

1 **Evaluation and blending of ATMS and AMSR2 snow water equivalent retrievals over the**
2 **conterminous United States**

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17 **Abstract**

18 This study first compares two different passive microwave snow water equivalent (SWE)
19 retrievals, namely the retrieval from the Suomi National Polar-orbiting Partnership (S-NPP)
20 Advanced Technology Microwave Sounder (ATMS) and that from the Global Change Observation
21 Mission – Water (GCOM-W1) Advanced Microwave Scanning Radiometer 2 (AMSR2); it further
22 creates an optimal blending mechanism that merges the two retrievals with in situ observations
23 from the Snow Telemetry (SNOTEL) and Cooperative Observer Program (COOP) networks. The
24 assessments of the two products are done over conterminous United States (CONUS) for the snow
25 seasons (November–June) of the water years 2017–2019 using in situ data and the SNOW Data
26 Assimilation System (SNODAS) SWE analysis. Both satellite products tend to underestimate
27 SWE. Between the two, AMSR2 retrieval outperforms in terms of correlation with observations
28 and depth of saturation, but it exhibits a distinctive, seasonally varying bias that is not seen in
29 ATMS retrieval. The negative bias over the early snow season, as further analysis indicates, most
30 likely stems from AMSR2 retrieval’s use of a high frequency channel (i.e., 89 GHz) for shallow
31 snow detection, while the impact of differing assumptions of snow density is marginal. The
32 blending scheme, developed on the basis of the validation experiment, features a histogram-based
33 bias correction as a supplement to optimal interpolation. Cross-validation suggests that
34 interpolated station product without the satellite background broadly underperforms the blended
35 in situ-satellite product, confirming the utility of the satellite retrievals. Furthermore, the a priori
36 bias correction mechanism is shown to be effective in mitigating large fluctuations in bias. Finally,

37 the bias-corrected, blended in situ-satellite product performs comparably or even favorably against
38 SNODAS over many parts of the CONUS, with important implications for joint use of satellite
39 and in situ observations for hydrological monitoring and forecasting.

40

41 **Keywords:** ATMS, AMSR2, passive microwave, snow water equivalent, bias correction, optimal
42 interpolation, weighted averaging.

43 1. Introduction

44 Snowpack plays an important role in modulating global climate and hydrologic cycle (Dong,
45 2018; Lettenmaier et al., 2015; Sturm, 2015). Accurate estimates of snowpack properties are of
46 critical importance to a variety of hydrologic and climate-related applications (Chang et al., 2005;
47 Dozier et al., 2016). Many gridded products have been created to provide long-term snow depth
48 (SD) or snow water equivalent (SWE) estimates. Such products include land surface reanalysis
49 (Dee et al., 2011; Gelaro et al., 2017; Rodell et al., 2004; Xia et al., 2012), snow model simulations
50 (Brun et al., 2013), regional climate model simulations (Wrzesien et al., 2018), and ground-based
51 interpolation data (Brown and Brasnett, 2010; Broxton et al., 2016a). Among these, the model
52 simulations and reanalysis are subject to large uncertainties stemming from those in model
53 structures, parameters, as well as forcing data (Mortimer et al., 2020; Mudryk et al., 2015).
54 Meanwhile, the interpolation data are constrained by the density and locations of stations.

55 In recent decades, satellite retrievals are seeing increasing applications in snowpack
56 monitoring and prediction, especially in regions with poor ground measurements (Frei et al., 2012;
57 Nolin, 2010). In particular, passive microwave (PMW) SD/SWE retrievals have the advantage of
58 being directly relevant to water balance calculation, available for both day and night-time
59 conditions, and not subject to interference by clouds or atmospheric gases as are snow cover
60 retrieved by optical sensors (Clifford, 2010; Lee et al., 2015). Currently, operational spaceborne
61 PMW sensors that can retrieve SD/SWE include the Defense Meteorological Satellite Program
62 (DMSP) Special Sensor Microwave Imager/Sounder (SSMIS; Bommarito, 1993; Kunkee et al.,

63 2008), the Global Change Observation Mission (GCOM) Advanced Microwave Scanning
64 Radiometer (AMSR) series (Imaoka et al., 2002, 2010), and the Joint Polar Satellite System (JPSS)
65 Advanced Technology Microwave Sounder (ATMS; Weng et al., 2012). Typically, the radiance
66 observations from the PMW sensors are used to retrieve SD by exploiting empirical brightness
67 temperature (T_b) – SD relationships (Kelly, 2009), and SD is then converted to SWE through
68 empirical estimates of snow density (Sturm et al., 2010). The ATMS SWE retrieval algorithm is
69 somewhat unique that it assimilates radiance observations from sounding channels into the
70 Community Radiative Transfer Model (CRTM; Han et al., 2006) using the Microwave Integrated
71 Retrieval System (MiRS; Boukabara et al., 2011). SWE is then retrieved by comparing the MiRS
72 retrieved emissivity spectra with those from a precomputed catalog that relates surface emissivity
73 to SWE to find the closest match. The catalog is generated from a dense medium radiative transfer
74 snow emissivity model (Weng et al., 2001).

75 In spite of the many promising aspects of PWM SD/SWE retrievals, these products are limited
76 in spatial resolution and are known to suffer from large errors (Dawson et al., 2018; De Lannoy et
77 al., 2010; Frei et al., 2012). The errors may stem from sensor signal saturation, vegetation and
78 terrain interference, snow wetness, and simplifying assumptions underpinning the retrieval
79 algorithms (Dong et al., 2005; Liu et al., 2015). For example, Vuyovich et al. (2014) suggested
80 that forest cover and deep snow have significant impact on AMSR – Earth Observing System
81 (AMSR-E; Imaoka et al., 2002) and Special Sensor Microwave Imager (SSM/I; Hollinger, 1989)
82 SWE estimates. Dai et al. (2017) showed that the mountainous topography and the coarse

83 resolution of PMW sensor underlie the large disagreement between AMSR-E SD and in situ
84 observations. [Cho et al. \(2020\)](#) illustrated that slope and surface heterogeneity impact the SWE
85 difference between the SSMI/S (i.e., SSM/I and SSMIS) and gamma SWE. [Tuttle et al. \(2018\)](#)
86 found that up to half of the error in AMSR-E SWE is potentially due to subpixel scale variability.
87 While these studies advance our understanding of the error sources of PMW data, most of them
88 fall short in proposing or establishing effective mechanisms for mitigating the errors. Furthermore,
89 considering the number of sensors and retrieval products that are currently available, there is a
90 clear, and heretofore unfulfilled demand for identifying and leveraging the complementary
91 strengths of different PMW retrievals and in situ products, and thereby facilitating the application
92 adoption of the retrievals.

93 One way to address the shortcomings of stand-alone PMW SD/SWE data is through the joint
94 use of PMW radiometry and ground observations as information sources in the retrieval algorithms
95 ([Pulliainen, 2006](#)). For example, the Global Snow Monitoring for Climate Research (GlobSnow)
96 SWE product ([Pulliainen et al., 2020](#); [Takala et al., 2011](#)) assimilates different sources of PMW
97 Tb (from 18.7 and 36.5 GHz channels) and in situ SD into a semi-empirical snow emission model
98 and provides 25-km daily SWE estimates from 1979 to 2018 over the Northern Hemisphere
99 excluding alpine areas. This product, however, still exhibits large errors inherited from structural
100 limitations of the Tb–SD relation and the snow emission models ([Hancock et al., 2013](#); [Larue et](#)
101 [al., 2017](#); [Mudryk et al., 2015](#)). An alternative way is through the assimilation of the PMW
102 observations into snow models ([Dong et al., 2007](#); [Dziubanski and Franz, 2016](#)) or land surface

103 models (Che et al., 2014; De Lannoy et al., 2012; Kwon et al., 2017; Liu et al., 2013). For example,
104 Kumar et al. (2019) assimilated different sources of PMW SD into the Noah model (Ek et al., 2003)
105 to improve SD estimates from 1979 to 2015 for the conterminous United States (CONUS). While
106 these model-based products were demonstrated to be generally superior to stand-alone PMW SWE
107 (Cho et al., 2020; Dawson et al., 2018; Mortimer et al., 2020), their creation entails high
108 computational costs and their accuracy remains subject to questions in data scarce areas (Broxton
109 et al., 2016b; Clark et al., 2011; Rutter et al., 2009).

110 The objectives of this study are twofold. The first is to assess the complementary skills of the
111 two different PMW SWE retrievals, namely that from GCOM AMSR2 and the one based on JPSS
112 ATMS, over the CONUS. The second is to develop a lightweight, computationally efficient
113 blending algorithm that optimally combining the two satellite products and in situ observations.
114 The blending scheme has the advantage of being simple and independent of any snow model, and
115 its product can be assimilated to the latter to further improve the prediction of snow and other
116 hydrologic variables (Kumar et al., 2015; Liu et al., 2015). We choose to focus on SWE rather
117 than SD, as the former can be directly used in hydrologic analysis and predictions. The AMSR2
118 and ATMS SWE retrievals are selected for the following reasons. First, these products are based
119 on two relatively new instruments that contrast sharply in scanning and channel configurations.
120 AMSR2, like its predecessor AMSR-E, is a conical scanner measuring orthogonally polarized
121 radiation (vertical and horizontal) at specific window frequencies (Imaoka et al., 2010), whereas
122 ATMS is a cross-track scanner measuring radiation at all its channels at varying scan angles (Weng

123 [et al., 2012](#)). The second reason is that the retrieval algorithms for the two products are quite
124 different. While the AMSR2 retrieval algorithm has undergone extensive assessments ([Lee et al.,](#)
125 [2015](#); [Wang et al., 2019](#); [Zhang et al., 2017](#)), neither the ATMS SWE retrieval nor the associated
126 algorithm in MiRS has received much attention. This study is intended to fill the latter knowledge
127 gap by gauging the relative strengths of ATMS retrieval against the more established AMSR2
128 counterpart.

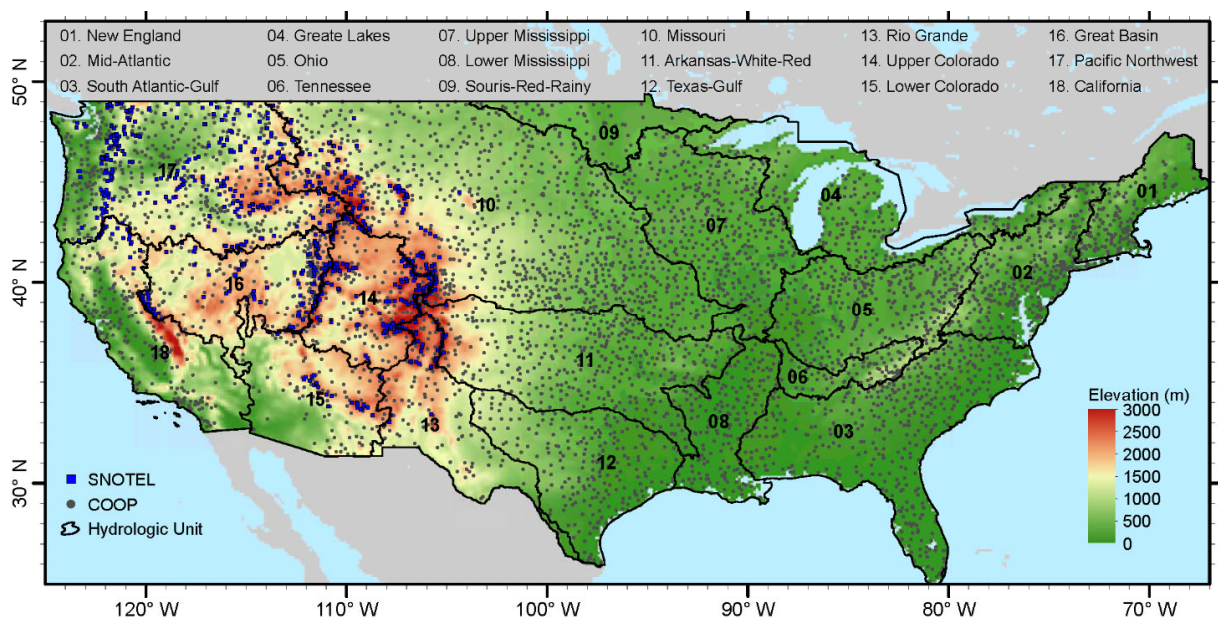
129 The primary research questions of this study are as follows. First, how do the AMSR2 and
130 ATMS SWE retrievals differ in their accuracy among different geographic regions, and what are
131 the complementary strengths (if any) of the two products? Second, how the differences in skill can
132 be attributed to differences in scanning patterns of each instrument and in the retrieval algorithms?
133 Third, can the introduction of a priori bias correction improve upon the optimal interpolation-based
134 blending scheme, which is typically employed in the field of snow analysis ([Brasnett, 1999](#); [Brown](#)
135 [et al., 2003](#); [Liu et al., 2015](#))?

136 The rest of this paper is structured as follows. Section 2 describes the study area, the two
137 PWM SW retrievals, and the in situ observations for analysis and validation. Section 3 offers an
138 overview of the blending algorithm, and Section 4 presents and interprets key observations
139 emerging from the evaluation. Section 5 summarizes the major findings and concludes the study.

140 **2. Study area and data**

141 Figure 1 shows the elevation and spatial distribution of Snow Telemetry (SNOTEL) and
142 Cooperative Observer Program (COOP) stations in the 18 hydrologic units (HUs) over the CONUS.

143 The research domain extends from 25° to 53° north and 125° to 67° west with a 0.125° grid spacing,
 144 which is same as the North American Land Data Assimilation System (NLDAS; Mitchell et al.,
 145 2004). The elevation data were aggregated from the 30 arc second Global Multi-resolution Terrain
 146 Elevation Data (GMTED2010; Danielson and Gesch, 2011). We acquired daily in situ observations
 147 and satellite retrievals over the CONUS for the main snow seasons of the water years 2017–2019
 148 (i.e., 11/01/2016–06/30/2017, 11/01/2017–06/30/2018, and 11/01/2018–06/30/2019).



149
 150 **Figure 1.** Elevation and spatial distribution of SNOTEL and COOP stations in the 18 hydrologic
 151 units over the CONUS.

152 **2.1. SNOTEL SWE observations**

153 SNOTEL is an automated network of stations that records snow and meteorological variables
 154 in the western US (Serreze et al., 1999). It provides reliable and quality-controlled data for over
 155 800 high-elevation mountain stations in 12 states. At each station, a snow pillow measures how
 156 much water is in the snowpack by weighing the snow with a pressure transducer and the weight of

157 snow is then converted into the SWE. We downloaded daily SWE data for 789 stations excluding
158 those in Alaska from the Natural Resources Conservation Service (NRCS)
159 (<https://www.wcc.nrcs.usda.gov/snow>).

160 **2.2. COOP SWE observations**

161 Because of the limited spatial coverage of SNOTEL SWE observations, additional
162 observations of SD were collected from the National Weather Service (NWS) COOP network,
163 which consists of more than 8000 active stations across the US. We acquired COOP SD data for
164 5073 stations from Iowa State University (<https://mesonet.agron.iastate.edu/COOP>). They were
165 then converted to SWE using the snow bulk density method (Sturm et al., 2010) considering the
166 effects of SD, snow aging, and snow cover classes as

$$167 \quad \rho_{h_i, DOY_i} = (\rho_{max} - \rho_0) [1 - e^{(-k_1 \times h_i - k_2 \times DOY_i)}] + \rho_0 \quad (1)$$

168 where ρ_{max} is the maximum bulk density, ρ_0 is the initial density, and k_1 and k_2 are densification
169 parameters for snow depth h_i and day of the year (DOY). DOY runs from -92 (1 October) to +181
170 (30 June) with no 0 value in the Northern Hemisphere. The parameter values of ρ_{max} , ρ_0 , k_1 , and
171 k_2 for five snow cover classes as alpine, maritime, prairie, tundra, and taiga can be found in Table
172 4 of Sturm et al. (2010). The $0.5^\circ \times 0.5^\circ$ global snow cover classes climatology map were acquired
173 from the Arctic Data Center (<https://arcticdata.io/catalog/view/doi:10.5065/D69G5JX5>) and
174 remapped to the research domain using nearest neighbor interpolation. This dataset divides the
175 world's seasonal snowpack into six classes as tundra, taiga, maritime, ephemeral, prairie, and
176 alpine snow, based on physical properties such as depth, density, thermal conductivity, number of

177 layers, and degree of wetting (Sturm et al., 1995). We set the ephemeral snow to the prairie snow
178 since there are no parameter values for this class of snow in Sturm et al. (2010).

179 **2.3. ATMS SWE retrieval**

180 ATMS was launched in October 2011 onboard the National Oceanic and Atmospheric
181 Administration (NOAA) Suomi National Polar-orbiting Partnership (S-NPP) satellite. It is the next
182 generation cross-track microwave sounder with 22 channels that spans the frequency range from
183 23.8 to 183 GHz. ATMS observes from 824 km above the Earth with the scan angular span of
184 $\pm 52.77^\circ$ relative to nadir and obtains data over an approximately 2600 km swath. It provides two
185 observations daily for a given location from a sun-synchronous orbit. Note that the resolution of
186 the ATMS observations declines at larger scan angles due to the expanded field of view. A detailed
187 description of the instrument characteristics of ATMS can be found in Weng et al. (2012).

188 The ATMS SWE product is retrieved using NOAA's MiRS, an operational microwave
189 retrieval platform based on the one-dimensional variational (1DVAR) inversion algorithm
190 (Boukabara et al., 2011). A detailed description of the MiRS is given by Liu et al. (2016). A
191 flowchart of the ATMS SWE retrieval algorithm is presented in Figure 2. There are five primary
192 steps to the retrieval algorithm:

193 Step 1. The raw sensor radiance observations are footprint matched using a footprint
194 averaging/resampling algorithm to ensure that all channels for the retrieval view the same
195 location on the Earth (Kongoli et al., 2011).

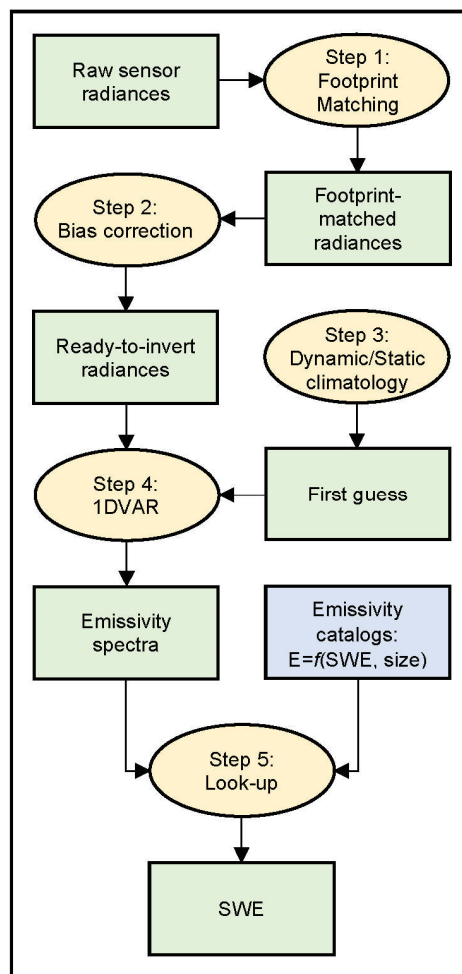
196 Step 2. The radiance observations are bias corrected to reduce the influences of cloud, precipitation
197 and coastal contamination, by adjusting the histogram of the T_b difference between
198 simulated and actual measurements to make it centered about zero (Liu et al., 2016).

199 Step 3. A first guess for the 1DVAR retrieval is generated, which comes either from a dynamic
200 climatology varying with location, time of year, and time of day (temperature profile,
201 water vapor profile, and skin temperature), or a combination of fixed climatology and
202 regression (emissivity and hydrometeors).

203 Step 4. The 1DVAR is employed to assimilate the preprocessed radiance observations at multiple
204 ATMS channels using the CRTM (Han et al., 2006) as the forward model to retrieve
205 surface emissivity, along with all other key atmospheric and surface parameters
206 simultaneously.

207 Step 5. A post-processing step is performed to compare the retrieved emissivity spectra with those
208 from a precomputed catalog that relates surface emissivity to snowpack properties to find
209 the closest match. The primary channels used in the catalog search are ATMS channels 1,
210 2, 3, and 16 (i.e., 23.8, 31.4, 50.3, and 88.2 GHz, respectively). The catalog is generated
211 offline from a dense medium radiative transfer snow emissivity model which accounts for
212 the dielectric properties of ice grains and assumes a constant snow density of 0.25 g/cm^3
213 (Weng et al., 2001). The catalog search of SWE subjects to additional constraints based
214 on a temporally and spatially varying SWE climatology. A correction based on forest
215 fraction is also applied to the emissivity spectrum prior to the catalog search.

216 We acquired ATMS level 2 SWE data from NOAA’s Comprehensive Large Array-data
 217 Stewardship System (CLASS) (<https://www.bou.class.noaa.gov>). Level 2 data at the raw satellite
 218 observation field of views were binned into gridded fields of daily SWE on a resolution of 0.125°
 219 latitude/longitude as in our research domain.



220
 221 **Figure 2.** Flowchart of the ATMS SWE retrieval algorithm.

222 **2.4. AMSR2 SWE retrieval**

223 AMSR2 was launched in May 2012 onboard the Japanese Aerospace Exploration Agency
 224 (JAXA) GCOM – Water (GCOM-W1) satellite. It is a sensor to observe microwave radiation

225 emitted naturally from the Earth surface and the atmosphere, using six different frequency bands
 226 ranging from 6.9 to 89 GHz. AMSR2 observes from about 700 km above the Earth with a nominal
 227 incidence angle of 55° and obtains data over a 1450 km swath. This conical scan mechanism
 228 enables AMSR2 to acquire a set of daytime and nighttime data at a nearly constant spatial
 229 resolution over more than 99% coverage of the Earth every two days. A detailed description of the
 230 instrument characteristics of AMSR2 can be found in [Imaoka et al. \(2010\)](#).

231 The retrieval algorithm of AMSR2 follows that of AMSR-E with higher spatial resolution.
 232 The SD algorithm separates out a retrieval for two classes as forest and non-forest and weights the
 233 summed estimate base on the fractional content of both classes in a grid cell ([Kelly, 2009](#)). The
 234 equation to calculate the SD is give as

$$235 \quad SD = ff \times SD_f + (1 - ff) \times SD_{nf} \quad (2)$$

236 where SD_f is the SD for forested component, SD_{nf} is the SD for non-forested component, and ff
 237 is the forest fraction ranging from 0 to 0.75. For the forested component, the Tb difference between
 238 the 18.7 and 36.5 GHz channels forms the basis; while for the non-forested component, the Tb
 239 difference between the 10.7 and 36.5 GHz channels retrieves moderate snow and that between the
 240 10.7 and 18.7 GHz channels retrieves deep snow. The equations to calculate the SD_f and SD_{nf} are
 241 given as

$$242 \quad SD_f = p_1 \frac{Tb_{V18.7} - Tb_{V36.5}}{1 - 0.6 \times fd} \quad (3)$$

$$243 \quad SD_{nf} = p_1(Tb_{V10.7} - Tb_{V36.5}) + p_2(Tb_{V10.7} - Tb_{V18.7}) \quad (4)$$

244 where

245
$$p_1 = \frac{1}{\log_{10}(Tb_{V36.5} - Tb_{H36.5})} \quad (5)$$

246
$$p_2 = \frac{1}{\log_{10}(Tb_{V18.7} - Tb_{H18.7})} \quad (6)$$

247 and fd is the forest density ranging from 0 to 1. Accordingly, subscript alphabets V and H denote
 248 vertical and horizontal polarization, respectively, and subscript numbers stand for corresponding
 249 frequencies. A nominal SD of 5 cm is assigned to shallow snow since it is challenging to get robust
 250 estimation of SD (Kelly, 2009). The shallow snow is detected by the following threshold

251
$$\begin{aligned} Tb_{V89} < Tb_{V23.8} \ \& \ Tb_{H89} < Tb_{H23.8} \\ Tb_{V89} < 255 \ K \ \& \ Tb_{H89} < 255 \ K \\ T_{ss} < 267 \ K \end{aligned} \quad (7)$$

252 where T_{ss} is the snowpack surface temperature.

253 We acquired AMSR2 level-2 global SD data at the raw satellite observation field of views
 254 from the JAXA Global Portal System (G-Portal; <https://gportal.jaxa.jp/gpr>) and binned them into
 255 gridded fields of daily SD on a resolution of 0.125° latitude/longitude as in our research domain.
 256 The AMSR2 SD was then converted to SWE using the same snow bulk density method (Sturm et
 257 al., 2010) as in COOP SWE conversion.

258 **2.5. IMS snow cover analysis**

259 The Interactive Multisensor Snow and Ice Mapping System (IMS; Helfrich et al., 2007;
 260 Ramsay, 1998), provides snow and ice cover maps for the Northern Hemisphere from February
 261 1997 to the present at 1 km, 4 km, and 14 km resolutions. This dataset is derived from a variety of
 262 data products including satellite imagery and in situ data. We downloaded the IMS daily 4 km
 263 resolution Northern Hemisphere snow and ice analysis dataset from the National Snow & Ice Data

264 Center (<https://nsidc.org/data/g02156>). We then remapped this dataset to our research domain
265 using majority resampling. IMS was used to mask the PMW SWE, since it is more reliable for
266 snow cover estimation (Brown et al., 2007; Chen et al., 2012).

267 **2.6. SNODAS SWE analysis**

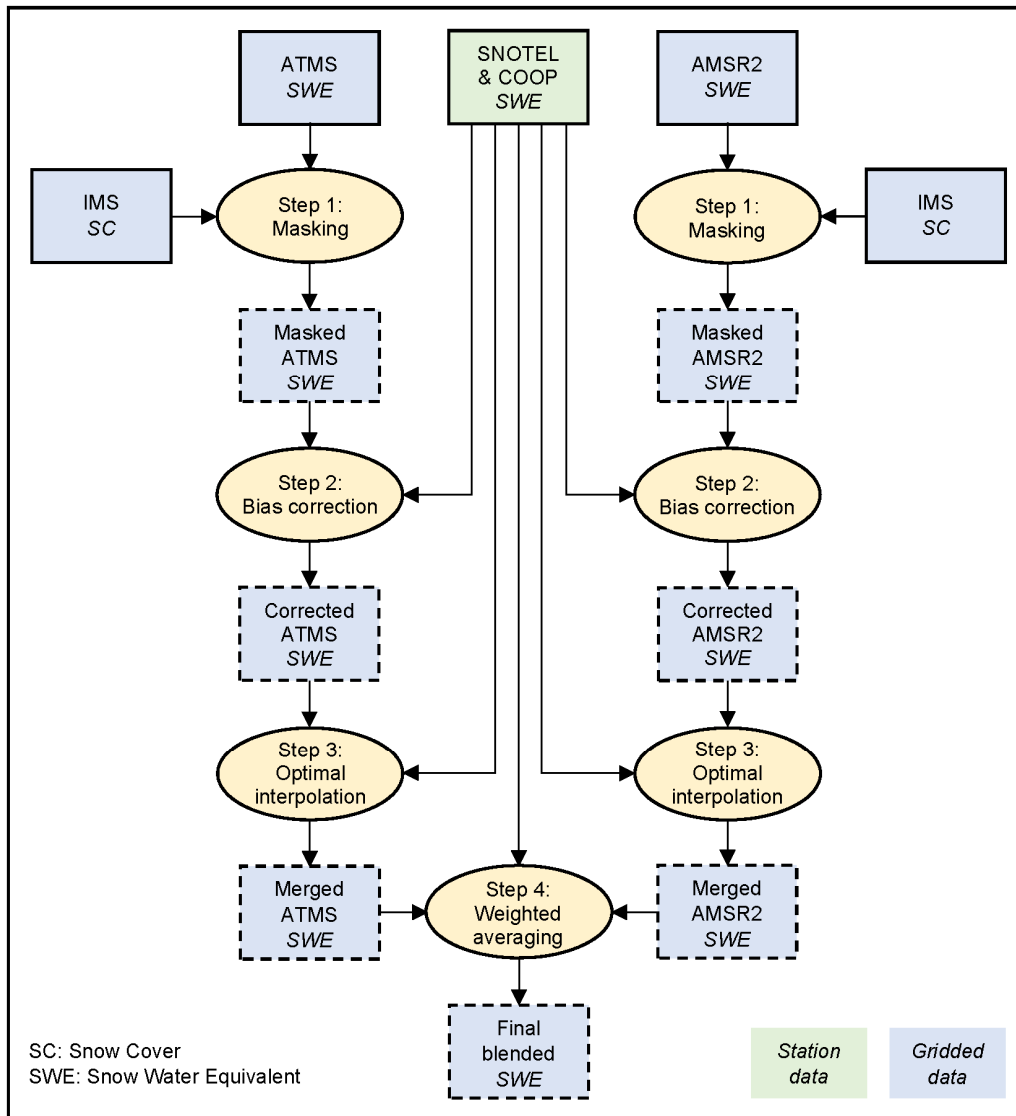
268 The SNOW Data Assimilation System (SNODAS) is a modeling and data assimilation system
269 developed by the National Operational Hydrologic Remote Sensing Center (NOHRSC) to provide
270 daily estimates of SWE and other snow properties over the CONUS at a resolution of 1 km from
271 1 October 2003 to the present (<https://nsidc.org/data/G02158>). It integrates snow data from satellite,
272 airborne platforms, and ground stations (including SNOTEL and COOP) with model estimates of
273 snow cover and other snowpack properties (Carroll et al., 2001). On a regular basis, operators use
274 the SNODAS platform to compute the differences between station observations and collocated
275 model estimates. These differences undergo spatial interpolation and the interpolated fields are
276 used to correct the model estimates. SNODAS is a widely used operational product and often serve
277 as the reference for assessing other blended or model-simulated snowpack quantities (Tedesco and
278 Narvekar, 2010). It is, however, worth noting that SNODAS product can be subject to errors in
279 model physics as well as those in short-term forecasts and analysis that serve as forcing to the
280 model (Lv et al., 2019). In this study, we remapped SNODAS dataset to our research domain by
281 averaging the 1-km resolution values within each of the 0.125° grid cell. It was then used as a
282 benchmark in examining the spatial distribution of ATMS, AMSR2 and blended SWE products.

283 3. Blending algorithm framework

284 The algorithm framework for blending satellite retrievals with in situ observations is shown
285 in Figure 3. The first step is to mask the PMW SWE with the IMS snow cover maps. Namely, the
286 PMW SWE retrievals are retained only over snow covered grids in order to remove possible false
287 alarms; in addition, any grids that are seen to be snow covered in IMS but not detected by the
288 PMW retrievals are filled with 5 mm of SWE. The outcome from the masking then undergoes the
289 following processing steps: i) bias correction, ii) optimal interpolation, and iii) weighted averaging.
290 We designed nine comparative experiments (Table 1) to investigate the effect of each step on the
291 accuracy of the resulting product. Exp1 and Exp2 are experiments for the raw ATMS and AMSR2
292 SWE products, respectively, and Exp3 to Exp9 are different blending experiments. In each
293 blending experiment, one, or a combination of processing steps is applied to zero to two satellite
294 retrieval(s) in conjunction with the in situ observations.

295 **Table 1.** Design of comparative experiments.

No.	Background	Bias correction	Optimal interpolation	Weighted averaging
Exp1	ATMS	no	no	no
Exp2	AMSR2	no	no	no
Exp3	N/A	no	yes	no
Exp4	ATMS	no	yes	no
Exp5	AMSR2	no	yes	no
Exp6	ATMS	yes	yes	no
Exp7	AMSR2	yes	yes	no
Exp8	ATMS and AMSR2	no	yes	yes
Exp9	ATMS and AMSR2	yes	yes	yes



296
297 **Figure 3.** The algorithm framework for blending satellite retrievals with in situ observations.

298 **3.1. Bias correction: CDF matching**

299 In existing literature, bias in satellite SD/SWE products can be corrected through optimal
300 interpolation, whereby the satellite estimate is the background (or the first guess) and subsequently
301 blended with in situ data increments from surrounding stations (Kongoli et al., 2019; Liu et al.,
302 2015). However, the robustness of optimal interpolation as a bias correction mechanism is
303 debatable. A separate bias correction is often done prior to multisensory blending in precipitation

304 analysis (Seo et al., 2000; Seo and Breidenbach, 2002; Xie and Xiong, 2011) and soil moisture
305 analysis (Liu et al., 2011). In order to assess the potential impact of bias correction applied to
306 satellite SWE prior to blending, we introduce a bias correction method based on cumulative
307 distribution function (CDF) matching (Xie and Xiong, 2011). To this end, collocated pairs of in
308 situ and satellite data are collected over a spatial domain of 120 km radius and a vertical distance
309 of 800 m centered at the target grid cell and over a time period of 30 days, ending at the target date.
310 The CDFs are then calculated for the satellite and in situ data, respectively. The initial data
311 collection domain of 120 km radius is expanded when necessary until no less than 600 pairs of
312 data (20 stations \times 30 days) are collected to ensure stable CDFs. Under the assumption that the
313 SWE at a percentage point in the CDF table for the satellite retrievals should be the same as that
314 for the in situ observations, the bias of satellite retrievals at specific percentage point p for the
315 target grid cell k can be corrected by

$$316 \quad \hat{S}_{k,p} = S_{k,p} + (\tilde{O}_{k,p} - \tilde{S}_{k,p}) \quad (8)$$

317 where $\hat{S}_{k,p}$ and $S_{k,p}$ are the corrected and raw satellite retrievals, respectively; $\tilde{O}_{k,p}$ and $\tilde{S}_{k,p}$ are
318 the SWE values at the percentage point p in the CDF table for in situ observations and satellite
319 retrievals, respectively.

320 **3.2. Optimal interpolation**

321 Optimal interpolation, or OI (Gandin, 1965) was reported to provide the most accurate and
322 stable analyses among several popular objective analysis methods (Chen et al., 2008). In our
323 algorithm, bias-corrected satellite retrievals are merged with in situ observations using the optimal

324 interpolation algorithm as in [Brasnett \(1999\)](#). The final analysis \hat{S}_k at a target grid cell k is
 325 obtained by adjusting the background S_k using observations and backgrounds at the surrounding
 326 stations:

$$327 \quad \hat{S}_k = S_k + \sum_{i=1}^n w_i (O_i - S_i) \quad (9)$$

328 where O_i is the observation at station i ; S_i is the background at the grid cell where station i is
 329 located; n is the number of stations used for interpolation; and w_i is the optimum weight
 330 associated with station i for calculating the adjustment to the grid cell k . The weight vector \mathbf{w} is
 331 calculated as follows:

$$332 \quad \mathbf{w} = (\mathbf{P} + \mathbf{O})^{-1} \mathbf{q} \quad (10)$$

333 where \mathbf{P} is the correlation coefficient matrix of background errors between all pairs of surrounding
 334 stations; \mathbf{O} is the covariance matrix of observational errors normalized by the background error
 335 variance between all pairs of surrounding stations; and \mathbf{q} is the correlation coefficient vector of
 336 background errors between the surrounding stations and the target grid cell.

337 The correlation coefficients of \mathbf{P} and \mathbf{q} are assumed to have the form

$$338 \quad \mu_{ij} = \alpha(\Delta r_{ij}) \beta(\Delta z_{ij}) \quad (11)$$

339 with the horizontal and vertical correlation functions calculated following Eq. (5) and (6),
 340 respectively

$$341 \quad \alpha(\Delta r_{ij}) = (1 + c\Delta r_{ij}) \exp(-c\Delta r_{ij}) \quad (12)$$

$$342 \quad \beta(\Delta z_{ij}) = \exp\left[-(\Delta z_{ij}/h)^2\right] \quad (13)$$

343 where Δr_{ij} and Δz_{ij} denote the horizontal and vertical separations between points i and j ,
 344 respectively, with $i = 1, 2, \dots, n$ and $j = 1, 2, \dots, n$ for calculating the correlation coefficients in \mathbf{P} ,
 345 and $i = 1, 2, \dots, n$ and $j = k$ for calculating the correlation coefficients in \mathbf{q} ; c and h are two
 346 constants that prescribe the horizontal and vertical length scales, respectively. Here, we set c to
 347 0.018 km^{-1} (corresponding to an e -folding distance of 120 km) and h to 800 m following [Brasnett](#)
 348 [\(1999\)](#).

349 To address the influence of terrain aspect (measured clockwise in degrees from north) on the
 350 snowpack, we derived the 0.125° terrain aspect map from the aggregated GMTED2010 elevation
 351 data and categorized the grid cells into north-facing (aspect $\leq 90^\circ$ or aspect $\geq 270^\circ$) and south-
 352 facing ($90^\circ < \text{aspect} < 270^\circ$) slopes following [Liu et al. \(2015\)](#). Surrounding stations sharing the
 353 same slopes with the target grid cell are incorporated in optimal interpolation. This constraint
 354 condition of terrain aspect is applied only to grid cells that have an elevation higher than 900 m as
 355 identified in latter analysis that ATMS and AMSR2 have poor performance in these areas.

356 **3.3. Weighted averaging**

357 To further leverage the spatially varying skills of satellite-based merged products, the in situ-
 358 satellite merged SWE based on ATMS and AMSR2 retrievals are combined by weighted averaging

$$359 \quad \bar{S}_k = \sum_{i=1}^n w_{k,i} \hat{S}_{k,i} \quad (14)$$

360 where $\hat{S}_{k,i}$ denotes the in situ-satellite merged SWE at the k th grid using the i th satellite product;
 361 $w_{k,i}$ is the optimal weight which can be determined by the reciprocal of mean squared error as

$$362 \quad w_{k,i} = \frac{1}{\sigma_{k,i}^2} / \sum_{i=1}^n \frac{1}{\sigma_{k,i}^2} \quad (15)$$

363 where $\sigma_{k,i}^2$ is the mean squared error calculated based on the collocated pairs of in situ and satellite
364 data over a spatial domain of 120 km radius and a vertical distance of 800 m centered at the target
365 grid cell k and over a time period of 30 days, ending at the target date.

366 **4. Results and discussion**

367 In this section we first present the outcomes from the comparison of ATMS and AMSR2 SWE
368 retrievals, then we describe the results of cross-validation experiments with a focus on the
369 differential skills of products generated through each scheme. In the end we further compare the
370 best product as determined from the cross-validation experiments with SNODAS analysis.

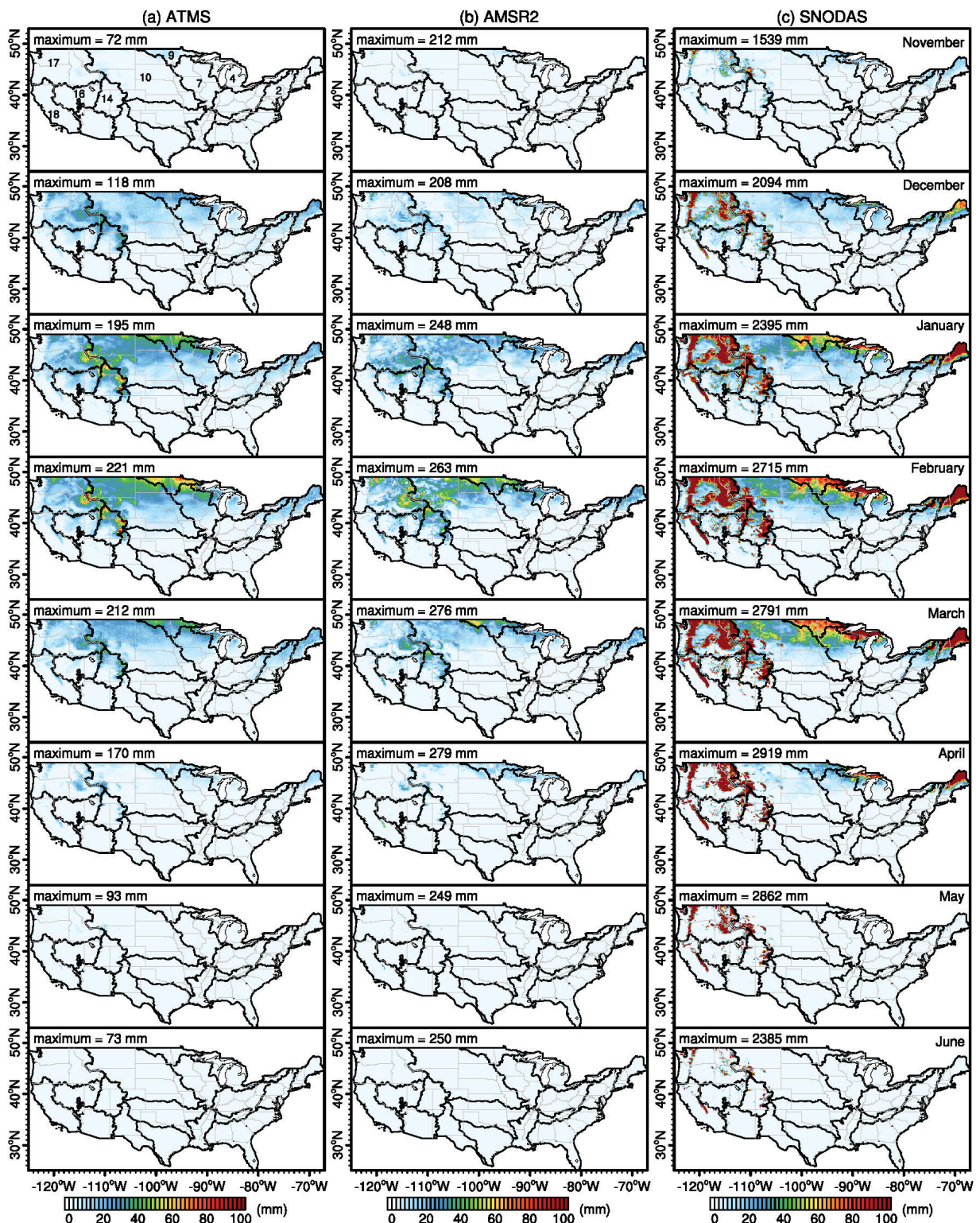
371 **4.1. Evaluation of the PMW SWE retrievals**

372 The relative accuracy and error sources of ATMS and AMSR2 SWE retrievals are examined
373 in the following respects: a) geographic variations and associated seasonal contrasts; b) temporal
374 dynamics of snowpack; c) influences of terrain, vegetation and SD; and d) potential sources of
375 error. Results for each aspect are presented below.

376 **4.1.1. Geographic and seasonal variation**

377 Figure 4 shows the geographic distribution of multi-year (i.e., water years 2017–2019) mean
378 daily SWE in different months for ATMS, AMSR2 and SNODAS. SWE retrievals from ATMS and
379 AMSR2 agree well in the large-scale spatial patterns, but both largely underestimate SWE, as
380 compared to SNODAS, in the north-central and northeastern US as well as in the Intermountain
381 West. This is consistent with the findings of several other studies in which PMW retrievals were
382 found lower than SNODAS analysis in the presence of complex terrain, high forest cover, deep

383 snowpack, and snow ablation ([Tedesco and Narvekar, 2010](#); [Vuyovich et al., 2014](#)). The maximum
384 daily SWE for different months ranges from 72 to 221 mm for ATMS and from 208 to 279 mm for
385 AMSR2, which are much lower than the range exhibited by SNODAS product (1539–2919 mm).
386 This suggests that ATMS saturates around 220 mm SWE and AMSR2 saturates around 280 mm
387 SWE, most likely because the Tb at one of the primary retrieval channels (31.4 GHz for ATMS
388 and 36.5 GHz for AMSR2) is no longer sensitive to increasing SWE ([Hancock et al., 2013](#)).
389 Although the maximum daily SWE of ATMS is lower than that of AMSR2 for all months, the
390 mean daily SWE of ATMS is generally higher than that of AMSR2 over almost all regions in all
391 months. The exceptions are regions of the Northern Plains in February and over the western Souris-
392 Red-Rainy region in March. ATMS and AMSR2 capture the relatively high SWE from January to
393 March over the Souris-Red-Rainy region, the Northern Plains, and the Rockies. Both PMW SWE
394 products have better performance over the Northern Plains because this region is relatively flat and
395 consists of mostly open prairie or farmland, where the snowpack has limited melt-refreeze effects
396 ([Josberger and Mognard, 2002](#)). On the other hand, both products fail to track the shallow snow in
397 November–December over the New England region, the Great Lakes region, the Rockies, the
398 Cascade, and the Sierra Nevada, due to the weak scattering of shallow snow, which is difficult to
399 be detected by PMW sensors ([Foster et al., 2011](#)). Meanwhile, they also fail to track the wet snow
400 in March–June over these regions because meltwater in the snowpack significantly reduces the
401 scattering signal compared with dry snow, resulting in a decrease of the high- and low-frequency
402 Tb difference ([Dawson et al., 2018](#); [Foster et al., 2005](#)).

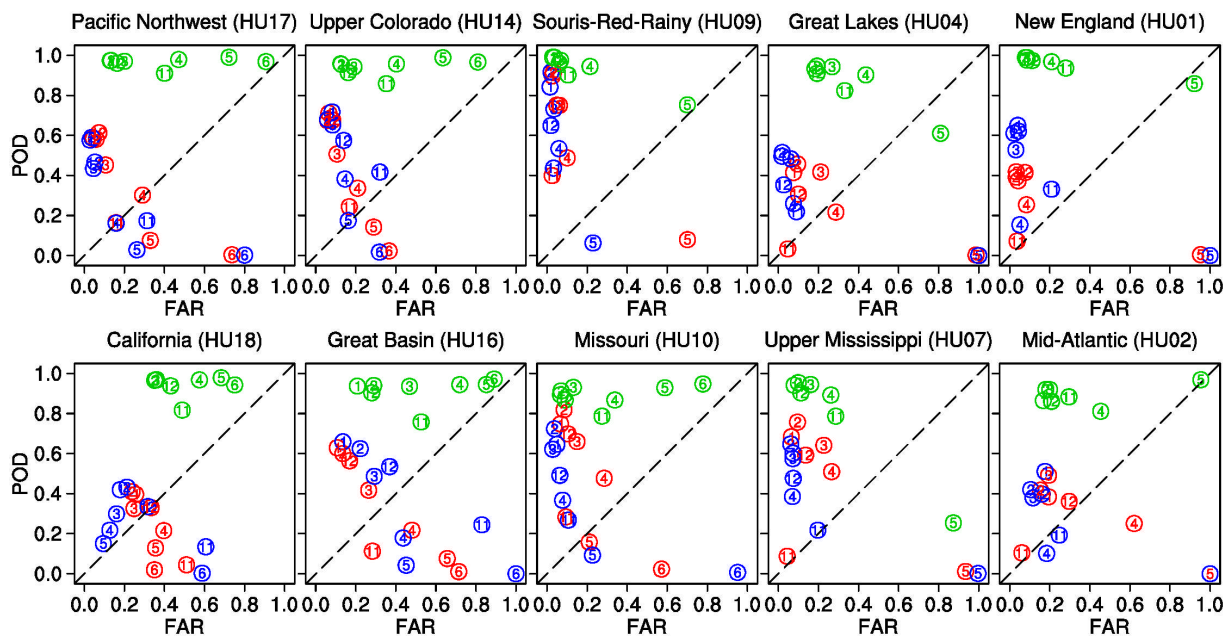


403

404 **Figure 4.** Geographic distribution of multi-year (i.e., water years 2017–2019) mean daily SWE in
 405 different months. Text at the top of each subfigure shows the maximum daily SWE in that month.

406 We also evaluated the ATMS, AMSR2, and SNODAS against the IMS snow cover analysis
407 for the water years 2017–2019 to check their reliability in detecting snow cover. Grid cells were
408 classified as snow or non-snow covered based on a SWE threshold of 1 mm as in [Brown et al.](#)
409 [\(2007\)](#). Figure 5 shows the false alarm ratio (FAR) versus probability of detection (POD) in
410 different months over ten snow covered HUs. Overall, both PMW products underperform
411 SNODAS analysis in snow detection, which demonstrates the need for an accurate snow mask
412 (such as the IMS snow cover analysis) before blending PMW products with the in situ observations.
413 One caveat is that the high POD in SNODAS could be due to overestimation in some cases, as
414 indicated by the high FAR for November and April–June as well as over parts of the Intermountain
415 West (HUs 16–18) and the Great Lakes region (HU 4). The PMW products have clear issues in
416 observing snow cover in April–June due to snow ablation (particularly increasing water content
417 due to melting) and for almost all months over the complex terrain in the Sierra Nevada (HU 18).
418 ATMS and AMSR2 clearly exhibit complementary snow cover detecting skills. In general,
419 AMSR2 shows better detection skills over the New England region (HU 1) and the Great Lakes
420 region (HU 4), but for some of the regions, including the Upper Mississippi (HU 7), the Souris-
421 Red-Rainy (HU 9), the Missouri (HU 10), and the Pacific Northwest (HU 17), ATMS exhibits
422 slightly higher detection skills. On the other hand, higher incidence of false alarms is seen in ATMS
423 product for most of the study regions, and is particularly pronounced for April–May and over the
424 east of the Rockies (HUs 1, 2, 4, 7, 9, and 10). These differences can be explained by the fact that
425 ATMS SWE retrieval has on the average a lower resolution than the AMSR2 counterpart. As

426 indicated earlier, the resolution of ATMS is lower than AMSR2 and it tends to decline at wider
 427 scanning angle. As the size of the grid pixel increases, it is more likely to encompass areas that are
 428 snow-free. This effect tends to be more pronounced in regions with ephemeral and patchy snow
 429 cover, and this is why the FAR for ATMS is particularly high in April and over the east of Rockies.

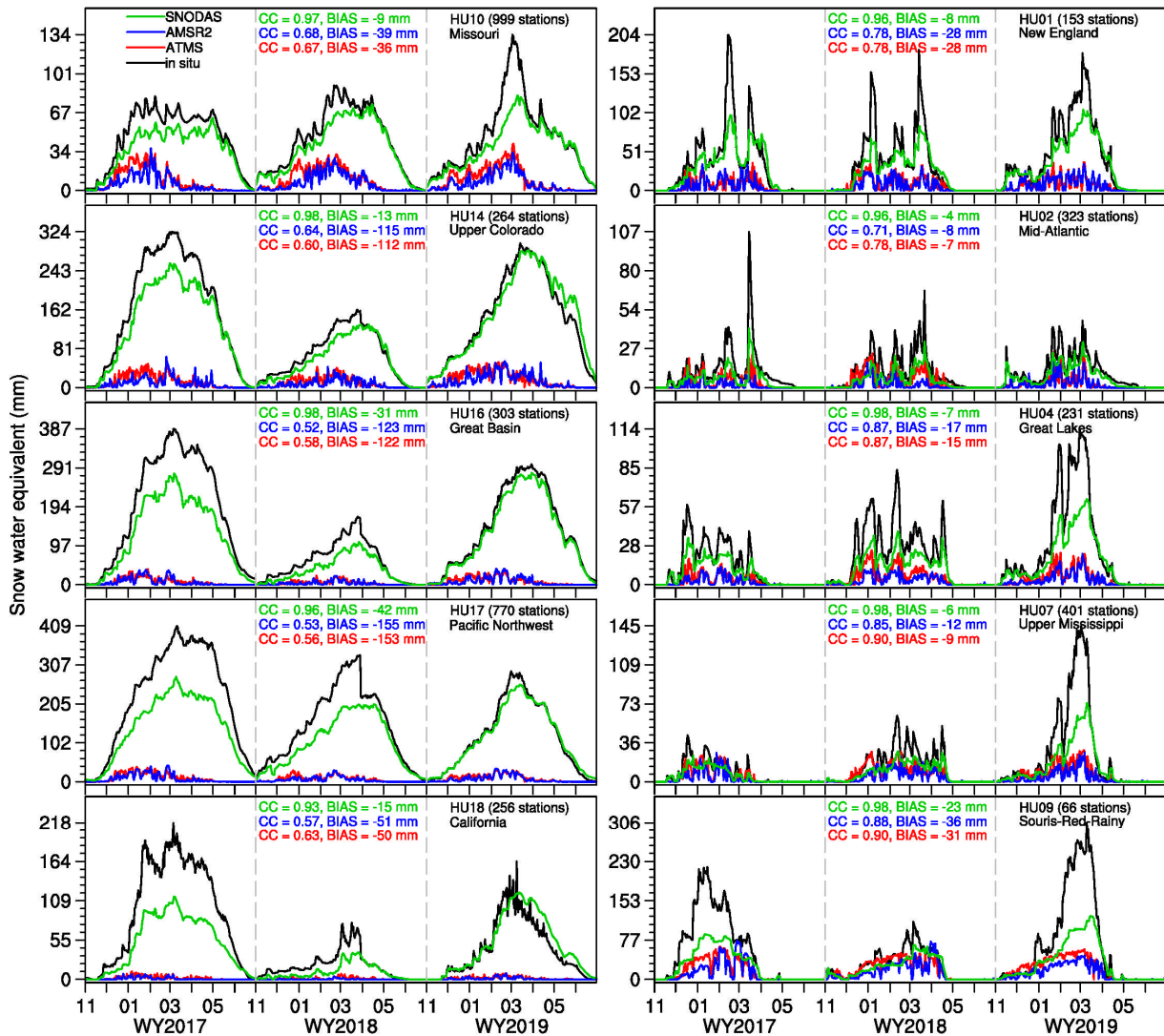


430
 431 **Figure 5.** False alarm ratio (FAR) versus probability of detection (POD) for the snow cover of
 432 ATMS (red), AMSR2 (blue), and SNODAS (green) against IMS analysis in different months over
 433 ten snow covered HUs from the western to the eastern US. FAR and POD are calculated based on
 434 the daily snow cover of the water years 2017–2019. Numbers in the circles show the months. The
 435 closer a circle is to the upper left corner, the better it estimates.

436 4.1.2. Temporal dynamics

437 Figure 6 compares the time series of multi-station mean daily SWE for ATMS, AMSR2,
 438 SNODAS, and in situ observations over ten snow covered HUs. SNODAS provides relatively
 439 accurate depiction of SWE temporal variation in all HUs, with a correlation between 0.93 and 0.98
 440 and a bias between -42 and -4 mm. At the same time, ATMS and AMSR2 perform poorly over
 441 the Mountainous West (HUs 10, 14 and 16–18); ATMS has a correlation ranging from 0.56 to 0.67

442 and a bias ranging from -153 to -36 mm and AMSR2 has a correlation ranging from 0.52 to 0.68
443 and a bias ranging from -155 to -39 mm. The initial snow accumulation phase and the abrupt end
444 of season snow melt from the PMW SWE appear to track closely the in situ observations over the
445 Northeast (HUs 1 and 2) and the Upper Midwest (HUs 4, 7, and 9), where the correlation is
446 between 0.78 and 0.90 and the bias is between -31 and -7 mm for ATMS and the correlation is
447 between 0.71 and 0.88 and the bias is between -36 and -8 mm for AMSR2. The PMW SWE peak
448 slightly earlier than the in situ data, potentially a result of sensor saturation and liquid water effect,
449 which reduces the scattering signal of the snowpack and thus limits the retrieval of SWE. Overall,
450 ATMS outperforms AMSR2 for HUs 2, 4, 7, 9, and 16–18, whereas AMSR2 SWE is more closely
451 correlated with in situ data yet it exhibits generally larger absolute bias than ATMS in HUs 10 and
452 14. These observations point to broad complementarity between ATMS and AMSR2 SWE
453 products.



454

455 **Figure 6.** Time series of multi-station mean daily SWE for the main snow seasons of the water
 456 years 2017–2019 (different water years are separated by gray dashed lines) in ten snow covered
 457 HUs. SNODAS, AMSR2, ATMS, and in situ data are denoted by green, blue, red, and black colors,
 458 respectively. CC represents correlation and BIAS represents bias.

459

460

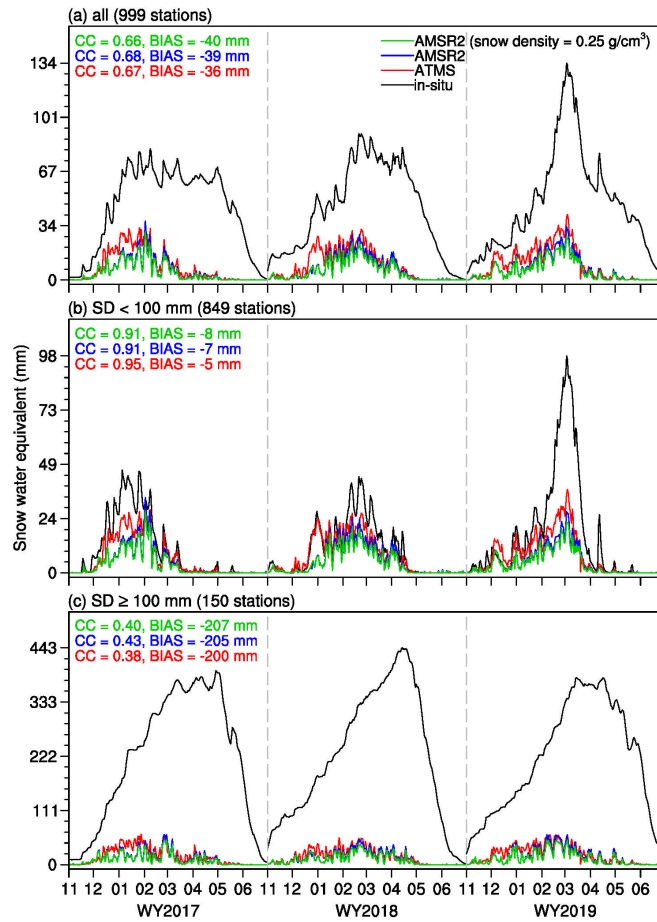
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A distinctive feature in Figure 6 is that ATMS SWE is conspicuously higher than AMSR2
 SWE in late December and early January in HUs 2, 4, 7, 9, and 10, especially for the water years
 2017 and 2018 in HU 10. As the two retrievals rely on different assumptions of snow density, we
 performed a simple analysis to assess the specific role of the differing snow density in this early
 winter contrast. We applied a constant snow density of 0.25 g/cm^3 (as in ATMS) to the AMSR2

464 SD to derive an alternative AMSR2 SWE product. In addition, AMSR2 assigns a nominal SD of
465 5 cm to shallow snow, which is quite different from the ATMS retrieval algorithm. We categorized
466 stations into two types as $SD < 100$ mm and $SD \geq 100$ mm to contrast the early winter SWE
467 difference. Figure 7 shows the multi-station mean daily SWE of AMSR2 with revised snow density
468 against those of raw AMSR2 and ATMS products for HU 10. It is clear that the snow density
469 assumption has marginal impact on the AMSR2 SWE series (i.e., slight difference in CC and BIAS
470 for the two AMSR2 products), and thus it is unlikely to be the key cause of the difference between
471 ATMS and AMSR2 SWE retrievals. The only time when this difference is relatively large is in the
472 early winter and where snow is shallow (i.e., $SD < 100$ mm stations). It therefore appears that
473 AMSR2's rather pronounced underestimation of SWE in late December and early January is more
474 likely an outcome of inappropriate treatment of shallow snow in its algorithm. More specifically,
475 AMSR2 uses the 89 GHz channel for detecting "shallow snow" as a separate class, to which a
476 minimal SD value is assigned (Kelly, 2009). However, the 89 GHz channel is more sensitive to
477 interference by atmospheric water vapor and precipitation, has lower snowpack penetration depth
478 and is noisier due to snowpack grain size variations than the lower frequency channels. During the
479 early phase of snow accumulation, this shallow snow switch is more likely to be activated,
480 resulting in large negative bias.



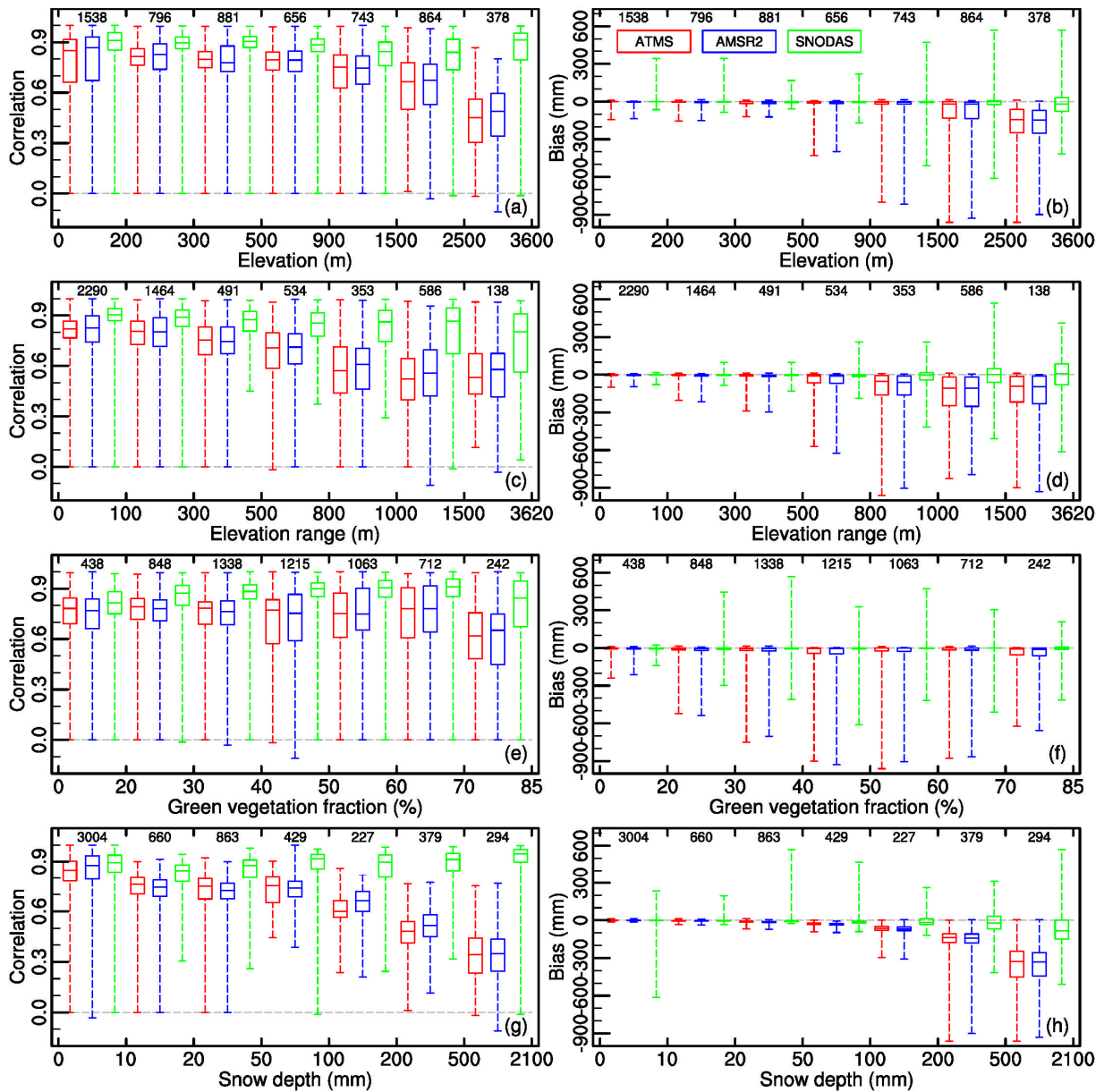
481
 482 **Figure 7.** Time series of multi-station mean daily SWE for the main snow seasons of the water
 483 years 2017–2019 (different water years are separated by gray dashed lines) in HU 10. AMSR2
 484 with constant snow density, AMSR2, ATMS, and in situ data are denoted by green, blue, red, and
 485 black colors, respectively. CC represents correlation and BIAS represents bias.

486 4.1.3. Effects of terrain, vegetation, and SD

487 The uncertainties in PMW SWE retrievals are attributable to many factors such as terrain,
 488 vegetation, and sensor saturation (Foster et al., 2005; Hancock et al., 2013), as well as snowpack
 489 properties such as snow grain size and volume fraction. We therefore compute a new set of
 490 validation statistics for ATMS, AMSR2 and SNODAS SWE products stratified by station elevation,
 491 grid elevation range, mean green vegetation fraction (GVF), and mean SD to determine the impact
 492 of each factor on SWE (Figure 8). The elevation and SD data were collected from the observation

493 stations. The elevation range within each of the 0.125° grid cell was determined using the 30 arc
494 second GMTED2010 elevation data. The GVF data were derived from the MODIS global Leaf
495 Area Index (LAI) and Fraction of Photosynthetically Active Radiation (FPAR) product
496 ([MOD15A2; Myneni et al., 2015](#)) using Weather Research and Forecasting Preprocessing System
497 (WPS).

498 As expected, SNODAS performs much better than ATMS and AMSR2 in both correlation
499 and bias because it assimilates SNOTEL and COOP data. ATMS generally has lower correlation
500 but smaller absolute bias than AMSR2, which demonstrates the complementary nature of, and thus
501 the utility in blending both products. The performance of ATMS and AMSR2 declines with
502 elevation, elevation range, GVF, and SD. Considering both correlation and bias, it is evident that
503 PMW SWE is more reliable when elevation is below 900 m, elevation range is smaller than 300
504 m, GVF is less than 20%, and SD is lower than 200 mm. Snow properties exhibit wide spatial
505 variations in high elevation areas as elevation ≥ 900 m due to complex terrain, which is difficult
506 to be captured by the coarse spatial resolution of the available PMW sensors ([Mätzler and Standley,
507 2000](#)). The difference between PMW and in situ SWE widens with the elevation range as the
508 surface heterogeneity becomes more significant ([Cho et al., 2020](#)). The masking effect of the forest
509 canopy overwhelms the scattering signal from the snowpack when $\text{GVF} \geq 20\%$, which can lead to
510 SWE underestimation ([Foster et al., 2005](#)). Additionally, there is a clear trend that the
511 underestimation of SWE becomes increasingly severe at higher SD, especially when $\text{SD} \geq 200$
512 mm; and this is reflecting the signal saturation in deep snow ([Clifford, 2010](#)).



513
 514 **Figure 8.** Box plots for correlation and bias of the daily SWE (November–June for the water years
 515 2017–2019) stratified by station elevation, grid elevation range, mean green vegetation fraction,
 516 and mean snow depth. ATMS, AMSR2, and SNODAS are represented by red, blue, and green
 517 boxes, respectively. The number above the box is the total valid number of the statistic for each
 518 class.

519 **4.1.4. Potential sources of error**

520 The similarities and differences in the performance of ATMS and AMSR2 SWE retrievals are
 521 closely linked to those in instruments as well as in the retrieval algorithms. PMW SD retrieval

522 algorithms rely on the microwave scattering signal produced by snow grains, which is manifested
523 in a decrease of emitted radiation at higher frequencies relative to lower frequencies (Kelly, 2009).
524 This decrease is a complex function of snowpack characteristics such as SD, grain size and
525 snowpack density, the latter two of which can also vary within the snow column. AMSR2 retrieval
526 algorithm, being an empirical mechanism, relates variation in T_b to SD but it does not account for
527 grain size or snowpack density explicitly. At higher SD, T_b difference among channels becomes
528 insensitive to changes in SD and this gives rise to signal saturation. Though ATMS retrieval
529 algorithm employs a different approach (i.e., assimilation of radiance into the CRTM to retrieve
530 surface emissivity spectra which in turn are interpreted through a simple snow emission model to
531 retrieve SWE), its SWE retrieval remains subject to signal saturation that arises from reduced
532 sensitivity of radiance to SD variations for deeper snowpack. In general, the relatively lower
533 correlation, lower detection rate, and higher false alarm rate for ATMS SWE are indication that its
534 retrieval mechanism, though physically based, is prone to errors arising from model
535 parameterizations and underrepresentation of processes. Possible sources of error include
536 misclassification of surface type for the a priori background spectrum in the snow emissivity,
537 errors in atmospheric temperature and moisture profiles (Boukabara et al., 2013), and errors in the
538 structure of the snow emissivity model and CRTM. The assumption of constant snow density most
539 likely degrades the performance of ATMS SWE retrieval, as it ignores the variation as a result of
540 compaction and snow metamorphism (Dawson et al., 2017). However, this impact appears limited

541 as only small difference in statistics is observed in the AMSR2 SWE when a constant density is
542 imposed.

543 The information gained can assist algorithm developers in anticipating error characteristics
544 of other SWE products, e.g., the MiRS-based Advanced Microwave Sounding Unit-A
545 (AMSUA)/Microwave Humidity Sounder (MHS) onboard the Meteorological Operational
546 Satellite (Metop)-A, B, and C (Klaes et al., 2007), as well as help users establish the circumstances
547 where each product, or a combination of the products can be effectively applied. The relative skills
548 and error characteristics serve as the basis for the blending algorithm.

549 **4.2. Cross-validation of different blended SWE products**

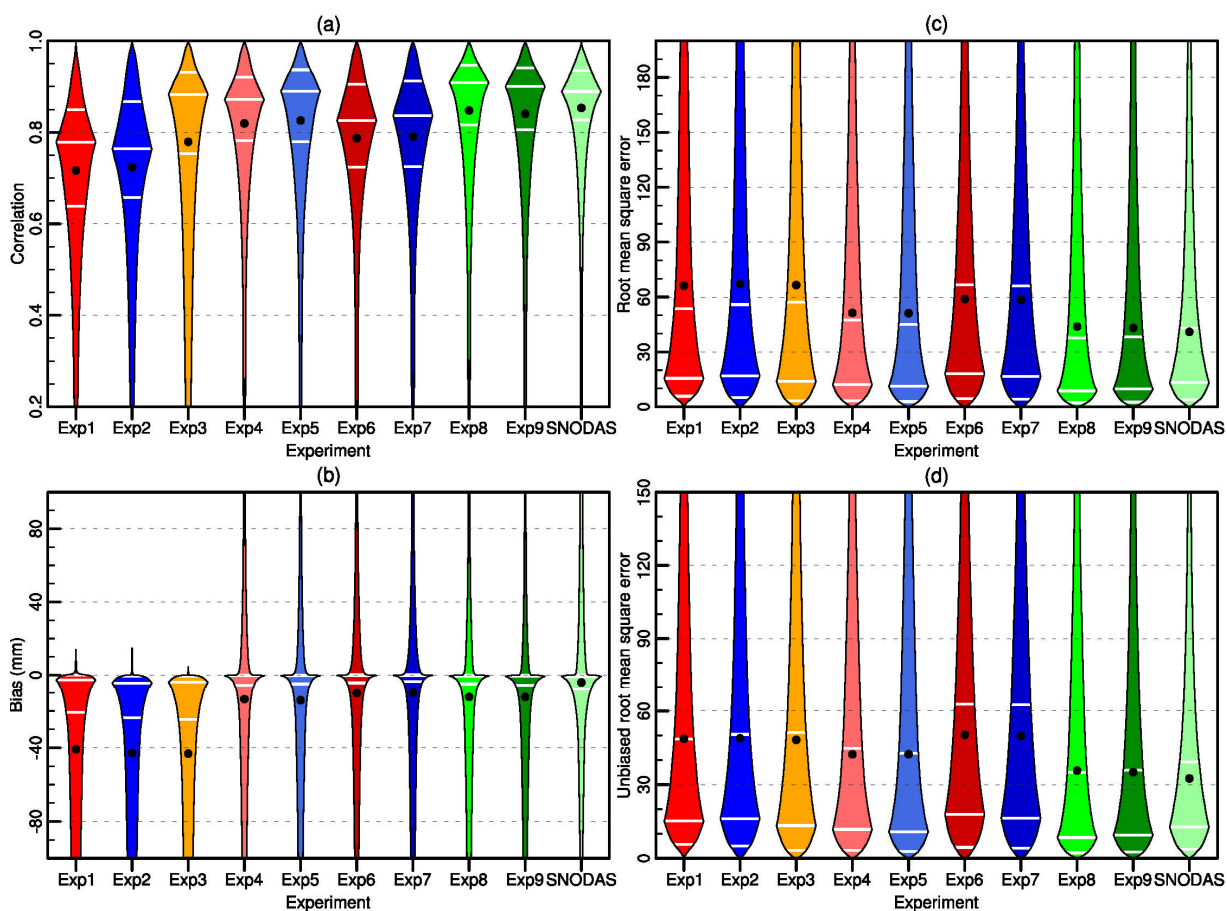
550 We performed k -fold cross-validation to assess the performance of the blended in situ-
551 satellite SWE. Such cross-validation is commonly used for validating model and observational
552 analysis (Fushiki, 2011; Gan et al., 2015). Specifically, the in situ SWE observations from about
553 $(k - 1)/k$ of the 5862 stations (789 SNOTEL and 5073 COOP stations) were used in the blending
554 process whereas the remainder $1/k$ were withheld for validation. This blending process was
555 repeated k times so that the observation at each station was withdrawn once. The blended SWE
556 analyses and corresponding statistics at the withdrawn stations were then calculated.

557 **4.2.1. Comparison of different blending schemes**

558 We first performed 10-fold cross-validation, which uses observations from 90% of stations in
559 the blending process, to assess the performances of different blending schemes. The box-percentile
560 plots for correlation, bias, root mean square error (RMSE), and unbiased RMSE of the different

561 experiments in Table 1 and the SNODAS product are shown in Figure 9. Overall, all blended
562 experiments (Exp3–Exp9) show much better performance than the raw ATMS (Exp1) and AMSR2
563 (Exp2). However, the optimal interpolation with the in situ observations alone experiment (Exp3)
564 still exhibits large negative biases and performs much worse than the optimal interpolation with
565 background experiments (Exp4 and Exp5). This confirms the utility of the satellite retrievals as
566 background, despite their deficiencies relative to in situ observations. Exp4 and Exp5 improve the
567 mean correlation from 0.72 (Exp1) to 0.82 (Exp4) for ATMS and from 0.72 (Exp2) to 0.83 (Exp5)
568 for AMSR2; meanwhile, the mean bias is improved from -41 mm (Exp1) to -14 mm (Exp4) for
569 ATMS and from -43 mm (Exp2) to -14 mm (Exp5) for AMSR2. Compared to the ATMS and
570 AMSR2 SWE, the experiments with both bias correction and optimal interpolation (Exp6 and
571 Exp7) show improved mean correlation, i.e., from 0.72 (Exp1) to 0.79 (Exp6) for ATMS and from
572 0.72 (Exp2) to 0.79 (Exp7) for AMSR2; meanwhile, the mean bias is improved from -41 mm
573 (Exp1) to -10 mm (Exp6) for ATMS and from -43 mm (Exp2) to -10 mm (Exp7) for AMSR2. It
574 is noted that the absolute bias would be reduced even more but the correlation would be improved
575 relatively less when bias correction was applied before optimal interpolation. The weighted
576 average of Exp4 and Exp5, i.e. Exp8, has higher correlation (with a mean value of 0.85) and
577 smaller absolute bias (with a mean value of -12 mm) than both Exp4 and Exp5; while Exp9, which
578 is the weighted average of Exp6 and Exp7, has much higher correlation (with a mean value of 0.84)
579 but slightly larger absolute bias (with a mean value of -12 mm) than both Exp6 and Exp7.
580 Compared to the ATMS and AMSR2 SWE, Exp8 increases the mean correlation by 18% and 17%,

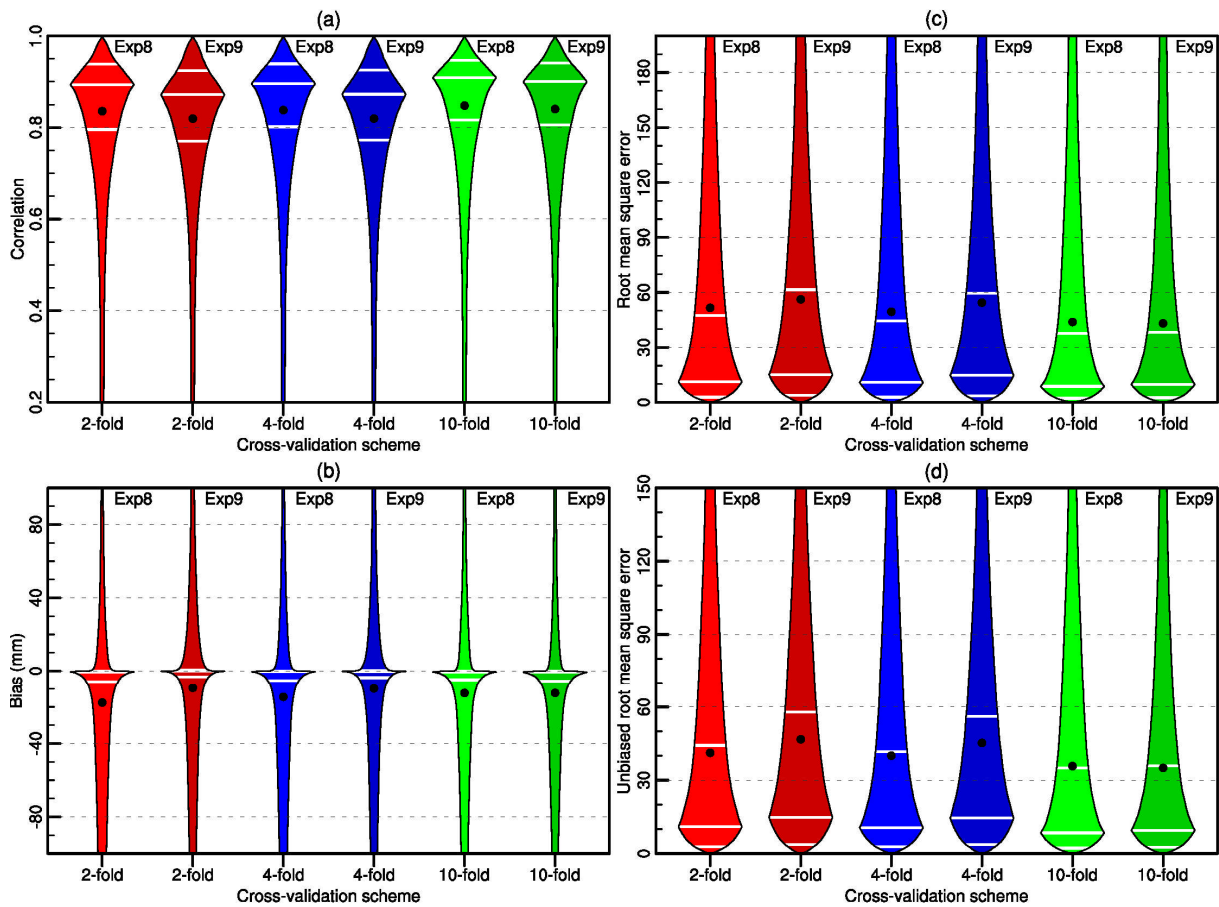
581 respectively, and reduces the mean bias by 70% and 71%, respectively; while Exp9 increases the
 582 mean correlation by 17% and 16%, respectively, and reduces the mean bias by 70% and 71%,
 583 respectively. Over 75% stations have a correlation higher than 0.80 and over 65% stations have an
 584 absolute bias smaller than 10 mm for Exp8 and Exp9, which are better than all the other
 585 experiments and are comparable to SNODAS SWE product.



586
 587 **Figure 9.** Box-percentile plots for (a) correlation, (b) bias, (c) RMSE, and (d) unbiased RMSE of
 588 the different experiments in Table 1 and the SNODAS product. Exp1 and Exp2 are experiments
 589 for the raw ATMS and AMSR2 SWE, respectively. Exp3 to Exp9 are different blending
 590 experiments with 10-fold cross-validation scheme. In each box, the black dot represents the mean
 591 value and the white lines from top to bottom represent 75%, 50%, and 25% percentiles,
 592 respectively. The width of the box shows the distribution of the data.

593 **4.2.2. Impact of bias correction**

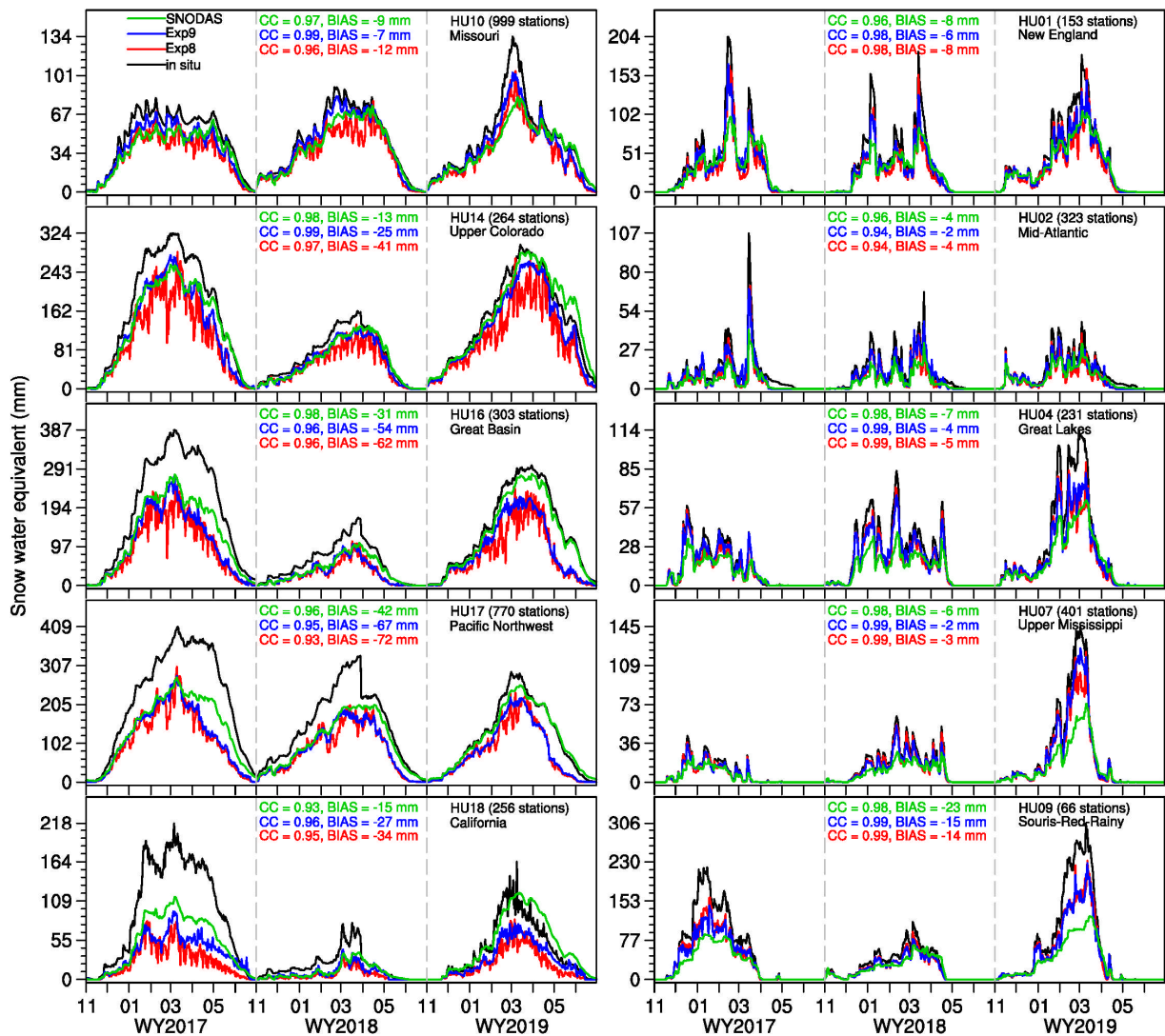
594 We also repeated the comparisons for products Exp8 and Exp9 using 2- and 4-fold cross-
595 validation schemes. Figure 10 shows the box-percentile plots for correlation, bias, RMSE, and
596 unbiased RMSE of Exp8 and Exp9 as derived from 2-, 4-, and 10-fold cross-validations. As
597 expected, the cross-validation schemes with more station records tend to perform better. While
598 Exp8 and Exp9 perform similarly in the 10-fold cross-validation, all statistics except the bias of
599 Exp8 are better than those of Exp9 in the 2- and 4-fold cross-validations. This means that the
600 blending scheme with a prior bias correction (Exp9) does not always improve overall performance
601 – other than bias – compared to that without bias correction (Exp8). Histogram matching intended
602 to reduce the large negative bias of PMW SWE can degrade correlation to a certain extent, and the
603 degradation can be alleviated when more stations are included in the blending process.



604
 605 **Figure 10.** Box-percentile plots for (a) correlation, (b) bias, (c) RMSE, and (d) unbiased RMSE
 606 of Exp8 and Exp9 with different cross-validation schemes. In each box, the black dot represents
 607 the mean value and the white lines from top to bottom represent 75%, 50%, and 25% percentiles,
 608 respectively. The width of the box shows the distribution of the data.

609 In order to closely examine the role of bias correction, we compared the time series of Exp8
 610 and Exp9 with 10-fold cross-validation scheme against in situ and SNODAS SWE on a multi-
 611 station mean basis for selected HUs in Figure 11. Exp8 and Exp9 outperform SNODAS in HUs 1,
 612 4, 7, and 9, with correlation ranging from 0.98 to 0.99 and bias ranging from -15 to -2 mm.
 613 Meanwhile, Exp9 also outperforms SNODAS in HU 10, with a correlation of 0.99 and a bias of
 614 -7 mm. Nonetheless, Exp8 and Exp9 show relatively larger underestimation than SNODAS in
 615 HUs 14 and 16–18, with correlation ranging from 0.93 to 0.99 and bias ranging from -72 to -25

616 mm. Overall, the agreement between the blended products and the in situ observations is fairly
617 well except Exp8 shows some spurious spikes in HUs 10, 14 and 16–18. This suggests that
618 although optimal interpolation can generate realistic estimates of SWE at validation points, it may
619 introduce spikes when the bias between the backgrounds and observations is large. These
620 observations indicate that an independent bias correction method is warranted, at least for the
621 Intermountain West where the in situ network is sparse and the spatial representativeness of in situ
622 observations is limited by topography ([Broxton et al., 2016a](#)). Nevertheless, it is worth pointing
623 out that bias correction does not always render the product bias free, or even improve the bias. For
624 example, Exp9 exhibits slightly worse negative bias than Exp8 for HU9, and again this can be
625 explained by a combination of a relatively sparse in situ network and severe bias that make the
626 bias correction less effective.

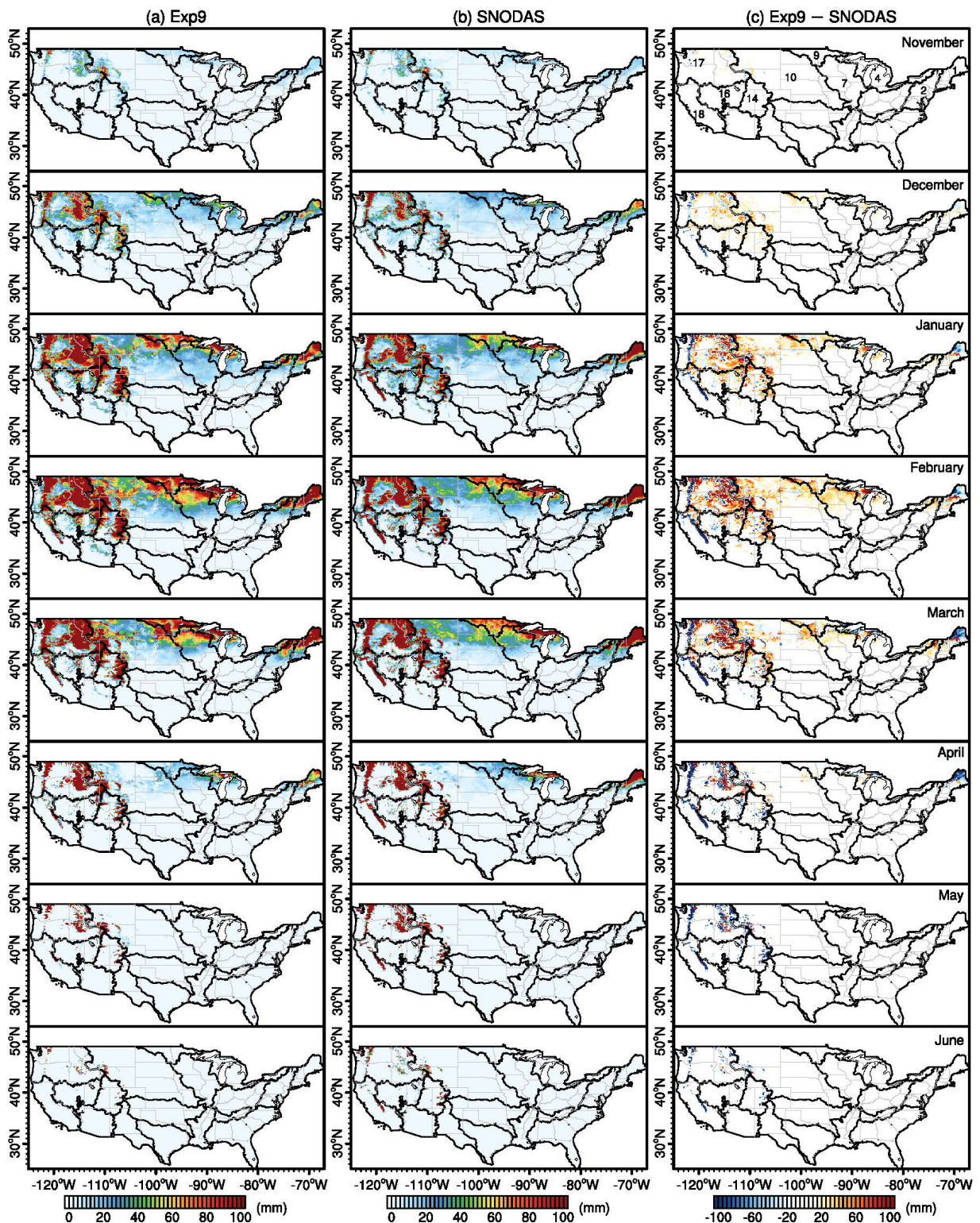


627
 628 **Figure 11.** Time series of multi-station mean daily SWE for the main snow seasons of the water
 629 years 2017–2019 (different water years are separated by gray dashed lines) in ten snow covered
 630 HUs. Exp8 (red color) and Exp9 (blue color) are blending experiments as shown in Table 1 with
 631 10-fold cross-validation. SNODAS and in situ data are denoted by green color and black color,
 632 respectively. CC represents correlation and BIAS represents bias.

633 **4.3. Evaluation of the final blended SWE product**

634 The earlier analysis suggests that Exp9, the blended product that underwent bias correction,
 635 broadly outperforms others. In this section we further explore the difference between this dataset
 636 and SNODAS SWE analysis to illustrate its potential practical utility over different geographic

637 settings. Figure 12 shows the geographic distribution of the multi-year (i.e., water years 2017–
638 2019) mean daily SWE for Exp9, SNODAS, and their differences across snow season. Generally,
639 the final blended product (Exp9) agrees well with the SNODAS analysis in spatial pattern. The
640 overestimation over the Rockies, the Northern Plains, and the Souris-Red-Rainy region from
641 January to March is reasonable since SNODAS was demonstrated to underestimate the actual SWE
642 in these regions as shown in Figure 11. SNODAS tends to underestimate snow density and thus
643 SWE, because it assimilates SD and SWE observations across different scales and platforms
644 without using snow density to constrain the assimilation (Dawson et al., 2017). Previous studies
645 also found that SNODAS slightly underestimates SD in heavily forested regions (Anderson, 2011)
646 and considerably underestimates SD in mountainous regions (Clow et al., 2012). On the other hand,
647 the final blended product underestimates SWE over the Cascade and Sierra Nevada from
648 December to June as well as the northern New England and northern Great Lakes regions from
649 December to April. This discrepancy could be partly attributed to the fact that SNODAS tends to
650 overestimate in these regions in April–June as indicated by the high FAR in Figure 5. It could also
651 be attributed to the sparsity of observation stations in these regions (see Figure 1) to correct the
652 large negative bias of the backgrounds (ATMS and AMSR2 SWE). Furthermore, the
653 representativeness of the stations for their surrounding areas might be inadequate due to the spatial
654 heterogeneity (Meromy et al., 2013), which also limits the accuracy of the blended in situ-satellite
655 product. Interested readers can find additional information on the differential improvements of
656 blended products for different land surface characteristics in the supplementary material.



657

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659

Figure 12. Geographic distribution of multi-year (i.e., water years 2017–2019) mean daily SWE in different months.

660 **5. Summary and conclusions**

661 This paper compares two different PMW SWE products, namely ATMS and AMSR2, with
662 SNOTEL and COOP in situ observations and the SNODAS analysis over the CONUS. A blending
663 algorithm is then designed to optimally combine the in situ and satellite SWE to obtain a reliable
664 gridded product at a relatively high spatial resolution ($0.125^\circ \times 0.125^\circ$).

665 The comparison results indicate that the accuracy of ATMS and AMSR2 SWE, despite
666 derived using different instruments and retrieval algorithms, have much in common in terms of
667 geographic distribution of performance. Both products capture the temporal variability of in situ
668 SWE well when elevation is below 900 m, elevation range is smaller than 300 m, GVF is less than
669 20%, and SD is lower than 200 mm. On the other hand, both products considerably underestimate
670 SWE in the north-central and northeastern US as well as in the Intermountain West, possibly due
671 to a combination of the influence of complex terrain, high forest cover, deep snowpack, and snow
672 ablation. These similarities notwithstanding, there are notable differences in the performance of
673 the two retrievals that point substantially to their complementarity as sources of information for
674 SWE estimates. Relative to AMSR2, the signal saturation for ATMS appears to occur at a lower
675 SWE (220 mm SWE vs 280mm SWE for AMSR2), and the ATMS tends to over-detect snow
676 covered areas due to its larger field of views. On the other hand, ATMS does fare better in detecting
677 snow cover over the early and middle of snow season (December to February) for regions spanning
678 from the Pacific Northwest to the Upper Midwest (except for the Souris-Red-Rainy region),
679 though it exhibits broadly higher false alarm rates for a majority of these regions. Further analysis

680 also reveals a potential defect of AMSR2 retrieval – it tends to severely underestimate the SWE
681 for early snow season over the Northern Plains, and this is related to its use of high frequency
682 channel (i.e., 89 GHz) whose radiance observations tend to saturate at shallower SD.

683 Our blending algorithm provides a simple, yet effective way to produce reliable blended in
684 situ-satellite SWE estimates by exploiting the complementary strengths of AMSR2 and ATMS
685 SWE retrievals. The final, blended product outperforms the interpolated station-only product as
686 well as the raw ATMS and AMSR2 SWE: for the latter, the mean correlation sees 17% and 16%
687 increases, while the mean bias drops by 70% and 71%, respectively. In particular, our analysis
688 shows that an independent bias correction is effective in improving upon the optimal interpolation-
689 based blended product for much of the snow season. The only exception is over the Intermountain
690 West, where the sparsity of in situ stations and their preferred topographic locations constrain the
691 efficacy of the bias correction. Nonetheless, even in these regions, bias correction is still helpful
692 as it reduces the wild fluctuations in SWE that itself is likely a consequence of data paucity.
693 Additional research is warranted to identify the station density threshold and potential temporal
694 smoothing approaches for damping out the oscillations.

695 The blended SWE can be used in a number of practical contexts, which include, but are not
696 limited to assisting with situational hydrologic awareness for forecasters and water managers and
697 serving as observation field assimilated to snow and land surface models. As demonstrated in the
698 study, the blended product in fact slightly outperforms the SNODAS analysis in some of the
699 regions over Northeast to the Upper Midwest where snowpack was shallow and ephemeral, though

700 SNODAS analysis remains a superior dataset over the Intermountain West where snowpack is
701 much thicker than what satellites can sense. This suggests that there is additional room for
702 improving the snow analysis by leveraging the strengths of blended products and model simulation
703 through data assimilation. In addition, observations from emerging platforms, e.g., airborne lidar
704 (Painter et al., 2016), GPS-reflectometry (Larson, 2016), Sentinel-1 C-band synthetic aperture
705 radar (Lievens et al., 2019), and airborne gamma radiation detector (Cho et al., 2020) offer new
706 opportunities to address the sparsity of in situ data over mountainous regions and adoption of these
707 datasets in the blending framework will be explored in future studies.

708 **CRedit authorship contribution statement**

709 **Yanjun Gan:** Conceptualization, Methodology, Data curation, Formal analysis, Visualization,
710 Writing - original draft, Writing - review & editing. **Yu Zhang:** Conceptualization, Methodology,
711 Formal analysis, Resources, Writing - review & editing, Supervision, Project administration,
712 Funding acquisition. **Cezar Kongoli:** Data curation, Formal analysis, Writing - review & editing.
713 **Christopher Grassotti:** Formal analysis, Writing - review & editing. **Yuqiong Liu:** Methodology,
714 Writing - review & editing. **Yong-Keun Lee:** Data curation, Writing - review & editing. **Dong-**
715 **Jun Seo:** Writing - review & editing.

716 **Declaration of competing interest**

717 The authors declare that they have no known competing financial interests or personal
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