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2 **Impacts of Urbanization on Atmospheric Circulation and Aerosol Transport in a**  
3 **Coastal Environment Simulated by the WRF-Chem Coupled with Urban Canopy**  
4 **Model**

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29 **Highlights**

- 30 ● Urbanization induces thermally-driven atmospheric secondary circulation and affects the  
31 dispersion of air pollutants over the populated city.
- 32 ● Enhanced vertical mixing and vertical transport contribute to decreasing the air pollutant  
33 concentration near the surface level both daytime and nighttime over the urban area.
- 34 ● The thermodynamical impacts of urbanization are more efficient in reducing ground-  
35 level air pollutant concentration in the nocturnal stable boundary layer.

36 **Abstract**

37 This study examines the impacts of urbanization on the local atmospheric circulation and  
38 the dispersion of air pollutants over the populated city of Ulsan, South Korea, located in the  
39 coastal region. Two experiments are conducted using the Weather Research and Forecasting  
40 model coupled with Chemistry (WRF-Chem) and the urban canopy model (UCM) at 1-km  
41 horizontal resolution. The model experiments are conducted in August for three consecutive  
42 years of 2016-2018, with an updated land use category and diurnally-varying surface emission  
43 and anthropogenic heat flux. The impacts of urbanization are isolated by comparing the control  
44 run (CTRL) with realistic land use conditions and the sensitivity run (NOURB) that replaces  
45 the urban surfaces with non-urban grasslands. CTRL reproduces the urban heat island (UHI)  
46 and associated wind patterns realistically with reliable local land-sea breeze circulations. The  
47 positive temperature anomalies develop over the urban area due to enhanced surface heat flux  
48 at the urban surfaces, driving low-level convergence and secondary circulation. Enhanced  
49 heating by UHI changes the ground-level aerosol concentration differently. While the  
50 concentration does not change significantly by UHI in the daytime, it is reduced considerably  
51 at night in the urban areas due to enhanced vertical mixing. The dominant process that the  
52 urbanization modifies the aerosol concentration is the thermodynamical effects, which are also  
53 supported by the observation.

54

55 **Keywords:** WRF-Chem; urban canopy model; land-sea breeze; urban heat island; aerosol  
56 concentration

57

58 **1. Introduction**

59 Urbanization is becoming one of the crucial issues affecting regional weather and climatic  
60 states. Concrete building and asphalt-covered roads change the land surface radiative properties  
61 such as surface albedo and emissivity and thermal properties, including heat capacity and  
62 thermal conductivity. Limited vegetated areas and less permeability cause a significant  
63 reduction of evapotranspiration. Therefore, the urban surfaces exchange energy with the  
64 atmosphere mostly through radiation and sensible heat fluxes, driving warmer and drier  
65 meteorological environment in the urban area. Another aspect is the dense and complex three-  
66 dimensional building structures, particularly in the metropolitan cities. It effectively increases  
67 the heat capacity by trapping longwave radiation and the sensible heat fluxes, thereby confining  
68 heat within the urban area. This aspect is known as the urban heat island (UHI), as confirmed  
69 in the observational data (Morris et al., 2001; Gedzelman et al., 2003; Kim and Baik, 2004;  
70 Kim and Baik, 2005; Fujibe, 2011), resulting in a significant thermal difference between urban  
71 and rural area. UHI can be further enhanced by increased roughness length and slow-down of  
72 surface wind. Moreover, anthropogenic heat from energy use in the residential and industrial  
73 regions aggravates the UHI.

74 In addition, urbanization significantly changes the local atmospheric circulation  
75 (Bornstein and Lin, 2000; Arnfield, 2003). Lin et al. (2008) showed that UHI intensity in  
76 Taiwan is as high as 4-6 °C, and dry surface induces rapid temperature increase over the city  
77 in the morning and produces earlier sea breeze development based on numerical experiments.  
78 Other numerical studies also suggested that urban parameter changes impact the urban heating  
79 and local circulation change (Kusaka et al., 2001; Holt and Pullen, 2006; Lee et al., 2010).

80 Other observations- and model-based studies suggest changes in precipitation by  
81 urbanization. A couple of studies indicate that urbanization tends to increase precipitation due  
82 to enhanced upward motion over urban regions (e.g., Shepherd et al., 2010; Lin et al., 2011;

83 Wan et al., 2013; Shimadera et al., 2015). An increase in vertical convection over the urban  
84 area is also suggested to increase the warm-season precipitation (Fujibe, 2003). In contrast,  
85 other model-based studies indicate the reduction of precipitation in the summer season by  
86 decreasing evapotranspiration over expanded urban regions (e.g., Zhang et al. 2009).

87 Many studies highlight the urbanization impacts on thermal comfort, the slow-down of  
88 near-surface wind by enhanced momentum mixing, the thermally-driven local circulation, and  
89 the possible precipitation changes. However, relatively few studies exist focusing on the  
90 urbanization impacts on the regional air pollutant distribution and transport. Although aerosol  
91 concentration is generally higher in the urban area due to many anthropogenic pollution sources,  
92 it is less acknowledged how urbanization can impact aerosol transport and redistribution  
93 through thermal and mechanical circulation changes.

94 A handful of studies investigated the urbanization impacts on air pollution. For example,  
95 ozone concentration in urban areas is enhanced due to increasing temperature and wind speed  
96 reduction (Wang et al., 2007, 2009; Jiang et al., 2008). Makar et al. (2010) illustrated that lake-  
97 breeze circulation leads to elevated ozone concentration in North America. Ryu et al. (2013)  
98 suggested that the low-level advection from surrounding air mass, which has low NO<sub>x</sub> and  
99 high biogenic volatile organic compound (BVOC) concentration, tends to increase ozone  
100 production efficiency over an urban area. In contrast, some studies explained that the  
101 enhancement of UHI due to the strong turbulence could reduce surface air pollutant  
102 concentrations in the urban area (Fallmann et al., 2016).

103 From the mixed understanding across the previous studies on the role of urbanization on  
104 the air pollutant distribution, it can be argued that the urbanization impact on aerosol  
105 concentration depends on the meteorological factors (e.g., local circulation, surface air  
106 temperature, and cloud formation and precipitation), which are again sensitive to the local  
107 geography and the complexity of the terrain. In this regard, more in-depth studies are needed

108 to understand the impacts of urbanization on the modification of local circulation and hence  
109 the aerosol distribution. One can speculate the role of urbanization in two aspects: the  
110 dynamical and thermodynamical effects. The dynamical effect may reduce the wind speed over  
111 urban regions, thereby enhancing air pollution at the surface by limiting horizontal and vertical  
112 transport. On the other hand, the thermodynamical effect induced by UHI may reduce surface  
113 pollutant concentration through enhancing thermally-driven secondary circulation and upward  
114 air pollutant transport. The net effect remains elusive, and carefully-designed model  
115 experiments and observational studies can address this scientific question.

116 This study aims to improve our understanding of the mechanisms of urbanization and its  
117 impacts on the atmospheric circulation and the subsequent air pollutant distribution. This study  
118 selects the Ulsan Metropolitan City in South Korea as a numerical modeling testbed located  
119 right between ocean and mountain regions. Due to these complex geographic features, the city  
120 is considerably affected by mountain-valley and land-sea breezes caused by thermal differences.  
121 The city also hosts several global industrial companies and national industrial complexes,  
122 contributing to various air pollutants. This study uses the community model coupled with the  
123 urban canopy model (UCM), which can consider urban surface characteristics in exchanging  
124 heat and momentum in the urban canopy area (Kusaka et al., 2001; Holt and Pullen, 2006).

125 Section 2 provides the model description and experimental designs. Section 3 discusses  
126 the results from the model simulation evaluation with the observations and the sensitivity  
127 experiments. Lastly, Section 4 provides a summary and further discussion.

128

## 129 **2. Model description and experiments**

### 130 *2.1. Model description*

131 This study used the Weather Research and Forecasting model coupled with Chemistry  
132 (WRF-Chem) version 3.9.1 (Skamarock et al., 2008; Grell et al., 2005) developed by the

133 National Center for Atmospheric Research (NCAR). The model has various parameterization  
134 options for sub-grid scale meteorological and chemical processes. This study chose the most  
135 widely-used schemes, such as the single moment 6-class scheme for cloud microphysics (Hong  
136 and Lim, 2006), the rapid radiative transfer model for the longwave radiation (Mlawer et al.,  
137 1997), Dudhia scheme for the shortwave radiation (Dudhia, 1989), Mesoscale Model 5 (MM5)  
138 similarity scheme in for the surface layer parameterization, Grell-3D scheme for cumulus  
139 (Grell and Devenyi, 2002), Noah model for the land surface (Chen and Dudhia, 2001), the  
140 Model for Ozone and Related Chemical Tracers (MOZART) for atmospheric chemistry  
141 (Brasseur et al., 1998), and the Goddard Chemistry Aerosol Radiation and Transport  
142 (GOCART) scheme for aerosol (Chin et al., 2002).

143 The MOZART chemistry scheme includes a detailed representation of the tropospheric  
144 inorganic chemistry mechanisms, small alkane and alkene structures, isoprene, terpenes, and  
145 aromatic. The GOCART aerosol schemes simulate 7 different species, including black and  
146 organic carbons, sulfate, dust, sea salt, and uncategorized PM<sub>10</sub> and PM<sub>2.5</sub>. The scheme also  
147 divides the species depending on the particle size for dust and sea salt. Five dust bins represent  
148 the particles with a radius of 0.5, 1.4, 2.4, 4.5, and 8.0  $\mu\text{m}$ , and four sea salt bins for a radius of  
149 0.3, 1.0, 3.25, and 7.5  $\mu\text{m}$ . Each of the black and organic carbons is divided into two categories  
150 of hydrophilic and hydrophobic.

151 The Noah land surface model is coupled with the UCM over the urban surface to  
152 parameterize the UHI mechanisms, in which simplified building and street canyon represent  
153 the urban geometry and account for the building shadows and shortwave radiation reflection.  
154 The UCM model estimates the surface temperatures of buildings, walls, and roads and their  
155 heat fluxes. The urban canopy in WRF is represented vertically either in a single layer (SLUCM;  
156 Kusaka et al. 2001) or multiple layers (MLUCM; Martilli et al. 2002, Salamanca et al. 2010).  
157 Although the MLUCM parameterization is more sophisticated, it tends to substantially

158 underestimate the UHI intensity in our initial test over the study area, compared with the  
159 observational data. This study remains to use SLUCM for more realistic simulations for UHI  
160 (See Section 3.2)

161 The WRF community model has two options for the land use category (LUC) based on  
162 two different data sources, one from the United States geological survey (USGS) and the other  
163 from the International Geosphere-Biosphere Programme-modified Moderate Resolution  
164 Imaging Spectroradiometer (MODIS). In our investigation, the LUC data from USGS and  
165 MODIS show notable differences in our modeling domain. In particular, the urban surfaces in  
166 Ulsan are overestimated in MODIS compared with those in USGS (not shown). Moreover, both  
167 data can not resolve the Taehwa River centered in the city due to its insufficient resolution.  
168 Therefore, this study updates the LUC in the model based on the Korea Land Cover (KLC)  
169 data produced by Kang et al. (2009). KLC classifies the LUCs into 10 different types based on  
170 the leaf area index from the MODIS satellite data, which shows a more realistic spatial  
171 distribution of LUCs. This study replaces the urban and built-up land categories in USGS with  
172 high-intensity residential areas classified in KLC.

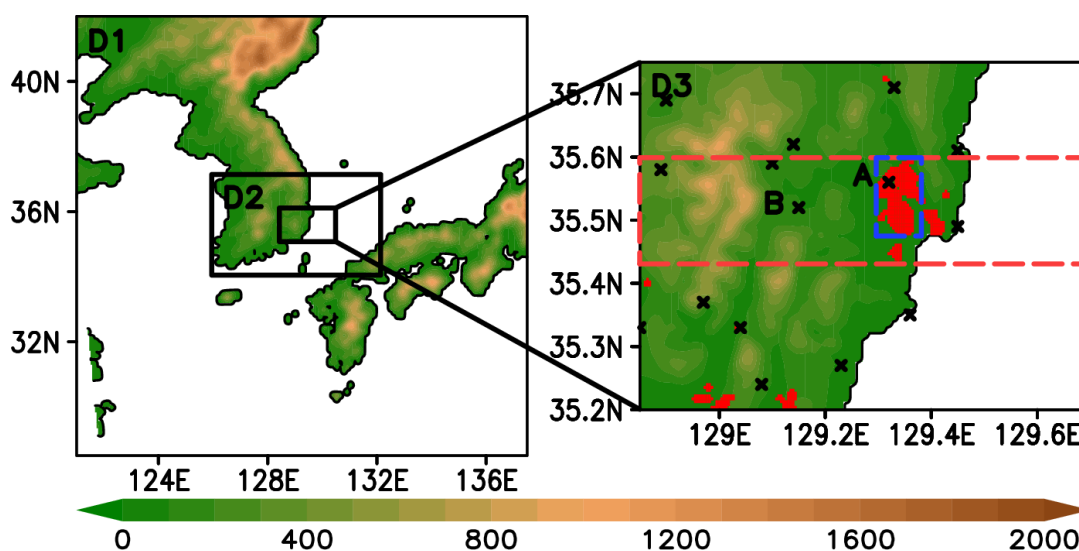
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## 174 *2.2 Model configuration and experiments*

175 Most of the results are based on the numerical model simulations in this study due to sparse  
176 observation sites. Figure 1 shows the modeling domain. The main domain D1 covers Korea  
177 and a part of Japan at a horizontal resolution of 12 km, and two sub-domains D2 and D3 are  
178 successively nested with overlapping at 3 km and 1 km, respectively. This study sets up 30  
179 vertical levels topped at 50 hPa in the hybrid sigma-pressure coordinates. It has a high vertical  
180 resolution near the surface, starting from the lowest model level ( $\sigma = 0.998$ ) at  
181 approximately 0.128 km from the ground. The initial and boundary conditions for meteorology  
182 are specified with the European Centre for Medium-Range Weather Forecasts (ECMWF)



183 Interim reanalysis (ERA-Interim) data with an original resolution of 80 km. The model is  
 184 initialized at 12 UTC (09 LST) 31 July and integrated for 31 days until 23 UTC (08 LST) 31  
 185 August for three consecutive years of 2016, 2017, and 2018. In detail, each model integration  
 186 is performed for 84 hours (3.5 days) and then returned to 12 hours before for the next  
 187 integration for another 84 hours. Initial data for 12 hours are discarded for spin up. This  
 188 integration method can reduce systematic biases and uncertain model drift (García-Díez et al.,  
 189 2013).



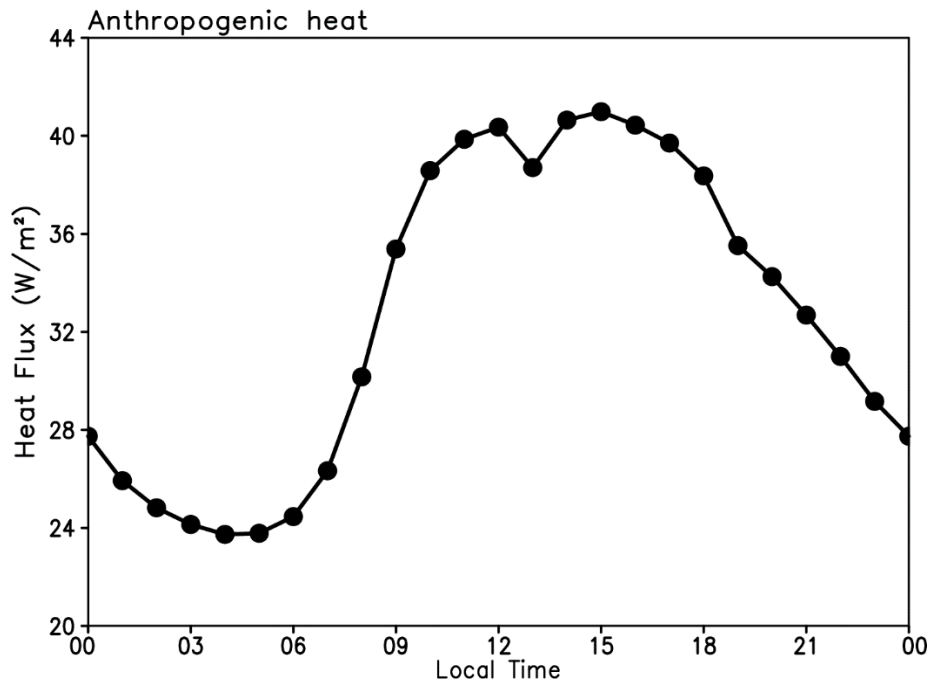
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191 **Figure 1.** Modeling domains with the main domain D1 at a horizontal resolution of 12 km, the first nested domain  
 192 D2 at 3 km, and the innermost domain D3 at 1 km. Shaded is the surface elevation (unit: m). The red shaded area  
 193 in D3 indicates the urban surfaces. The black x-marks indicate the Automatic Weather Stations (AWS). Points A  
 194 and B are selected as the representatives for the urban and the rural areas for the model verification. The red  
 195 dashed box indicates the area for the zonal-vertical cross-section with the meridional average, and the red shading  
 196 in the blue box represents the urban surfaces in Ulsan.

197

198 Two numerical experiments are conducted to investigate the impacts of UHI and the  
 199 changes in air pollutant concentration. CTRL is the experiment with a realistic surface type,  
 200 and NOURB is the one with the urban surfaces in CTRL replaced with the non-urban grasslands  
 201 for the red dashed area shown in Fig. 1. As the urban surfaces in Ulsan are originally surrounded  
 202 by grasslands, this is intended to make the model feel no difference in the surface type over the  
 203 targeted area. Both experiments share the same model configuration, including initial and  
 204 boundary conditions, except for the surface type.

205 The urbanization impacts are reflected in the model experiments by two significant factors.  
206 Besides the effects parameterized by the UCM, this study specifies additional anthropogenic  
207 heat flux over the urban surfaces. Although the anthropogenic heat sources are diverse,  
208 including cooling and heating buildings, manufacturing, transportation, lighting, and the  
209 metabolisms by humans and animals, estimating exact heat from these anthropogenic activities  
210 seems challenging. A simple approximation is to utilize the total electricity consumption in a  
211 specific city. The Korean Statistical Information Service (KOSIS; <http://www.kosis.kr>) provides  
212 the total electricity usage data such as industrial, commercial, residential, general, educational,  
213 lightening, etc., on a monthly basis, and the hourly consumption coefficients for each category.  
214 The final hourly-varying, anthropogenic heat flux is estimated by integrating total electricity  
215 use in August 2018 after multiplying the hourly consumption coefficients to the sectoral usages  
216 and dividing by total urban surface area in Ulsan. Figure 2 displays the diurnal variation of  
217 anthropogenic heat flux specified to the UCM in this study, peaking in the afternoon with a  
218 maximum of  $41 \text{ W m}^{-2}$  at 15 LST and showing the minimum of  $24 \text{ W m}^{-2}$  at 04 LST. The  
219 estimated anthropogenic heat shows a slight decrease around noon, caused by energy  
220 consumption from industrial manufacturing. The time-averaged value is around  $33 \text{ W m}^{-2}$ , and  
221 a comparable value of  $38 \text{ W m}^{-2}$  is specified in Li et al. (2019) for the highly-dense residential  
222 area in Berlin. Note that there is considerable uncertainty in the anthropogenic heat flux  
223 estimation, and it may differ depending on the methods. Lee et al. (2019) estimate the  
224 anthropogenic heat in the megacity Seoul downtown as high as  $130 \text{ W m}^{-2}$  in the summertime.  
225 Another study by Loupa et al. (2016) provides anthropogenic heat fluxes up to  $150 \text{ W m}^{-2}$  in  
226 the city center of Athens, Greece, in the summertime, with a daily average of  $100 \text{ W m}^{-2}$ .



227

228 **Figure 2.** Diurnal variation of anthropogenic heat flux at the urban surfaces, estimated by the Korean  
 229 Statistical Information Service (KOSIS) total electricity use in August 2018 in Ulsan. See the text for a detailed  
 230 method.  
 231

232 Another factor that distinguishes the urban surfaces from the non-urban grassland in this  
 233 study is the surface roughness length. This study specifies 0.75 m for the urban surfaces and  
 234 0.11 m for the grasslands, a seven times larger value than the value specified in the grasslands.  
 235 It effectively decreases the wind speed and influences on the dispersion of air pollutants. From  
 236 the CTRL configuration, this study carries out another model sensitivity experiment (hereafter  
 237 CTRL-Z0) to investigate the impacts from the roughness length change by decreasing the value  
 238 to 0.11 m over the urban surfaces. This experiment is integrated just for five days, sufficient to  
 239 obtain the sensitivity results (Section 3.3).

240 The model experiments are commonly forced by the emission data for gas and aerosols  
 241 inventoried from the Comprehensive Regional Emissions inventory for Atmospheric Transport  
 242 Experiment (CREATE; Woo et al., 2020). This inventory is the latest one that integrates the  
 243 local emission inventories from Asian countries in 2015. It uses the Clean Air Policy Support  
 244 System (CAPSS) national inventory archived for 1999-2011 by the Korean Ministry of

245 Environment (available at <https://airemiss.nier.go.kr/mbshome/mbs/airemiss/index.do>). The  
246 spatial resolution of CAPSS is 1 km, which supports the resolution of the innermost domain in  
247 this study. With the inventories, emission for a specific period is produced by the Sparse Matrix  
248 Object Kernel Emission (SMOKE; Benjey et al., 2001) model, driven with the 3-dimensional  
249 meteorological data processed by atmospheric models. The CREATE-based emission data  
250 provide the diurnal variation based on the local inventory with a pronounced daytime peak in  
251 the simulation region (not shown).

252 This study specifically focuses on the  $PM_{10}$  simulation, as it is a lumped mass  
253 concentration of 16 aerosols represented by GOCART. It indicates the total aerosol response,  
254 and it is ideal for understanding the general impact of urbanization on the air pollutant  
255 distribution. The initial evaluation for the simulated  $PM_{10}$  concentration significantly  
256 underestimates the observed values in Ulsan, presumably due to missing or unspecified  
257 emission in the CAPSS data. This study artificially inflates the CREATE-based emission by  
258 more than three times, particularly in the daytime, based on the comparison between the  
259 simulated and the observed  $PM_{10}$  values (see Section 3.2).

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### 261 *2.3 Observation data for model validation*

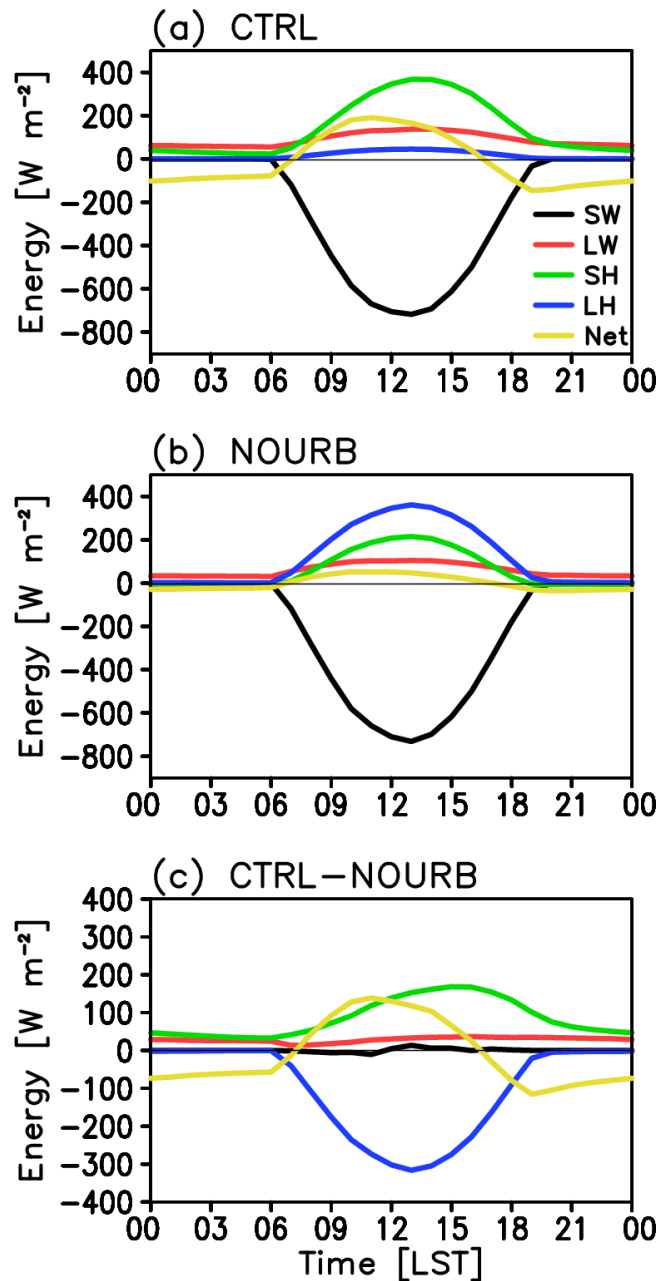
262 The meteorological data of 2-m air temperature and 10-m wind are obtained from the  
263 Korea Meteorological Administration (KMA; available at <http://data.kma.go.kr>) for the  
264 official automated surface observing system (ASOS) site and ten automatic weather systems  
265 (AWS) sites scattered in Ulsan and its vicinity. The surface  $PM_{10}$  concentration data are  
266 obtained from the Air Korea website of the Ministry of Environment (available at  
267 <http://www.airkorea.or.kr>) for three stations located in Ulsan. Both datasets cover the same period  
268 of model integration (August 2016-2018).

269

270 **3. Results**

271 *3.1 Surface energy balance analysis*

272 Figure 3 compares the diurnal variation of individual heat fluxes between the urban surface  
273 and the grassland. By specifying different LUC, several parameters are provided differently  
274 over the urban and grassland surfaces. For example, the surface albedo is 0.2 for the urban  
275 surface and 0.23 for the grassland, thereby inducing more incoming net downward shortwave  
276 radiation at the urban surface. The surface emissivity is specified larger in the urban surface as  
277 0.95, compared with the value of 0.92 at the grassland, suggesting more longwave radiative  
278 flux at the urban surface. The urban surface tends to exchange heat with the atmosphere more  
279 through sensible heat flux rather than latent heat flux. Comparing with the grassland, the urban  
280 surface stores more heat during the daytime and increases the daily maximum temperature.  
281 Note that this increased heating during the daytime is balanced by more extended sensible heat  
282 and longwave radiative fluxes throughout the evening at the urban surface. At much suppressed  
283 latent heat flux at the urban surface, increased heat storage tends to increase the nighttime  
284 temperature in the urban surfaces than in the rural. During the nighttime, the net storage term  
285 becomes negative due to a much larger sensible heat flux at the urban surfaces than in the  
286 grassland. The sensible heat flux in Fig. 3 includes the anthropogenic heat flux. Compared with  
287 Fig. 2, the anthropogenic heat contributes to the most sensible heat flux in the nighttime, while  
288 it accounts for about 10 % of sensible heat flux in the daytime. This highlights a more dominant  
289 role by anthropogenic heat in the nighttime surface energy balance.



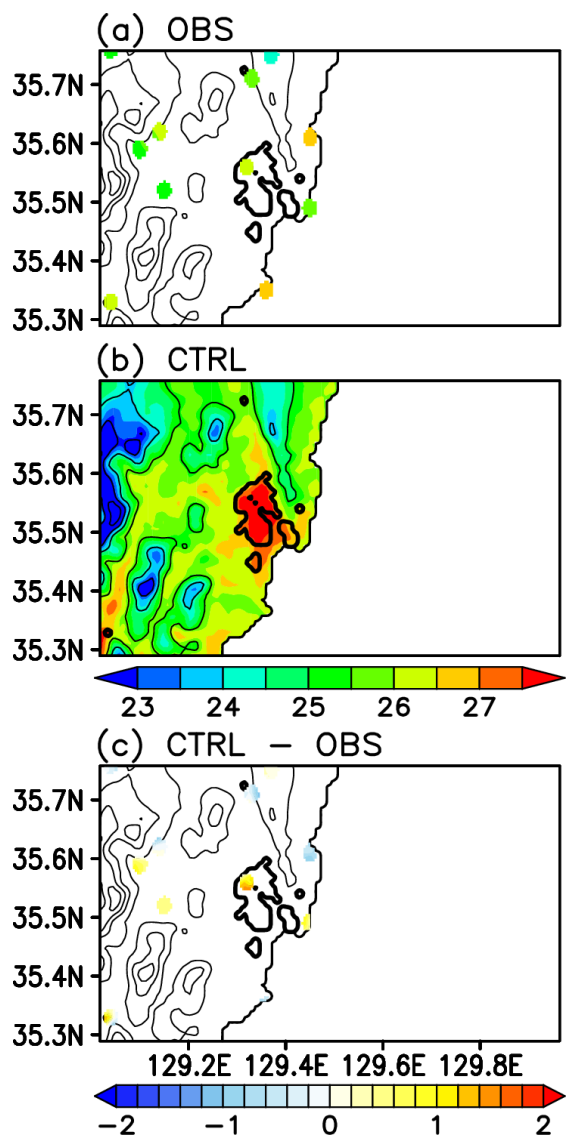
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291 **Figure 3.** Diurnal variations of the shortwave (black), longwave (red), sensible (green), and latent (blue) fluxes  
 292 averaged over the urban surfaces (indicated as the red area in the blue box in Fig. 1) (a) and the non-urban  
 293 surfaces (b). The net heat flux (yellow) indicates the sum of the local storage and ground heat flux. (c) indicates  
 294 (a) minus (b). The upward flux is defined as positive, and the downward negative in the figure. The unit is  $\text{W m}^{-2}$ .  
 295  
 296

### 297 3.2 Verification of the model simulation

298 Figure 4 compares the spatial distribution of time-averaged 2-m air temperature from the  
 299 observations (Fig. 4a) and the CTRL run (Fig. 4b). The observation data show the temperature  
 300 of about 26 °C in the city and less than 25 °C in the surrounding regions. Observed temperature

301 shows lower values in the mountain regions located in the west and the north due to high  
 302 surface elevation. Over this complex terrain, the observational data distribution seems  
 303 insufficient to resolve the detailed impacts from the surface elevation and heterogeneous  
 304 surface boundary conditions.



305  
 306 **Figure 4.** The monthly mean surface 2-m air temperature from (a) the observations (OBS) and (b) the model  
 307 simulation (CTRL). The contours indicate the height (unit: m) with a 200 m contour interval. The thick black lines  
 308 indicate the boundary of the urban areas. (c) the model bias (CTRL minus OBS).

309 The model simulation at 1 km horizontal resolution represents the impacts from the  
 310 detailed boundary conditions (Fig. 4b). The center of the city and the low elevation regions in  
 311 the coastal area show relatively high temperatures as in the observations. The spatial pattern of  
 312 UHI right over the metropolitan city is especially reproduced realistically by the model,

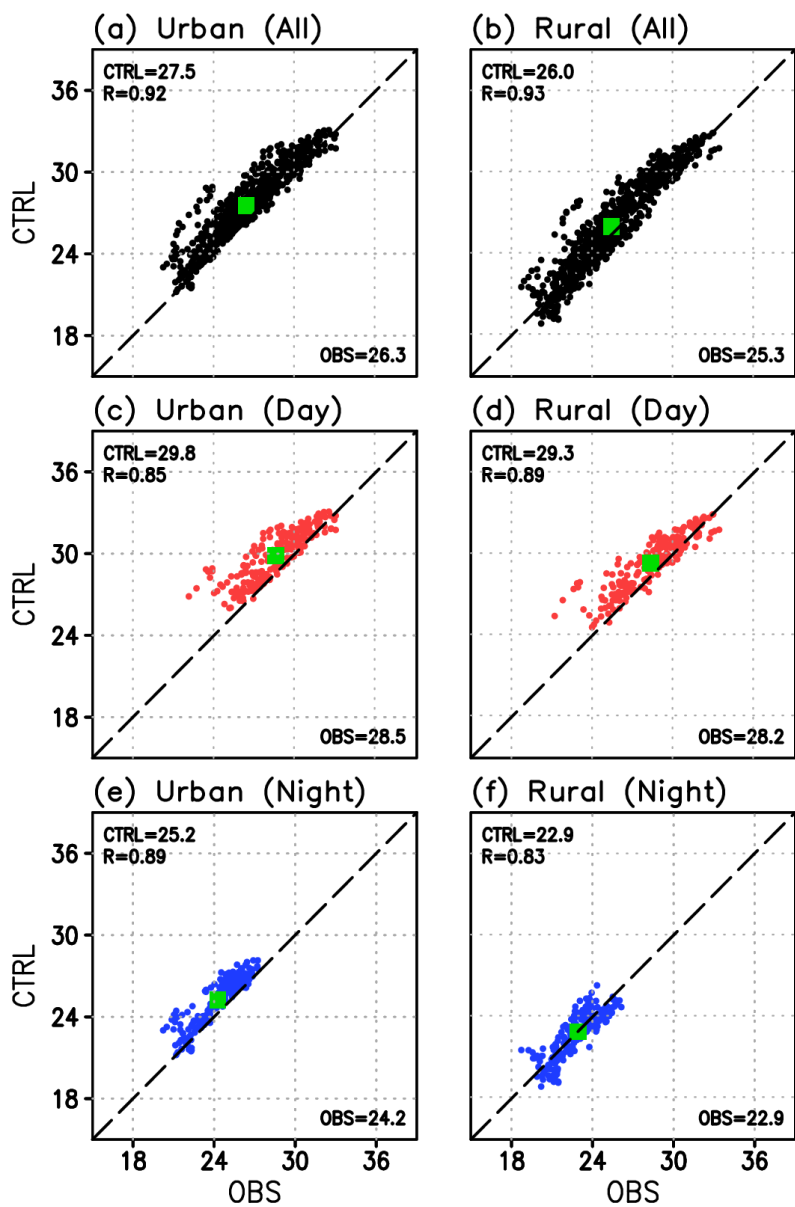
313 although the intensity is somewhat overestimated (Fig. 4c). The simulated temperature over  
314 the high mountains has a lot of uncertainty as there exists no observational data for the  
315 verification.

316 Figure 5 examines the consistency between the observed and the simulated temperature  
317 over the urban (Site A in Fig. 1) and the rural (Site B) locations for the daily-mean, daytime  
318 (12 – 18 LST) and nighttime (00 – 06 LST), respectively. For the daily averages, the  
319 observations show higher temperatures in the urban area than in the rural area by the averaged  
320 difference of 1.0 °C, indicating the intensity of UHI in the targeted metropolitan city in August.  
321 The model simulation tends to reproduce the observed daily-mean UHI intensity but by a  
322 difference of 1.5 °C. This is larger than the observation. Overall, the model simulation exhibits  
323 a systematic warm bias, particularly in the urban region. When the model bias is considered  
324 for the daytime and the nighttime separately, the warm bias in the daily mean temperature is  
325 contributed more by the daytime temperature. The bias is almost negligible in the nighttime  
326 rural area. The diurnal temperature range in the simulation (observation) is about 4.6 °C (4.3 °C)  
327 for urban, and 6.4°C (5.3°C) for rural, respectively. It indicates that the model reproduces the  
328 observed larger diurnal variation of temperature in the rural area than in the urban realistically.  
329 Despite the systematic UHI bias, the scatter plots from daily samples collected for August in  
330 three different years show much resemblance between the observed and the simulated  
331 temperature, with correlations between 0.83 and 0.93. This assures that the model reproduces  
332 the observed temporal variation in response to various weather conditions.

333 The smaller diurnal variation in temperature over the urban area is consistent with  
334 Georgescu et al. (2012). Urbanization tends to reduce the amplitude of the diurnal temperature  
335 range mostly due to the increase in the nighttime temperature induced by UHI. Note that the  
336 observed temperature difference between urban and rural is + 0.3 °C (urban minus rural) in the  
337 daytime and + 1.3°C in the nighttime, more than four times larger UHI in the night. The model



338 also reproduces these observed features realistically with + 0.5 °C in the daytime and + 2.3°C  
 339 in the nighttime, respectively, also more than four times larger UHI in the night by the model  
 340 simulation.



341  
 342 **Figure 5.** Scatter plots of 2-m temperature between OBS and CTRL over the site A (a, c, and e) and B (b, d, and  
 343 f) indicated in Fig 1. The black dots (a,b) indicate the temperature distribution throughout the whole day in  
 344 August 2017-2019. Red (c, d) and blue (e, f) dots denote the temperature distribution during daytime (12-18  
 345 LST) and nighttime (00-06 LST), respectively. Each green square of scatter plots presents the average value  
 346 with the actual value displayed in each panel for OBS and CTRL.

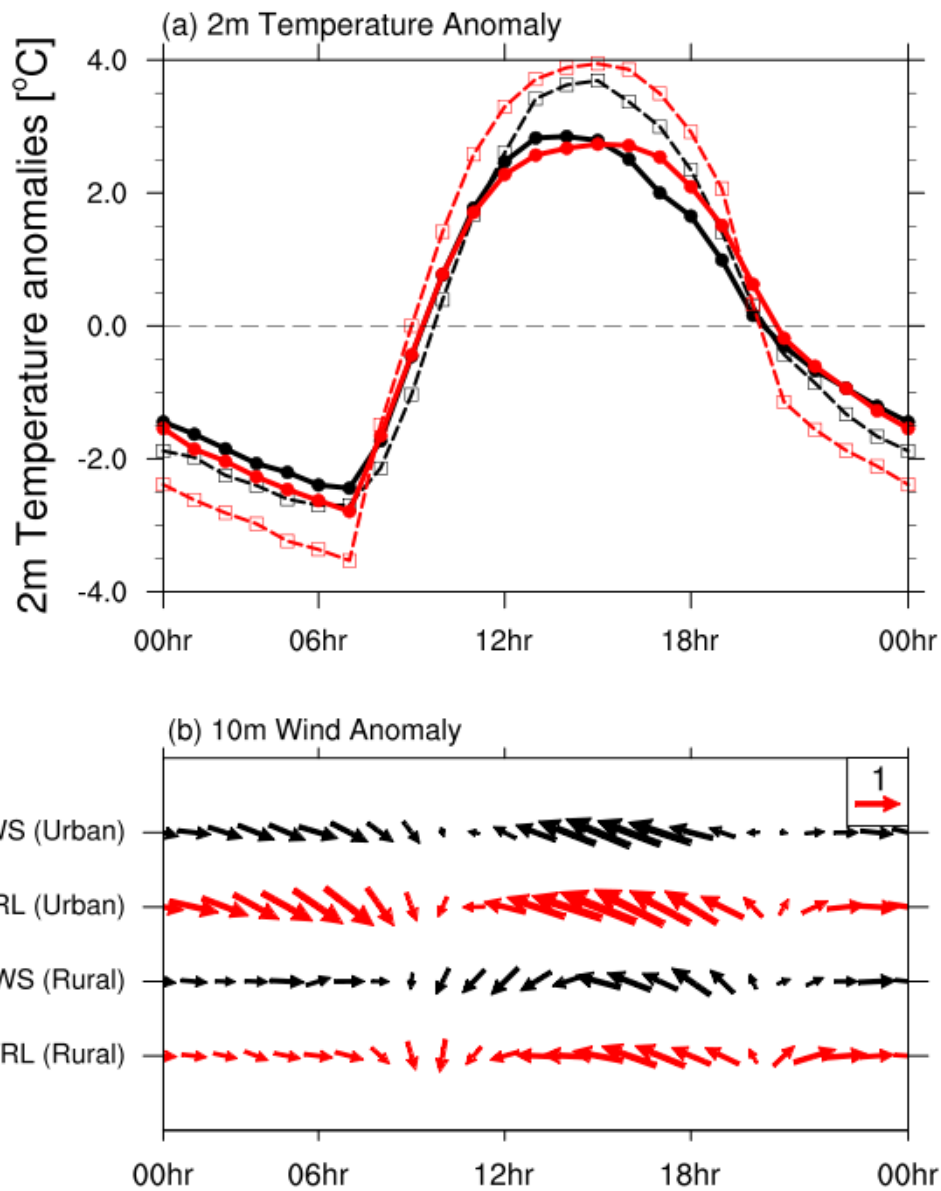
347 This study further compares the time-mean diurnal variation of 2-m temperature and 10-  
 348 m wind anomalies over the urban and the rural areas, as illustrated in Fig. 6. In the observed  
 349 temperature anomalies (Fig. 6a), the diurnal cycle amplitude is weaker in the urban area, as

350 indicated in Fig. 5. The model realistically captures this feature. However, the simulation shows  
351 the diurnal peak at 15 LST in the urban area, a 2 hours delay compared with the observational  
352 peak at 13 LST. The model performs better in the rural areas in terms of the daily maximum  
353 (15 LST) and the minimum (07 LST) temperatures.

354 The diurnal variation of surface wind anomalies (Fig. 6b) is also quite realistic in the model  
355 simulation, even though the wind speed anomalies in CTRL tend to be slightly overestimated  
356 in the urban area. This overestimation seems partly related to the prescribed surface roughness  
357 length in this study, although the exact estimate of this parameter is unknown. It must be chosen  
358 carefully by reflecting local urban geometry characteristics for the targeted area in a future  
359 study.

360 In both observation and simulation, easterly and westerly winds are predominant during  
361 the daytime and nighttime. It indicates a typical land-sea breeze circulation with the oceans  
362 located in the east of the urban city. The wind bias seems closely related to the temperature  
363 variation. Compared to the observation, the model simulation over the urban region maintains  
364 a southeasterly sea breeze even longer than observed until evening, somehow consistent with  
365 the time delay in the temperature simulations.

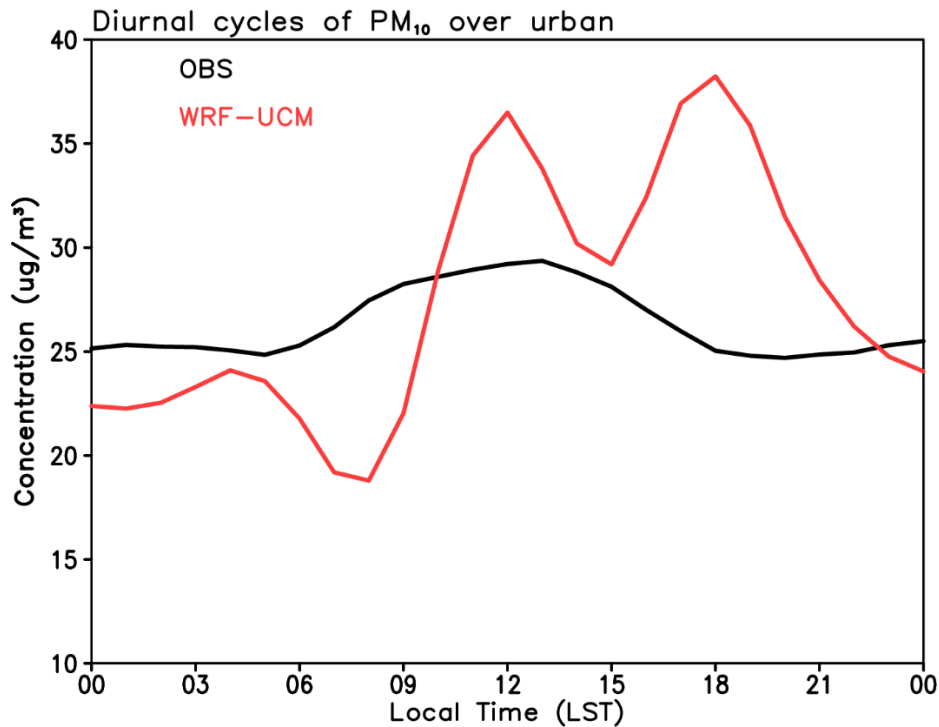
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**Figure 6.** Diurnal cycle of the observed (black) and the simulated (red) 2-m temperature anomaly (a) and the 10-m wind vector anomalies (b). Solid and dashed lines indicate the results of the urban and rural stations, respectively.



372

373 **Figure 7.** Diurnal variation of surface PM<sub>10</sub> concentration from the observations (black) and the CTRL model  
 374 simulations (red). The observations indicate the average of two stations located in the downtown urban region,  
 375 and the model simulations show the areal average over the observation stations.  
 376

377 This study next verifies the simulated PM<sub>10</sub> concentration from CTRL with the observations  
 378 (Fig. 7). Note that the observed concentration is represented by the average of only two urban  
 379 stations located in the downtown of the city. Considering a large variation of air pollutant  
 380 concentration in time and space, the comparison is subject to much uncertainty. More  
 381 observation sites available in the future will facilitate a more quantitative comparison. The  
 382 observed PM<sub>10</sub> concentration shows the daytime maximum and nighttime minimum, consistent  
 383 with the diurnal variation of local emission peaked in the early afternoon in CREATE (not  
 384 shown). Although the model overestimates the observed diurnal amplitude, it simulates higher  
 385 PM<sub>10</sub> concentration in the daytime (12-18 LST) than in the nighttime (00-06 LST) as in the  
 386 observed. Although the observed values exhibit a single peak, the model shows several peaks  
 387 at noon (12 LST), evening (18 LST), and early morning (04 LST). The reason for the multiple  
 388 peaks in the model simulation is not clearly understood. It is just speculated that the model

389 simulation is more prone to the local sea-breeze circulation and air pollutant transport.

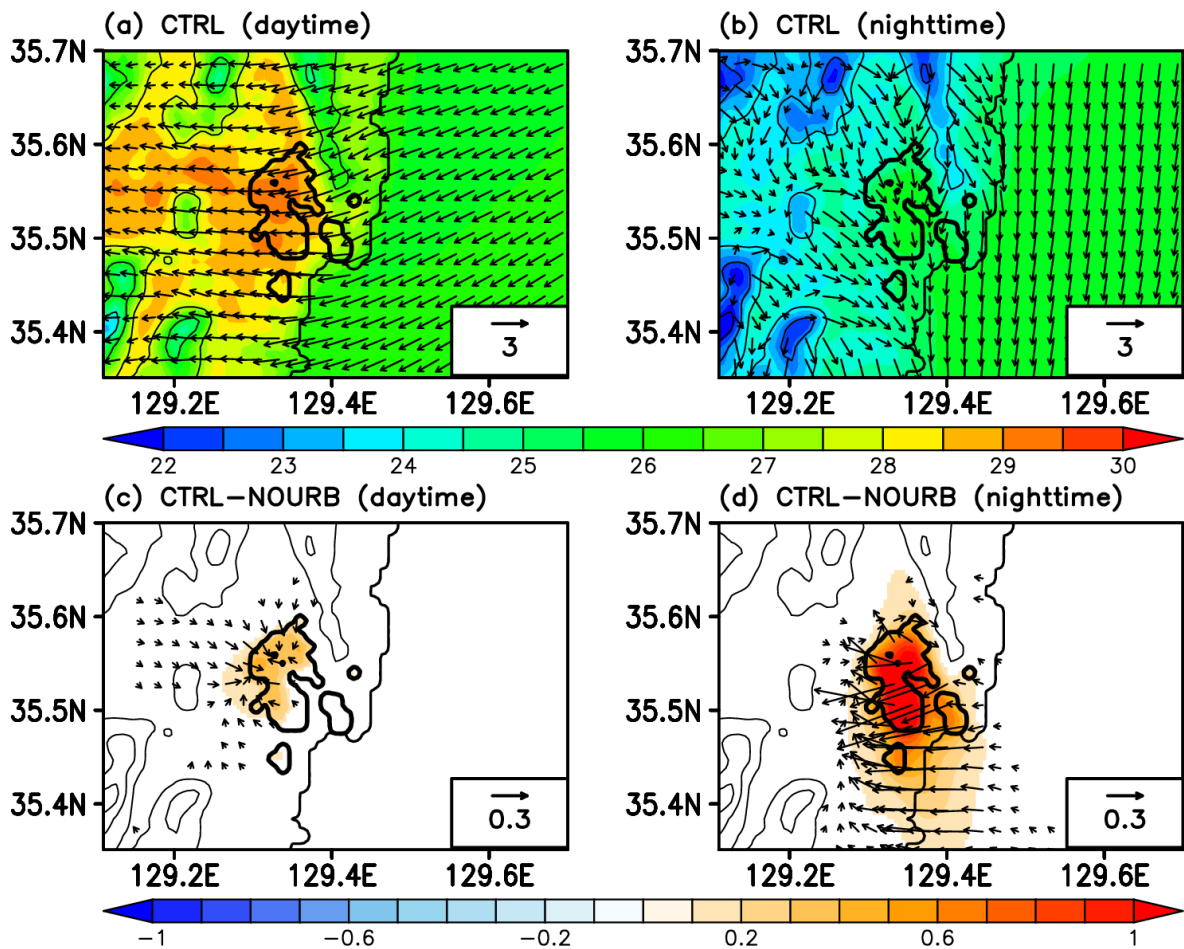
390 Even though CTRL results show a couple of systematic biases in surface temperature and  
391 wind (e.g., higher temperatures and stronger diurnal wind diurnal variations over the land  
392 surfaces), the simulated UHI and the local land-sea breeze circulation are realistic in  
393 reproducing essential features. The diurnal variation of air pollutants is also represented more  
394 or less reasonably with the daytime maximum and the nighttime minimum. This assures the  
395 qualitative assessment of the impacts of UHI on the local atmospheric circulation and air  
396 pollutant transport based on the model sensitivity runs, which is discussed in detail in the next.

397

### 398 *3.3 Urbanization impacts on the local circulation*

399 Figures 8a and 8b show the monthly-averaged 2-m surface air temperature distributions with  
400 10-m wind vectors during daytime and nighttime. The thermal contrast between the land and  
401 ocean is overwhelming during the day, thereby developing an easterly sea breeze toward the  
402 land. As combined with the prevailing wind, the entire domain shows the easterlies. The surface  
403 air temperature over the urban area in CTRL is higher than that in NOURB by 0.3 °C on  
404 average due to the difference in radiative and thermal surface properties between the urban and  
405 the grassland types (Fig. 8c). Interestingly, the anomalously warm temperature region is not  
406 precisely located over the urban surfaces but shifted to the west in the region of low-level wind  
407 convergence, presumably induced by anomalous heating. When the easterlies prevail and pass  
408 over the urban surfaces, the wind slows down due to the thermally-driven turbulences and  
409 produces a convergence zone on the downstream side.

410



411

412 **Figure 8** Monthly-averaged 2-m temperature ( $^{\circ}\text{C}$ , shaded) and the 10-m wind vectors ( $\text{m s}^{-1}$ ) simulated by  
 413 CTRL during daytime (12-18 LST) and nighttime (00-06 LST) (a, b). The contour lines indicate height (m, the  
 414 interval is 200 m). (c, d) the difference (CTRL minus NOURB) during daytime and nighttime, respectively.  
 415

416 In the nighttime (Fig. 8b), northerlies and northwesterlies tend to develop by the land  
 417 breeze. The temperature over the land becomes colder than that over the ocean due to the  
 418 nocturnal radiative cooling in the land. Cold mountain wind is also produced on the left side of  
 419 the urban area due to the nighttime cooling in the high mountainous regions. When these winds  
 420 are combined with the prevailing wind, the land breeze strengthens the northwesterlies over  
 421 the land (Fig. 5b).

422 During the nighttime, the simulated difference in the surface temperature induced by UHI  
 423 is more than  $0.7^{\circ}\text{C}$  on average in the urban area, which magnitude is larger than that in the  
 424 daytime (Fig. 8d). Wind difference between the two experiments indicates anomalous low-

425 level easterlies over the urban surfaces, much stronger than those in the daytime. This is driven  
426 by the weakening of the land breeze circulation toward the ocean when it passes over the urban  
427 surfaces and deflects southward by the anomalous wind convergence by UHI. The heated  
428 region by UHI expands in the larger area beyond the border of the urban surfaces. The wind  
429 convergence caused by UHI becomes stronger in the nighttime, and the convergence zone is  
430 shifted further to the west.

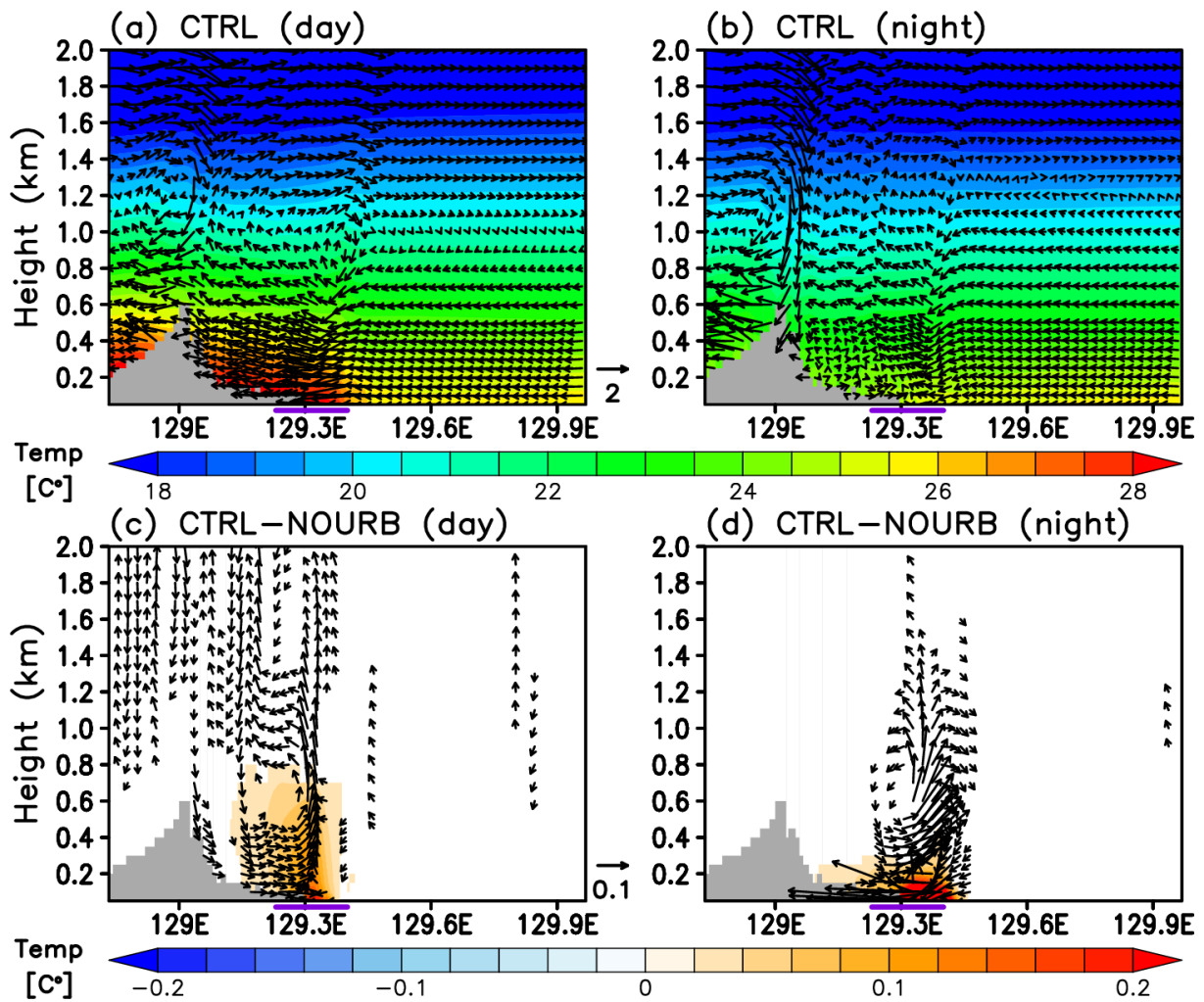
431 The simulation differences between CTRL and NOURB suggest that the UHI should  
432 induce anomalous surface temperature increase and low-level wind convergence. These  
433 impacts are isolated well by numerical experiments with differing land surface covers. Except  
434 in the vicinity of the urban areas, the differences in temperature and wind between the two  
435 experiments are negligibly small for the rest of the simulation domain during daytime and  
436 nighttime.

437 This study further examines the secondary circulation driven by UHI. Figures 9a and b  
438 show the vertical cross-section of temperature and wind vectors simulated by CTRL in daytime  
439 and nighttime. The simulation domain is featured by a sharp zonal gradient in surface elevation.  
440 High mountains in the west area as high as 600 m above the sea level, and the ocean is located  
441 in the east. The wind vectors are relatively flat over the sea due to less friction, and they vary  
442 up and down over the land due to the complex topography. During the daytime (Fig. 9a),  
443 heating over the land area induces the easterly sea breeze in the low level blowing across the  
444 domain, and it combines with the valley wind sloping up to the mountains. The wind turns  
445 eastward toward the ocean above the 1 km level. Upward motion develops most over the land  
446 area, particularly strong at the mountain regions, while the sinking motion dominates over the  
447 ocean. The vertical cross-section of wind fields reveals the thermally-driven local atmospheric  
448 circulation. In the nighttime (Fig. 9b), the low-level wind over land turns eastward. It is much  
449 weaker in magnitude than its counterpart of the daytime sea breeze. The notable difference is

450 over the mountains featured by a downward motion, and the associated downsloping wind is  
451 combined with the land breeze in the nighttime toward the ocean.

452 In a close look, there is another strong vertical motion developing over the urban surfaces  
453 in the CTRL simulation both in the daytime and the nighttime, which is better illustrated by the  
454 difference map between CTRL and NOURB (Fig. 9c and d). During the daytime over the urban  
455 region (Fig. 9c), the temperature contrast between CTRL and NOURB shows the positive  
456 anomalies with a maximum at the surface and slightly tilted westward in the vertical direction.  
457 This anomalous temperature should be driven by enhanced heat flux from the urban surfaces  
458 and reaches the 800 m level. Anomalous heating drives a strong upward motion at the center  
459 of the urban area, reaching as high as 2 km level. Compensating downward motion appears in  
460 the west of the heating region in the aloft, accompanied by the mass-conserving horizontal  
461 motion toward the urban area. In the nighttime (Fig. 9d), positive temperature anomalies over  
462 the urban area become stronger and confined within the lowest hundred meters. This feature  
463 contrasts with the daytime temperature anomalies stretching in vertical up to 800 m.  
464 Suppressed vertical motion in the nighttime background flow and the turbulence suppression  
465 at the stable boundary layer is responsible for weak upward heat transport, which aggravates  
466 the UHI near the nighttime ground level. The upward motion over the urban area slopes  
467 eastward, and the compensating downward motion appears in the east of the heating region. It  
468 combines with the anomalously strong low-level easterlies over the urban area and the west.  
469





470

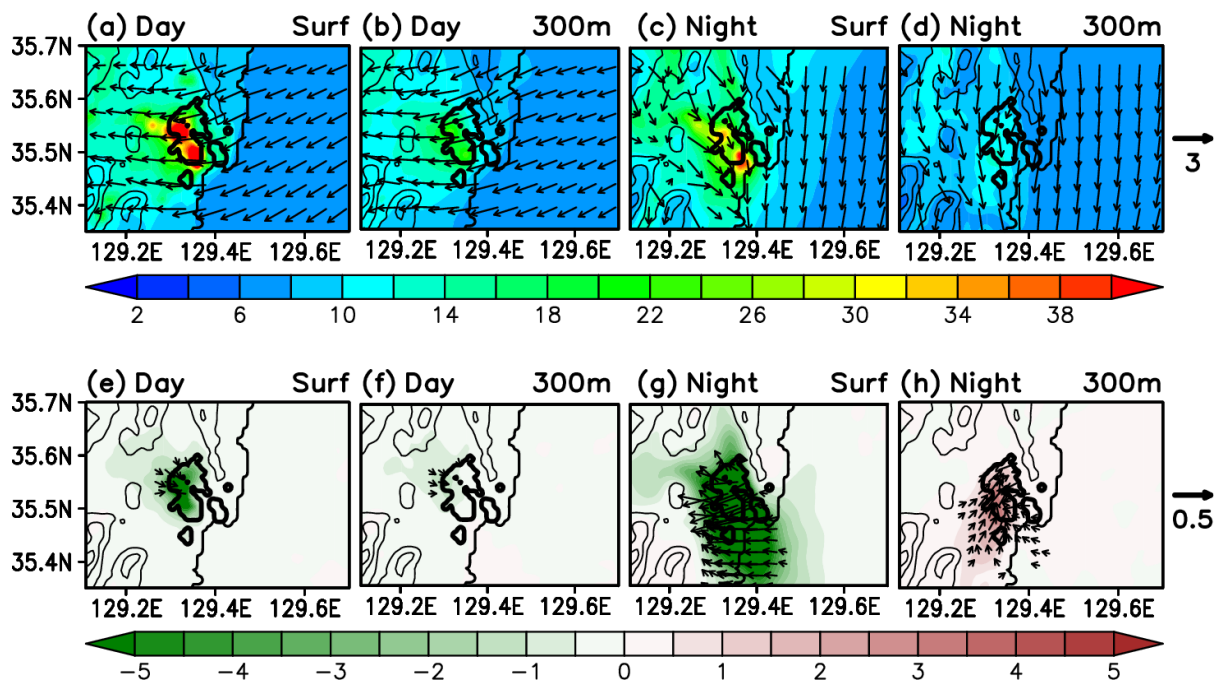
471 **Figure 9** Time-averaged vertical cross-section of temperature ( $^{\circ}\text{C}$ , shaded) and wind vector ( $\text{m s}^{-1}$ ) simulated by  
 472 CTRL over the red dashed box region defined in Fig. 1 during the daytime (12-18 LST) (a) and the nighttime (00-  
 473 06 LST) (b). (c) and (d) indicates the differences (CTRL minus NOURB). The purple bars in the bottom show the  
 474 location of the urban surfaces ( $129.2^{\circ}$ - $129.4^{\circ}\text{E}$ ).  
 475

475

### 476 3.4 Dispersion of air pollutants by urbanization

477 This study further investigates the impacts on the air pollutant distribution induced by  
 478 urbanization. Overall, high  $\text{PM}_{10}$  concentration is simulated near the surface in CTRL, and it  
 479 decreases in the upward direction due to the emission source at the ground. This tendency is  
 480 evident when comparing the concentration between the surface and the 300 m level (Figures  
 481 10a-d). The  $\text{PM}_{10}$  distribution patterns also indicate the local land-sea breeze circulation  
 482 influences, more evident at the 300 m level. The daytime sea breeze passes over the urban  
 483 surfaces and transports the air pollutants toward the inland region. When the land breeze

484 develops at night, the concentration in the inland region decreases.



485

486 **Figure 10** Time-averaged PM<sub>10</sub> concentration ( $\mu\text{g m}^{-3}$ , shaded) and the wind vectors ( $\text{m s}^{-1}$ ) in CTRL during the  
 487 daytime (12-18 LST) near the surface (a) and the 300 m level (b). (c-d) are for the nighttime (00-06 LST). The  
 488 contour lines indicate the surface elevation (m, the interval is 200 m). (e-h) are the same as (a-d) except for  
 489 CTRL minus NOURB.

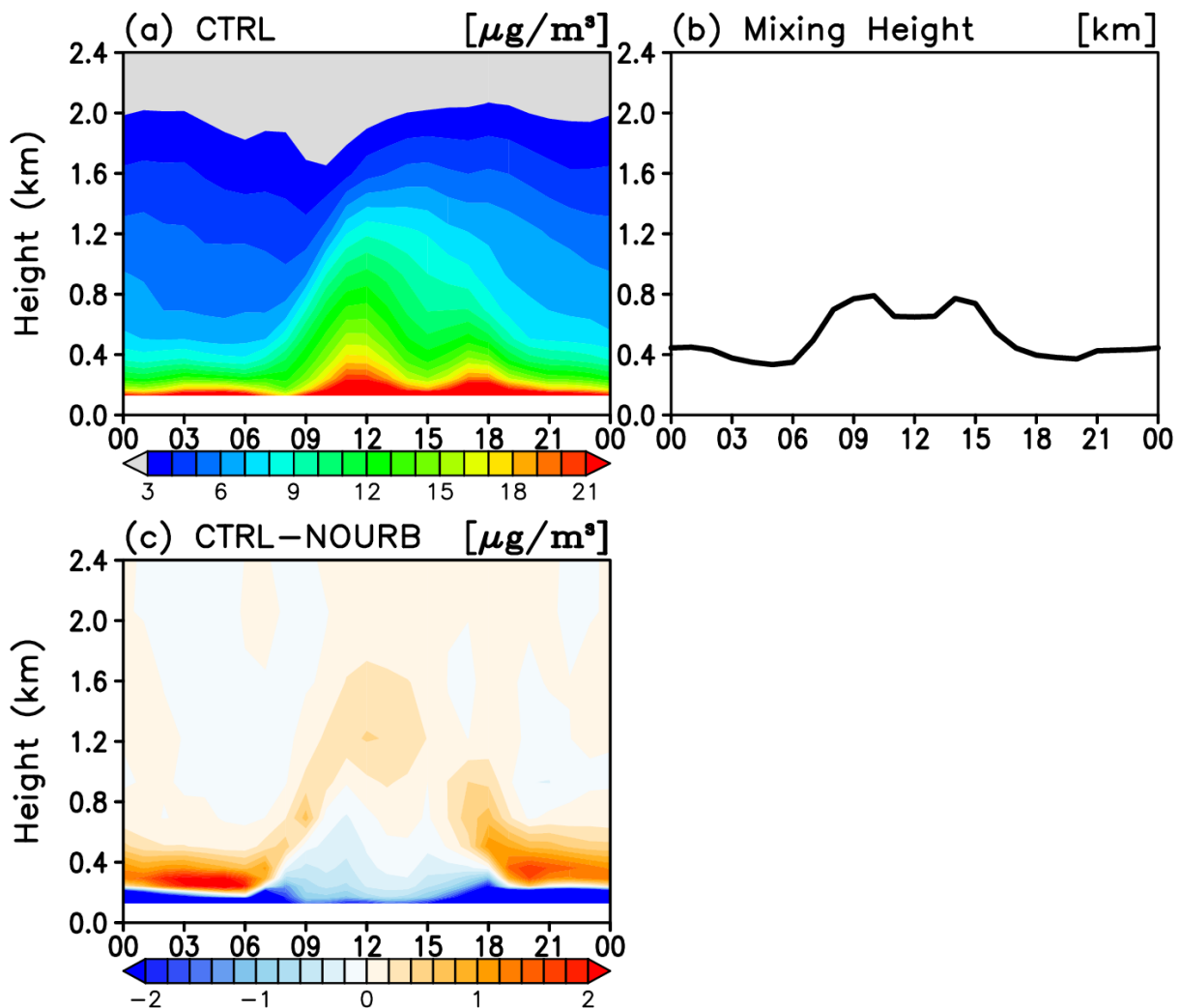
490

491 In the daytime (Fig. 10e and f), the PM<sub>10</sub> concentration decreases over the urban areas both  
 492 at the surface and the 300 m level. The surface concentration level reduces by 15 % on average.  
 493 Considering that the temperature of the urban areas is relatively higher than that of the rural  
 494 areas (Fig. 8a), this should result in more enhanced vertical mixing and upward transport of air  
 495 pollutants. In the nighttime (Fig. 10g and h), the surface PM<sub>10</sub> reduction is even larger by 73 %.  
 496 It is found that high temperature in the urban areas in the nighttime tends to perturb the  
 497 nocturnal stable boundary layer and enhance vertical mixing (Fig. 10g). On the contrary, the  
 498 concentration of the urban areas at the 300 m level shows the increasing tendency by the  
 499 introduction of the urban surfaces (Fig. 10h). This suggests that the air pollutant concentration  
 500 could decrease at the surface but increase in the upper level just below the mixing height. Here  
 501 the mixing height is distinguished from the mixing layer height, and it denotes the depth of

502 vertical transport and mixing of aerosols. When the mixing height is contracted below the 300  
 503 m level by the nocturnal stable boundary layer, air pollutant vertical dilution is limited in the  
 504 nighttime (Fig. 10h).

505

506



507

508 **Figure 11** Diurnal variation of the PM<sub>10</sub> concentration ( $\mu\text{g m}^{-3}$ , shaded) averaged over the urban surfaces  
 509 (indicated as the red area in the blue box in Fig. 1) as a function of height (a) and the diurnal variation of the e-  
 510 folding height of PM<sub>10</sub> concentration (b) from the CTRL run. (c) shows the CTRL minus NOURB difference in  
 511 the PM<sub>10</sub> concentration. The values in each figure start from the lowest model level at approximately 0.128 km.  
 512

513

514 This study examines the vertical distribution of the diurnal cycle of PM<sub>10</sub> averaged over  
 515 the urban surfaces (Fig. 11a) to understand the asymmetric response in the air pollutant  
 distribution to the UHI between the daytime and the nighttime. In the day, surface heating

516 invigorates the upward motion and turbulence, and the air pollutant is actively transported in a  
517 vertical direction and well-mixed with height. On the other hand, vertical aerosol mixing is  
518 relatively suppressed in the presence of a stable nocturnal boundary layer. Figure 11b shows  
519 the diurnal variation of the aerosol mixing height, defined as the e-folding height of the surface  
520  $PM_{10}$  concentration in this study. It reaches up to 0.8 km in the daytime and shrinks to the  
521 minimum level below 0.4 km in the evening based on the CTRL experiment.

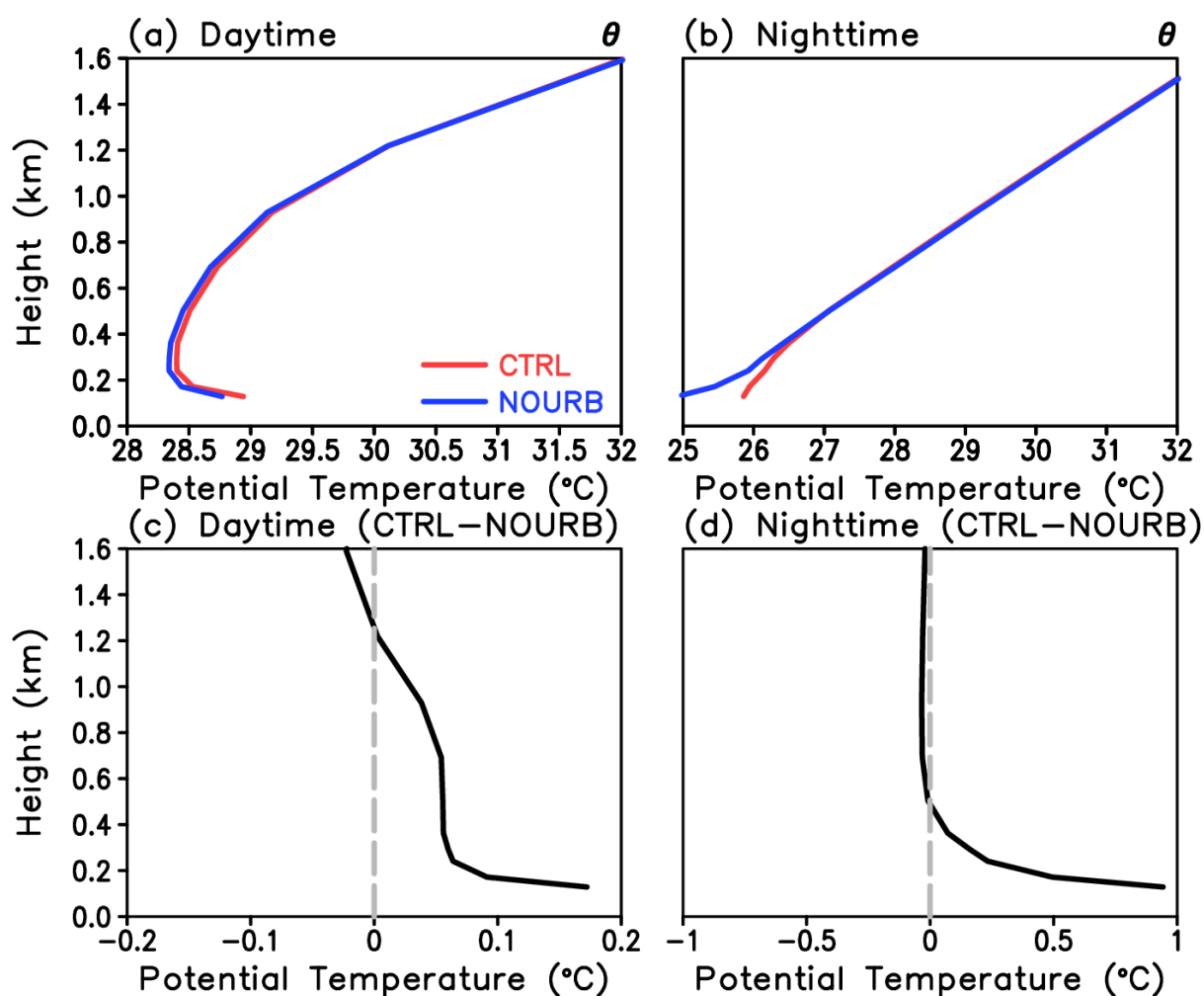
522 The vertical distribution of  $PM_{10}$  concentration does not change significantly from CTRL  
523 to NOURB in the daytime (Fig. 11c), although the UHI induces additional heating in the  
524 boundary layer. This suggests that the vertical aerosol transport is already efficient in the  
525 daytime, regardless of the additional UHI heating. From the evening to the morning, the  $PM_{10}$   
526 concentration shows negative values near the surface and positive above the 300 m level  
527 induced by the UHI heating. The response near the surface is due to the enhanced vertical  
528 mixing over the urban region induced by UHI heating in the nighttime. Extended heating at the  
529 urban surfaces leads to the upward air pollutant transport and accumulate  $PM_{10}$  above the 300  
530 m altitude. The anomalously high concentration above the 300 m level in the night disappears  
531 after sunrise when the well-mixed boundary layer develops.

532 The efficiency of air pollutant vertical mixing is closely linked with the changes in the  
533 local atmospheric stability. Figure 12 compares the vertical variation of potential temperature  
534 as a measure of the static stability for the dry atmosphere. In the daytime (Fig. 12a), it decreases  
535 with altitude from the ground level up to 200 m by surface heating and then increases afterward.  
536 Low-level heating reduces the static stability and provides a favorable condition for upward  
537 motion and enhanced turbulence mixing. The surface heating becomes weak at night,  
538 maintaining a stable nocturnal boundary layer with suppressed vertical motion and less  
539 turbulence (Fig. 12b). The stability change from NOURBN to CTRL induced by UHI is not  
540 apparent until plotting the difference between the two profiles (Fig. 12c and d). The UHI impact

541 induces the low-level potential temperature increase from the surface to the 1.2 km level above  
 542 (Fig. 12c), which indicates the decrease of the stability in the daytime. It maintains a more  
 543 unstable condition by low-level heating induced by UHI. At night, the reduction of stability is  
 544 confined below the 600 m level (Fig. 12d), responsible for the vertically-limited aerosol  
 545 transport to the upper levels.

546

547



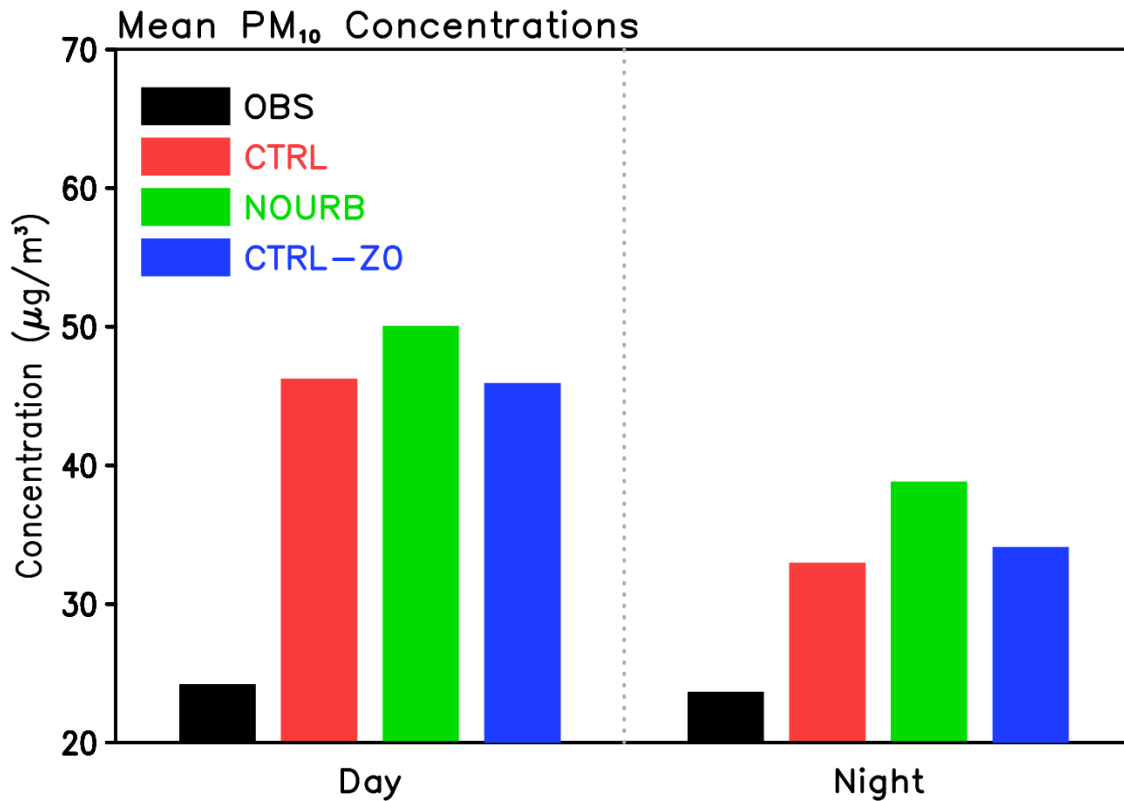
548

549 **Figure 12** The vertical profile of potential temperature from CTRL and NOURB for the daytime (a) and the  
 550 nighttime (b), averaged over the urban surfaces. (c-d) are the differences of CTRL minus NOURB for the  
 551 daytime and the nighttime. The values in each figure start from the lowest model level at approximately 0.128  
 552 km.

553

554 Processes mentioned above emphasize the critical role of urbanization in the

555 thermodynamical aspect. As mentioned, urbanization generally includes both dynamical and  
556 thermodynamical effects, which may operate oppositely in regulating aerosol concentration.  
557 The dynamical effects engage the increase of surface roughness length and the decrease of  
558 wind speed, which helps accumulate the air pollutant concentration in the urban areas. On the  
559 contrary, the thermodynamical effects decrease the air pollutant concentration by enhancing  
560 the thermally-driven circulation and upward transport. Various model experiments presented  
561 in Fig. 13 confirm these effects. CTRL run with the total urbanization effects reproduces the  
562 observed PM10 concentration change between daytime and nighttime reasonably, although the  
563 model exaggerates the difference. When the urban surfaces are replaced with non-urban  
564 grasslands in NOURB, both the daytime and nighttime concentration increase. This supports  
565 the dominant thermodynamical mechanisms caused by UHI. When only the roughness length  
566 changes to the non-urban values over the urban surfaces in CTRL-Z0 (i.e., the decrease of  
567 surface roughness length), the simulation results are not significantly different from those in  
568 CTRL. This suggests the dynamical effect induced by roughness length change plays a  
569 relatively minor role in modifying the air pollutant concentration in this model.



570

571 **Figure 13** The observed (black) and the simulated PM<sub>10</sub> concentration from CTRL (red), NOURB (green), and  
 572 CTRL-ZO (blue) during daytime (12-18 LST) and nighttime (00-06 LST) over the urban surfaces. Others are the  
 573 same as in Fig. 7.

574

575 Last, this study examines any observational evidence to support the mechanisms suggested

576 by the numerical model simulations in this study. The central hypothesis is the reduced near-

577 ground level air pollutant concentration in the urban region due to more enhanced vertical

578 mixing by UHI in the night. This mechanism is investigated by analyzing the PM<sub>10</sub> data from

579 two observation sites: one located in the downtown and the other in the suburbs. Figure 14a

580 indicates those sites along with the spatial distribution of PM<sub>10</sub> emission from CREATE. The

581 downtown site exhibits higher surface emission. Therefore, when the local emission maximizes

582 during a day, the daytime concentration is expected to be higher than that in the suburbs unless

583 the meteorology and aerosol transport are critical. Actual PM<sub>10</sub> data shows comparable, or even

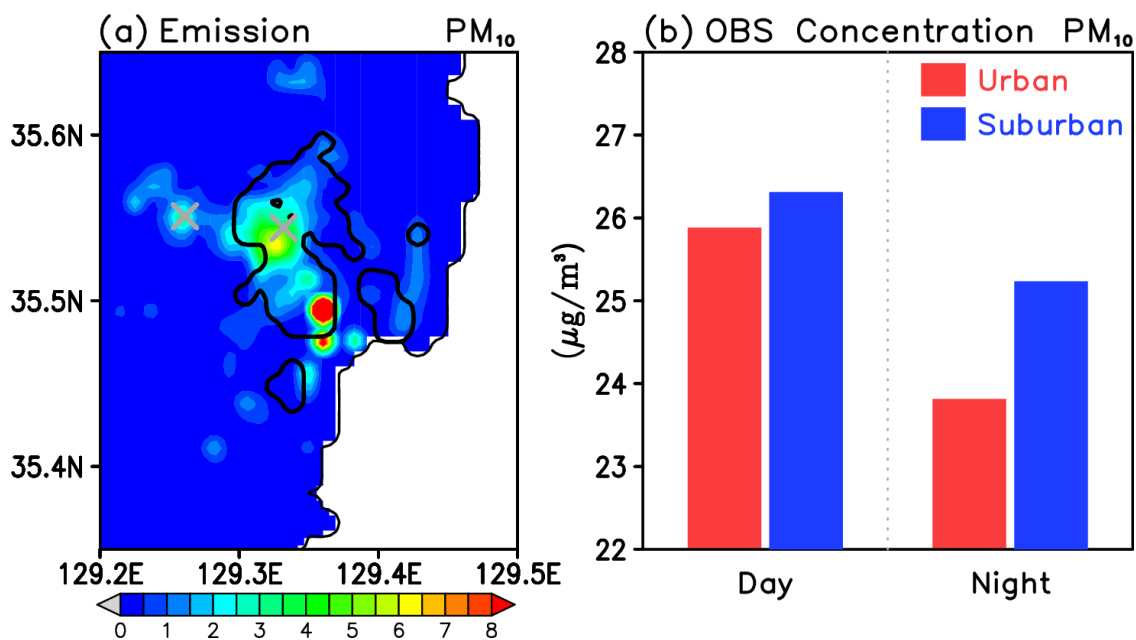
584 larger value in the suburban station in the daytime (Fig. 14b), implying that the emission does

585 not entirely determine the local air pollutant concentration. Interestingly, nighttime

586 concentration becomes much higher in the suburbs. The substantial reduction in the downtown

587 concentration at night supports the dominant role of thermodynamical mechanisms of UHI in  
588 regulating the aerosol concentration near the ground level.

589



590

591 **Figure 14** (a) The horizontal distribution of surface PM<sub>10</sub> emission (unit:  $\mu\text{g m}^{-2} \text{s}^{-1}$ ) specified in the model  
592 experiments, and (b) the observed PM<sub>10</sub> concentrations during daytime (12-18 LST) and nighttime (00-06 LST)  
593 over the urban and the suburban area. The letter “X” in grey indicates two PM<sub>10</sub> observation sites located in the  
594 urban and suburban regions (inside and outside of the contoured area in black).

595

#### 596 4. Summary and Discussion

597 This study examined the changes in the local atmospheric circulation and the distribution  
598 of air pollutants induced by urbanization based on numerical model experiments. Using the  
599 single-layer UCM coupled with WRF-Chem at 1 km horizontal resolution, the numerical  
600 experiments could evaluate the impacts of urban surfaces. The model experiments were  
601 conducted in August for three consecutive years of 2016-2018, driven by a more realistic KLC  
602 land use category and the CREATE emission data. Anthropogenic heat flux with a diurnal  
603 variation was also applied over the urban surfaces based on the local electricity use.

604 The control simulation (CTRL) was qualitatively reasonable in terms of representing  
605 complex terrain impacts from the mountains and the ocean. The UHI impacts were also reliable



606 in describing the spatial distribution of surface temperature and low-level wind and their  
607 diurnal variation compared with the station observations. Another experiment where grasslands  
608 intentionally replaced the urban surfaces in CTRL (NOURB) isolated the quantitative impacts  
609 of UHI by comparing it with the CTRL run. Both daytime and nighttime, the UHI induces  
610 positive 2-m temperature anomalies over the urban surfaces, which leads to low-level  
611 horizontal convergence and the enhanced vertical motion. In particular, the temperature  
612 difference for the nighttime is larger than that for the daytime, which is related to the suppressed  
613 vertical mixing at the presence of the stable nocturnal boundary layer.

614       Enhanced heating induced by UHI changes the vertical distribution of air pollutants, but  
615 with a different response between the daytime and the nighttime. In the daytime, the vertical  
616 distribution of air pollutant concentration does not change significantly by UHI. This suggests  
617 that the vertical transport of air pollutants is already efficient in the daytime, regardless of the  
618 additional UHI heating. At night, the air pollutant concentration over the urban surfaces is  
619 reduced, but the concentration at the 300 m or above becomes significantly higher. The  
620 response near the surface is due to the enhanced vertical mixing over the urban region induced  
621 by UHI. It leads to the upward air pollutant transport and accumulates the air pollutant aloft.  
622 Enhanced vertical transport by UHI is attributed to the decrease of atmospheric stability, in  
623 which the adjusted layer becomes much shallower and confined near ground level at night.

624       The thermodynamical effects in urbanization mostly account for the changes in the air  
625 pollutant concentration in the model. The dynamical effects tested by the surface roughness  
626 length change only do not alter the simulation result drastically. The observation data analysis  
627 further examined the modeling mechanisms. The nighttime air pollutant concentration is much  
628 lower in the urban regions, despite higher surface emission than in the suburbs. This supports  
629 the thermodynamical effects induced by UHI as the dominant mechanism for changing the air  
630 pollutant concentration over the urban area.

631 Our results highlight that the urbanization can dynamically control not only the local  
632 circulation but also air pollutant dispersion. From these perspectives, the representation of  
633 realistic urbanization in the numerical model is a crucial factor for improving the prediction of  
634 the thermal and dynamical features of regional atmospheric states and air quality forecasts.  
635 Nevertheless, there are a couple of limitations in this study to move forward in improving our  
636 understating of the role of UHI on the local atmospheric circulation and the dispersion of air  
637 pollutants. Although we specified the most up-to-date emission inventory from CREATE, this  
638 is not ideal for representing local emission due to the old data archived in 1999-2011 and its  
639 considerable uncertainty in the diurnal variation of surface emission.

640 Another aspect of the UHI not considered in this study is its impacts on the redistribution  
641 of aerosols in vertical and their interaction and feedback with cloud and precipitation processes.  
642 Previous studies suggest that the nocturnal heavy rainfall might be enhanced by increasing  
643 cloud seeds (e.g., Huff and Changnon, 1973). Han et al. (2012) also examined that the  
644 development of convective cloud under high aerosol concentrations is caused by the release of  
645 an increased condensation heating in the strong updraft region due to increasing smaller cloud  
646 droplets with high aerosol particles in the clouds. Khain (2009) also suggested that aerosol  
647 effects on the cloud system and precipitation due to different environmental conditions. In this  
648 regard, future research, including the provision of more detailed local emission data and the  
649 test with the interactive aerosol-cloud processes are underway to help improve our  
650 understanding of the impacts of urbanization on the local atmospheric circulation change and  
651 air pollutant dispersion in the complex terrain.

652

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657

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