## ORIGINAL ARTICLE

# Baroclinicity and directional shear explain departures from the logarithmic wind profile

## Khaled Ghannam | Elie Bou-Zeid

Department of Civil and Environmental Engineering, Princeton University, Princeton, New Jersey, USA

<sup>1</sup>Department of Civil and Environmental Engineering, Princeton University, Princeton, New Jersey, USA

#### Correspondence

Khaled Ghannam, Department of Civil and Environmental Engineering, Princeton University, Princeton, New Jersey, USA 08540 Email: kghannam@princeton.edu

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Similarity and scaling arguments underlying the existence of a logarithmic wind profile in the atmospheric surface layer (ASL) rest on the restrictive assumptions of negligible Coriolis effects (no wind turning in the ASL) and vertically uniform pressure gradients (barotropic atmospheric boundary layer (ABL)). This paper relaxes these asymptotic arguments to provide a realistic representation of the ASL where the common occurrence of baroclinicity (height-dependent pressure gradients) and wind turning, traditionally treated as outer-layer attributes, take part in modulating the ASL. The approximation of a constant-stress ASL is first replaced by a refined model for the Reynolds stress derived from the mean momentum equations to incorporate the cross-isobaric angle (directional shear) and a dimensionless baroclinicity parameter (geostrophic shear). A model for the wind profile is then obtained from first-order closure principles, correcting the log-law with an additive term that is linear in height and accounts for the combined effects of wind turning and baroclinicity. Both the stress and wind models agree well with a suite of large eddy simulations in the barotropic and baroclinic ABL. The findings provide a methodology for the extrapolation of near-surface winds to some 200 m in the near-neutral ABL for wind energy applications, the validation of the surface cross-isobaric angle in weather and climate models, and the interpretation of wind turning in field measurements and numerical experiments of the Ekman boundary layer.

#### KEYWORDS

Logarithmic wind profile; Rossby number similarity; Wind turning; Baroclinicity; Thermal wind

#### 1 | INTRODUCTION

Accurate representation of the wind and momentum flux profiles above land or ocean surfaces is an increasingly pressing inquiry in a wide range of applications, including the extrapolation of near surface winds to higher elevations 2 in the atmospheric boundary layer (ABL) (Gualtieri and Secci, 2012; Simiu et al., 2016), and the long-standing need for improved parameterization of surface boundary conditions in coupled land-atmosphere models (Floors et al., 2013; л Ansorge, 2019). The latter has traditionally focused on surface drag (e.g. Wu, 1980; Garratt, 1994), but some studies have also noted the inadequate representation of the surface cross-isobaric angle (orientation of surface winds relative to the geostrophic flow aloft) in operational weather models (Brown et al., 2005), with a tendency to underestimate 7 wind turning (Svensson and Holtslag, 2009). The fast growth in the wind energy industry has also invited considerable attention to the development of wind profile models at heights extending to 100-200 m in the ABL (Gryning et al., a 2007; Draxl et al., 2014; Peña et al., 2016; Murthy and Rahi, 2017; Holtslag et al., 2017; Yang et al., 2017; Kent et al., 10 2018). Reliable models for the wind and wind shear at such hub heights are critical in the assessment of wind power 11 potential for farm siting and for estimating fatigue loads on wind turbines (Calaf et al., 2010; Mirocha et al., 2018). 12

At the center of the foregoing discussion is the logarithmic wind profile in the atmospheric surface layer (ASL). 13 its vertical extent, and its link to the constant stress assumption often invoked in flux-gradient closure models. While 14 a constant stress profile is characteristic of zero pressure-gradient boundary layers, Monin and Obukhov (1954) sug-15 gested that it remains a 'practical' approximation in the ASL, which they estimated as a layer of ~ 50 m depth above 16 the surface where a 20% change in the stress from its surface value is tolerable for a constant stress assumption. In 17 arriving at these estimates, Monin and Obukhov (1954) discarded the partial compensation to the pressure gradient 18 by the Coriolis force, and further approximated that the effect of this pressure gradient results in  $\sim$ 20% change in 19 the stress within the ASL. The logarithmic wind profile can then be obtained from dimensional analysis or through 20 first-order closure principles with a turbulent viscosity  ${}^{1}K_{m} = \kappa u_{*}z$ ,  $\kappa$  being the von Kàrmàn constant,  $u_{*}$  the friction 21 velocity, and z the height above a surface with roughness length z<sub>0</sub>. These scaling laws are the foundation of modern 22 micrometeorology, and although field measurement campaigns such as the Kansas experiment did not establish a 23 constant stress even within 20-30 m above the surface (Haugen et al., 1971; Businger et al., 1971), the discussion on 24 any departure from the logarithmic profile was largely limited to a possible uncertainty in the von Karman constant 25 (Tennekes, 1973; Kaimal and Wyngaard, 1990; Högström, 1996). However, in discarding the effects of the Coriolis 26 force, this (practically constant stress) framework also has the strict requirement that the wind vector does not change 27 direction in the ASL (wind turning is limited to the outer layer). The latter requirement gives rise to an inconsistency 28 on whether the log-law applies for the wind speed (magnitude of the wind vector) as is commonly assumed in large 29

<sup>&</sup>lt;sup>1</sup>It is not uncommon to reverse this argument and derive  $K_m = \kappa u_* z$  as the eddy viscosity on the basis that the stress is constant (=  $u_*^2$ ) and the wind profile is logarithmic. All these arguments however are a consequence of the assumption that  $\kappa z$  and a unique  $u_*$  are the only length and velocity scales in the ASL.

eddy simulation (LES) and direct numerical simulation (DNS) of the Ekman boundary layer (e.g. Coleman et al., 1990; Spalart et al., 2008; Jiang et al., 2018), or for the longitudinal wind component in the direction of surface stress as understood in field measurements (e.g. tall meteorological towers), where the reference frame is aligned with the mean wind direction locally (i.e. at each height) (e.g. Carl et al., 1973; Horiguchi et al., 2012). Admittedly, the two approaches must be identical in theory, namely in the limit of an infinite surface Rossby number (Blackadar and Tennekes, 1968; Tennekes, 1973), yet the disparity is a direct consequence of the asymptotic nature of this theory.

Tennekes (1973) argued that the derivation of a logarithmic wind profile in the ASL is independent from the 36 constant-stress assumption, and similar to its derivation in the canonical turbulent boundary layer at an infinite 37 Reynolds number (Katul et al., 2013; Klewicki and Oberlack, 2015), the log-law results from similarity principles based 38 on an infinite surface Rossby number  $Ro = G_0/f z_0$  (f is the Coriolis parameter and  $G_0$  is the magnitude of the surface 39 geostrophic wind vector). It is only in this  $(Ro \rightarrow \infty)$  limit that the dimensionless heights  $z/z_0$  and  $fz/u_*$  determine 40 a similarity solution for the inner layer ( $fz/u_* \rightarrow 0$  but finite  $z/z_0$ ) and the outer 'Ekman' layer ( $z/z_0 \rightarrow \infty$  but finite 41  $f z/u_*$ ), respectively. An asymptotic matching of these solutions and their derivatives in an overlap (inertial) region 42 results in a logarithmic wind profile. Nevertheless, this asymptotic matching procedure may not provide a realistic rep-43 resentation of ABL flows: the Ro similarity theory itself predicts the geostrophic drag coefficient  $u_*/G_0$  and surface 44 cross-isobaric angle  $\alpha_0$  as decreasing functions of Ro (e.g. Hess and Garratt, 2002; Zilitinkevich and Esau, 2005), such 45 that  $\alpha_0$  becomes asymptotically zero only as  $Ro \rightarrow \infty$ . In practice, the ASL typically resides between the constant 46 eddy-viscosity limit proposed by Ekman (1905) ( $\alpha_0 = \pi/4$ ) and the infinite *Ro* limit of the similarity theory ( $\alpha_0 \rightarrow 0$ ). 47 A consequence of this finite Ro effect is that the outer layer modulates the ASL (Tennekes, 1973), i.e. an ASL where 48  $f z/u_* \rightarrow 0$  but  $z/z_0$  remains finite is limited to heights extremely close to the surface. This has important implications 49 on the vertical extent of the logarithmic wind profile as the effects of directional shear due to wind turning become 50 more pronounced near the surface, hence requiring a proper account of the cross-isobaric angle in modelling the wind 51 and stress profiles. 52

In itself, the assumption that Ro is the only external parameter restricts the similarity theory to a steady-state, 53 neutral, and barotropic ABL (mean pressure gradients or geostrophic winds do not vary with height). Among other 54 common departures from this idealization, such as unsteadiness (e.g. Momen and Bou-Zeid, 2016; Pan and Patton, 55 2017; Cava et al., 2019) and/or buoyancy (e.g. Salesky et al., 2013; Ghannam et al., 2017), the effects of baroclinicity 56 on the wind and stress profiles remain poorly studied. Baroclinicity arises from large scale horizontal temperature gra-57 dients, leading to height-dependent atmospheric pressure gradients from the thermal wind balance. These effects are 58 difficult to disentangle in single-point field measurements (meteorological towers), and LES experiments have offered 59 a deeper insight into their profound implications on the dynamics of the ABL (Brown, 1996; Sorbjan, 2004; Momen 60 et al., 2018). At least from a theoretical/modelling perspective, the effects of baroclinicity on the logarithmic wind 61 profile and its interaction with wind turning are largely unexplored. This theoretical challenge is primarily associated 62 with the additional similarity parameters (geostrophic shear and its orientation angle) that baroclinicity introduces to 63 the parameter space of the ABL. As a result, and since field measurements often encode a strong signature of thermal 64 winds (Arya and Wyngaard, 1975; Floors et al., 2015), the discussion on the exact value of the von Kàrmàn constant 65 as inferred from log-law fits to observational data becomes hardly warranted. Evidently, the tendency in interpreting 66 field measurements is to dismiss baroclinicity as a large scale (outer layer) effect (Floors et al., 2013), and most studies 67 have focused on its consequences on the resistance laws for  $u_*/G_0$  and  $\alpha_0$  (e.g. Hess, 1973; Arya, 1978). It must 68 be acknowledged that some studies have provided analytical solutions for the baroclinic Ekman layer with height-69 dependent eddy viscosity profiles (Nieuwstadt, 1983; Berger and Grisogono, 1998), but these solutions appear in the 70 form of hypergeometric functions that are less useful in practical applications, at least compared to the ease of use 71

of the logarithmic wind profile. The recent work by Momen et al. (2018) also proposed a closed form model for the velocity profiles throughout the baroclinic ABL using the parabolic eddy viscosity model of O'Brien (1970). While the model (consisting of two ordinary differential equations) needs to be integrated numerically using an iterative approach, its predictions matched well with the LES output for a variety of geostrophic wind profiles.

The objective of this paper is to incorporate wind turning and baroclinicity in an analytical model for the wind 76 profile in the lowest few hundred meters of the neutral ABL. The central thesis is not so much to argue against the 77 existence of a logarithmic scaling, albeit its theoretic character in the baroclinic ABL remains uncorroborated, but 78 rather to emphasize that the asymptotic nature of its derivation limits its vertical extent and/or its link to a constant 70 stress assumption in practical applications. We therefore focus first on the derivation of an improved model for the 80 stress profile that accounts for Coriolis effects and geostrophic shear, which forms the basis for a more accurate wind 81 profile using the traditional eddy viscosity closure. Model validation relies on a suite of LES experiments that span 82 the barotropic ABL at different surface Rossby numbers to investigate the increased effect of wind turning in the ASL 83 as Ro decreases, and several baroclinic cases where the magnitude and/or direction of the geostrophic wind vector 84 are varied. The rest of the paper is organized to provide a brief background and definitions in section 2, theory and 85 model development in section 3, LES setup in section 4, followed by results and model validation in section 5 and 86 conclusions in section 6. 87

#### 2 | EQUATIONS OF MOTION AND DEFINITIONS

This section introduces the equations of motion and provides a brief background on the main arguments of the Rossby number similarity theory. In a steady-state ABL over a flat terrain with a hydrodynamic roughness length  $z_0$ , the mean horizontal momentum equations in the Boussinesq approximation reduce to (e.g. Stull, 1988) 92

$$f\left(\overline{V}-V_g\right) = -\frac{\mathrm{d}\tau_x}{\mathrm{d}z},\tag{1}$$

$$f\left(\overline{U} - U_g\right) = \frac{\mathrm{d}\tau_y}{\mathrm{d}z},\tag{2}$$

where  $(\overline{U}, \overline{V})$  are the mean horizontal wind components along the (x, y) plane (parallel to the surface);  $(\tau_x = -\overline{u'w'}, \tau_y = -\overline{v'w'})$  are the corresponding kinematic stresses;  $(U_g, V_g) = (\rho f)^{-1} \left(-\frac{\partial \rho}{\partial y}, \frac{\partial P}{\partial x}\right)$  are the geostrophic wind components sufficient to the horizontal gradients in mean (large-scale) pressure *P*, and can depend on the height (*z*) above the surface in baroclinic conditions;  $\rho$  is the fluid density and *f* is the Coriolis parameter (here for the northern hemisphere). An overbar denotes Reynolds averaging (over time and the (x, y) plane for the LES outputs); and primes indicate turbulent fluctuations around this average.

Scaling and dimensional arguments for Equations 1 and 2 have mostly relied on the assumption of a barotropic ABL where the magnitude of the geostrophic wind vector  $G_0 = [U_g(0), V_g(0)]$  is height-independent. The available velocity scales are then  $G_0$  and the surface friction velocity  $u_*$  (defined by  $u_*^4 = \tau_{\chi 0}^2 + \tau_{\chi 0}^2$  with subscript 0 indicating a value at the surface  $z_0$ ), and the length scales are  $z_0$  and  $u_*/f$ . Since the equations of motion do not stipulate which combination of velocity and length scales are appropriate for the problem, the choice of external ( $G_0$  and  $z_0$ ) or internal 102

 $(u_* \text{ and } u_*/f)$  scales results in the dimensionless forms

$$\frac{1}{Ro}\frac{\overline{V}-V_g}{G_0} = -\frac{d\left(\tau_x/G_0^2\right)}{d\left(z/z_0\right)}; \qquad \frac{1}{Ro}\frac{\overline{U}-U_g}{G_0} = \frac{d\left(\tau_y/G_0^2\right)}{d\left(z/z_0\right)},\tag{3}$$

and

$$\overline{V} - V_{g} = -\frac{d\left(\tau_{x}/u_{*}^{2}\right)}{d\left(fz/u_{*}\right)}; \qquad \overline{U} - U_{g} = \frac{d\left(\tau_{y}/u_{*}^{2}\right)}{d\left(fz/u_{*}\right)}, \tag{4}$$

respectively. The use of  $G_0$  and  $z_0$  in Equations 3 is intended to depict the surface Rossby number explicitly such 105 that taking the limit  $Ro \rightarrow \infty$  would result in constant stress profiles, provided that  $z/z_0$  remains finite and  $fz/u_* \rightarrow \infty$ 106 0. Blackadar and Tennekes (1968) presented this argument using  $u_*$  (instead of  $G_0$ ) as a velocity scale so that the 107 dimensionless number  $u_*/fz_0$  appears instead of *Ro* in Equations 3, but in either case the limits  $Ro \rightarrow \infty$  or  $u_*/fz_0 \rightarrow \infty$ 108 ∞ yield a definition of the ASL as an analogue to the inner (viscous) region of the canonical turbulent boundary layer 100 (George and Castillo, 1997). The velocity defect laws in Equations 4 characterize the outer layer, namely where the 110 normalized stresses and velocity deficits are unique functions of the dimensionless height  $f z/u_*$ , provided  $u_*$  remains 111 the proper velocity scale for the outer layer. Consequently, the logarithmic law  $\overline{U}/u_* = \kappa^{-1} \ln(z/z_0)$  is derived in the 112 ASL by matching the slopes of the inner layer velocity profiles,  $\overline{U}/u_* = \mathcal{F}(z/z_0)$  and  $\overline{V}/u_* = 0$ , to those of the outer 113 layer velocity defect profiles (Equations 4) in the limit  $Ro \rightarrow \infty$ . 114

It is worth emphasizing that  $\overline{V} = 0$  results from aligning the reference frame (x-axis) with the surface stress or near surface wind such that  $\tau_x = u_*^2$  and  $\tau_y = 0$ , and hence the geostrophic wind would be oriented at an angle  $\alpha_0$  (cross-isobaric angle) relative to the x-axis. The alternative choice of aligning the reference frame with the surface geostrophic wind results in  $\overline{V} \neq 0$ , i.e. the surface wind vector is oriented at  $\alpha_0$  relative to the x-axis. These two choices are simply a rotation of the x-axis at the surface by an angle  $\alpha_0$ , and in either case the  $Ro \rightarrow \infty$  limit requires that  $\alpha_0$  remains constant throughout the ASL, namely  $\overline{V}$  in the ASL must remain equal to its near-surface value (0 or constant) (Tennekes, 1973).

The angle  $\alpha_0$  and its relation to the geostrophic drag coefficient  $u_*/G_0$  are also determined from the asymptotic matching procedure (e.g. Peña et al., 2010): 123

$$\sin(\alpha_0) = \frac{B}{\kappa} \frac{u_*}{G_0},\tag{5}$$

where B is an empirical but supposedly universal parameter (Clarke and Hess, 1974; Arya, 1975). The universality 124 of B is a consequence of the aforementioned matching procedure in a steady state, neutral, and barotropic ABL. 125 However, its determination from field measurements has shown large scatter, with an average estimate of  $B \approx 4.4$ 126 (Hess and Garratt, 2002). Numerical experiments suggest  $B \approx 2.7$  in LES (Andren et al., 1994), and  $B \approx 2.3$  in the 127 high Reynolds number range of the DNS experiments by Spalart et al. (2008). The elusive nature of this parameter 128 in field measurements has been attributed to the presence of baroclinicity and heat entrainment in the atmosphere, 129 and Zilitinkevich and Esau (2002) argued that B is a function of static stability in the free troposphere and of the 130 ABL height ( $z_i$ ). The height  $z_i$  scales with  $u_*/f$  via the Rossby-Montgomery formula (Rossby and Montgomery, 1935; 131 Zilitinkevich, 1972) 132

$$c = \frac{u_*}{fz_i},\tag{6}$$

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where the parameter c typically ranges between 2 and 6 in field measurements (Hess and Garratt, 2002). Note that both B and c can depend on baroclinicity, and Arya and Wyngaard (1975) and Arya (1978) denoted  $z_i$  as a scale height rather than an ABL height in a baroclinic atmosphere. 135

$$\frac{\mathrm{d}U_g}{\mathrm{d}z} \approx -\frac{g}{f\theta_r}\frac{\partial\bar{\theta}}{\partial y}, \qquad \frac{\mathrm{d}V_g}{\mathrm{d}z} \approx \frac{g}{f\theta_r}\frac{\partial\bar{\theta}}{\partial x}, \tag{7}$$

where  $\theta_r$  is a reference Boussinesq temperature, g is the gravitational acceleration, and  $\overline{\theta}$  is a Reynolds-averaged 140 potential temperature. A total derivative is used in these equations for the steady state ABL and when additional terms 141 proportional to vertical gradients in potential temperature  $(\partial \overline{\theta} / \partial z)$  are negligibly small compared to their horizontal 142 counterparts (Arya and Wyngaard, 1975). When temperature gradients exist in the y-direction only, the baroclinic 143 ABL is either under positive shear  $(dU_g/dz > 0)$  or negative shear  $(dU_g/dz < 0)$ , both with  $dV_g/dz = 0$ . In these cases 144 the geostrophic wind vector does not rotate with height and the isobars remain parallel to the isotherms (this is at times 145 referred to as an equivalent barotropic atmosphere) (Wallace and Hobbs, 2006). Conversely, when  $dU_{e}/dz = 0$ , warm 146  $(dV_g/dz < 0)$  and cold  $(dV_g/dz > 0)$  advection arise due to temperature gradients along the isobars (Sorbjan, 2004), and 147 the geostrophic wind vector rotates with height leading to directional geostrophic shear. The effects of baroclinicity 148 can be generally characterized by the total geostrophic shear and its orientation angle (Arya and Wyngaard, 1975) 149

$$\Gamma = \sqrt{\left(\frac{\mathrm{d}U_g}{\mathrm{d}z}\right)^2 + \left(\frac{\mathrm{d}V_g}{\mathrm{d}z}\right)^2}, \qquad \beta = \tan^{-1}\left(\frac{\mathrm{d}V_g/\mathrm{d}z}{\mathrm{d}U_g/\mathrm{d}z}\right),\tag{8}$$

which can be height-dependent, but here we focus on linear variations of the geostrophic winds (constant geostrophic shear) within the ABL, such that  $\Gamma = \Gamma_0$  and  $\beta = \beta_0$ .

In the next section, we develop a model that incorporates the aforementioned effects of wind turning (i.e. finite *Ro* effects leading to  $\overline{V} \neq$  constant) and baroclinicity on the stress and wind profiles in the lower part of the ABL. Again, the premise is that the 'theoretical' ASL, defined as the region where  $f z/u_* \rightarrow 0$  but finite  $z/z_0$ , is confined to a very thin layer (close to the surface) at finite *Ro*, such that the effects of the Coriolis force and baroclinicity on the wind profiles are non-negligible even in the lowest 50-100 m of the ABL. We first simplify the equations of motion to obtain a reduced expression for the Reynolds stress profile (subsection 3.1), which will require a model for the cross-isobaric angle (subsection 3.2). The wind profile is then derived in subsection 3.3.

#### 3 | THEORY

We consider Equations 1 and 2 in a coordinate system aligned with the geostrophic wind vector at the surface such that  $U_g(0) = G_0$  and  $V_g(0) = 0$ . The mean horizontal wind, geostrophic wind, and total stress have magnitudes  $M(z) = \sqrt{\overline{U}^2 + \overline{V}^2}$ ,  $G(z) = \sqrt{U_g^2 + V_g^2}$ , and  $\tau(z) = \sqrt{\tau_x^2 + \tau_y^2}$ , respectively, with generally height-dependent orientation 162

angles defined by

$$\tan(\alpha_M) = \frac{\overline{V}}{\overline{U}}; \qquad \tan(\alpha_G) = \frac{V_g}{U_g}; \qquad \tan(\alpha_\tau) = \frac{\tau_y}{\tau_x} = \frac{-\overline{v'w'}}{-\overline{u'w'}}.$$
(9)

These angles are defined in the interval  $[-\pi, \pi]$  (full trigonometric circle) relative to the surface geostrophic wind direction ( $\alpha_G(0) = 0$ ). In this form, the boundary conditions for the mean wind and stress components become

$$\vec{\mathcal{M}}_0 = \begin{bmatrix} 0\\ 0 \end{bmatrix}, \quad \text{and} \quad \vec{\tau}_0 = \begin{bmatrix} \tau_{x0} = u_*^2 \cos\left(\alpha_0\right)\\ \tau_{y0} = u_*^2 \sin\left(\alpha_0\right) \end{bmatrix}; \quad \text{at} \quad z = z_0$$
(10)

and

$$\vec{M} = \begin{bmatrix} U_g \\ V_g \end{bmatrix}, \text{ and } \vec{\tau} = 0 = \begin{bmatrix} \tau_x = 0 \\ \tau_y = 0 \end{bmatrix}; \text{ at } z \to \infty.$$
(11)

where  $\alpha_0 = \alpha_\tau(0) = \alpha_M(z \rightarrow z_0)$  is the angle between the surface stress (or near surface) wind and the surface 167 geostrophic wind.

In our LES and the analyses to follow, the geostrophic wind profiles are considered to vary linearly with height; a good approximation in the ABL (Arya and Wyngaard, 1975). These profiles are 170

$$\frac{U_g}{G_0} = 1 + \frac{U_T}{G_0} \frac{z}{\delta}; \qquad \frac{V_g}{G_0} = \frac{V_T}{G_0} \frac{z}{\delta}, \qquad (12)$$

such that the geostrophic shear components (thermal winds gradients in s<sup>-1</sup>) are  $dU_g/dz = U_T/\delta = \Gamma_0 \cos(\beta_0)$  and  $dV_g/dz = V_T/\delta = \Gamma_0 \sin(\beta_0)$ , where  $U_T$  and  $V_T$  are the components of the thermal wind vector at a height  $\delta$  (e.g. top of the ABL) relative to the surface. In a barotropic ABL,  $U_T = V_T = 0$ .

#### 3.1 | Reynolds stress profile

Using our aforementioned convention, we rewrite Equations 4 as

$$\hat{M}\sin(\alpha_M) - \hat{G}\sin(\alpha_G) = -\frac{d}{d\hat{z}} \left[\hat{\tau}\cos(\alpha_\tau)\right],$$
(13a)

$$\hat{M}\cos(\alpha_M) - \hat{G}\cos(\alpha_G) = \frac{d}{d\hat{z}} \left[ \hat{\tau}\sin(\alpha_\tau) \right],$$
(13b)

where the  $\hat{}$  symbol represents dimensionless variables ( $\hat{M} = M/u_*, \hat{G} = G/u_*, \hat{\tau} = \tau/u_*^2$ , and  $\hat{z} = f_Z/u_*$ ). Since in the presence of Coriolis effects the wind angle typically lies in the range  $0 < \alpha_M < \pi/2$ , we divide Equation 13a by  $\sin(\alpha_M)$  and Equation 13b by  $\cos(\alpha_M)$ , which requires that  $\alpha_M \neq 0$  and  $\alpha_M \neq \pi/2$ , and subtract them to obtain after some algebra

$$\frac{\mathrm{d}\hat{\tau}}{\mathrm{d}\hat{z}} = \frac{1}{\cos(\alpha_M - \alpha_\tau)} \left[ -\hat{G}\sin(\alpha_M - \alpha_G) - \hat{\tau}\sin(\alpha_M - \alpha_\tau) \frac{\mathrm{d}\alpha_\tau}{\mathrm{d}\hat{z}} \right],\tag{14}$$

a first-order ordinary differential equation for the magnitude of the stress in terms of the external parameters G and  $\alpha_G$ , and the internal variables  $\alpha_M$  and  $\alpha_\tau$ . Equation 14 is merely a restatement of the equations of motion, written in 180

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reduced form such that  $\alpha_M$  (but not M) appears in the stress profile. In the lower part of the neutral ABL, the wind vector is closely aligned with the Reynolds stress (e.g. Geernaert, 1988; Jiang et al., 2018), i.e.  $\alpha_{\tau} \approx \alpha_M$ . Equation 14 then reduces to 183

$$\frac{\mathrm{d}\hat{r}}{\mathrm{d}\hat{z}} = -\hat{G}\sin(\alpha_M - \alpha_G),\tag{15}$$

which upon integration from the surface to some height z within the ABL results in the stress profile

$$\frac{\tau(z)}{u_*^2} = 1 - \frac{f}{u_*^2} \int_{z_0}^z G \sin(\alpha_M - \alpha_G) \, \mathrm{d}z', \tag{16}$$

where we used the boundary condition  $\tau_0 = u_*^2$ . Equation 16 is a generalized profile for the magnitude of the Reynolds 185 stress in a barotropic ( $G = G_0$ ) or baroclinic [G = G(z)] ABL; the only assumption being that the stress and wind vectors 186 are closely aligned in a region  $z \ll u_*/f$ . This is a common assumption in the ASL, and implies that the wind vector 187 and the wind-shear vector are also aligned. Close to the surface,  $d\overline{U}/dz \sim \overline{U}/(z-z_0)$  and  $d\overline{V}/dz \sim \overline{V}/(z-z_0)$ , and 188 since  $\tau_x \sim d\overline{U}/dz$  and  $\tau_y \sim d\overline{V}/dz$ , then  $\tau_y/\tau_x \sim \overline{V}/\overline{U}$ , or  $\alpha_\tau = \alpha_M$ . Alternatively, the argument can also be made 189 that Equation 15 (i.e. retaining only the term  $-\hat{G}\sin(\alpha_M - \alpha_G)$  from Equation 14) reproduces the stress profile in the 190 ASL accurately. This argument is plausible since the term containing  $\hat{G}$  in Equation 14 is much larger than the second 191 term. Figure S1 in the Supporting Information compares the right hand side (r.h.s.) of Equations 14 and 15 from our 192 LES output (described later) in both the barotropic and baroclinic ABL, showing that these match very well in the ASL 193 and hence Equation 15 provides a good model for the stress profile in the surface layer. 194

Some comments on this stress profile in the context of ABL modelling are noteworthy. A constant stress ( $\tau = u_*^2$ ) 195 commensurate with the zero pressure gradient boundary layer (ZPGBL) (e.g. George and Castillo, 1997; Wu and Moin, 2009) can be recovered from Equation 16 by setting G = 0, i.e. no geostrophic forcing or equivalently zero pressure gradient, but this represents the least realistic framework to investigate the ABL. In modelling the ABL as a half-channel flow (constant pressure gradient  $-\rho^{-1}\partial P/\partial x = -fG = u_*^2/\delta$ ) without Coriolis effects, and using  $\sin(\alpha_M - \alpha_G) = 1$ , 199 integrating Equation 16 results in a linear stress profile 200

$$\tau(z) = \tau_x(z) = u_*^2 \left( 1 - \frac{z - z_0}{\delta} \right) \quad \text{and} \quad \tau_y = 0 \quad \text{in} \quad 0 \le z \le \delta,$$
(17)

where  $\delta$  is the channel half-width here. This profile shows that at  $z - z_0 = 0.1\delta$  (typical depth for the ASL), the stress would decrease by 10% from its surface value, an underestimation of its decrease in a realistic ABL (e.g. exponential decay models by Zilitinkevich and Esau, 2005). To compare our model to the 'practically' constant stress approximation proposed by Monin and Obukhov (1954), we consider Equation 16 at some height z = H in a barotropic ABL ( $G = G_0$ ), where H represents a typical ASL height similar to Monin and Obukhov (1954). Rearranging, we obtain the change in the stress within this layer as

$$\frac{\tau(H) - u_*^2}{u_*^2} = -\frac{fG_0}{u_*^2} \int_{z_0}^H \sin(\alpha_M) \, \mathrm{d}z'; \qquad \alpha_M \neq 0.$$
(18)

This expression is similar to that obtained by Monin and Obukhov (1954), except that their estimates ignore the integral of  $\sin(\alpha_M)$  (wind turning). Conversely, Equation 18 incorporates the cross-isobaric angle (directional shear) in modelling the stress profile in the barotropic ABL.

In its most general form however, Equation 16 can be used to model the stress profile in a baroclinic ASL. In

the common cases where baroclinicity is well approximated by linear profiles of the geostrophic winds, this equation becomes

$$\frac{\tau(z)}{u_*^2} = 1 - \frac{f}{u_*^2} \left[ G_0 \int_{z_0}^z \sin(\alpha_M) \, dz' + \frac{U_T}{\delta} \int_{z_0}^z z' \sin(\alpha_M) \, dz' - \frac{V_T}{\delta} \int_{z_0}^z z' \cos(\alpha_M) \, dz' \right]$$
(19a)

$$= 1 - \int_0^{\hat{z}} \hat{G}_0 \sin(\alpha_M) \, \mathrm{d}\hat{z'} - \int_0^{\hat{z}} \frac{1}{f} \Gamma_0 \hat{z'} \sin(\alpha_M - \beta_0) \, \mathrm{d}\hat{z'}. \tag{19b}$$

The right hand side of Equation 19a expresses how the surface stress changes with height due to the surface pressure gradient and Earth's rotation (second term, as in barotropic), and the effects of positive ( $U_T > 0$ ) or negative ( $U_T < 0$ ) geostrophic shear (third term) and/or warm ( $V_T < 0$ ) or cold ( $V_T > 0$ ) advection (last term). Equation 19b uses the baroclinicity parameters [( $\Gamma_0 \cos \beta_0, \Gamma_0 \sin \beta_0$ ) $\equiv$ ( $U_T / \delta, V_T / \delta$ )] to show that the profile of the normalized stress and subsequently that of  $\sin(\alpha_M)$  are unique functions of the dimensionless parameters  $\hat{G}_0$ ,  $\hat{z}$ ,  $f^{-1}\Gamma_0$ , and  $\beta_0$ ; in accordance with the similarity theory. It will prove useful to combine the two baroclinicity parameters into a dimensionless parameter  $\gamma$  as

$$\gamma = \frac{1}{f} \Gamma_0 \left( \cos \beta_0 - \sin \beta_0 \right), \tag{20}$$

which represents the effect of  $U_T/\delta$  and  $V_T/\delta$ . Given a known external geostrophic forcing G(z), the stress profile (Equation 19) only requires a model for  $\sin(\alpha_M)$ . 218

#### 3.2 | Cross-isobaric angle

In a barotropic ABL, the profile of  $\sin(\alpha_M)$  must be a unique function of  $\hat{z}$ , and decreases from its surface value  $\sin(\alpha_0)$ to zero near the ABL height, or at the scale height where the stress gradients vanish and the geostrophic balance is established (e.g. Arya and Wyngaard, 1975). A plausible model is  $\sin(\alpha_M) = \sin(\alpha_0) e^{-c\hat{z}}$ , which indicates that wind turning is strongest in the surface layer of the barotropic ABL. To accommodate the effects of baroclinicity on the profile of  $\sin(\alpha_M)$ , we use the similarity form of Equation 19b where the linearity of the last integral in  $\hat{z}$  is suggestive of the implications of baroclinicity on  $\sin(\alpha_M)$ , which we then represent as

$$\sin(\alpha_M) = \sin(\alpha_0) \left[ e^{-c\hat{z}} + \frac{\gamma}{\hat{G}_0} \hat{z} \right],$$
(21)

with  $\gamma$  as given by Equation 20, and the boundary condition  $\sin(\alpha_0)$  is to be determined. The Rossby number similarity theory of the barotropic ABL predicts that  $\sin(\alpha_0)$  is proportional to  $u_*/G_0$  with the empirical parameter *B* (Equation 5), and Clarke and Hess (1974) argued that this relation remains valid in the baroclinic ABL but with *B* dependent on baroclinicity.

A relation for  $sin(\alpha_0)$  analogous to Equation 5 can be derived from our Equation 15, which when evaluated in the limit  $\hat{z} \rightarrow \hat{z}_0$  gives 231

$$\sin(\alpha_0) = \left(-\frac{\mathrm{d}\hat{r}}{\mathrm{d}\hat{z}}\right)\Big|_{\hat{z}\to\hat{z}_0} \frac{1}{\hat{G}_0}$$

$$= \frac{B}{\kappa} \frac{u_*}{G_0},$$
(22)

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where we used  $\alpha_G(\hat{z} \rightarrow \hat{z}_0) = 0$  and  $\alpha_M(\hat{z} \rightarrow \hat{z}_0) = \alpha_0$ . Equation 22 is analogous to Equation 5 but obtained from independent arguments. The second line in Equation 22 makes this analogy with  $B/\kappa = -(d\hat{\tau}/d\hat{z})|_{\hat{z}\rightarrow\hat{z}_0}$ , elucidating the physical origin of  $B/\kappa$  as the stress gradient at the surface. The dependence of B on baroclinicity is also inferred from the last integral in Equation 19b, namely the additive term  $f^{-1}\Gamma_0(fz_i/u_*)\sin(\alpha_0 - \beta_0)$ , where we followed the integral-measure approach of Arya and Wyngaard (1975) and Arya (1978) to include the scale height  $fz_i/u_*$  (rather than  $fz_0/u_*$ ) in this dependence. The general relation for  $\sin(\alpha_0)$  becomes

$$\sin(\alpha_0) = \frac{B}{\kappa} \frac{u_*}{G_0}$$
(23a)

$$\frac{B}{\kappa} = \frac{B_0}{\kappa} - \frac{1}{c} \frac{\Gamma_0}{f} \sin \left( \alpha_0 - \beta_0 \right),$$
(23b)

where we used  $c = u_*/f z_i$ . Equation 23a maintains the form of the resistance law (Equation 5) of the similarity theory, but incorporates baroclinic effects on the parameter *B* (Equation 23b) as the sum of a barotropic part  $B_0$ , and deviations due to baroclinicity. This baroclinic deviation is similar to the form suggested by Arya (1978) for the convective ABL, which reads (in their notation)  $m_2\kappa(z_i/u_*)\Gamma_0\sin(\beta_0 - \eta_m)$ , where  $m_2$  is the integral of the geostrophic shear over  $z_i$ , and  $\eta_m$  is an empirical phase angle. Our correction eliminates the need for  $\eta_m$ , but at the expense of being an implicit relation where  $\sin(\alpha_0)$  appears on the r.h.s. of Equation 23b.

Given the knowledge of  $\Gamma_0$ ,  $\beta_0$ , and  $u_*/G_0$ , Equation 23b relates the two unknown parameters B and c in a baro-238 clinic ABL, such that the knowledge of either is sufficient. In general, both B and c depend on baroclinicity. The 239 common parametric (or prognostic) approach would determine B (and subsequently  $\sin(\alpha_0)$ ) from Equations 23 aided 240 by the knowledge of the scale height ratio c (e.g. Arya and Wyngaard, 1975; Arya, 1978). This is often motivated by the 241 relatively weak dependence of c on baroclinicity (see e.g. Zilitinkevich and Esau, 2003), such that when  $(B/\kappa - B_0/\kappa)$  is 242 plotted against  $f^{-1}\Gamma_0 \sin(\alpha_0 - \beta_0)$ , the relation is close to linear (with a constant slope -1/c). Alternatively, an optimized 243 (diagnostic) approach determines  $B = \kappa G_0 \sin(\alpha_0)/u_*$  using Equation 23a along with observational or numerical simu-244 lation data, and diagnoses the dependence of c on baroclinicity from Equation 23b. These prognostic and diagnostic 245 approaches will be discussed in further detail as part of the results in section 5. 246

Regardless of the approach, substituting Equation 23a in 21, the profile of  $sin(\alpha_M)$  becomes

$$\sin(\alpha_M) = \frac{B}{\kappa \hat{G}_0} \left[ e^{-c\hat{z}} + \frac{\gamma}{\hat{G}_0} \hat{z} \right],$$
(24)

and hence the stress profile can be obtained by integrating Equation 19b using 24 to yield

$$\hat{\tau}(\hat{z}) = 1 + \frac{B}{c\kappa} \left[ \left( e^{-c\hat{z}} - 1 \right) \left( 1 + \frac{\gamma}{c\hat{G}_0} \right) \right] \left[ 1 + \frac{2\gamma}{\hat{G}_0} \hat{z} \left( 1 - \hat{z} + \hat{z}^2 \right) \right],$$
(25a)

$$\approx 1 + \frac{B}{c\kappa} \left[ \left( e^{-c\hat{z}} - 1 \right) \left( 1 + \frac{\gamma}{c\hat{G}_0} \right) \right].$$
(25b)

The series expansion in the last bracket of Equation 25a results from truncating a Taylor series of an exponential function, but since this term is present only in baroclinic conditions ( $\gamma \neq 0$ ) and remains small in the ASL ( $\hat{z} \ll 1$ ), the stress can be modelled by Equation 25b with sufficient accuracy in the ASL. In accordance with the similarity theory, Equation 25b recovers a constant stress  $\hat{\tau} = 1$  in the limit  $\hat{z} \rightarrow 0$  for both barotropic and baroclinic conditions. 250

#### 3.3 | Eddy viscosity and wind profiles

The wind speed (*M*) profile can now be derived using a first-order closure model. Starting with such a model for the individual wind components (with an eddy viscosity  $K_m$ ) 254

$$\tau_x = -\overline{u'w'} = K_m \frac{d\overline{U}}{dz} \quad ; \quad \tau_y = -\overline{v'w'} = K_m \frac{d\overline{V}}{dz}, \tag{26}$$

we multiply the first of these Equations by  $\overline{U}$  and the second by  $\overline{V}$  and add them to obtain

$$\overline{U}\tau_x + \overline{V}\tau_y = \frac{1}{2}K_m \frac{\mathrm{d}}{\mathrm{d}z} \left(\overline{U}^2 + \overline{V}^2\right). \tag{27}$$

Using  $\overline{U} = M \cos(\alpha_M)$ ,  $\tau_x = \tau \cos(\alpha_\tau)$ , and similarly for other terms, Equation 27 becomes

$$\tau \cos(\alpha_M - \alpha_\tau) = K_m \frac{\mathrm{d}M}{\mathrm{d}z},\tag{28}$$

such that the assumption  $\alpha_{\tau} \approx \alpha_M$  (consistent with the previous section) results in  $\tau = K_m dM/dz$ .

We use the linear eddy viscosity model  $K_m = \kappa u_* z$  in the surface layer (the friction velocity  $u_*$  depends on baroclinicity), which while itself may be a consequence of a log-law and constant stress ASL, remains a justifiable model on the grounds that  $u_*$  is the near-surface turbulence velocity scale and  $\kappa z$  is the dominant mixing length in the ASL in accordance with Townsend's attached eddy model (Townsend, 1961; Meneveau and Marusic, 2013; Ghannam et al., 2018). The wind profile can then be obtained by integrating  $\tau = K_m dM/dz$  (from Equation 25b)

$$\frac{M(z)}{u_*} = \frac{1}{\kappa} \ln\left(\frac{z}{z_0}\right) + \frac{B}{\kappa^2} \left(\frac{fz}{u_*}\right) + \frac{B}{\kappa^2} \frac{u_*}{cG_0} \gamma\left(\frac{fz}{u_*}\right),$$
(29)

where we used  $\hat{z} = fz/u_*$ . This wind model reduces to the log-law only in the limit  $fz/u_* \rightarrow 0$  (or  $Ro \rightarrow \infty$ ), but incorporates outer layer effects due to wind turning (second term on the r.h.s.) and baroclinicity (third term on r.h.s.). The stress and wind models given in Equations 25b and 29 will now be tested against a suite of LES experiments of the neutral ABL with a variety of barotropic and baroclinic geostrophic forcing.

#### 4 | LARGE EDDY SIMULATIONS

The LES code used herein solves the three-dimensional filtered momentum equations written in rotational form (Bou-268 Zeid et al., 2005; Kumar et al., 2006), such that the incompressible continuity equation is enforced by solving a Poisson 269 equation for a modified pressure (turbulent kinetic energy is subtracted from the pressure). The general setup of the 270 code follows Momen et al. (2018), but our setup does not include a capping temperature inversion. Such an ABL is 271 typically referred to as truly-neutral, as opposed to conventionally-neutral ABL (no surface heating but a temperature 272 inversion at the ABL top can result in downward heat flux). In the Supplementary Information, we reproduce some 273 of the results presented hereafter for the conventionally-neutral barotropic and baroclinic ABL, showing that the 274 presence of a capping inversion has negligible effects on our modelling results for the ASL. Even with no surface or 275 entrainment buoyancy fluxes, a conservation equation for potential temperature is solved in our LES code with some 276 modifications (discussed below) to account for the imposed large-scale horizontal temperature gradients that result 277

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in baroclinicity (Equation 7) (Brown, 1996; Momen et al., 2018). The filtered mass, momentum, and thermal energy conservation equations are

$$\frac{\partial \widetilde{u_i}}{\partial x_i} = 0; \tag{30}$$

$$\frac{\partial \widetilde{u}_{i}}{\partial t} + \widetilde{u}_{j} \left( \frac{\partial \widetilde{u}_{i}}{\partial x_{j}} - \frac{\partial \widetilde{u}_{j}}{\partial x_{i}} \right) = -\frac{1}{\rho} \frac{\partial \widetilde{\rho}^{*}}{\partial x_{i}} - \frac{\partial \tau_{ij}}{\partial x_{i}} + g \frac{\widetilde{\theta}^{*}}{\theta_{r}} \delta_{i3} + f \left( U_{g} - \widetilde{u}_{1} \right) \delta_{i2} - f \left( V_{g} - \widetilde{u}_{2} \right) \delta_{i1}; \tag{31}$$

$$\frac{\partial \vec{\theta^*}}{\partial t} + \vec{u}_j \frac{\partial \vec{\theta^*}}{\partial x_i} + \vec{u} \frac{\partial \Theta}{\partial x} + \vec{v} \frac{\partial \Theta}{\partial y} = -\frac{\partial \pi_j}{\partial x_i},$$
(32)

where  $x_i \equiv (x_1, x_2, x_3) \equiv (x, y, z)$  and  $\widetilde{u}_i \equiv (\widetilde{u}_1, \widetilde{u}_2, \widetilde{u}_3) \equiv (\widetilde{u}, \widetilde{v}, \widetilde{w})$  are the position and resolved velocity vectors, 280 respectively;  $\tau_{ij}$  is the deviatoric part of the subgrid-scale (SGS) stress tensor; and  $\delta_{ij}$  is the Kronecker delta. The 281 tilde  $\tilde{}$  denotes the resolved component of the variables. In this form, the mean horizontal pressure gradients are 282 imposed as prescribed geostrophic winds  $(U_g, V_g) = (\rho f)^{-1} \left( -\frac{\partial P}{\partial v}, \frac{\partial P}{\partial x} \right)$  in the code. In Equations 31 and 32,  $\tilde{\theta}^*$  is 283 a modified potential temperature that is derived to be horizontally periodic over the domain (needed because our 284 horizontal numerical gradients are computed using pseudo-spectral methods). If one defines the Reynolds average 285 potential temperature  $\Theta = \overline{\theta}$  that varies in x and y in a baroclinic ABL, and its planar (x, y) average  $\langle \Theta \rangle_{(x, y)}$ , then 286  $\tilde{\theta}^* = \tilde{\theta} + \langle \Theta \rangle_{(x,y)} - \Theta$ . Physically, it represents the instantaneous potential temperature from which the mean horizontal 287 trend is removed (see Momen et al. (2018) for full derivation). The advective terms  $\tilde{u}\partial\Theta/\partial x$  and  $\tilde{v}\partial\Theta/\partial y$  represent 288 the horizontal heat transport associated with the baroclinic large scale temperature gradients, but both Brown (1996) 289 and Momen et al. (2018) showed that the implications of these heat fluxes and the buoyant forces they generate on 290 the wind profiles are negligible in the ABL with zero surface buoyancy flux. 291

In our LES code, spatial derivatives are discretized through second-order centred finite differences in the vertical 292 (z) and pseudo-spectral differentiation in the horizontal (x, y) directions. Periodic boundary conditions are hence 293 employed in the horizontal directions and time integration uses the second-order Adams-Bashforth method. The 294 SGS stress is modelled with the Lagrangian averaged scale-dependent dynamic model (Bou-Zeid et al., 2005), while 295 the SGS heat flux model uses the dynamically-computed SGS viscosity and a constant SGS Prandtl number of 0.4. 296 The wall model computes the surface stress from the resolved horizontal velocity field at the very first grid point by 297 assuming a local logarithmic law, with test filtering at scale 2Δ to better reproduce the mean surface stress (Bou-Zeid 298 et al., 2005),  $\Delta = \sqrt{dxdy}$  being the LES filter width and dx = dy the horizontal grid spacing. Both the upper and lower 299 boundaries are impermeable (zero vertical velocity). Furthermore, the upper boundary condition is stress-free, and 300 both the lower and upper boundaries have zero heat flux. Since we do not have a temperature inversion, no damping 301 or sponge layer are imposed. Including an inversion with a sponge layer has no bearing on our conclusions (see the 302 Supplementary Information for the results with an inversion-topped ABL where a sponge layer is imposed for the 303 upper 25% of the LES domain). 304

#### 4.1 | Simulation setup and control parameters

Eleven simulations are performed here, with five barotropic cases at different surface Rossby numbers  $Ro = G_0/f z_0$ ; 306 one simulation without Coriolis forcing (hereafter referred to as No-Coriolis case); and five baroclinic cases where 307 the geostrophic wind profiles are varied with height. The No-Coriolis case is a pressure-driven half-channel flow and 308 will be used only as a benchmark for the barotropic ABL, i.e. it can be thought of as an  $f \to 0$  (or  $Ro \to \infty$ ) limit. 309 In all these simulations, a surface roughness length  $z_0 = 0.1$  m is imposed. While our barotropic cases are similar in 310 concept to the recent work of Jiang et al. (2018), here we obtain different Ro by changing either  $G_0$  or f, but not  $z_0$ 311 since this has additional implications on the effective Reynolds number in LES. The filtered equations are solved in a 312 domain with height  $L_z = 1500$  m and dimensions  $(L_x, L_y, L_z) = (2\pi, 2\pi, 1) \times L_z$ . The baroclinic pressure gradients vary 313 linearly with height only up to  $\delta$  = 1000 m, and become constant in the top 500 m of the LES domain. This mimics 314 baroclinicity generated by surface temperature gradients resulting in thermal winds within the ABL that then weaken 315 aloft. The height  $\delta$  and the magnitude of the surface geostrophic wind,  $G_0 = \sqrt{U_g(0)^2 + V_g(0)^2} = U_g(0)$ , are used 316 as the characteristic length and velocity scales to solve the filtered equations in dimensionless form. The condition 317  $V_g(0) = 0$  hence aligns the coordinate system with the surface geostrophic wind as per our earlier discussion in 318 section 3. The velocity field is initialized with the geostrophic wind profiles,  $\tilde{u} = U_g$  and  $\tilde{v} = V_g$ , with an additive noise 319 sampled from a uniform distribution to randomize the initial conditions. Since the characteristic timescale (response 320 time of the mean flow) of the ABL is the inertial oscillation period  $T_i = 2\pi/f [O(12h)]$  (Tennekes et al., 1972; Momen 321 and Bou-Zeid, 2016), the simulations were first integrated on a  $64 \times 64 \times 64$  numerical grid for  $6T_i$  to efficiently 322 develop the mean flow. The output was then interpolated into a finer turbulence-resolving 192×192×256 grid and 323 integrated for an another 0.5 $T_i$  warm-up period to develop the smallest scale eddies, and then over an additional  $T_i$ 324 for computing the flow statistics. All vertical profiles presented after this section are obtained from the high resolution 325 grid and averaged over the last inertial period  $T_i$ . 326

Since we use LES to compare our proposed model (developed independently) to the logarithmic law in an Ekman 327 boundary layer, assessing the performance of the LES code in the context of the log-layer "mismatch" or "overshoot" 328 (Mason and Thomson, 1992) is necessary. Even in channel flows where the log-law is known to be robust (e.g. Marusic 329 et al., 2013), a persistent problem in LES is that the simulated mean velocity may deviate from the log-law very close to 330 the surface for reasons that include the grid resolution (or equivalently the viscosity of the SGS model), the grid aspect 331 ratio, and/or the mismatch between the formulations of wall and SGS models. Other aspects may also be important 332 and the issue is an active research topic and has been addressed by several studies using different SGS formulations 333 (e.g. Bou-Zeid et al., 2005; Stoll and Porté-Agel, 2006; Brasseur and Wei, 2010; Kawai and Larsson, 2012). The code 334 we use here has been validated for a variety of surface boundary conditions in channel flows (No-Coriolis cases), and 335 showed improved performance in reproducing the logarithmic profile due to the dynamic and scale-dependent SGS 336 model formulations (e.g. Bou-Zeid et al., 2005; Kumar et al., 2006). In this respect, Figure 1 shows log-law fits to 337 the normalized mean velocity  $M/u_*$  for the No-Coriolis case (i.e.  $M = \overline{U}$ ) at two different grid resolutions (both with 338  $L_z$  =1500 m), namely 64  $\times$  64  $\times$  96 (dz =15.6 m), and 192  $\times$  192  $\times$  256 (dz =5.8 m). The profiles of  $M/u_*$  for these 339 resolutions collapse in the approximate range  $0.01 < z/L_z < 0.1$  (Figure 1a), and the log-law fits roughly span this 340 decade of scales. The derived value from the fits is  $\kappa = 0.387$  when  $z_0 = 0.1$  m is imposed (to match LES values). Note 341 that while our wall model uses a value of  $\kappa = 0.4$ , this only affects the surface stress and its relation to the velocity at 342 the first grid node, and does not constrain the value the code yields aloft. In the following sections, we will use the 343 derived values  $\kappa = 0.387$  and  $z_0 = 0.1$  m to compare the log-law to the velocity profiles in the Ekman boundary layer 344 where Coriolis effects are included. 345

Figure 2 shows the imposed geostrophic wind profiles ( $U_g$  and  $V_g$ ) normalized by the surface value  $G_0$ . Relative 346

to the barotropic simulations (Figure 2a), baroclinicity is imposed by varying either  $U_g$  (Figure 2b and 2c),  $V_g$  (Figure 347 2d and 2e), or both (Figure 2f), leading to a variety of thermal wind effects. The positive ( $S^+$ ; Figure 2b) and negative 348  $(S^-;$  Figure 2c) shear ABL correspond to  $dU_g/dz = U_T/\delta > 0$  and  $dU_g/dz = U_T/\delta < 0$ , respectively. Although in 349 both cases the geostrophic wind vector G(z) does not rotate with height ( $V_g = 0$  and  $\alpha_G = 0$  throughout the ABL), 350 the geostrophic shear angle (cf. Equation 8) is  $\beta_0 = 0$  in  $S^+$  and  $\beta_0 = 180^\circ$  in  $S^-$ . Conversely, cases of cold ( $A^-$ ; Figure 351 2d) and warm ( $A^+$ ; Figure 2e) advection result from  $dV_g/dz = V_T/\delta > 0$  and  $dV_g/dz = V_T/\delta < 0$ , respectively, where 352 the angle  $\alpha_G$  changes with height. In the mixed advection ABL (S<sup>-</sup>A<sup>-</sup>; Figure 2f), both  $U_e$  and  $V_e$  change with height 353 and the profile of  $\alpha_G$  is nonlinear in z. Note that in these simulations,  $\delta = 1000$  m is fixed and  $U_T$  and  $V_T$  are varied 354 such that the magnitude of the geostrophic shear vector  $\Gamma_0$  (Equation 8) is the same for all cases. Also, at  $z/\delta \ge 1$ , 355  $U_g$  and  $V_g$  become constant (Figure 2), which allows the velocity profiles to approach their geostrophic counterparts. 356 Since shear production is maintained in the ABL as long as  $dU_g/dz$  and  $dV_g/dz$  are nonzero, the velocity profiles 357 may not become geostrophic within the LES domain especially that there is no temperature inversion present in our 358 simulations (but see the Supplementary Information for inversion-topped cases). Table 1 summarizes the imposed and 359 derived parameters for the five barotropic simulations, denoted by B1 to B5 in increasing value of Ro, while Table 2 360 details those for the baroclinic cases. 361

## 5 | RESULTS AND DISCUSSION

In this section we first present results from the LES output, namely the derived boundary conditions and averaged profiles for the barotropic and baroclinic ABL, followed by an evaluation of the proposed new model in section 3.

#### 5.1 | LES results

#### 5.1.1 | Surface boundary conditions

The Rossby number values imposed here for the barotropic ABL (Table 1) are comparable to those estimated by 367 Hess and Garratt (2002) for atmospheric measurements, but the derived surface angle  $\alpha_0$  (last column in Table 1) is 368 smaller than the measurements by roughly 5°-10°. This angle is computed from the surface stress components as 369  $\tan^{-1}[(-\tau_{23})/(-\tau_{13})]$  in accordance with the definition in Equation 9, and compares well with the DNS results of 370 Coleman (1999) [reported in the original work as a function of Reynolds number and reproduced by Hess and Garratt 371 (2002) and Zilitinkevich and Esau (2002)]. It should be noted that the LES wall model, by construction, aligns the 372 surface stress with the wind at the first grid point, yielding  $\alpha_0 = \alpha_\tau(0) = \alpha_M(z \to z_0)$ . The range of *Ro* in Table 1 373 is obtained by changing the Coriolis parameter f (except case B1 where  $G_0$  is changed instead), leading to a narrow 374 Ro range compared to an ABL where  $G_0$  can be larger than the 6 m s<sup>-1</sup> used here. Consequently, the range of  $\alpha_0$  is 375 also limited to 15°-18°, but this angle is a decreasing function of Ro as predicted by the similarity theory. The LES-376 computed values (Equation 9) and the theoretical similarity predictions (Equation 22) are compared in Figure 3a, where 377  $\sin(\alpha_0)$  is plotted against  $u_*/G_0$  for the five barotropic cases. The linear fit (cf. Equation 22) results in a value  $B = B_0 =$ 378 2.5 (using  $\kappa = 0.387$ ), very close to the LES values of Andren et al. (1994) and DNS of Spalart et al. (2008), but slightly 379 smaller than the average value  $B \approx 4$  reported in field measurements (Hess and Garratt, 2002). This disparity may 380 be associated with stratification effects in the free atmosphere, unsteadiness, and/or baroclinicity that are commonly 381 present in measurements (Zilitinkevich and Esau, 2002). Besides  $\alpha_0$ , the height  $u_*/f$  (listed in Table 1) also shows a 382 consistent increasing trend with Ro, i.e. the scale height  $z_i = u_*/fc$  (Equation 6) is an increasing function of Ro. This 383

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is evident in the stress profiles in Figure 4c, where  $\tau(z)$  approaches zero at lower heights as Ro decreases. Overall, our results suggest robust values (independent of Ro) of B = 2.5 and c = 3.5 for the neutral barotropic ABL (values for each simulation are given in Table 1), where  $z_i$  is estimated as the height at which  $\tau(z)$  decreases to 5% of  $u_*^2$  and then used to determine c from Equation 6.

Table 2 provides the parameters for the baroclinic ABL. The external parameters for these simulations are identical 388 to those of the barotropic ABL (simulation B2 in Table 1), namely  $G_0 = 6 \text{ m s}^{-1}$ ,  $z_0 = 0.1 \text{ m}$ , and  $f = 1.4 \times 10^{-4} \text{ s}^{-1}$ , 389 but additionally a constant geostrophic shear  $\Gamma_0 = 6 \times 10^{-3} \text{ s}^{-1}$  is imposed in the form of thermal winds  $(U_T / \delta, V_T / \delta)$ 390 with different angles  $\beta_0$  (given in Table 2). In these baroclinic ABLs, the parameters B and c can be functions of the 391 parameters  $\Gamma_0$  and/or  $\beta_0$ . Table 2 lists the diagnostic values of B obtained from the LES output, denoted  $B^{\text{diag}}$  and 392 computed as  $B/\kappa = G_0 \sin(\alpha_0)/u_*$  as per Equation 23a. These values of B and their dependence on  $\beta_0$  follow the 393 numerical model values of Arya and Wyngaard (1975) (their Figure 9) closely, with negative values of B when  $\beta_0 <$ 394 0 and small values B = 0.5 when  $\beta = 0$  (e.g. the warm advection ( $A^+$ ) and positive shear ( $S^+$ ) ABLs in Table 2). To 395 diagnose the dependence of c on baroclinicity, Figure 3b shows Equation 23b, namely the deviation of  $B/\kappa$  ( $B^{diag}$  as 396 determined from LES) from its barotropic counterpart  $B_0/\kappa$ , plotted against  $f^{-1}\Gamma_0 \sin(\alpha_0 - \beta_0)$ , with all parameters 397 obtained from the LES output. Note that the ratio of these quantities is c (see Equation 23b). While the relation in 398 Equation 23b is theoretically nonlinear, the dependence of c on baroclinicity appears to be weak (at least for the range 399 of simulations we conduct here), such that a linear fit (solid black line in Figure 3b) approximates this relation well (see 400 also Zilitinkevich and Esau, 2003). This fit has a slope -1/c and results in an average value of c = 2.7 (close to the 401 barotropic value 3.5). The individual values of c obtained for each simulation (again via Equation 23b) are listed in 402 Table 2 (ranging between 2.2 and 3.5). 403

Henceforth, we will denote our models for the cross-isobaric angle (Equation 24), shear stress (Equation 25), and wind profile (Equation 29) as diagnostic when the values of  $B^{\text{diag}}$  as obtained from LES (and used to infer *c* using Equation 23b) are used. In addition, based on the observation that the the fitted value c = 2.7 gives a good measure of the scale height ratio of the baroclinic ABL, one can use this average value to obtain the prognostic values of *B* using Equation 23b. This gives  $B^{\text{prog}}$  in Table 2, showing that these remain very close to their diagnostic counterpart. When c = 2.7 and  $B^{\text{prog}}$  are used, the model is referred to as prognostic since it can be applied without the need for any LES results (within the parameter space we investigate in this paper).

### 5.1.2 | LES profiles

While half-channel flows are often used as a model of the barotropic ABL, the stress in the ABL drops much faster 412 than the linear decrease of the half-channel (No-Coriolis case in Figure 4c), particularly as Ro becomes smaller. This 413 analogy hence leads to a substantial overestimation of the ABL height (e.g. the height at which the stress reduces to 414 5% of  $u_{\star}^2$ ). The nonlinear decrease in the stress profile within the ABL (Figure 4c), which we modelled as an exponential 415 in section 3 (Equation 25), also indicates that the departure from a constant stress approximation (say 20% drop from 416 its surface value) occurs much closer to the surface than is typical in channel flows. As the difference between the 417 barotropic ABL and a channel flow is merely due to the presence of Coriolis effects here, we turn to the profile of 418 the cross-isobaric angle  $\alpha_M$  shown in Figure 4b. These profiles closely follow their stress counterparts in Figure 4c as 419 to Ro effects, therefore elucidating the important role of the Coriolis force and subsequently directional shear (wind 420 turning) in shaping the stress profiles. In Figure 4b we also point to the fact that  $\alpha_M$  in the barotropic ABL resides 421 between the constant eddy-viscosity solution provided by Ekman (1905) (shown as dashed line) and the  $Ro \rightarrow \infty$  limit 422 of the similarity theory (No-Coriolis case), and that wind turning becomes more pronounced in the ASL at lower Ro. 423

In essence, all these characteristics result from *Ro* effects on the ABL height, namely that smaller *Ro* (or smaller ABL height) lead to stronger interactions between the inner and outer layers. When plotted against  $f_z/u_*$  (instead of z in Figure 4), the profiles of  $\tau/u_*^2$  and/or  $\sin(\alpha_M)/\sin(\alpha_0)$  at different *Ro* collapse onto a universal profile (as we illustrate and discuss later in Figure 6).

Figure 4d shows the difference between the stress and wind vector directions in the barotropic ABL. As Ro 428 increases,  $\alpha_{\tau} - \alpha_{M}$  becomes smaller and the assumption on the alignment of the stress and wind vectors is plausible 429 for a more extensive vertical range (Figure 4d). Nevertheless, while the difference  $\alpha_{\tau} - \alpha_{M}$  can be  $\approx -35^{\circ}$  at low 430 Ro within the lowest 200 m of the ABL, this misalignment has minor effects on our modelling arguments for the 431 barotropic ABL. In reducing Equation 14 to Equation 15, we noted that the first term on the r.h.s. includes  $G/u_*$  and is 432 much larger than the second term (see also Figure S1 in Supplementary Information). The collapse of the normalized 433 wind profiles shown in Figure 4a suggests that  $u_*$  is the proper velocity scale in the lower part of the barotropic ABL. 434 The logarithmic law (also shown in Figure 4a) remains limited to 20-30 m above the surface for the ABL with rotation, 435 but more extensive for the No-Coriolis case (see inset in Figure 4). 436

In Figure 5a and 5b, the profiles of the wind and its orientation angle relative to the geostrophic wind vector 437 exhibit profound differences between the baroclinic ABL and its barotropic counterpart. The surface friction velocity 438  $u_*$  no longer collapses the wind profiles (as opposed to Figure 4a) since the varying pressure gradients introduce 439 height-dependent shear in the ASL in addition to the surface stress. More importantly, these wind profiles also do not 440 follow the log-law (Figure 5a and its inset). Above the ASL, the wind decreases towards its geostrophic counterpart in 441 the negative shear ( $S^-$ ) and mixed-advection ( $S^-A^-$ ) ABL as  $U_g$  decreases with height in these cases. This reduction 442 in the wind is associated with strong directional shear in the middle of the ABL as depicted by the stress profiles in 443 Figure 5c. 444

#### 5.2 | Modelling the stress profile in the barotropic and baroclinic ABL

Figures 6a and 6b show the normalized profiles of the cross-isobaric angle and Reynolds stress in the barotropic ABL 446 at different Ro. Note that  $\gamma = 0$  in this barotropic ABL and hence both  $\sin(\alpha_M)$  and  $\tau(z)$  decrease exponentially (cf. 447 Equations 24 and 25). These profiles are plotted against the dimensionless height  $f z / u_*$  and collapse into one line (their 448 exponential models), indicating that  $u_*/f$  is the similarity length scale for the whole ABL, as opposed to the arguments 449 of the similarity theory ( $Ro \rightarrow \infty$ ) where  $u_*/f$  is regarded as an outer layer length scale only. Again, this collapse with 450  $u_*/f$  throughout the ABL is a consequence of finite Ro. The constants B = 2.5 (as obtained from Figure 3a), c = 3.5, 451 and  $\kappa = 0.387$  are used in Figure 6a and 6b. While an exponentially decreasing stress profile in the barotropic ABL is 452 not a novelty in its own right, as it was also suggested by Zilitinkevich (1989) in the form  $\tau(z)/u_x^2 = e^{-cf z/u_x}$  based 453 on the similarity of the equations of motion when a constant eddy viscosity closure is employed, the explanation for 454 this exponential behaviour and its striking connection to the profile of  $\sin(\alpha_M)$  is original, and depicts the importance 455 of wind turning (directional shear) in introducing nonlinear effects on the stress profile (compared to a channel flow). 456 Such nonlinear effects were also typically accommodated by the form  $\tau(z)/u_*^2 = (1-z/\delta)^m$ , with m = 1/2 or 3/4457 and  $\delta$  the ABL height (e.g. Stull, 1988), but both this form and the exponential model essentially incorporate outer 458 layer wind turning effects on the stress profile in the ASL. In addition, we note that our model in Equation 25 (with 459  $\gamma = 0$ ) is identical to that of Zilitinkevich (1989) when  $B/c\kappa = 1$  (here this value is 1.7). Figure 6c, 6d, and 6e show 460 three individual examples of the performance of the stress model at different Ro (simulations B1, B3, and B5 in Table 461 1) plotted against the height z, and indicate that our assumption on the alignment of the wind and stress vectors, 462 although not very exact, has limited implications on our model performance in the barotropic ASL. 463

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Figure 7 shows the profiles of  $sin(\alpha_M)$  for the baroclinic ABL along with the model in Equation 24, both in its 464 diagnostic (using c for each individual run and  $B^{\text{diag}}$ ) from Table 2) and prognostic (using the average c = 2.7 and  $B^{\text{prog}}$ 465 from Table 2) forms. The barotropic simulation B2, for which we use the fixed values  $B_0 = 2.5$  and c = 3.5 (i.e. always 466 prognostic), is reproduced in Figure 7a for reference. Both the prognostic and diagnostic forms of the model given in 467 Equation 24 generally capture the turning of the wind with height for most baroclinic ABLs, but show some deviations 468 (from each other and from the LES output) in the outer layer for cases  $A^-$ ,  $A^+$ , and  $S^-A^-$  (Figure 7). In the lowest 250 469 m of these ABLs, which is the primary focus here, the diagnostic model reproduces the LES output very well. The 470 prognostic model has slight deviations, but it may be noted that the height variation of  $sin(\alpha_M)$  is minimal in these 471 cases (lower panel of Figure 7). While this indicates that the wind vector does not turn appreciably, the geostrophic 472 wind vector has a height-dependent  $\alpha_G$  in these cases and hence a cross-isobaric flow is present. 473

The performance of the stress model (Equation 25), in its diagnostic (dashed blue lines) and prognostic (solid black 474 lines) versions, is depicted in Figure 8 for the baroclinic ABL. Note that Equation 25a is used to model the profiles 475 throughout the ABL, although in the ASL Equation 25b is equally valid. In the positive shear ( $S^+$ ) and warm advection 476  $(A^+)$  ABL, shown in Figure 8b and 8e respectively, our assumption on wind-stress alignment is acceptable even far 477 above the surface; both of these cases exhibit a strong shear in the direction of  $\overline{U}$ , either due to  $U_g$  increasing with z 478 while  $V_g = 0$  (S<sup>+</sup>), or due to constant  $U_g$  but  $V_g$  decreasing with z (A<sup>+</sup>). Hence, Equation 25a (in its diagnostic form) 479 accurately represents the stress profile in these cases throughout the ABL. A peculiar feature of the warm advection 480 ABL is that the stress increases with height (Figure 8e), and in the positive shear ABL it remains approximately constant 481 up to 500 m above the surface. In the other baroclinic ABLs, where either  $U_g$  decreases with height (S<sup>-</sup> and S<sup>-</sup>A<sup>-</sup>), 482 and/or  $V_g$  increases ( $A^-$  and  $S^-A^-$ ), a distinctive layer where the stress decays from its surface value according to 483 Equation 25 is evident in Figure 8c, 8d, and 8f for simulations  $S^-$ ,  $A^-$ , and  $S^-A^-$ , respectively. Above this layer, 484 the diagnostic model departs from the simulated profiles for these cases, but captures the inflections in the stress 485 associated with the decrease in  $\overline{U}$  (namely as  $\tau_x$  changes sign to become positive in the upper part of the ABL). 486 Evidently, this model overestimates the heights at which the inflection points occur, especially in the negative shear 487 ABL (Figure 8c) where this inflection point is very close to the surface (roughly 50 m). Compared to its diagnostic 488 formulation, the prognostic model performs reasonably well across all simulations (solid black lines in Figure 8). This 489 is due to the fact that the ratio B/c appears in the stress model as a scaling factor (see Equation 25), and since these 490 parameters are inversely related in Equation 23b, the model is less sensitive to their ratio than to the change in their 491 individual values. Again, the weak dependence of c on baroclinicity (individual values are very close to the fitted 492 value c = 2.7) results in very comparable values for  $B^{\text{diag}}$  and  $B^{\text{prog}}$  (Table 2), suggesting some universal, yet empirical 493 dependence of B on the geostrophic shear angle  $\beta_0$  and the scale height ratio as noted by Arya and Wyngaard (1975) 494 and Arya (1978). 495

#### 5.3 | Modelling the wind profile in the barotropic and baroclinic ABL

A comparison between the logarithmic law and the new wind profile model given in Equation 29 is shown in Figure 9 for the barotropic ABL. When plotted in outer layer coordinates, the velocity-defect  $(M - G_0)/u_*$  portrays a decent collapse among different *Ro* values (Figure 9a). While the logarithmic profile is limited to approximately  $0.03f z/u_*$ , the new model proposed here matches the LES output up to  $0.1f z/u_*$ . In this barotropic ABL, the wind profile in Equation 29 has a linear correction  $B/\kappa^2(f z/u_*)$ . Apart from an additional constant, Fiedler and Panofsky (1972) arrived at a markedly similar model to Equation 29 (with  $\gamma = 0$ ) using the nonlinear mixing length proposed by Blackadar et al. (1969). Figure 9b, 9c, and 9d illustrate examples of the wind profile  $M/u_*$  in the lowest 500 m of the ABL for simulations *B*1, *B*3, and *B*5 (increasing *R*o), respectively. These show that the wind model (solid black line) matches the LES profiles up to few hundreds of meters above the surface, while the log law (grey line) is limited to few tens of meters. We emphasize that we did not refit the logarithmic profile here for each simulation (neither did we refit the parameters of our new model); for the logarithmic profile we use the values  $\kappa = 0.387$  and  $z_0 = 0.1$  m obtained from fitting a log-law to the velocity profile in the channel flow as discussed earlier. Hence, with  $B \approx 2.5$  being a good estimate in a barotropic ABL (where  $\gamma = 0$ ), the wind model in Equation 29 can be easily used instead of the classic log-law.

Perhaps more notably, the wind model (diagnostic and prognostic) also matches the LES profiles in the lower 511 part of the baroclinic ABL remarkably well, as depicted in Figure 10. The vertical extent of the logarithmic profile is 512 extremely limited in the negative shear, cold advection, and mixed-advection simulations (Figure 10c, 10d, and 10f), 513 in large part due to the sharp decrease in the stress profiles in these cases (cf. Figure 8), such that the ASL departs 514 from a constant stress approximation very close to the surface. Conversely, the positive shear and warm advection 515 ABL have a more extensive logarithmic profile (~ 60 m) associated with their roughly constant stress. Nevertheless, 516 the wind model provided by Equation 29 accurately describes the LES profiles up to few hundreds of meters, with a 517 remarkable match up to 500 m in simulations  $S^+$  and  $A^+$  (Figure 10b and 10e). The model also captures the decrease 518 (inflection point) of the wind in cases  $S^-$  and  $S^-A^-$  (Figure 10c and 10f). Minor sensitivity to the prognostic (solid 519 line) versus diagnostic (dashed line) parameters of the wind model is noticeable above  $\sim$  100 m (Figure 10). Note 520 that this sensitivity increases linearly with the dimensionless height  $f_Z/u_*$  (see Equation 29). Nevertheless, the wind 521 model provides an accurate representation of near surface winds up to some 150-200 m above the surface even in 522 its prognostic formulation. 523

Using the wind angle and wind speed models (Equations 24 and 29 with the diagnostic values of B and c), Figure 524 11 shows our Ekman spiral solution ( $\overline{V}/G_0$  vs.  $\overline{U}/G_0$ ) compared to the LES output. The model captures the spiral 525 in at least the lowest 200 m of the ABL (this height is denoted by solid (blue) diamond symbols in Figure 11). This 526 new framework thus bridges the gap between the logarithmic wind profile, valid very close to the surface in the limit 527  $f z/u_* \rightarrow 0$ , and the constant eddy-viscosity Ekman solution for the outer layer  $(z/z_0 \rightarrow \infty)$ . It is also notable that 528 our model exhibits excellent agreement with the LES wind spiral in the negative shear and mixed advection ABL ( $S^{-}$ 529 and  $S^-A^-$ ), although the stress model departed from its LES counterpart at heights ~50 m in  $S^-$  and ~100 m in  $S^-A^-$ . 530 This is a consequence of the fact that the wind profile is the integral of  $\tau(z)/K_m$  (this ratio is the vertical gradient of 531 the mean velocity, which decreases with height since  $K_m \propto z$ , and hence the wind model becomes more robust than 532 that of the stress (insofar the trend and inflection points in the stress are captured by its model). 533

#### 6 | CONCLUDING REMARKS

The existence of a logarithmic wind and constant stress profiles in the ASL requires the very limiting assumptions of 535 a barotropic atmosphere and negligible wind turning  $(Ro \rightarrow \infty)$ . For a more realistic representation of the ASL, we 536 propose a new theoretical framework that relaxes these constraints. On the assumption that the direction of the stress 537 and wind vectors in the lower part of the neutral ABL are reasonably aligned, we first provide a model for the stress 538 profile that incorporates the height-dependent cross-isobaric angle (due to finite Ro effects) and geostrophic wind 539 vector (due to baroclinicity). The vertical variation of this geostrophic velocity is treated as an external (known) linear 540 forcing, such that the magnitude of the geostrophic shear vector  $\Gamma_0$  and its orientation angle  $\beta_0$  suffice to describe 541 baroclinicity. Subsequently, we exploit the arguments of the Rossby number similarity theory to model the profile of 542

the cross-isobaric angle and derive a closed-form expression for the stress. The vertical distribution of the wind is then obtained through the traditional (linear eddy viscosity) closure.

The three main results of the work here are

$$\frac{\mathrm{d}\tau}{\mathrm{d}z} = -fG(z)\sin\left(\alpha_M - \alpha_G\right),\,$$

$$\frac{B}{\kappa} = \frac{B_0}{\kappa} - \frac{1}{c} \frac{\Gamma_0}{f} \sin\left(\alpha_0 - \beta_0\right),$$

 $\frac{M(z)}{u_*} = \frac{1}{\kappa} \ln\left(\frac{z}{z_0}\right) + \frac{B}{\kappa^2} \left(\frac{fz}{u_*}\right) + \frac{B}{\kappa^2} \frac{u_*}{cG_0} \gamma\left(\frac{fz}{u_*}\right),$ 

The first of these provides the stress gradient in the ASL of a barotropic or baroclinic ABL (the profile of  $\tau(z)$  is given in 545 Equation 25b). This was derived on the condition that  $sin(\alpha_M - \alpha_G) \neq 0$ , i.e. a cross-isobaric flow exists within the ASL 546 at finite Ro. The second result extends the traditional resistance law (relation between surface cross-isobaric angle 547 and geostrophic drag coefficient) to the baroclinic ABL by incorporating the dependence of the parameter B on  $\Gamma_0$  and 548  $\beta_0$ . This dependence on baroclinicity remains far from trivial as it also incorporates the scale height ratio  $c = u_*/fz_i$ , 549 and while this aspect of the similarity theory warrants further consideration, our findings suggest that c exhibits much 550 weaker dependence on baroclinicity. Such a relation is useful for the validation of the surface cross-isobaric angle in 551 weather and climate models (Brown et al., 2005; Svensson and Holtslag, 2009). The third result offers an accurate 552 means to extrapolate near-surface winds up to some 200 m or more above land or ocean surfaces for wind energy 553 or similar applications. It provides a correction to the log-law for wind turning (second term on right hand side) and 554 baroclinicity (last term). 555

Given our models for the cross-isobaric angle and wind speed (Equations 24 and 29), we were also able to accurately predict the Ekman spiral in the lowest 200 m of the ABL, hence bridging the well-known gap between surface layer theory (log-law valid in the limit  $f_z/u_* \rightarrow 0$ ) and outer layer Ekman-type solutions ( $z/z_0 \rightarrow \infty$ ). While we find that the empirical parameter  $c \approx 3$  (from which the value of the related parameter *B* can be derived) may be adequate for both the barotropic and baroclinic ABL, this wind profile still requires knowledge of  $\Gamma_0$  and  $\beta_0$  (through  $\gamma$ ). At a minimum, the present results serve to explain the origins of deviations from the log-law in the ASL of the commonly-occurring baroclinic ABL, even if detailed information on the baroclinicity parameters is not available.

Our results were tested against a suite of LES experiments for several barotropic ABL flows at different Ro, and 563 several baroclinic ABL simulations where the orientation of the geostrophic wind vector is changed. We find that the 564 logarithmic wind profile has a limited extent and a constant stress is virtually non-existent. Conversely, the provided 565 models match the LES output within the ASL. To this end, the incorporation of wind turning and baroclinic effects 566 provides a new approach to the interpretation of field measurements on tall (say > 30 m) meteorological towers. 567 As opposed to the approach of aligning the coordinate system locally (i.e. at each height) with the prevailing wind 568 direction and hence masking any effects of directional shear, the profiles of the cross-isobaric angle and stress given 569 in Equations 24 and 25 offer an alternative and more accurate approach to compute surface stresses and improve 570 flux-gradient closure models. This approach can potentially provide information on the baroclinicity of the mesoscale 571 environment, even if only measurements from one tower are available. 572

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Simulation name	<i>Ro</i> (×10 <sup>5</sup> )	<i>G</i> <sub>0</sub> (m s <sup>-1</sup> )	<i>u</i> <sub>*</sub> (m s <sup>-1</sup> )	f (×10 <sup>-4</sup> s <sup>-1</sup> )	$u_*/f$ (m)	$\alpha_0$ (deg)	В	с
B1	2.9	4	0.16	1.4	1168	18	2.4	3.6
B2	4.3	6	0.24	1.4	1680	17	2.5	3.5
B3	5.8	6	0.24	1.03	2200	16	2.6	3.5
B4	8.2	6	0.22	0.73	3027	15	2.6	3.5
B5	15.9	6	0.22	0.38	5850	16	2.6	3.3

**TABLE 1** Imposed and derived LES parameters for the barotropic ABL.  $z_0 = 0.1$  m in all these cases.

**TABLE 2** Imposed and derived LES parameters for the baroclinic ABL. The external parameters in all these cases are based on the barotropic simulation B2 in Table 1 (reproduced here as simulation Barotropic for comparison). The height  $\delta = 1000$  m is used in all these simulations. Positive and negative shear cases are denoted by  $S^+$  and  $S^-$ , warm and cold advection by  $A^+$  and  $A^-$ , and a combination case (mixed advection) by  $S^-A^-$ . The diagnostic values of c (7th column) and  $B^{\text{diag}}$  are obtained from LES output. Prognostic values  $B^{\text{prog}}$  are modelled after Equation 23b using the mean fitted value c = 2.7 from Figure 3b.

Simulation name	$rac{U_T}{\delta}$ (×10 <sup>-3</sup> $s^{-1}$ )	$rac{V_T}{\delta}$ (×10 <sup>-3</sup> $s^{-1}$ )	$\beta_0$ (deg)	<i>u</i> <sub>*</sub> (m s <sup>-1</sup> )	$\alpha_0$ (deg)	с	B <sup>diag</sup>	B <sup>prog</sup>
Barotropic	0	0	0	0.24	17	3.5	2.5	2.5
$\mathcal{S}^+$	+6	0	0	0.37	1	3	0.5	0.65
<i>S</i> <sup>-</sup>	-6	0	180	0.15	45	2.2	9.7	8.4
<i>A</i> <sup>-</sup>	0	+6	90	0.3	30	2.5	4.5	4.1
$\mathcal{A}^+$	0	-6	-90	0.26	-30	3.1	-4.8	-3.6
$S^-A^-$	-4.2	+4.2	135	0.25	50	2.5	6.7	6.5



**FIGURE 1** Logarithmic profile fits to the normalized mean velocity in channel flow (No-Coriolis cases) at different LES grid resolutions. (a) Log-linear plot up to  $0.4L_z$  with  $L_z = 1500$  m; and (b) the corresponding linear-linear profiles. The solid grey is the logarithmic fit resulting in  $\kappa = 0.387$  and  $z_0 = 0.1$ .



**FIGURE 2** Geostrophic wind profiles normalized by  $G_0$  for the barotropic and baroclinic cases. The magnitude of the geostrophic wind vector is  $G(z) = \sqrt{U_g^2 + V_g^2}$ .





**FIGURE 4** LES profiles for the barotropic ABL at different Rossby number (*Ro*) along with the No-Coriolis simulation. Upper panel shows (a) mean wind profiles  $M = \sqrt{\overline{U}^2 + \overline{V}^2}$  normalized by  $u_*$ . The log-law with  $\kappa = 0.387$  is also shown in grey (color online). The inset is a blow-up of the lowest 200 m of the domain; and (b) Cross-isobaric angle  $\alpha_M$  along with Ekman's exponential solution (dashed line). Lower panel shows (c) the magnitude of the total (resolved + SGS) stress  $\tau = \sqrt{\tau_x^2 + \tau_y^2}$  normalized by  $u_*^2$  with the SGS stresses shown as thin dashed lines; and (d) difference between the angles of the stress and wind vectors  $\alpha_\tau - \alpha_M$ . The kink in the upper part (z > 1000 m) of the profile of  $\alpha_\tau - \alpha_M$  for  $Ro = 2.9 \times 10^5$  (blue line in panel (d)) is imposed: since both stress components become negligibly small above this height we set the angle difference to 180° (the value at  $z \approx 1000$  m).



**FIGURE 5** LES profiles for the baroclinic ABL with different orientation of the geostrophic wind vector (see Table 2 for simulation names). A barotropic simulation (shown as *B* in the legend) is also reproduced for reference. (a) mean wind profiles normalized by  $u_*$ . The log-law with  $\kappa = 0.387$  is also shown in grey (color online) and the inset is a blow-up of the lowest 200 m of the domain; and (b) Wind angle  $\alpha_M$  relative to surface geostrophic wind. Lower panel shows (c) the magnitude of the total (resolved + SGS) stress normalized by  $u_*^2$  with the SGS stresses shown as thin dashed lines; and (d) difference between the angles of the stress and wind vectors  $\alpha_\tau - \alpha_M$ .



**FIGURE 6** Model validation for the cross-isobaric angle  $\alpha_M$  and the stress profiles in the barotropic ABL. (a) and (b) show the profiles of  $\sin(\alpha_M)$  and  $\tau(z)$  (magnitude of the resolved+SGS stress) normalized by their surface values. Symbols are LES output and solid black lines represent the models in Equations 24 and 25b both with  $\gamma = 0$ , c = 3.5, B = 2.5, and  $\kappa = 0.387$ . Individual examples for simulations B1, B3, and B5 are shown in (c), (d), and (e), respectively.



**FIGURE 7** Model for  $sin(\alpha_M)$  in the baroclinic ABL. The height *z* is on log-scale. Symbols are LES output, dashed (blue) and solid (black) lines represent, respectively, the diagnostic model (Equation 24 with the parameters *c* and  $B^{diag}$  from Table 2), and prognostic model (Equation 24 with the parameters *c* = 2.7 and  $B^{prog}$  from Table 2).



**FIGURE 8** Performance of the stress model (Equation 25a) in the baroclinic ABL. Table 2 lists the parameters *B* and *c* used in the diagnostic and prognostic models as described in the main text and in Figure 7.



**FIGURE 9** Comparison between the wind model (Equation 29 with  $\gamma = 0$ , B = 2.5, and c = 3.5) and the logarithmic scaling for the barotropic ABL at different *Ro*. (a) Linear-log wind-defect (ageostrophic) profile, and [(b), (c), and (d)] are individual examples of the wind profile in the lowest 500 m of the ABL. Symbols are LES output and grey and black solid lines are the log-law and the model, respectively.



**FIGURE 10** Comparison between the wind model (Equation 29) and the logarithmic scaling for the baroclinic ABL in the lowest 500 m of the domain. The parameters *B* and *c* used in Equation 29 are the same as those used for the stress profiles (Figure 8) and reported in Table 2.



**FIGURE 11** Model performance for the component winds,  $\overline{U} = M \cos(\alpha_M)$  and  $\overline{V} = M \sin(\alpha_M)$ , shown as an Ekman spiral and normalized by the surface geostrophic wind  $G_0$ . Symbols represent LES output and solid black lines are the model with wind speed M obtained from Equation 29 and wind angle  $\sin(\alpha_M)$  from Equation 24. The solid diamond symbol indicates a height of 200 m above the surface. The parameters  $B^{\text{diag}}$  and c from Table 2 are used in Equations 24 and 29.