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A TECHNICAL MEMORANDUM NWS CR-110



THE EFFECT OF QUANTITATIVE PRECIPITATION FORECASTS ON RIVER FORECASTS

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January 1996

**U.S DEPARTMENT OF
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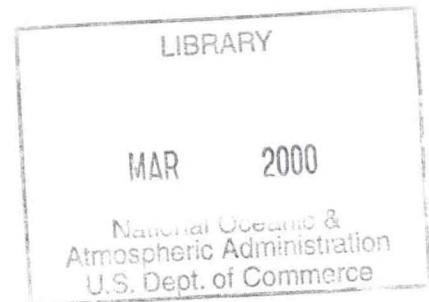
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Atmospheric Administration
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THE EFFECT OF QUANTITATIVE PRECIPITATION FORECASTS ON RIVER FORECASTS

Noreen O. Schwein
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1. INTRODUCTION

Quantitative Precipitation Forecasting (QPF), the forecasting of the amount of rain or water equivalent of frozen precipitation that will fall over a particular area over a given period, is of great interest to the National Weather Service in relation to river and flash flood prediction. A "good" QPF would be when the amount predicted would translate to a forecast of a river rise earlier than the river forecast without a QPF, and verifying. Therefore, a good QPF could provide better lead time, resulting in a flash flood warning being issued hours ahead of time, or a river flood warning being issued days ahead of time.

Successfully producing a good QPF is no simple task. Using meteorological models and national scale QPFs from the National Meteorological Center (NCEP) as guidance, considering local effects, and assessing such parameters as available moisture, instability and lifting mechanisms, the forecaster must attempt to learn where, when and how much precipitation will fall.

The NCEP Heavy Precipitation Branch has been producing QPF since 1960, and according to their verification statistics, has shown a marked improvement over time. To date, QPF operations at NCEP and in Weather Service Forecasts Offices (WSFO) in the U.S. vary a bit. However, verification statistics, although dissimilar, show a common trend that the QPFs improve as forecasters gain experience, and that forecasters seem to under forecast heavy rain events and over forecast light events. The reader should understand that the terms, heavy and light are subjective but generally, heavy can be considered an inch or more and light would be less than a half inch, over a 24-hour period. NCEP further defines excessive amounts as those that exceed flash flood guidance and/or are greater than five inches within 24 hours.

The purpose of this paper is to present an analysis of the impact, if any, QPF had on forecasts for six different locations over a period from June 15, 1993 to October 31, 1993, and attempt to determine whether that impact was beneficial or detrimental to the river forecast. A comparison will also be made to results of a 1992 QPF risk reduction exercise (described in Section 2).

2. BACKGROUND

During 1992 and 1993, QPF risk reduction exercises were conducted to detect the benefit, if any, QPF had on river forecasts. In 1992, the exercise went from April 1 through November 15 for several basins in Wisconsin, and resulting data was sparse due to a relatively dry period. Additionally, QPFs were only produced for amounts of at least half an inch in a 24-hour period, which was deemed to show a significant change to the river forecast, given normal conditions. This resulted not only in a small data base, but did not give WSFO forecasters the day-to-day experience helpful in gaining expertise. The 1992 study did not show that QPF improved the river forecast. It did show that the heavy events were under forecast, and that the first 12 hours of the 24-hour forecast period verified much better than the latter 12 hours. Therefore, the exercise in 1993 utilized only the first 12 hours of forecasted precipitation.

In 1993, the Great Midwest Flood occurred, affecting portions of the risk reduction area and supplying a larger database than in 1992. As discussed later in this text, although the two exercises were different, there was some compatibility in certain respects.

3. DESCRIPTION OF RIVER BASINS AND FORECAST POINTS

The six locations chosen for the 1993 exercise are described in Table 1 and displayed in Figure 1. The basins were chosen such that one drainage area did not affect another, and that river gage height readings and forecasts were received in six-hourly intervals. The National Weather Service River Forecast System (NWSRFS) models used were run twice, once without the addition of QPF and once with QPF. All other variables or modifications made for the river forecast were the same in both instances, allowing for QPF alone to affect a difference in the output forecasts.

The response time (time for river to crest after the onset of rainfall) varied for each basin. Some responded within 24 hours, others from three to five days. Therefore, the time of verification for individual basin statistics was taken at 1200 UTC for either the 1, 3 or 5 day forecast (Table 1).

4. METHODOLOGY

Meteorologists at WSFOs Minneapolis, Minnesota (MSP) and Milwaukee, Wisconsin (MKX), prepared a QPF around 1000 or 1100 UTC, valid from 1200 - 0000 UTC that day, and transmitted it to the North Central River Forecast Center (NCRFC) in Minneapolis (Figures 2a and 2b). The digital QPF product automatically was stored in a local database at NCRFC. The initial operational river forecast was prepared without QPF. The hydrologists, after assessing the

TABLE 1
DESCRIPTION OF STATION

Basin	Station Name/ River	Valid 12Z forecast	Local Drainage Area(mi ²)	Topography	Flood Stage
JDNM5	Jordan, MN/ Minnesota	3-day	1300	Flat	20 ft
NEWW3	New London, WI/ Wolf	5-day	1060	Rolling - to flat	9 ft
LNEM5	Lanesboro, MN/ N. Fork Root	1-day	615	Hilly	12 ft
RAYW3	Raymond, WI/ Root River Canal	1-day	57.2	Flat	8 ft
DARW3	Darlington, WI Pecatonica	1-day	273	Flat	11 ft
GRDM5	Garden City, MN Watonwan	3-day	812	Flat	not available

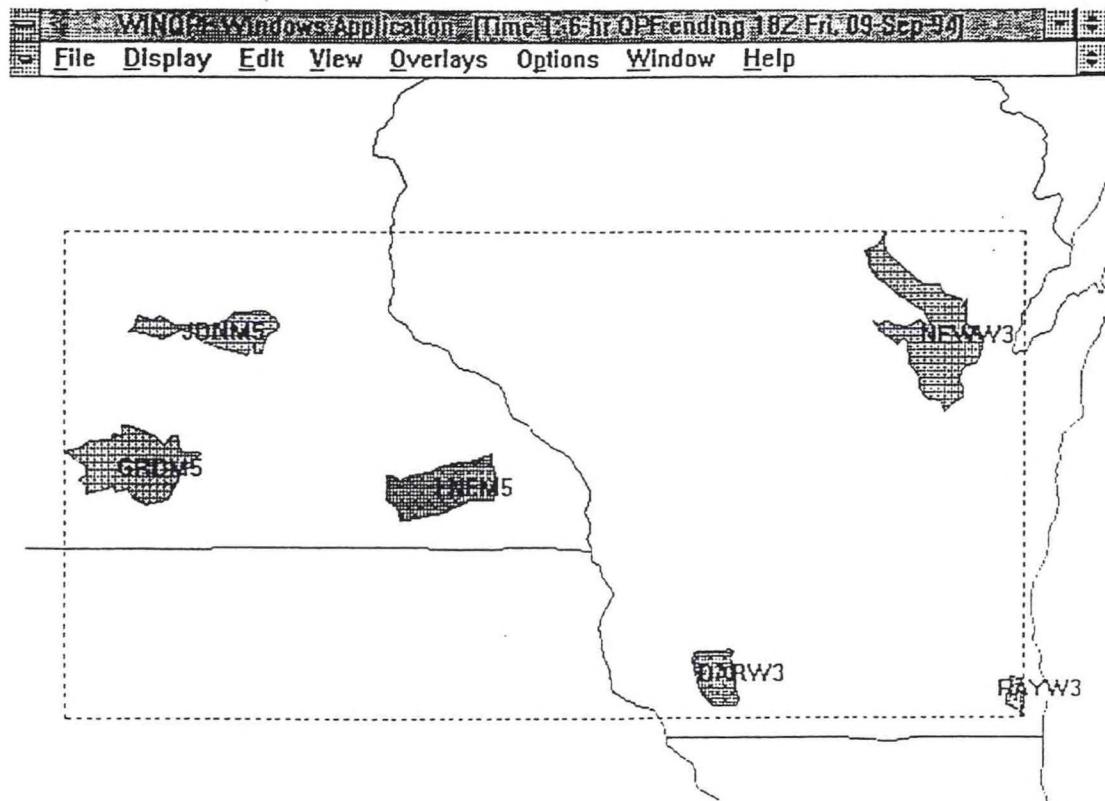


Figure 1. Basins used in 1993 Risk Reduction Exercise (southeast Minnesota, northern Iowa, and central Wisconsin are shown).

<ZCZC MKEQPSMKE
ETTAA00 KMKE 161208

ROUTINE QPF FOR WISCONSIN
NATIONAL WEATHER SERVICE MILWAUKEE/SULLIVAN WI
1204 UTC 06/16/93

.B MKE 930616 Z DH12 /DC9306161204
.B1 /DRH+06/PPQFZ /DRH+12/PPQFZ /DRH+18/PPQFZ
.B2 /DRH+24/PPQFZ /PPXFZ
:
: 6-HR 6-HR 6-HR 6-HR TOTAL
: PCPN PCPN PCPN PCPN PCPN
: ENDG ENDG ENDG ENDG
: 18Z 00Z 06Z 12Z
: 06/16 06/17 06/17 06/17
:
WIB01 0.18/ 0.25/ 0.00/ 0.00/ 0.43/ :BASIN ONE
DARW3 0.43/ 0.25/ 0.00/ 0.00/ 0.68/ :DARLINGTON
RAYW3 0.01/ 0.01/ 0.00/ 0.00/ 0.02/ :RAYMOND
RACW3 0.01/ 0.01/ 0.00/ 0.00/ 0.02/ :RACINE
.END

Figure 2a. A reduced version of Milwaukee's QPF product.

<ZCZC MSPQPSMSP
ETTAA00 KMSP 161204

Routine QPF for Minnesota
National Weather Service Minneapolis/St. Paul Minnesota
1200 UTC 06/16/93

.B MSP 930616 Z DH12 /DC9306161200
.B1 /DRH+06/PPQFZ /DRH+12/PPQFZ /PPXFZ
:
: 6-HR 6-HR TOTAL
: PCPN PCPN PCPN
: ENDG ENDG
: 18Z 00Z
: 06/16 06/17
:
SPFM5 0.01/ 0.05/ 0.06/ :COTTONWOOD R SPRINGFIELD MN
JDNM5 0.04/ 0.05/ 0.09/ :MINN R JORDAN
APPM5 0.00/ 0.18/ 0.18/ :POMME DT APPLETON
HKHM5 0.18/ 0.00/ 0.18/ :ROOT R HOKAH MN
.END

Figure 2b. A reduced version of Minneapolis' QPF product.

hydrologic situation concerning such details as the location and movement of precipitation over the basin, and the intensity and duration of the precipitation, then made subjective changes to the model output river forecasts for the final non-QPF forecast product. The river forecasts were then rerun to include the QPF.

Both forecasts, with and without QPF, for the basins listed in Table 1, were stored in a database for verification, broken into 6-hourly increments according to the NWS RFS models. Each forecast covered a period of five days.

Mean Areal Precipitation (MAP) was computed daily by NCRFC through the NWS RFS as described by Larson (1975), for verification of the QPFs for each basin. This data was deemed quite important by the WSFOs as response to the meteorologists, but will not be addressed in depth in this study. We are mainly concerned with verification of the river forecasts with and without QPF, and not the verification of the QPF itself. However, examples of verified QPF will be used to illustrate the effects on the river forecasts.

Using PARADOX database software, verification statistics such as mean error, mean absolute error, standard deviation, and bias, was calculated for the individual basins and all basins combined. It was decided to forego using correlation coefficients since a large portion of the QPF and non-QPF forecasts were equal, leaving the remaining portion of those samples too small to show a true significant correlation. The verification time for the combined basins was chosen to be 1200 UTC Day 1 (a 24-hour forecast). The verification time for the individual basins was chosen as specified in Table 1.

5. RESULTS FOR ALL BASINS COMBINED

For a first look at the data, all basins combined were considered. The data were separated by months, as some months were abnormally wet. Figures 3 and 4 display results of positive and negative errors, for each month of the exercise. It can be easily seen that those forecasts with QPF tend to over forecast more than those without QPF, and that those forecasts without QPF tend to under forecast more than those with QPF. Additionally, the river forecast with QPF, monthly positive error, was quite high in July. This may be attributed to the scenario that when there were repeated heavy rain events over an area, the meteorologists either over forecasted the amount or did not forecast the proper location. Furthermore, the data showed 13% of the time, when rainfall was predicted (i.e., QPF > 0), it occurred in the 12-24 hour period (after 0000 UTC), instead of the forecast period targeted, the 1200-0000 UTC period.

24-hr RIVER FORECAST VERIFICATION

Monthly Positive Error (F-O)

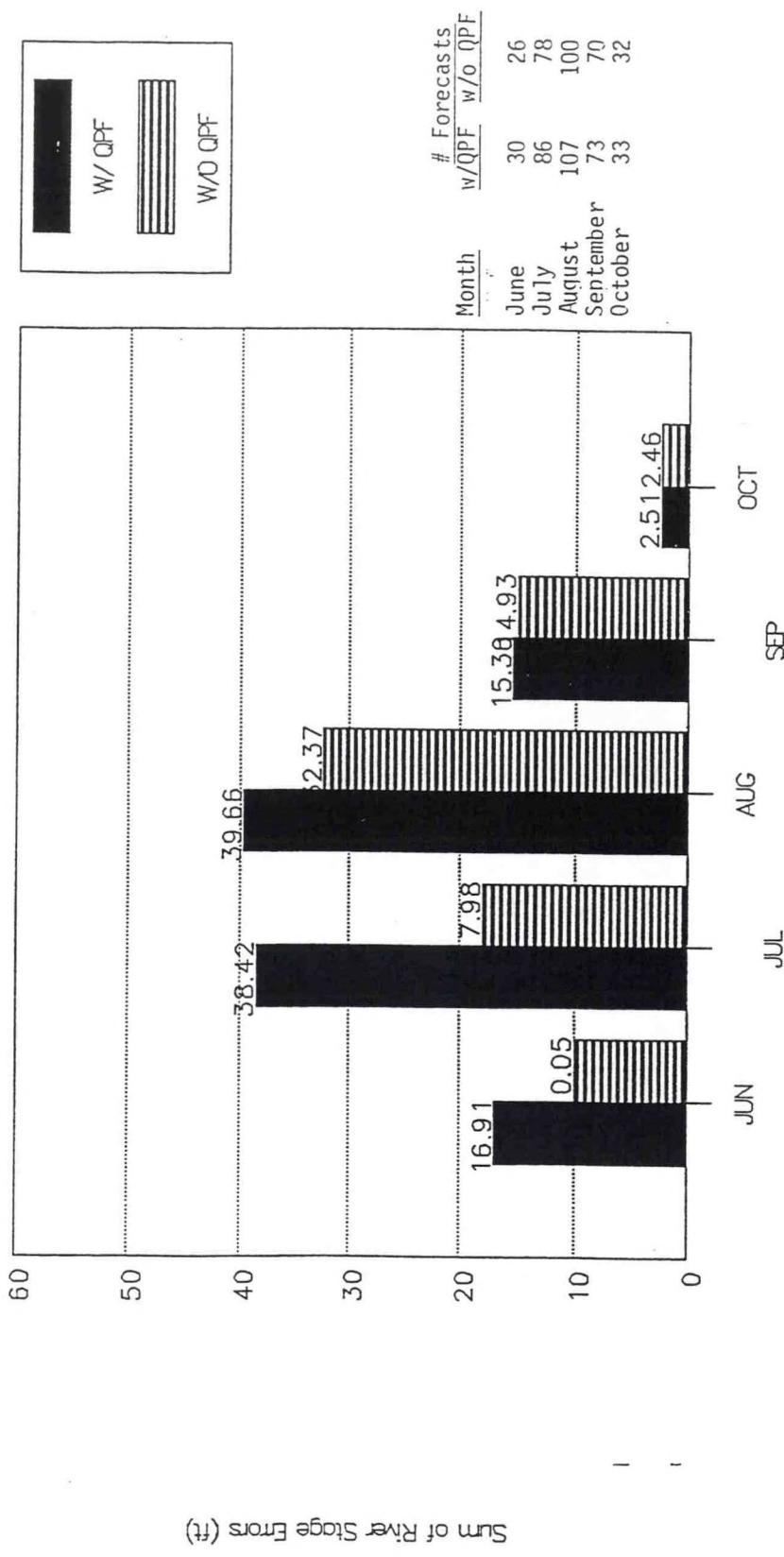


Figure 3.

Positive Forecast Errors (all basins combined).

24 hr RIVER FORECAST VERIFICATION

Monthly Negative Error (F-O)

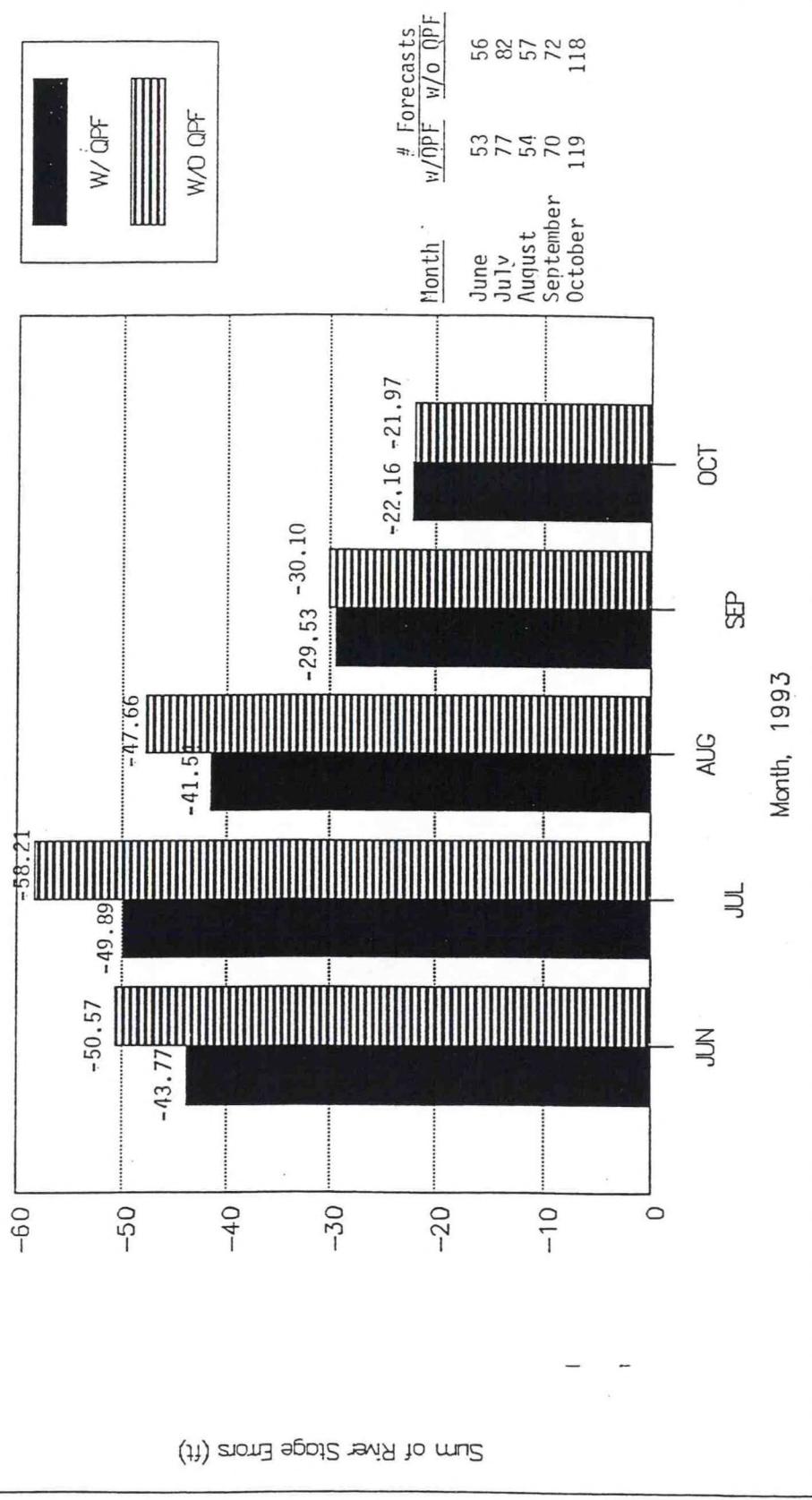


Figure 4. Negative Forecast Errors (all basins combined).

Looking also at Figure 5, which shows the actual 12-hour amounts of QPF versus MAP by month, some improvement can be seen in August. Both July and August were wet months with 22.31 inches and 14.10 inches of MAP, respectively. However, the percent of QPF that actually verified as MAP slightly increased from 65% in July to 68% in August. This may reflect the increased experience of the forecasters. September was even better with the 12.16 inches of MAP verifying as 99% of the forecasted precipitation.

Total QPF and MAP for all 5 basins combined

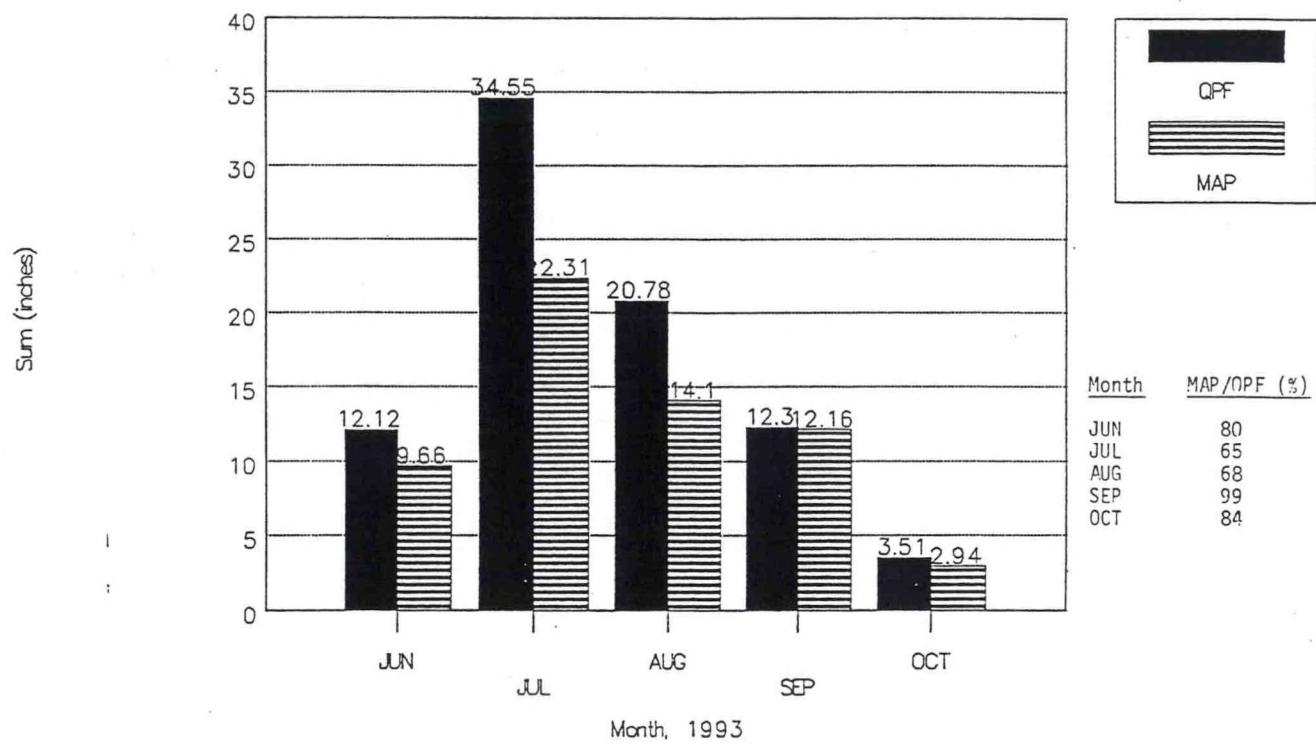


Figure 5. Monthly Summation of QPF and MAP for all basins June 15-October 31, 1993.

A further breakdown of 12-hour QPF versus 12-hour MAP can be seen in Table 2. A few items to note would be that about one third of the time a QPF was forecasted to be greater than zero, it did not verify, and about one fifth of the time where QPF equaled zero, precipitation did occur. Using a half inch of rainfall to be a significant amount as in the 1992 risk reduction, the table also shows a summary concerning QPF and MAP of ≥ 0.50 inch. Note, it is interesting that there were only six out of 47 episodes of forecasting a half inch or more where no precipitation occurred and two cases out of 40 where ≥ 0.50 inch of precipitation occurred but none was forecast. Also, there were 23 cases where a half inch or more was forecast and verified. These 23 cases were about half the total number of cases where QPF ≥ 0.50 inch.

TABLE 2
QPF AND MAP COMPARISONS

QPF & MAP (inches)	Number of Records	% of Occurrence ¹
All cases	828	100%
QPF = 0	497	60%
MAP = 0	545	66%
QPF = 0 & MAP = 0	439	53%
QPF > 0	331	40%
MAP > 0	283	34%
QPF > 0 & MAP = 0	106	32% ²
QPF = 0 & MAP > 0	58	20% ³
QPF ≥ 0.50	47	14% ²
MAP ≥ 0.50	40	14% ³
QPF ≥ 0.50 & MAP = 0	6	13% ⁴
QPF ≥ 0.50 , 0 < MAP < 0.50	18	38% ⁴
QPF = 0 & MAP ≥ 0.50	2	5%
QPF ≥ 0.50 & MAP ≥ 0.50	23	49% ⁴ , 56% ⁵

1. for all records unless otherwise stated

2. for all records where QPF > 0

3. for all records where MAP > 0

4. for all records where QPF ≥ 0.50

The bar graphs in Figures 6a and 6b show the total absolute values of the positive and negative errors of the river forecasts for all six basins combined, and the total arithmetic error. The arithmetic error, or bias, is the sum of all errors, positive and negative. One can see that both QPF and non-QPF forecasts tend to under forecast more than over forecast. Also in Figure 6a, the total absolute error for 24-hour river forecasts with QPF was 5% greater than the error for non-QPF forecasts. For those graphs in 6b, where the errors were taken at the verification response times of 1, 3, or 5 days as shown in Table 1, the total absolute error for forecasts with QPF was slightly less but still 3% greater than the non-QPF forecast errors. With the 1-day responses being equal, this difference would lead to the

conclusion that there was some improvement in the QPF versus non-QPF forecasts in the 3- and 5-day range. The range of error narrowed. Table 3 (which will be discussed in more detail later) shows how these forecasts compare to those that do not use QPF.

The total arithmetic error is shown as the last set of bars in Figures 6a and 6b. As stated earlier, it can be seen that these biases are definitely on the negative side (under forecasting) for both types of forecasts. The reason for this is obvious concerning the river stage forecasts without QPF as they only account for precipitation that has already occurred, and not future precipitation. The reason that river stage forecasts with QPF also tends to under forecast is probably due to the conservative nature of the meteorologist producing the QPF.

Note also that the biases are less for forecasts with QPF. This could be attributed to those river forecasts fluctuating more around the observed value, as opposed to the "stair-stepping" effect with the non-QPF forecasts. (This will be discussed further in the next section.) Additionally, the bias is less with the 24-hour forecast verifications in 6a as compared to 6b, because the slower responding streams show much less of a change over a 24-hour period.

TABLE 3
STATISTICAL SUMMARY OF RIVER FORECASTS - ALL CASES

Basin	w/ QPF ¹	w/o QPF ²	ABSERR ³	RMSE ⁴	MEAN ⁵	STD DEV ⁶	H: $\mu_1 = \mu_2$ ⁷
JDNM5	136	0.65	± 1.00	-0.28	± 0.96		Y
	136	0.65	± 0.99	-0.31	± 0.94		Y
NEWW3	134	0.61	± 0.78	-0.12	± 0.77		Y
	134	0.62	± 0.79	-0.15	± 0.77		Y
LNEM5	137	0.32	± 1.21	0.09	± 1.19		Y
	138	0.19	± 0.87	-0.19	± 0.83		Y
RAYW3	138	0.27	± 0.35	0.17	± 0.30		Y
	138	0.27	± 0.36	0.14	± 0.33		Y
DARW3	138	0.84	± 1.56	-0.67	± 1.41		Y
	138	0.87	± 1.65	-0.73	± 1.48		Y
GRDM5	135	0.84	± 1.68	-0.43	± 1.63		Y
	134	0.83	± 1.71	-0.55	± 1.62		Y

1. Total number of river forecasts including QPF

2. Total number of river forecasts without QPF

3. Average Absolute Error (ft)

4. Root Mean Square Error (ft)

5. Arithmetic Mean Error (ft)

6. Standard Deviation of Errors(ft)

7. Null hypothesis that data sets the same, rejected (Y=Yes/N=No)

8. Total number of river forecasts without QPF

24-hr RIVER FORECAST VERIFICATION

Total Error (F-O)

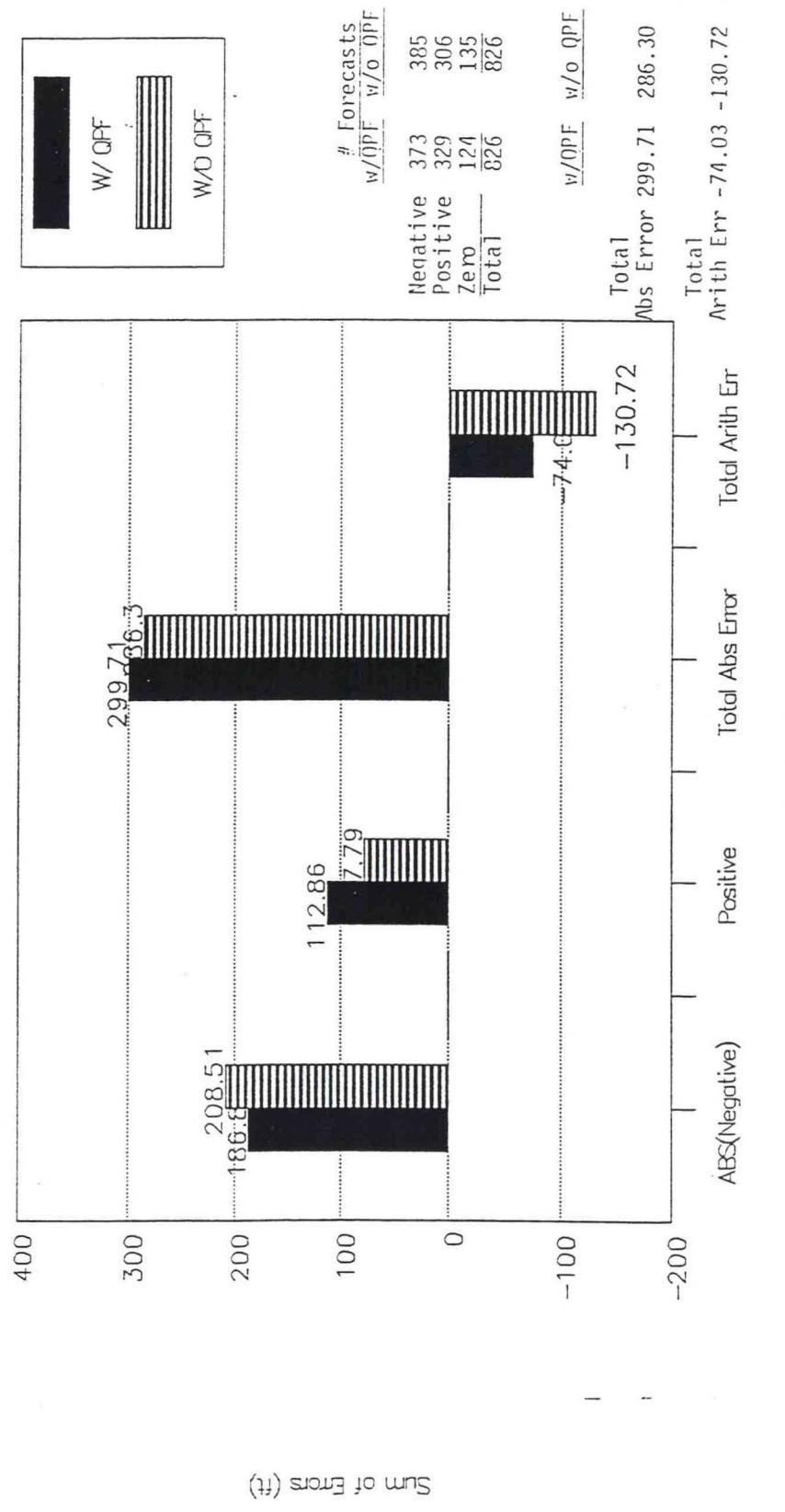


Figure 6a. Verification using 24-hour forecast times.

River Forecast Verification Errors at specified valid times for 6 locations

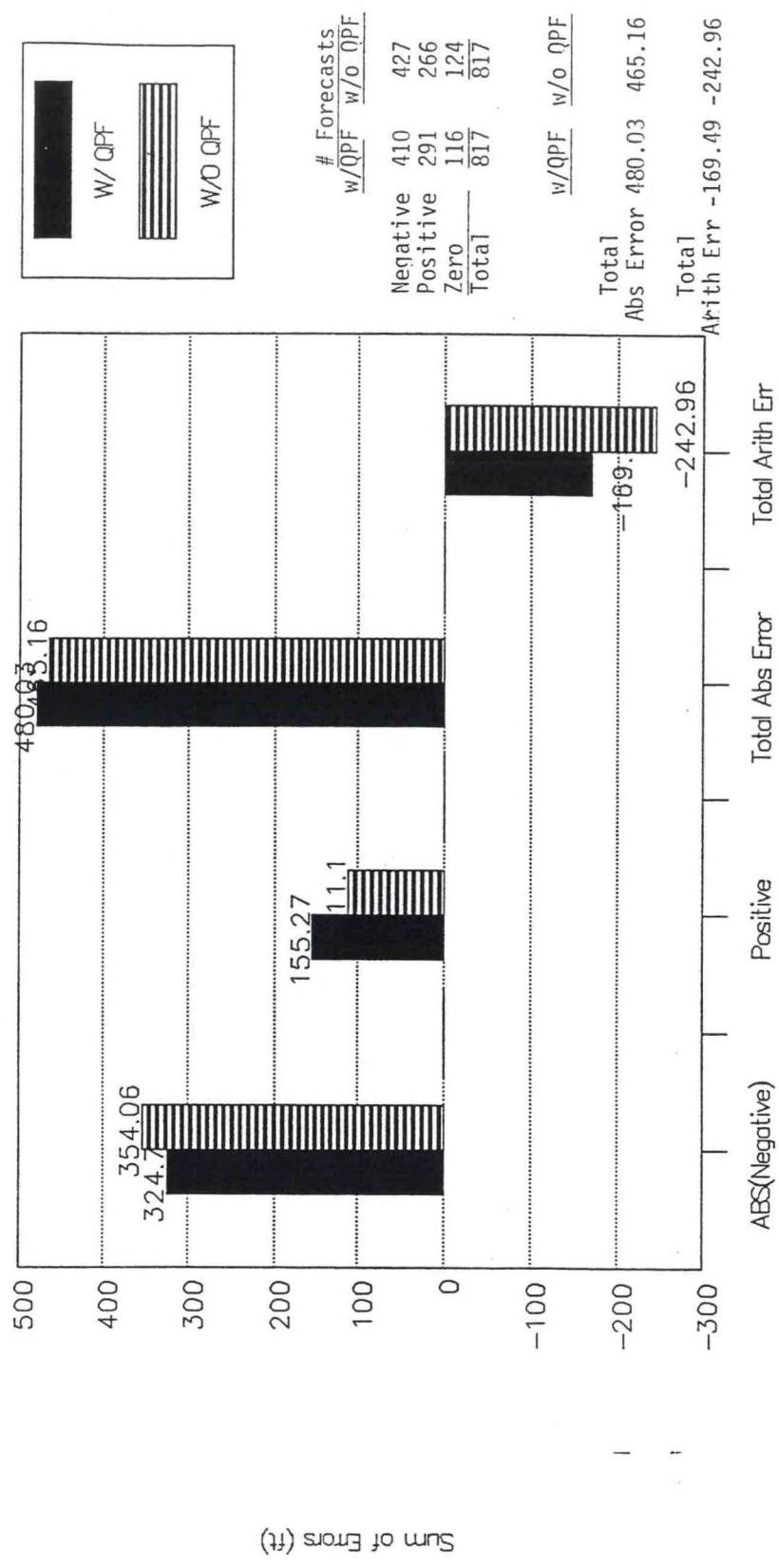


Figure 6b. Verification using response times from Table 1.

ERRATA

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REPLACE:

Pages 13-14 and 19-20

CHANGES:

Equation (2) incorrectly written.

The explanation of equations (6) and (11) were incorrectly written.

6. FURTHER STATISTICAL ANALYSIS

Additional statistical analyses were conducted on the data to determine if the QPF had a significant impact on the river stage forecasts, and if so, did that impact show improved results (i.e., better lead time). To accomplish this, it was decided to look at each basin separately. This would provide the opportunity to verify the data at different times according to the individual basin response times, and to show the effect on 1, 3, and 5 day forecasts.

Again, looking at positive and negative errors (over and under forecasting, respectively), the average absolute error (ABSERR) and root mean square error (RMSE) can be defined as:

$$\text{ABSERR} = (\sum (\text{PERR}) + \sum (\text{NERR})) / N \quad (1)$$

$$\text{RMSE} = \sqrt{(\sum (\text{PERR})^2 + \sum (\text{NERR})^2) / N} \quad (2)$$

where

PERR the positive error (forecast - observed) value

NERR the absolute value of the negative error value and

N the total number of cases.

Table 3 shows' the outcome of the analysis for each basin over the entire time period for all cases (including QPF = 0). For all basins but one, Lanesboro (LNEM5), the values of the ABSERRs and RMSEs were quite close for both types of forecasts, differing by less than 0.10 ft. At Lanesboro, the difference in the RMSEs was 0.34 feet. (This greater difference is largely due to a particular forecast and is discussed further in Section 7.) The question then arose, were these values significantly different? Were the results showing that there was no significant difference in the forecasts, with or without QPF?

To answer this question, a "Student's" t-test was conducted to test the null hypothesis (H) that had there been an infinite number of forecasts, the difference (D) would be zero (i.e., the mean of the hypothetical population of D's would equal zero). As suggested by Panofsky and Brier (1965), the limiting probability for this test was chosen to be 5% (i.e., $\alpha = 0.05$). In other words, if the t-test showed a probability of 5% or less that the two samples came from the same population, the hypothesis would be rejected. As specified by Ostle (1963), two normal populations would be assumed with means μ_1 and μ_2 , and the null hypothesis would be expressed as:

$$H: \mu_1 - \mu_2 = 0 = D \quad (3)$$

The value of t would be expressed as:

$$t = \bar{D}/s_D \quad (4)$$

where

$$\bar{D} = \sum D/N \quad D = F - Q$$

$$s_D = \sqrt{((\sum D^2 - (\sum D)^2/N) / (N-1))} \quad (5)$$

$$s_{\bar{D}} = \sqrt{((s_D)^2/N)} \quad (6)$$

and

- \bar{D} is the mean of differences, D
- F is the river stage forecast without QPF
- Q is the river stage forecast with QPF
- s_D is the standard deviation of the differences, D (for positive root of the variance, s_D^2)
- $s_{\bar{D}}$ is the standard deviation of the mean difference
- N is the number of pairs of forecasts

For this two-tailed t-test, one would reject H for values of t where

$$-t_{(1-\alpha/2)(n-1)} \geq t \geq +t_{(1-\alpha/2)(n-1)} \quad (7)$$

and

$$(1 - \alpha/2) = 0.975$$

The resulting values of t for each basin showed less than a 5% chance that the two data sets were from the same population (i.e., the test of the hypothesis failed). The t values follow:

Basin ID	$-t_{(1 - \alpha/2)(n - 1)}$	t
LNEM5	-2.009	-2.70
RAYW3	-2.003	-2.43
DARW3	-1.999	-2.55
GRDM5	-2.006	-2.75
JDNM5	-2.007	-3.33
NEWW3	-2.005	-3.33

We decided to make further use of the "Student's" t-test as follows:

Test Hypothesis, H: $QERR < FERR$

where

$FERR$ = Mean Forecast error without QPF

$QERR$ = Mean Forecast error with QPF

This would require a one-tailed test assuming normal populations and $\alpha = 0.05$. H would be rejected for:

$$t \geq t_{(1 - \alpha)(n_1 + n_2 - 2)} \quad (10)$$

where

n_1 = number of QERRs

n_2 = number of FERRs

The value for t would be computed using

$$t = (\bar{x}_1 - \bar{x}_2) / (s^2/n_1 + s^2/n_2) \quad (11)$$

where

\bar{x}_1 = the arithmetic mean of QPF error

\bar{x}_2 = the arithmetic mean of non-QPF error

$$s^2 = ((n_1 - 1)s_1^2 + (n_2 - 1)s_2^2) / (n_1 + n_2 - 2) \quad (12)$$

s_1 = the standard deviation of the stage forecast with QPF

and

s_2 = the standard deviation of the stage forecast without QPF

For all forecasts and for cases where $QPF > 0$, by basin, the statistical "Student's" t-test of the null hypothesis that the mean forecast error was significantly less for forecasts with QPF, was not rejected for JDNM5, NEWW3, DARW3, GRDM5 and RAYW3, but was rejected for LNEM5.

The test was not conducted for cases where all QPF and non-QPF forecasts were different since there was less than 30 cases to consider in all but one basin (the suggested minimum (30) acceptable sample for the t-test (Panofsky and Brier 1965)). The calculated t values follow:

For all cases

Basin ID	$t_{(1 - \alpha) (n_1 + n_2 - 2)}$	t
LNEM5	1.651	2.24
RAYW3	1.651	0.75
DARW3	1.651	0.35
GRDM5	1.651	0.60
JDNM5	1.651	0.25
NEWW3	1.651	0.33

For cases where $QPF > 0$

Basin ID	$t_{(1 - \alpha) (n_1 + n_2 - 2)}$	t
LNEM5	1.661	2.24
RAYW3	1.660	1.29
DARW3	1.659	0.47
GRDM5	1.661	0.58
JDNM5	1.661	0.32
NEWW3	1.660	0.40

7. EFFECT OF QPF ON INDIVIDUAL FORECASTS

Next to consider was the effect on the individual forecasts. What were some of the best and worst cases involving river forecasts with and without QPF? Did QPF add significant lead time? Figures 8-13 show some examples of forecasts from individual NWS RFS model runs for each forecast point, taken through their respective response times. The QPF that was forecast and the MAP that occurred is shown in the lower right-hand corner of the individual

6. FURTHER STATISTICAL ANALYSIS

Additional statistical analyses were conducted on the data to determine if the QPF had a significant impact on the river stage forecasts, and if so, did that impact show improved results (i.e., better lead time). To accomplish this, it was decided to look at each basin separately. This would provide the opportunity to verify the data at different times according to the individual basin response times, and to show the effect on 1, 3, and 5 day forecasts.

Again, looking at positive and negative errors (over and under forecasting, respectively), the average absolute error (ABSERR) and root mean square error (RMSE) can be defined as:

$$ABSERR = (\sum (PERR) + \sum (NERR)) / N \quad (1)$$

$$RMSE = \sqrt{(\sum (PERR)^2 + \sum (NERR)^2) / N} \quad (2)$$

where

PERR the positive error (forecast - observed) value

NERR the absolute value of the negative error value and

N the total number of cases.

Table 3 shows' the outcome of the analysis for each basin over the entire time period for all cases (including QPF = 0). For all basins but one, Lanesboro (LNEM5), the values of the ABSERRs and RMSEs were quite close for both types of forecasts, differing by less than 0.10 ft. At Lanesboro, the difference in the RMSEs was 0.34 feet. (This greater difference is largely due to a particular forecast and is discussed further in Section 7.) The question then arose, were these values significantly different? Were the results showing that there was no significant difference in the forecasts, with or without QPF?

To answer this question, a "Student's" t-test was conducted to test the null hypothesis (H) that had there been an infinite number of forecasts, the difference (D) would be zero (i.e., the mean of the hypothetical population of D's would equal zero). As suggested by Panofsky and Brier (1965), the limiting probability for this test was chosen to be 5% (i.e., $\alpha = 0.05$). In other words, if the t-test showed a probability of 5% or less that the two samples came from the same population, the hypothesis would be rejected. As specified by Ostle (1963), two normal populations would be assumed with means μ_1 and μ_2 , and the null hypothesis would be expressed as:

$$H: \mu_1 - \mu_2 = 0 - D \quad (3)$$

The value of t would be expressed as:

$$t = \bar{D}/s_D \quad (4)$$

where

$$\bar{D} = \sum D/N \quad D = F - Q$$

$$s_D = \sqrt{(\sum D^2 - (\sum D)^2/N) / (N-1)} \quad (5)$$

$$s_D = \sqrt{((s_D)^2/N)} \quad (6)$$

and

- \bar{D} is the mean of differences, D
- F is the river stage forecast without QPF
- Q is the river stage forecast with QPF
- s_D is the standard deviation of the differences, D (for positive root of the variance, s_D^2)
- s_D is the standard deviation of the mean difference
- N is the number of pairs of forecasts

For this two-tailed t-test, one would reject H for values of t where

$$-t_{(1-\alpha/2)(n-1)} \geq t \geq +t_{(1-\alpha/2)(n-1)} \quad (7)$$

and

$$(1 - \alpha/2) = 0.975$$

The resulting values of t for each basin showed less than a 5% chance that the two data sets were from the same population (i.e., the test of the hypothesis failed). The t values follow:

Basin ID	$-t_{(1 - \alpha/2)(n - 1)}$	t
LNEM5	-1.980	-2.50
RAYW3	-1.980	-2.25
DARW3	-1.980	-2.40
GRDM5	-1.980	-2.39
JDNM5	-1.980	-3.38
NEWW3	-1.980	-3.33

We then considered the arithmetic means and standard deviations of those means as they could show some significance about "stair-stepping" versus "fluctuating" forecasts. A stair-stepping forecast is one that generally lags behind the rising trend of the river and is common with non-QPF river forecasts. This is due to the fact that observed precipitation is incorporated into the river forecasting model after it has fallen, sometimes as much as 24 hours later. For example, if 0.50 inches of rain fell in the past 24 hours ending at 1200 UTC, but continued for several hours totaling 1.00 inches for the event, the river stage forecast without QPF would only consider the 0.50 inch amount. The river forecast the following day at 1200 UTC would then utilize the additional 0.50 inch amount.

The result of this would be that the first forecast stage would be lower than the second forecast stage, and the upward trend from one forecast to the next would be one-step. If a similar rainfall episode happened again on the second day, you would have another step, and so on. If the river had a response time of less than 24 hours, then the second forecast would finally "catch up" to the observed value on the second day. This gradual rising of the river forecast to the eventual observed river stage is known as stair-stepping.

Fluctuating forecasts, more common with QPF input, are those that may have too high (low) a value with one forecast and too low (high) a value with the next due to the difference between the forecast amount of precipitation versus the amount that actually falls.

Figure 7 shows an example of stair-stepping and fluctuating forecasts. Notice how the forecast values without QPF on 6/19 and 6/20 are about one foot lower than what was observed. On 6/21, the non-QPF forecast "catches up" to the observed value. This demonstrates the stair-stepping effect. The fluctuating effect can be seen in the forecast values that utilized QPF where on 6/19, the forecast was about half a foot higher than observed and 6/20 the forecast was a few tenths of a foot lower than observed.

Stair-stepping vs Fluctuating fcsts

Raymond, WI on Root River Canal, 1993

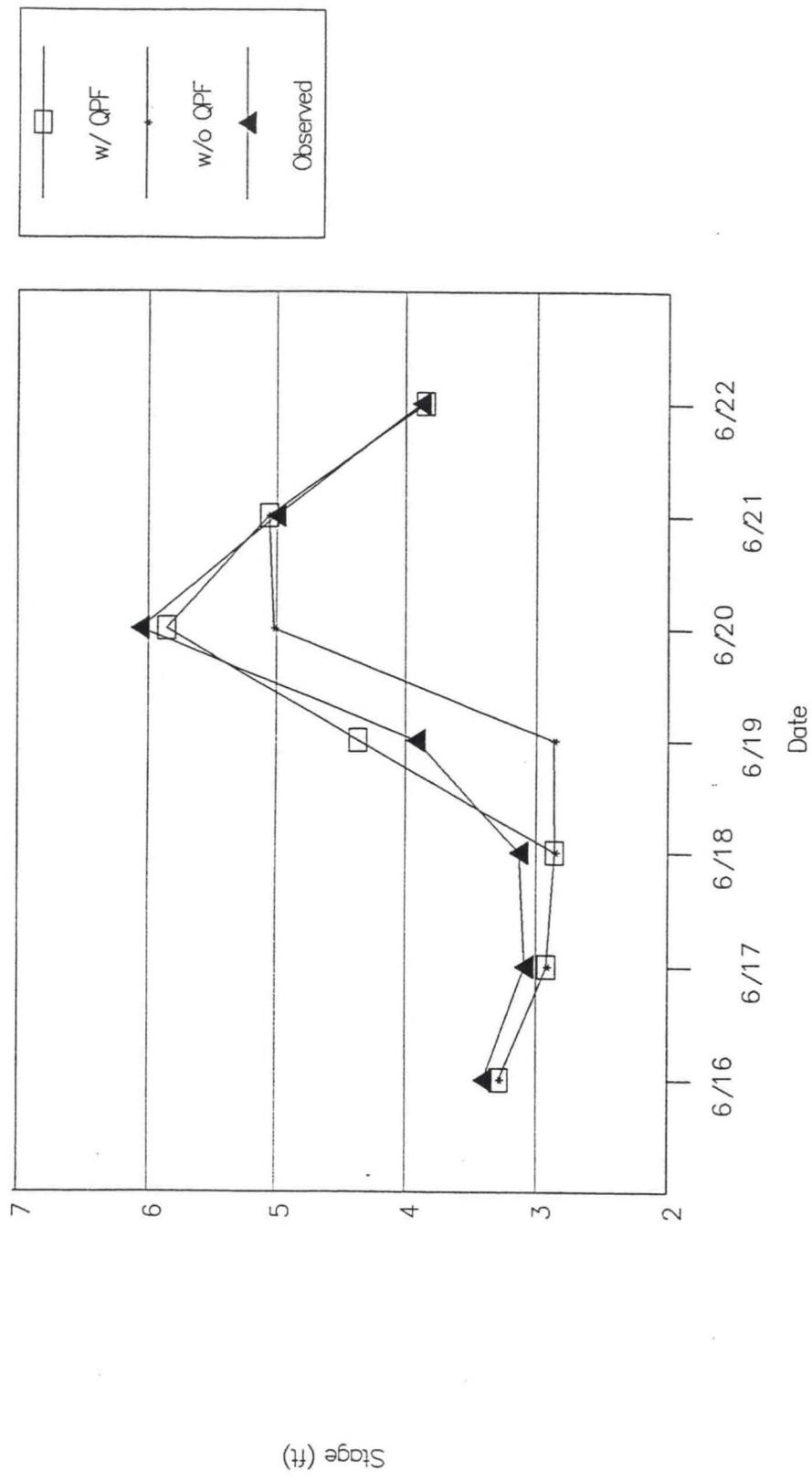


Figure 7. This is a graph of river forecasts vs. observed stages (forecasts initialized at 1200 UTC 16 June 1993). Forecast with QPF denote "fluctuating". Forecasts without QPF denote "stair-stepping".

The mean and standard deviation was computed according to Spiegel (1961), as follows:

$$\bar{x} = (\sum X/N) \quad (8)$$

and

$$s = \sqrt{(\sum (X - \bar{x})^2/N)} \quad (9)$$

where

X represents actual forecast errors (with or without QPF)

\bar{x} the mean forecast error (with or without QPF)

N is the number of forecasts

s is the standard deviation

The standard deviations of the mean errors as shown in Table 3, proved to be slightly less in magnitude than the RMSE_s of the absolute value errors. Still, the difference was only by a few hundredths except Lanesboro, which differed by 0.36 ft. One could conclude at this point that:

- 1) there was little difference in the forecast errors concerning stair-stepping or fluctuating forecasts, and
- 2) that the error deviated less around the arithmetic mean as opposed to the absolute mean.

At this point, we decided to delve a bit deeper and run similar analyses on a data set excluding values where QPF = 0 inches, and on an even smaller data set where QPF made a definite difference in every forecast. To further explain the latter sample, there were times when a small amount of QPF resulted in both QPF and non-QPF forecasts being equal. Therefore, the latter case only considers those forecasts which were different at the selected verification times referred to in Table 1.

Tables 4 and 5 show the results of these analyses. The errors become gradually larger as the data set becomes smaller. What is interesting is that the absolute errors are all quite close in every example, except Lanesboro, and the mean errors are less for forecasts with QPF in most cases *including* Lanesboro.

TABLE 4
STATISTICAL SUMMARY OF RIVER FORECASTS FOR QPF > 0

Basin	w/ QPF w/o QPF	ABSERR	RMSE	MEAN	STD DEV	H: $\mu_1 = \mu_2$
JDNM5	52	0.76	± 1.22	-0.38	± 1.15	Y
	52	0.77	± 1.20	-0.45	± 1.11	Y
NEWW3	55	0.63	± 0.79	-0.04	± 0.79	Y
	55	0.64	± 0.81	-0.10	± 0.81	Y
LNEM5	50	0.84	± 1.99	0.29	± 1.97	Y
	50	0.47	± 1.44	-0.47	± 1.36	Y
RAYW3	57	0.34	± 0.44	0.22	± 0.38	Y
	57	0.34	± 0.45	0.13	± 0.43	Y
DARW3	62	1.16	± 1.89	-0.96	± 1.63	Y
	62	1.23	± 2.06	-1.10	± 1.74	Y
GRDM5	54	1.37	± 2.37	-0.82	± 2.23	Y
	54	1.36	± 2.41	-1.07	± 2.16	Y

TABLE 5
STATISTICAL SUMMARY OF RIVER FORECASTS
"where QPF effected a change" (N/A = Not applicable)

Basin	w/ QPF w/o QPF	ABSERR	RMSE	MEAN	STD DEV	H: $\mu_1 = \mu_2$
JDNM5	45	0.70	± 1.15	-2.09	± 2.19	N/A
	45	0.69	± 1.12	-2.93	± 2.85	N/A
NEWW3	26	0.60	± 0.78	-0.31	± 0.72	N/A
	26	0.63	± 0.83	-0.42	± 0.72	N/A
LNEM5	20	1.97	± 3.14	0.84	± 3.02	N/A
	20	1.05	± 2.25	-1.05	± 1.99	N/A
RAYW3	19	0.42	± 0.48	0.34	± 0.33	N/A
	19	0.43	± 0.52	0.08	± 0.51	N/A
DARW3	18	2.00	± 2.84	-1.47	± 2.43	N/A
	18	2.24	± 3.21	-1.95	± 2.55	N/A
GRDM5	24	1.81	± 2.91	-0.74	± 2.82	N/A
	24	1.76	± 2.99	-1.87	± 2.66	N/A

The t-test was again used for samples where QPF > 0 as depicted in Table 4. From equation (7), the hypothesis that the two data sets came from the same population would be rejected for values of t. As before, the resulting t values showed that the two data sets were indeed from separate populations, and are listed below.

Basin ID	$-t_{(1 - \alpha/2)(n - 1)}$	t
LNEM5	-2.009	-2.70
RAYW3	-2.003	-2.43
DARW3	-1.999	-2.55
GRDM5	-2.006	-2.75
JDNM5	-2.007	-3.33
NEWW3	-2.005	-3.33

We decided to make further use of the "Student's" t-test as follows:

Test Hypothesis, H: $QERR < FERR$

where

$FERR$ = Mean Forecast error without QPF

$QERR$ = Mean Forecast error with QPF

This would require a one-tailed test assuming normal populations and $\alpha = 0.05$. H would be rejected for:

$$t \geq t_{(1 - \alpha)(n_1 + n_2 - 2)} \quad (10)$$

where

n_1 = number of QERRs

n_2 = number of FERRs

The value for t would be computed using

$$t = (\bar{x}_1 - \bar{x}_2) / (s^2/n_1 + s^2/n_2) \quad (11)$$

where

\bar{x}_1 = the arithmetic mean of QPF error

\bar{x}_2 = the arithmetic mean of non-QPF error

$$s^2 = ((n_1 - 1)s_1^2 + (n_2 - 1)s_2^2) / (n_1 + n_2 - 2) \quad (12)$$

s = the standard deviation of the stage forecast with QPF

and

s = the standard deviation of the stage forecast without QPF

For all forecasts and for cases where $QPF > 0$, by basin, the statistical "Student's" t-test of the null hypothesis that the mean forecast error was significantly less for forecasts with QPF, was not rejected for JDNM5, NEWW3, DARW3, GRDM5 and RAYW3, but was rejected for LNEM5.

The test was not conducted for cases where all QPF and non-QPF forecasts were different since there was less than 30 cases to consider in all but one basin (the suggested minimum (30) acceptable sample for the t-test (Panofsky and Brier 1965)). The calculated t values follow:

For all cases

Basin ID	$t_{(1 - \alpha)(n_1 + n_2 - 2)}$	t
LNEM5	1.651	2.24
RAYW3	1.651	0.75
DARW3	1.651	0.35
GRDM5	1.651	0.60
JDNM5	1.651	0.25
NEWW3	1.651	0.33

For cases where $QPF > 0$

Basin ID	$t_{(1 - \alpha)(n_1 + n_2 - 2)}$	t
LNEM5	1.661	2.24
RAYW3	1.660	1.29
DARW3	1.659	0.47
GRDM5	1.661	0.58
JDNM5	1.661	0.32
NEWW3	1.660	0.40

7. EFFECT OF QPF ON INDIVIDUAL FORECASTS

Next to consider was the effect on the individual forecasts. What were some of the best and worst cases involving river forecasts with and without QPF? Did QPF add significant lead time? Figures 8-13 show some examples of forecasts from individual NWS RFS model runs for each forecast point, taken through their respective response times. The QPF that was forecast and the MAP that occurred is shown in the lower right-hand corner of the individual

graphs, and the flood stage for the forecast point. All forecasts had an initial time at the first time step in the graph. No 1800 UTC observed data was available. Generally, the "a" figures show a positive effect of QPF, and the "b" figures a negative effect.

Figure 8a shows an opposite situation, where the observed stage is about 9 feet higher than the non-QPF forecast that was disseminated, but only 4 feet higher than the forecast that included QPF. The QPF forecast would not have prompted a flood warning, but would have given a 24-hour advanced notice of a significant rise in the river.

Figure 8b depicts the worst of all cases where a QPF of 3.34 inches resulted in over forecasting by nearly 11 feet. With a flood stage of 12 feet, this would have resulted in a false warning. This one case was a major contributor to the statistics and explains the problem with over forecasting precipitation.

In all the "a" figures, it can be seen that where QPF had a positive result (i.e., where the forecast was more accurate than that without QPF), the observed stage was still higher. This reemphasizes the tendency to under forecast.

Figure 10b shows an interesting case where the QPF and non-QPF forecasts were quite similar, but the observed stages were one-to-three feet lower. This may be attributed to the rainfall pattern over the basin not being uniform as the river forecasting models assume, or another problem such as erroneous rainfall data incorporated into the model that morning. Figure 12b is a similar example.

Figure 11b is another interesting case. QPF had the effect of over forecasting by half of a foot while the non-QPF forecast under forecast by nearly the same amount.

Comparison of 1993 River Stages Lanesboro, MN on N. Fork of Root

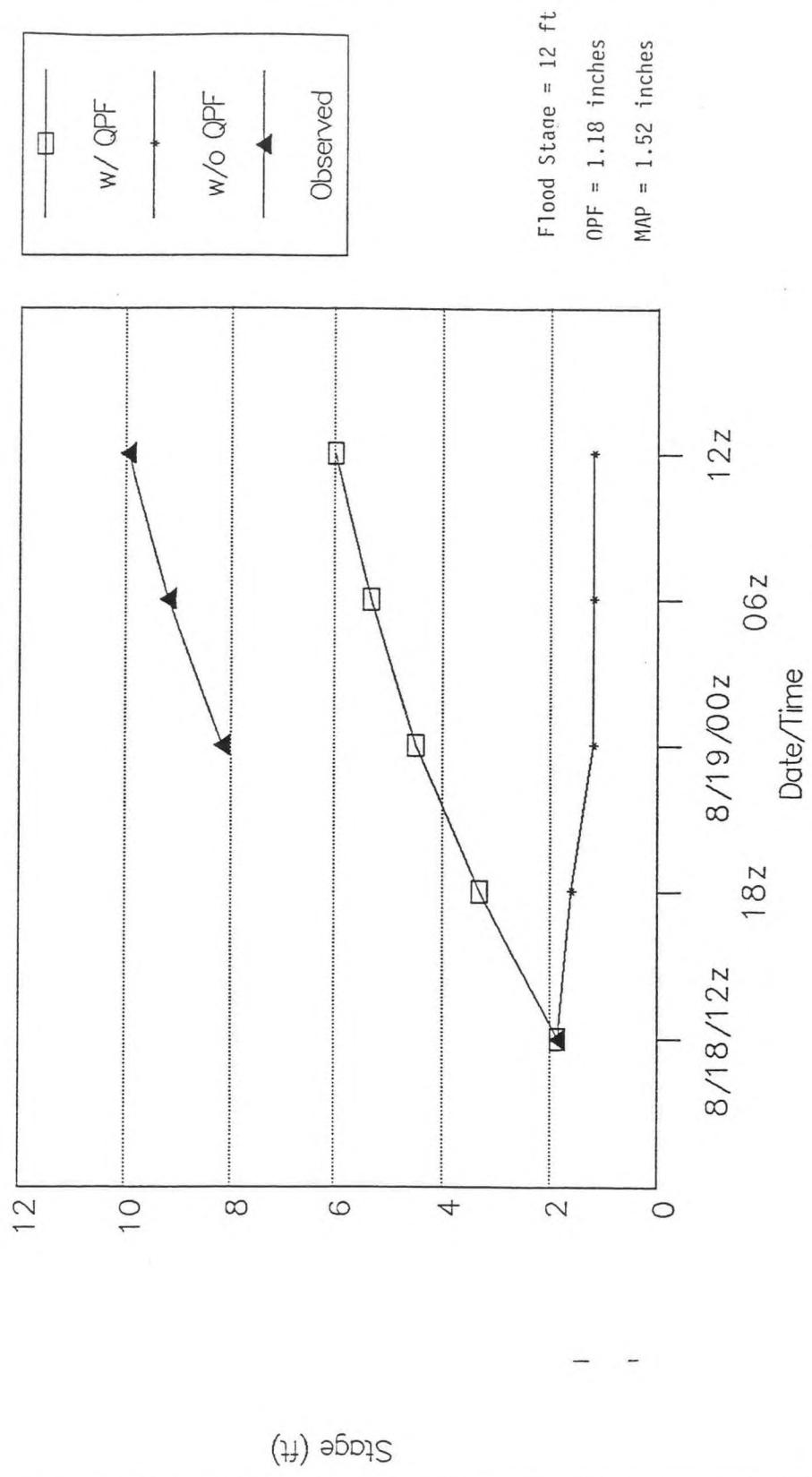


Figure 8a. Hydrograph for Lanesboro, Minnesota. Valid 1200 UTC August 18-1200 UTC August 19, 1993.

Comparison of 1993 River Stages Lanesboro, MN on N. Fork of Root

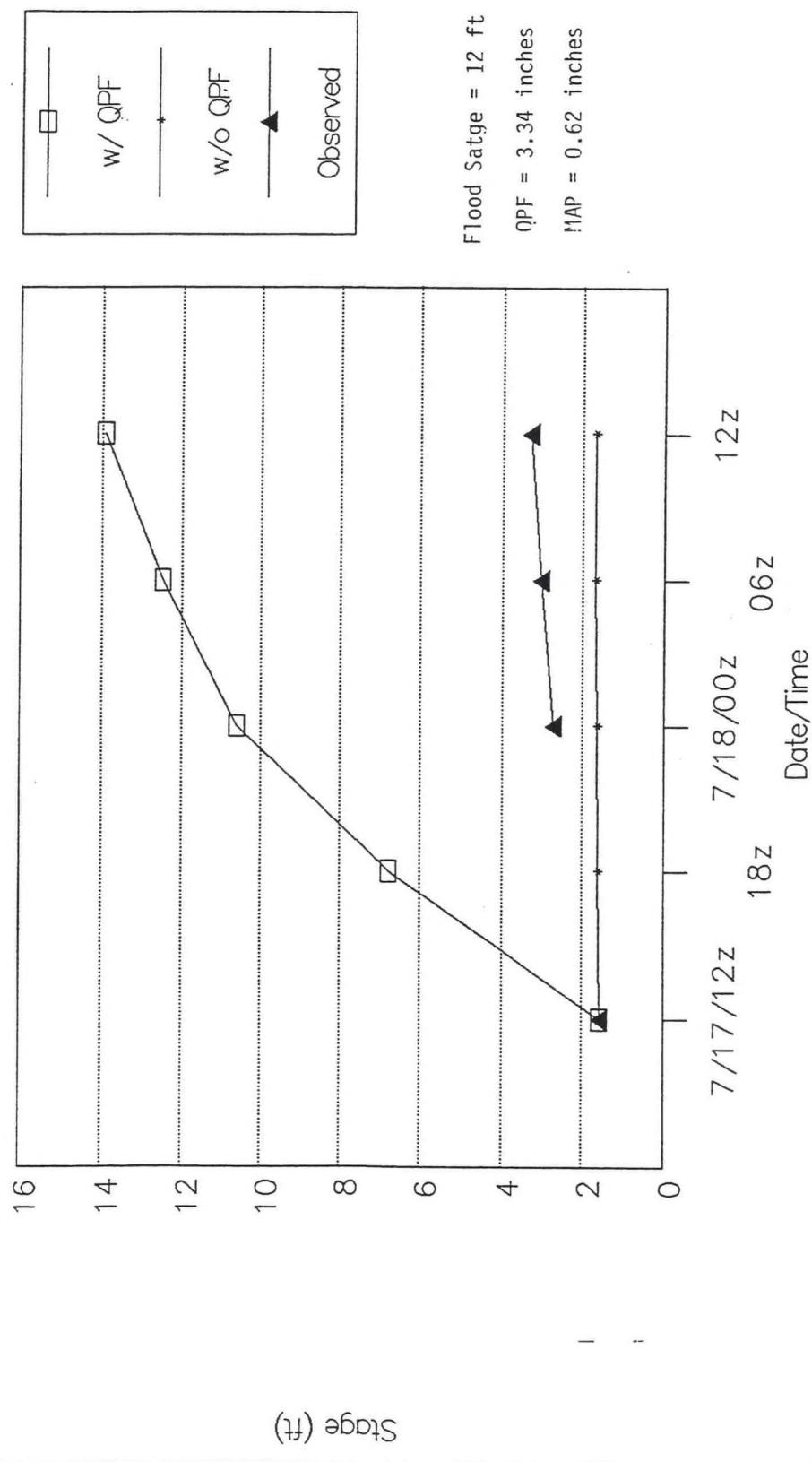


Figure 8b. Hydrograph for Lanesboro, Minnesota. Valid 1200 UTC July 17-1200 UTC July 22, 1993.

Comparison of 1993 River Stages New London, WI on the Wolf River

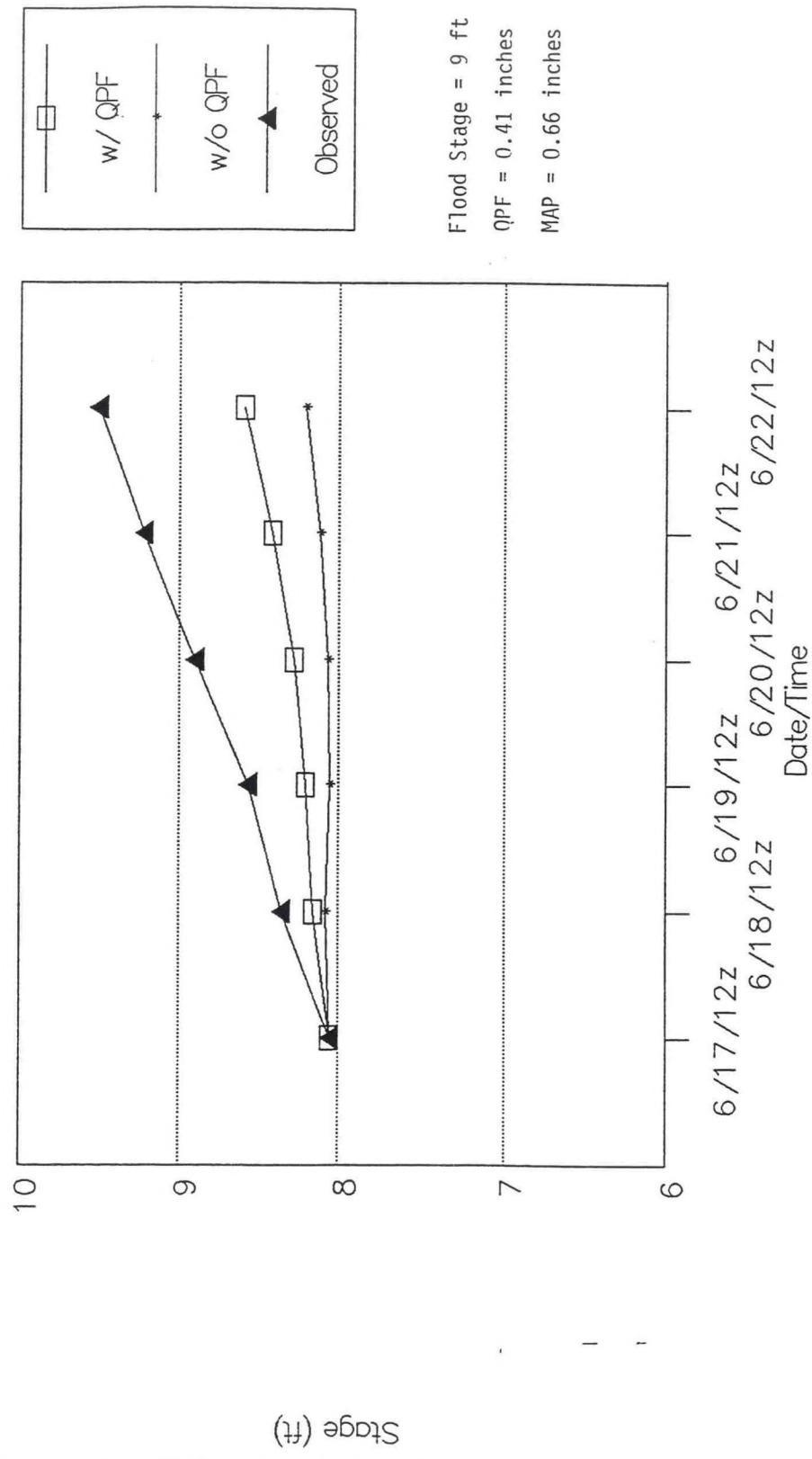


Figure 9a. Hydrograph for New London, Wisconsin. Valid 1200 UTC June 17 - 1200 UTC June 17 - 1200 UTC June 17 - 1200 UTC June 22, 1993.

Comparison of 1993 River Stages New London, WI on the Wolf River

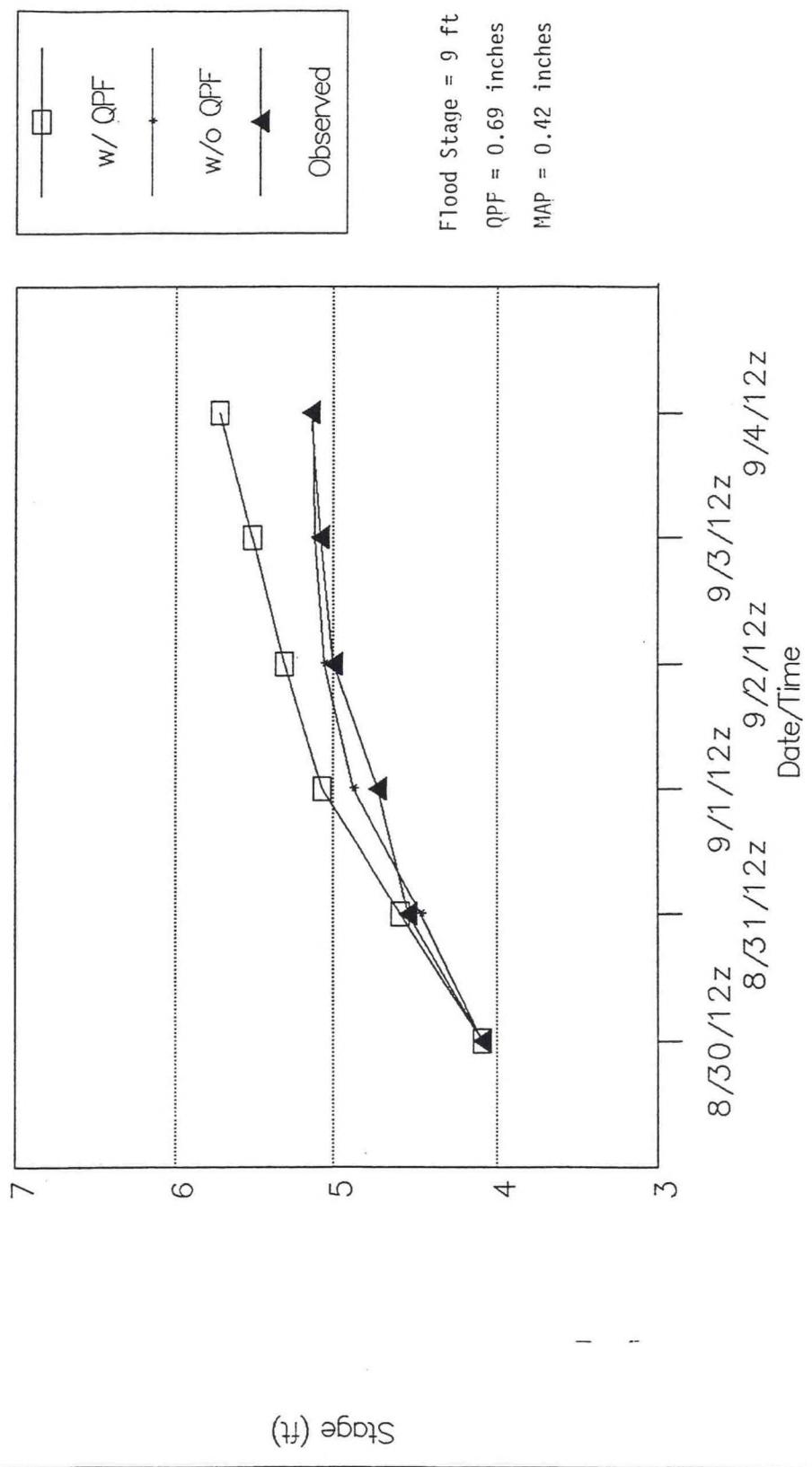


Figure 9b. Hydrograph for New London, Wisconsin. Valid 1200 UTC August 30 - 1200 UTC September 4, 1993.

Comparison of 1993 River Stages Jordan, MN on the Minnesota

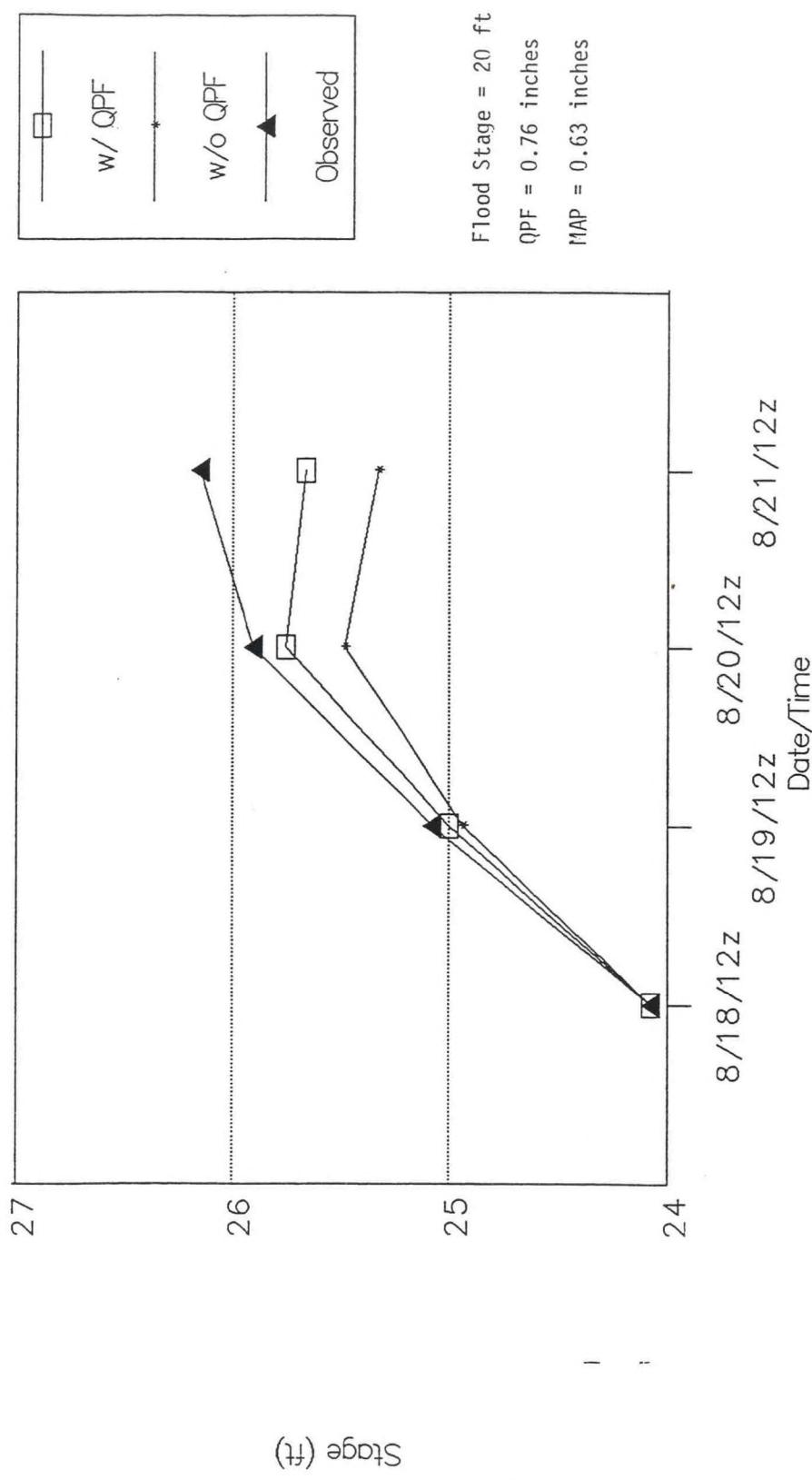


Figure 10a. Hydrograph for Jordan, Minnesota. Valid 1200 UTC August 18 - 1200 UTC August 21, 1993.

Comparison of 1993 River Stages Jordan, MN on the Minnesota

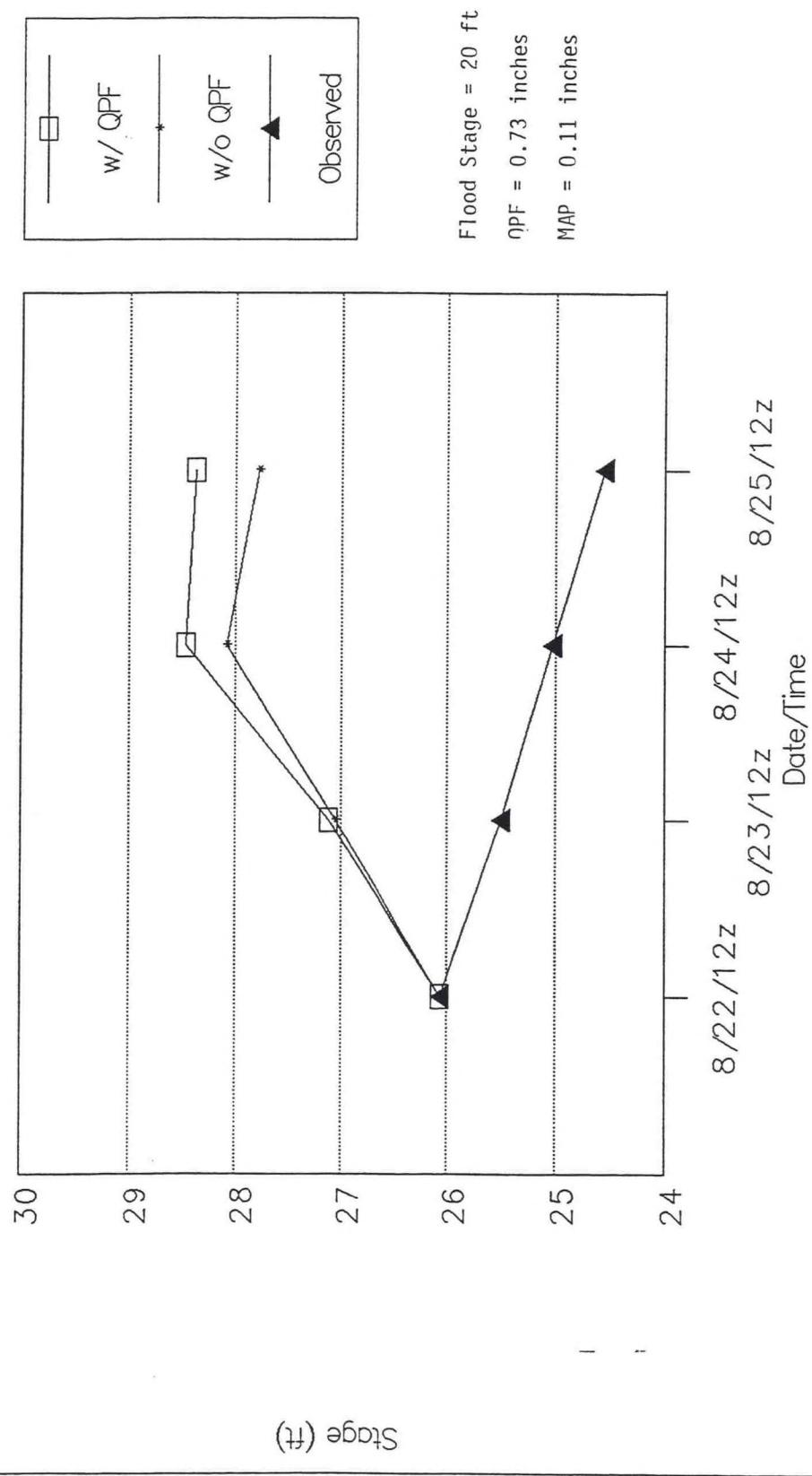


Figure 10b. Hydrograph for Jordan, Minnesota. Valid 1200 UTC August 22 - 1200 UTC August 25, 1993.

Comparison of 1993 River Stages Raymond, WI on Root River Canal

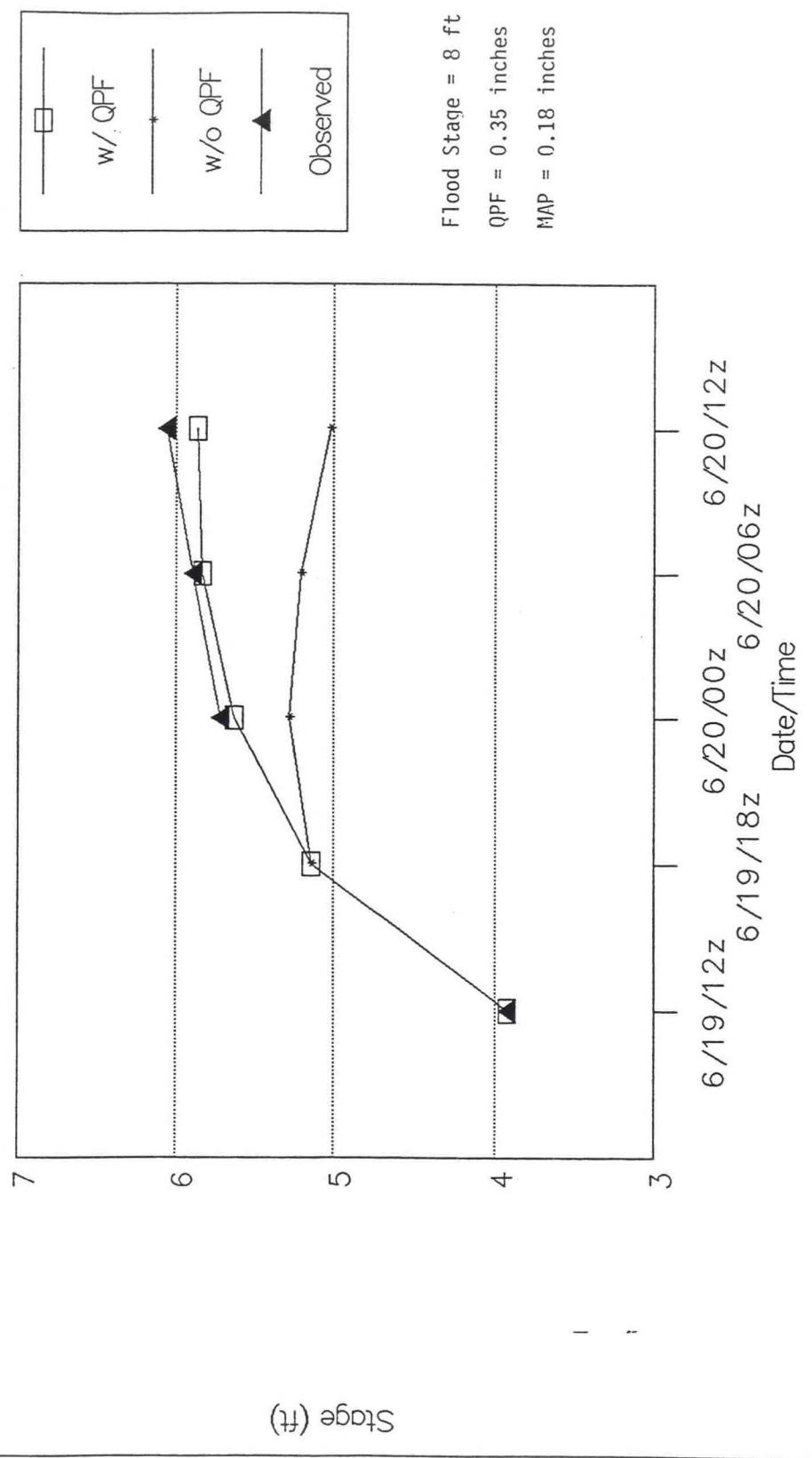


Figure 11a. Hydrograph for Raymond, Wisconsin. Valid 1200 UTC June 19 - 1200 UTC July 12, 1993.

Comparison of 1993 River Stages Raymond, WI on Root River Canal

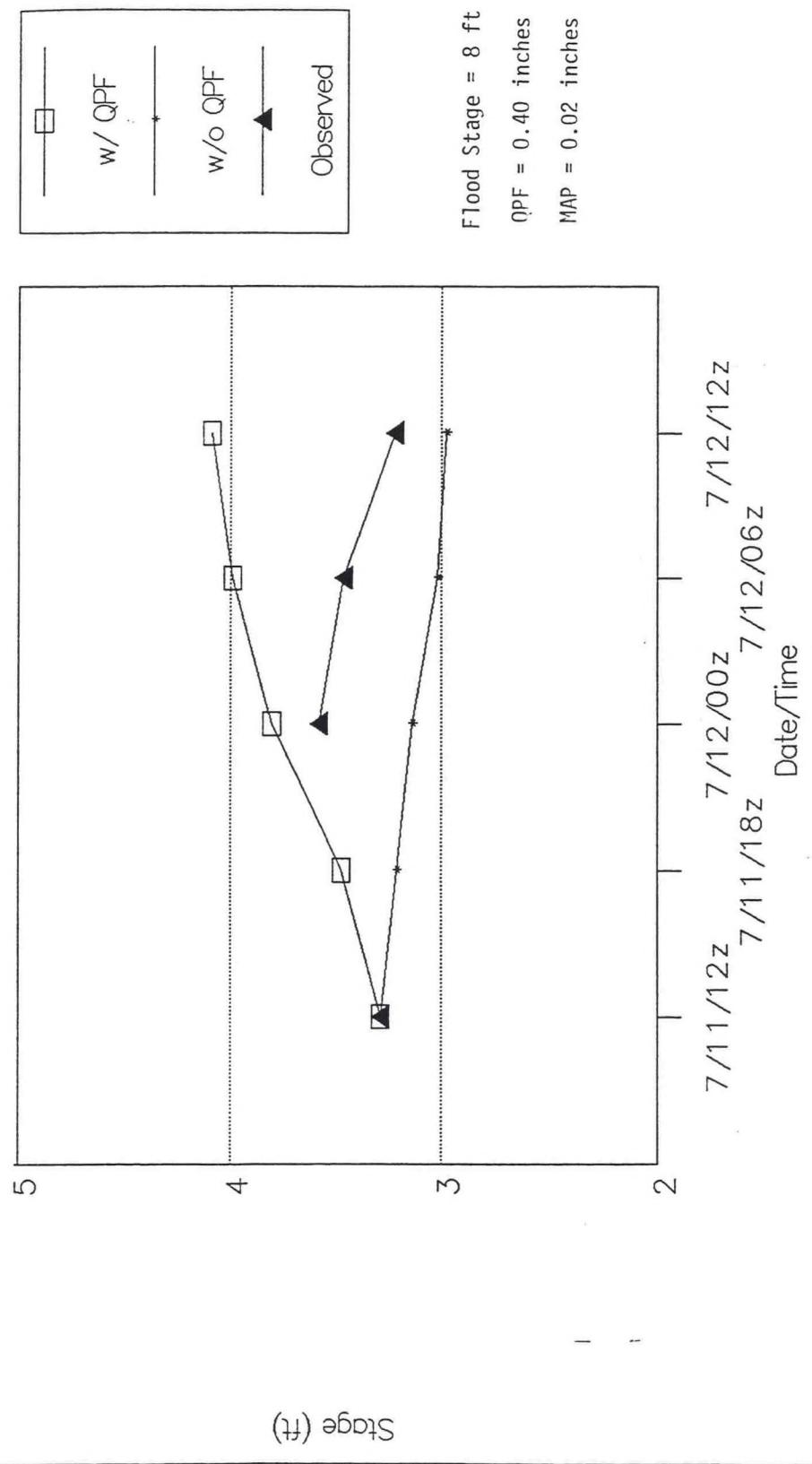


Figure 11b. Hydrograph for Raymond, Wisconsin. Valid 1200 UTC July 11 - 1200 UTC July 12, 1993.

Comparison of 1993 River Stages Darlington, WI on the Pecatonica

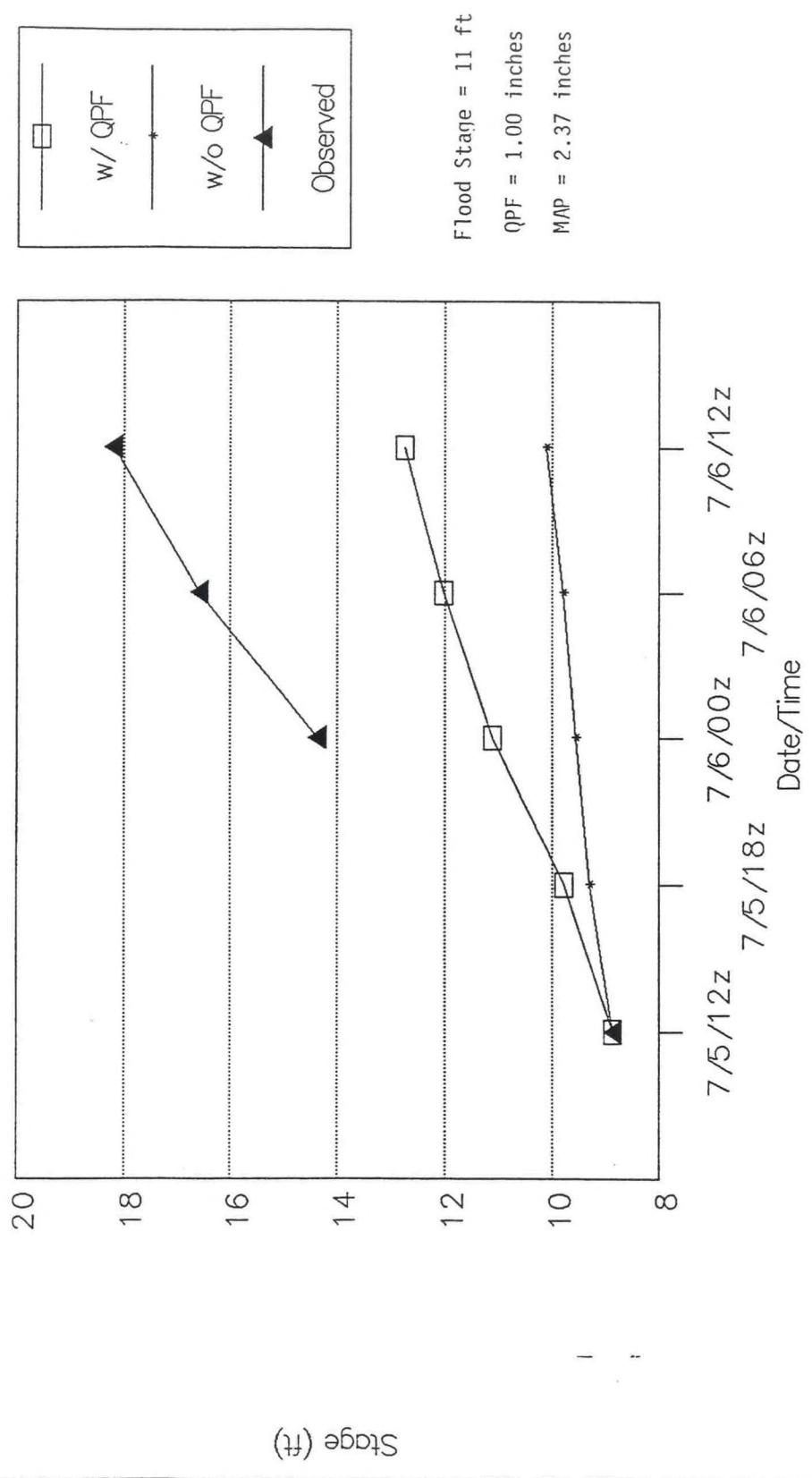


Figure 12a. Hydrograph for Darlington, Wisconsin. Valid 1200 UTC July 5 - 1200 UTC July 6, 1993.

Comparison of 1993 River Stages Darlington, WI on the Pecatonica

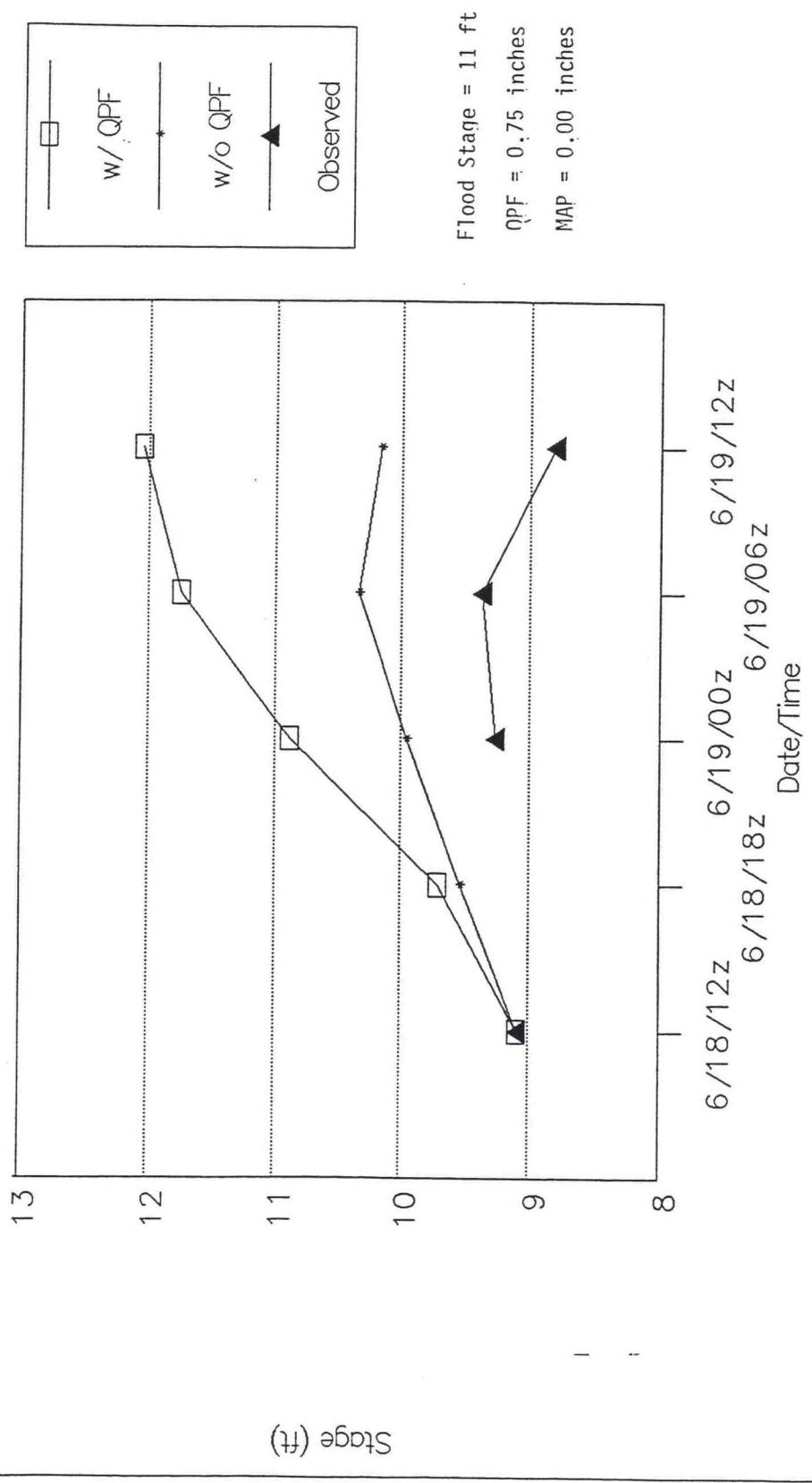


Figure 12b. Hydrograph for Darlington, Wisconsin. Valid 1200 UTC June 18 - 1200 UTC June 19, 1993.

Comparison of 1993 River Stages Garden City, MN on the Watonwan

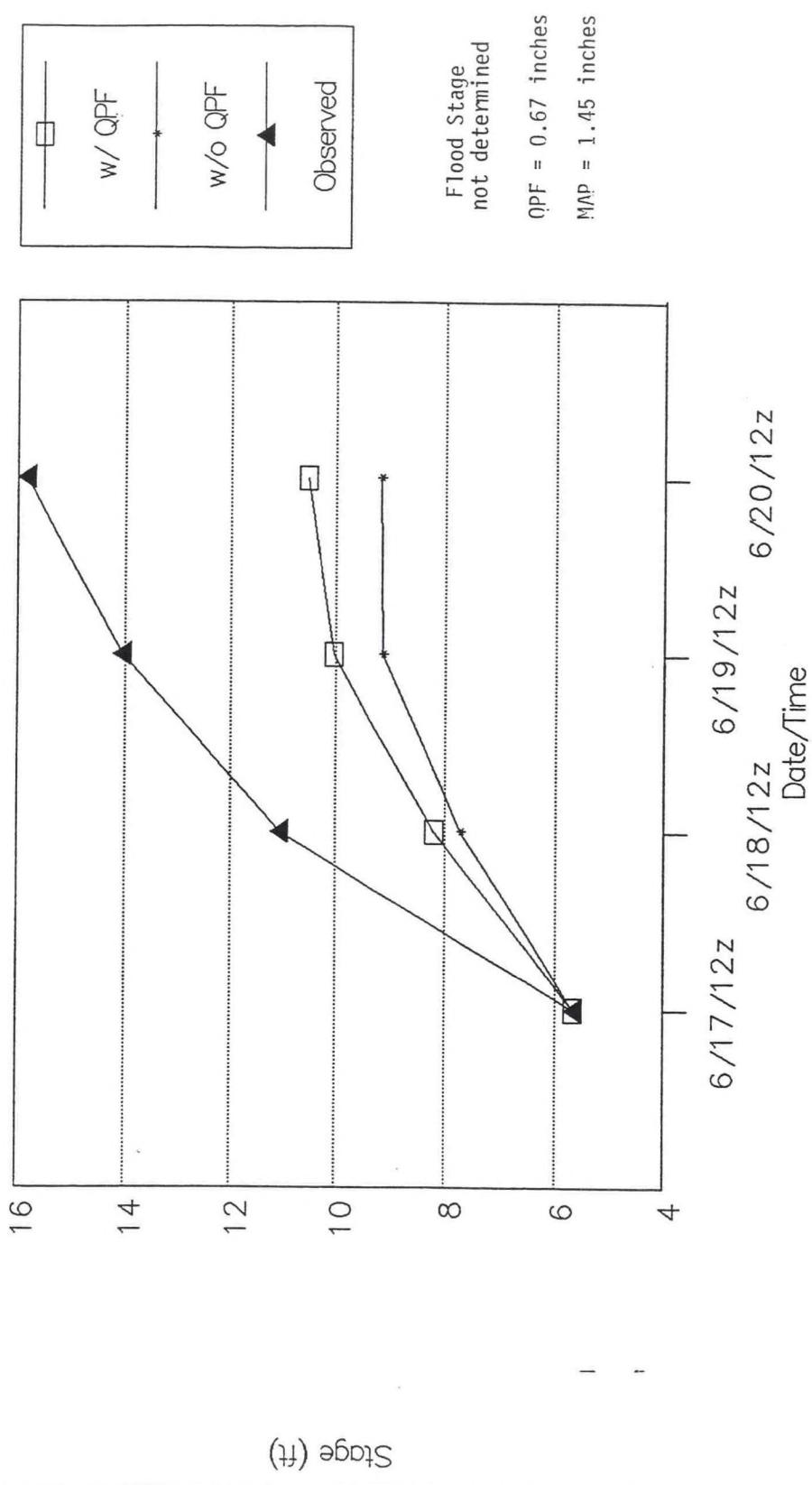


Figure 13a. Hydrograph for Garden City, Minnesota. Valid 1200 UTC June 17 - 1200 June 20, 1993.

Comparison of 1993 River Stages Garden City, MN on the Watonwan

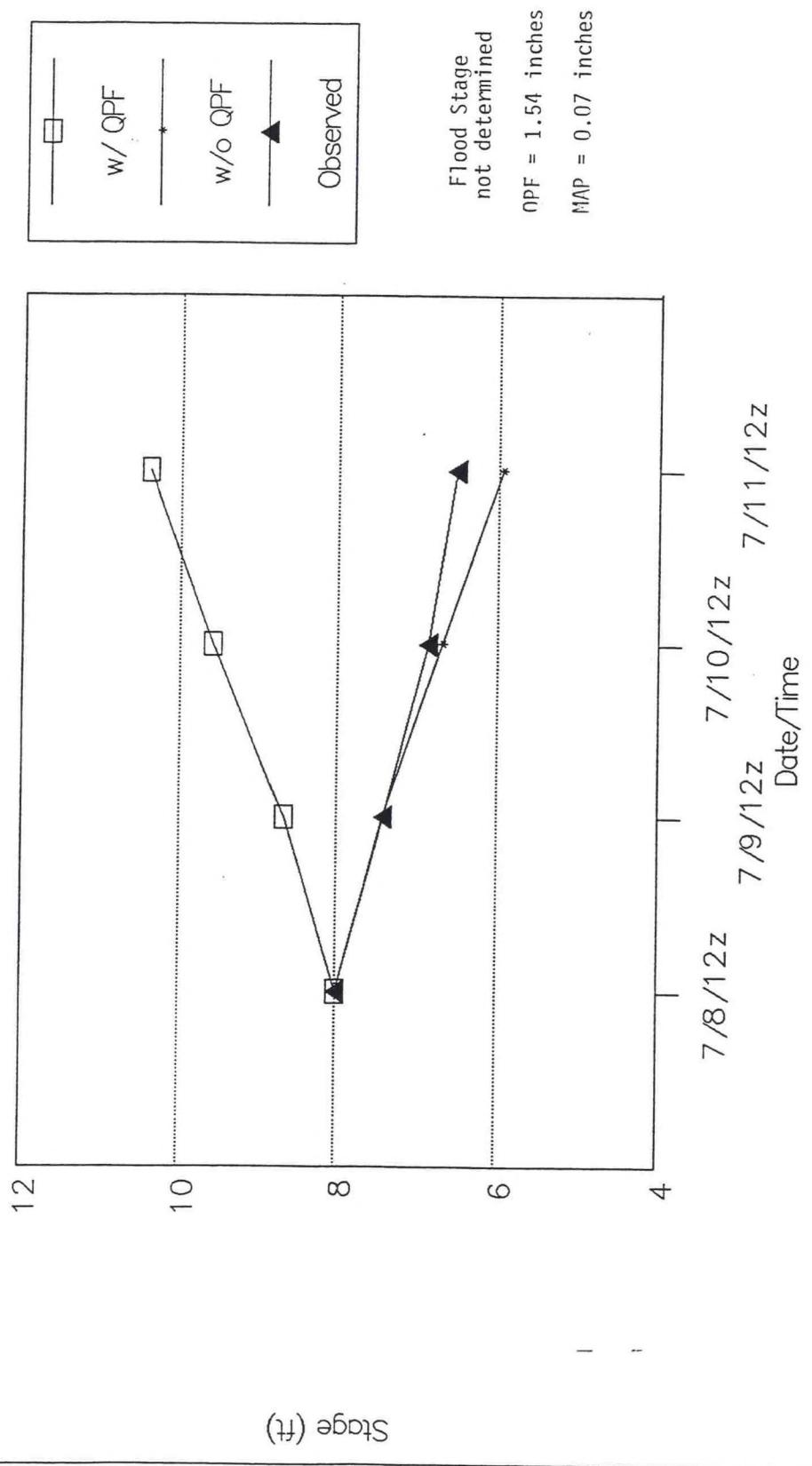


Figure 13b. Hydrograph for Garden City, Minnesota. Valid 1200 UTC July 8 - 1200 UTC July 11, 1993.

8. COMPARISON OF 1992 AND 1993 RISK REDUCTION EXERCISES

The final item we addressed was to compare results of the 1993 risk reduction with that of 1992 (Braatz 1992). As mentioned in Section 2, the two exercises were somewhat different as follows:

In 1992, QPF was only produced if the expected 12 hour amount was ≥ 0.50 inch, as opposed to daily forecasts in 1993.

Also, the 1992 study used all forecast points in Wisconsin, whereas the 1993 study dealt with three points in Minnesota and three points in Wisconsin.

Even with these differences, some results could be compared. They are depicted as forecast summaries in Tables 6-9.

Tables 6 and 7 show results for all forecasts for each project. It should be reiterated here that the data base used to produce Table 7 was much larger than that for Table 6. Table 7 shows the verification results in 1993 were not as good as those in 1992 (83% versus 96% for non-QPF forecasts and 84% versus 90% for QPF forecasts). This was most likely because the 1993 exercise was during a much wetter period.

Tables 8 and 9 show results for forecasts near or above flood stage. In this area, QPF forecasts did not fair well in 1992 with only 41% being verified, whereas in 1993, 82% were verified. For non-QPF forecasts, 89% were verified in 1992 compared to 80% in 1993. Again the changes are likely due to the wetter 1993 season, and increased experience of the meteorologists producing the QPF.

9. CONCLUSIONS

- A. The two data sets (river forecasts with QPF and river forecasts without QPF) were shown to be statistically unique.
- B. QPFs of a half inch or more, verify with measurable rainfall most of the time (41 out of 47 here or 87%).
- C. QPFs of a half inch or more verify with MAP of a half inch or more, half of the time.
- D. Both river forecasts with and without QPF tend to under forecast (showed a negative bias).

TABLE 6
1992 RISK REDUCTION FORECAST SUMMARY - ALL CASES

<u>Month</u>	Total <u>Fcsts</u> ¹	Number of QPF Fcsts <u>Verified</u> ²	Number of Non-QPF Fcsts <u>Verified</u>
April	120	101	113
May	60	56	59
June	30	27	30
July	104	91	100
August	28	28	28
September	129	120	124
October	27	24	25
November	<u>19</u>	<u>19</u>	<u>19</u>
TOTAL	519	466 ³	498 ⁴

1. Fcsts = Forecasts throughout document
2. Verified meaning + or - 1 Foot
3. 90% of stage forecasts with QPF verified
4. 96% of stage forecasts without QPF verified

TABLE 7
1993 RISK REDUCTION FORECAST SUMMARY - ALL CASES

<u>Month</u>	Number of QPF Fcsts	Number of QPF Fcsts <u>Verified</u> ¹	Number of Non-QPF Fcsts	Number of Non-QPF <u>Verified</u>
June	81	54	81	50
July	186	157	186	157
August	186	151	186	151
September	180	154	180	152
October	<u>184</u>	<u>171</u>	<u>184</u>	<u>171</u>
TOTAL	817	687 ²	817	681 ³

1. Verified Meaning Within + or - 1 Foot
2. 84% of stage forecasts with QPF verified
3. 83% of stage forecasts without QPF verified

TABLE 8
1992 RISK REDUCTION FORECAST SUMMARY
(all forecasts near or above flood stage)

<u>Month</u>	Number of QPF Fcsts Near or Above FS ¹	Number of QPF Fcsts Above FS Verified ²	Number of Non QPF Fcsts Near or Above FS	Number of Non QPF Fcsts Above FS Verified
April	18	7	7	6
May	0	--	0	--
June	1	0	0	--
July	0	--	0	--
August	0	--	0	--
September	3	2	2	2
October	0	--	0	--
November	0	--	0	--
TOTAL	22	9³	9	8⁴

1. FS = Flood Stage throughout document
2. Verified Meaning Within + or - 1 Foot
3. 41% of stage forecasts with QPF verified
4. 89% of stage forecasts without QPF verified

TABLE 9
1993 RISK REDUCTION FORECAST SUMMARY
(all forecasts near or above flood stage)

<u>Month</u>	Number of QPF Fcsts Near or Above FS	Number of QPF Fcsts Above FS Verified ¹	Number of Non-QPF Fcsts Near or Above	Number of Non-QPF Fcsts Above FS Verified
June	25	17	25	15
July	51	45	46	42
August	31	25	31	24
September	9	8	9	8
October	0	--	0	--
TOTAL	116	95²	111	89³

1. Verified Meaning Within + or - 1 Foot
2. 82% of stage forecasts with QPF verified
3. 80% of stage forecasts without QPF verified

- E. The sum of the absolute errors showed a 3% greater total error in the river forecasts with QPF as compared to the forecasts without QPF. This implies too much fluctuation of the QPF-type forecasts.
- F. There was a noted decrease in error of the QPF forecasts compared to the non-QPF forecasts, in the 3-5 day range.
- G. The mean error was generally less for those river forecasts with QPF showing that those forecasts fluctuate closer to the actual observation than the non-QPF forecasts.
- H. The river forecasts with QPF verified within one foot of the observed stage and flood stage, better than the non-QPF forecasts in 1993 but not in 1992. This is probably because the 1993 exercise occurred over a much wetter season than in 1992, and perhaps also to the increased forecaster experience and daily routine.

10. SUMMARY

The total effect of QPF on the river forecasts resulted in a slightly larger error, overall (higher total absolute error), as shown in Figure 6b. This was only a small difference, however (3%), and should improve as forecasters' experience continues. With that improvement, combined with the forecasts fluctuating closer to the eventual observed value (item six above), the river forecast should improve by utilizing QPF.

As was shown in some examples of Section 7, there is a problem with both over forecasting precipitation, and not forecasting it at all. The extreme event of over forecasting by nearly 11 feet, as shown in Figure 8b, could result in unnecessary preparedness actions which not only take time and effort, but could also be costly. On the other hand, the forecast with a good QPF could save lives and money, by providing earlier warnings.

The meteorologists who produced the QPF were generally conservative in their efforts as can be seen by the predominance of under forecasted river stages. For forecasters just beginning to do QPF, this is probably the tack to take to prevent too many false alarms, until more experience and confidence is gained. At least the forecast that uses QPF will most times show an upward trend that will alert the users earlier than the non-QPF forecast. It will be important however, to inform the users when QPF is part of the forecast, due to the change from stair-stepping to fluctuating river forecasts.

Using QPF in river forecasting will be increasing in the National Weather Service (NWS) over the next several years because of the NWS move toward

modernization (Office of Hydrology 1991) and because of findings and recommendations of the National Oceanic and Atmospheric Administration Natural Disaster Survey Report of the Great Flood of 1993. In particular, Recommendation 6.4 states, "The NWS should support research, development, and operational testing to incorporate current QPF into river forecasting procedures".

The NWS modernization will be accompanied by new technologies such as Doppler radar providing rainfall estimates and improved satellite information that will give the forecaster a better view of hydrometeorological phenomena on a smaller scale. An understanding of these phenomena with a knowledge of the local area and climatology, will be a key in identifying areas of heavy precipitation to the finer resolution needed by river forecasting models. Local studies regarding forecasting quantitative precipitation will be another important enhancement, as will the future implementations of local mesoscale models.

This study has shown that adding QPF to the river forecast was generally not a detriment, due to the conservative nature of the meteorologist. The advanced notice of rising river conditions as depicted in Figure 8a shows the benefit of QPF. The question now does not seem to be "What harm did QPF do to the river forecast?", but "How can we continue to improve the expertise needed to produce QPF that will benefit the river forecast?"

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