Trawl fishing impacts on the status of seabed fauna in diverse regions of the globe

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66 ABSTRACT

Bottom trawl fishing is a controversial activity. It yields about a quarter of the world's wild 67 seafood, but also has impacts on the marine environment. Recent advances have quantified 68 and improved understanding of large-scale impacts of trawling on the seabed. However, such 69 information needs to be coupled with distributions of benthic invertebrates (benthos) to assess 70 71 whether these populations are being sustained under current trawling regimes. This study collated data from 13 diverse regions of the globe spanning four continents. Within each 72 region, we combined trawl intensity distributions and predicted abundance distributions of 73 benthos-groups with impact and recovery parameters for taxonomic classes in a risk 74 assessment model to estimate benthos status. The exposure of 220 predicted benthos-group 75 distributions to trawling intensity (as swept-area-ratio) ranged between 0 and 210% (mean = 76 37%) of abundance. However, benthos status, an indicator of the depleted abundance under 77 chronic trawling pressure as a proportion of untrawled state, ranged between 0.86 and 1 78 (mean = 0.99), with 78% of benthos-groups >0.95. Mean benthos status was lowest in 79 regions of Europe and Africa, and for taxonomic classes Bivalvia and Gastropoda. Our 80 results demonstrate that while spatial overlap studies can help infer general patterns of 81 potential risk, actual risks cannot be evaluated without using an assessment model that 82 incorporates trawl impact and recovery metrics. These quantitative outputs are essential for 83 sustainability assessments, and together with reference points and thresholds, can help 84 85 managers ensure use of the marine environment is sustainable under the ecosystem approach to management. 86

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Key words: benthic invertebrates, ecosystem-based fisheries management, risk assessment,
species distribution modelling, sustainable fisheries, trawling

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98 INTRODUCTION

Bottom trawling (such as beam, otter trawls and dredge; hereafter "trawling") is important for
global food security, providing about 20 million tonnes of global catch (Amoroso et al.
2018). However, the ecological impacts of trawling on the marine environment have been a
concern across the globe (Jennings & Kaiser, 1998; Thrush & Dayton, 2002; Puig et al.,
2012; Pusceddu et al., 2014). Overall, there is limited large-scale quantitative evidence of the
risks trawling pose to the environment and to benthic organisms that encounter physical
contact with trawl gear (Mazor et al., 2017; Pitcher et al., 2017).

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Ecosystem-based management (EBM) is an approach that is being adopted around the globe 107 108 for managing fisheries (Pikitch et al., 2004; Astles et al., 2006). This management approach considers the suite of interactions within a given ecosystem rather than addressing issues in 109 110 isolation (Holsman et al., 2017). Risk assessment is an essential component of EBM, and provides critical information for prioritising management interventions (Stelzenmüller et al., 111 2015; Holsman et al., 2017). In the absence of a quantitative approach, there has typically 112 been a reliance on qualitative risk assessments of seabed trawl impacts, using expert opinion 113 and stakeholder knowledge, or rank scoring approaches to guide management decisions 114 (Fletcher, 2005; Astles et al., 2006; Lorance et al., 2011). However, transparent evidence-115 based quantitative assessments are possible with access to technologies that provide 116 information on fishing activity (e.g. Vessel Monitoring Systems (VMS) and satellite 117 Automatic Identification Systems (AIS) for fishery effort information) and advances in 118 119 statistical modelling methods (Pitcher et al., 2017).

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Recent efforts have synthesised our current understanding of trawling extent and impacts around the world (Hiddink et al., 2017; Amoroso et al., 2018; Sciberras et al., 2018). For example, regional trawl footprint data were collated by Amoroso et al., (2018), providing a broad-scale spatial coverage of current trawl effort. The study found that 14.5% of the total studied area (7.7 million km²) was trawled, but varied considerably among 24 regions of the world. Systematic review methodologies and meta-analyses have been used to compile

- depletion and recovery information of trawl fishing disturbances on seabed invertebrates
- 128 (Hiddink et al., 2017; Sciberras et al., 2018), highlighting those species groups that are more
- sensitive to trawl impacts (e.g. long-lived biota; Hiddink et al., 2019). Given these advances,
- they now need to be applied to knowledge of spatial distributions of seabed fauna to assess
- the impact and sustainability of benthos in trawled regions.
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Understanding the sensitivity of benthic invertebrates (benthos) to trawling disturbance is of 133 fundamental ecological importance because they perform essential ecosystem processes such 134 as reworking sediments, forming habitat structures and oxygenating the seafloor (Solan et al., 135 2004). Furthermore, their status is commonly used as an indicator for measuring ecosystem 136 health or disturbance (Hiddink et al., 2006; Przesławski et al., 2008). Despite their 137 importance, knowledge of benthos distributions across broad spatial scales (>1000 km²) is 138 limited (Reiss et al., 2015); most likely attributable to high costs of surveys, limits in 139 taxonomic expertise, and lengthy sample processing time (Fisher et al., 2011). New methods 140 have been proposed to predict and expand knowledge of spatial distributions of benthos at 141 regional scales of 1000's of km² (e.g. Baltic Sea: Gogina & Zettler (2010); North Sea: Reiss 142 et al. (2011); Australian waters; Mazor et al. (2017)); these methods can be coupled with 143 144 known distributions of trawl intensity to compute benthos status (relative to an untrawled state - calculated from impact rates, recovery rates and exposure to trawling) and help inform 145 the extent to which trawling is sustainable in different areas of the seabed (Mazor et al., 146 2017). Combined, the information can be used assist managers in the choice of best practices 147 to minimize impacts and ensure sustainability in the local context (McConnaughey et al., 148 2020). 149

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Here, we quantify the status of benthos in 13 case-study regions from four continents 151 (Australia, Europe, Africa and North America). Each region was chosen based on the 152 availability of trawl intensity data and benthos survey data. To assess the status of benthos 153 under current trawling practises, we modelled their current-day abundance distributions 154 (based on recent survey samplings) and combined these spatially with maps of trawling 155 intensity (Amoroso et al., 2018) and published recovery and depletion estimates derived from 156 global meta-analyses (Hiddink et al., 2017; Sciberras et al., 2018; Hiddink et al., 2020), using 157 a quantitative risk assessment method (Pitcher et al., 2017). Our findings aim to advance 158

understanding of the current impacts and risks (to benthos) of trawling on the seafloor forregions across the globe.

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162 METHOD

163 Study regions

Thirteen large-scale study regions across the globe were selected for analysis based on data
availability (Table 1; Table S1). The geographical extent of each region was bounded by the
latitude, longitude and depth range of the sites for which benthos data from systematic
surveys were available to avoid excessive extrapolation of benthos predictions. For maps of
study regions see Figures S1 – S13.

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170 Trawl intensity

171 Trawl intensity data were acquired from Amoroso et al., (2018). These data were calculated

using VMS or fishing log-book data, to produce a swept area ratio (SAR: the annual

173 cumulative area swept by trawl gear within a given grid-cell of seabed, divided by the area of

- that grid-cell) of trawling within a grid-cell (either 1 km^2 , 0.01° or 1×1 min grids of longitude
- and latitude), over a 3-5 year period (typically 2008-2010). To ensure trawling activity is
- 176 representative, we only included regions where >70% of trawling activity was accounted for
- 177 (Amoroso et al., 2018). To enable comparisons across regions where <100% of trawling
- activity was reported, we scaled-up trawling effort (F by 100/coverage%) for each region and
- by gear type to represent total trawl intensity (i.e. 100% trawl activity for each region), and
- 180 re-calculated regional SARs and footprints. This scaling and re-calculation assumes that
- 181 collated data are representative of the spatial distribution of the total.

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183 Benthos distributions

184 Benthos data

185 Benthos data from seabed surveys were sought for regions where trawl intensity data were

- available from Amoroso et al., (2018). Ultimately, data were collated from 13 of 24 regions.
- 187 Benthos abundances in surveys were recorded as counts or weight, and were standardized by

sampled area. We included surveys of both infauna and epifauna where possible, and
attempted to match survey years to the trawl data. Survey sampling gear varied among
regions, but sampling was predominantly conducted using an otter trawl, benthic sled and/or
grab (Table 1).

Eight taxonomic classes of benthos were examined: Anthozoa (i.e. sea anemones and corals), 192 Ascidiacea (sea squirts), Asteroidea (seastars), Bivalvia (bivalved shelled molluscs), 193 Gastropoda (sea snails and slugs (alt: coiled, conical or shell-less molluscs)", Malacostraca 194 (crabs and shrimps), Ophiuroidea (brittle stars) and Polychaeta (segmented worms). These 195 classes were the subject of meta-analyses in which depletion and recovery information have 196 recently been estimated (Hiddink et al., 2017; Sciberras et al., 2018; Hiddink et al., 2020; 197 Figure 1). Following Mazor et al. (2017), we further divided taxonomic classes into benthos-198 199 groups; that is, groups of species/taxa within a class that have similar spatial distributions and 200 relationships with environmental variables. The clustering approach uses Multivariate 201 Regression Trees (MRT) to group sites based on the sampled abundances of taxa and their relation with environmental variables, and assigns taxa to these site-groups using the Dufrêne 202 and Legendre (1997) indicator-species metric (DLI) (Mazor et al. 2017). Benthos-groups 203 were used because of inconsistencies in the level of reported taxonomic hierarchy among 204 surveys, and therefore serve as the lowest resolution of benthic data considered for this study. 205

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207 Environmental predictors for modelling benthos

208 Thirty-four environmental variables previously reported to be associated with distributions of 209 a range of benthic invertebrates (Mazor et al., 2017) were used to model the distributions of benthos in each region (Table 2). All variables were available at a global extent at various 210 spatial scales and were processed into consistent grids to match the resolution of the trawl 211 intensity data provided for each region. Environmental layers (e.g. data from the NASA 212 Ocean Biology Processing Group) were processed using R (R Core Team 2018; package 213 "ncdf4"; Pierce 2017, and package "raster" Hijmans 2019) to convert netCDF files into 214 rasters. Annual averages for environmental variables were calculated from the monthly 215 216 means of all available years. Seasonal range composites were calculated from the range of January to December monthly means, averaged across all years. All environmental variables 217 (using raster format) were transformed into the relevant projection and coordinate system (to 218 match the gridded trawl intensity data) with resampling by cubic convolution to the desired 219

220 cell size (either 1km^2 , 0.01° or 1 x 1 min grids of longitude and latitude). Rasters were then

- clipped to the boundaries of each study region. Other environmental layers required three-
- dimensional interpolation to extract properties at the seafloor using a bathymetry layer (e.g.
- 223 CSIRO Atlas of Regional Seas; Ridgway et al., 2002). Predictors that did not vary among
- surveyed sites (SD = 0) or contained missing data for considerable parts of a region were
- excluded from individual analysis. Where predictors were largely complete (>90% of grid),
- na.spline (package "zoo"; Zeileis 2019) was used to interpolate missing predictor data.
- 227

228 Predicting benthos distributions

229 Benthos-group abundance distributions were predicted for each region using R package "randomForest" (Liaw & Wiener, 2002). For each region we applied one of three methods to 230 obtain a site-by-taxon matrix following Mazor et al. (2017): i) a single gear approach -231 benthos were sampled by one device; abundance data were arranged into a conventional site-232 by-taxon matrix, ii) multiple gear approach – benthos were sampled by two different devices 233 that sampled an overlapping composition of benthos at the same sites; a multiplicative scaling 234 factor was estimated for each taxon sampled by different gears (note gear that targeted and 235 predominantly sampled epifauna (e.g. trawls) and infauna (e.g. grabs) were not combined), 236 and iii) disparate datasets approach – benthos were sampled by multiple surveys disparate in 237 one or more of spatial extent, time, taxonomic resolution and identification, sampling device 238 and abundance metrics; in this case Random Forest models predict taxa to un-sampled sites 239 240 combined with a scaling approach that normalises taxa data to represent the proportion of abundance it contributes within its datasets. 241

Model performance was measured by the R^2 of overall fit of predicted against observed 242 values and by the cross-validated out-of-bag (OOB) R^2 values (estimated internally using 243 bootstrapped samples that leave out about one-third of the data; Breiman, 2001). Predictor 244 importance was extracted from the models as per Mazor et al., (2017) by obtaining the 245 random forest predictor importance measure (%IncMSE). Predictor importance across 246 models was calculated by scaling importance by its proportionate contribution to model 247 performance (OOB R^2) for each benthos-group. These proportions were then averaged across 248 all models, per region and per taxonomic class to estimate overall predictor importance. 249 Models with poor prediction performance (cross-validated OOB $R^2 < 5\%$) were excluded 250 251 from the status assessment.

253 Trawl SAR exposure of predicted benthos distributions

254 We quantified trawl SAR exposure (i.e. proportion of benthos abundance currently

distributed in areas that are trawled) as a percentage, by spatially overlaying benthos-group

256 distributions and trawl intensity (SAR). Specifically, we summed the product of the predicted

benthos-group abundance in trawled grid cells multiplied by the trawl SAR of each cell, then

divided by total group abundance in all cells, as per Mazor et al., (2017). We note that SAR

exposure $\geq 100\%$ may occur for benthos abundance in cells with SAR ≥ 1 which are repeatedly

exposed and thus the repeated exposure can be greater than the total abundance in all cells.

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262 Benthos status assessment model

Here we applied a quantitative risk assessment method derived from the logistic populationgrowth equation (Pitcher et al. 2017) to estimate 'relative benthos status' (RBS):

265 $RBS = 1 - F \frac{d}{r}$

Where F is the trawling SAR, d is trawl depletion rate per trawl pass and r is population 266 267 growth/recovery rate. Depletion rate parameters, specific to taxonomic classes, were obtained from Sciberras et al. (2018, for trawl gears only) and recovery rates were derived from 268 Hiddink et al., (2020) respectively (Table S2; see Supporting Information methods for details 269 of derivation). Depletion rates also differ by trawl gear types and by habitats, and recovery 270 rates also vary with habitat types. To account for this, taxonomic class-level average 271 272 depletion and recovery rates were scaled according to gear types and habitat types (see Supporting Information methods). Absolute status, expressed as a proportion, was estimated 273 from the product of RBS multiplied by the predicted abundance distribution (grid-cell 274 abundances), divided by the total benthos-group predicted abundance. A status of 1 indicates 275 a state where the benthos population is not depleted by trawling, and 0 being entire depletion. 276 277 We characterised the uncertainty range in the status estimate by using the mean values for depletion and recovery, and by using the lower 95% confidence interval (CI) for recovery. 278 279 We used the lower 95% CI as it was considered more consistent with the concept of a 280 precautionary approach. It was sufficient to use just the CI for recovery without uncertainty 281 in depletion because the uncertainties in these parameters are inversely related. Benthos status was also calculated to consider only trawled areas (grid cells with F > 0) of our study 282

regions to examine how status may change by spatial extent and specifically within trawledonly areas.

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To investigate the relationship between trawl SAR exposure and benthos status we plotted the trawl SAR exposure, benthos status and sensitivity (d/R) of each benthos-group. Sensitivity *d* (trawl depletion rate per trawl pass) and *R* (population growth/recovery rate) was calculated as described in SI methods.

290

291 **RESULTS**

292 Benthos distributions

293 A total of 220 benthos-group distributions were modelled from our 13 study regions and 8 294 taxonomic classes (Table 3; Table S3). Average explanatory model performance across all benthos-group models, measured by the R^2 of the overall fitted against observed values, was 295 0.75 (median= 0.82), and the cross-validated R² of predicted against OOB values, was 0.37 296 (median=0.34). Model performance varied greatly by region (Figure S14), but not by 297 taxonomic class (Figure S15). The most important predictors across all models were the 298 seasonal range of photosynthetically active radiation (PAR), the average temperature at the 299 seafloor (°C), the average salinity at the seafloor (psu) and oxygen at the seafloor (ml/l) 300 (Figure S16; S17). The pattern of predictor importance was highly variable across regions 301 (Figure S16); however, some regions are particularly influenced by sediments, such as the 302 Gulf of Carpentaria and the Great Barrier Reef. Predictor importance was less variable among 303 taxonomic classes (Figure S17). Different benthos-groups had different orders of predictor 304 importance, but appeared more consistent across taxonomic classes compared to regions. 305

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307 Trawl SAR exposure

308 Across all regions, the mean percentage of the predicted abundance of benthos-groups

exposed to trawling was 36.63% (median = 8.90%), with a range between 0 - 209.90%

310 (Figure 1). The European regions, Kattegat/Western Baltic Sea and North Sea had the highest

overlap of trawl activity with distributions of benthos, with an average exposure of 142.53%

and 134.48% respectively. The regions with moderate overlap were the African regions,

- Namibia (107.70%) and Southern Benguela and Agulhas ecoregions of South Africa
- 314 (37.57%). Regions with the least overlap of trawling with benthos-groups were Western
- Australia (1.13%), Gulf of Alaska (2.32%) and Aleutian Islands (2.41%).
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- Among taxonomic classes, the range of trawl exposures (Figure 2a) was less than that among
- regions (Figure 1a). Taxonomic classes that had the highest mean percentage of their
- distributions overlapping with trawling across all regions were Bivalvia (55.70%),
- 320 Gastropoda (53.58%) and Polychaeta (46.44%) (Figure 2). The classes with the least trawl
- exposure were Anthozoa (20.52%) and Ascidiacea (21.31)
- 322

323 Benthos status

Across all benthos-groups in all regions, the average status was 0.9878 (mean) and 0.9759 324 325 (lower CI) (Figure 1; Figure 2). However, for individual benthos-groups, status ranged from 0.9110 to 1 (mean), and 0.8592 to 1 (lower CI). The North Sea region had the lowest average 326 327 status of 0.9538 (mean) and 0.9097 (lower CI), followed by the Kattegat/Western Baltic Sea (0.9554 mean; 0.9189 lower CI) (Figure 1d; Figure 3). These regions also had the largest 328 range of status (max-min). The majority of regions (8 of 13), had an average status >0.99 329 (both mean and lower CI values; Figure 3). Whereas, for taxonomic classes, only half of the 330 331 benthos-groups had an average status >0.98 (both mean and lower CI values; Figure 2d). The class Bivalvia had the lowest average status (0.9738 mean; 0.9587 lower CI), followed by 332 Malacostraca (0.9841 mean; 0.9742 lower CI) and Gastropoda (0.9895 mean; 0.9718 lower 333 CI). Similarly to regions, taxonomic classes with the lowest average status also had the 334 largest range of values. Benthos status when calculated for only trawled areas (grid cells with 335 336 SAR>0) of our study regions (Figure S18; Tables S3) were slightly lower (range from 0.8754 to 0.9999, and lower CIs from 0.8020 to 0.9999; average status 0.9807 and 0.9610 (lower 337 CI)) compared to benthos status for our entire study regions (Figure 1) (means ranging from 338 0.9110 to 1, and lower CIs from 0.8592 to 1). 339

340

- 341 We found that higher trawl SAR exposure was related to a lower benthos-group status
- 342 ("lower" in relation to our results where status 0.98 was the lower confidence interval)
- 343 (Figure 4). Benthos status also depended on the sensitivity (d/R) of the benthos-group to

trawling impacts and their ability to recover. Sensitivity ranged from 0.0076 - 0.0697, and 344 higher sensitivity to trawling (red-orange points on Figure 4) was related to a lower benthos 345 status. However, this relationship did vary and some groups in Europe with higher sensitivity 346 have greater exposure to beam trawls and dredges; the spatial footprint of these gear types are 347 narrower than those of otter trawls and thus contribute less to cell SAR but lead to higher 348 depletion rates (d). Other factors that prevent a strict relationship with sensitivity are that 349 distributions of benthos groups and of trawling (and different gear types) are complex and 350 differ with sediment distributions. 351

352

353 DISCUSSION

This study presents a large-scale assessment of the status of seabed invertebrate communities, 354 and provides insight into the sustainability of bottom trawling in regions across the globe. 355 Unlike other large-scale assessments that have examined trawl footprints (Amoroso et al., 356 2018), or status of sedimentary habitats in relation to trawling (Pitcher et al., in review), this 357 work incorporates sampling data from surveys of benthos enabling a more direct 358 quantification of trawl impacts on different types of benthos. Our results indicate that 359 benthos-groups may have up to 210% of their distribution exposed to trawl activity (as SAR 360 intensity), yet the lowest benthos status at a regional scale was 0.86, decreasing to 0.80 within 361 trawled footprint areas (Figure S18). In 11 of our 13 case-study regions, all benthos-groups 362 had a status >0.95, and only a quarter (23%) of benthos-groups had a status <0.95 (i.e. 363 364 reduced by 0.05–0.14 owing to trawling activity). Overall benthos status was relatively high (mean status = 0.99; lower confidence interval = 0.98; mean status in trawled areas = 0.98; 365 366 lower confidence interval in trawled areas = 0.96). Hence, regional-scale impacts of trawling on the seabed communities assessed in this study seemed less than might be expected from 367 results of previous studies (Hiddink et al. 2017; Amoroso et al., 2018; Sciberras et al., 2018) 368

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370 European regions (the North Sea and Skagerrak/Kattegat) have trawl footprints covering

371 >50% of their continental shelf (Amoroso et al., 2018) and had the lowest average benthos

status between 0.95-0.96 (Figure 3). Regions of Africa with trawl footprints of $\sim 10-30\%$ of

their continental shelves (Amoroso et al., 2018) displayed an average benthos status between

0.97–0.99 (Figure 3). Regions such as North America and Australasia, with lower trawl

footprints (<10%) displayed higher benthos status (i.e. >0.99). Although average benthos

376 status per region relates to the overall trawl SAR exposure, there are differences for particular

- benthos groups due to their sensitivity to trawling (Figure 1; Figure 4). For example, average
- benthos status for the North Sea region was 0.95, but one Bivalvia group had a lower status
- of 0.92 due to higher trawl exposure (174.64%) and sensitivity (0.04) (Figure 5a).

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381 Spatial overlays of human activities on habitats or species distribution maps are often used to infer threats and risks (Trebilco et al. 2011; Evans et al. 2011) and can be informative for 382 383 prioritising areas where there is greater potential risk of impact, and for indicating where more information is needed (Ban et al., 2010). However, our results show that while there is a 384 385 general trend that greater overlaps of benthos distributions with trawling result in lower benthos status (Figure 4; Table S4), the rates of impact and the recovery rates (sensitivity) of 386 387 organisms are also important (Pitcher 2014). Simple spatial overlap analyses that do not consider these dynamics are problematic for determining specific management actions 388 (Tulloch et al., 2015). For example, Benguela/Agulhas South Africa's Asteroidean group has 389 considerably higher trawl exposure (129.32%) than the Great Barrier Reef Malacostraca 390 group (15.19%), yet their status is relatively similar (0.9864 and 0.9849 respectively; Figure 391 5). This similarity is due to the higher recovery (R = 1.81) and thus lower sensitivity (0.01) to 392 trawl impacts for Benguela/Agulhas South Africa's Asteroidea in comparison to the higher 393 sensitivity (0.03) for Malacostraca in the Great Barrier Reef. Thus, when quantifying risks, 394 the dynamics of biological processes (e.g. the depletion and recovery component in our 395 assessment model) need to be incorporated, as presented in this study, to avoid misdirecting 396 397 management actions and to ensure effective outcomes.

398

Comparisons across regions and taxa are complex when different quantities and sources of 399 400 data are used. For instance, our study indicates that the taxonomic class Bivalvia has a slightly lower benthos status than other classes. However, this may be related to the higher 401 number of bivalve groups located in heavily trawled regions of Europe. Likewise, for 402 Namibia, our results are based only on three Malacostraca groups, as these were the only taxa 403 for which data were available for the region. It is likely that the average benthos status 404 calculated for this region is not representative of other benthos taxa. Species distribution 405 model performance also ranged widely among regions, with poorer performance in some 406 407 regions such as the Aleutian Islands and Kattegat/Western Baltic Sea (Figure S14).

Differences in performance are possibly related to the range of taxa or environmental 408 variables in each region, where model performance has been found to be higher for taxa with 409 narrower environmental gradients compared to those with larger areas of occupancy 410 (Grenouillet et al. 2011). Other caveats of this study include the spatial scale of benthic 411 surveys, where some countries sampled the same or similar spatial extents to that of their 412 trawl fishery grounds while others have used a broader regional approach (Figures S1 - S13). 413 This may lead to indications of greater relative trawl exposure and lower status in the former 414 and the opposite in the latter, simply due to study extent. To address this issue we also 415 416 provided benthos status for trawled-only areas (only for grid cells with SAR>0) and found comparable results with only a slight decrease of benthos status within trawled-only areas in 417 comparison to our full study area extents (Figure S18). Lower benthos status may also occur 418 if this study attempted to predict relative to a pristine pre-trawled baseline as many regions 419 have had long histories of trawling which is likely to have modified benthic community 420 composition and distribution. It is important to note that we have only considered eight 421 common taxonomic classes, and have not included biogenic habitats or most types of colonial 422 423 organisms (e.g. bryozoans, porifera and hydrozoans). These organisms are expected to be more sensitive to trawling (Collie et al., 2000; Althaus et al., 2009) and, depending on how 424 425 they are distributed in relation to where trawling occurs, would likely have a lower benthos status than the classes of biota assessed in this study. For example, Anthozoa and Ascidiacea 426 427 had lower trawl exposure as such species are commonly found on hard substrata that are less exposed to trawling (Lambert et al., 2011; Pitcher et al., 2016). Benthos data in this study 428 429 were predominantly sampled in unconsolidated habitat types that are conducive to survey by trawl gears, thus our outcomes will not reflect benthos in hard ground habitats which may be 430 431 more sensitive (Lambert et al., 2011). Nevertheless, some limitations are inherent when conducting broad-scale, multi-regional studies, that are dependent on existing available data. 432

433

Overall, our study presents the most comprehensive and extensive quantitative synthesis of
information regarding the status of benthos invertebrate communities in multiple regions
worldwide. We highlight the importance of quantifying benthos status for environmental risk
assessments in comparison to simpler spatial overlap only approaches. Our results
demonstrate that, while there is a broad relationship between trawl SAR exposures and
benthos status, exposure alone is not sufficient to account for benthos status or for
implementing risk assessments and management decisions at regional or local scales, where

adequate benthos distribution and sensitivity data (trawl impact and recovery) are available. 441 Our study encompasses multiple regions across the globe where trawling occurs at a range of 442 intensities and extents. However, other regions where trawl intensity is known to be higher, 443 such as the Mediterranean Sea and South East Asia (FAO 2014; Amoroso et al., 2018; 444 Suuronen et al. 2020), could not be included due to lack of available benthos survey data. For 445 such regions where data (benthic or otherwise) are limited, are of poor quality (e.g. low 446 resolution) or their acquisition is difficult, we may need to rely on coarser methods of 447 estimating trawl risks. For example, using the broader patterns observed by spatial overlap 448 449 studies, trawl exposure measures, maximum sustainable yield reference points (Fmsy), habitat status assessments (Pitcher et al., in review) or regional SARs (ratio of total swept 450 area trawled annually to total area of region; Amoroso et al., 2018). Ideally, more benthos 451 surveys in heavily trawled regions are needed and integrated approaches where multiple 452 stakeholders (e.g., governmental, academic, industrial) contribute to marine benthic 453 monitoring (Barrio-Froján et al., 2016) may offer a possible solution for better quantifying 454 the state of the seabed in trawled areas of the world's oceans. 455

456

Findings from this study, and broader application of the approaches used in this study, will 457 enable environmental managers to identify which regions and taxa are at greatest risk of 458 unsustainable trawling regimes. Ideally, these assessments will need to be coupled with 459 reference points and thresholds that indicate risk (e.g. Lambert et al. 2017). For example, is a 460 regional benthos status of 0.95 acceptable to stakeholders and the wider community? What 461 are the cascading effects of such a status on the wider marine ecosystem? Reference points 462 for benthic invertebrates are undeveloped and will require further research to determine them, 463 which will likely be specific to a given region (Lambert et al. 2017; Couce et al. 2019). 464 However, the specificity of the status information provides useful quantitative guidance for 465 implementing management measures to mitigate the impacts (McConnaughey et al., 2020). 466 We suggest that such topics need to be the focus of future research to support the growing 467 commitment for countries around the globe to implement Ecosystem Based Management 468 (EBM) principles and practices, and to manage fisheries in a manner that is sustainable for 469 470 marine ecosystems.

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482

483 Data Availability Statement

- 484 The underlying data used in this paper are available at
- 485 <u>https://trawlingpractices.wordpress.com/datasets/</u>. All other data needed to repeat the
- analyses in the paper are presented in the paper or the supporting information, or published in
- 487 cited articles and reports.
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Continent	Continent Region		Trawl SAR exposure % of survey area (km ²)	Depth Range	Benthic Surveys	No. of Survey Sites*	Survey Years	Gear Types for Benthic Invertebrate Survey	
		km ²	, , , , , , , , , , , , , , , , , , ,		~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~				
North	Bering Sea	632,677	9.00%	12 - 1809	6	1333	2008, 2009, 2010	Otter trawl shelf, and otter	
America			(56912)					trawl slope	
	Aleutian Islands	104,340	2.19%	47 - 1185	3	366	2010	Otter trawl	
			(2285)						
	Gulf of Alaska	348,490	3.24%	0 - 1130	3	817	2009	Otter trawl	
			(11292)						
	West Coast	152,480	9.51%	30 - 1349	3	1887	2008, 2009, 2010	Otter trawl	
			(14497)						
Europe	North Sea	571,694	78.92%	13 - 244	1	267 (epifauna)	1999/2000 - 2002	Beam trawl and grab	
			(451183)			1187 (infauna)			
	Kattegat / Western	99,465	69.10%	0 - 94	1	706	2000 - 2013	grab	
	Baltic Sea		(68729)						
Australia/	Gulf of Carpentaria	381,919	4.07%	10 - 102	2	104	1990	Dredge and grab	
Oceania			(15530)						
	Great Barrier Reef	179,944	10.35%	5 - 103	6	1940	2003 - 2005	Prawn trawl and sled	
			(18633)						
	South East	165,783	13.64%	7 - 1015	4	408	1 survey = 1993 - 1996	Sled and grab	
			(22612)				3 surveys = 1979 - 1983		
	Western Australia	529,665	0.9%	50 - 1311	3	238	2005	Beam Trawl, sled and grab	
			(4714)						
	Chatham/Challenger	443,421	3.68%	60 - 2000	3	142 (DTIS)	2007	Deep towed imaging system	
	New Zealand		(16310)			146		(DTIS), epibenthic seamount	
								sled and beam trawl	
Africa	Benguela/Agulhas	219,831	41.66%	29 - 889	1	223	2011	Otter trawl	
	South Africa		(91575)						
	Namibia	171,927	112.42%	90 - 812	1	222	2008, 2009, 2010	Gisund super two-panel	
			(193275)					bottom trawl	

Table 1. Study regions and characteristics of areas where benthos groups are predicted. Note that more sites may have been surveyed but were left out due to missing environmental data. See supplementary material Table S1, and Figures S1-S13 for further information on each survey.

Table 2. Thirty-four environmental variables used to predict benthos abundance distributions(NA = not applicable).

Temperature at seafloor (°C) Annual Average Seasonal Range CSIRO Atlas Of Regional Seas (CARS 2009) up to 2009 1/2° Salinity at seafloor (psu) Annual Average Seasonal Range CSIRO Atlas Of Regional Seas (CARS 2009) up to 2009 1/2° Oxygen at seafloor (m/l) Annual Average Seasonal Range CSIRO Atlas Of Regional Seas (CARS 2009) up to 2009 1/2° Silicate at seafloor (µmol/l) Annual Average Seasonal Range CSIRO Atlas Of Regional Seas (CARS 2009) up to 2009 1/2° Nitrate at seafloor (µmol/l) Annual Average Seasonal Range CSIRO Atlas Of Regional Seas (CARS 2009) up to 2009 1/2° Nitrate at seafloor (µmol/l) Annual Average Seasonal Range CSIRO Atlas Of Regional Seas (CARS 2009) up to 2009 1/2° Depth 1 arc-minute Mean ETOPO Amante, C. and B.W. Eakins (2009) 1940 to 2002 - 2008 1/2° Chlorophyll a concentration (mg/m ³) Annual Average NASA Ocean Biology Processing Group (OBPG) Aqua-Modis Level 3 Browser, Standard Mapped Image (SMI), Diffuse attenuation coefficient (K490) Annual Average Aua-Modis Level 3 Browser, Standard Mapped Image (SMI), Diffuse attenuation coefficient at 490 2006 - 0.041° Particulate Organic Carbon mg/m ³ (POC) Annual Average Seasonal Range
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Net Primary Production (NPP) Annual Average Ocean Productivity – Oregon State University 2016 (4 km)
Net Primary Production (NPP) Annual Average Ocean Productivity – Oregon State University
Seasonal Range Behrenfeld MJ, Falkowski PG (1997) Photosynthetic 2002 -
rates derived from satellite-based Chlorophyll 2016
concentration. Limnol Oceanogr 42:1–20.
Benthic Irradiance (BIR)Annual Average*Calculated in R2002 -0.041°
Seasonal RangeBIR = PAR \times exp(-K490 \times depth)2016(4 km)
Export Particulate Organic Annual Average Calculated in R using the exponential decay model 2002 - 0.041°
Carbon flux (EPOC) Seasonal Range Pace et al. 1987 2016 (4 km)
$EPOC = 3.523 \times NPP \times depth^{-0.154}.$
GravelMeanSediment from $dbSEABED$ up to 0.01°
2015 where
Sand Mean Sediment from dbSEARED 0.010
up to where
2015 Wileic present
Mud Mean Sediment from dbSEARED 0.01°
up to where
2015 present

Region	Fauna	Anthozoa	Ascidiacea	Asteroidea	Bivalvia	Gastropoda	Malacostraca	Ophiuroidea	Polychaeta
	Groups								
Aleutian Islands	10	1	2	2	1		2	2	
Bering Sea	23	4	2	4	1	3	5	2	2
Gulf of Alaska	17	3	2	3	1	2	4	2	
West Coast USA	17	3		4		3	4	3	
Kattegat/Western	7				2	2		1	2
Baltic Sea									
North Sea	40	2	2	5	6	6	9	5	5
Benguela/Agulhas	18	2	1	4		2	4		
South Africa									
Namibia	3						3	3	2
Chatham/Challenger	22	3		4	2	3	3	3	4
New Zealand									
Great Barrier Reef	16	2	1	2	3	2	3	3	
Gulf of Carpentaria	16	1	3	1	3	1	3	2	2
South East Australia	13				1	1	4	3	4
Western Australia	18	2		1	2	2	4	2	5
Total Number	220	23	13	30	22	27	48	31	26

Table 3. Number of derived benthos-groups (method following Mazor et al., 2017) across region and per taxonomic class.

Figure Legends

Figure 1. Box plots by region (Table S1 for more details) of: a) the percentage of benthosgroup abundance exposed to trawling (SAR exposure), b) depletion values d, c) recovery parameters R, d) the relative status of benthos-groups using mean values and lower confidence interval for recovery. The black lines represent the median value.

Figure 2. Box plots by taxonomic class (Table 3 for more details) of a) the percentage of benthos-group abundance exposed to trawling (SAR exposure) b) depletion values d, c) recovery parameters R, d) the relative benthos status using mean values and lower confidence interval for recovery. The black lines represent the median value.

Figure 3. Map of mean benthos group status across 13 case study regions (for study region maps see Figure S1-S13). For each region, n is the total number of benthos-groups assessed, pie charts represent the proportion of benthos-groups with a particular benthos status – coloured according to the overall mean benthos status pie chart. Figure appears in colour in the online version only.

Figure 4. Relationship between benthos status (mean values) and trawl SAR exposure (Table S4). Each point represents a predicted benthos-group (n=220), and sensitivity (d/R), where d (trawl depletion rate per trawl pass) and R (population growth/recovery rate) is calculated as described in SI methods.

Figure 5. Three case study examples of benthos-groups a) North Sea bivalve group (infauna) (trawl SAR exposure 174.64%, benthos status 0.92), b) Benguela/Agulhas South African asteroidean group (trawl SAR exposure 129.32%, benthos status 0.98), c) Great Barrier Reef malacostraca group (trawl SAR exposure 15.19%, benthos status 0.99). For each region showing (left to right) the predicted abundance distribution of the benthos group, distribution of impacted abundance, and predicted benthos status distribution. Figure appears in colour in the online version only.