

1 **Understanding patterns of distribution shifts and range expansion/contraction for the**
2 **small yellow croaker (*Larimichthys polyactis*) population of the Yellow Sea**

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47 **ABSTRACT**

48 Detecting and understanding patterns of distribution shifts and range
49 expansion/contraction for fish populations is important to explore potential mechanisms for
50 population dynamics and communicate changes in stock status. In this study, we developed a
51 spatio-temporal model for the small yellow croaker (“yellow croaker”; *Larimichthys*
52 *polyactis*) population of the Yellow Sea for the period 2001-2017. This model was fitted to
53 biomass catch rate data collected by the fixed-station bottom trawl surveys conducted in the
54 Yellow Sea in the winter (January) of 2001–2011 and 2015–2017. The spatio-temporal model
55 accounts for both spatial and spatio-temporal structure at a fine scale, and can potentially
56 include the effects of sea surface temperature and of an annual index, the Pacific Decadal
57 Oscillation, which is represented using a recently-developed spatially-varying coefficient
58 model. We employed the spatio-temporal model to estimate changes in the northward and
59 eastward centers of gravity (COGs) and effective area occupied of yellow croaker over the
60 period 2001-2017, to reveal patterns of distribution shifts and range expansion/contraction for
61 the species. We selected a spatio-temporal with no covariates based on Akaike’s Information
62 Criterion. This model estimated that the COG of yellow croaker moved north and west
63 between 2001 and 2010, and then south and west over the period 2010-2017. Only the
64 westward shifts of yellow croaker COG were found to be statistically significant. These
65 results reflected the progressive disappearance of yellow croaker density hotspots (i.e.,
66 highest density areas) in the north and southeast areas of the Yellow Sea that was predicted by
67 the spatio-temporal model, which resulted in the central area of the Yellow Sea becoming the
68 only yellow croaker density hotspot in 2017. This finding has important implications for
69 fisheries management in the context of the China-South Korea fisheries agreement, as it
70 indicates a measurable displacement of yellow croaker biomass towards China. The spatio-
71 temporal model developed in this study is one of the first for the Yellow Sea, and it is the first

72 spatio-temporal model for the Yellow Sea that implements a spatially-varying coefficient
73 model to represent the effects of an annual index, namely the PDO. Our spatio-temporal
74 modeling framework will allow for investigations for other species that inhabit the Yellow
75 Sea and will contribute valuable information about essential fish habitats (e.g., spawning and
76 nursery grounds) and their spatial evolution, thereby supporting the development of spatial
77 protection plans and other resource management measures for the Yellow Sea.

78 **1. Introduction**

79 Detecting and understanding patterns of distribution shifts and range
80 expansion/contraction for fish populations is important for effective resource management.
81 This understanding can facilitate the development of adaptative and flexible monitoring
82 programs that appropriately sample fish populations and, thereby, provide reliable data to
83 stock and habitat assessments (Karp et al., 2019). It can also help scientists and resource
84 managers anticipate potential changes in the productivity of fish stocks and marine
85 ecosystems and in fisheries catches, and foresee management measures accordingly (Cheung
86 et al., 2009, 2012).

87 Evidence is accumulating that anthropogenic (e.g., fishing) and/or environmental (e.g.,
88 changes in sea temperature) stressors may have resulted in large distribution shifts in many
89 marine fish populations (e.g., Blanchard et al., 2005; Perry et al., 2005; Pinsky et al., 2013).
90 Most of the studies that have investigated patterns of distribution shifts have estimated
91 changes in the centers of gravity (COGs) of fish populations directly from monitoring data
92 (e.g., Perry et al., 2005; Dulvy et al., 2008; Pinsky et al., 2013; Engelhard et al., 2014). In
93 other studies, spatio-temporal models were employed to compute northward and eastward
94 COGs for understanding patterns of distribution shifts, as well as the effective area occupied
95 of fish populations for understanding patterns of range expansion/contraction (e.g., Thorson et
96 al., 2016a; Grüss and Thorson, 2019; Grüss et al., 2019b).

97 Fishing can greatly reduce the abundance of fish populations and alter their age and
98 length structure (Li et al., 2012; Bell et al., 2015) and can have profound impacts on species
99 interactions (Rijnsdorp et al., 2009), often resulting in the shrinkage or displacement of the
100 spatial distribution areas of fish populations (Bell et al., 2015). Several studies found that the
101 spatial distribution changes caused by a decline in population abundance can, in some cases,

102 be explained by either the proportional-density model based on ideal-free distribution theory
103 or the basin model based on the density-dependent habitat selection theory (MacCall, 1990;
104 Petitgas et al., 1998; Fisher and Frank, 2004; Shepherd et al., 2010; Reuchlin-Hughenoltz et
105 al., 2015; Thorson et al., 2016b). The basin model assumes that, as the area occupied by a fish
106 population declines as a result of a decrease in population abundance, catch rate in the areas
107 stills occupied by the fish populations remains high (Harley et al., 2001). The assumptions of
108 the basin model are exemplified by the collapse of the northern cod (*Gadus morhua*) fishery
109 off eastern Canada (Walters et al., 1996; Wilberg et al., 2009). Using a spatio-temporal
110 model, Thorson et al. (2016b) found that the basin model explained a small, yet relatively
111 important, percentage of spatial dynamics for several groundfish stocks of the eastern Bering
112 Sea, Gulf of Alaska, northwest Atlantic and South Africa.

113 Changes in the marine environment can take many forms, including profound alterations
114 in oceanographic processes such as large fluctuations in sea temperature (Brander et al.,
115 2003). Many studies suggest that changes in sea temperature have a great influence on fish
116 distribution shifts, by triggering latitudinal migrations out of the areas where sea temperature
117 becomes suboptimal, or by greatly reducing population fitness and abundance if fishes do not
118 leave the areas where environmental conditions become suboptimal (e.g., Overholtz et al.,
119 2011; Li et al., 2012; Cheung et al., 2013; Bell et al., 2015; Su et al., 2015). However, in a
120 study focusing on the Atlantic mackerel (*Scomber scombrus*) population of the central
121 Atlantic coast, Radlinski et al. (2013) found that the effect of sea temperature on fish spatial
122 distribution varied with fish individual size and that, in some years, environmental variables
123 other than sea temperature may have been the most important factors influencing distribution
124 shifts. In a recent study for the eastern Bering Sea, Thorson (2019b) examined the relative
125 impacts of local sea temperature and an annual oceanographic index (the cold pool index) in
126 explaining the density patterns of 17 fish and invertebrate species. The authors found that

127 local sea temperature and the cold pool index jointly explained around 9-14% of the spatio-
128 temporal variation in density, and that the cold pool index explained spatio-temporal variation
129 in density in excess of local sea temperature alone.

130 The Yellow Sea is an important fishing ground located in the warm temperate zone (Fig.
131 1; Liu et al, 1990). Many fish species of high socio-economic importance inhabit the Yellow
132 Sea (Jin et al, 2005). Despite a large interest in understanding the spatio-temporal distribution
133 patterns of fish populations of the Yellow Sea in relation to environmental stressors, very few
134 studies have addressed this research issue. Many commercially important species have their
135 overwintering grounds in the Yellow Sea, including the benthopelagic small yellow croaker
136 (*Larimichthys polyactis*; hereafter referred to as “yellow croaker”). Yellow croaker is one of
137 the most representative species of the Yellow Sea (Zhu, 1963; Liu et al, 1990; Jin et al, 2005).
138 From 2001 to 2016, China's catch of yellow croaker in the Yellow and Bohai Seas has been
139 increasing, reaching 27 million tons in 2016 (Bureau of Fisheries and Fishery Administration
140 of Ministry of Agriculture, China, pers. comm.). Yellow croaker spawns in the coastal waters
141 of China, but undertakes post-spawning migrations to regions of the Yellow Sea where it is
142 also caught by South Korean fishing fleets (Jin et al., 2015). There is a critical need to better
143 understand the spatial distribution and migration patterns of yellow croaker, the changes in
144 these patterns through time, and how these patterns may respond to changes in environmental
145 stressors, to help the formulation of resource management measures for the species.

146 Yellow croaker is an economically important migratory benthopelagic fish of the Bohai,
147 Yellow and East China Seas and the western bank of the Korean Peninsula (Zhu, 1963).
148 Yellow croaker has long been targeted by Chinese, South Korean and Japanese fishing vessels
149 in the above-mentioned marine regions (Zhu, 1963). The yellow croaker population is mainly
150 divided into a northern Yellow Sea-Bohai Sea stock, a southern Yellow Sea stock, and an
151 Eastern China Sea stock (Liu et al, 1990). In the present study we analyze data for a survey

152 conducted in the middle and southern Yellow Sea during winter months; the area covered
153 represents the main overwintering grounds of the northern Yellow Sea-Bohai and southern
154 Yellow Sea stocks of yellow croaker (Liu et al, 1990; Jin et al, 2005). We refer to this area
155 simply as the “Yellow Sea” in the remainder of the present paper. The overwintering grounds
156 of yellow croaker in the Yellow Sea are included in those waters that are governed by the
157 China-South Korea fisheries agreement. The fishing grounds of some of the Chinese fisheries
158 that operate in the Yellow Sea have contracted due to the signing of this fisheries agreement.
159 This situation has reduced the areas that Chinese fishers can fish and the amount of fish they
160 can catch (Jin et al., 2015). The China-South Korea fisheries agreement stipulates that China
161 is responsible for fisheries management in the western part of the Yellow Sea, and South
162 Korea in the eastern part. Each contracting party is responsible for determining each year the
163 allowable fishing species, fishing quota, operating time, operating area and other operating
164 conditions of the national and foreign fishing vessels in its exclusive economic zone, and it
165 needs to inform the other contracting party. Understanding patterns of distribution shifts and
166 range expansion/contraction for yellow croaker in their overwintering grounds of the Yellow
167 Sea may provide valuable information for effective resource management in the context of the
168 China-South Korea fisheries agreement.

169 In the present study, we fit a delta-Gamma spatio-temporal model to bottom trawl survey
170 biomass catch rate data for the yellow croaker population of the Yellow Sea to understand the
171 patterns of distribution shifts and range expansion/contraction of the fish population over the
172 period 2001-2017. We employed a spatio-temporal model rather than calculating COGs
173 directly from monitoring data, as spatio-temporal models account for spatio-temporal changes
174 in survey design and effort and can therefore account for random or systematic variation in
175 sampling design when calculating changes in fish spatial distributions (Thorson et al., 2016a).
176 First, we developed four alternative spatio-temporal models for the yellow croaker population

177 of the Yellow Sea, formed from a factorial cross of including or ignoring the quadratic effect
178 of local surface temperature, as well as a spatially varying effect of the Pacific Decadal
179 Oscillation (PDO; an annual oceanographic index), and we identified the most parsimonious
180 of these four models based on Akaike's Information Criterion (AIC). We used the estimates
181 of the four alternative delta-Gamma spatio-temporal models to determine the relative
182 importance of sea temperature and the PDO in explaining spatio-temporal patterns of
183 probability of encounter (predicted by the binomial component of the delta-Gamma model)
184 and positive density (predicted by the Gamma component of the delta-Gamma model). Next,
185 we employed the AIC-selected delta-Gamma spatio-temporal model to examine trends in the
186 northward and eastwards COGs and effective area occupied of the yellow croaker population
187 of the Yellow Sea, so as to understand patterns of distribution shifts and range
188 expansion/contraction for the fish population. Our study uses the spatially-varying coefficient
189 (SVC) model developed in Thorson (2019b) to represent the effects of an annual index
190 (namely the PDO) in the spatio-temporal model of yellow croaker. This is the first time that a
191 spatio-temporal model using the SVC model has been developed for the Yellow Sea.

192

193 **2. Material and methods**

194 ***2.1. Data used in this study***

195 In this study, we used the yellow croaker biomass catch rate data (kg.km^{-2}) that were
196 collected by the fixed-station bottom trawl surveys that were conducted in the Yellow Sea in
197 the winter (January) of 2001–2011 and 2015–2017 by the Yellow Sea Fisheries Research
198 Institute of the Chinese Academy of Fishery Sciences (Fig. 1). The research vessel "Bei Dou"
199 (56.2-m length, 12.5-m width, 5.1-m draft, 1,165 tons and 2,250 horsepower) was employed
200 for the surveys. Sampling consisted of 1-hour tows at a speed of 3 knots using a standard
201 bottom trawl with 83.2 m long nets, with a 20 cm mesh, a 24 mm cod-end mesh size, and a

202 mouth circumference of 167.2 m. After the tows were carried out, all fishes and invertebrates
203 were identified to species or the lowest taxonomic level possible, and abundance, biomass and
204 biological information were then recorded for each species/taxon.

205 We also included sea surface temperature (SST) data for the period 2001-2017 in this
206 study. Monthly 4 km × 4 km SST composites for January of 2001-2017 for the study area
207 were downloaded from a National Aeronautics and Space Administration (NASA) database
208 (<http://oceandata.sci.gsfc.nasa.gov/cgi/getfile/>). Remotely-sensed SST data were employed in
209 this study, because the winter bottom trawl surveys that are conducted in the Yellow Sea do
210 not collect any temperature data or any other environmental data. In the Yellow Sea, during
211 the winter season, when sea temperature cools down and ocean waters mix vertically, the
212 temperature in the water column becomes relatively uniform, so that it is reasonable to use
213 SST as a proxy for the temperature of any layer of the water column, including the sea bottom
214 (Jin et al., 2005; Radlinski et al., 2013). Therefore, even though yellow croaker is a
215 benthopelagic species, it is reasonable to assume that SST has a potential relationship to its
216 spatial distribution and density patterns during the winter season.

217 Finally, PDO for the period 2001-2017 were utilized in the present study. PDO
218 estimates for 2001-2017 for the study area were downloaded from
219 <http://research.jisao.washington.edu/pdo/>. We downloaded PDO estimates for December-
220 February, from which we calculated a mean PDO value for the winter of each year of the
221 period 2001-2017. The PDO summarizes annual variation in the location of warm waters in
222 the North Pacific, and is the main mode of variability at a decadal time scale in the Pacific
223 Ocean (Tian et al., 2004).

224

225 ***2.2. Spatio-temporal modeling***

226 We developed four alternative delta-Gamma spatio-temporal models for the yellow
227 croaker population of the Yellow Sea that included the effects of SST and/or the PDO or none
228 of these effects, and we identified the most parsimonious of these four models based on AIC
229 (Akaike, 1974). These spatio-temporal models were spatio-temporal generalized linear mixed
230 models (GLMMs) that accounted for spatial and spatio-temporal structure at a fine scale,
231 which were fitted to the yellow croaker biomass catch rate data collected during winter
232 bottom trawl surveys. Specifically, we fitted the following four spatio-temporal models,
233 which included up to 2 covariates: (1) M1: a model with no covariates; (2) M2: a model
234 including the quadratic effect of SST, representing a dome-shaped response to local
235 temperatures; (3) M3: a model including the spatially-varying effect of the PDO represented
236 using an SVC model (Thorson, 2019b); and (4) M4: a model including the quadratic effect of
237 SST and the spatially-varying effect of PDO (represented using an SVC model).

238 We relied on delta-Gamma GLMMs, because the yellow croaker biomass catch rate data
239 that we employed in this study included many zeros (Thorson et al., 2015). In other words, we
240 first fitted a binomial GLMM to encounter/non-encounter data for yellow croaker, then fitted
241 a gamma GLMM to positive biomass catch rate data, and finally multiplied the predictions of
242 the binomial and Gamma GLMMs to obtain final biomass-density estimates for yellow
243 croaker (Lo et al., 1992; Grüss et al., 2019b). The spatio-temporal delta-Gamma GLMMs
244 were implemented using R package “VAST” (Thorson, 2019a), which is publicly available
245 online (<https://github.com/James-Thorson-NOAA/VAST>). We employed the spatio-temporal
246 delta-Gamma not only to estimate spatio-temporal patterns of biomass-density for yellow
247 croaker, but also to understand how the northward and eastward COGs and effective area
248 occupied of the yellow croaker population may have changed over the period 2001-2017, as
249 described in detail below. In the following, we describe the implementation of model M4

250 which includes the quadratic effect of SST and the spatially-varying effects of the PDO; the
 251 implementation of models M1-M3 is similar.

252 Yellow croaker probability of encounter p_i at site (sampling station) $s(i)$ was
 253 estimated by the binomial GLMM with a logit link function and linear predictors, including a
 254 Gaussian Markov random field representing spatial variation in probability of encounter and
 255 another Gaussian Markov random field representing spatio-temporal variation in probability
 256 of encounter:

$$p_i = \text{logit}^{-1} \left(\beta_{t(i)}^{(p)} + \omega_{s(i)}^{(p)} + \varepsilon_{s(i),t(i)}^{(p)} + \gamma_{t(i),1}^{(p)} T_{s(i),t(i)}^{(p)} + \gamma_{t(i),2}^{(p)} T_{s(i),t(i)}^{2(p)} + \xi_{s(i),t(i)}^{(p)} \right) \quad (1)$$

257 where $\beta_{t(i)}^{(p)}$ is the intercept for year $t(i)$ in which sample i was collected; $\omega_{s(i)}^{(p)}$ is the spatially
 258 correlated variability in probability of encounter at the site $s(i)$ where sample i was collected;
 259 $\varepsilon_{s(i),t(i)}^{(p)}$ is the spatially correlated variability in probability of encounter at site $s(i)$ in year
 260 $t(i)$; $\gamma_{t(i),1}^{(p)} T_{s(i),t(i)}^{(p)}$ is the linear effect of SST on probability of encounter at site $s(i)$ in year
 261 $t(i)$; $\gamma_{t(i),2}^{(p)} T_{s(i),t(i)}^{2(p)}$ is the quadratic effect of SST on probability of encounter at site $s(i)$ in
 262 year $t(i)$; and $\xi_{s(i),t(i)}^{(p)}$ is the spatially-varying effect of the PDO on probability of encounter at
 263 site $s(i)$ in year $t(i)$. Both the T and T^2 covariates were standardized to have a mean of zero
 264 and a variance of one prior to being used in the spatio-temporal models; this transformation
 265 implied that $\gamma_1^{(p)} T^{(p)}$ and $\gamma_2^{(p)} T^{2(p)}$ (i.e., T and T^2 times their coefficient) had a standard
 266 deviation equal to $\gamma_1^{(p)}$ and $\gamma_2^{(p)}$, respectively (Thorson, 2015; Grüss et al. 2020).

267 The intercept and the linear and quadratic effects of SST are fixed effects. On the other
 268 hand, the spatial term, $\omega^{(p)}$, the spatio-temporal terms, $\varepsilon_t^{(p)}$, and the spatially-varying effect
 269 of the PDO, $\xi_t^{(p)}$, are random effects and are assumed to follow a multivariate normal
 270 distribution and, in the case of the spatio-temporal terms, temporal variation is assumed to
 271 follow a random-walk process in time:

$$\begin{aligned}
\omega^{(p)} &\sim MVN(\mathbf{0}, \sigma_{p\omega}^2 \mathbf{R}(\kappa)) \\
\varepsilon_t^{(p)} &\sim MVN(\varepsilon_{t-1}^{(p)}, \sigma_{p\varepsilon}^2 \mathbf{R}(\kappa)) \\
\xi_t^{(p)} &\sim MVN(\mathbf{0}, \sigma_{p\xi}^2 \theta_s P_t)
\end{aligned}
\tag{2}$$

272 where $\mathbf{R}(\kappa)$ is the correlation among locations as a function of decorrelation distance κ ; $\sigma_{p\omega}^2$
273 is the estimated pointwise variance of the spatial variation in probability of encounter; $\sigma_{p\varepsilon}^2$ is
274 the estimated pointwise variance of the spatio-temporal variation in probability of encounter;
275 P_t is the PDO and $\theta_s P_t$ is the PDO effect; and $\sigma_{p\xi}^2$ is the estimated pointwise variance of the
276 PDO effect. The \mathbf{R} terms are calculated from a Matérn function that take geometric anisotropy
277 (the fact that autocorrelation between locations may vary with both distance and direction)
278 into account (Thorson et al., 2015). Following Thorson et al. (2016a), we chose to use a
279 random-walk temporal process rather than an autoregressive process to estimate the spatio-
280 temporal term, so as to ensure that sites and/or time intervals without sampling do not exhibit
281 mean-reversion, which could otherwise shrink COG estimates for undersampled time periods
282 towards the average COG for better sampled periods.

283 Similarly, yellow croaker positive biomass catch rate r_i at site $s(i)$ was estimated by the
284 Gamma GLMM with a log link function and linear predictors, including a Gaussian Markov
285 random field representing spatial variation in positive catch rate and another Gaussian
286 Markov random field representing spatio-temporal variation in positive catch rate:

$$r_i = \exp\left(\beta_{t(i)}^{(r)} + \omega_{s(i)}^{(r)} + \varepsilon_{s(i),t(i)}^{(r)} + \gamma_{t(i),1}^{(r)} T_{s(i),t(i)}^{(r)} + \gamma_{t(i),2}^{(r)} T_{s(i),t(i)}^{2(r)} + \xi_{s(i),t(i)}^{(r)}\right)
\tag{3}$$

287 where the parameters on the right side of Eq. (3) have the same meaning and characteristics as
288 the parameters on the right side of Eq. (1), except that they apply to log-catch rate.

289 For computational efficiency, we specified 100 ‘‘knots’’ ($n_j = 100$) to approximate all
290 the spatial and spatio-temporal variation terms over a fixed spatial domain Ω , such that the

291 value of each spatial or spatio-temporal variation term is tracked at each knot (Shelton et al.,
 292 2014). The 100 knots were uniformly distributed over a 15' × 15' (arc-minutes) prediction grid
 293 developed for the present study (Fig. 2). The values of all spatial and spatio-temporal
 294 variation terms are tracked at each knot by the spatio-temporal model, and the value of a
 295 spatial or spatio-temporal variation term at a given location is interpolated from the value of
 296 three knots surrounding that location (see Grüss et al. (2020) for more details about the
 297 procedure). After knots have been determined, the location of the 100 knots is held fixed
 298 when the parameters of the GLMMs are estimated. One hundred knots offered a good
 299 compromise between accuracy and computational speed; we confirmed that parameter
 300 estimates and GLMM predictions were qualitatively similar when the number of knots was
 301 increased.

302 After the binomial and gamma GLMMs were fitted, we mapped yellow croaker
 303 biomass-density in the Yellow Sea, using the 15' × 15' prediction grid developed for the
 304 present study. Next, we estimated the biomass of the yellow croaker population in year t , \hat{B}_t ,
 305 as:

$$\hat{B}_t = \sum_{j=1}^{n_j} A_j \hat{p}_{j,t} \hat{r}_{j,t} \quad (4)$$

$$= \sum_{j=1}^{n_j} A_j \text{logit}^{-1} \left(\hat{\beta}_t^{(p)} + \hat{\varepsilon}_{j,t}^{(p)} + \hat{\omega}_j^{(p)} + \hat{\gamma}_{t,1}^{(p)} T_{j,t}^{(p)} + \hat{\gamma}_{t,2}^{(p)} T_{j,t}^{2(p)} + \hat{\xi}_{j,t}^{(p)} \right) \exp \left(\hat{\beta}_t^{(r)} \right. \\ \left. + \hat{\varepsilon}_{j,t}^{(r)} + \hat{\omega}_j^{(r)} + \hat{\gamma}_{t,1}^{(r)} T_{j,t}^{(r)} + \hat{\gamma}_{t,2}^{(r)} T_{j,t}^{2(r)} + \hat{\xi}_{j,t}^{(r)} \right)$$

306 where A_j is the surface area of knot j (in km²); $\hat{\beta}_t^{(p)}$, $\hat{\gamma}_{t,1}^{(p)}$, $\hat{\gamma}_{t,2}^{(p)}$, $\hat{\beta}_t^{(r)}$, $\hat{\gamma}_{t,1}^{(r)}$, and $\hat{\gamma}_{t,2}^{(r)}$ are fixed
 307 effects estimated through maximum likelihood estimation; and $\hat{\varepsilon}_{j,t}^{(p)}$, $\hat{\omega}_j^{(p)}$, $\hat{\xi}_{j,t}^{(p)}$, $\hat{\varepsilon}_{j,t}^{(r)}$, $\hat{\omega}_j^{(r)}$, and

308 $\hat{\xi}_{j,t}^{(r)}$ are random effects set to the value that maximizes the joint likelihood conditional on the
 309 estimated value of fixed effects (Thorson et al., 2015).

310 To understand patterns of distribution shifts for the yellow croaker population, we also
 311 estimated its eastward and northward COGs in each year of the period 2001-2017 with the
 312 spatio-temporal model. The eastward COG of yellow croaker in year t , X_t , is given by
 313 (Thorson et al., 2016a; Thorson and Barnett, 2017):

$$X_t = \sum_{j=1}^{n_j} x_j \frac{A_j \hat{p}_{j,t} \hat{r}_{j,t}}{\hat{B}_t} \quad (5)$$

314 where x_j is the value of eastings (in km) in knot j . The northward COG of yellow croaker in
 315 year t , Y_t , is given in a similar way, except that x_j is replaced with y_j , the value of northings
 316 (in km) in knot j , in Eq. (5).

317 Moreover, to understand patterns of range expansion/contraction for the yellow croaker
 318 population, we also estimated its effective area occupied in each year of the period 2001-2017
 319 with the spatio-temporal model. Effective area occupied in year t is given by the ratio of
 320 estimated biomass in year t , \hat{B}_t (given by Eq. (4)) over average biomass-density in year t , D_t ,
 321 which is given by (Thorson et al., 2016a):

$$D_t = \sum_{j=1}^{n_j} \hat{p}_{j,t} \hat{r}_{j,t} \frac{A_j \hat{p}_{j,t} \hat{r}_{j,t}}{\hat{B}_t} \quad (6)$$

322 With regard to model parameter estimation, the estimation of fixed effects was
 323 accomplished by identifying the parameter values maximizing the marginal log-likelihood.
 324 First, the Laplace approximation implemented by R package ‘‘TMB’’ (Kristensen et al., 2016)
 325 was used to calculate the marginal log-likelihood via an approximation of the integral across
 326 all random effects. By using automatic differentiation, TMB efficiently calculates the matrix
 327 of second derivatives (which is employed by Laplace approximation), as well as the gradient

328 of the Laplace approximation (which is employed when maximizing the fixed effects).
329 Through the maximization of the marginal log-likelihood given the maximum likelihood
330 estimates of the fixed effects, TMB predicts all random effects. Additionally, for
331 computational efficiency, the probability of the random effects was approximated using the
332 stochastic partial differential equation method (Lindgren et al., 2011). The bias-correction
333 estimator developed in Thorson and Kristensen (2016) was utilized to correct for the
334 “retransformation bias” when any derived quantity involving a non-linear transformation of
335 random effects is predicted. Finally, the generalized delta method implemented in TMB was
336 employed to compute the standard errors of all fixed and random effects, as well as the
337 standard errors of derived quantities (Kass and Steffey, 1989). We confirmed that the spatio-
338 temporal model is converged by checking that the gradient of the marginal log-likelihood was
339 less than 0.0001 for all fixed effects, and that the Hessian matrix of second derivatives of the
340 negative log-likelihood was positive definite.

341

342 ***2.3. Analysis of the relative importance of SST and the PDO in explaining patterns of*** 343 ***probability of encounter and positive density***

344 We took advantage of fitting four alternative spatio-temporal models (that included the
345 effects of SST and/or the PDO or none of these effects) to understand the relative importance
346 of SST and the PDO in explaining patterns of probability of encounter and positive density for
347 the yellow croaker population of the Yellow Sea. For this analysis, we implemented the
348 method used in Thorson (2015). Briefly, this method consists of comparing the estimated
349 variances of the spatio-temporal variations in probability of encounter and positive density for
350 the four alternative spatio-temporal models, so as to determine whether the inclusion of the
351 effects of SST and/or the PDO in a model leads to some reduction of the variances (Thorson,
352 2015). Here, what is meant by spatio-temporal variation is the sum of the spatial variation

353 term $\omega^{(p)}$ or $\omega^{(r)}$ and of the spatio-temporal variation terms $\varepsilon_t^{(p)}$ or $\varepsilon_t^{(r)}$. This spatio-temporal
354 variation represents unmeasured (latent) variation in probability of encounter or positive
355 density, and the desired goal of including covariates in a spatio-temporal model is to reduce
356 this residual spatio-temporal variation as much as possible (Thorson et al., 2015).

357

358 ***2.4. Trend analysis of SST and PDO time series***

359 To facilitate the interpretation of the predictions of the spatio-temporal model, we also
360 conducted a trend analysis of SST and PDO time series. We used the regime shift detection
361 method based on sequential t -test (Rodionov, 2004, 2006; Rodionov and Overland, 2005) to
362 analyze trends in the SST and PDO environmental time series in the Yellow Sea over the
363 period 2001-2017. The regime shift detection method based on sequential t -test was
364 developed by Rodionov (2004) to detect possible regime shifts. As some of the environmental
365 indices considered in this study may exhibit temporal autocorrelation, all environmental time
366 series were processed with "pre-whitening" (Rodionov, 2004, 2006) before the regime shift
367 detection method based on sequential t -test was implemented. The regime shift detection
368 method based on sequential t -test is coded in Visual Basic and can be applied in Excel.

369

370 **3. Results**

371 ***3.1. Analysis of the relative importance of SST and the PDO in explaining patterns of*** 372 ***probability of encounter and positive density***

373 We initially developed four alternative spatio-temporal models for the yellow croaker
374 population of the Yellow Sea (M1-M4), which included the effects of SST and/or the PDO or
375 none of these effects. These developments allowed us to determine the relative importance of
376 SST and the PDO in explaining patterns of probability of encounter and positive density for
377 the yellow croaker population of the Yellow Sea. We found that SST was much more

378 important than the PDO in explaining patterns of probability of encounter (Table 1). Including
379 SST in the spatio-temporal model led to a moderate reduction in the variance of spatio-
380 temporal variation in probability of encounter, while including the PDO in the model led to a
381 negligible reduction in the variance of spatio-temporal variation in probability of encounter.
382 Moreover, we found that the PDO was more important than SST in explaining patterns of
383 positive density (Table 1). Including the PDO in the spatio-temporal model resulted in a
384 moderate decrease in the variance of spatio-temporal variation in positive density, while
385 including SST in model led to a small increase in the variance of spatio-temporal variation in
386 positive density.

387 The spatio-temporal model with no covariates (M1) had the lowest AIC (Table 2).
388 Therefore, model M1 was selected for all subsequent analyses. We note, however, that the
389 spatial distribution patterns, northward and eastward COGs and effective areas occupied
390 predicted by models M1-M4 were very similar.

391

392 ***3.2. Patterns of spatial distribution, distribution shift and range expansion/contraction of*** 393 ***yellow croaker in the Yellow Sea***

394 The AIC-selected model (i.e., model M1 that did not include any covariates) predicted
395 that, over the period 2001-2017, the highest biomass-densities of yellow croaker were found
396 in the central area of the Yellow Sea (33°75'-36°00'N, 123°15'-124°75'E; Fig. 3). Other
397 predicted hotspots (i.e., highest biomass-density hotspots) for yellow croaker included the
398 north (36°00'-37°37.5'N, 123°15'-124°15'E) and southeast (32°00'-33°75'N, 124°00'-
399 125°15'E) areas of the Yellow Sea. The spatio-temporal model also predicted that yellow
400 croaker biomass declined markedly between 2001 and 2017, which resulted in large changes
401 in the spatial distribution of yellow croaker in their overwintering grounds over the entire
402 study period (Fig. 3). Since 2003, the biomass-density of yellow croaker has shown a

403 significant decrease throughout the Yellow Sea, and this decrease has been accompanied by a
404 shrinkage in high-density areas (Figs. 3 and 4). This shrinkage has been more pronounced in
405 the north and southeast areas than in the central area of the Yellow Sea. Decreases in density
406 in the southeast area was stronger after 2009/2010 than between 2001 and 2009. In 2017, the
407 central area of the Yellow Sea remained the only density hotspot for yellow croaker (Fig. 4).

408 The eastward and northward COGs estimated by the AIC-selected spatio-temporal model
409 suggest that the COG of yellow croaker moved north and west between 2001 and 2010, and
410 then south and west over the period 2010-2017 (Fig. 5). Changes in the eastward COG of
411 yellow croaker between 2001 and 2017 (i.e., the large displacement of the eastward COG of
412 the species towards the west of the Yellow Sea) were found to be significant ($p = 0.038$, using
413 a two-sided Wald test for all significance testing of changes). By contrast, changes in the
414 northward COG of yellow croaker between 2001 and 2017 were not found to be significant (p
415 > 0.05).

416 The effective area occupied estimated by the AIC-selected spatio-temporal model
417 suggests a range expansion for yellow croaker over the study period (Fig. 6). However,
418 changes in effective area occupied between 2001 and 2017 were not found to be significant (p
419 > 0.05).

420

421 ***3.3. Trend analysis of SST and PDO time series***

422 SST anomalies in the Yellow Sea were found to slightly increase between 2001 and
423 2008, markedly decline between 2008 and 2011, and largely increase between 2011 and 2017
424 (Fig. 7). The trend analysis of the SST time series suggested an absence of regime shifts in
425 SST over the period 2001-2017. The trend analysis of the PDO time series suggested that a
426 regime shift in the PDO occurred in 2013/2014 (Fig. 8). From 2001 to 2009/2010, the
427 cumulative sum of the anomaly of the PDO was usually positive. In or around 2009/2010, the

428 cumulative sum of the anomaly of the PDO changed from positive to negative (Fig. 8), which
429 mirrored the switch from a southward to a northward shift in the northward COG of yellow
430 croaker in 2009.

431

432 **4. Discussion**

433 In this study, we developed a delta-Gamma spatio-temporal model for the yellow croaker
434 population of the Yellow Sea for the period 2001-2017. This model is one of the first spatio-
435 temporal models for the Yellow Sea (along with Guan et al. (2019)) and the first spatio-
436 temporal model for the Yellow Sea that implements an SVC model to represent the effects of
437 an annual index (the PDO). The main characteristic of spatio-temporal models is the
438 representation of spatial autocorrelation (spatial structure) to account for the fact that state
439 variables (e.g., probability of encounter, catch rate) at given locations are more similar to state
440 variables at nearby locations than to state variables at more distant locations. This spatial
441 autocorrelation is modeled via spatial variation terms that represent spatial variation that is
442 stable over time and spatio-temporal variation terms that represent spatial variation that
443 changes between years (Grüss et al., 2017; Thorson, 2019a). The representation of spatial and
444 spatio-temporal variation in spatio-temporal models results in more precise statistical
445 inference and, therefore, in the delivery of more reliable scientific advice to stock and habitat
446 assessments and resource management (Thorson et al., 2015).

447 Spatio-temporal models can also include covariates to improve the percentage of
448 variability in the data explained by the spatio-temporal model (e.g., SST and the PDO in this
449 study), or to take into account nuisance parameters (in the case of “catchability covariates”;
450 Thorson, 2015; Grüss et al., 2020). By including the effects of SST and the PDO in the delta-
451 Gamma spatio-temporal model of yellow croaker, we were expecting to explain as much of
452 the spatial and spatio-temporal variations (i.e., unmeasured variations) in probability of

453 encounter and positive density as possible (Thorson et al., 2015). However, model selection
454 based on AIC suggested that a model with no covariates was most parsimonious. In other
455 words, our results suggested that neither local SST and or the regional PDO index explains a
456 meaningful percentage of variability in the data and that, therefore, their inclusion in the
457 delta-Gamma spatio-temporal model of the yellow croaker population of the Yellow Sea is
458 not warranted. This result was not expected *a priori*, as previous studies for the eastern Bering
459 Sea (Thorson, 2019b; Grüss et al., 2020) found that including sea temperature and an annual
460 oceanographic index (the cold pool) using an SVC model led to more parsimonious spatio-
461 temporal models capable of better describing the modeled system. We suspect that this is due
462 to the fact that environmental variations are much more pronounced in the eastern Bering than
463 in the Yellow Sea. Environmental variations are also less pronounced in the great majority of
464 the world's marine regions than in the eastern Bering Sea, and the representation of spatial
465 and spatio-temporal structure at a fine spatial scale in spatio-temporal models accounts for a
466 large proportion of the variability in the data (Thorson et al., 2015). Therefore, we suspect that
467 there are many regional case studies where the inclusion of environmental covariates and/or
468 annual oceanographic indices in spatio-temporal models will not be warranted to describe the
469 modeled system. We recommend that future studies examine this issue in several contrasted
470 (e.g., polar, temperate and tropical) marine regions to determine if it can be generalized.

471 We employed the AIC-selected spatio-temporal model (i.e., the model with no
472 covariates) to understand the spatial distribution patterns of yellow croaker in their
473 overwintering grounds of the Yellow Sea over the period 2001-2017. The AIC-selected
474 spatio-temporal model suggested the existence of three biomass-density hotspots (i.e., highest
475 biomass-density areas) for yellow croaker in the Yellow Sea between 2001 and 2017: the
476 central area of the Yellow Sea, where yellow croaker density is highest, and the north and
477 southeast areas of the Yellow Sea. These predictions of the spatio-temporal model for the

478 period 2001-2017 concur with insights from a previous study that analyzed data from the
479 1980s (Liu et al., 1990). The analyses of Liu et al. (1990) also suggested the existence of three
480 main overwintering grounds for yellow croaker in the Yellow Sea, specifically: (1) a northern
481 region of the Yellow Sea located in the Chengshantou area and west of 124°00'E (Northern
482 Yellow Sea-Bohai Sea stock); (2) a north-central region located 34°00'N-35°00'N, 123°45'E-
483 125°00'E (Northern Yellow Sea-Bohai Sea and Central Yellow Sea stocks); and (3) a
484 southern region located 32°00'N-34°00'N, 123°45'E-126°00'E (Southern Yellow Sea stock).
485 The zone of the Yellow Sea where the three overwintering grounds identified in Liu et al.
486 (1990) are connected is the central area of the Yellow Sea identified as the highest-density
487 area for yellow croaker in the present study. The overwintering grounds of yellow croaker are
488 important for the replenishment of the yellow croaker population, as they concentrate a large
489 fraction of yellow croaker adults for a fraction of the year (Jin et al., 2005). Therefore, the
490 present study provides important scientific information for spatial protection efforts that seek
491 to preserve a fraction of yellow croaker adults to maintain its yellow croaker recruitment at
492 reasonable levels.

493 We also used the AIC-selected spatio-temporal model to detect and understand patterns
494 of distribution shifts and range/expansion for the yellow croaker population of the Yellow Sea
495 over the period 2001-2017. Specifically, we employed the AIC-selected spatio-temporal
496 model to estimate changes in the eastward and northward COGs and effective area occupied
497 of the yellow croaker population. This analysis mainly suggested a statistically significant
498 displacement of the eastward COG of yellow croaker to the west of the Yellow Sea, which
499 reflected the progressive disappearance of yellow croaker density hotspots in the north and
500 southeast areas of the Yellow Sea that resulted in the central area of the Yellow Sea becoming
501 the only yellow croaker density hotspot in 2017. This finding concurs with the basin model
502 theory, which suggests that, as the biomass of a fish population declines, biomass-density

503 becomes concentrated where habitat is most suitable (MacCall, 1990). This finding has
504 important implications for fisheries management in the context of the China-South Korea
505 fisheries agreement, as it indicates a measurable displacement of yellow croaker biomass
506 towards China.

507 Although model selection based on AIC suggested that the inclusion of SST in the
508 spatio-temporal model of yellow croaker was not warranted, we found that SST may explain a
509 moderate fraction of spatio-temporal variability in yellow croaker probability of encounter
510 (Table 1). This result concurs with the findings of previous studies that changes in sea
511 temperature may affect the spatial distribution patterns of yellow croaker in the Yellow and
512 Bohai Seas (Liu et al., 1990; Li et al., 2009; Lin et al., 2011; Chen et al., 2017; Liu et al.,
513 2017). Specifically, during the winter season, yellow croaker from the Bohai Sea and the
514 Yellow Sea coasts migrate to the wintering grounds of the Yellow Sea where high- and low-
515 salinity waters converge and warm currents allow for temperatures that are suitable for
516 wintering (Li et al., 2009; Lin et al., 2011; Chen et al., 2017; Liu et al., 2017). Previous
517 studies found that the Yellow Sea wintering grounds of yellow croaker were centered at
518 124°E prior to the 1980s, and that their location and extent were then probably affected by
519 changes in sea environmental conditions (Liu et al., 1990).

520 While model selection based on AIC suggested that the inclusion of the PDO in the
521 spatio-temporal model of yellow croaker was not warranted, we found that the PDO may
522 explain a moderate fraction of spatio-temporal variability in the positive density of yellow
523 croaker (Table 1). Further, the trend analysis of PDO time series suggested that, in 2009/2010,
524 the cumulative sum of the anomaly of the PDO changed from positive to negative, while our
525 spatio-temporal model suggested that the COG of yellow croaker started shifting northwest in
526 2009 after having shifted southwest between 2001 and 2008 (although changes in the
527 northward COG of yellow croaker between 2001 and 2017 were not found to be statistically

528 significant). Finally, the spatio-temporal model predicted that the declines in yellow croaker
529 density that occurred in the southeast area of the Yellow Sea were stronger between
530 2009/2010 and 2017 than between 2001 and 2009. Therefore, we conclude that changes in the
531 PDO towards the end of the study period (switching from a positive to a negative phase) may
532 have synergistically interacted with biomass declines in making the yellow croaker density
533 hotspot of the southeast area of the Yellow Sea disappear. This result shows the potential,
534 although moderate, for large-scale oceanographic events in the Pacific to contribute to fish
535 distribution shifts in the Yellow Sea. This kind of information is important for resource
536 managers, as it offers them the possibility to anticipate potential distribution shifts in response
537 to changes in large-scale oceanographic indices, and to craft management measures
538 accordingly (Karp et al., 2019).

539 Fishing pressure can also contribute to patterns of distribution shifts and range
540 expansion/contraction in fish populations (Bell et al., 2015). In particular, fishing pressure
541 was identified as a primary driving force behind distribution shifts in the Yellow and Bohai
542 Seas in some previous studies (Xu et al., 2003; Wang et al., 2012; Lin et al., 2016). For this
543 reason, we initially fitted spatio-temporal models that also included the effect of fishing
544 power (a proxy for fishing pressure), which was modeled as an annual index using the SVC
545 model (Results not shown). However, we found that fishing pressure had virtually no effect
546 on the probability of encounter and positive density of yellow croaker in the Yellow Sea. We
547 were not expecting this result *a priori*, as Xu et al, (2003) and Li (2011) both reported that
548 fishing pressure is unevenly distributed in the Yellow Sea, and is higher in the central and
549 southern parts of the Yellow Sea than in the northern Yellow Sea. Li (2011) also found that
550 the density of yellow croaker was lower in the southern than in the northern Yellow Sea over
551 the period 1999-2010, due to the differing spatial patterns of fishing pressure in the northern
552 and southern Yellow Sea and also, to a lesser extent, to the increase in SST that occurred in

553 the southern Yellow Sea between 1999 and 2010. Li (2011)'s findings may explain why our
554 spatio-temporal model predicted that the effective area occupied of yellow croaker slightly
555 contracted along the north-south axis with a small displacement of its southern population
556 boundary to the north (Fig. 4). Fishing power (specifically the logarithm of the mean power of
557 the fishing boats from the four northern provinces of China and the city of Tianjing) was the
558 only fishing pressure indicator available to us, but may be far from the best way to describe
559 fishing pressure in a spatio-temporal model. Therefore, we recommend that future studies
560 obtain more meaningful indicators of fishing pressure to re-examine the relative importance
561 of fishing pressure in explaining patterns of probability of encounter and positive density for
562 yellow croaker with spatio-temporal models.

563 We also encourage future studies to investigate whether patterns of distribution shifts
564 and range expansion/contraction for yellow croaker vary among length classes. Length data
565 are available for yellow croaker for a fraction of the stations sampled in the Yellow Sea.
566 When considering the entire population of Atlantic mackerel (*Scomber scombrus*) of the
567 central Atlantic coast (i.e., all length classes combined), Radlinski et al. (2013) found no
568 significant correlation between Atlantic mackerel spatial distribution patterns and SST
569 anomaly. However, when the Atlantic mackerel population was divided into three length
570 groups, the authors found a significant correlation between the spatial distribution patterns of
571 >33-cm mackerels and SST anomaly. Similarly, we suspect that some length groups of the
572 yellow croaker population of the Yellow Sea may be more sensitive to changes in
573 environmental indices than others; therefore, we recommend that future studies develop
574 spatio-temporal models for different length groups of yellow croaker and examine the relative
575 importance of SST and the PDO in explaining patterns of distribution shifts and range
576 expansion/contraction for these length groups. It would then be possible for future resource
577 management efforts in the Yellow Sea to craft spatial protection plans for specific length

578 groups (e.g., juvenile or adult fishes) in relation to past and anticipated environmental and
579 fishing patterns (Grüss et al., 2018, 2019a).

580 In the present study, we considered four alternative delta-Gamma spatio-temporal
581 models that included the effects of covariates (SST and/or the PDO) on both probability of
582 encounter and positive density or none of these effects. Yet, previous studies that employed
583 delta models found that a covariate that had a significant effect on probability of encounter
584 did not necessarily have a significant effect on positive density, or vice versa (e.g., Vaz et al.,
585 2006; Grüss et al., 2014; Weijerman et al., 2019). Thus, in this study, we could have
586 considered some additional alternative delta-Gamma spatio-temporal models that included the
587 effects of covariates on either probability of encounter or positive density (Grüss et al., 2020).
588 However, we found that the spatial distribution patterns, northward and eastward COGs and
589 effective areas occupied predicted by the four alternative models fitted in this study were very
590 similar. Therefore, we suspect that, had we fitted additional models, the model with no
591 covariates would have still been selected based on AIC and the findings of this study would
592 have been unaltered. That said, we encourage future studies using our spatio-temporal
593 modeling framework for the Yellow Sea to consider additional models including the effects of
594 covariates on either probability of encounter or positive density.

595 The present study provides important information for the resource management efforts
596 that target yellow croaker in their overwintering grounds of the Yellow Sea. It also provides
597 a spatio-temporal modeling framework for carrying research investigations for the other
598 species that inhabit the Yellow Sea. Importantly, our spatio-temporal modeling framework
599 will allow fisheries managers to assess the potential impacts of distribution shifts and range
600 expansion/contraction for socio-economically important species on the catches of Chinese
601 fishing vessels, in the context of the China-South Korea fisheries agreement. Our spatio-
602 temporal modeling framework will also contribute valuable information about essential fish

603 habitats (e.g., spawning and nursery grounds) and their spatial evolution through time,
604 thereby supporting the development of spatial protection plans and other resource
605 management measures for the Yellow Sea.

606

607 **Authorship statement**

608 QH, AG and JTT designed and analyzed the models; QH and AG conceived the models; XS
609 and XJ had a role in study design and data collection; all authors wrote the paper; all authors
610 have approved the final article.

611

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621

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814 **Figure captions**

815 **Fig. 1. Map of the study area showing the spatial distribution of the bottom trawl survey**
816 **stations where the biomass catch rate data used in this study were collected.**

817

818 **Fig. 2. Location of the barycenter of extrapolation grid cells and of “knots” in the study**
819 **area.** A $15' \times 15'$ (arc-minutes) extrapolation grid was constructed for the present study to
820 allow for the production of maps. This extrapolation grid includes 272 cells, whose
821 barycenters are shown in **(a, b)**. For computational efficiency, 100 “knots” were specified to
822 approximate the spatial and spatio-temporal variation terms of the spatio-temporal model
823 developed in this study; these knots are shown in **(c)**.

824

825 **Fig. 3. Spatial patterns of log-density for the small yellow croaker (*Larimichthys***
826 ***polyactis*) population of the Yellow Sea in each year of the period 2001-2017, predicted**
827 **by the Akaike’s information criterion-selected spatio-temporal model developed for the**
828 **fish population.** The color legend is provided in the first panel and has units $\ln(\text{kg}\cdot\text{km}^{-2})$.
829 Only predictions for those years where biomass trawl survey data were available for small
830 yellow croaker (i.e., 2001–2011 and 2015–2017) are shown.

831

832 **Fig. 4. Similar to Fig.3, except that spatial patterns of log-density in each year of the**
833 **period 2001-2017 are shown only for those areas where log-density is greater than 1% of**
834 **the maximum expected log-density over the entire study period.** For each year of the
835 period 2001-2017, the areas where log-density is less than 1% of the maximum expected log-
836 density over the entire study period are highlighted in light grey.

837

838 **Fig. 5. Eastward center of gravity (COG; in km) and northward COG (in km) of the**
839 **small yellow croaker (*Larimichthys polyactis*) population of the Yellow Sea in each year**
840 **of the period 2001-2017, predicted by the Akaike's information criterion-selected spatio-**
841 **temporal model developed for the fish population.** For both panels, the shaded areas
842 represent 95% confidence intervals. Only predictions for those years where biomass trawl
843 survey data were available for small yellow croaker (i.e., 2001–2011 and 2015–2017) are
844 shown.

845

846 **Fig. 6. Effective area occupied (in $\ln(\text{km}^2)$) of the small yellow croaker (*Larimichthys***
847 ***polyactis*) population of the Yellow Sea in each year of the period 2001-2017, predicted**
848 **by the Akaike's information criterion-selected spatio-temporal model developed for the**
849 **fish population.** The shaded areas represent 95% confidence intervals. Only predictions for
850 those years where biomass trawl survey data were available for small yellow croaker (i.e.,
851 2001–2011 and 2015–2017) are shown.

852

853 **Fig. 7. Changes in winter sea surface temperature anomalies (SSTA, in °C) in the Yellow**
854 **Sea over the period 2001-2017.**

855

856 **Fig. 8. Pacific Decadal Oscillation (PDO) anomaly (red bars), as well as the cumulative**
857 **sum of the PDO anomalies (orange line), in the Yellow Sea during the winter season, in**
858 **each year of the period 2001-2017.** The regime shift in the PDO revealed using the regime
859 shift detection method based on sequential *t*-test is also shown here (blue line).

860 **Tables**

861 **Table 1. Comparison of the variances of the spatio-temporal variations in probability of**
 862 **encounter and positive density estimated by the four alternative delta-Gamma spatio-**
 863 **temporal models developed in this study (M1-M4).** Here, spatio-temporal variation in
 864 probability of encounter refers to the sum of the spatial and spatio-temporal variation terms
 865 estimated by the binomial component of the delta-Gamma spatio-temporal model, and spatio-
 866 temporal variation in positive density refers to the sum of the spatial and spatio-temporal
 867 variation terms estimated by the Gamma component of the spatio-temporal model. % change
 868 in variance = Percent change in variance compared to model M1 that does not include any
 869 covariates; SST = sea surface temperature; PDO = Pacific Decadal Oscillation.

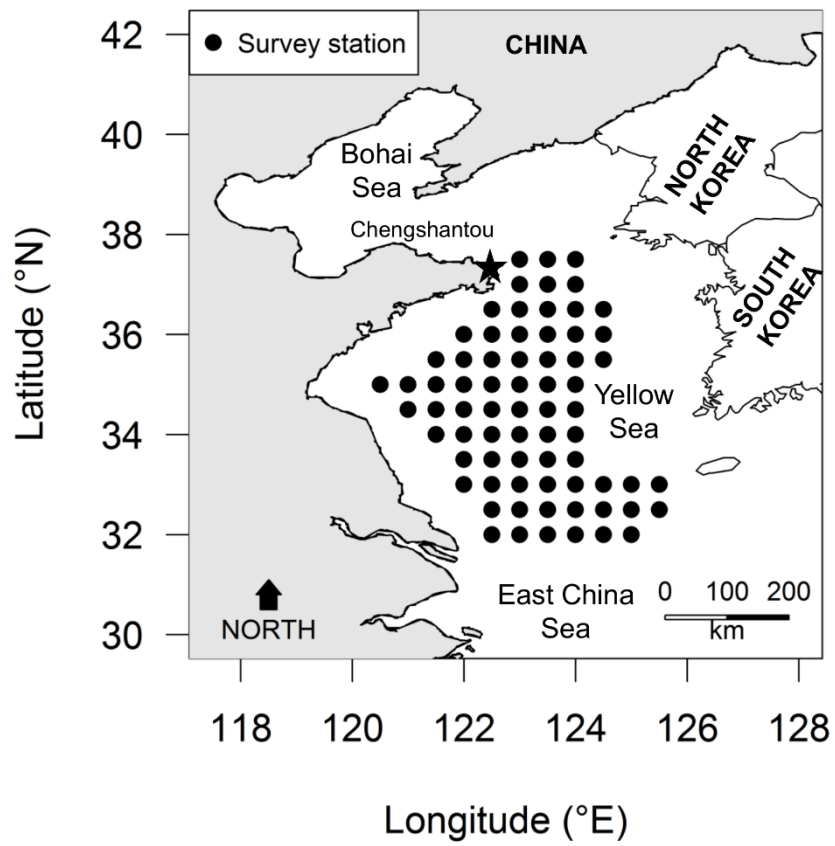
Model (covariates included)	Variance for the binomial component of the model	% change in variance for the binomial component	Variance for the Gamma component of the model	% change in variance for the Gamma component
M1 (None)	0.0161	-	0.1170	-
M2 (SST)	0.0127	- 26.7 %	0.1316	+ 11.1 %
M3 (PDO)	0.0162	+ 0.4 %	0.0988	- 18.4 %
M4 (SST + PDO)	0.0128	- 26.2 %	0.1149	- 1.9 %

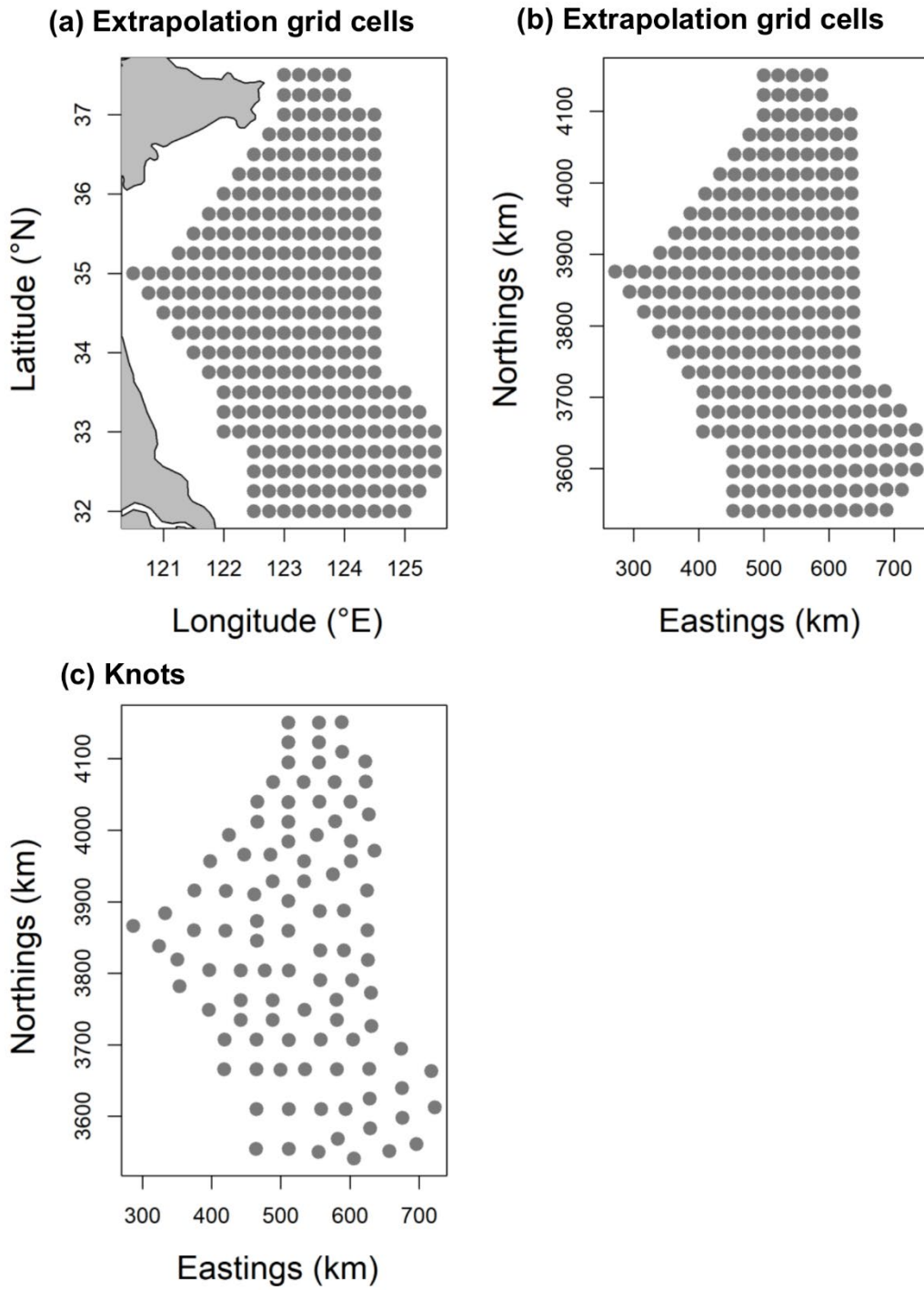
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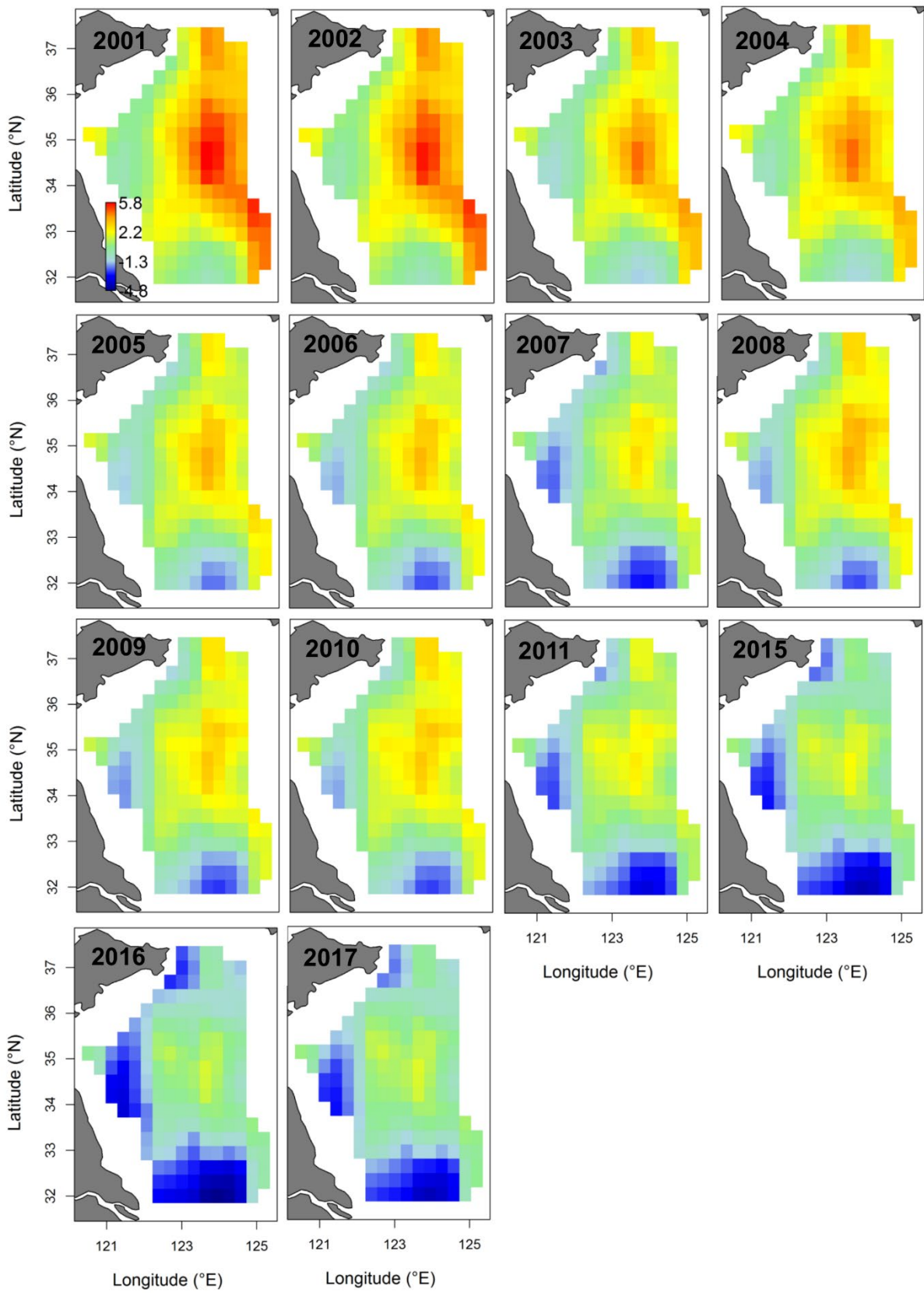
871 **Table 2.** Model selection results using Akaike’s information criterion (AIC) applied to the
872 maximum marginal likelihood for each of the four alternative delta-Gamma spatio-temporal
873 models fitted in this study. SST = sea surface temperature; PDO = Pacific Decadal
874 Oscillation.

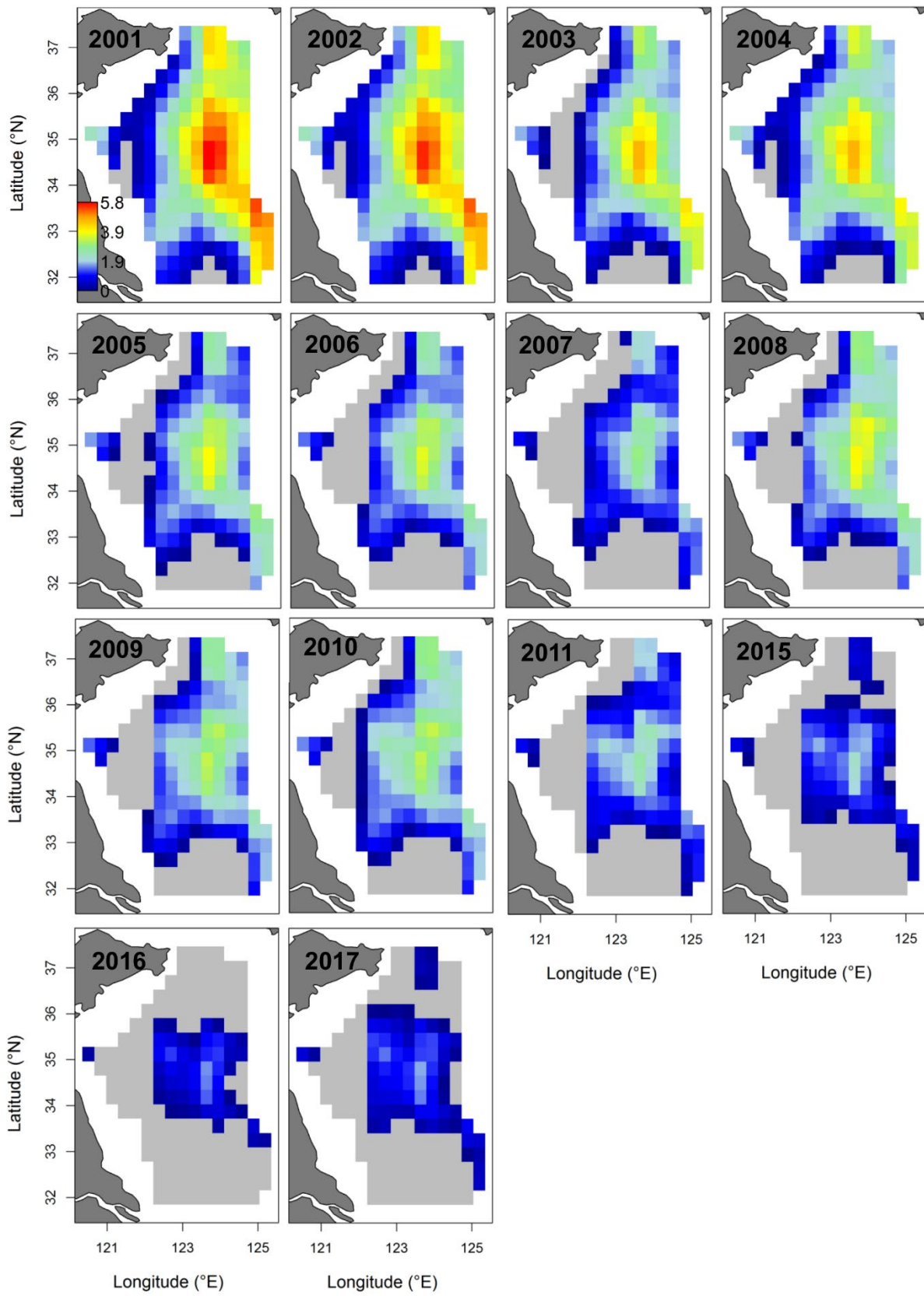
Model	Covariates	ΔAIC
M1	None	0
M2	SST	4.251
M3	PDO	3.772
M4	SST + PDO	8.147

875

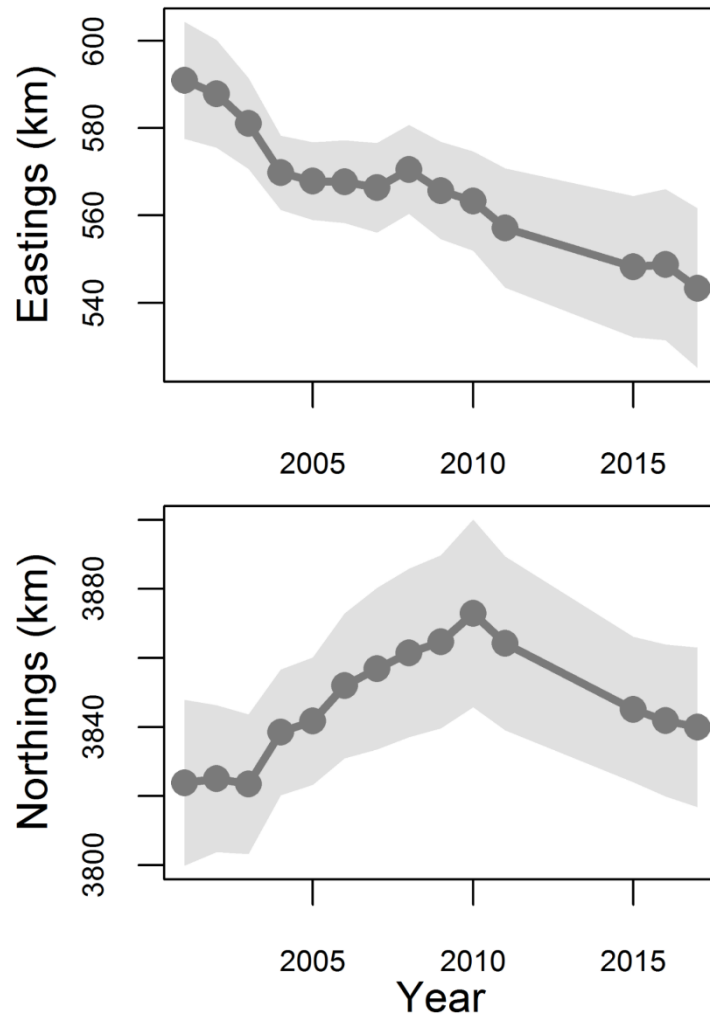




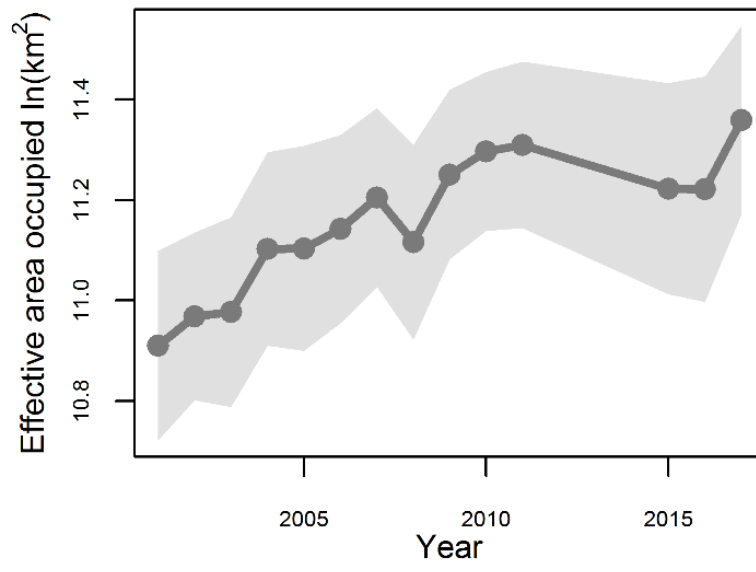




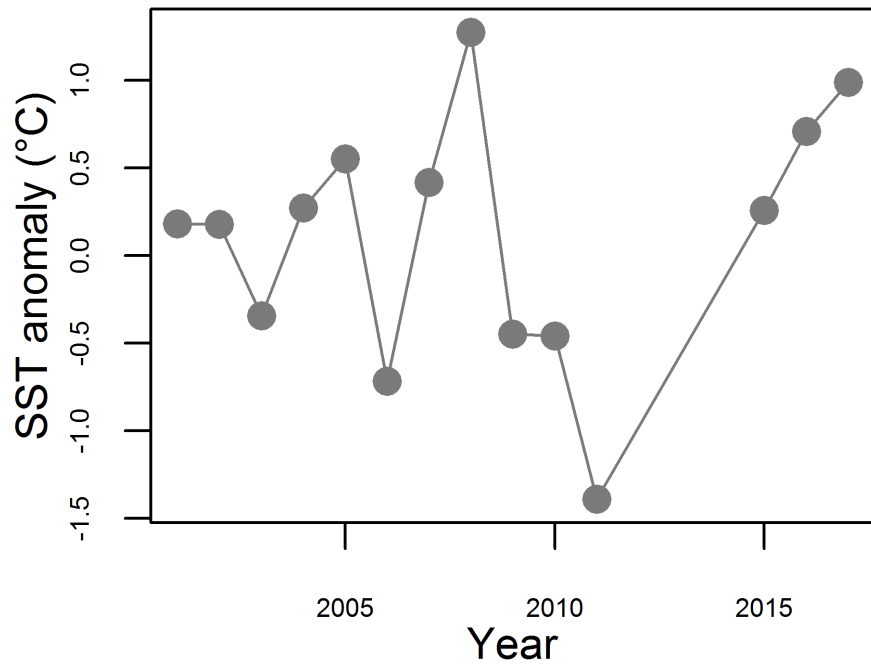
880 Fig. 5



881 Fig. 6



882 Fig. 7



883 Fig. 8

