Linking regional shifts in microbial genome adaptation with surface ocean biogeochemistry

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Main Text

Summary

Linking ‘omics measurements with biogeochemical cycles is a widespread challenge in microbial community ecology. Here, we propose applying genomic adaptation as ‘biosensors’ for microbial investments to overcome nutrient stress. We then integrate this genomic information with a trait-based model to predict regional shifts in the elemental composition of marine plankton communities. We evaluated this approach using metagenomic and particulate organic matter samples from the Atlantic, Indian, and Pacific Ocean. We find that our genome-based trait-model significantly improves our prediction of particulate C:P (carbon : phosphorus) across ocean regions. Furthermore, we detect previously unrecognized ocean areas of iron, nitrogen, and phosphorus stress. In many ecosystems, it can be very challenging to quantify microbial stress. Thus, a carefully calibrated genomic approach could become a widespread tool for understand microbial responses to environmental changes and the biogeochemical outcomes.

Introduction

Linking genomics and other ‘omics measurements with biogeochemical cycles is a widespread challenge in microbial community ecology. Currently, most ‘omics observations are used to quantify shifts in diversity and functional potential. In contrast, we rarely use microbial ‘omics data to understand and constrain large-scale energy or nutrient fluxes. This lack of convergence between microbial ‘omics information and ecosystem or global models may limit our ability to predict future changes to global biogeochemical cycles.

It is well-established that the cellular and community regulation of elemental requirements and composition (i.e., carbon : nitrogen : phosphorus, C:N:P) are important for linking the global carbon and nutrient cycles [1]. There is an intense debate about the interaction between microbial diversity and environmental changes in regulating C:N:P for both terrestrial and aquatic environments [1,2]. The chemical composition of a cell is affected by many environmental factors, but nutrient availability is emerging as central [3]. Nutrient availability impacts the elemental composition of a community in multiple ways. Physiologically, the overall nutrient level impacts the growth rate [4]. In addition, cells are sensitive to the supply ratio of N vs. P (and other nutrients) relative to the biomass ratio [5]. Microbial lineages can also have unique resource requirements and thus experience a shared environment differently at a physiological level. For example, the marine cyanobacterium *Prochlorococcus* appears to have a lower P requirement compared to larger phytoplankton [6] and co-existing diatoms can have unique N:P [7]. Thus, the interaction between microbial diversity and nutrient stress plays a complex role in regulating ecosystem C:N:P.

It is a challenge to define and quantify the nutritional environment experienced by microorganisms. First, the concentrations of inorganic phosphorus and nitrogen are commonly below detection limits in many marine environments [8]. Second, most microorganisms can utilize multiple alternative forms of nutrients [9–12]. Ammonium is energetically the most favored form of nitrogen. When ammonium is in low supply, microorganisms can shift in some order to urea, nitrate, or organically bound nitrogen [13]. There are

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several unknowns associated with the use of alternative resources. We rarely quantify the concentration and chemical form of alternative nutrients or the chemical nature of organically bound N or P. Either assumptions are made about what substrate microorganisms are using, or there are difficulties obtaining isotopically labelled compounds for more complex alternative nutrient sources making it a challenge to evaluate their role. Furthermore, the resource costs associated with the use of many alternative nutrients are broadly unknown, leading to ill-defined trade-offs for nutrient assimilation. For example, cells need to invest N when upregulating acquisition proteins leading to trade-offs between nutrient investments and uptake [14]. Finally, there is variation among individual lineages in the extent they can rely on alternative nutrient forms [15]. Thus, it is currently impossible to predict microbial nutrient use and associated biogeochemical roles even with a perfect chemical characterization of an environment.

Marine microorganisms show clear genomic evidence for adaptation to specific nutritional environments through gene gain and loss [16–18]. Such genomic changes reflect a shift from simple to more complex nutrient forms under limiting conditions. This pattern has been detected in many microorganisms but is clearly illustrated in marine cyanobacteria. In regions with a replete inorganic phosphate supply, *Prochlorococcus* genomes mainly contain transporters directly associated with inorganic phosphate [19]. However, *Prochlorococcus* adapts to low phosphate supply via the gain of genes associated with regulation and the use of alternative forms. In regions with severe P stress, *Prochlorococcus* genomes contain genes for alkaline phosphate to cleave off phosphate from organic molecules [20,21]. Here, alkaline phosphatase and a few other proteins can be highly induced to utilize organic P as an alternative P source [19,22]. *Prochlorococcus* adapts to N stress in a parallel fashion, whereby cells from high N areas only contain genes for ammonium uptake [23]. In regions with stronger N stress, *Prochlorococcus* genomes sequentially include genes for urea, nitrite and ultimately nitrate assimilation. Thus, the genome content of *Prochlorococcus* (and other marine microorganisms) closely corresponds to the underlying environmental conditions and thereby describes the cellular strategies for nutrient acquisition [24].

We propose using genomic shifts among microbial communities as a 'biosensor' for *in situ* nutritional environments in order to improve predictions of C:N:P variability across ocean regions. Specifically, we combine the distribution of genes with a trait model to simulate cellular investment strategies and predict C:N:P. We assume that genome streamlining in Cyanobacteria will lead to clear nutrient investment trends. However, increasing cell genomes sizes in the larger Cyanobacteria, *Synechococcus*, reveals a more generalist lifestyle. We show that in comparison to both traditional abiotic and common trait models, the incorporation of nutrient trait variation quantified using metagenomics greatly improves our ability to predict shifts in C:N:P. This work illustrates how we can use 'omics observations to improve our understanding of global biogeochemical cycles in ways that would be challenging to achieve with abiotic characterizations alone.

Methods

Sample collection

Seawater samples were collected from the western Atlantic Ocean (AE1319 – Aug/Sep 2013, BV46 – Oct 2011), central Pacific Ocean (NH1418 – Sept 2014), and the eastern Indian Ocean (IO9N – Mar/Apr 2016) (Supplementary Figure 1; Supplementary Table 1). On each cruise samples for DNA, flow cytometry, particulate organic matter, uptake rate kinetics, and nutrients were collected as described previously [3,25–28]. Fifty-four stations were selected for metagenomics analysis where these corresponding measurements were taken. Select data is already available on BCO-DMO (uptake rate kinetics, nutrient concentrations, cell abundances, and particulate elemental concentrations) for the Atlantic AE1319 and BV46 (https://www.bco-dmo.org/project/2178) and Indian Ocean I09N cruises (https://www.bco-

dmo.org/project/628972). Results have previously been reported describing the cyanobacterial diversity [28,29], cell quotas and abundances [26,27], uptake rate kinetics [25,26], and particulate organic matter ratios [3] along several transects.

Particulate organic matter
All particulate organic matter samples for carbon, nitrogen and phosphorus were collected on pre-combusted (4 hours at 500°C) GF/F filters with a nominal pore size of 0.7 μm. A nylon mesh prefilter with a pore size of 30 μm was used to remove rarer biomass to remove larger plankton and particles. POP filters were rinsed with 0.17M Na_2SO_4 at time of collection to remove residual dissolved organic phosphorus. All filters were stored frozen until analysis in lab. POC/PON samples were measured using a Flash 1112 EA elemental analyzer (Thermo Scientific, Waltham, MA, USA) for the I09 transect against an Atropine ($C_{17}H_{23}NO_3$) standard curve (range 0.2-1.5 mg). For the NH1418, AE1319, and BV46 transects POC/PON samples were measured on either Control Equipment 240-XA or 440-XA elemental analyzer using acetanilide as a standard [30]. POP samples were analyzed using an ash/hydrolysis colorimetric method described previously [31]. Briefly, 2 mL of 0.017M $MgSO_4$ was added to the filter and KH_2PO_4 standards in acid-washed scintillation vials and dried overnight at 90°C. The filters were exposed to high temperatures 500°C for 2 hours and acidified in 0.2M HCL at 90°C. After a mixed reagent was added, the samples were analyzed on a spectrophotometer at 885nm.

Uptake rate kinetics
On the Atlantic (AE1319, BV46) and Pacific (NH1418) Ocean transects, phosphate uptake rate kinetics were taken for whole community and taxa-specific groups (e.g. *Synechococcus* & *Prochlorococcus*) using methods previously described [25]. Incubations were performed using 10 mL seawater aliquots within 3°C of ambient temperature during time of collection (~23°C). Kinetics experiments for phosphate were performed with increasing DIP additions up to 100nM, and ended at a final concentration of 100uM.

On the Indian Ocean GO-SHIP transect (I09N), whole community bottle incubations were performed for uptake of ^{15}N-labeled ammonia, urea, and nitrate [26]. The incubations were performed in 2L polycarbonate bottles over a 6-hr period at ambient seawater temperature. N incubations were mixed to a final concentration of 0.03μM, which is below the detection limit and reflective of the N-limiting conditions throughout the I09N transect.

Cell abundances using flow cytometry
Samples for flow cytometry and cell sorting were collected previously and are presented elsewhere [26–28]. Briefly, the samples were sorted using a FACSJazz or Influx flow cytometer (BD, Franklin Lakes, NJ, USA). Samples were preserved using a 0.5% paraformaldehyde solution (final concentration), kept in the dark for 1 hour to fix at 5°C, and then stored frozen at -80°C until analysis. Populations of *Synechococcus* were determined with a gate in orange (585nm), *Prochlorococcus* based on forward scatter and red fluorescence.

Nutrients
For the NH1418, AE1319, and BV46 cruises, phosphate was measured using the MAGIC-SRP high sensitivity method [32]. Nitrate was measured as using a cadmium reduction assay as previously described [28].
Nutrients data for the I09N cruise were provided by Jim Swift/SIO and Susan Becker/SIO and are available at https://cchdo.ucsd.edu.

Metagenomics – library and sequencing

For DNA, 4-10 L seawater samples were collected with a 0.22 µm Sterivex filter and preserved with Lysis Buffer (50 mM Tris -HCl pH 7.6, 20 mM EDTA pH 8.0, 400 mM NaCl, 0.75 M sucrose) and frozen at -80˚C until further processing. Whereas a GF/D (2.7 µm nominal pore size) glass fiber prefilter was used for all Pacific and Atlantic sites [28], no prefilter was used for DNA collections for Indian Ocean sites. As a minor percentage of the total community composed of eukaryotes [26], we assumed this was an acceptable comparison. However, it is possible that we are missing particle associations greater than 2.7 µm in the Atlantic and Pacific Ocean. DNA was extracted as described previously [28,33,34] and diluted (Atlantic/Pacific: 0.5ng/µl, Indian: 1ng/µl) for sequencing. Metagenomic libraries were prepared using Nextera Library Prep Kit (Illumina, San Diego, CA) with a modified PCR mixture. 1 ul was 0.5-1ng of DNA was tagmented using the Nextera DNA Prep Kit tagmentation enzyme and incubated for 10 minutes at 55°C. The Nextera XT barcodes were annealed to metagenome fragments using the following PCR protocol. For PCR, we used 20µl of a master mix containing 0.5 µL Phusion High Fidelity buffer (New England Biolabs, Ipswich, MA), 0.5 µL dNTPs (New England Biolabs, Ipswich, MA), 0.25 µL Phusion High Fidelity polymerase (New England Biolabs, Ipswich, MA), and 14.25 µL of PCR water. Equimolar samples were pooled and the quality was checked and quantified using a Bioanalyzer (Agilent, Santa Clara, CA). The pooled library was sequenced on an HiSeq - 4000 (Illumina, San Diego, CA) producing paired end reads (2 x 150 bp). Low quality reads and adapters were removed using trimmomatic 0.35 [35] with a sliding window of 4:15 and minimum length set to 36. PhiX was filtered out using BBduk2 tool BBMap (BBMap - Bushnell B. - sourceforge.net/projects/bbmap/, k = 31, hdist = 1). Sequences were aligned and mapped to a curated reference database (Supplementary Table 4) using Bowtie2 [36] with the following settings; --local -D 15 -R 2 -L 15 -N 1 --gbar 1 --mp 3. High quality contigs were assembled and processed with Anvi'o [37]. Pangenome gene clusters were identified using the DIAMOND algorithm [38] and summarized in Anvi'o. Metagenomes are available through BioProject (SRA PRJNA598881) at the following link: https://www.ncbi.nlm.nih.gov/sra/PRJNA598881.

Nutrient assimilation gene frequencies

Prochlorococcus and *Synechococcus* genes associated with assimilation for iron, nitrogen, and phosphorus were identified based on prior studies (Supplementary Information 1) [17,21,23,24,39,40]. Several genes of unknown function are listed as *uknX*, but are included due to their association with low P availability in *Prochlocococcus* [41] *,* and close proximity to known regulatory P assimilation genes in the MED4 genome. Based on these past studies, we filtered out genes if present in all *Synechococcus* and *Prochlorococcus* to detect variation in lineage coverage. We found the relative gene frequency by scaling to the median coverage of single copy core genes (SCCG) [41] across 54 stations. We identified the relative gene frequency for each nutrient listed in Supplementary Information 1, per station, and per taxa (*Synechococcus* and *Prochlorococcus*) as follows:

$$relative\ gene\ frequency_{gene\ in\ taxa} = \sum_{\substack{genomes \\ in\ taxa}} \left[\left(\frac{gene\ coverage_{gene}}{median\ coverage\ of\ SCCG_{taxa}} \right) \left(\frac{total\ reads_{genome}}{total\ reads_{taxa}} \right) \right]$$

Next, we conducted three separate Principle Component Analysis (PCA) for N, P, and Fe assimilation genes, respectively (Supplementary Figure 4). Each relative gene frequency was scaled between 0 and 1 across the 54 stations as inputs to the PCA (*n* x *m* matrix of *n* stations and *m* normalized gene frequencies). A total of four gene indices were produced for each station, where N/P gene = first component of PCA;

$$N_{\text{gene } Prochlorococcus}$$
$$P_{\text{gene } Synechococcus}$$
$$N_{\text{gene } Prochlorococcus}$$
$$P_{\text{gene } Synechococcus}$$

These N and P gene indices for *Prochlorococcus* and *Synechococcus* were subsequently incorporated into an trait model to predict C:P.

ATOM-gene Model

We developed the ATOM-gene model to predict phytoplankton C:P ratios from temperature, irradiance, and metagenomic data on phosphorus and nitrogen nutrient-uptake gene abundance. The ATOM-gene model shares its basic structure with the trait-based phytoplankton model developed by Moreno et. al. [42]. It predicts the C:P of particulate organic matter in the surface ocean using a multi-step process. ATOM-gene first characterizes phytoplankton according to several key functional traits, namely their radius (r) and their allocation of biomass to biosynthetic proteins and ribosomes (E), to photosynthetic proteins (L), to structural components (S), and to nutrient uptake proteins (A). ATOM-gene also represents a luxury nutrient storage pool. Each trait-combination corresponds both to a functional response to environmental conditions, and to a cell quotas of C, N, and P, which we derived from biophysics, physiology, and statistical modeling. The functional response determines the growth rate of cells with each trait-combination (r, E, L, A) in each possible environment, which consist of temperature (T), irradiance (L), and metagenomic uptake gene abundance indices $\mathrm{P_{gene}}$ and $\mathrm{N_{gene}}$. Traditionally, in trait-based phytoplankton models, the functional response to environmental conditions requires nutrient concentrations to calculate growth rates. However, nitrate + nitrite and phosphate nutrient concentrations are frequently below standard assay detection limits. Furthermore, nutrient concentrations were not great predictors across regions. Therefore, we needed genes to detect unseen nutrient stress variability. Here, we treat nutrient concentrations as latent variables, which are not directly observed, and model their concentration using the metagenomic data.

Given the irradiance, temperature, and nutrient-uptake gene abundances in a given sampling location, ATOM-gene uses the functional responses to determine the trait-combination with the fastest growth rate, and predicts that these traits and the resulting C:P characterize the plankton community and particulate organic matter at that sampling site.

ATOM-gene is part of a family of trait-based models that we have developed to predict C:P ratios in phytoplankton, and which extend the model in Moreno et. al. [42] in important ways. First, ATOM-gene does not just model phosphorus availability like [42], but also models nitrogen availability. ATOM-gene includes an additional resource investment pool, representing variable allocations of biomass to surface membrane and periplasmic proteins for nutrient uptake of phosphorus. Lastly, we parameterized the trait-based model in [42] using point estimates of physiological parameters taken from the literature, only using statistical methods to predict luxury P-storage. Here we integrated the entire ATOM-gene model into a Bayesian statistical framework, allowing us to incorporate uncertainty in our understanding of key physiological processes (such as the temperature dependence or different biochemical processes).

Below we describe the model and its parameters. Summaries of the model parameters, and the prior distributions for statistical parameters, can be found in Supplementary Tables 5, 6 and 7. Phytoplankton traits determine C:P according to:

$$(\mathrm{P{:}C}) = \frac{E\mathrm{P}_E + \gamma \mathrm{P}_\gamma + \mathrm{P_{stor}}}{E\mathrm{C}_P + L\mathrm{C}_P + \gamma \mathrm{C}_\gamma + \frac{\alpha(\mathrm{C}_M + A\mathrm{C}_P)}{2r}}.$$

Here P:C is the phosphorus to carbon ratio. $\mathrm{P_E}$ and $\mathrm{P_\gamma}$ are the specific fraction of phosphorus in the biosynthetic protein and structure pool, respectively, with units of gP/g. Their phosphorus content arises from ribosomes in the case of the biosynthetic apparatus, which we model as having a ribosome fraction

of α_E, and DNA/RNA in the case of the structural pool, which we model as occupying a total fraction γ_{DNA} of cellular biomass. P_{stor} is the level of luxury P storage, in units of gP/g. The symbol C_P is the specific fraction of carbon in proteins, with units of gC/g, C_{DNA} is the specific fraction of carbon in DNA, and C_{lip} is the specific fraction of carbon in carbon in lipids, and γ_{lip} is the fraction of cellular biomass in lipids. The fraction of cellular biomass in the inner and outer membranes and periplasmic space is $\frac{\alpha}{r}$, which we assume is half membrane and half periplasmic space. A is the fraction of the periplasmic space occupied by proteins. C_M is the carbon fraction of the inner and outer membranes, which we assume are composed partially of proteins and partially of phospholipids. mol_P and mol_C are the molar masses of phosphorus and carbon.

The traits must satisfy several constraints. The sum of allocations to cytoplasmic components should equal the cytoplasmic fraction of the cell:

$$E + L + \gamma_{DNA} + \gamma_{lip} = 1 - \frac{\alpha}{r}$$

Furthermore, the fraction of the periplasmic volume allocated to proteins satisfies $2rA_{min} < A < 1$.

To predict the stoichiometry in a given environment, ATOM-gene selects the trait combination with the fastest growth rates. Environmental conditions and traits translate into rates of biosynthesis μ_E, photosynthesis μ_L, nitrogen uptake μ_N, and phosphorus uptake μ_P, with overall growth rate determined by the slowest of these processes:

$$\mu = \min(\mu_E, \mu_L, \mu_N, \mu_P).$$

The biosynthesis rate depends linearly on the investment E:

$$\mu_E = k_S(T)E,$$

where the biosynthetic efficiency decreases with temperature with a $Q_{10k} = 2$. The photosynthesis functional response comes from Geider et. al. (see the formulation Moreno et. al. 2018):

$$\mu_L = \frac{f(I,T)L}{1+\phi_S},$$

where we allow the photosynthesis rate to have a non-trivial temperature dependence. Here T is the temperature in degrees centigrade, I is the irradiance measured in $\mu molPhotons/m^2/s$, and ϕ_S is the carbon cost of synthesis in gC/gC. The functional response $f(I,T)$ to light is described in Moreno et. al. 2018, and depends on temperature according to a $Q_{10,photo}$. We assume diffusion-limited growth to derive the nitrogen and phosphorus dependent growth rates:

$$\mu_N = \frac{4\pi D_N[N_{model}]r}{Q_N}, \mu_P = \frac{4\pi D_P[P_{model}]rA}{Q_P}.$$

$$Q_N = \frac{4\pi r^3 mol_N}{\rho p_{dry}\left(\left(E + L + \alpha A/(2r)\right)N_{prot} + \gamma_{DNA}\ N_{DNA} + \alpha/(2r)N_M\right)}$$

$$Q_P = \frac{4\pi r^3 mol_P}{\rho p_{dry}(EP_E + \gamma_{DNA}\ P_{DNA})}\ .$$

We treat concentrations of bioavailable nitrogen and phosphate as latent variables, modeled using the gene frequencies for nitrogen and phosphate uptake genes in *Prochlorococcus and Synechococcus,* respectively.

$$\log[N_{model}] = \log[N_0] - c_N N_{gene},\ \ \log[P_{model}] = \log[P_0] - c_P P_{gene}.$$

The terms N_0, P_0, c_N, and c_P are model parameters, and N_{gene} and P_{gene} are the gene indices introduced earlier. The diffusion coefficients (D_N, D_P) decrease with temperature using $Q_{10D} = 1.5$. ATOM-gene then finds the trait combination with the largest μ. At the optimal solution either:

$$\mu_E = \mu_L = \mu_N < \mu_P \quad \text{(N-limitation)},$$
$$\mu_E = \mu_L = \mu_P < \mu_N \quad \text{(P-limitation)},$$
$$\mu_E = \mu_L = \mu_P = \mu_N \quad \text{(Co-limitation)}.$$

ATOM-gene subsequently determines C:P from this optimal strategy. If the strategy is N-limited, then we assume that the cell does luxury P-storage proportional to the modeled P-concentration:

$$P_{stor} = C_{stor}[P_{model}]\max(0, \mu_c - \mu),$$

where μ_C is a growth rate cutoff above which luxury storage stops.

We selected a prior probability distribution over model parameters (Supplementary Table 2) and implemented ATOM-Gene within the STAN probabilistic programming language (Carpenter et. al.). We integrated C:P, N and P gene indices, temperature, and irradiance (averaged over the top 50 meters), and calculated the posterior probability distribution over model parameters assuming a log-normal probability distribution for C:P:

$$(\text{C:P})_{obs} \sim \text{lognormal}\left((\text{C:P})_{\text{Atom-gene}}(I, T, N_{gene}, P_{gene}, \sigma)\right).$$

We performed this Bayesian optimization for the gene indices computed from both Prochlorococcus and Synechococcus leading to a statistical model of C:P.

Galbraith-Martiny and P-Regression Model

The Galbraith-Martiny model [43] calculates P:C as a linear function of phosphate concentration:

$$(\text{P:C})_{GM} = 6.9x10^3[P_{obs}] + 6.0x10^{-3}.$$

We also created a P-regression based model (Preg) by refitting the Galbraith-Martiny GM model just to the data-set gathered here, assuming a lognormal error model:

$$(\text{P:C})_{Preg} \sim \text{lognormal}\left(\kappa[P_{obs}] + [P_0], \sigma\right).$$

Yvon-Durocher Model and T-Regression Model

The Yvon-Durocher model [44] expresses phytoplankton C:P as an exponential function of temperature:

$$\log(\text{C:P})_{YD} = \Pi(T - 15) + b,$$

where $\Pi = 0.037^{o}\text{C}^{-1}$ and $b = 5.010$. We also created a T-Regression based model by refitting the Yvon-Durocher model to the data-set gathered here, assuming lognormal errors:

$$(\text{C:P})_{Treg} \sim \text{lognormal}(\Pi(T - 15) + b, \sigma).$$

Moreno-Hagstrom Model

The Moreno-Hagstrom model [42] uses the radius (r) and allocation of biomass to biosynthesis (E) and photosynthesis (L) to model C:P, by calculating the trait-combination that leads to maximal growth for each combination of irradiance (I), temperature (T), and phosphorus (P). The Moreno-Hagstrom model models luxury-P storage as a linear function of P, so that:

$$(\text{C:P})_{MH} = \frac{1}{\left((\text{C:P})_{structure} + f_{storage}[P_{obs}]\right)}.$$

It should be noted the relationship between polyphosphate storage and ambient P concentrations has been demonstrated to have an inverse relationship in subtropical North Atlantic *Synechococcus* [45], but the direction appears to be regional dependent [46].

Results

We quantified the variation in the Carbon-to-Phosphorus (C:P) elemental stoichiometry across ocean environmental gradients in the Atlantic, Indian and Pacific Ocean (Figure 1). Generally, C:P ratios decreased towards colder water and higher nutrient concentrations. This pattern was present in the temperate region in the North Atlantic (Figure 1A) and equatorial upwelling in the Pacific Ocean. (Figure 1B). However, in the Indian Ocean C:P decreased toward lower phosphate concentrations and warmer water (Figure 1C) and thus showed the opposite relationship to temperature [3]. Statistical models based solely on phosphate (G-M) or temperature (Y-D) were unable to capture the C:P trends in the Indian Ocean and showed significant biases (Figure 2). All models overestimated C:P in large parts of the Indian Ocean and either over- or underestimated C:P in the equatorial Pacific Ocean. This bias remained even if we refitted the G-M and Y-D models observations from this study suggesting a structural bias. We next tested a more complex previously published trait-based model (Moreno et al), but this model had strong bias, too. Thus, existing models driven by common abiotic factors were unable to predict shifts in the elemental stoichiometry of marine communities.

The incorporation of genomically-derived resource acquisition traits into our model greatly improved the prediction of regional shifts in elemental stoichiometry (Figure 2, R^2 = 0.51 for ATOM-Syn. gene, R^2 = 0.26 for ATOM-Pro. gene). The models incorporating genomically derived traits remained superior in a comparison based on information criteria computed using cross-validation [47] (Supplemental Table 7). We derived resource acquisition traits in *Prochlorococcus* and *Synechococcus* (the two most abundant phytoplankton in these samples) [26] from metagenomes. We then used relative gene frequency of nitrogen and phosphorus acquisition genes to develop an index for the induction of nutrient acquisition machinery for each nutrient and lineage (Supplementary Figure 4). This index assumes cyanobacterial lineages adapt to their environment through genome streamlining and the presence or absence of nutrient acquisition genes are directly related to nutrient stress. We found that shifts in adaptation and investment strategies for nutrient uptake led to lower bias in all the regions (Figure 1, Figure 5). For example, this was the only model that captured the latitudinal gradient in C:P in the Indian Ocean (Figure 1). ATOM-gene is a nonlinear model, and predicted elevated C:P when either the N or P gene index is close to the max. The difference between the North Atlantic Subtropical Gyre and the North Indian is that the gene indices diverge more in the Subtropical North Atlantic. The P gene index is notably higher in the Subtropical North Atlantic than the North Indian. Thus, the nutrient limitation is more extreme in the Subtropical North Atlantic, compared with the North Indian. Similarly, the South Indian has higher C:P because the N gene index peaks there (and the same is true in a few North Pacific data points). Thus, the ATOM-gene model was able to incorporate a previously unknown pattern of nutrient gene frequencies to predict the regional shifts in C:P.

The frequency of nutrient acquisition genes helped resolve variation in nutrient stress at very low nutrient concentrations. We observed a significant correlation between shifts in nutrient acquisition gene frequencies and the ambient nutrient concentration (Figure 3). This was seen for both phosphorus and nitrogen acquisition genes and their respective inorganic nutrient concentrations. However, the ambient nutrient concentration of phosphorus and especially nitrogen was below detection limit in many samples. Additionally, we observed higher relative gene frequencies for iron in the Subtropical Indian Ocean, Equatorial Pacific, and the North Atlantic in *Prochlorococcus* metagenomes (Figure 4a). Whereas higher iron stress in Indian Ocean overlaps with low macronutrient availability, high macronutrient availability is typical of the Equatorial Pacific and Temperate North Atlantic, as shown by N and P relative gene frequencies (Figure 4). Here we detected large variations in gene frequencies suggesting corresponding

shifts in nutrient stress. Thus, metagenomic analyses across diverse ocean regions provided a high-sensitivity quantification of nutrient stress.

The frequency of *Prochlorococcus* acquisition genes suggested regional shifts in nutrient stress by both a single and multiple nutrients. As seen in earlier studies, we detected a high frequency of P acquisition genes for *Prochlorococcus* in the subtropical North Atlantic Ocean below 39˚N, where phosphate concentrations were low (Figure 4A)[41]. This included genes responsible for the regulation and uptake of dissolved organic P, arsenate detoxification, and several of unknown function. We also saw elevated P acquisition genes for *Prochlorococcus* in the north Indian Ocean and Bay of Bengal (between 1˚ and 17˚N). In contrast, P acquisition genes were low in all samples from the Pacific Ocean and south Indian Ocean. *Prochlorococcus* N acquisition genes showed a different biogeographical pattern. Urea acquisition genes were frequent in all samples with the exception of the high nitrate areas in the equatorial Pacific Ocean and temperate waters in the North Atlantic Ocean. Nitrite and nitrate acquisition genes were frequent throughout the Indian Ocean (with the exception of samples on the equator) and in the northern part of the Pacific Ocean transect. However, nitrite and nitrate genes were less common in the North Atlantic subtropical waters. Iron acquisition genes were common in equatorial Pacific Ocean. Thus, we detected a clear biogeography of genes involved in N, P, and Fe in *Prochlorococcus*.

We observed a partial correspondence between the frequency of nutrient acquisition genes in *Prochlorococcus* and *Synechococcus* suggesting some lineage-specific adaptations to specific ocean environmental conditions (Figure 4A). Overall, the regional shifts in *Prochlorococcus* and *Synechococcus* genome content were significantly correlated (Mantel test $R = 0.65$, p-value < 0.001). In *Synechococcus*, there was also a high frequency of P acquisition genes in the subtropical North Atlantic Ocean and north Indian Ocean (Figure 4C). However, it appeared that the Indian Ocean area with high P acquisition genes spread further south in *Synechococcus* compared to *Prochlorococcus*. N acquisition genes were also frequent in nearly all samples for *Synechococcus,* whereas the genes were more geographically restricted in *Prochlorococcus*. There was some evidence of increase in *Synechococcus* iron acquisition genes in the equatorial Pacific Ocean, but the pattern was not strong. This method is favorable within the relatively stable environments inhabited by *Synechococcus* and *Prochlorococcus* leading to the selection for specialized ecotypes. The gene index results are more distinct for *Prochlorococcus* (Figure 4), likely due to their higher degree of genomic streamlining. Thus, the biogeographical shifts in nutrient acquisition genes were more pronounced for *Prochlorococcus* compared to *Synechococcus*.

The variation in nutrient acquisition genes may be linked to shifts in stress by one or more nutrients (Figure 4b,d; Supplementary Figure 4). The frequency of nutrient acquisition genes suggested P stress but also some N co-stress in the western North Atlantic Ocean and north Indian Ocean. The North Pacific Ocean and south Indian Ocean appeared to be N stressed. The equatorial Pacific Ocean was iron stressed. However, the gene frequencies suggested that a brief transition region around 10˚N in the North Pacific Ocean experienced co-stress by N and Fe. *Synechococcus* appeared to be stressed by N in temperate North Atlantic Ocean waters whereas *Prochlorococcus* appeared more stressed by iron. Similarly, *Synechococcus* showed evidence of P stress in parts of the south Indian Ocean but this was not seen in *Prochlorococcus*. Shifts in the relative gene frequency corresponded to shifts in clade ecotypes (Supplementary Figure 2). Thus, metagenomic analyses of phytoplankton populations suggested regional shifts in stress by one or multiple nutrients.

We used additional ecosystem measurements to verify the predictions from ATOM-gene and the overall resource investment strategies. In the Indian Ocean, uptake kinetics for the ATOM-Gene model were positively correlated with observed uptake rates for nitrate, ammonium, and urea (Figure 5,

Supplementary Table 3). The implied nutrient distributions matched our observations of increasing N northwards and vice versa for P into the subtropical Indian Ocean gyre. Increases in N and P uptake rates, cellular investment in photosynthesis and biosynthesis, and cell volume corresponded to reduced nitrogen stress (Supplementary Table 3). The aforementioned parameters were significantly correlated to higher *in situ* N uptake rates and lower relative N gene frequency for *Prochlorococcus* and *Synechococcus*. Phosphorus stress appeared to have little impact on C:P and cellular uptake traits in the Indian Ocean, unlike the other two basins (Supplementary Figure 5). Although P investment increased into the subtropical Indian Ocean gyre, there was little influence on P luxury uptake and storage (Supplementary Figure 10). Supplementary Figures 8 and 9 show draws from the posterior-predictive distribution of C:P. We give summaries of the posterior distribution over model parameters in Supplementary Tables 8 and 9, where $\hat{R} = 1$ suggests convergence of the Markov Chain Monte Carlo integrator. Supplementary Figures 6 and 7 show the posterior draws for each pair of variables. Only larger cells in the temperate North Atlantic exhibited P storage in the ATOM-Gene model. The small number of data points with metagenome information prevented tight inference of parameter values, but the posterior distribution favors the hypothesis that the effect of nutrient stress on cell size and ribosomal content is the strongest driver of C:P in the regions sampled, with smaller than expected roles for temperature and luxury storage. This is reflected by the posterior favoring small values of the luxury storage parameter and higher values of the Q10 for photosynthetic processes. Consequently, the interaction between N and P stress as seen in the genomic observations could be the underlying mechanism leading to latitudinal shifts in C:P.

Discussion

Linking ‘omics with global biogeochemistry is a major research challenge and opportunity [48–51]. A great deal of molecular data is being generated [52,53], but there is a limited current application of this new knowledge towards understanding large-scale changes in the Earth system [54]. Trait-based approaches are attractive for scaling from individual organisms to key ecosystem functions by using a model intermediate [55,56]. We here use this approach as an intermediate for linking genomic information with ocean biogeochemical processes. By quantifying the spatial variation due to differences in nutrient assimilation genes, we improved our predictions of C:P across three major ocean basins (Figure 1 and 2). The ATOM-gene model allowed for multiple nutrient indexes (N and P), where *in situ* nutrient observations were undetectable, resulting in significant improvements to the existing trait model [42]. Importantly, the gene index quantifies cyanobacterial adaptation to nutrient stressors in regions for which we have limited knowledge (e.g., the central Indian Ocean). Nutrient stress may occur through diffusive limitation at low ambient concentrations, the magnitude of nutrient fluxes, the ratio of nutrient supply, or nutrient co-limitation. Additionally, both *Synechococcus* and *Prochlorococcus* can utilize different P and N sources [57]. Thus, genome shifts integrate these unknowns through the selective pressure to retain particular genes in nutrient-poor biomes.

The frequency of nutrient assimilation genes greatly improved our understanding of nutrient stress and elemental stoichiometry of marine communities. In particular, the results showed surprising patterns of P and N stress in the less studied Indian Ocean. Our results support a recent analysis of *Synechococcus* and *Prochlorococcus* elemental quotas, leading to a gradient of N, P, and Fe stress in the Indian Ocean [58]. The Bay of Bengal showed evidence of P stress but lower N:P and C:P ratios. We attribute this contradictory observation to an interaction between N and P stress as the upregulation of P uptake proteins is restricted by N stress [59]. Culture studies have shown that N and P stress interact in controlling the overall cellular physiology and C:N:P [5]. However, it has been a challenge to translate these findings to field communities. Some of this confusion originates from difficulties in constraining

external N and possibly P sources from atmospheric deposition and N-fixation. This leads to a poorly constrained N:P supply ratio. It is unclear why we see evidence of increased P stress near the Bay of Bengal, but it is tempting to attribute it to elevated N-fixation [8,60]. Similar to recent observations of dissolved and particulate Fe, we saw indications of Fe stress via *Prochlorococcus* Fe assimilation genes in the Subtropical Indian Ocean gyre [58,61]. We also saw a high presence of Fe assimilation genes in regions with low C:P, where *Synechococcus* and *Prochlorococcus* cell abundances remained elevated [28]. As expected, this was seen for the equatorial Pacific HNLC region [62]. Our data also support past studies indicating that the subtropical North Atlantic Ocean [63] and the southern Indian Ocean [58] could experience some iron stress. Thus, our genomic techniques are unveiling regions, where we have a limited understanding of trace-metal stress.

Our approach is based on an assumption of rapid adaptation leading to direct association between genome content and environmental conditions [64–67]. Tropical and subtropical ocean regions have fast bacterial turnover leading to rapid selection and genome streamlining [68]. However, environments with slow bacterial turnover may include ecotypes or genes that reflect past environmental conditions. Different lineages may also experience unique stress [69] whereas we here only analyzed the abundant marine Cyanobacteria. Our dataset includes few representative stations from high latitudes, where light or temperature may be the dominant selective factors [70,71]. In such conditions, transcriptomics or proteomics may be more applicable. However, these techniques suffer from their own caveats like strong diel cycles [72,73] or low correlation between RNA and protein expression [74,75]. Thus, the exact link between ‘omics measurements and biogeochemical processes needs to be tailored to the system of interest.

‘Omics techniques can be powerful for understanding the environmental conditions experienced by microorganisms. This principle is also applied in other ecosystem settings. A high presence of Proteobacteria in the human gut may be an indicator of an imbalance in the redox potential and ‘ecosystem’ dysbiosis [76]. Similarly, the presence of ammonia monooxygenase may be indicative of nitrification [77]. In many ecosystems, it can be very challenging to quantify microbial physiology and stress. Thus, a carefully calibrated genomic approach could become a widespread tool for understand microbial responses to environmental changes and the biogeochemical outcomes.

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Figures and Tables

Table 1: Mean environmental characteristics for each ocean cruise transect. Pro = *Prochlorococcus*, Syn = *Synechococcus*, Pmax = maximum uptake rate, Ks = half saturation PO_4 concentration. BD = below detection and NA = not measured. Pmax (maximum uptake of PO_4) and Ks (half saturation concentration of PO_4) are calculated according to Micheaelis-Menton functional kinetics for the whole community [25]; $Puptake_i = (Pmax * [P_i]) / ([P_i] + Ks)$.

Figure 1: Observations and predictions of seston elemental stoichiometry. *In situ* measurements of particulate organic matter C:P are shown in gray, with selected stations in black where nutrient uptake incubations were performed for the *(a)* Atlantic *(b)* Pacific and *(c)* Indian Oceans [3]. Predicted C:P is shown by the ATOM-Syn trait-gene model (blue) and Galbraith-Martiny [43] phosphate regression model (red). Additional environmental variables of temperature (green), photosynthetically active radiation (purple), and phosphate (orange) are shown below for the *(d)* Atlantic *(e)* Pacific and *(f)* Indian Oceans from Supplementary Table 2.

Figure 2. Trait model C:P bias. Statistical results for the predicted C:P models showing *(a)* Coefficient of determination *(b)* residuals ($\log_{10}$ (predictions) – $\log_{10}$ (observations))across stations where surface C:P measurements were taken. Red indicates a positive bias, and blue negative bias. Since the distribution of C:P data looks much more lognormal we plotted the bias of the log-transformed data and models, and computed the percentage of variance of the log-transformed data that the models explained. The coefficient of determination was calculated as;
R^2 = 1 – [mean($\log_{10}$(observations) - $\log_{10}$(predictions))^2)/(mean(($\log_{10}$(observations)-mean($\log_{10}$(observations))^2))].

Figure 3. PCA component 1 versus nutrient concentrations. *In situ* nutrient concentrations for phosphate and nitrate are plotted against the first principle component calculated from relative gene frequencies for *(a) Prochlorococcus* phosphorus assimilation genes (R^2 = 0.65, *p*-value < 0.001 *), (b) Synechococcus* phosphorus assimilation genes (R^2 = 0.52, *p*-value < 0.001*, (c) Prochlorococcus* nitrogen assimilation genes (R^2 = 0.78, *p*-value < 0.001), and *(d) Synechococcus* nitrogen assimilation genes (R^2 = 0.02, *p*-value = 0.35). High sensitivity phosphate measurements (filled red) were done using a MAGIC-SRP assay [32]. Otherwise nitrate and phosphate observations were taken using standard methods (open circles)[78]. DL = Detection limit.

Figure 4. Variation among relative gene frequencies between stations. Green = nitrogen, Purple = phosphorus, red = iron. Matrices based on normalized gene frequency are significantly correlated (Mantel test R = 0.65, *p*-value < 0.001). The heatmaps for *(a) Prochlorococcus* and *c) Synechococcus* cluster the relative gene frequencies along the top according to functional role for each station row. The Principle Component Analysis (PCA) plots for *(b) Prochlorococcus* and *(d) Synechococcus* show the variation among stations that is solely attributed to differences in relative gene frequencies. If the overall contribution of N, P, or Fe genes cluster along one direction, we have added a textbox labeled "N stress, P stress, or Fe Stress" to panels *(b)* and *(d)*. *Prochlorococcus* relative gene frequencies cluster the stations according to these three nutrient stressors. *Synechococcus* relative gene frequencies cluster the stations mainly along two (Fe and P stress), with weak contributions from N relative gene frequencies. A red asterick (*) has been added to samples deeper than 50m.

Figure 5. Evaluation of nutrient stress indices against ATOM-Gene and *in situ* uptake parameters in the Indian Ocean. Relative gene frequencies of *(a)* nitrogen and *(b)* phosphorus genes is shown for *Prochlorococcus* (blue) and *Synechococcus* (orange-red). ATOM-Gene estimates for *(c)* N uptake and *(d)* P uptake normalized to cell volume are compared to the *in situ* parameters of *(e)* Absolute uptake of N species (nitrate-green, urea-purple ammonium-gold) and *(f)* the ratio of particulate organic carbon to phosphorus. *In situ* uptake rates and C:P are presented in [3,26]. Absolute uptake rates measure the accumulation of a substrate within particles.

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