are used to adjust the biases in other satellite-derived  
183 SSTs using matchups within 25 km and 1 day.

184 **(f) GPB**

185 The NOAA Geo-Polar Blended v1 (GPB; Table 1) is a global daily SST product in 0.05°  
186 resolution starting from 2014 (Maturi et al. 2017). GPB v1 includes only nighttime SSTs derived  
187 from AVHRR, VIIRS, the Geostationary Operational Environmental Satellite (GOES) imager, the  
188 Japanese Advanced Meteorological Imager (JAMI), and in situ SSTs from ships and NOAA  
189 iQuam drifting and moored buoys (Xu and Ignatov 2010). The ship and buoy observations in  
190 iQuam are from ICOADS (Freeman et al. 2017) and the U.S. FNMOC. GPB v1 employs a  
191 rigorous, multiscale, optimum interpolation methodology and a data-adaptive correlation length  
192 scale to reduce noises. Biases in satellite-derived SSTs are first corrected by regressing them to in  
193 situ SSTs, then by the difference between satellite and GPB analysis of the previous day, and  
194 finally adjusted by an independent NCEP SST product of Thiébaux et al. (2003) to avoid long-  
195 term drift of GPB. It should be noted that the biases in satellite SSTs in Thiébaux et al. (2003) are

196 adjusted by the SST difference within a seven-day running window between satellite and in situ  
197 ship and buoy observations, which is similar to that applied in DOISST.

#### 198 **(g) CCI**

199 The ESA CCI is a daily SST product in  $0.05^\circ$  resolution (Merchant et al. 2014; 2019). CCI  
200 applies a variational assimilation scheme to produce a gap-filled estimate of daily mean SST. CCI  
201 v2.0 is available from 1981 to 2019, and v2.1 is available from 1981 to 2016  
202 ([http://dap.ceda.ac.uk/neodc/esacci/sst/data/CDR\\_v2/Analysis/L4/v2.1](http://dap.ceda.ac.uk/neodc/esacci/sst/data/CDR_v2/Analysis/L4/v2.1)). In this study, v2.0 data  
203 from 2016 to 2019 (Table 1) is used for comparison. The CCI SST provides the mean SST at 0.2  
204 m depth, which is close to the nominal depth of drifting buoy measurements. The CCI SST includes  
205 both AVHRR (satellites NOAA-7, 9, 11-12, and 14-19) and Along-Track Scanning Radiometer  
206 (ATSR) series (ATSR, ATSR2, and AATSR). The biases in satellite observations are adjusted by  
207 re-calibrating radiances using a reference channel. Therefore, the CCI SST is not explicitly  
208 dependent on in situ observations (Merchant et al. 2014). However, Numerical Weather Prediction  
209 (NWP) fields from the European Centre for Medium-range Weather Forecasting (ECMWF) Re-  
210 Analysis Interim (ERA-Interim; Dee et al. 2011) are used as auxiliary information for cloud  
211 detection and retrieval, which may result in an implicit dependence of CCI SST on in situ  
212 observations.

#### 213 **(h) CMC**

214 The CMC v3 (Table 1) is a daily SST in  $0.1^\circ$  resolution starting from 2016 to present  
215 (Brasnett 1997, 2008; Brasnett and Colan 2016). The early version CMC v2 from 1991 to 2017 is  
216 available at <https://podaac.jpl.nasa.gov/dataset/CMC0.2deg-CMC-L4-GLOB-v2.0>. CMC v3  
217 merges AVHRR SSTs from satellites NOAA-18 and 19, METOP-A and B, AMSR-EOS, and in

218 situ SSTs from ships and drifting buoys of ICOADS. Biases in satellite observations are estimated  
219 from the differences between satellite and in situ pairs within an area of  $5^\circ$  in latitude and  $10^\circ$  in  
220 longitude, while the pairs are matched within 25 km. The median difference within the  $5^\circ \times 10^\circ$  area  
221 is selected to adjust the biases in the satellite observations.

## 222 **2.2 In situ data**

### 223 **(a) Buoy and Argo SSTs**

224 Drifting and moored buoys at the ocean surface measure the SSTs at depth of 0.2–1.0 m  
225 (Castro et al. 2012). The temperature measurements of Argo floats above 5 m depth are used as  
226 SST observations in SST analyses and/or evaluations (Roemmich et al. 2015; Huang et al. 2017,  
227 2021). Buoy SSTs are ingested into seven out of the eight products except for CCI, and Argo SSTs  
228 are used in DOISST v2.1 and MUR25 (Table 1). In this study, both buoy and Argo SSTs are first  
229 used to assess the eight SST products we examine. To further evaluate DOISST v2.1, the Buoy90  
230 and Argo90 SSTs are included in experiments DOISST\_Buoy90 and DOISST\_Argo90,  
231 respectively, which are virtually the same as DOISST. The independent data Buoy10 and Argo10  
232 SSTs are reserved to evaluate DOISST and assess the quality of all eight SST products. Buoy and  
233 Argo SSTs are first screened with quality control (QC) procedures that filter out the outliers  
234 deviated from the first-guess by more than four times standard deviation (STD) as described in  
235 Reynolds et al. (2007), and then compared against the eight SST products described in Section 2.1.  
236 The first-guess at the current date is the sum of analysis at the previous date and climatological  
237 difference between the present and previous dates. The buoy and Argo SSTs are processed into  
238 daily  $0.25^\circ \times 0.25^\circ$  resolutions and compared against those eight SST products. It should be noted  
239 that buoy and Argo SSTs passed the same QC procedures whether they were ingested into the  
240 DOISST or used for evaluation purpose, which may give some trivial advantage in validating

241 DOISST over other products. It is assumed that the reserved Buoy10 and Argo10 drifters are  
242 independent from the Buoy90 and Argo90, respectively.

### 243 **(b) Arctic buoy SSTs**

244 The buoy SSTs from ICOADS in the Arctic region may be biased because (1) the SST  
245 thermistor sensors may be frozen, pushed up, and exposed to the air or (2) ICOADS provides SSTs  
246 from the measurements of the topmost thermistor (likely at 0.0 m depth) that may easily be frozen.  
247 To assess the SST products in the Arctic region, the SST data from the UpTempO project (Castro  
248 et al. 2016; Steele et al. 2017) are used in this study. The UpTempO collects SST measurements  
249 from specially designed buoys deployed in the Beaufort Sea and Hudson Bay from January 2016  
250 to January 2019 (Fig. 1). To keep the SSTs from UpTempO observations independent from those  
251 of ICOADS and WMO GTS, UpTempO buoy measurements are searched from the second level  
252 (mostly at 2.5 m depth) down to 20 m depth and the first measurement from the thermistors  
253 submerged completely within water is selected as SST. The maximum depth of 20 m is selected  
254 because normal UpTempO observations show that the observed temperature above 20 m in the  
255 Arctic is almost uniform. UpTempO SSTs are averaged into daily superobservations on  
256  $0.25^\circ \times 0.25^\circ$  grids and then compared against the eight SST products described in Section 2.1.

### 257 **2.3 Assessment methods**

258 The eight SST products are assessed on daily  $0.25^\circ \times 0.25^\circ$  grids. The products with higher  
259 spatial resolution were box-averaged to  $0.25^\circ \times 0.25^\circ$ . The assessments are quantified by biases and  
260 root-mean-square-differences (RMSDs) against observed SSTs (Martin et al. 2012; Yang et al.  
261 2021):

$$262 \quad B(x, y) = \frac{1}{T} \sum_{t=1}^T (P(x, y, t) - O(x, y, t)) \quad (1)$$

263  $R(x, y) = [\frac{1}{T} \sum_{t=1}^T (P(x, y, t) - O(x, y, t))^2]^{0.5}$  (2)

264  $b(t) = \frac{1}{W} \sum_{i=1}^M \sum_{j=1}^N (P(x_i, y_j, t) - O(x_i, y_j, t)) \times \cos(y_j)$  (3)

265 where  $B(x,y)$  represents time averaged biases of product  $P$  relative to observation  $O$ ;  $R(x,y)$   
 266 represents RMSDs between  $P$  and  $O$ ;  $b(t)$  represents global average biases between  $P$  and  $O$ ;  $x, y,$   
 267 and  $t$  represent longitude, latitude, and time, respectively;  $T$  represents the total number of time in  
 268 days; and  $W$  represents the integrated weighting of  $\cos(y_j)$ .

269 The eight products are first assessed by comparing drifting and moored buoys that are  
 270 dependent on the eight products except for CCI, and then by comparing Argo floats that are  
 271 independent from most of the eight products except for DOISST and MUR25. To assess the quality  
 272 of DOISST using independent observations, the 90% of the drifting buoy and Argo floats were  
 273 ingested into the DOISST experiments while the other 10% were reserved for evaluation purposes  
 274 as described in Section 2.1a. It is assumed that the residual bias of satellite SST and the analysis  
 275 bias are larger than in situ SST bias, and therefore the biases and uncertainties of measurements  
 276 and samplings in  $O$  are not taken into account in our assessments in equations (1)–(3).

277 Our assessments indicate that the spatial patterns of biases and RMSDs are quite similar  
 278 when different types of observations are used in equation (1)–(3). The similarity of two spatial  
 279 distribution  $f(x,y)$  and  $g(x,y)$  over the global oceans is quantified by a pattern correlation coefficient  
 280 where  $f$  and  $g$  could be biases or RMSDs:

281  $c = \frac{cov(f,g)}{\sqrt{var(f) \times var(g)}}$ , (4)

282 where

283  $cov(f, g) = \frac{1}{W} \sum_{i=1}^M \sum_{j=1}^N (f(x_i, y_j) - \bar{f}) \times (g(x_i, y_j) - \bar{g}) \times \cos(y_j)$  (5)

284  $var(f) = \frac{1}{W} \sum_{i=1}^M \sum_{j=1}^N (f(x_i, y_j) - \bar{f})^2 \times \cos(y_j)$  (6)

285  $var(g) = \frac{1}{W} \sum_{i=1}^M \sum_{j=1}^N (g(x_i, y_j) - \bar{g})^2 \times \cos(y_j)$  (7)

286 Where  $\bar{f}$  and  $\bar{g}$  represent the global average of  $f$  and  $g$ , respectively.

287 A critical question for local and global averaged biases in equations (1) and (3) is whether  
 288 these biases are statistically significant. The significance for the SST biases in the eight products  
 289 is assessed as followed: First, the time series of the biases between analyses and observations at  
 290 grid  $(x,y)$  is calculated, and then the time averaged bias ( $\beta$ ) is expressed by

291  $\beta(x, y) = \alpha(x, y) \pm u(x, y)$  (8)

292 where  $\alpha$  represents the time averaged bias and  $u$  represents its uncertainty. The uncertainty at the  
 293 95% confidence level is estimated according to (Walpole et al. 2012)

294  $u(x, y) = 1.96 \frac{STD(x,y)}{\sqrt{N_e}}$  (9)

295 where STD represents the standard deviation of the time series of the biases, and  $N_e$  represents the  
 296 equivalent sampling size of the time series that is estimated by (von Storch and Zwiers 1999)

297  $N_e = T / [1 + 2 \sum_{k=1}^{T-1} (1 - \frac{k}{T}) C(k)]$  (10)

298 where  $C(k)$  represents the lag- $k$  autocorrelation coefficient of the time series and  $C(k) > 0.1$  is  
 299 applied. The averaged bias difference  $\alpha$  is statistically significant when  $|\alpha| > u$ . Similarly,  
 300 uncertainties can be estimated for the time series of globally averaged biases in equation (3) or  
 301 bias differences among products.

302

### 303 3. Intercomparisons

#### 304 3.1 Comparisons against buoy SSTs

305 The eight daily SST products are compared against buoy SSTs from January 2016 to June  
306 2020. It should be noted the buoy SSTs have been ingested into and are therefore not independent  
307 from the eight SST products except for CCI. The SSTs from these eight products are first processed  
308 and box-averaged to  $0.25^\circ \times 0.25^\circ$  resolution if the original resolution is higher than  $0.25^\circ$  (Table  
309 1). The averaged SST differences (or biases) against buoy SSTs according to equation (1) are  
310 calculated on  $0.25^\circ \times 0.25^\circ$  grids and displayed on  $2^\circ \times 2^\circ$  grids for visualization purposes (Fig. 2).  
311 Figure 2 shows that SSTs are dominantly cold-biased in the global oceans in most of the eight  
312 products except for MUR25, which is warm-biased. The magnitude of these biases is mostly at  
313  $0.1^\circ\text{--}0.2^\circ\text{C}$ , although biases at magnitude of  $0.4^\circ\text{C}$  are found in the region of the Gulf Stream except  
314 for DOISST. SSTs are cold-biased in most of the tropical oceans between  $20^\circ\text{S}$  and  $20^\circ\text{N}$  except  
315 for MUR25, in most of the Northern Hemisphere mid-latitude ( $30^\circ\text{--}60^\circ\text{N}$ ) oceans except for  
316 DOISST and MUR25, in the Southern Ocean south of  $45^\circ\text{S}$  in CCI, and in the South Pacific south  
317 of  $45^\circ\text{S}$  in OSTIA and GPB. In the Gulf Stream region, strong warm biases are found except for  
318 DOISST, which shows weak warm biases and GAMSSA, which shows strong cold biases. Warm  
319 biases dominate over most of the global oceans in MUR25. Warm biases are also found around  
320 Australia and the Southern Ocean southeast of Argentina and south of South Africa in all eight  
321 products, although the magnitude of these warm biases is relatively small in the Southern Ocean  
322 in DOISST. These biases are mostly significant at the 95% confidence level according to equations  
323 (8)–(10), indicating a limited capability of these SST analyses in representing observations at local  
324 grid scale in those regions.

325 The globally averaged biases range from  $-0.08^{\circ}\text{C}$  to  $+0.02^{\circ}\text{C}$  (Table 2). The bias in  
326 DOISST ( $-0.04^{\circ}\text{C}$ ) is in the middle of the range, indicating a good performance of DOISST in the  
327 aspect of biases against buoy SSTs due to the recent revision from v2.0 to v2.1 (Huang et al. 2020).  
328 The warm bias in MUR25 is unique among the eight products, which might be associated with the  
329 unique use of microwave observations from MODIS.

330 The performance of the eight SST products is stable during the period from January 2016  
331 to June 2020, which is illustrated by the time series of globally averaged biases (Fig. 3a). However,  
332 variations in biases are notable. For example, biases vary from  $-0.06^{\circ}$  to  $0.00^{\circ}\text{C}$  in DOISST, from  
333  $-0.03^{\circ}$  to  $0.07^{\circ}\text{C}$  in MUR25, and from  $-0.13^{\circ}$  to  $-0.02^{\circ}\text{C}$  in GAMSSA. The biases are large in the  
334 Northern Hemisphere summers (May–June–July) of 2017–2020 but smaller in the summer of  
335 2016, which can be seen clearly from the evolution of GMPE (Fig. 3a, solid black). The stronger  
336 cold biases during the summers may result from the biases in satellite measurements due to higher  
337 cloudiness and dust aerosols in the tropical oceans (Zhang et al. 2004).

338 The transient variations of biases in Figure 3a can be quantified by their STDs. These STDs  
339 are about  $0.02^{\circ}$ – $0.03^{\circ}\text{C}$ , which are much smaller (approximately 65% or less) than the mean biases  
340 ( $0.02^{\circ}$ – $0.07^{\circ}\text{C}$ ) except for MUR25 (approximately 94%). The smaller STDs suggest that the errors  
341 in the eight SST products are mostly attributed to the mean or systematic biases rather than  
342 transient or random variability. This indicates that reduction of the satellite biases should be the  
343 focus in the future improvements of these eight products. Our tests (Table S1) show that the bias  
344 differences relative to DOISST and MUR25 according to equations (3), (8)–(10) are statistically  
345 significant at the 95% confidence level. In contrast, the bias differences may not be significant  
346 among GMPE, GAMSSA, GPB, CCI, and CMC, which is consistent with the timeseries shown in  
347 Figure 3a.



348           Despite the varied spatial distributions of biases in the eight SST products shown in Figure  
349 2, the spatial distributions of RMSDs according to equation (2) are rather similar (Fig. 4). RMSDs  
350 are less than 0.4°C in most of the global oceans, particularly the tropical oceans between 20°S and  
351 20°N. However, high RMSDs above 1°C are found along the Gulf Stream, the Kuroshio and their  
352 extensions, and in the Southern Ocean southeast of Argentina, south of South Africa, and the sector  
353 of the Indian Ocean. The high RMSDs may directly result from mismatches between in situ and  
354 satellite observations in these regions. The RMSDs in DOISST are relatively small (< 0.4°C) in  
355 most of the global oceans. The lower RMSDs in DOISST may indicate (1) the role of Argo in  
356 increasing SST quality in DOISST, (2) the role of the algorithm in correcting the biases of satellite  
357 SSTs in 2°×2° grids and 15-day data window as described later in Section 4.1, and (3) a potential  
358 overfitting to the buoy SSTs in DOISST. In contrast, the RMSDs in MUR25, which also ingests  
359 Argo SSTs, are higher. The higher RMSDs may result from (1) the quality-control (QC)  
360 procedures applied to Argo and buoy SSTs are the same in DOISST, which may differ from the  
361 QC procedures in MUR25, and (2) Argo SSTs in DOISST are defined as the temperatures within  
362 a 0–5 m depth, while temperatures closest to the surface were used in MUR25 (Xu and Ignatov  
363 2016). The globally averaged RMSDs are 0.28°–0.41°C (Table 2). The average RMSD in DOISST  
364 (0.28°C) lies in the lower end of the range, indicating that performance of DOISST is good among  
365 the eight products.

366           It should be noted that the RMSDs described above does not include the biases and  
367 uncertainties of measurements and samplings in in situ SSTs. The magnitude of RMSDs may  
368 change when the biases and uncertainties of the referenced observations are considered, but their  
369 impact to the RMSDs would be the same for all products for a given reference.

370

### 371 3.2 Comparisons against Argo SSTs

372 Argo SSTs are independent from most of the eight SST products except for DOISST and  
373 MUR25 (Table 1). The comparisons of the eight products against Argo SSTs from January 2016  
374 to June 2020 (Fig. S1) show similar biases to those against buoy SSTs in Figure 2. Cold biases are  
375 found over most of the global oceans in the eight products except for MUR25, which is warm  
376 biased. The RMSDs (Fig. S2) remain higher in the regions of the Gulf Stream, Kuroshio and their  
377 extensions, and higher in the Southern Ocean southeast of Argentina, south of South Africa, and  
378 the Indian Ocean sector. The time series of the globally averaged biases (Fig. 3b) are overall similar  
379 to those in Figure 3a, but the cold biases become stronger in 2018–2020 than in 2016–2017 in  
380 DOISST, GMPE, OSTIA, and GPB, with reasons that are not immediately clear. The biases remain  
381 stable throughout the entire period of 2016–2020 in MUR25, GAMSSA, CCI, and CMC.

382 The globally averaged biases and RMSDs against Argo SSTs are overall consistent with  
383 those in comparison against buoy SSTs (Table 2), which also show an as good performance of  
384 DOISST among the eight products. The differences of averaged biases in reference to DOISST  
385 and MUR25 remain significant at the 95% confidence level (Table S2).

386 One may argue that the good performance of DOISST is associated with using the  
387 dependent Argo observations. However, our comparisons show that the spatial distributions of  
388 biases and RMSDs are very similar in GAMSSA, OSTIA, GPB, CCI, and CMC when they are  
389 compared against the dependent buoy SSTs (Figs. 2 and 4) and the independent Argo SSTs (Figs.  
390 S1 and S2). In contrast, the magnitude of biases and RMSDs decreases slightly, which is  
391 counterintuitive as one may expect an overall increase of biases and RMSDs against the  
392 independent Argo SSTs. The lower biases and RMSDs may suggest that they are largely

393 determined by the large-scale features such as non-local bias correction algorithms applied to the  
394 satellite observations, and are less determined by whether the reference is dependent.

### 395 **3.3 Comparison against independent buoy and Argo SSTs**

396 The performance of DOISST is further assessed using experiments DOISST\_Buoy90 and  
397 DOISST\_Argo90. The Buoy90 and Argo90 SSTs from January 2016 to June 2020 were ingested  
398 into experiments DOISST\_Buoy90 and DOISST\_Argo90 (Table 1), and the independent Buoy10  
399 and Argo10 SSTs were reserved for evaluation purposes, respectively. Comparisons indicate that  
400 DOISST\_Buoy90 and DOISST\_Argo90 are almost identical to DOISST (not shown in figures),  
401 and therefore we will simply refer to DOISST\_Buoy90 and DOISST\_Argo90 as “DOISST” for  
402 the convenience of description.

403 The biases against Buoy10 SSTs (Fig. 5) show the similar spatial patterns to those against  
404 the full buoy SSTs in Figure 2. Their spatial pattern correlations according to equation (4) are  
405 larger than 0.85 in the eight products. The globally averaged biases range from  $-0.08^{\circ}\text{C}$  to  $0.04^{\circ}\text{C}$   
406 (Table 3). These biases remain close to those against the full buoy SSTs in Table 2, and therefore  
407 the differences of biases in reference to DOISST and MUR25 remain significant at 95% confidence  
408 level (Table S3).

409 In addition to the similarity of biases, the RMSDs against the Buoy10 SSTs (Fig. 6) also  
410 show a high similarity to those against the full buoy SSTs in Figure 4. Their spatial pattern  
411 correlation coefficients are greater than 0.90 in the eight products. The globally averaged RMSDs  
412 are  $0.28^{\circ}$ – $0.35^{\circ}\text{C}$  (Table 3). These biases and RMSDs are slightly changed in comparison with  
413 those against the full buoy SSTs, probably due to the reduced sampling sizes that may not well  
414 represent the global oceans. The exception is that the RMSD in DOISST increases slightly from

415 0.28° to 0.31°C when the independent Buoy10 SSTs are used for evaluation. Overall, although the  
416 buoy SSTs ingested into DOISST is reduced by 10%, the performance of DOISST remains good  
417 among the eight products.

418 The impact of the sampling size can be seen more clearly when DOISST ingests the Argo90  
419 SSTs in experiment DOISST\_Argo90 (Table 1), while the Argo10 SSTs are reserved for  
420 independent evaluation. In recent years, the typical number of Argo observations (approximately  
421  $1 \times 10^3$  per day or 280 surfacing Argo floats) is much less than that of buoy observations  
422 (approximately  $5 \times 10^4$  per day or 1300 drifters) over the global oceans (Huang et al. 2019).  
423 Comparisons indicate that the similarity of spatial distributions of biases and RMSDs in reference  
424 to Argo10 and the full Argo (Figs. S1 and S3, S2 and S4) is low, 0.44–0.56 for biases and 0.62–  
425 0.76 for RMSDs according to equation (4).

426 The similarity of RMSDs in DOISST is relatively lower (0.62) due to the higher RMSD in  
427 the Southern Ocean when the independent Argo10 SSTs are reserved for evaluation (Figs. S2a and  
428 S4a). The higher RMSD in DOISST in the Southern Ocean may be due to the fact that the in situ  
429 observations are sparse and therefore DOISST is more sensitive to the reservation of the Argo10  
430 SSTs. This is another persuasive reason why DOISST includes all available observations in the  
431 operational production to improve the product quality, in particular for data sparse regions.  
432 Overall, performance of DOISST in reference Argo10 is good among the eight products. The  
433 differences of biases in reference to DOISST and MUR25 remain significant except for GMPE  
434 (Table S4).

435

436

### 437 **3.4 Comparison against independent UpTempO buoy SST in the Arctic region**

438 The comparisons in Sections 3.1–3.3 do not include the Arctic Ocean because (1) the  
439 GMPE SST does not cover the Arctic and (2) buoy observations in ICOADS are from the topmost  
440 thermistor and may potentially be biased because the thermistor is exposed to the air by sea ice.  
441 Therefore, the independent SSTs from UpTempO project (Steele et al. 2017), collected from  
442 specially designed buoys released in the Beaufort Sea from January 2016 to January 2019 (Fig. 1),  
443 are used to assess the eight SST products in the Arctic region.

444 Comparisons of the eight SST products against UpTempO SSTs (Fig. 7) show that the  
445 biases are generally small (less than  $0.5^{\circ}\text{C}$ ) during the winter time (from November to May). SSTs  
446 in winter are mostly cold biased at the magnitude of  $-0.2^{\circ}\text{C}$ . The biases in DOISST are very small,  
447 which may largely be due to the application of freezing-point SST proxy (Banzon et al. 2020).  
448 However, biases in summer (from June to August) are as large as  $3^{\circ}$ – $4^{\circ}\text{C}$  in all eight products,  
449 which may partly result from using nighttime satellite observations in MUR25 and GPB or using  
450 nighttime satellite observations to correct other satellites in OSTIA. Variations of biases are large  
451 in the eight products. For example, the magnitude of biases during the summer of 2017 is less than  
452  $0.2^{\circ}\text{C}$  in DOISST but reaches  $3^{\circ}$ – $4^{\circ}\text{C}$  in OSTIA and GPB.

453 The small biases during the boreal winter are associated with the constraint of freezing  
454 point in these eight products when ice concentration is high. The large biases during the boreal  
455 summer result from large variations of SSTs when ice concentration is low (Banzon et al. 2020),  
456 which makes it difficult to constrain the SST proxy from ice concentration. The averaged biases  
457 range from  $-0.22^{\circ}\text{C}$  to  $+0.11^{\circ}\text{C}$  in the eight products, and the averaged RMSD are  $0.42^{\circ}$ – $0.69^{\circ}\text{C}$   
458 (Table 4). The performance of DOISST in the Arctic region is good among the eight products in

459 the aspect of bias, although its performance in RMSD is relatively worse. It should be noted that  
460 the bias and RMSD of GMPE may not be reliable due to its small sampling size.

## 461 **4. Discussions**

### 462 **4.1 Causes for SST biases in DOISST**

463 To track the source of biases in DOISST described in sections 3.1–3.3, the satellite SSTs  
464 (MetOp-A and B of daytime and nighttime) are compared against buoy SSTs from January 2016  
465 to June 2020 (Figs. 8 a–d). The comparisons show that the satellite SSTs exhibit warm biases north  
466 of 45°N and south of 40°S. The warm biases are larger in MetOp-B (0.4°C) than MetOp-A (0.2°C)  
467 for both daytime and nighttime. The warm biases in nighttime MetOp-A extend more broadly in  
468 the Southern Hemisphere oceans. Between 40°S and 45°N, the satellite SSTs are cold biased. The  
469 cold biases are larger in MetOp-B (–0.6°C) than MetOp-A (–0.2°C) for both the daytime and  
470 nighttime. However, the cold biases in nighttime MetOp-A are confined in the northwest Indian  
471 Ocean and tropical Atlantic, and are very weak in the tropical Pacific. On a global average, the  
472 magnitude of biases is much smaller due to cancellations of cold and warm biases in different  
473 regions. The globally averaged biases range from –0.11°C to +0.02°C (Table 5).

474 These biases in AVHRR SSTs are adjusted by in situ observations from ships, buoys, and  
475 Argo floats in DOISST via the following four steps (Reynolds et al. 2007; Huang et al. 2015b;  
476 Huang et al. 2017):

477 (a) Daily AVHRR and in situ SSTs are separately bin-averaged to 2°×2° grids, which  
478 increases the area coverages of in situ observations over the global oceans.

479 (b) AVHRR and in situ SSTs are separately filtered by the decomposition of 130 Empirical  
480 Orthogonal Teleconnection modes (EOTs). The EOTs are the localized Empirical Orthogonal

481 Functions that are damped to zero 3000/5000 km away from the mode centers in the  
482 latitude/longitude direction. The EOT decomposition is critically dependent on the area coverage  
483 of data (Huang et al. 2020b, 2021). EOTs decomposition is used to filter out small spatial scale  
484 noises.

485 (c) Differences between the decomposed AVHRR and in situ SSTs are calculated within a  
486 15-day running window, which is to filter out noises in a short-time period.

487 (d) The differences in step 3 are defined as AVHRR biases, which are interpolated back to  
488 DOISST  $0.25^{\circ} \times 0.25^{\circ}$  grids and then subtracted from AVHRR SSTs.

489 The accuracy of the bias adjustments described above is evaluated by comparing the  
490 adjusted AVHRR SSTs against buoy SSTs (Figs. 8 e–h). It is clear that the warm biases decrease  
491 from  $0.4^{\circ}$  to  $0.2^{\circ}\text{C}$  in MetOp-B for both the daytime and nighttime south of  $40^{\circ}\text{S}$  and north of  $45^{\circ}\text{N}$ ,  
492 and in nighttime MetOp-A in the Southern Hemisphere oceans. The cold biases between  $40^{\circ}\text{S}$  and  
493  $45^{\circ}\text{N}$  decrease from  $-0.6^{\circ}$  to  $-0.2^{\circ}\text{C}$  in daytime MetOp-B and from  $-0.2^{\circ}$  to  $-0.1^{\circ}\text{C}$  in nighttime  
494 MetOp-B and daytime MetOp-A. However, the warm biases in the North Pacific north of  $40^{\circ}\text{N}$   
495 increase slightly in MetOp-A for both the daytime and nighttime. The warm biases in the North  
496 Atlantic in nighttime MetOp-A are over-adjusted and become cold biases, indicating the limitation  
497 of bias correction algorithms in DOISST. The globally averaged biases range from  $-0.04^{\circ}\text{C}$  to  
498  $-0.02^{\circ}\text{C}$  (Table 5). The improvement in globally averaged biases is clear in daytime MetOp-B but  
499 very slight in daytime MetOp-A. The globally averaged biases even become slightly larger in  
500 nighttime MetOp-A and B.

501 These remaining residual biases in the adjusted AVHRR SSTs have the following two  
502 characteristics: (1) the magnitude of the globally averaged biases is small ( $-0.02^{\circ}$  to  $-0.04^{\circ}\text{C}$ ;

503 Table 5), which matches with the final DOISST bias ( $-0.04^{\circ}\text{C}$ ; Table 2); (2) the spatial  
504 distributions of the AVHRR biases in Figures 8 e–h are similar to that of DOISST in Figure 2a.  
505 The spatial correlation coefficients between Figures 8 e–h and 2a range from 0.50 to 0.58. These  
506 features suggest that the residual biases from the adjusted AVHRR SSTs are a major source  
507 contributing to the final DOISST biases. Therefore, the future development of DOISST should  
508 focus on removing these residual biases by improving the bias-adjustment algorithms.

509 The contribution of the residual biases of the adjusted AVHRR SSTs to the final DOISST  
510 biases can also be seen from the RMSDs against buoy SSTs (Fig. 9). Figures 9 a–d show that the  
511 RMSDs are large ( $0.6^{\circ}\text{C}$ ) in MetOp-A and B for both daytime and nighttime in the regions of the  
512 Gulf Stream, the Kuroshio and their extensions, and the Southern Ocean south of  $40^{\circ}\text{S}$ . The  
513 RMSDs are also large (from  $0.4^{\circ}$  to  $0.6^{\circ}\text{C}$ ) in daytime MetOp-A and B in the tropical oceans. The  
514 globally averaged RMSDs range  $0.52^{\circ}\text{C}$  to  $0.62^{\circ}\text{C}$  (Table 5).

515 The RMSDs of AVHRR SSTs mostly remain after the bias adjustment (Figs. 9 e–h), except  
516 that the RMSD of the adjusted AVHRR SST from daytime MetOp-B decreases substantially in  
517 the tropical and Southern Oceans. The spatial distributions of these RMSDs are very similar to that  
518 of the final DOISST shown in Figure 4a. The spatial correlation coefficients between Figures 9 e–  
519 h and 4a are 0.68–0.74, which indicate that the residual biases from the adjusted AVHRR SSTs  
520 contribute to the final DOISST biases. The globally averaged RMSDs of the adjusted AVHRR  
521 SSTs range from  $0.54^{\circ}\text{C}$  to  $0.58^{\circ}\text{C}$ , practically showing no improvements over those of the original  
522 AVHRRs (Table 5). Nevertheless, these RMSDs are much higher than that of final DOISST  
523 ( $0.28^{\circ}\text{C}$ ; Table 2). The large contrast between the RMSDs in the adjusted AVHRR SSTs and final  
524 DOISST indicates that the noises in the adjusted AVHRR SSTs have been damped by in situ SSTs,  
525 which is another reason to include all available in situ SSTs such as Argo SSTs to improve the



526 quality of SST products. Although these residual biases of satellite observations may also exist in  
527 other SST products, we were unable to assess this as these intermediate data are generally  
528 unavailable to the public.

529 Our analyses indicate that the spatial patterns and magnitude of biases and RMSD do not  
530 change much when these products are compared with buoy and Argo, or the 10% of reserved buoys  
531 or Argo floats. The difference of biases and RMSD among products are clearly seen. These results  
532 suggest that the biases in these products may directly be associated with the algorithms correcting  
533 the biases of satellite SSTs as indicated by our earlier studies (Huang et al. 2013, 2015b, 2016).

#### 534 **4.2 Independent observations**

535 One of the challenges in assessing the performance of the eight SST products is the  
536 availability of independent in situ observations. It should be noted that the in situ observations  
537 were neither perfect in quality nor always consistent among different platforms. The observations  
538 must be checked by QC procedures regardless of whether they are to be ingested into or to validate  
539 the products. However, the QC procedures may differ among products and impact the number and  
540 area coverage of the observations, and their roles may differ among products.

541 On the one hand, we want to reserve independent observations for evaluations. For  
542 example, Argo observations have been reserved to independently evaluate SST productions in  
543 GAMSSA, OSTIA, GPB, CCI, and CMC. On the other hand, we want to use as many observations  
544 as possible to increase the reliability of SST products. For example, Argo observations are ingested  
545 into DOISST and MUR25 to best represent the SST analyses. However, the spatial distributions  
546 of biases and RMSDs against Argo observations are similar to those against buoy observations.  
547 The magnitude of biases against Argo is similar to that against buoy, while the magnitude of

548 RMSD decreases slightly. These features are exhibited not only in GMPE, DOISST, and MUR25  
549 where Argo observations are dependent, but also in GAMSSA, OSTIA, GPB, CCI, and CMC  
550 where Argo observations are independent. In other words, the smaller biases and RMSD in  
551 DOISST, MUR25, and GMPE may not necessarily result from comparing against dependent buoy  
552 and Argo SSTs.

553         The similar biases and RMSDs in GAMSSA, OSTIA, GPB, CCI, and CMC suggest that it  
554 is not necessary to reserve Argo observations purely for evaluation purposes. Inclusion of all high-  
555 quality in situ data (including Argo SSTs) is important to increase the quality of the SST products  
556 that utilize both in situ and satellite observations. The addition of Argo sampling can improve the  
557 coverage of in situ SSTs in some regions, which is important for satellite bias adjustments.

558         Our studies indicated that the DOISST biases and RMSDs mainly result from algorithms  
559 used for bias adjustment of satellite observations, while impacts are small from methods of  
560 blending in situ and satellite observations and from methods of interpolations (Huang et al. 2013,  
561 2015b, 2016). Consistent with previous studies, our study indicates that the residual biases in the  
562 adjusted satellite-derived SSTs are the main contributor to the final biases in DOISST, which may  
563 also be true for other SST products. The residual biases are caused by imperfect matchups in most  
564 products or large-scale differences between in situ and satellite observations in DOISST.

565         Our analyses show that the residual biases are critically dependent on the coverages of in  
566 situ super-posed observations (superobservations) (Fig. 10), which is attributed to the bias  
567 correction algorithms using EOTs. The coverages of in situ data (Fig. 10) are defined as a ratio  
568 between the counts of days with superobservations and days with or without superobservations  
569 from June 1, 2016 to June 31, 2020. The coverages are calculated on  $2^{\circ}\times 2^{\circ}$  grids, since biases of  
570 satellite observations are estimated on  $2^{\circ}\times 2^{\circ}$  grids. Figure 10 f shows the difference between the

571 coverages of blended ship+buoy+Argo and ship+buoy observations. The coverage difference  
572 highlights the role of Argo observations when they are ingested into SST analysis systems. The  
573 figure indicates an increase of coverage by 0.2–0.3 in the Southern Ocean, which is about 100%  
574 of ship+buoy coverage (Fig. 10 d). Therefore, we can speculate that the matchups and therefore  
575 the overall performance would be notably improved in GAMSSA, OSTIA, GPB, and CMC if Argo  
576 observations were ingested, particularly in the Southern Ocean.

577 In DOISST, biases in satellite SSTs are estimated by the large-scale difference between in  
578 situ and satellite observations on  $2^{\circ} \times 2^{\circ}$  grids within the 15-day data window (Reynolds et al. 2007).  
579 Large-scale patterns of in situ and satellite SSTs are based on EOTs, which is sensitive to the  
580 coverage of in situ observation (Huang et al. 2019). The coverage of buoy SSTs is low in the  
581 Southern Ocean (Fig. 10 b), and the total coverage of in situ SSTs (Figs. 10 d–e) is sensitive to the  
582 addition of Argo observations. As a result, the estimation of biases in satellite SSTs and therefore  
583 the residual biases in the adjusted satellite SSTs are sensitive to the Argo10 SSTs. This may explain  
584 why the final biases and RMSDs become larger in the Southern Ocean when the Argo10 SSTs are  
585 reserved as evaluation data.

586 We want to note that the results presented in this study may differ from previous studies  
587 due to factors such as using different time periods, validation metric, and validation datasets in  
588 assessments. Martin et al. (2012) showed that DOISST has a smaller mean bias but its standard  
589 deviation is large, which is consistent with our assessment. Fiedler et al (2019) showed a large bias  
590 in DOISST v2.0, which is consistent with Huang et al. (2021); and a smaller bias in CMC, CCI,  
591 and GMPE, which is different from our assessment. Yang et al. (2021) showed an overall good  
592 performance of CCI and OSTIA and an intermediate performance of DOISST v2.1 and MUR25,  
593 which is different from our assessment.

## 594 **5. Conclusions**

595 Our assessments of the eight SST products indicate that DOISST v2.1 has a good  
596 performance in global-averaged biases and RMSDs in reference to buoy and Argo observations,  
597 as well as in reference to the independent Buoy10 and Argo10 SSTs. MUR25 has warm biases,  
598 while other seven products have cold biases. The differences of biases in reference to DOISST and  
599 MUR25 are statistically significant, while the differences of biases among GMPE, GAMSSA,  
600 OSTIA, GPB, CCI, and CMC are less significant. Our comparisons indicate that the quality of  
601 SST products may be improved if all in situ observations are included. This is consistent with  
602 developments of DOISST from v2.0 to v2.1, as after the inclusion of Argo data the differences  
603 relative to Argo data have decreased, and the fitting to regional structures of in situ data resulted  
604 in the higher similarity of DOISST spatial structures to those of in situ data. For methodology and  
605 product development researches, one may resort to reserving some observations (such as Argo  
606 floats) as independent evaluation sets. However, for products that depends on in-situ observation  
607 for satellite SST bias corrections (such as in DOISST), the operational production should utilize  
608 all good quality data to provide best quality product to users, in particular as there are still data  
609 sparse regions as of today (e.g. the Southern Ocean Region). This is akin to the manufacturing  
610 industry - manufactures use the best available materials to produce best quality products for  
611 customers, not just reserving the best materials for product evaluation purpose (that was done at  
612 an earlier experimental stage).

613

614

615

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620 products are listed in Table 1 and cited in the main text. ICOADS-D R3.0.2 data are provided at  
621 NOAA/NCEI (<https://doi.org/10.7289/V5CZ3562>), access date: August 1, 2020. MetOp-A, and  
622 MetOp-B SST were provided by NOAA/NCEI Common Ingest system ([ftp://data-](ftp://data-internal.ncei.noaa.gov)  
623 [internal.ncei.noaa.gov](ftp://data-internal.ncei.noaa.gov) with access permission), access date: August 2020. Argo data were  
624 provided by the Global Data Assembly Centre (GDAC; <https://doi.org/10.17882/42182>;  
625 <http://www.seanoe.org/data/00311/42182>), access date: August 1, 2020. NCEP sea ice  
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864

865 **Table Captions**

866 Table 1. Daily SST datasets (from January 2016 to July 2020) used in this study (all data were  
867 downloaded on August 15, 2020).

868 Table 2. Globally averaged biases and RMSDs ( $^{\circ}\text{C}$ ) of SST datasets against buoy and Argo  
869 observations. The lowest biases and RMSDs are in bold text.

870 Table 3. Globally averaged biases and RMSDs ( $^{\circ}\text{C}$ ) of SST datasets against Argo10 SSTs. The  
871 lower biases and RMSDs are in bold text, but the low values in MUR25 are not highlighted due to  
872 its dependence on Argo10 SSTs.

873 Table 4. Average biases and RMSDs ( $^{\circ}\text{C}$ ) of SST datasets against UpTempO Level-2 data in the  
874 Arctic (Fig. 7). The lower biases and RMSDs are in bold text. The pair numbers are the counts of  
875 collocated data pairs between SST products and UpTempO.

876 Table 5. Globally averaged biases and RMSDs ( $^{\circ}\text{C}$ ) of original and bias-adjusted daytime and  
877 nighttime satellite observations in comparison with buoy SSTs.

878

879 **Figure Captions**

880 Figure 1. Geographic locations of Level 2 UpTempO buoy observations (green dots). Each dot  
881 represents a daily average.

882 Figure 2. Average SST biases ( $^{\circ}\text{C}$ , January 2016 – June 2020) against buoy observations. The  
883 biases are stippled when they are significant at the 95% confidence level. (a) DOISST, (b) MUR25,  
884 (c) GMPE, (d) GAMSSA, (e) OSTIA, (f) GPB, (g) CCI, and (h) CMC.

885 Figure 3. (a) Collocated and globally averaged SST biases of DOISST (solid red), MUR25 (dashed  
886 blue), GMPE (solid black), GAMSSA (dotted green), OSTIA (dotted black), GPB (solid light  
887 green), CCI (solid purple), and CMC (dotted orange) against buoy observations. (b) Same as (a)  
888 except for against Argo observations. A 15-day filter is applied to all curves for readability.

889 Figure 4. RMSDs of SSTs ( $^{\circ}\text{C}$ , January 2016 – June 2020) against buoy observations. (a) DOISST,  
890 (b) MUR25, (c) GMPE, (d) GAMSSA, (e) OSTIA, (f) GPB, (g) CCI, and (h) CMC.

891 Figure 5. Average biases of SSTs ( $^{\circ}\text{C}$ ) against the independent Argo10 SSTs. The biases are  
892 stippled when they are significant at the 95% confidence level. (a) DOISST\_Argo90, (b) MUR25,  
893 (c) GMPE, (d) GAMSSA, (e) OSTIA, (f) GPB, (g) CCI, and (h) CMC.

894 Figure 6. RMSDs of SSTs ( $^{\circ}\text{C}$ ) against the independent Argo10 SSTs. (a) DOISST\_Argo90, (b)  
895 MUR25, (c) GMPE, (d) GAMSSA, (e) OSTIA, (f) GPB, (g) CCI, and (h) CMC.

896 Figure 7. Collocate SST biases ( $^{\circ}\text{C}$ ) against independent Level 2 UpTempO buoy observations.  
897 (a) DOISST, (b) MUR25, (c) GMPE, (d) GAMSSA, (e) OSTIA, (f) GPB, (g) CCI, and (h) CMC.  
898 Each red dot represents one pair of daily averaged SSTs. The total number of pairs are shown in  
899 Table 5.

900 Figure 8. Average biases of satellite SSTs ( $^{\circ}\text{C}$ ) against buoy observations. (a) Daytime MetOp-A,  
901 (b) Nighttime MetOp-A, (c) Daytime MetOp-B, (d) Nighttime MetOp-B, (e)–(h) The same as (a)–  
902 (d) except for the bias-adjusted satellite SSTs.

903 Figure 9. RMSDs of satellite SSTs ( $^{\circ}\text{C}$ ) against buoy observations. (a) Daytime MetOp-A, (b)  
904 Nighttime MetOp-A, (c) Daytime MetOp-B, (d) Nighttime MetOp-B, (e)–(h) The same as (a)–(d)  
905 except for the bias-adjusted satellite SSTs.

906 Figure 10. Coverage by observations of (a) ship, (b) buoy, (c) Argo, (d) ship+buoy, and (e)  
907 ship+buoy+Argo. Use of  $2^{\circ}\times 2^{\circ}$  spatial resolution and 15-day data windows, January 2016 – June  
908 2020. (f) Coverage difference between (e) and (d).

909

910 Table 1. Daily SST datasets (from January 2016 to July 2020) used in this study (all data were  
 911 downloaded on August 15, 2020).

Dataset	Version	Resolution	Input	Access
DOISST	v2.0 (1981–2019) v2.1 (2016–present)	0.25°	AVHRR + Ship + Buoy + Argo	<a href="https://www.ncei.noaa.gov/data/sea-surface-temperature-optimum-interpolation/v2.1/access/avhrr/">https://www.ncei.noaa.gov/data/sea-surface-temperature-optimum-interpolation/v2.1/access/avhrr/</a>
	DOISST_Argo90	0.25°	AVHRR + Ship + Buoy + 90% of Argo	This study
	DOISST_Buoy90	0.25°	AVHRR + Ship + 90% of Buoy + Argo	This study
MUR25	MUR v4.2 (2002–)	0.25°	AVHRR + Microwave + Ship + Buoy + Argo	<a href="https://podaac-opendap.jpl.nasa.gov/opendap/allData/ghrsst/data/GDS2/L4/GLOB/JPL/MUR25/v4.2">https://podaac-opendap.jpl.nasa.gov/opendap/allData/ghrsst/data/GDS2/L4/GLOB/JPL/MUR25/v4.2</a>
GMPE	v1 (2009-12) v2 (2012-17) v3 (2017–)	0.25°	GHRSSST ensemble SSTs	<a href="https://resources.marine.copernicus.eu/?option=com_csw&amp;view=details&amp;product_id=SST_GLO_SST_L4_NRT_OBSERVATIONS_010_005">https://resources.marine.copernicus.eu/?option=com_csw&amp;view=details&amp;product_id=SST_GLO_SST_L4_NRT_OBSERVATIONS_010_005</a>
GAMSSA	v1 (2008–)	0.25°	AVHRR + AATSR + AMSRE + Ship + Buoy	<a href="https://podaac-opendap.jpl.nasa.gov/opendap/allData/ghrsst/data/L4/GLOB/ABOM/GAMSSA_28km">https://podaac-opendap.jpl.nasa.gov/opendap/allData/ghrsst/data/L4/GLOB/ABOM/GAMSSA_28km</a>
OSTIA	v2 (2006–)	0.05°	AVHRR + AMSR2 + VIIRS + SEVIRI + SLSTR + Ship + Buoy	<a href="ftp://ftp.nodc.noaa.gov/pub/data.nodc/ghrsst/GDS2/L4/GLOB/UKMO/OSTIA/v2">ftp://ftp.nodc.noaa.gov/pub/data.nodc/ghrsst/GDS2/L4/GLOB/UKMO/OSTIA/v2</a>
GPB	v1 (2014–)	0.05°	Imager + AVHRR + VIIRS + Ship + Buoy	<a href="ftp://ftp.nodc.noaa.gov/pub/data.nodc/ghrsst/GDS2/L4/GLOB/OSPO/Geo_Polar_Blended_Night/v1/">ftp://ftp.nodc.noaa.gov/pub/data.nodc/ghrsst/GDS2/L4/GLOB/OSPO/Geo_Polar_Blended_Night/v1/</a>
CCI	v2.0 (1981–2019)	0.05°	AVHRR + ATSR + ATSR2 + Adv. ATSR	<a href="http://dap.ceda.ac.uk/neodc/c3s_sst/data/ICDR_v2/Analysis/L4/v2.0">http://dap.ceda.ac.uk/neodc/c3s_sst/data/ICDR_v2/Analysis/L4/v2.0</a>
CMC	v3 (2016–)	0.1°	AVHRR + AMSR2 Ship + Buoy	<a href="ftp://ftp.nodc.noaa.gov/pub/data.nodc/ghrsst/GDS2/L4/GLOB/CMC/CMC0.1deg/v3">ftp://ftp.nodc.noaa.gov/pub/data.nodc/ghrsst/GDS2/L4/GLOB/CMC/CMC0.1deg/v3</a>

912

913 Table 2. Globally averaged biases and RMSDs (°C) of SST datasets against buoy and Argo  
 914 observations. The lowest biases and RMSDs are in bold text.

Data set	Buoy reference		Argo reference	
	Bias	RMSD	Bias	RMSD
DOISST	-0.035	0.279	-0.039	0.243
MUR25	+0.018	0.386	+0.034	0.261
GMPE	-0.052	0.327	-0.053	0.245
GAMSSA	-0.083	0.364	-0.072*	0.338*
OSTIA	-0.068	0.358	-0.070*	0.287*
GPB	-0.076	0.373	-0.070*	0.269*
CCI	-0.084*	0.411*	-0.070*	0.284*
CMC	-0.059	0.338	-0.052*	0.258*

915

916 Table 3. Globally averaged biases and RMSDs (°C) of SST datasets against Argo10 SSTs. The  
 917 lower biases and RMSDs are in bold text, but the low values in MUR25 are not highlighted due to  
 918 its dependence on Argo10 SSTs.

Dataset	Buoy10 reference		Argo10 reference	
	Bias	RMSD	Bias	RMSD
DOISST_Buoy90	-0.039*	0.310*	N/A	N/A
DOISST_Argo90	N/A	N/A	-0.061*	0.322*
MUR25	+0.026	0.332	+0.031	0.245
GMPE	-0.047	0.327	-0.058	0.231
GAMSSA	-0.077	0.306	-0.080*	0.317*
OSTIA	-0.059	0.281	-0.072*	0.256*
GPB	-0.070	0.318	-0.076*	0.254*
CCI	-0.075	0.351	-0.070*	0.268*
CMC	-0.052	0.286	-0.055*	0.244*

919 Table 4. Average biases and RMSDs (°C) of SST datasets against UpTempO Level-2 data in the  
 920 Arctic (Fig. 7). The lower biases and RMSDs are in bold text. The pair numbers are the counts of  
 921 collocated data pairs between SST products and UpTempO.

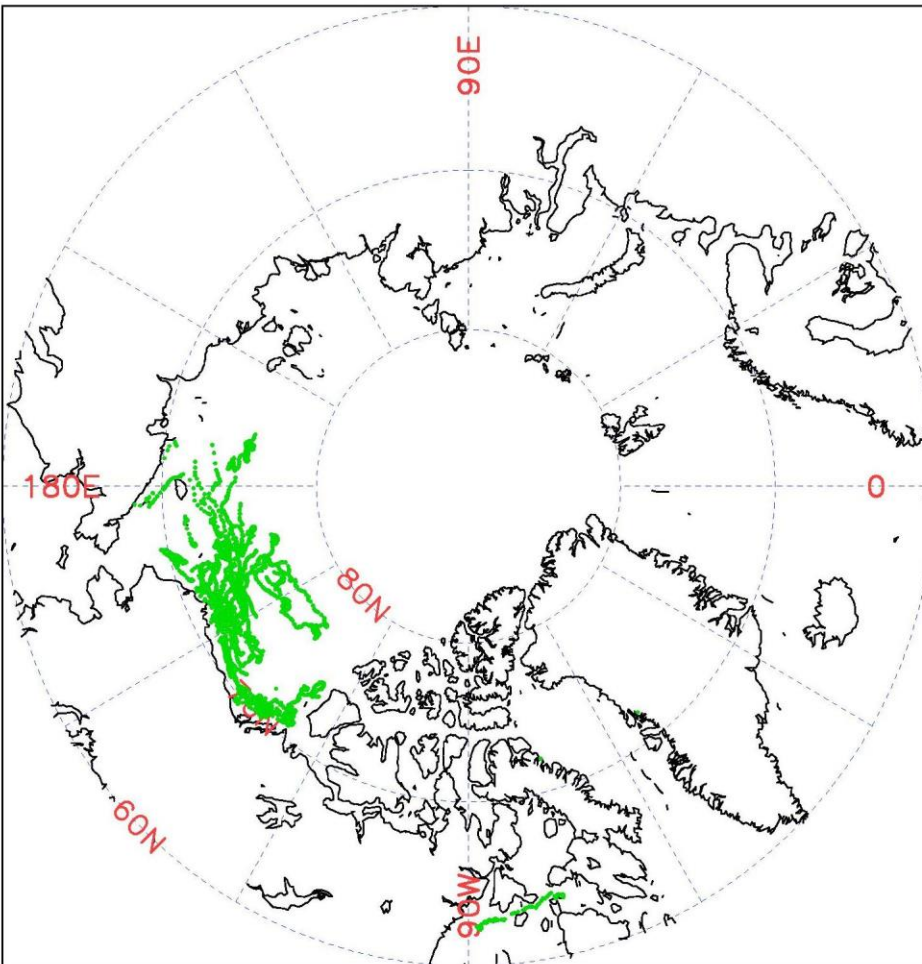
<b>Dataset</b>	<b>Bias</b>	<b>RMSD</b>	<b>Pair number</b>
DOISST	+0.057	0.473	1706
MUR25	-0.206	0.444	1706
GMPE	+0.115	0.686	113
GAMSSA	-0.232	0.469	1706
OSTIA	+0.082	0.664	1706
GPB	+0.086	0.674	1668
CCI	-0.218	0.416	1706
CMC	-0.139	0.487	1706

922  
 923 Table 5. Globally averaged biases and RMSDs (°C) of original and bias-adjusted daytime and  
 924 nighttime satellite observations in comparison with buoy SSTs.

<b>Dataset</b>	<b>Bias</b>		<b>RMSD</b>	
	Original	Adjusted	Original	Adjusted
MetOp-A, daytime	-0.04	-0.02	0.57	0.58
MetOp-A, nighttime	+0.02	-0.04	0.52	0.54
MetOp-B, daytime	-0.11	-0.02	0.62	0.58
MetOp-B, nighttime	-0.02	-0.04	0.55	0.54

925  
 926

### UpTempO buoy SST tracks

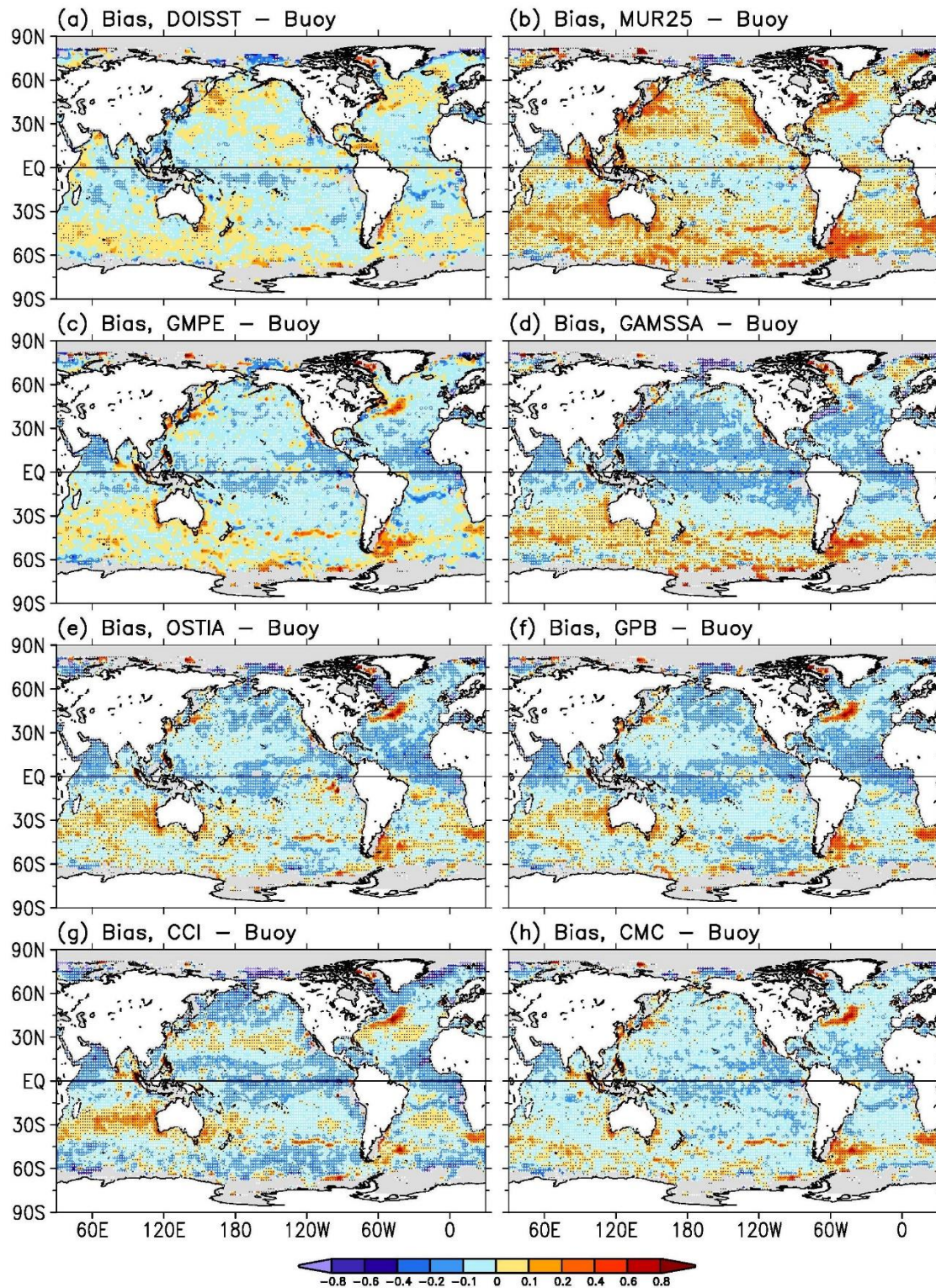


927

928 Figure 1. Geographic locations of Level 2 UpTempO buoy observations (green dots). Each dot  
929 represents a daily average.

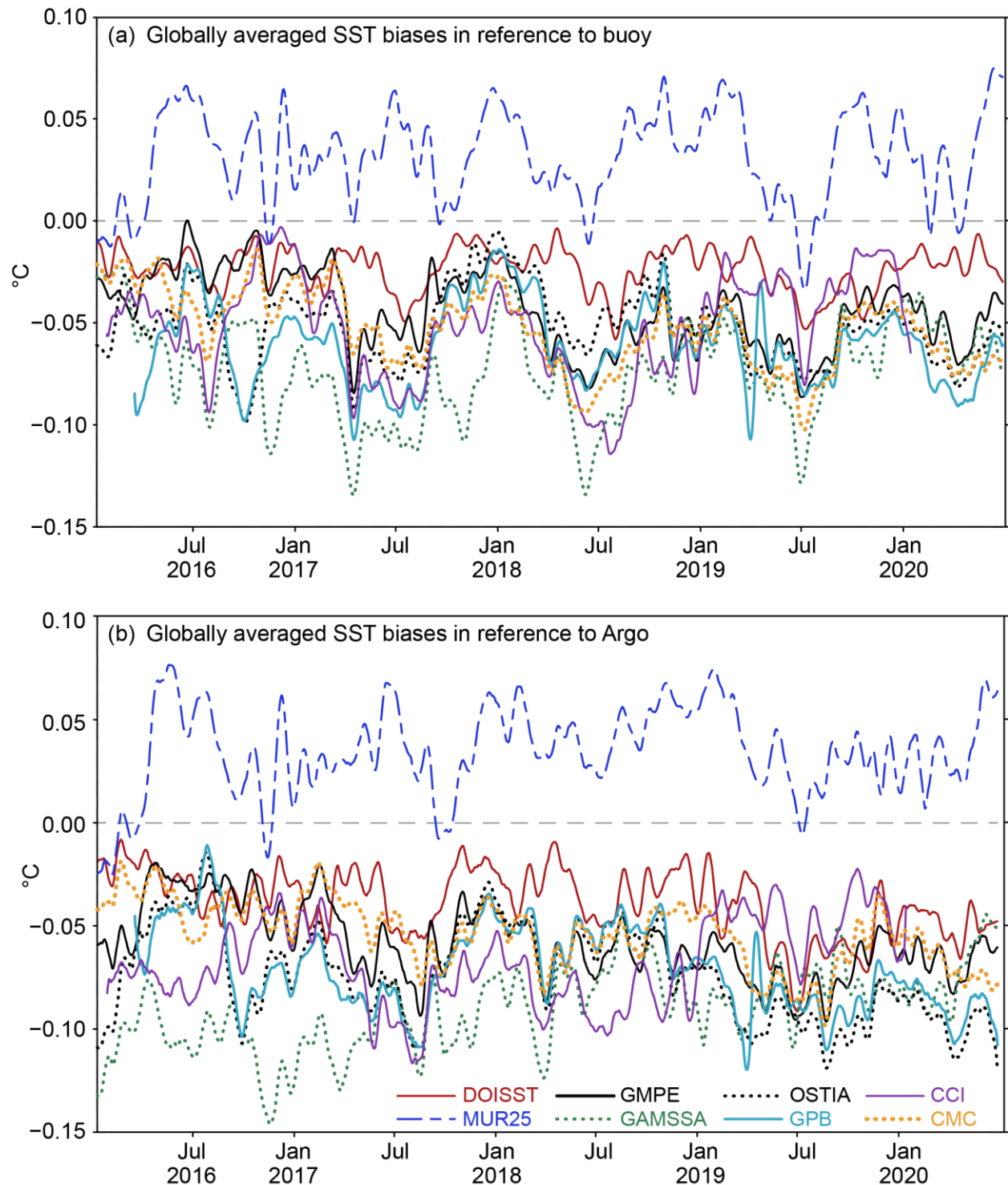
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931

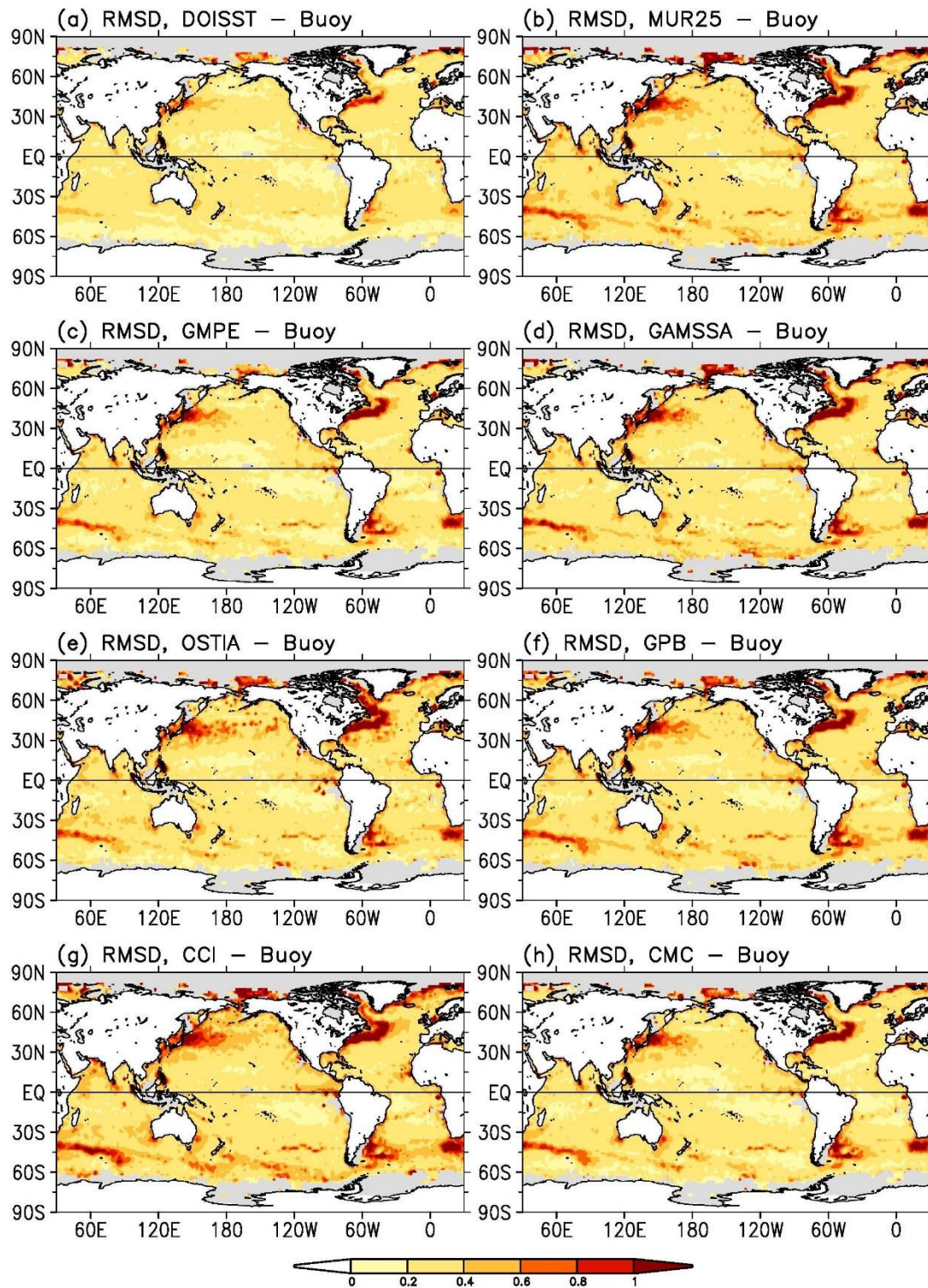
932 Figure 2. Average SST biases ( $^{\circ}\text{C}$ , January 2016 – June 2020) against buoy observations. The  
 933 biases are stippled when they are significant at the 95% confidence level. (a) DOISST, (b) MUR25,  
 934 (c) GMPE, (d) GAMSSA, (e) OSTIA, (f) GPB, (g) CCI, and (h) CMC.



935  
 936 Figure 3. (a) Collocated and globally averaged SST biases of DOISST (solid red), MUR25 (dashed  
 937 blue), GMPE (solid black), GAMSSA (dotted green), OSTIA (dotted black), GPB (solid light  
 938 green), CCI (solid purple), and CMC (dotted orange) against buoy observations. (b) Same as (a)  
 939 except for against Argo observations. A 15-day filter is applied to all curves for readability.

940

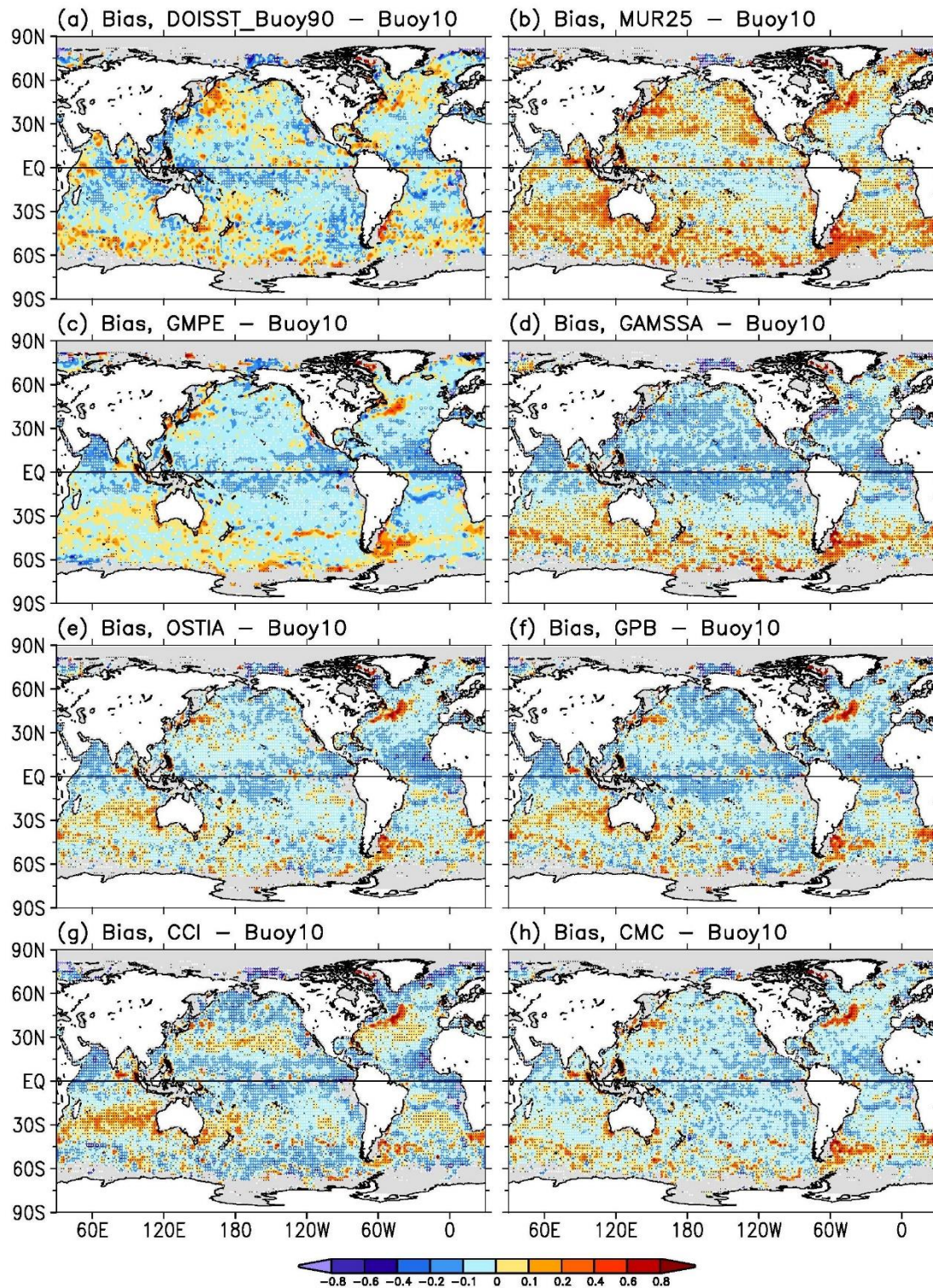




941

942 Figure 4. RMSDs of SSTs (°C, January 2016 – June 2020) against buoy observations. (a) DOISST,  
 943 (b) MUR25, (c) GMPE, (d) GAMSSA, (e) OSTIA, (f) GPB, (g) CCI, and (h) CMC.



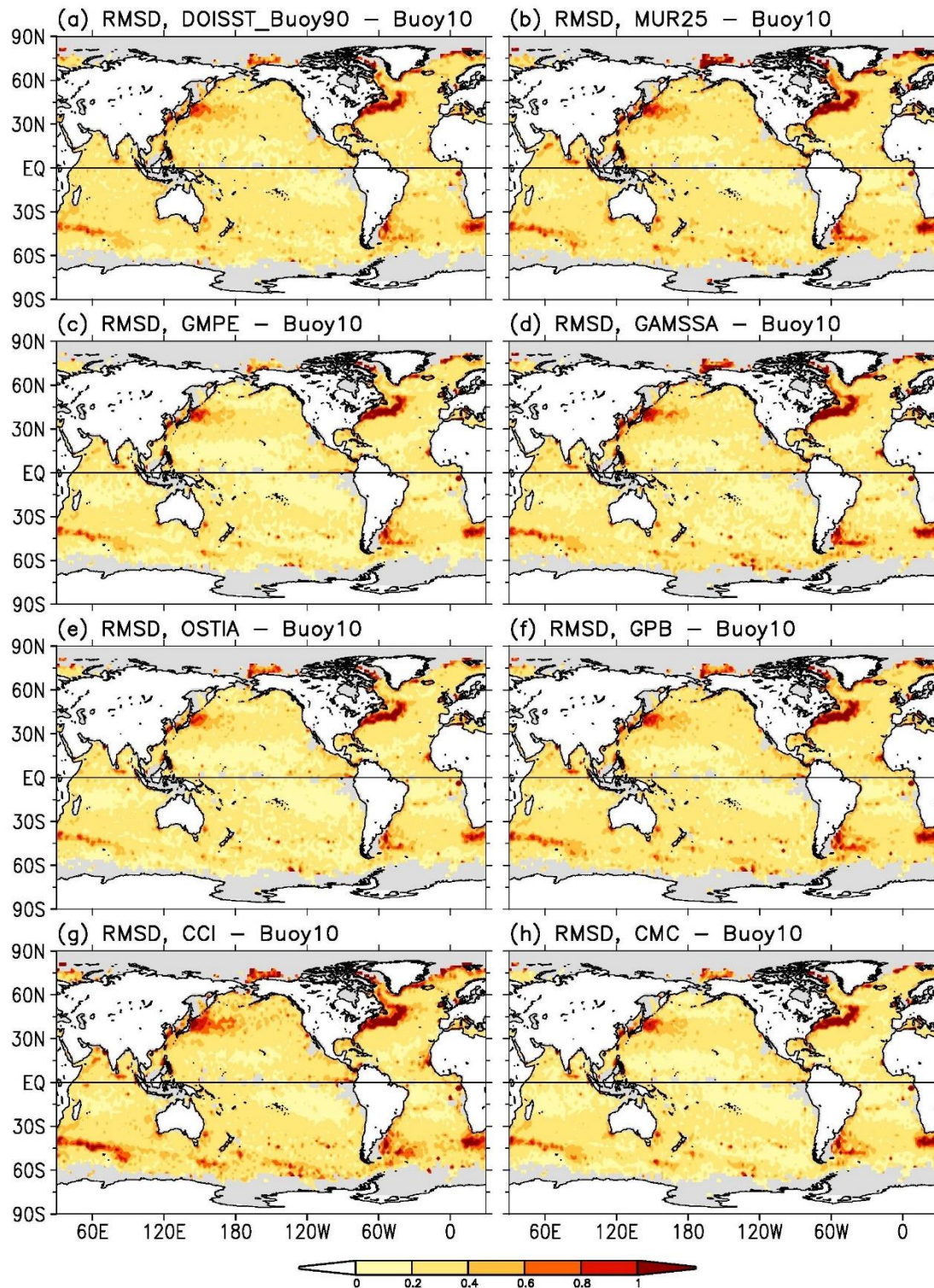


944

945 Figure 5. Average biases of SSTs ( $^{\circ}\text{C}$ ) against the independent Argo10 SSTs. The biases are  
 946 stippled when they are significant at the 95% confidence level. (a) DOISST\_Argo90, (b) MUR25,  
 947 (c) GMPE, (d) GAMSSA, (e) OSTIA, (f) GPB, (g) CCI, and (h) CMC.

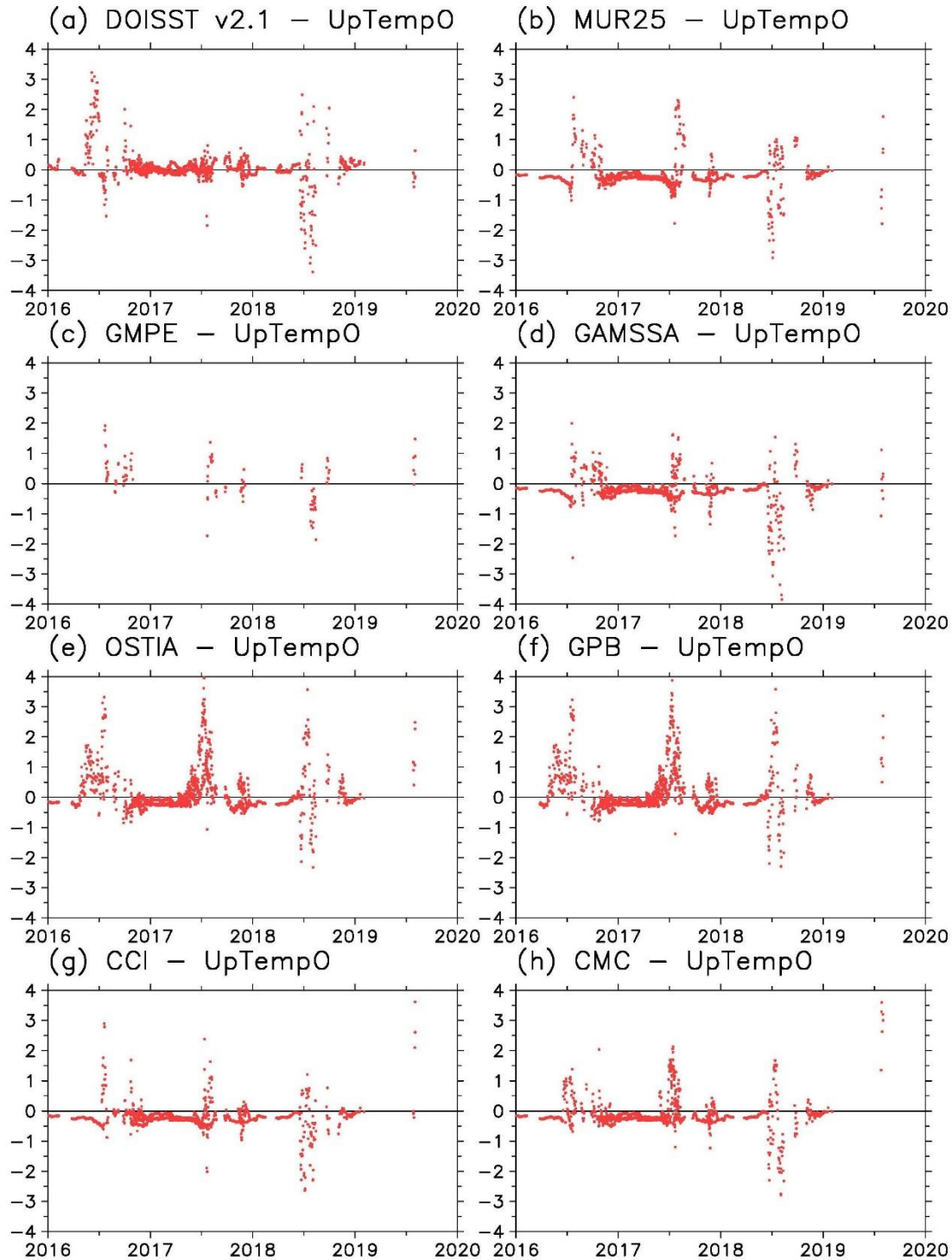
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949

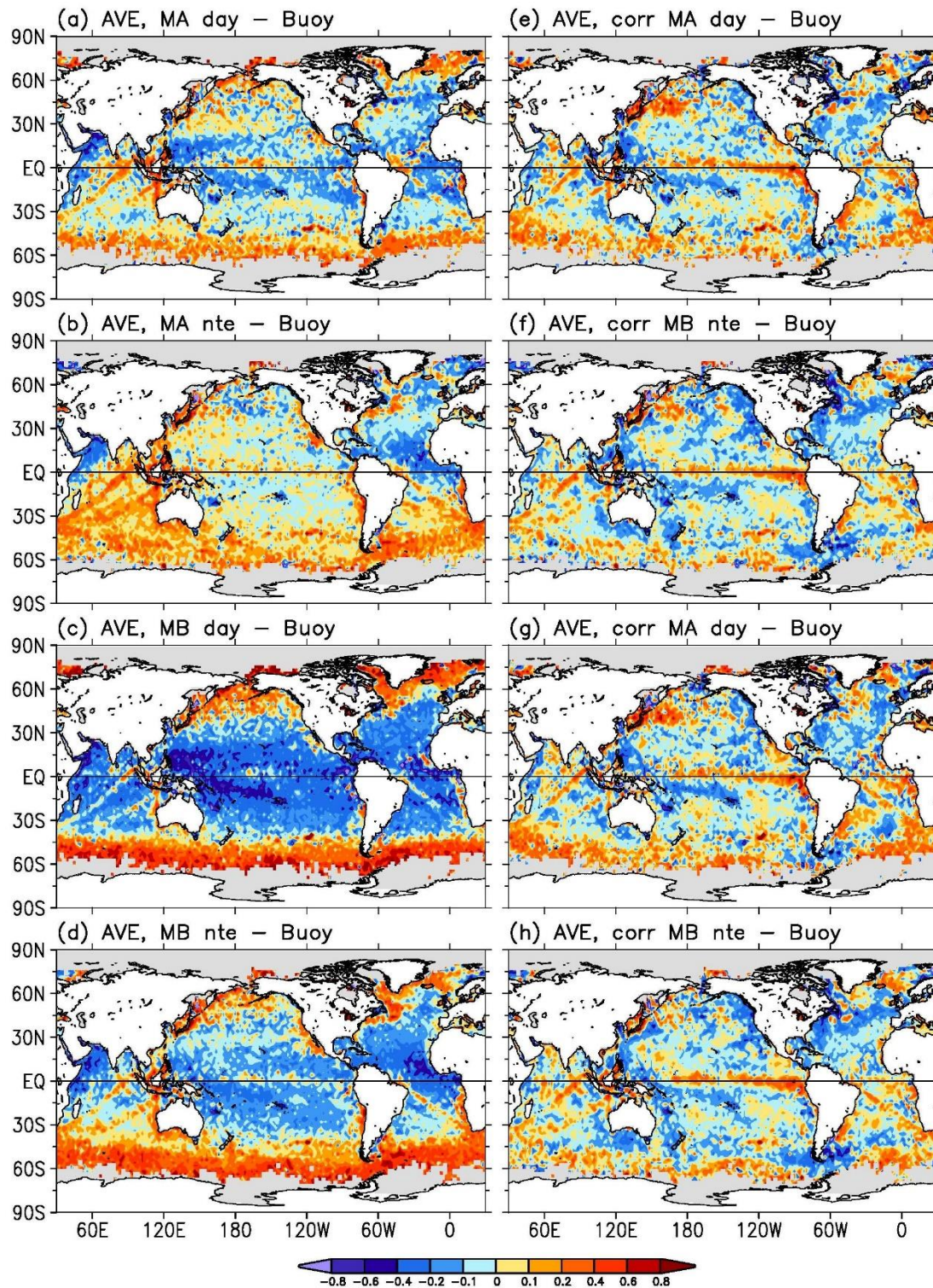
950 Figure 6. RMSDs of SSTs ( $^{\circ}\text{C}$ ) against the independent Argo10 SSTs. (a) DOISST\_Argo90, (b)  
 951 MUR25, (c) GMPE, (d) GAMSSA, (e) OSTIA, (f) GPB, (g) CCI, and (h) CMC.



952

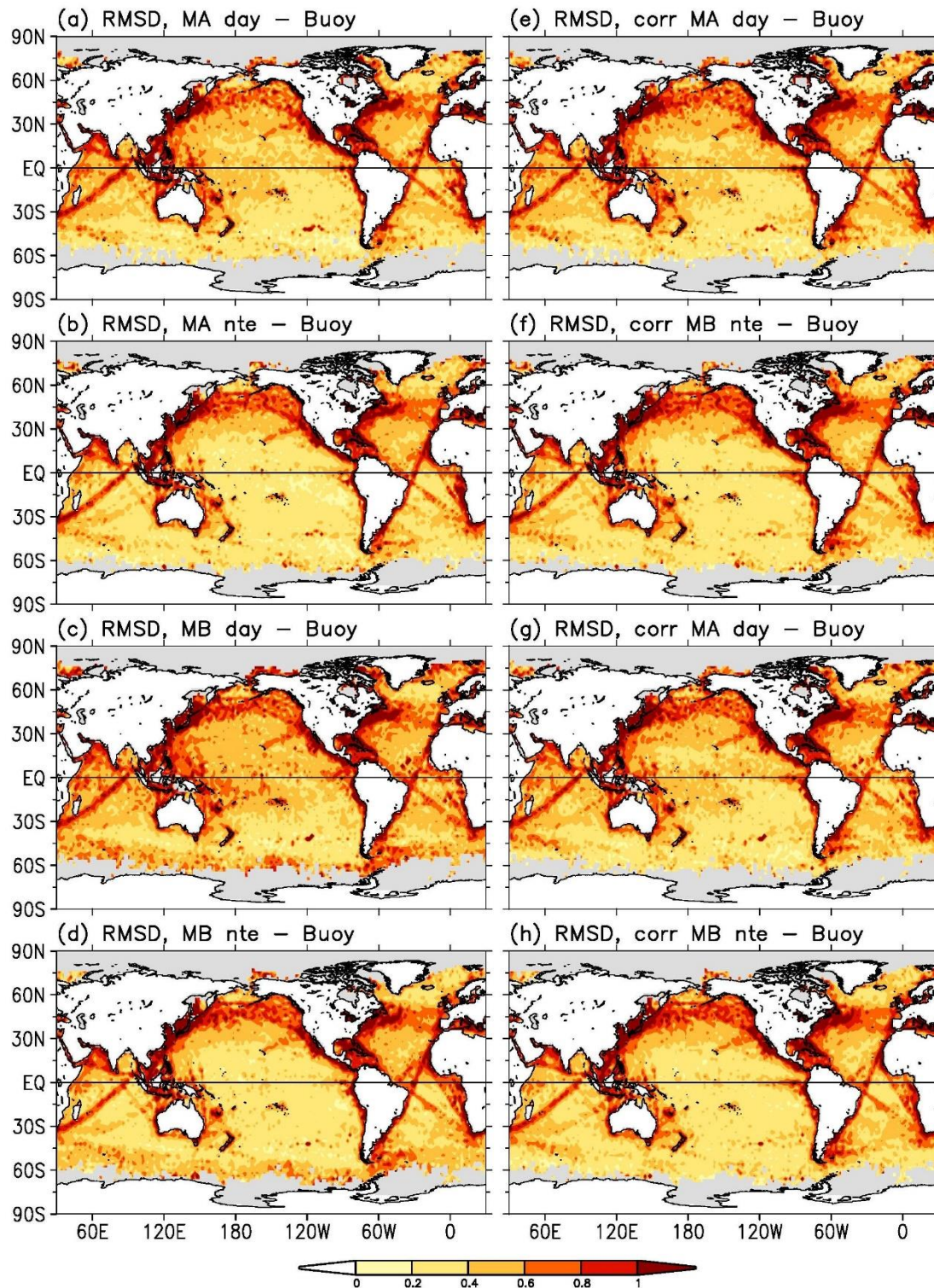
953 Figure 7. Collocate SST biases ( $^{\circ}\text{C}$ ) against independent Level 2 UpTempO buoy observations.  
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 955 Each red dot represents one pair of daily averaged SSTs. The total number of pairs are shown in  
 956 Table 5.





957  
 958 Figure 8. Average biases of satellite SSTs ( $^{\circ}\text{C}$ ) against buoy observations. (a) Daytime MetOp-A,  
 959 (b) Nighttime MetOp-A, (c) Daytime MetOp-B, (d) Nighttime MetOp-B, (e)–(h) The same as (a)–  
 960 (d) except for the bias-adjusted satellite SSTs.

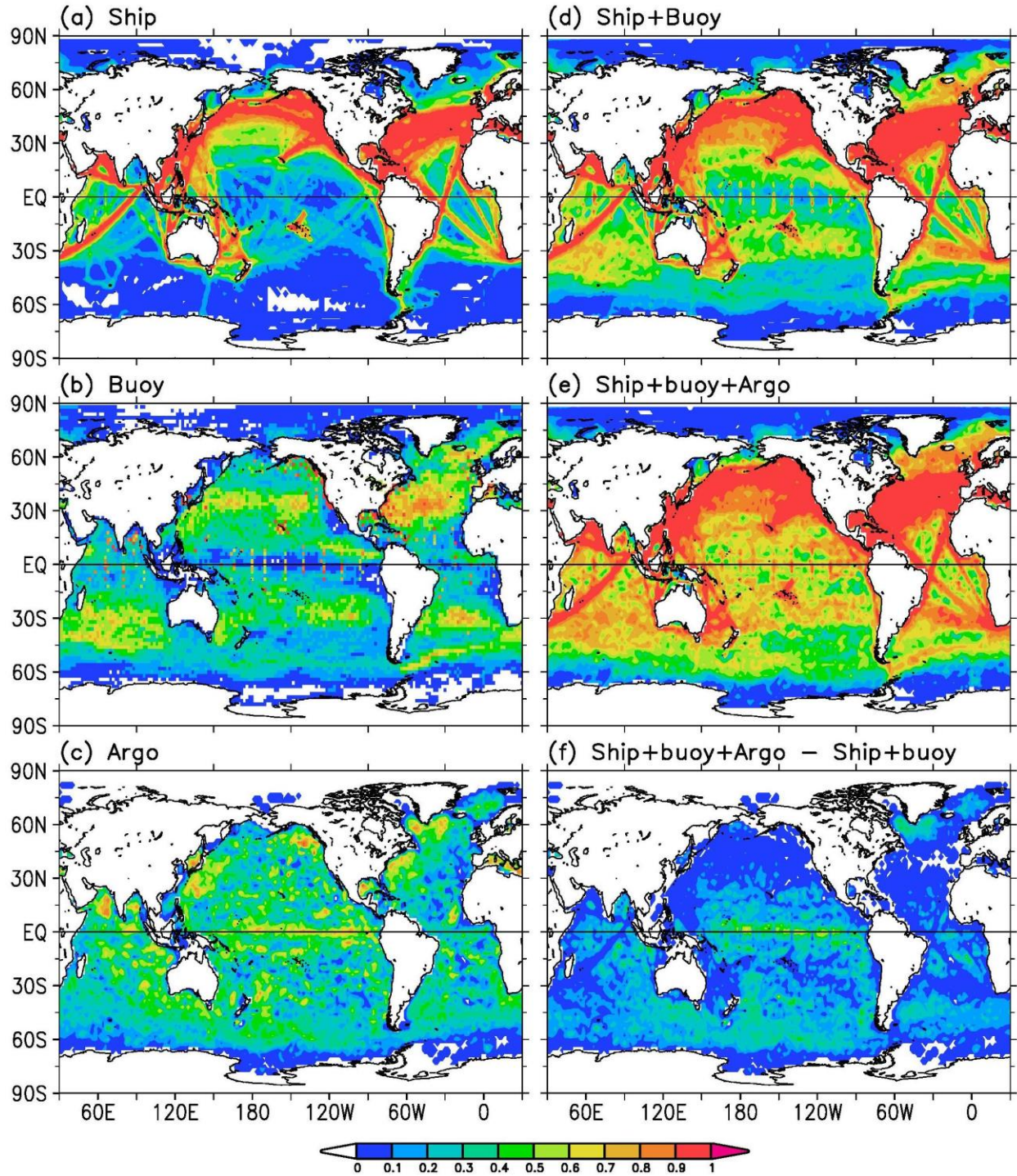




961

962 Figure 9. RMSDs of satellite SSTs ( $^{\circ}\text{C}$ ) against buoy observations. (a) Daytime MetOp-A, (b)  
 963 Nighttime MetOp-A, (c) Daytime MetOp-B, (d) Nighttime MetOp-B, (e)–(h) The same as (a)–(d)  
 964 except for the bias-adjusted satellite SSTs.





965  
 966 Figure 10. Coverage by observations of (a) ship, (b) buoy, (c) Argo, (d) ship+buoy, and (e)  
 967 ship+buoy+Argo. Use of  $2^\circ \times 2^\circ$  spatial resolution and 15-day data windows, January 2016 – June  
 968 2020. (f) Coverage difference between (e) and (d).

969